

# Process Capability (Cp / Cpk / Pp / Ppk)

## Global Training Material

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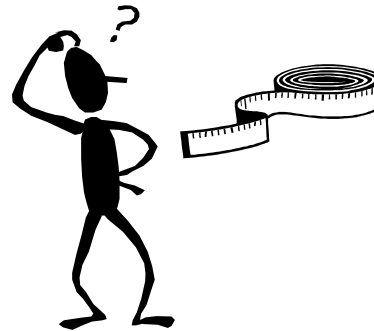
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**NOTE – All comments and improvements should be addressed to the creator of this document.**

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# Process Capability

## - Evaluating Manufacturing Variation

### Acknowledgements

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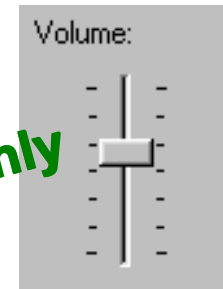
## **Section 1**

# **Variation, Tolerances and Dimensional Control**

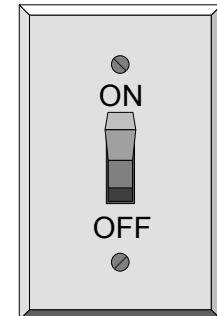
# Two Types of Product Characteristics

**Variable:** A characteristic measured in physical units, e.g. millimetres, volts, amps, decibel and seconds.

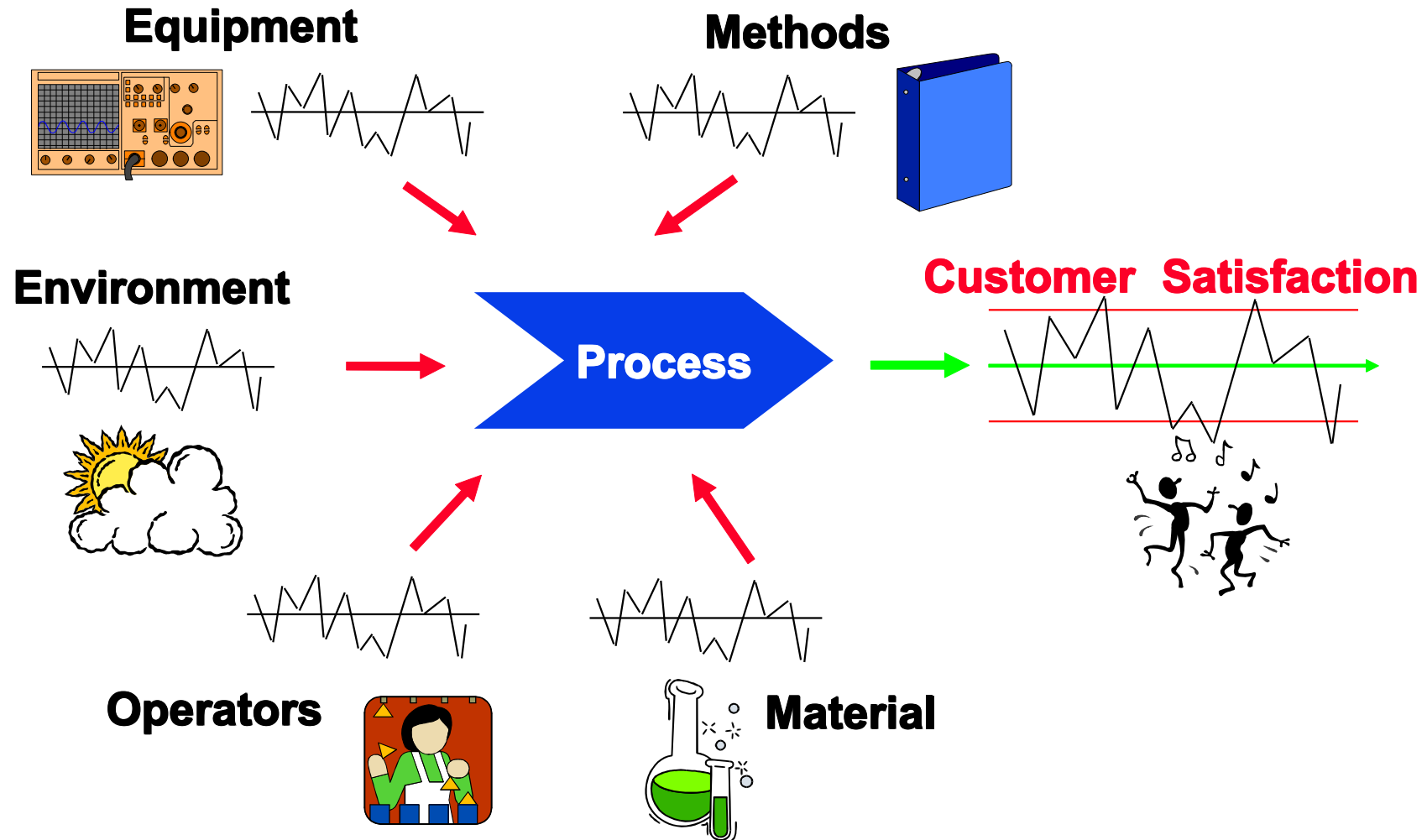
*In this training we deal with variables only*



**Attribute:** A characteristic that by comparison to some standard is judged “good” or “bad”, e.g. free from scratches (visual quality).

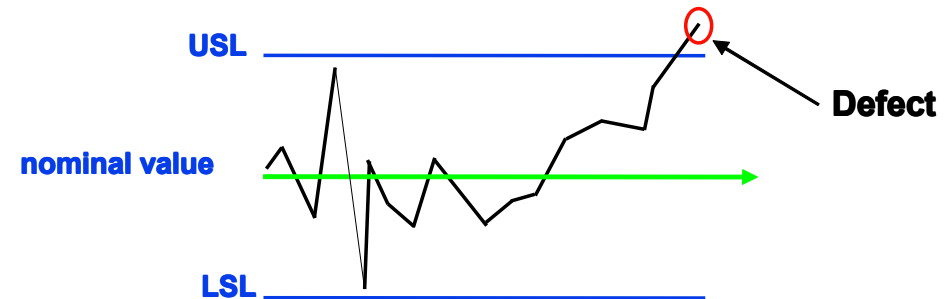
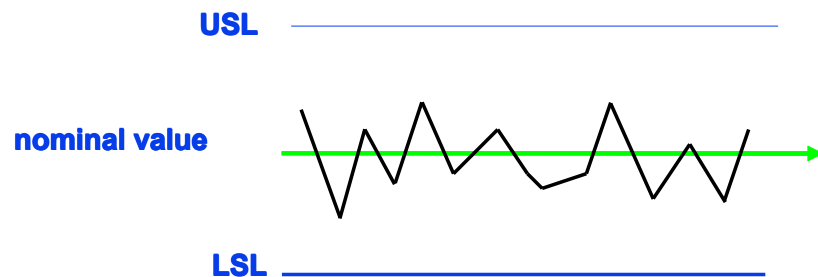


# The Sources of Process/System Variation

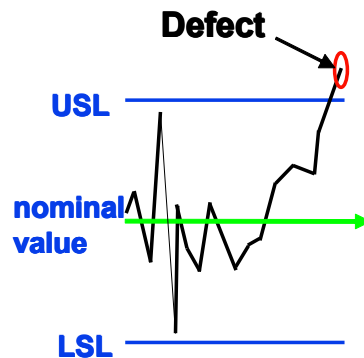
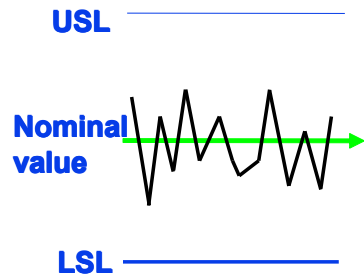


# Two Types of Processes

- All processes have:
  - Natural (random) variability  
=> due to common causes
  - Unnatural variability  
=> due to special causes
- Stable Process:  
A process in which variation in outcomes arises only from common causes
- Unstable Process:  
A process in which variation is a result of both common and special causes



# The Two Causes of Variation



- Common Causes:
  - Causes that are implemented in the process due to the design of the process, and affect all outcomes of the process
  - Identifying these types of causes requires methods such as Design of Experiment (DOE), etc.
- Special Causes:
  - Causes that are not present in the process all the time and do not affect all outcomes, but arise because of specific circumstances
  - Special causes can be identified using Statistical Process Control (SPC)

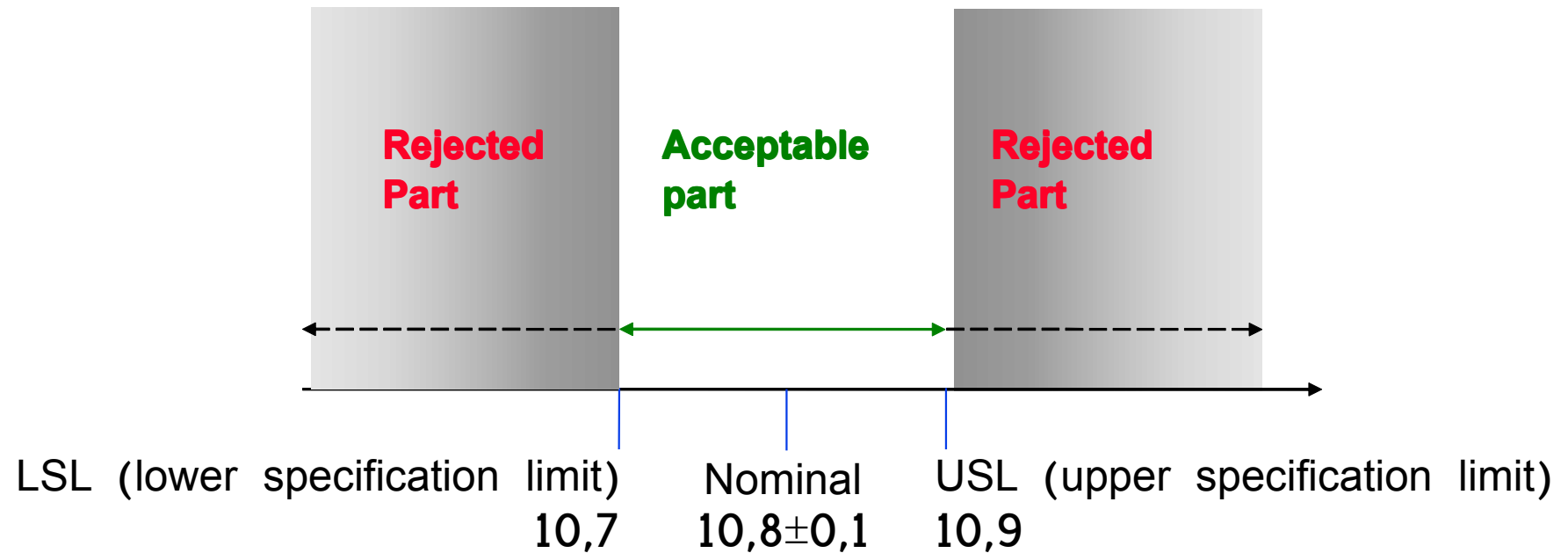
Shewhart (1931)

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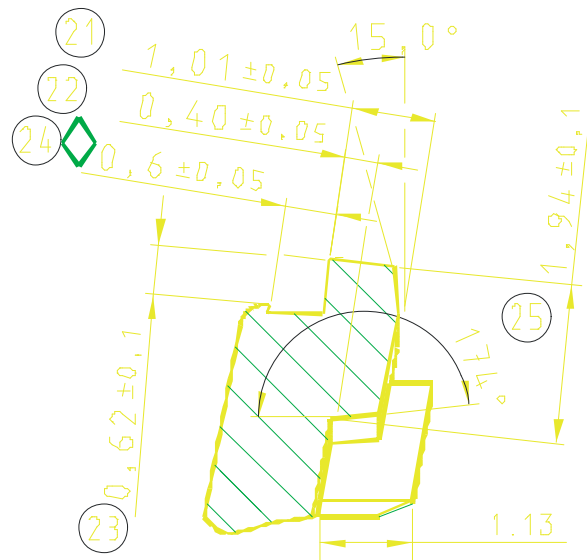
# Tolerances

**A tolerance is a allowed maximum variation of a dimension.**



# Measurement Report

In most cases we measure only one part per cavity for measurement report



	A	B	C	D	E	F	G	H	I	
36										
37										
38										
39										
40										
41										
42										
43										
44										
45										
46										
47										
48										
49										
50										
51										
52										
53										
54										
55										
56										

Dim. Number	Grid Reference	Measured Part	Nom. Dim.	Tolerance (FMC)	USL	LSL	Diff.	Result	Measured
21	A7		1,01	f	0,05	0,05	-1,01	NOT OK	
22	A7		0,40	f	0,05	0,05	-0,40	NOT OK	
23	B7		0,60	m	0,10	0,10	-0,60	NOT OK	
24	A7		0,60	f	0,05	0,05	-0,60	NOT OK	
24	A7		0,60	f	0,05	0,05	-0,60	NOT OK	
24	A7		0,60	f	0,05	0,05	-0,60	NOT OK	
24	A7		0,60	f	0,05	0,05	-0,60	NOT OK	
24	A7		0,60	f	0,05	0,05	-0,60	NOT OK	
24	A7		0,60	f	0,05	0,05	-0,60	NOT OK	
24	A7		0,60	f	0,05	0,05	-0,60	NOT OK	
24	A7		0,60	f	0,05	0,05	-0,60	NOT OK	
24	A7		0,60	f	0,05	0,05	-0,60	NOT OK	
24	A7		0,60	f	0,05	0,05	-0,60	NOT OK	
24	A7		0,60	f	0,05	0,05	-0,60	NOT OK	
25	A8		1,94	m	0,10	0,10	-1,94	NOT OK	

# Example of Capability Analysis Data

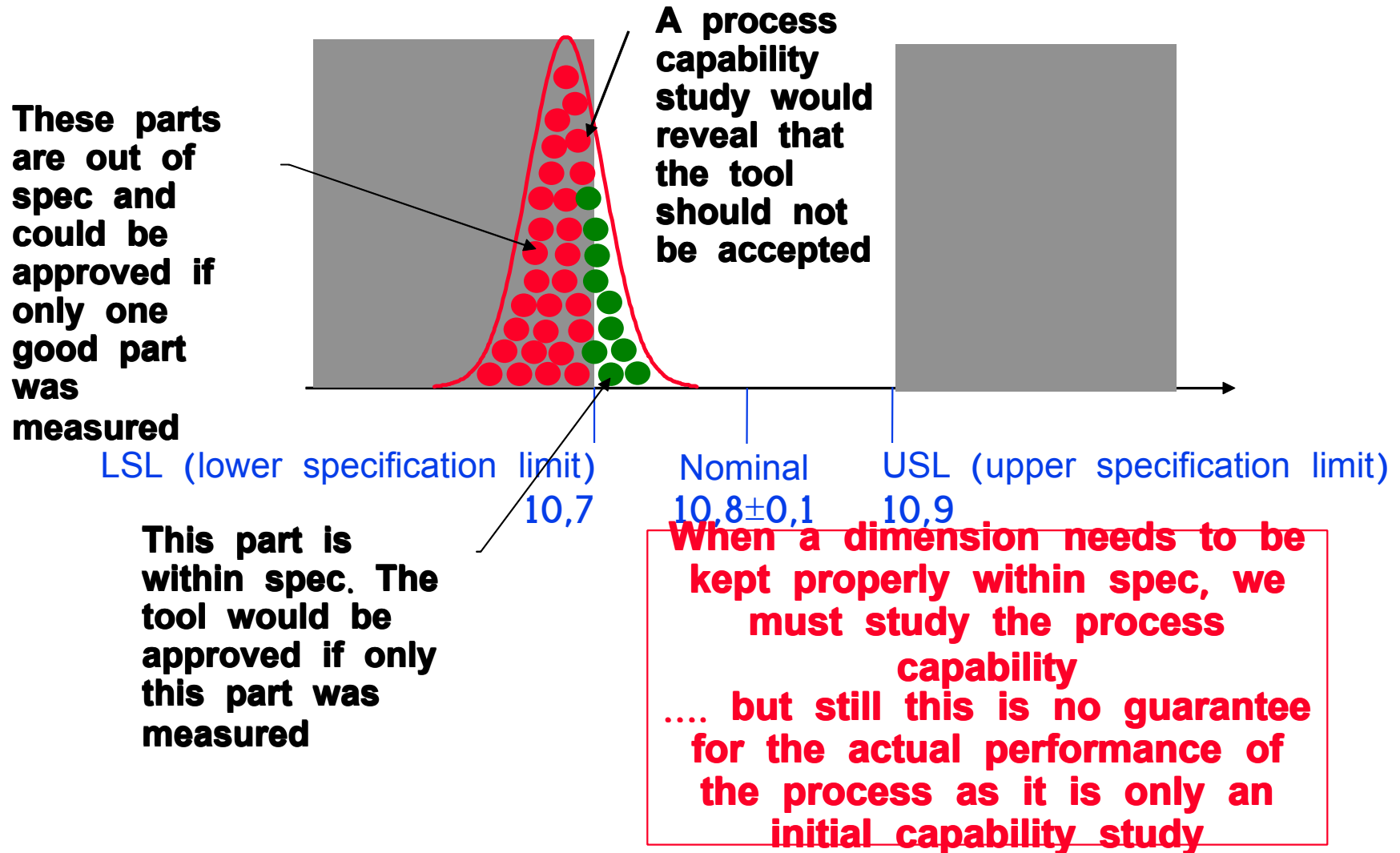
- For some critical dimensions we need to measure more than 1 part
- For capability data we usually measure 5 pcs 2 times/hour=100 pcs (but sampling plan needs to be made on the basis of production quantity, run duration and cycle time)

1st Subgroup	2nd Subgroup	3rd Subgroup	4th Subgroup
118.53	118.52	118.54	118.56
118.54	118.54	118.52	118.55
118.51	118.51	118.50	118.55
118.53	118.51	118.52	118.55
118.51	118.54	118.54	118.55
5th Subgroup	6th Subgroup	7th Subgroup	8th Subgroup
118.55	118.54	118.57	118.60
118.54	118.56	118.56	118.57
118.55	118.55	118.57	118.55
118.54	118.54	118.55	118.56
118.56	118.53	118.54	118.55
9th Subgroup	10th Subgroup	11th Subgroup	12th Subgroup
118.60	118.61	118.58	118.60
118.59	118.60	118.60	118.63
118.58	118.61	118.61	118.63
118.60	118.59	118.60	118.61
118.59	118.59	118.59	118.64

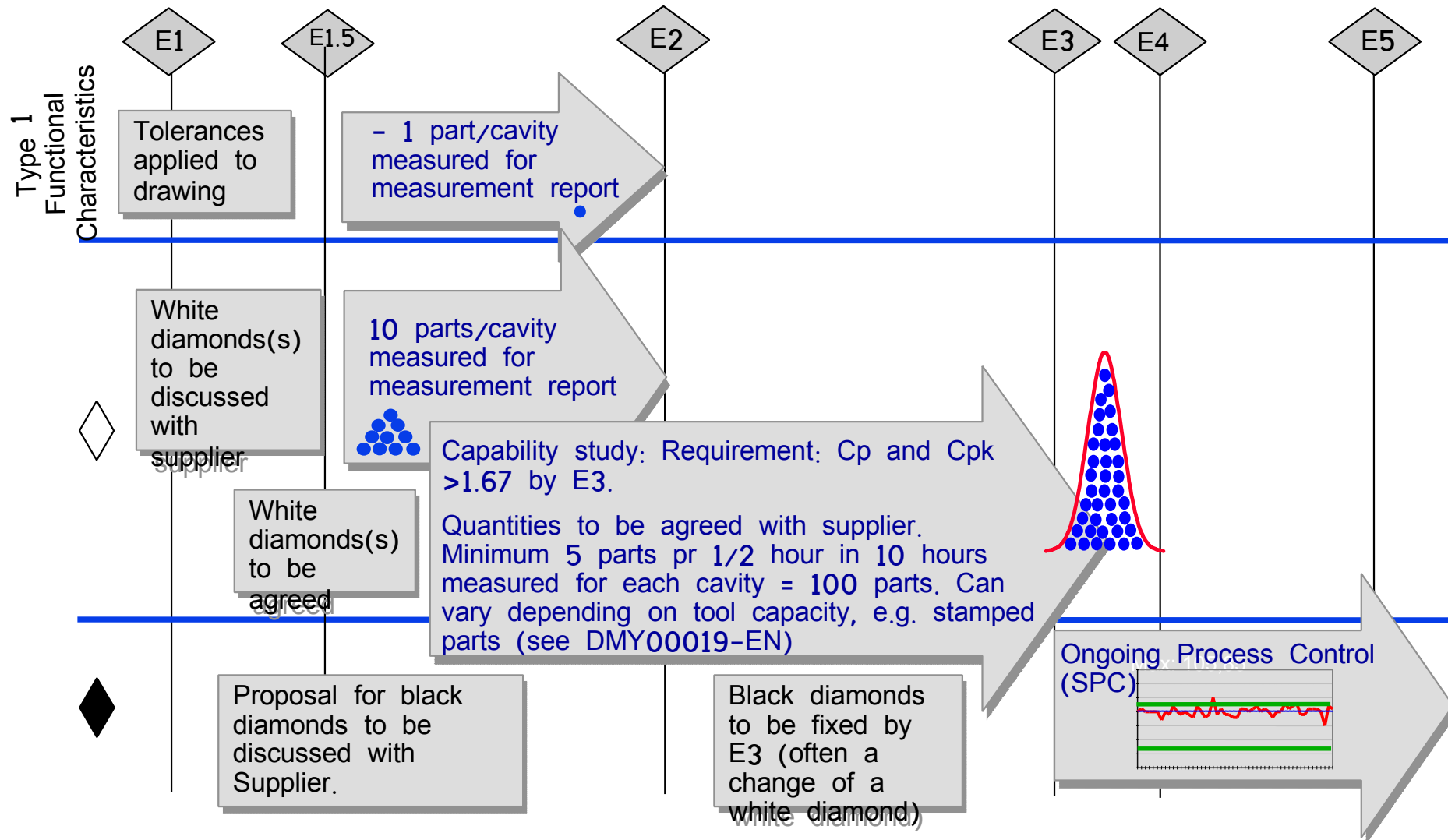
# Process Capability - What is it?

- Process Capability is a measure of the **inherent** capability of a manufacturing process to be able to consistently produce components that meet the required design specifications
- Process Capability is designated by **C<sub>p</sub>** and **C<sub>pk</sub>**
- Process Performance is a measure of the performance of a process to be able to **consistently** produce components that meet the required design specifications. Process Performance includes special causes of variation not present in Process Capability
- Process Performance is designated **P<sub>p</sub>** and **P<sub>pk</sub>**

# Why Make Process Capability Studies



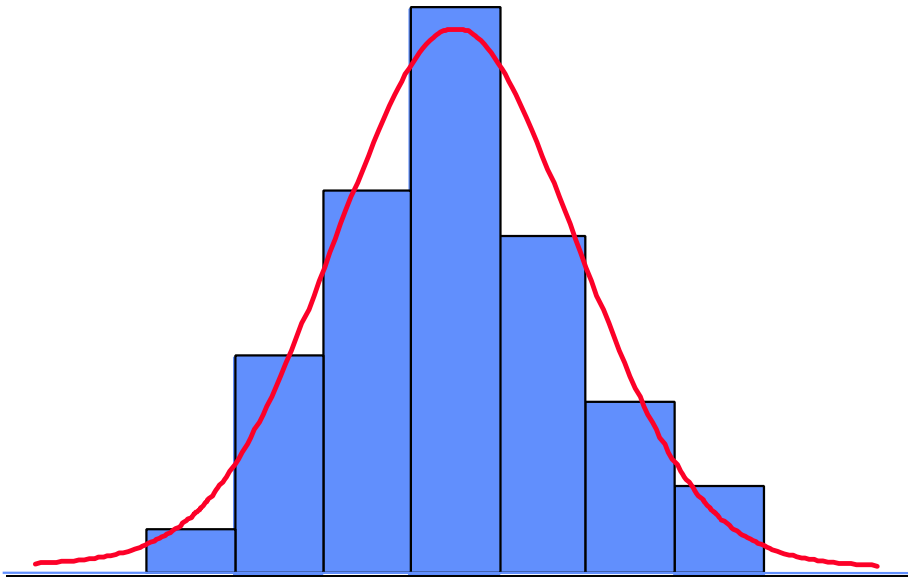
# The Nokia Process Verification Process



## **Section 2.**

# **Population, Sample and Normal Distribution**

# The Bell Shaped (Normal) Distribution



- Symmetrical shape with a peak in the middle of the range of the data.
- Indicates that the input variables (X's) to the process are randomly influenced.



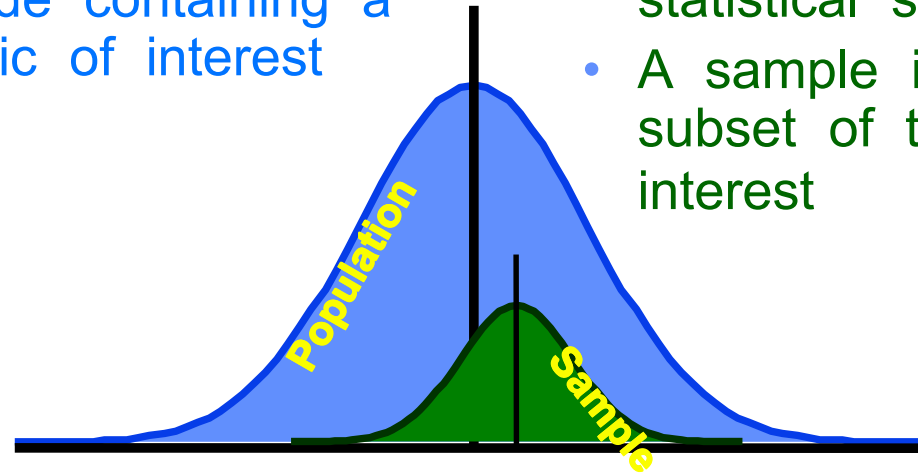
# Population versus Sample

## Population

- An entire group of objects that have been made or will be made containing a characteristic of interest

## Sample

- The group of objects actually measured in a statistical study
- A sample is usually a subset of the population of interest



## “Population Parameters”

$\mu$  = Population mean

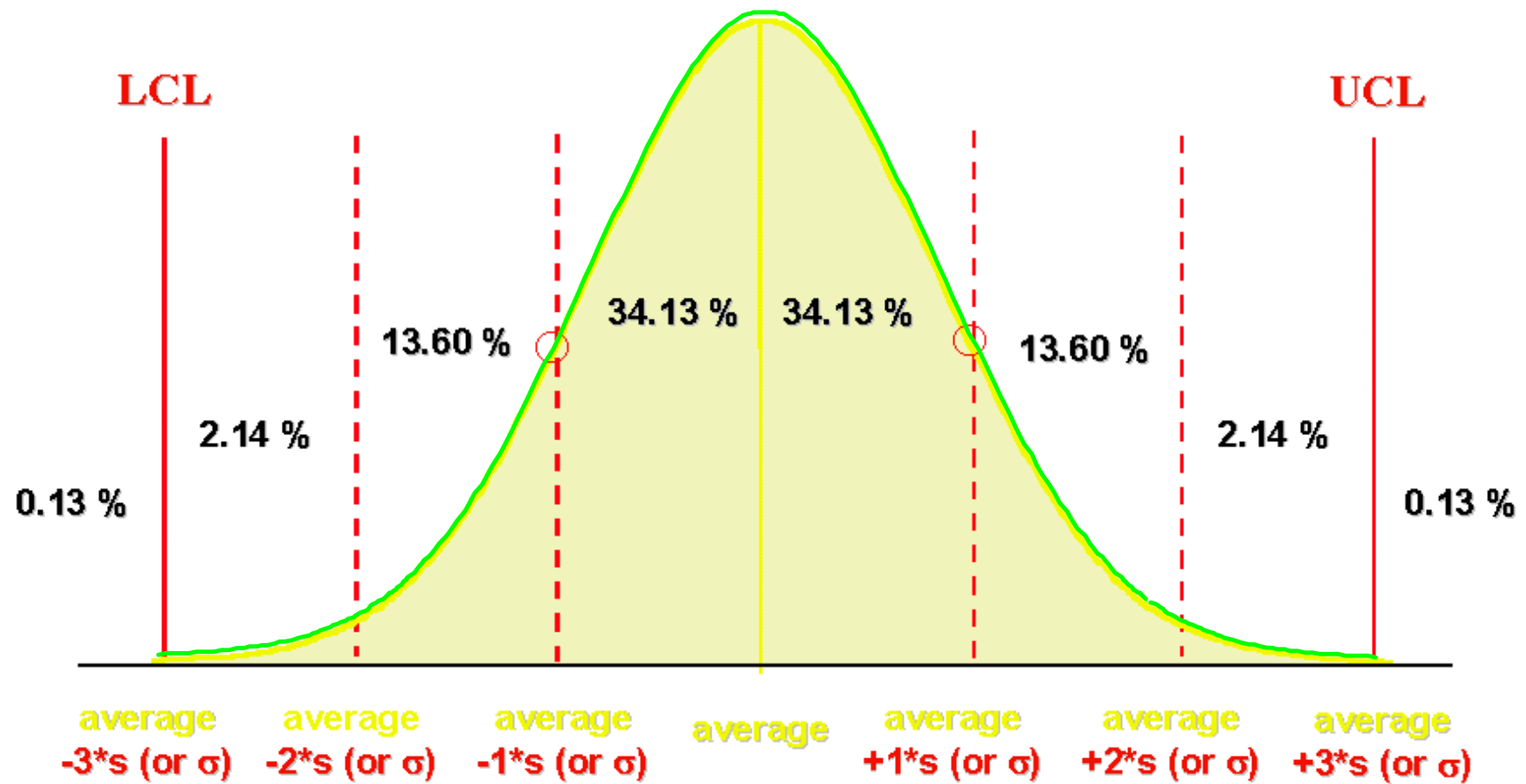
$\sigma$  = Population standard deviation

## “Sample Statistics”

$\bar{x}$  = Sample mean

$s$  = Sample standard deviation

# The Normal Distribution



# What Measurements Can Be Used to Describe a Process or System ?

- $\mu$  (mü), a **measure of central tendency**, is the **mean or average** of all values in the population. When only a sample of the population is being described, mean is more properly denoted as  $\bar{x}$  (x-bar):

$$\bar{x} = \frac{x_1 + x_2 + \dots + x_N}{N}$$

- mean (average)  $\bar{x}$  or  $\bar{\mu}$  describes the location of the distribution

**Example:**  $x_1 = 5$   $x_2 = 7$   $x_3 = 4$   $x_4 = 2$   $x_5 = 6$

$$\bar{x} = \frac{5 + 7 + 4 + 2 + 6}{5} = \frac{24}{5} = 4.8$$

# What Measurements Can Be Used to Describe Process variation?

- The most simple measure of variability is the range. The range of a sample is defined by as the difference between the largest and the smallest observation from samples in a sub-group, e.g. 5 consecutive parts from the manufacturing process.

$$R = \max(x_1, x_2, \dots, x_N) - \min(x_1, x_2, \dots, x_N)$$

**Example:**  $x_1 = 5$   $x_2 = 7$   $x_3 = 4$   $x_4 = 2$   $x_5 = 6$

$$R = \max(5, 7, 4, 2, 6) - \min(5, 7, 4, 2, 6) = 7 - 2 = 5$$

# What Measurements Can Be Used to Describe Process variation?

- $s_{ST}$  – often notated as  $\sigma$  or sigma, is another measure of dispersion or variability and stands for “short-term standard deviation”, which measures the variability of a process or system using “rational” sub-grouping.

$$s_{ST} = \left( \sum_{j=1}^N R_j / N \right) / d_2^* = \bar{R} / d_2^*$$

where  $R_j = X_j^{\max} - X_j^{\min}$   
is the *range* of subgroup  $j$ ,  $N$  the number of subgroups, and  $d_2^*$  depends on the number  $N$  of subgroups and the size  $n$  of a subgroup (see next slide)

## d<sub>2</sub>\* values for S<sub>ST</sub>

		n →																		
N	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	
1	1.414	1.906	2.237	2.474	2.669	2.827	2.961	3.076	3.178	3.268	3.348	3.423	3.490	3.552	3.610	3.663	3.713	3.760	3.805	
2	1.276	1.806	2.149	2.405	2.603	2.767	2.905	3.024	3.129	3.221	3.304	3.380	3.449	3.513	3.571	3.626	3.677	3.725	3.770	
3	1.227	1.767	2.120	2.379	2.580	2.746	2.886	3.006	3.112	3.205	3.289	3.365	3.435	3.499	3.558	3.613	3.665	3.713	3.759	
4	1.206	1.749	2.105	2.365	2.569	2.736	2.876	2.997	3.104	3.197	3.281	3.358	3.428	3.492	3.552	3.607	3.659	3.707	3.753	
5	1.189	1.738	2.096	2.353	2.562	2.729	2.870	2.992	3.098	3.192	3.276	3.354	3.424	3.488	3.548	3.603	3.655	3.704	3.749	
6	1.179	1.731	2.090	2.353	2.557															
7	1.172	1.726	2.086	2.349	2.554															
8	1.167	1.722	2.082	2.346	2.552															
9	1.163	1.718	2.080	2.344	2.550															
10	1.159	1.716	2.078	2.342	2.548															
11	1.157	1.714	2.076	2.339	2.547															
12	1.154	1.712	2.075	2.339	2.546															
13	1.152	1.711	2.073	2.338	2.545															
14	1.151	1.709	2.072	2.337	2.544															
15	1.149	1.708	2.071	2.337	2.543															
16	1.148	1.707	2.071	2.336	2.543															
17	1.147	1.707	2.070	2.335	2.542															
18	1.145	1.706	2.069	2.335	2.542															
19	1.145	1.705	2.069	2.334	2.541															
20	1.144	1.705	2.068	2.334	2.541															
21	1.143	1.704	2.068	2.333	2.541															
22	1.143	1.704	2.068	2.333	2.540															
23	1.142	1.703	2.067	2.333	2.540															
24	1.141	1.703	2.067	2.333	2.540															
25	1.141	1.702	2.066	2.332	2.540															
∞	1.128	1.693	2.059	2.326	2.534	2.704	2.847	2.970	3.078	3.173	3.258	3.336	3.407	3.472	3.532	3.588	3.641	3.689	3.735	

**d<sub>2</sub>\***

**d<sub>2</sub>**

**Typical:  
N=20 &  
n=5**

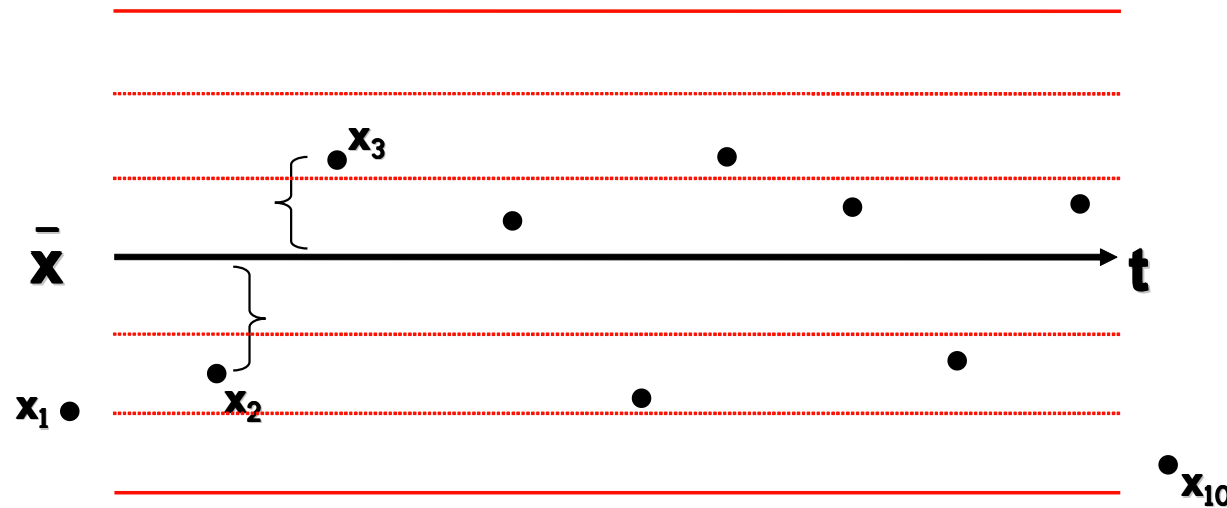
d<sub>2</sub>\*

d<sub>2</sub>

Typical:  
N=20 &  
n=5

Where: N = no. of sub-groups, n = no. of samples in each sub-g

# What Measurements Can Be Used to Describe Process variation?



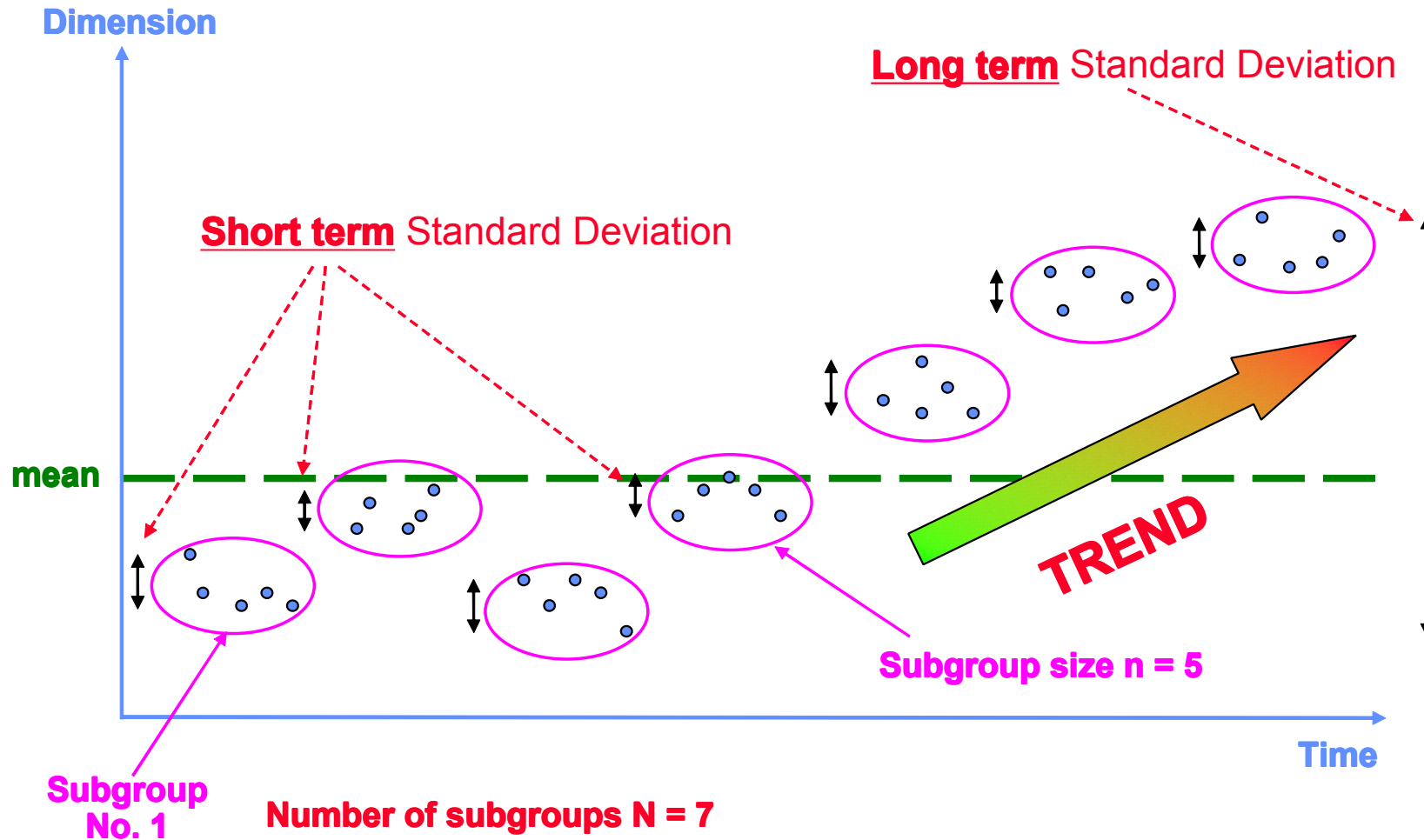
$$s_{LT} = \sqrt{s_{LT}^2} = \sqrt{\frac{(x_1 - \bar{x})^2 + (x_2 - \bar{x})^2 + \dots + (x_N - \bar{x})^2}{N-1}}$$

**Example:**

$$s_{LT} = \sqrt{\frac{(5 - 4.8)^2 + (7 - 4.8)^2 + (4 - 4.8)^2 + (2 - 4.8)^2 + (6 - 4.8)^2}{5 - 1}}$$

$$= \sqrt{3.7} = 1.92$$

# The Difference Between $S_{ST}$ and $s_{LT}$ !!





# The difference between $s_{ST}$ and $s_{LT}$

Long-term standard deviation :

$$s_{LT} = \sqrt{\frac{(x_1 - \bar{x})^2 + (x_2 - \bar{x})^2 + \dots + (x_N - \bar{x})^2}{N - 1}}$$

Short-term standard deviation :

$$s_{ST} = \left( \sum_{j=1}^N R_j / N \right) / d_2^* = \bar{R} / d_2^*$$

The difference between the standard deviations  $s_{LT}$  and  $s_{ST}$  gives an indication of how much better one can do when using appropriate production control, like **Statistical Process Control (SPC)**.

## The difference between $s_{ST}$ and $s_{LT}$

- The difference between  $s_{LT}$  and  $s_{ST}$  is **only** in the way that the standard deviation is calculated
- $s_{LT}$  is always the same or larger than  $s_{ST}$
- If  $s_{LT}$  equals  $s_{ST}$ , then the process control over the longer-term is the same as the short-term, and the process would **not** benefit from SPC
- If  $s_{LT}$  is larger than  $s_{ST}$ , then the process has lost control over the longer-term, and the process would benefit from SPC
- The reliability of  $s_{LT}$  is improved if the data is taken over a longer period of time. Alternatively  $s_{LT}$  can be calculated on several occasions separated by time and the results compared to see whether  $s_{LT}$  is stable

# Exercise 1: Sample Distributions

1. In Excel file "*Data exercise 1.xls*" you find 100 measurements being the result of a capability study. The specification for the dimension is  $15,16 \pm ,01$
2. How well does the sample population fit the specification, e.g. should we expect any parts outside spec?
3. Mention possible consequences of having a part outside spec .
4. Mention possible causes of variation for parts.
5. Calculate the sample mean and sample standard deviation for the 100 measurements. Use the **average** and stdev functions Excel.

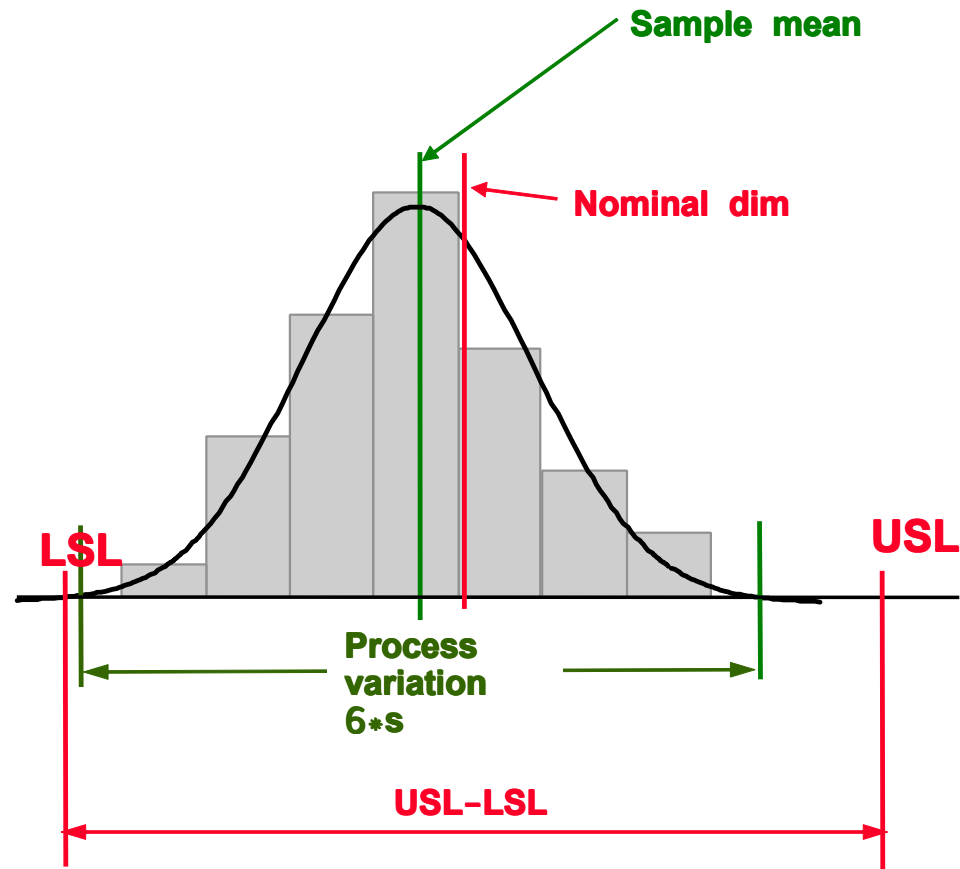
# **Section 3.**

## **Cp and Cpk Concept**

# Defining Cp and Pp

$$C_p = \frac{USL - LSL}{6 * s_{st}}$$

$$P_p = \frac{USL - LSL}{6 * s_{lt}}$$

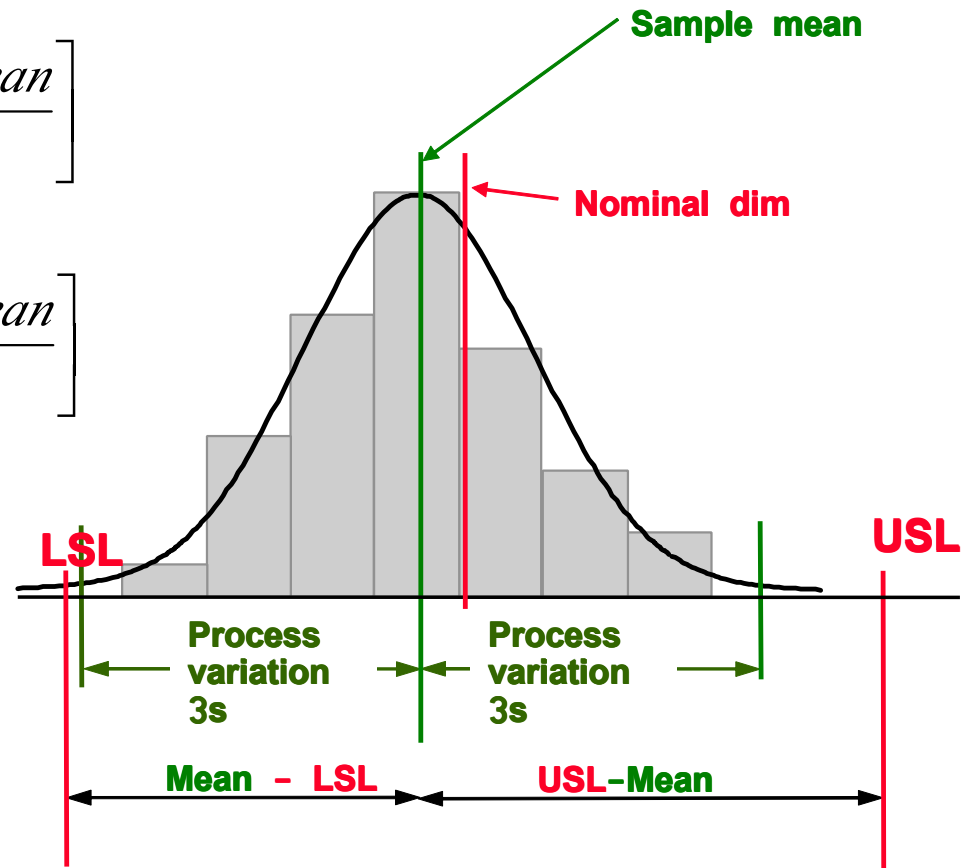


**The tolerance area divided by the total process variation, irrespective of process centring.**

# Defining Cpk and Ppk

$$C_{pk} = \min \left[ \frac{\text{mean} - LSL}{3 * s_{st}}, \frac{USL - \text{mean}}{3 * s_{st}} \right]$$

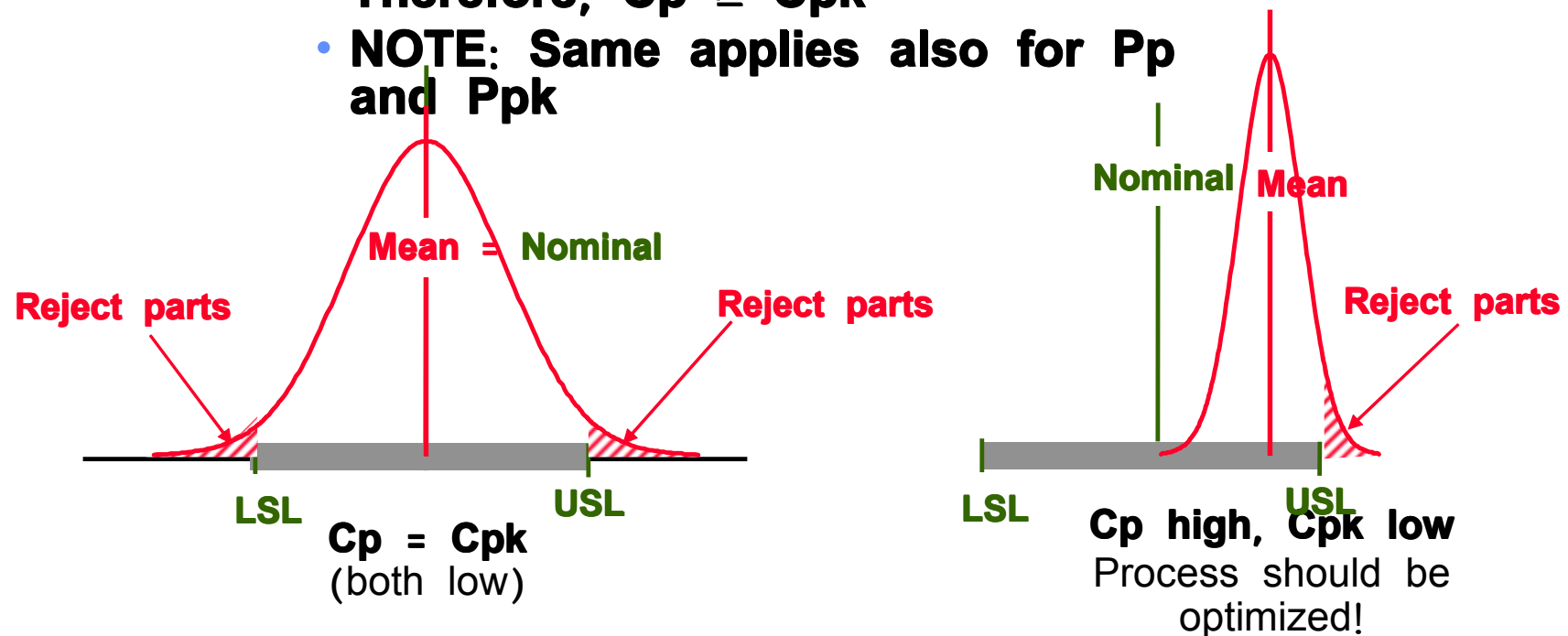
$$P_{pk} = \min \left[ \frac{\text{mean} - LSL}{3 * s_{lt}}, \frac{USL - \text{mean}}{3 * s_{lt}} \right]$$



**C<sub>pk</sub> and P<sub>pk</sub> Indexes account also for process centring.**

# What is the Difference Between Cp and Cpk?

- The Cp index only accounts for process variability
- The Cpk Index accounts for process variability and centering of the process mean to the design nominal
- Therefore,  $Cp \geq Cpk$
- NOTE: Same applies also for Pp and Ppk



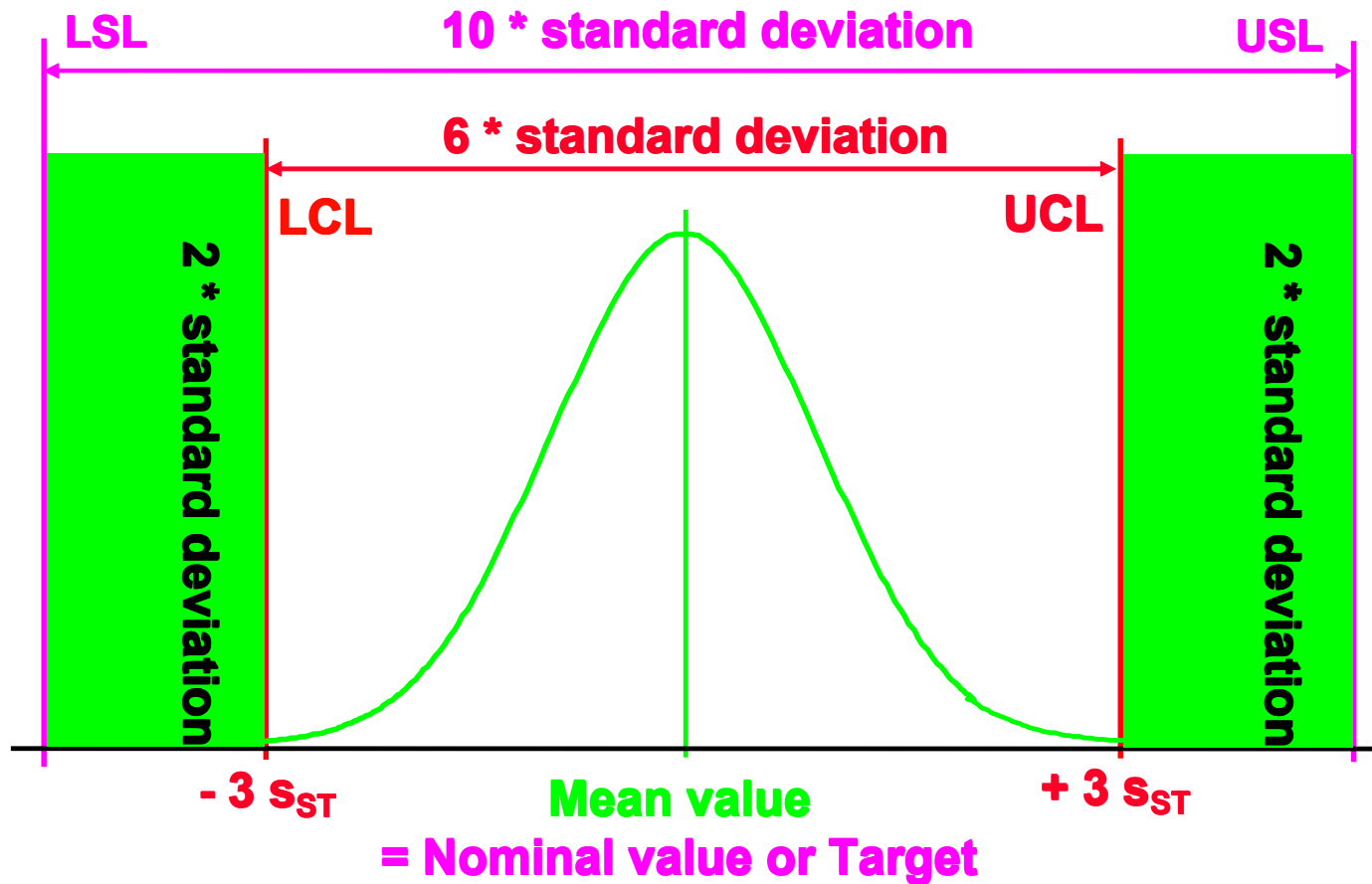
# What Do These Indexes Tell Us ??

- Simple numerical values to describe the quality of the process >> **The higher the number the better**
- **Requirement for  $C_p$  and  $C_{pk}$  is 1.67 min.**
- **Recommendation for  $P_p$  and  $P_{pk}$  is 1.33 min.**
  - This leaves us some space for the variation, i.e. a safety margin
  - Are we able to improve our process by using SPC?
- If index is low, following things should be given a thought:
  - Is the product design OK?
    - Are tolerance limits set correctly?
    - Too tight?
- Is the process capable of producing good quality products?  
Process variation? DOE required?
- Is the measuring system capable? (See Gage R&R)

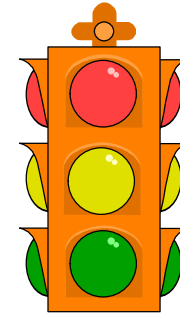


# **$C_{pk}$ - With a 2-sigma safety margin**

- Requirement for  $C_p$  and  $C_{pk}$  is **1.67 min.** 1.67 is a ratio of = 5/3 or 10/6.



# Acceptability of $C_{pk}$ Index



- $C_{pk} < 1.67$  the process **NOT CAPABLE**
- $C_{pk} \geq 1.67$  the process is **CAPABLE**
- $C_{pk} \geq 2.0$  the process has reached **Six Sigma** level

# What Do These Indexes Tell Us ??

- If  $C_p = C_{pk}$ ,
  - If  $P_p = P_{pk}$ , ... then process **perfectly centred**
- 

- If  $C_{pk} < C_p$ , ... then process **not centred** (check process mean against design nominal)
  - If  $P_{pk} < P_p$ ,
- 

- If  $C_p = P_p$ , ... then process is not affected by special causes
  - If  $C_{pk} = P_{pk}$ , during the study run. SPC would **not be effective** in this case
- 

- If  $P_p < C_p$ , ... then process is affected by special causes.
- If  $P_{pk} < C_{pk}$ , Investigate X-bar/R-chart for out-of-control conditions. SPC **may be effective**

# Cp and Cpk Indices and Defects

*(both tails of the normal distribution)*

Cpk / Ppk										Cp/Pp											
	0.1	0.2	0.3	0.4	0.5	0.6	0.7	0.8	0.9	1.0	1.1	1.2	1.33	1.4	1.5	1.67	2.0	2.5	3.0	4.0	% / PPM
0.1	76.42	56.61	44.89	40.00	38.56	38.26	38.21	38.21	38.21	38.21	38.21	38.21	38.21	38.21	38.21	38.21	38.21	38.21	38.21	38.21	%
0.2		54.86	38.93	31.02	28.25	27.56	27.44	27.43	27.43	27.43	27.43	27.43	27.43	27.43	27.43	27.43	27.43	27.43	27.43	27.43	%
0.3			36.81	25.09	20.19	18.75	18.45	18.41	18.41	18.41	18.41	18.41	18.41	18.41	18.41	18.41	18.41	18.41	18.41	18.41	%
0.4				23.01	15.10	12.33	11.64	11.52	11.51	11.51	11.51	11.51	11.51	11.51	11.51	11.51	11.51	11.51	11.51	11.51	%
0.5					13.36	8.47	7.03	6.73	6.69	6.68	6.68	6.68	6.68	6.68	6.68	6.68	6.68	6.68	6.68	6.68	%
0.6						7.19	4.41	3.73	3.61	3.59	3.59	3.59	3.59	3.59	3.59	3.59	3.59	3.59	3.59	3.59	%
0.7							3.57	2.13	1.83	1.79	1.79	1.79	1.79	1.79	1.79	1.79	1.79	1.79	1.79	1.79	%
0.8								1.64	0.95	0.84	0.82	0.82	0.82	0.82	0.82	0.82	0.82	0.82	0.82	0.82	%
0.9									0.69	0.40	0.35	0.35	0.35	0.35	0.35	0.35	0.35	0.35	0.35	0.35	%
1.0										2700	1509	1363	1350	1350	1350	1350	1350	1350	1350	1350	PPM
1.1											967	532	485	484	483	483	483	483	483	483	PPM
1.2												318	165	160	159	159	159	159	159	159	PPM
1.33													63	38	33	33	32	32	32	32	PPM
1.4														27	14	13	13	13	13	13	PPM
1.5															7	3	3	3	3	3	PPM
1.67																0.6	0.3	0.3	0.3	0.3	PPM
2.0																	0.0	0.0	0.0	0.0	PPM
2.5																		0.0	0.0	0.0	PPM
3.0																			0.0	0.0	PPM
4.0																				0.0	PPM

Pp=Ppk=1,33  
→ 63 ppm defects = 0,006%

Cp=Cpk=1,67  
→ 0,6 ppm defects = 0,00006%

**Note:** Ppm reject rates calculated from Cp & Cpk are based on the short term variation which may not represent the long term reject rate

# The Effects of Cpk and Cp on FFR

Cpk	Cp	Ppm defects	Total number of defects for 50,000,000 parts	Total number of defects if phone has 10 of these parts
0.8	1.33	8,200	410,000	4,100,000
1	1.33	1,350	67,500	675,000
1.33	1.33	63	3,150	31,500
1.33	1.67	33	1,650	16,500
1.50	1.50	7	350	3,500
1.67	1.67	1	30	300
2.00	2.00	0	0	1

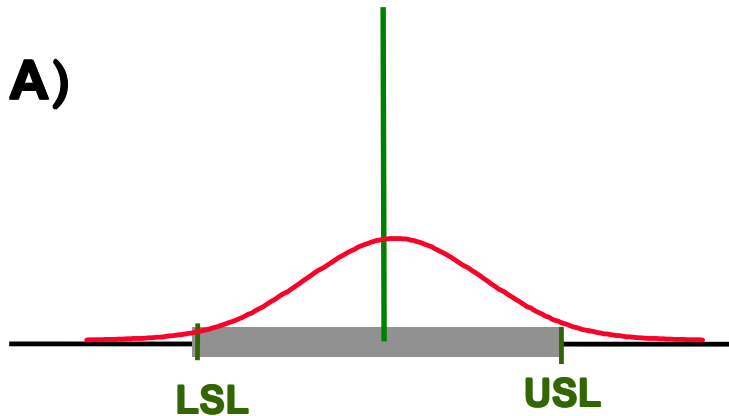
## Exercise 2: Cp and Cpk

- Calculate Cp and Cpk for the 100 measurements in the file "*Data exercise 1.xls*"
- Determine the approximate Cp and Cpk for the 4 sample populations on the following page
- Should actions be made to improve these processes. If yes, which?

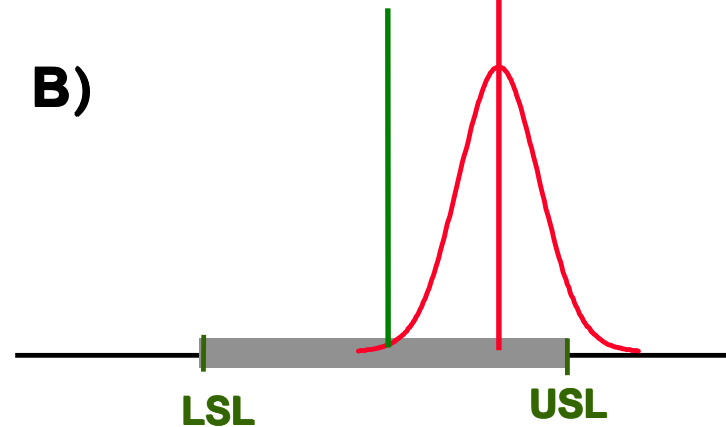
# Estimate Cp and Cpk?

The width of the normal distributions shown include  $\pm 3*s$

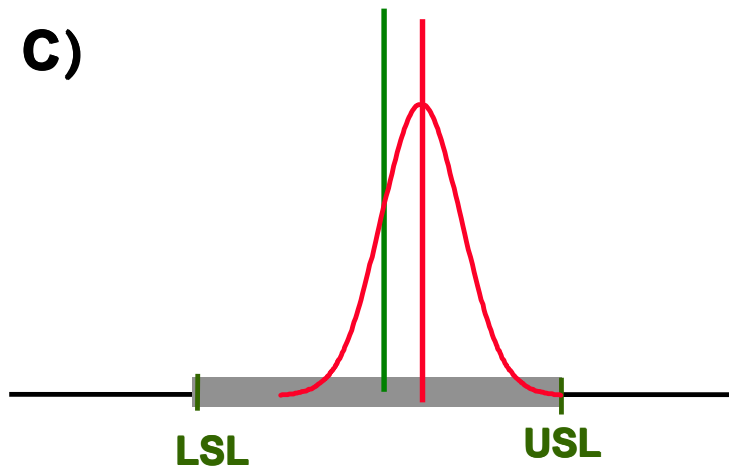
A)



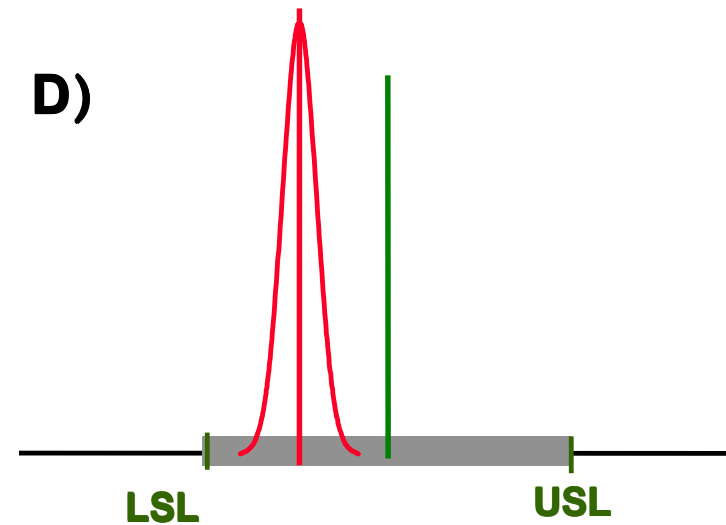
B)



C)

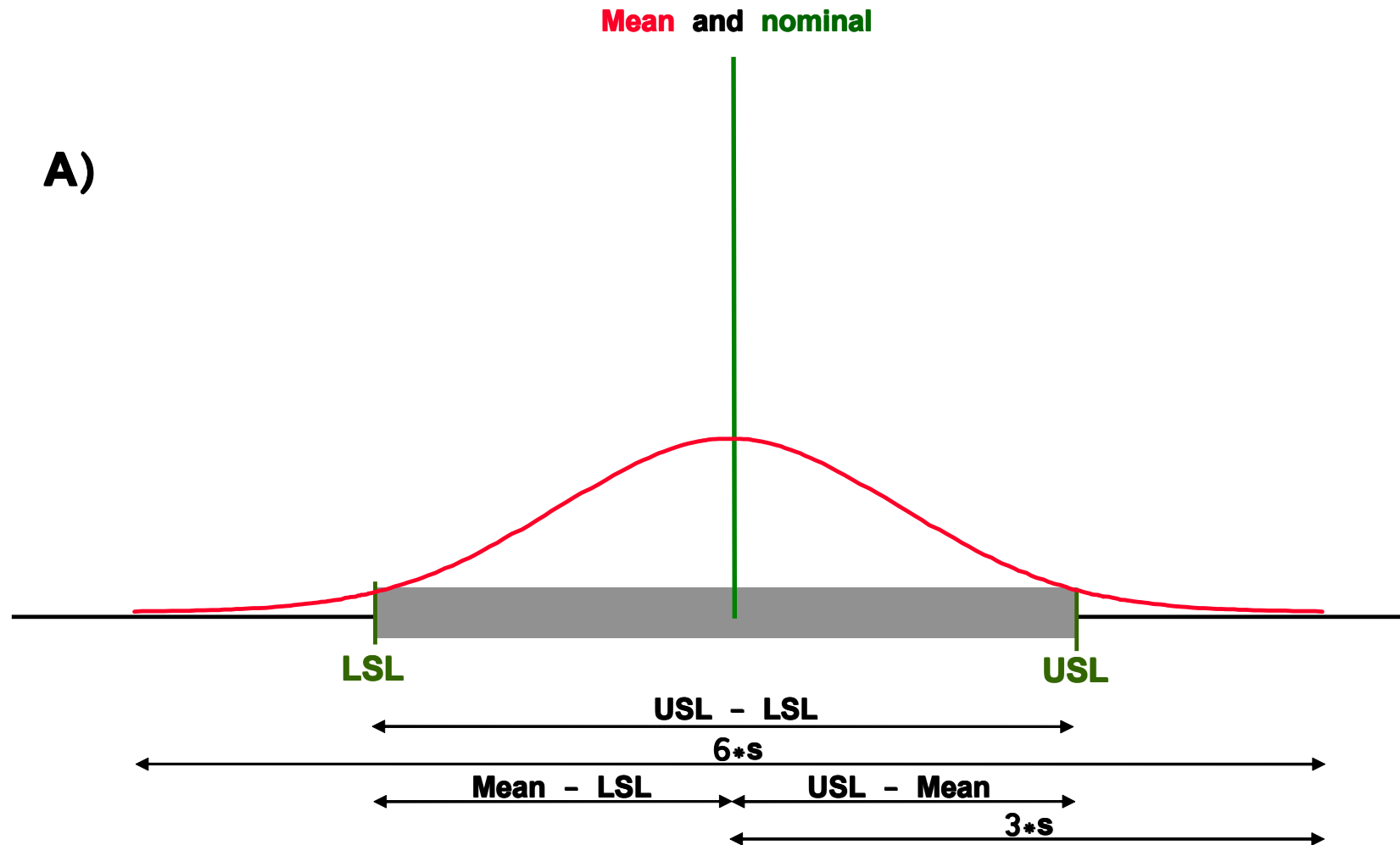


D)



# Estimate Cp and Cpk? - A)

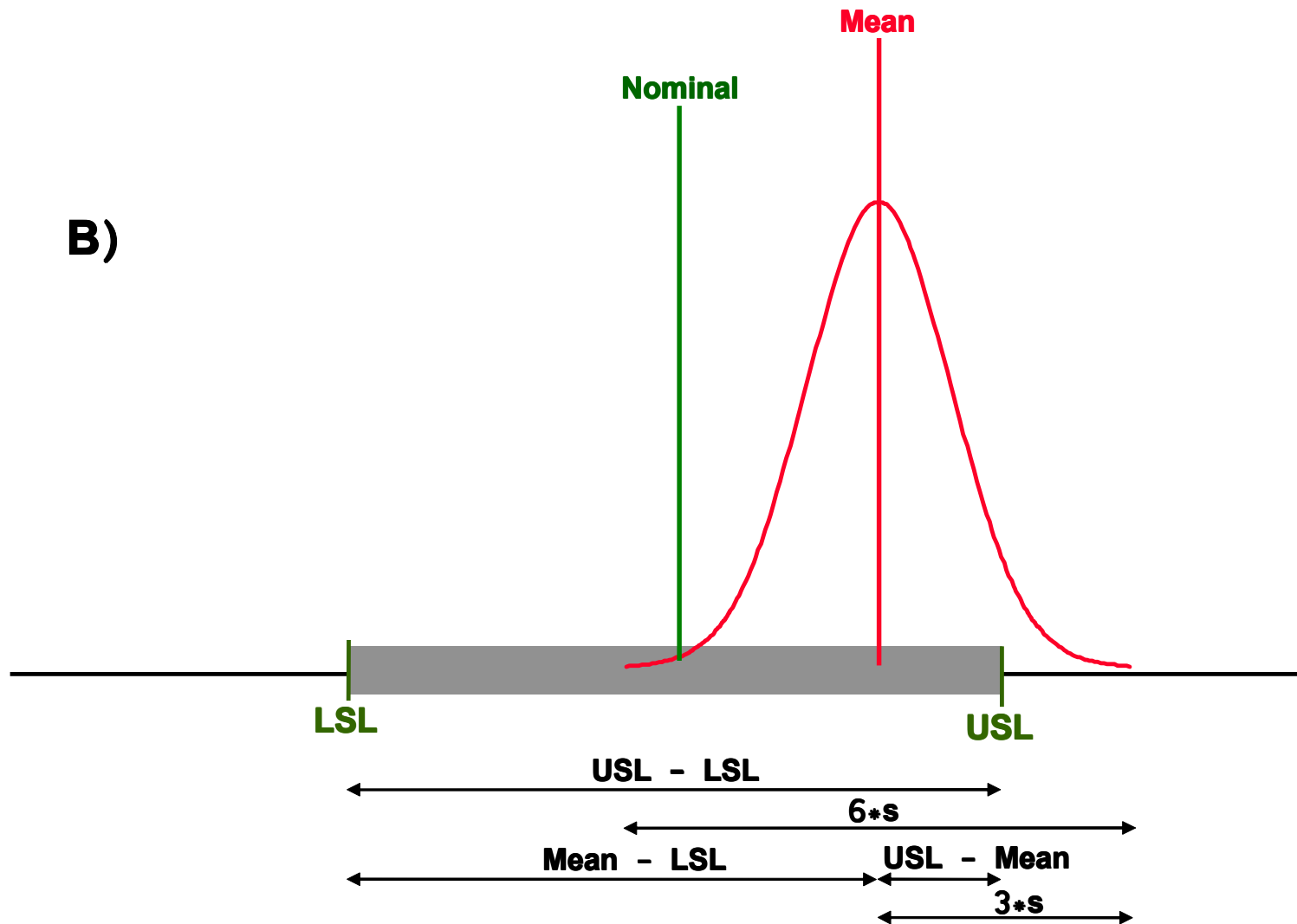
A)





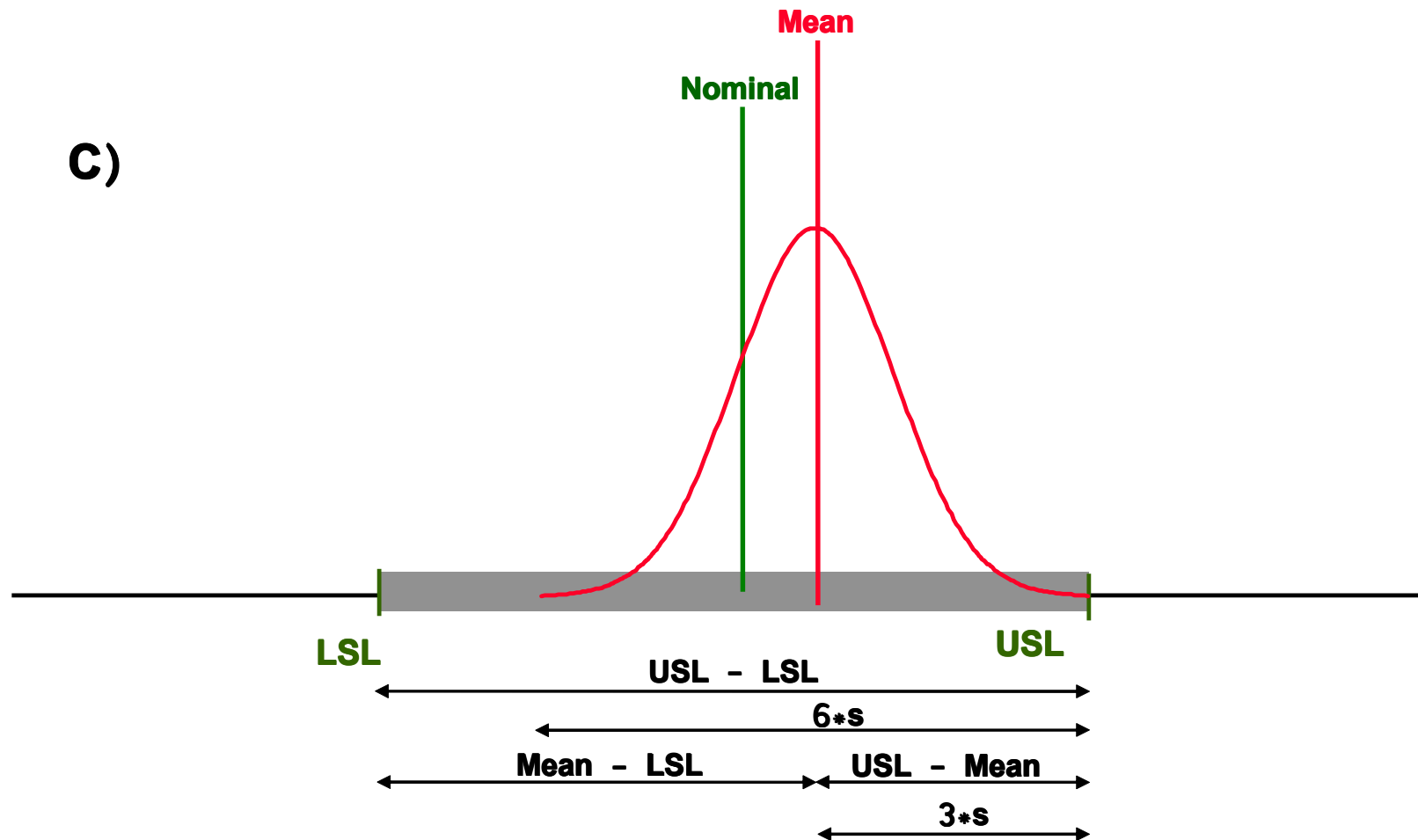
## Estimate Cp and Cpk? - B)

B)



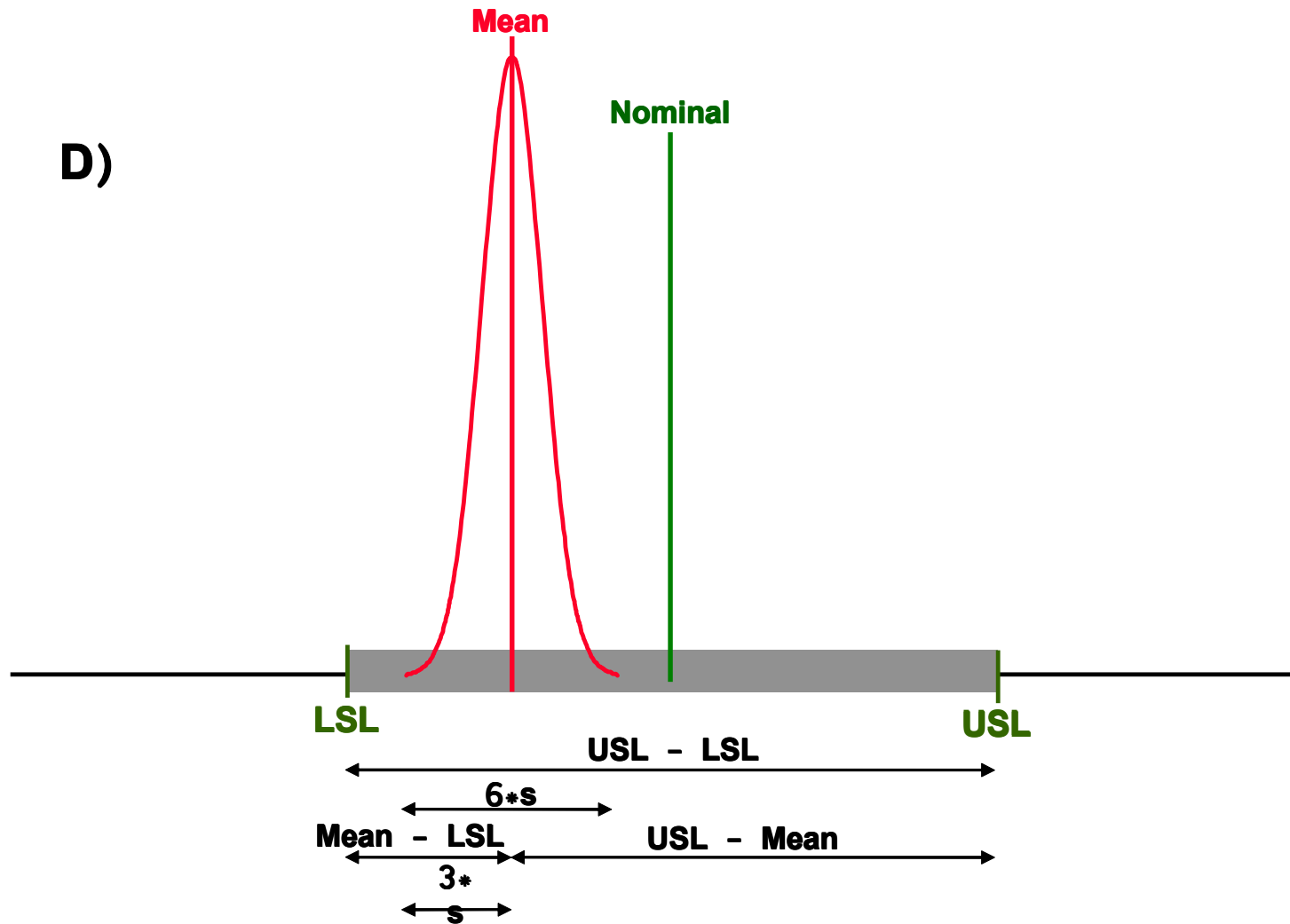
## Estimate Cp and Cpk? - C)

C)



# Estimate Cp and Cpk? - D

D)



## **Section 4.**

# **Use of the NMP Data Collection Spreadsheet**

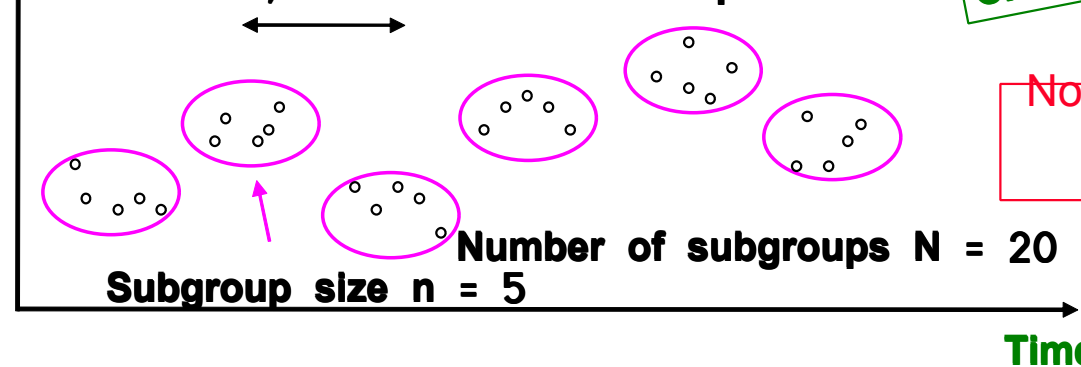
# Example of how to Collect Data

1. Run in and stabilise process
2. Note the main parameters for reference
3. When the process is stable run the tool for 10 hours
3. Take 5 parts out from each cavity every half hour and mark them with time, date and cavity. Total 20 sets of 5 parts from each cavity must be made, or according to agreement.
4. After the last sample lot note the main process parameters for reference
5. Measure and record the main functional characteristics (white diamonds)
6. Fill data into the NMP data collection spreadsheet
7. Analyse!

See DMY 00019-EN  
Classification and Marking  
of Functional  
Characteristics

Dimension

0,5 hours between samples taken



Note: For clarity, only  
6 subgroups are  
shown

# Data Collection Sheet (DMM00024-EN-5.0)

DMM00024-EN - V5.0		HELP		Back to data sheet		REPORT No:		11			
Supplier	Top Company	<b>Notes:</b> Notes are to be filled if something special happened during the trial (parameters were changed, etc.) If two or more dimensions are the same on the drawing, clarify here by giving grid references or description of dimensions to avoid confusion.									
Date	30/12/97										
Item Name	Nice part										
Drawing Number	DMD98765										
Part Code	9459876										
Machine Number	1442										
Tool Number	1522										
Cavity Number	1-1										
Material	PC ABS Cyclopol C1000 HF										
		Dim 1	Dim 2	Dim 3	Dim 4	Dim 5	Dim 6	Dim 7	Dim 8	Dim 9	Dim 10
<b>OK / NOT OK</b>		<b>Not OK</b>									
Cp											
<b>Cpk</b>	<b>1.67</b>										
95% Upper Confidence Limit (Cpk)											
95% Lower Confidence Limit (Cpk)											
Cpm											
<b>OK / NOT OK</b>		<b>OK</b>									
Pp											
<b>Ppk</b>	<b>1.33</b>										
Max											
Min											
Range											
<b>Mean</b>											
Short											
Long Term Standard Deviation											
Gauge I.D.											
Total Gauge R&R (% Contribution)											
Operator (Inspector)											
Nominal dimension		105.80	99.90	118.60	58.00	152.50	105.80	105.80	99.90	105.80	105.80
Upper specification limit (USL)		105.90	100.00	118.70	58.10	152.60	105.90	105.90	100.00	105.90	105.90
Lower specification limit (LSL)		105.70	99.80	118.50	57.90	152.40	105.70	105.70	99.80	105.70	105.70
Set table size		Create chart				Import Datasheet				Export Datasheet	
Date	Time	Dim 1	Dim 2	Dim 3	Dim 4	Dim 5	Dim 6	Dim 7	Dim 8	Dim 9	Dim 10
01/02/98	09:30	105.80	100.00	118.60	58.00	152.50	105.80	105.80	99.90	105.80	105.80
To set table size click this button - how many samples are there in a subgroup? - how many subgroups are there?		Click 'Create Chart' button to view results in graphical format. (See <i>Graphs</i> tab).		Check that the data area is correctly filled.		If you want to <b>COPY</b> data from a previously exported file into the datasheet, click ' <i>Import Datasheet</i> '. <b>WARNING:</b> Imported data will overwrite existing data in datasheet		If you want to create a separate file with <b>ONLY</b> the data in it, click this button.			
01/02/98	10:30	105.80	100.01	118.59		152.47	105.70	105.60	100.01	105.83	105.81

# Data Collection Sheet (DMM00024-EN-5.0)

	Dim 1	Dim 2
<b>OK / NOT OK</b>		<b>Not OK</b>
Cp	2.24	3.51
<b>Cpk</b>	<b>1.67</b>	<b>1.19</b>
95% Upper Confidence Limit (Cpk)	2.51	1.37
95% Lower Confidence Limit (Cpk)	1.88	1.01
Cpm		
<b>OK / NOT OK</b>		<b>Not OK</b>
Pp	1.93	1.62
<b>Ppk</b>	<b>1.33</b>	<b>0.55</b>
Max	105.850	100.010
Min	105.750	99.930
Range	0.100	0.080
<b>Mean</b>	<b>105.802</b>	<b>99.966</b>
Short Term Standard Deviation	0.015	0.009
Long Term Standard Deviation	0.017	0.021
Gauge I.D.	Scope	Scope
Total Gauge R&R (% Contribution)	15	11
Operator (Inspector)	JTL	JTL
Nominal dimension	105.80	99.90
Upper specification limit (USL)	105.90	100.00
Lower specification limit (LSL)	105.70	99.80
<b>Set table size</b>	<b>Create chart</b>	
<b>Date</b>	<b>Time</b>	<b>Dim 1</b>
<b>13/06/01</b>	<b>08:00</b>	<b>Dim 2</b>
<b>Subgroup 1</b>		
	105.800	100.000
	105.810	100.000
	105.800	100.000
	105.800	100.010

# Graphical Presentation: Histogram

- What kind of distribution?

Location versus tolerance area

Width (deviation)

- Example :  $C_p = 2.59$   $P_p = 1.86$
- $C_{pk} = 0.88$   $P_{pk} = 0.63$

HELP Sheet

Back to data sheet

Back to graphs

LSL = 57.90  
Nominal = 58.00  
USL = 58.10

Dim 4

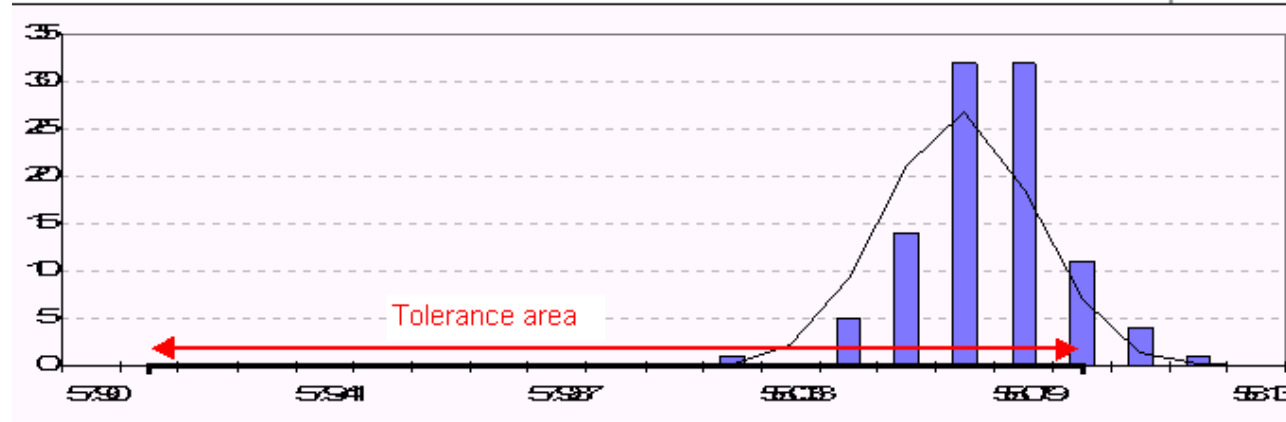
Mean = 58.07  
St Dev (st) = 0.013

$C_p = 2.59$   
 $C_{pk} = 0.88$

Top Company

N = 25  
n = 4  
Ppm below LSL 0  
Ppm above USL 11241

HISTOGRAM



Data MUST be normally distributed for ppm figures to be valid.

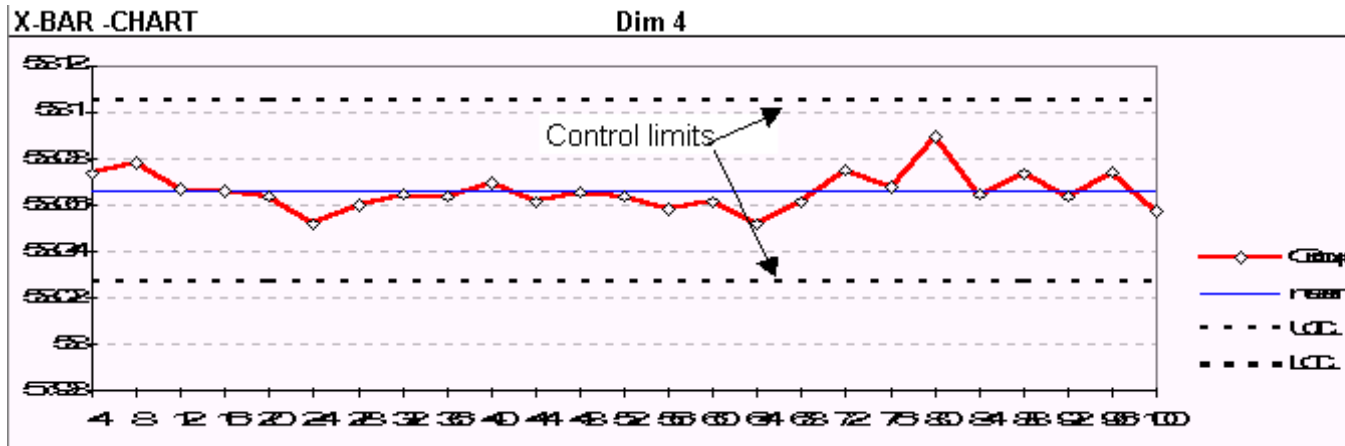
HISTOGRAM

Is the distribution well centered?  
How wide is the distribution compared with the tolerance area?



# Graphical Presentation: X-bar and R-Chart

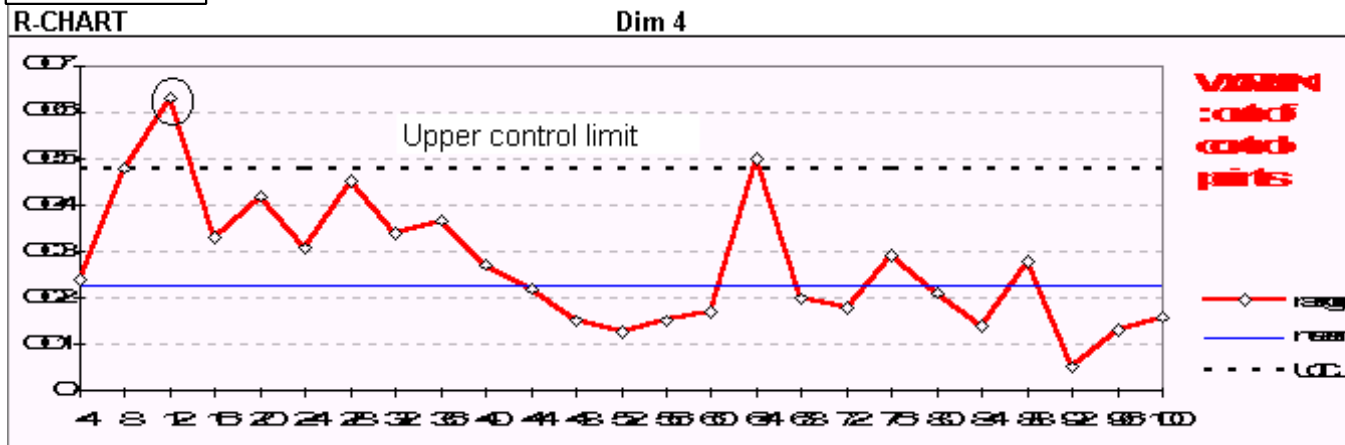
## X-Bar Chart



**X-BAR CHART -**  
Averages of the subgroups over time.

Check for trends, unexplained changes, out of control points, etc.

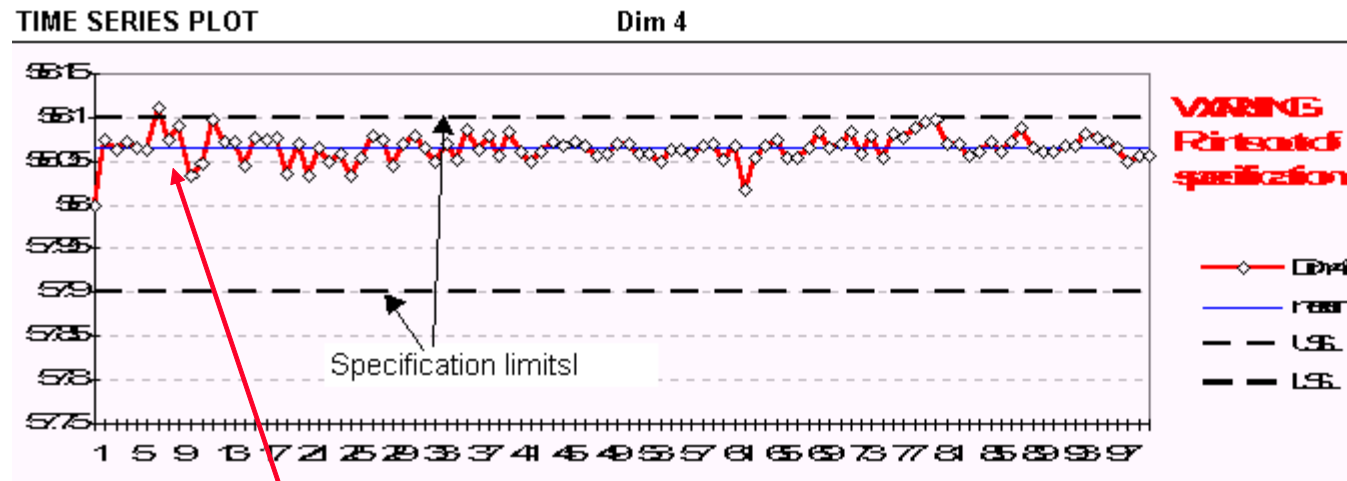
## R-Chart



**R CHART -**  
Ranges within the subgroups over time

Check for unexplained changes, out of control points, etc.  
The lower the better.

# Graphical Presentation - Time Series Plot



**Time series plot** - Each measurement plotted over time

Check for trends, sudden changes, out of specification points, etc.

**Something happened here !!!**

## Exercise 3: Cpk Data Spreadsheet

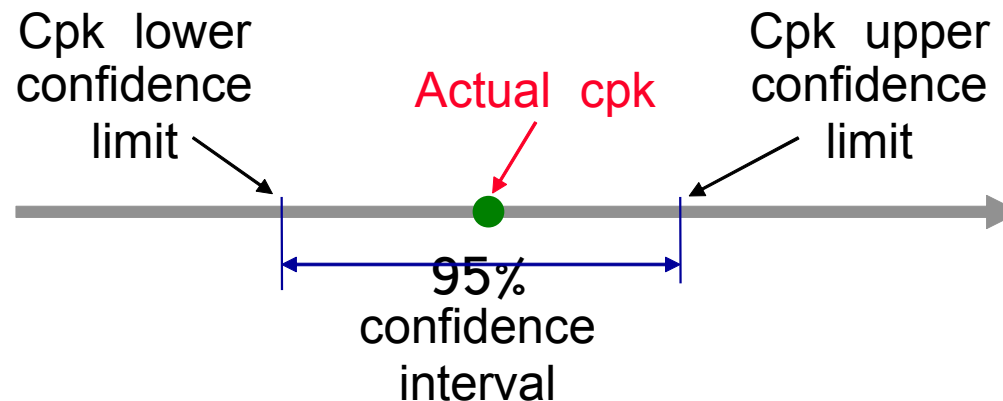
- **Open spreadsheet "*Data exercise 3.xls*". Dim 13 is identical to the data from the previous exercises.**
- **Verify the results from the previous exercises for dimension 13.**
- **Analyse the remaining data sets and comment the process, should any actions be made? Remember to create and look at the charts.**

# **Section 5.**

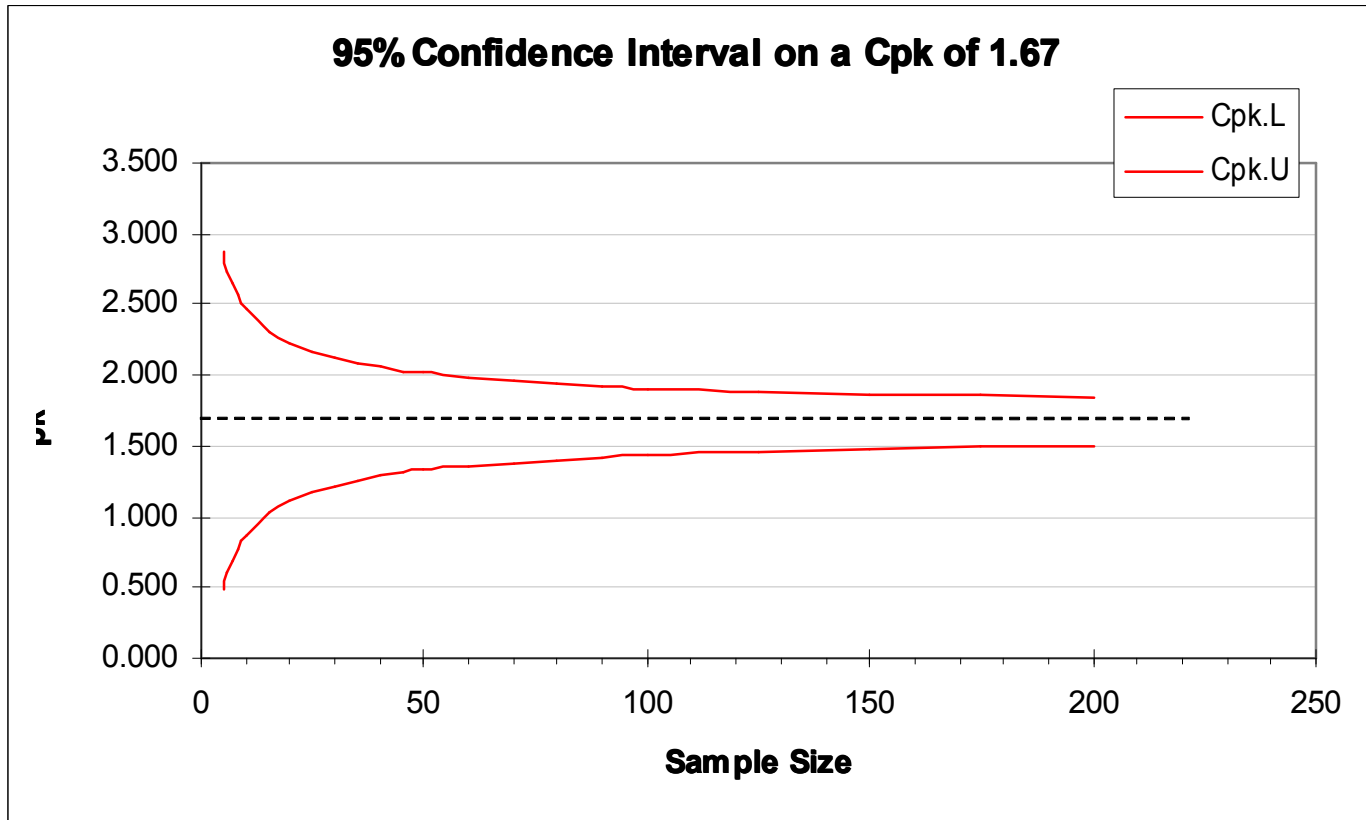
## **Confidence of Cpk**

# Confidence of Cpk

- Cpk values are not definite numbers as they are based on relatively small samples of a population.
- The 95% confidence interval determines the interval which includes the true Cpk value with a probability of 95%, i.e. "there is a probability of 5% that Cpk is either lower or higher" than this confidence interval.



# Confidence of Cpk



Small sample sizes gives wide confidence intervals

# Cpk Confidence Limits with a sample size of 100 and a nominal Cpk of 1.67

Calculated Cpk

1.67

Change the values on this row

95% Confidence by Sample Size				95% Confidence, Predicted Tail Area		
Cpk	N	Cpk.L	Cpk.U	Lower Limit	Nominal	Upper Limit
1.67	5	0.476	2.864	0	0	76448 ppm
1.67	6	0.601	2.739	0	0	35663 ppm
1.67	7	0.693	2.647	0	0	18754 ppm
1.67	8	0.765	2.575	0	0	10846 ppm
1.67	9	0.823	2.517	0	0	6761 ppm
1.67	10	0.871	2.469	0	0	4475 ppm
1.67	15	1.029	2.311	0	0	1013 ppm
1.67	20	1.119	2.221	0	0	393 ppm
1.67	25	1.180	2.160	0	0	200 ppm
1.67	30	1.224	2.116	0	0	120 ppm
1.67	35	1.258	2.082	0	0	80 ppm
1.67	40	1.285	2.055	0	0	58 ppm
1.67	45	1.308	2.032	0	0	44 ppm
1.67	50	1.327	2.013	0	0	34 ppm
1.67	60	1.357	1.983	0	0	23 ppm
1.67	70	1.381	1.959	0	0	17 ppm
1.67	80	1.400	1.940	0	0	13 ppm
1.67	90	1.415	1.925	0	0	11 ppm
1.67	100	1.428	1.912	0	0	9 ppm