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Non-intrusive appliance load monitoring using breakpoint identification and external features

Bachelor thesis

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Abstract

Monitoring of home appliances provides useful information on how to improve user consumption habits and refine energy conservation. This information could also be used to reduce energy consumption overall. Many have tried to measure the power consumption per device using sub-meters at plug level, this however is impractical and economically infeasible. In this paper we explore the possibility of obtaining the state of devices from aggregate power consumption data using breakpoint identification with the help of neural networks. We will explore an existing non intrusive appliance load monitoring method and analyse how well it does on a standard data set as well as a data set containing the most frequently present devices in a household. We will try to improve this method by including external features like temperate, wind and weather data in the input feature and train on synthetic breakpoints. In addition to that we will explore the opportunity of generalizing this implementation across houses.

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CHAPTER 1

INTRODUCTION

Due to the increased electric energy demands over the last few years, more and more electric utilities are upgrading their traditional power grids to a more sophisticated, advanced and self-healing smart grid[1][2]. With the increasing presence of smart grids, it is now possible to manage, control and monitor the energy consumption on real time usage basis to enhance the efficiency or reduce the power consumption of a power system network.

Using appliance load monitoring techniques, information on the power consumption of individual devices can be gathered on a real time basis, which is useful for reducing the overall power consumption. Many intrusive techniques have been developed and implemented[3], but intrusive methods measure the power consumption of appliances on socket level, thus requiring a substantial amount of measuring equipment. This can be exceptionally costly and time consuming to implement on a large scale. Non intrusive appliance load monitoring (NIALM) techniques, using disaggregation techniques to gather the states of appliances from an aggregate energy signal, at most only require measuring equipment in the setup fase. This makes NIALM techniques more cost efficient and less time consuming than the alternative.

George Hart[4] was the first to publish an article on non intrusive appliance load monitoring. Hart made the distinction between manual setup non-intrusive appliance load monitoring (MS-NIALM) and automatic setup non-intrusive appliance load monitoring (AS-NIALM), where a MS-NIALM method would require a manual setup fase to make the implementation disaggregate the aggregate power consumption signal and an AS-NIALM method only needs to be "plugged-in" to the aggregate signal. Although AS-NIALM seems to have the most potential both cost wise and time wise, no existing implementation exists thus far[5]. Some research has been done on the subject and quite some neural network implementations seem to get some decent results[6][7], but seem to work only on a selection of large non-varying devices. A decent working implementation still needs to be found. For this reason, we will use a potential AS-NIALM method for our research.

More specifically, we will look at an existing neural network implementation which uses breakpoint identification to disaggregate their aggregate power consumption using low frequency data, proposed by Gao et al.[8]. Breakpoints are points in time where the state of an appliance is changed or in other words, when an appliance is turned on or off. This

implementation shows promising results for disaggregating both small and big devices, uses an input feature which can be extended to include improvement, utilizes low frequency data instead of high frequency data, which is easier to gather per household, and shows promise to be adapted as a AS-NIALM implantation, because this neural network trains on general features of the power signal. We will test this implementation on both the reference Energy Disaggregation Data Set (REDD)[9], to create a benchmark and proof that our implementation is at least partly similar to Gao's, and our own gathered data using the Plugwise measuring devices from V. Degeler[3]. In addition to that we would like to improve the implementation by incorporating weather data in the input features and test on the two data sets, as Gao mentions in the future work section: "Another direction would be to incorporate more features to improve the detection accuracy, such as temperature, humidity, and water usage". Next to that we will try to improve the implementation as whole, where the steps are combined together, by training on fake breakpoints. Lastly, the possibility of extending this method to an AS-NIALM implementation will be researched by training the neural network on REDD and testing on our own gathered studio data.

The structure of this article is organized as follows. Chapter 2 present the research questions and details about the implemented NIALM method. Chapter 3 presents prior work, external data sets and libraries related to appliance disaggregation. Chapter 4 describes how our own power consumption data was gathered, what devices were used and what other data was used in this research. In Chapter 5 we present the experiments on the neural network and in Chapter 6, 7 and 8 we conclude the article. Extra tables and figures corresponding to experiments can be found in the Appendix.

CHAPTER 2

PROPOSAL

2.1 RESEARCH QUESTIONS

We propose to improve an existing method for energy disaggregation capable of recognizing states of known devices from overall power consumption using low frequency power consumption data.

The implementation we will be using is the Gao's multilayer perceptron classifier[8] consisting of two neural nets, one to identify breakpoints and one to label each power consumption segment separated by breakpoints. We choose this implementation for several reasons. First of all, it presents very good results: an overall accuracy on the selected appliances of 91.2% using REDD[9].

Secondly, it uses an input feature which can be extended to include external features. Other non intrusive appliance load monitoring techniques like Kelly et al.[7] use the power signal itself as an input feature, making it nearly impossible to extend the feature input with any other data without changing the architecture.

Thirdly, it utilizes low frequency data instead of high frequency data. Implementations like that of Gillis et al.[10] need high frequency data to function. They test on a sampling rate of 15.36 kHz. Measuring equipment capable of measuring at this speed requires special instrumentation, while low frequency (1Hz) measuring devices are easier to come by[11]. Low frequency is thus more feasible for implementation on a large scale.

Finally, it shows promise to be adapted as an AS-NIALM implantation. Because of the fact that this neural network trains on general features of the power signal instead of the signal itself, it could be possible to apply this implementation to other households without first training on the present devices. A pre-trained model could in that case just be hooked up to the aggregate energy signal without needing a one time intrusive setup fase at all. It would reduce the cost and time of implementing this model in a household drastically. Therefore, we would like to test our implementation on generalizability from one set of households to another.

We will try to improve this implementation with the inclusion of temperature, wind and weather data in the input features. As mentioned by Gao et al.[8], Massidda et al.[6] and researched by MacMackin et al.[12] the power consumption of appliances like

fridges and air conditioners are influenced by temperature and weather and these factors could have an impact on the consumption patterns. In addition to that, lights might be turned on sooner when it is raining or cloudy outside. We will investigate to what proportion external factors like temperature, wind and weather data have an effect on the performance of appliance disaggregation by comparing the improved version to the original.

In addition to that we will train the neural network on synthetic breakpoints to try and improve the overall accuracy. The purpose of this improvement is to gain a better accuracy on the implementation as a whole, where all the steps are linked together. In this case, the second neural network will get the output of the first one as its input. But this input, the breakpoints in this case, could consist of false breakpoints. We speculate that if we train the second neural network on false breakpoints, it will be able to better predict the state of appliances even though no state has been changed. Normally, the segment labeler is trained and tested on input which always have distinct appliance states.

We will test this method on both a standardised data set, namely REDD[9], and on our own data set. We will create this data set with the goal of including more modern and regularly present devices in households. Since we do not have a way of measuring the aggregate data in this dataset and we will be using a subset of appliances in REDD, we need to find out if we can get this data by summing up the power consumption of the devices. We will undertake a small experiment to see whether we can do this.

To encapsulate this, we would like to find answers to the following research questions:

- Can the existing implementation be improved with inclusion of weather related external factors.
- Can the existing implementation be improved with training on fake breakpoints

To find an answer to the research questions, we must first find an answer to the following:

- How well does the combination of the two neural nets perform with and without improvements.
- How well does the implementation work on modern standard household appliances with and without improvements.
- To what extend do external factors have an effect on the result of the implementation.
- To what extend does training on fake breakpoints have effect on the accuracy of the two neural networks combined.
- How well will the implementation perform as an AS-NIALM method.

If a satisfying answer is found to a number of these research questions we will have the following results:

- A more accurate algorithm, written and tested in python, to produce more reliable results for appliance disaggregation.
- An implementation able to recognize unknown devices using a general data set.

2.2 PROBLEM FORMULATION

The problem of disaggregating an aggregate energy consumption signal can be formulated as follows:

$$y(t) = \sum_{n=1}^N y_n(t)$$

where $y(t)$ stands for the total power used at a certain point in time, t . $y_n(t)$ stands for the power consumption of appliance n and N for the amount of appliances. As we will show in the experiment of Chapter 5.1, $y(t)$ can be represented as the sum of the power consumption of all devices at a certain point in time.

The problem here is that we would like to retrieve the value of $y_n(t)$ knowing only $y(t)$. So we would like to get an approximation $M(y(t))$

$$M(y(t)) = [y_1(t), y_2(t), \dots, y_N(t)]$$

Where M returns N distinct values that represents the consumption of the different appliances. We are however only interested in the activation of the appliance and not the entire consumption. We thus need to define the appliance activation $x_n(t)$ as follows, where the value 1 represents the on state and 0 the off state:

$$x_n(t) = \begin{cases} 0 & y_n(t) \leq c_n \\ 1 & y_n(t) > c_n \end{cases}$$

Where c_n is stands for the power consumption at the off state. For many appliances this value will be 0, however appliances with a cubed power supply connected to it, like the living room lamp from our data set, still consume power while turned off. These cases are also referred to as vampire appliances [13].

Using this definition we can define an operator M_x which approximates the state of each appliance. The goal of a intrusive appliance load monitoring would be to realise a function like M or M_x , so that given an aggregate signal $y(t)$, a set of appliance consumption/states is returned.

$$M_x(y(t)) = [x_1(t), x_2(t), \dots, x_N(t)]$$

2.3 METHODOLOGY

The method we will be using to solve the previously defined problem is Gao's multilayer perceptron classifier[8]. This method uses two neural network classifiers:

The segmentation classifier, which we will refer to as the breakpoint identifier, and the segment labeling classifier, which we will refer to as the segment labeler.

This method works as follows: First the segmentation classifier breaks the signal up into segments, where a segment is a part of the aggregate energy signal where no appliances are turned on or off. This is done by identifying breakpoints, a point on the signal where a device has been turned on or off. These segments are then given to the segment labeler, which labels them as either: A non-appliance segment represented by the number 0 , a single appliance segment represented by an individual appliance label or a multi-appliance segment represented by the number $i + 1$, where i is the amount of appliances. The third step consists of disaggregating multi-appliance segments into individual appliances, by using the segment labeling classifier obtained from the second step, until no multi appliance segments remain.

feature	description
mean	Average power from the last breakpoint till the current breakpoint
delta	The absolute difference of power values between the current point and the previous point
label	A binary value indicating the breakpoint

Table 2.1: Features used for the breakpoint identifier

Breakpoint identifier

The first multilayer perceptron classifier in Gao's implementation is the breakpoint identifier. This neural network should be able to identify whether an appliance state has changed on a point on the signal. In other words if that specific point is a breakpoint. Using these breakpoints we can create segments, where each segment represents a different combination of activated appliances. For each point in the data set the classifier will output either a 1: "This point is a breakpoint", or a 0: "This point is not a breakpoint". The breakpoint identifier is trained based on the two features defined in table 2.1, that is, the mean power between this breakpoint and the last breakpoint and power difference (delta) between this and the previous point on the signal. The resulting feature will be the label indicating that this point in time is a breakpoint or not.

These features are extracted from the aggregated energy signal and the true breakpoints are extracted from the individual appliance consumption signals. Gao identified these true breakpoints manually, this is however unfeasible for large quantities of appliances and almost impossible to reproduce. Since we will test this implementation on 10 appliances, 6 more than was tested in the original paper, we decide to identify the breakpoints using a naive algorithm. This algorithm would detect when a appliance was turned on by checking if the current value is above a certain power threshold and the previous value is below the same power threshold for example. For most appliances this would produce correct results, since spikes are averaged out by the sample rate of 1 minute and appliances are only stated as on if they actually consume power. For pulsating appliances like the dishwasher, which consumes power in bursts, the definition of a correct breakpoint becomes a bit vague.

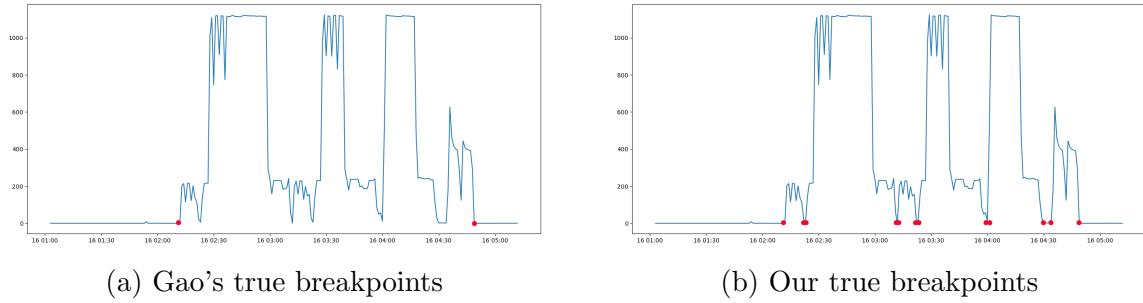


Figure 2.1: True breakpoint definitions examples for a part of dish washer

Take for example a slice of the data from the dishwasher at figure 2.1. If one were to manually identify breakpoints, one would probably go for Gao's, shown in figure 2.1a. However, one could also perceive the power consumption as an interval where the dish washer is turned off for a few minutes, before it consumes power again. This approach is shown in figure 2.1b. Testing both variations proved that the breakpoint identifier had a hard time disaggregating the second aproach, mainly due to the increased amount of breakpoints vs non-breakpoints in contrast to the original implementation, but proved to increase accuracy for the segment labeler. That is why, in addition to the ease of use for a larger number of appliances in a data set, we chose to implement the naive method shown in figure 2.1b.

feature	description
average	Average power of the current segment
min	Min power of the current segment
duration	The duration in seconds of the segment.
hour of day	The (mean) hour of day of the segment ranging from [1,24] indicating when the segment took place.
shape	1: a constant segment, 2: a step-down segment or a 3: a varying segment.
previous	The label of the previous segment.
*average temperature	Average temperature at the start of the segment
*wind	Wind speed at the start of the segment
*weather state	The state of the weather at the start of the segment that could be either 0:rain, 1:cloudy, 2:mist, or 3:sunny.
label	The label of each segment. 0: empty or phantom load, 1-N: the N individual appliances; N+1: multi appliance segments.

Table 2.2: Features used for segment labeling. Features marked with * are only used in the improved version of the segment labeler.

Segment labeler

The second multilayer perceptron classifier is the segment labeler. the segments are labeled by this neural network. With a data set of N appliances, the classifier should return a 0 when no appliance is active, a label ranging from 1 – N representing a single activated appliance, or $N + 1$ representing a multi appliance segment where more than

one appliance is active. This neural network is trained on the features defined in table 2.2, namely average of the power consumption in the segment, minimum power consumption, shape of the segment, duration of the segment, hour of day it is active and the previous label. The resulting feature will be the label. We create the training and testing input by extracting the segments from the aggregate signal using the true breakpoints and create the target output by retrieving the state of each device from the same time period as the segment.

Multi appliance disaggregation

At this step we try to disaggregate the multi appliance segments. We do this by first subtracting neighbouring single appliance segments as follows: If the nearest left neighbour that is a single appliance is the same appliance as the nearest neighbour on the right, we will presume the multi appliance segment is lifted by the consumption of that appliance and subtract its average consumption from the multi appliance consumption. If the two neighbours are not the same, we will presume the multi appliance segment is lifted by both, and subtract both the respective power consumption from the signal. The remaining consumption will be put through the segment labeler again, and the same process will repeat until no multi appliance segments are left. However there are occasions when all neighboring segments have been subtracted and the segment labeler still identifies the segment as a multi appliance segment, which is most likely caused by two appliances turning on at the same time in the data set. Unfortunately this implementation is not able to disaggregate those instances.

Since the multi appliance disaggregation step deals with not one, but multiple states at each breakpoint, the formatted output is different than the segment labeler. Where the segment labeler would return a value stating the appliance it found to be activated, the multi appliance disaggregation step returns a binary list representing the state of each appliance. Where a 1 on the i th position means that the i th appliance is active.

Training the neural network

The classifiers are created and trained to match the specifications in Gao et al.[8].

We use 10-fold cross validation to train the neural network and measure the accuracy and we apply random oversampling[14] to balance each category in the training set by randomly duplicating the appliances with less occurrences in the data. To make the architecture as similar to Gao as possible we use a Multi-layer Perception classifier, the default training algorithm in Python's scikit-learn package[15]. The specifications are listed in table below 2.3. The other parameters are left to the default value of scikit-learns Multilayer perceptron. Note that α is the weight of L2 regularization term in the loss function below.

$$\text{Loss}(\hat{y}, y, W) = -y \ln \hat{y} - (1 - y) \ln(1 - \hat{y}) + \alpha \|W\|_2^2$$

Parameter	value
number of hidden nodes	16
activation	relu
$alpha$	10^{-5}
learning rate	adaptive

Table 2.3: Parameter values for scikit-learns multilayer perceptron classifier

CHAPTER 3

MATERIALS

3.1 RELATED WORK

Gao et al.

The implementation we will be using is based on the one presented by Gao et al.[8]. In his article he created the energy disaggregation method which classified the segments using breakpoint identification. The implementation was tested on REDD and a subset of Clarkson University's Smart Housing dataset, comprising of the power consumption from 6 apartments or 25 bedrooms. On REDD the calculated accuracy was 91.2% at the end. Showing great potential for implementation in households. We choose to use this implementation for its good results, extendable input feature, the use of easily gathered low-frequency data and the promise of it begin adapted as a AS-NIALM algorithm.

Hart

One of the pioneers in this research area was George Hart in the late 1980s with his article on non-intrusive appliance load monitoring (NIALM)[4]. He was the first to differentiate between manual setup non-intrusive appliance load monitoring (MS-NIALM), requiring a one time intrusive setup to get the power consumption data of each individual device in a household, and automatic setup non-intrusive appliance load monitoring (AS-NIALM), where the implementation would recognize the devices and their power consumption without the need for human interference in the setup process. In his article he proposed a energy disaggregation method that looks for sharp edges in both the real and reactive power signals. The method clustered these devices based on their ON/OFF states, Where a device can either be on, consuming a constant amount of power, or off, consuming no power.

This model works for simple appliances like light bulbs, hairdryers or other On/Off devices, but for appliances with multiple states like a TV (On, Standby, Off, Eco mode), this method does not work. An article on NIALM implementations[16] stated that this method can relatively easy detect and track the on-off appliances, but has apparent problems in detecting multi-state and variable-load appliances. Next to that was noted that similar power consumption from two or more different devices may not be separated and due to many appliances change their resistance after they turn on, can create a mismatch within the algorithm as high as 10%. Similar to this we also segment the energy signal

using on/off states, but our implementation will try to recognize multi-state appliances as well by training a neural network on its characteristics. We will use the terminology of MS-NIALM and AS-NIALM presented by Hart in this article to differentiate between NIALM methods requiring manual setup versus NIALM that do not. In addition to that we will test our implementation on how well it performs as a AS-NIALM method.

Kelly et al.

One of the first successful neural network AS-NIALM implementation was the autoencoder of Kelly et al.[7]. In the paper the performance of 3 neural network architectures are tested and compared against each other as well as the well known combinatorial optimisation or factorial hidden Markov models.

The most notable approach the author has taken is that, instead of creating one neural network disaggregating the aggregate signal, it creates a neural network for each appliance. The network should first train on a mix of real data and syntactically created data to make it a more generalise implementation and could then be applied to any house to measure the power consumption of a similar device. Tested on all appliances the Auto Encoder got an F_1 score of .53, precision of .47 recall of .94 and an accuracy of .93. In addition to that the neural networks use a naive form of synthetic data generation. We will use a form of this synthetic data generation for the inclusion of fake breakpoints in our training data. It is worth noting however that in this case the synthetic data generation does not account for relations between devices or time. For example light might turn on more often when it gets dark around a certain time and if the TV is turned on, chances are that the gaming console will be turned on as well a few seconds later. The synthetic data generation in the presented implementation do not take this into account. This is not a problem for the breakpoints however, since we assume that the breakpoint occurrence is independent from weather.

On the plus side, the Auto Encoder does not give the state of the device, but the actual consumption of the device. That would mean that for multi state appliances like computers it could detect more than just the on and off state. It shows a lot of potential, but needs to be further improved before it can be applied in houses or offices.

3.2 DATA SETS

REDD

Disaggregation algorithms need to learn how appliances consume energy from existing data. Such algorithms generally require appliance-level data from either the building which will be disaggregated (sometimes referred to as supervised) or buildings other than the one which will be disaggregated (sometimes referred to as unsupervised). A data set containing both supervised and unsupervised data is the The Reference Energy Disaggregation Data Set (REDD)[9].

REDD is a freely available and widely used data set containing detailed power usage information from six residential houses, with the aim of furthering research on energy disaggregation and making comparisons between energy disaggregation algorithms easier.

We will be using this dataset to train and test the method presented in this paper. REDD will make it easier to compare Gao's original implementations with our implementation.

Studio data

Apart from REDD we will also use our own gathered data to test and train the implementation. This data will represent the power consumption of a one person studio apartment in 2020. For this reason we will reference to this data set as the studio data set. The set of devices is chosen with the goal of trying to represent frequently present modern devices in a household. For example, with the inclusion of a phone charger, gaming console and a tv. The data has been gathered with a sampling rate of once every 10 seconds and the measurements took place for 3 weeks. From the 2th of May to the 23th of May.

Weather data

For this research we will need access to historical weather data from Massachusetts from 18-4-2011 until 20-5-2011 for the inclusion of temperature, wind and weather state data in REDD and we will need access to weather data from Groningen from 02-05-2020 till 23-05-2020 for the inclusion of temperature, wind and weather state data in the consumption data we will be gathering ourselves. We will be using the data from timeanddate.com¹ for both datasets. From here we will get the min temperature, max temperature, wind speed and weather state (sunny, cloudy, raining, mist) at 0:00, 6:00, 12:00 and 18:00 each day. More information on both REDD, the studio data and the weather data can be found in Chapter 4.

3.3 TOOLS AND LIBRARIES

The non-intrusive load monitoring toolkit (nilmtk)[17] was designed for the purpose of parsing the REDD dataset (and others) as well as build a framework for comparing multiple NIALM algorithms. Although this toolkit is as of now still very much in development, much of its components are working and very useful. We will be using this toolkit for loading and parsing REDD and plotting certain fractions of REDD data.

for the creation of our Multi-layer Perception classifier we use the scikit-learn[15] package, so that the architecture of our implementation is similar to that of Gao et al.[8]. Scikit-learn also provides built in functionality to measure the performance of the neural network, which we will be using.

To avoid the fact that a model would give a high accuracy due to the imbalance of the data distribution, we apply a random oversampling technique[14] to balance each category in the training set by randomly duplicating the less frequent occurring appliances. This balanced data can then be used for training the neural network.

¹<https://www.timeanddate.com/>

CHAPTER 4

DATA

4.1 REDD

One of the data sets used by Gao et al. is the Reference Energy Disaggregation Data Set (REDD)[9]. We will be using this data set too, to be able to accurately compare our implementation with the one presented in the paper by Gao et al.[8]. An example of the entire data in REDD can be seen in figure 4.1, excluding unlabeled data like sockets and data with the unknown appliances.

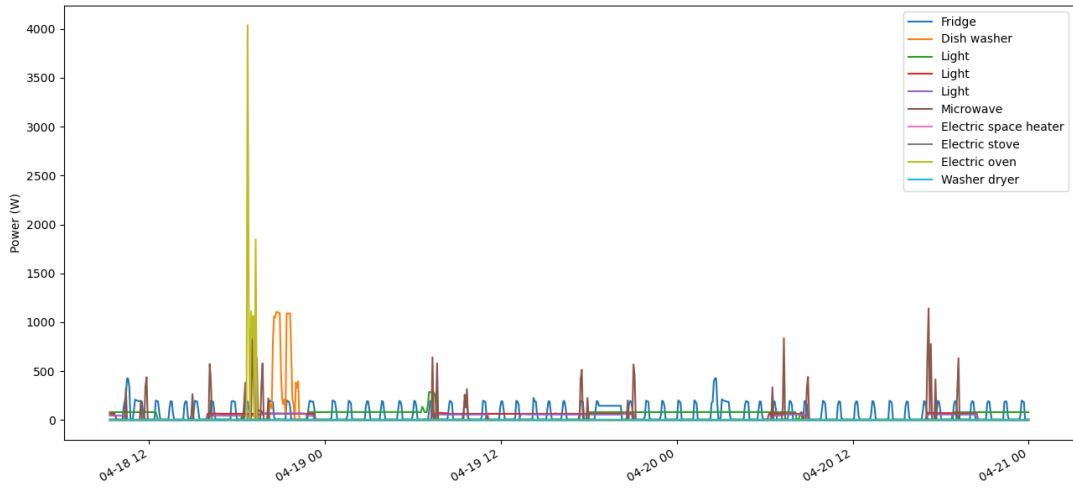


Figure 4.1: example data from the 1 house of REDD. Not included are unlabeled appliances like sockets and unknowns

4.1.1 DATASET DESCRIPTION

REDD contains the low-frequency power consumption of individual appliances and the low and high-frequency aggregate power consumption of 6 houses. The measurements have been taken at roughly the same time, from the 15th of april up till the 1st of June, for a little more than a month per house. In this paper, we are only interested in the low-frequency power consumption per appliance. Like Gao, we will only test on a subset of usefull devices and will thus not be able to use the given aggregate data. A subset

REDD	max	mean on	mean off	mean on time	activ.	labels	distribution
fridge	441.81 W	197.21 W	7.34 W	1160.09	1285	936	60.35%
microwave	1771.25 W	988.01 W	4.27 W	173.62	564	302	19.47%
washer dryer	3252.12 W	1737.81 W	0.06 W	765.68	176	154	9.93%
dish washer	1171.53 W	638.96 W	0.21 W	1248.54	178	159	10.25%

Table 4.1: Characteristics off the data in house 1 of REDD

is chosen since appliances like "unkown" and sockets present in most houses are not particularly useful. This low frequency data is sampled every 3 seconds.

Devices present in REDD range from standard big appliances like a fridge, dish washer, microwave, electric oven and washer dryer, but also small appliances like lights and smoke detectors. As previously mentioned REDD also contains a lot of "unknown" and socket appliances. These could be for example a vacuum cleaner or a hair dryer, but since there is no way to know for sure which appliance these sockets represent, they are left out. Moreover, some subset of appliances are only present in one household and not in others. Since we will be training and testing on a combination of the houses, it is important that the devices are present in the training set as well as in the house picked as a testing set. That is why we, just as Gao et al., picked a small subset of appliances that is most common across houses. This subset can be seen in table 4.1 along with the corresponding power consumption characteristics of the appliances.

One disadvantage of REDD is the corrupted data in a number of houses. In these houses there is a gap in energy consumption data for around a week. We solved this issue by selecting only the dates on which this corrupted data is not present for training and testing.

4.1.2 APPROACH

As described in the article by Kolter et al.[9]: For the gathering low-frequency plug-level data, a wireless plug monitoring system developed by Enmetric¹ was used. Appliances can be connected to an extension cord which would send the power consumption data via DHCP to a central server at a rate of 1Hz. Because the system reports at a sufficient rate it was mostly left as-is.

Since whole house level data and high-frequency data is also present in REDD, the whole setup was more complicated. For the gathering of such data certain "REDDboxes" were used per house to have low-frequency measuring equipment, high-frequency measurement equipment and a hub for processing the incoming data all in one place. Since we will not be using the data gathered by the "REDDboxes", the details are out of the scope of this article.

¹<http://www.enmetric.com>

4.2 STUDIO DATA

Apart from REDD, we also use our own gathered data from a one person studio apartment in Groningen. A description of the data set itself and the appliances is shown in the following sections.

studio data	max	mean on	mean off	mean on time	activ.	labels	distribution
TV	63.73 W	57.73 W	0.16 W	3831.79	78	687	12.79%
phone charger	25.55 W	9.73 W	0.23 W	126.01	746	404	7.52%
desk lamp	36.08 W	34.80 W	0.00 W	3287.65	34	87	1.62%
couch lamp	53.23 W	44.49 W	4.07 W	5457.50	8	76	1.41%
washer	2066.00 W	885.62 W	1.68 W	48.88	734	381	7.09%
fridge	703.65 W	55.08 W	0.04 W	1594.75	644	863	16.06%
water heater	1988.55 W	1959.33 W	0.05 W	180.00	14	11	0.20%
laptop	143.96 W	58.97 W	0.18 W	32226.00	41	1616	30.08%
PlayStation 4	152.00 W	97.12 W	8.31 W	11886.00	60	1011	18.82%
microwave	2509.10 W	1960.11 W	0.92 W	38.57	446	236	4.39%

Table 4.2: Characteristics of appliance power consumption

4.2.1 DATA SET DESCRIPTION

The goal of this data set is to try to accurately represent the power consumption of the average modern household by including appliances most often found in households. This means that, apart from big appliances like washing machines, microwaves and water heaters, this data set also contains relatively low power consuming devices like lights and phone chargers. To make the data set more modern than others, appliances like a laptop and a gaming console are also included. Mostly the data present in REDD consists of high power appliances with distinct signatures, lacking low powered appliances like chargers, which according to Y. Gao[8] is much more difficult to disaggregate. Our own gathered data could thus be harder to disaggregate, but it will provide a better overview of the efficiency of our algorithm for more difficult devices.

Do note however that the gathering of this data took place mostly in the month of may 2020, which was at the peak of the corona virus pandemic, where people were instructed to stay home. Because of the quarantine most appliances ran all day, where normally they would just be on for a few hours. Because of the increased usage, the data set might be slightly more difficult to disaggregate than a normal household.

For an overview of all appliances and their consumption characteristics see table 4.2, where the corresponding maximum power consumption, average consumption when on and average consumption when off are given for each appliance, as well as the average duration of their on state, number of times the appliance has been turned on/off (activ.), the amount of segments in which the appliance is on (and thus contains its label) and the distribution in the dataset.

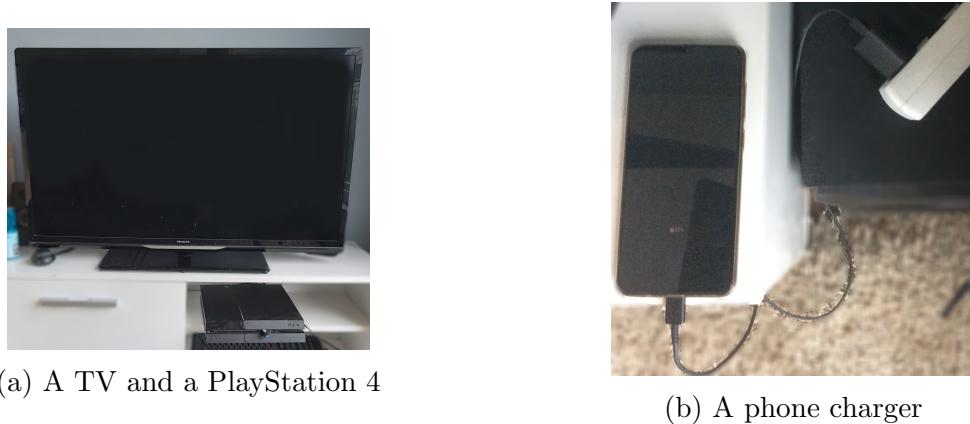


Figure 4.2

Included devices:

Here follows a list of appliances that were included in the data set. The corresponding details, expected power consumption, approximate usage pattern and possible relations to other devices will be explained per appliance.

A TV

Or a Philips 40PFL4358H/12 3D TV to be precise, which can be seen in figure 4.2a. We chose this appliance because a TV is present in almost every household today and consumes a decent amount of power. According to the label on the back of this device, this TV will consume 41W-60W when on. As you can see on table 4.2 this prediction is quite accurate. In this data set the TV was used mostly during the day. That includes mornings and evenings. It is on for around an hour or more per day. Regarding relations to other devices, when the TV is turned on, the Play Station 4 almost always follows, since the Play Station 4 is used for almost all activities with this TV.

Phone charger

Or a Samsung galaxy A-70 with a thunderbolt charger to be precise, which can be seen in figure 4.2b. We chose this appliance because the phone charger is a rather new, but frequently present, in the current household and because a phone charger is a very low power consuming device. One of the lowest in this data set. Including a low power consuming device in the data set allows us to see how well the implementation does on such devices. This device was mostly plugged in before bedtime, and consumed power until the phone was fully charged.

Desk lamp

Or a 30W lamp to be precise, which can be seen in figure 4.3a. We chose this appliance because lights are relatively low power consuming, but in large quantities present in households. In addition to that we expect lights to be influenced by the weather, since one might turn on a lamp when it's dark and cloudy outside.



(a) A desk lamp



(b) A living room lamp

Figure 4.3



(a) A washing machine



(b) A fridge

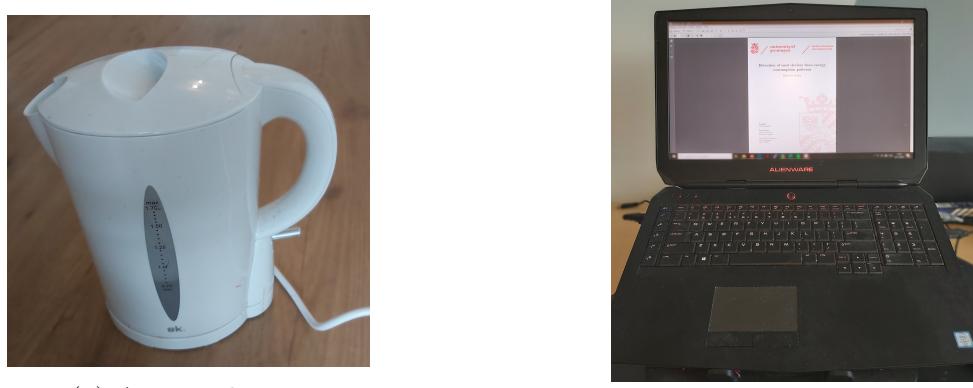
Figure 4.4

Living room lamp

Or a 50W lamp to be precise, which can be seen in figure 4.3b. This lamp is rather old and has several options for lighting: Dim, full and off. Because of that it makes use of a power brick, which consumes a little bit of power even when off, as you can see from table 4.2. We chose this appliance for the same reasons as the desk-lamp, but also to include more than one light. A household will most likely contain a multiple of lights, with this device included in our data set we can see how well the implementation will disaggregate between the two lights. This lamp was mostly used during the evening or on cloudy afternoons and this lamp was not as frequently used as the desk-lamp.

Washing machine

Or a BEKO WTV71483CSB with energy label A+++ to be precise, which can be seen in figure 4.4a. We chose this machine for its presence in most households, but also for its



(a) A water heater

(b) A laptop

Figure 4.5

energy consumption pattern. A washing machine will consume power in bursts, making it more challenging to detect. The inclusion of this device will give the opportunity to see how well the implementation does on these kind of devices. The washing machine was used at any time of the day, but mostly in the afternoon.

Fridge

Or the ikea LAGAN fridge/freezer with energy label A++ to be exact, which can be seen in figure 4.4b. We chose this machine for its presence in most households, but also for the fact that a fridge is one of the only frequently available household devices which turns itself on periodically. This device will run day and night and the power consumption could be influenced by weather and temperature.

Water heater

Or the OK. OWK 103 to be exact, which can be seen in figure 4.5a. According to the label the water heater can consume up to 2200W. As we can see from table 4.2 the water heater does not reach this value, but is one of the most high power consuming devices in the data set. We chose this appliance to have a short but high power consuming device present in the data set. The water heater consumes a lot of power at once, but only for about 3 minutes and is used only once or twice a day. Making it a perfect example for short but high power consuming devices.

Laptop

Or an Alienware R17 Gaming laptop to be precise, which can be seen in figure 4.5b. We chose this appliance because computers can be considered a standard household appliance since the beginning of the 21st century. This is a high performance laptop, meaning that it will consume more power than most. Especially when it is used for gaming or training a neural network. It is also very varying in power consumption. ranging from 150W to 50W or less. The laptop is most likely to be the first device to be turned on and will be on for most of the day.

PlayStation 4

Or the PlayStation 4 original 500GB to be precise, which can be seen in figure 4.2a. We choose this device to see how well the implementation is able to disaggregate devices that rely on each other. This PlayStation for example, will almost never be turned on if the TV is not turned on. And if the TV is turned on, the PlayStation will likely follow. However when the TV is turned off, the PlayStation may still remain on (because the resident is forgetful sometimes). This inclusion of the PlayStation 4 gives the ability to test how well an implementation is able to disaggregate a segment where two appliances are turned on at once.



Figure 4.6: A microwave

Microwave

Or a KOENIC KMW 4441 microwave/oven to be precise, which can be seen in figure 4.6. This device consumes between 1450-2500W of power when on, according to the label. This is pretty accurate when compared to the data from table 4.2. As you can see this device consumes the most amount of power at once in the data set. We chose this appliance mostly for its presence in the household, but also for its high power consumption. The microwave was active at any time of the day, but long activations were most present during the evening.

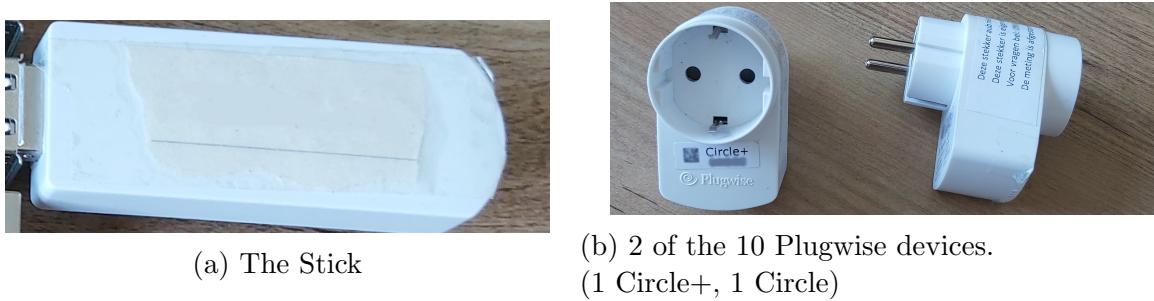


Figure 4.7: Measuring devices

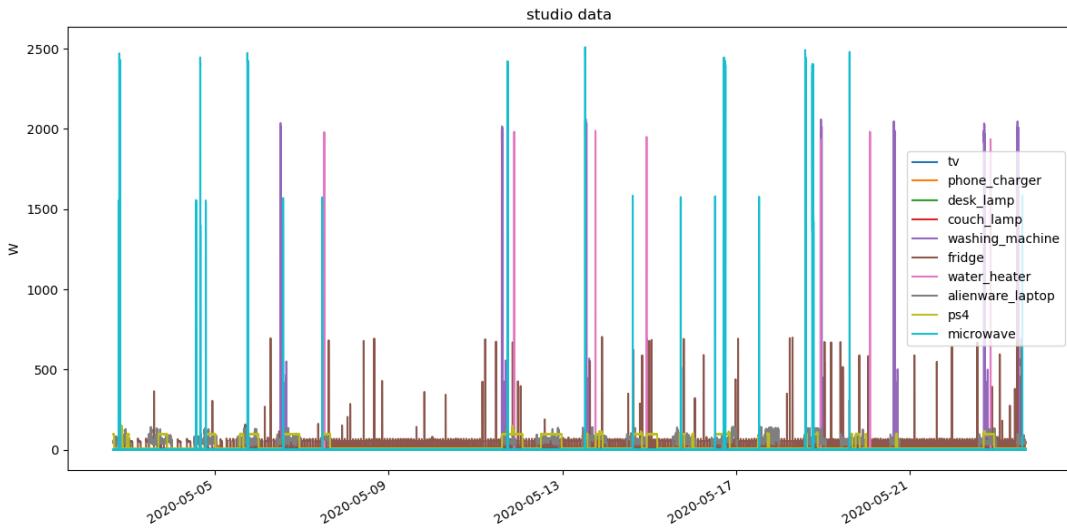


Figure 4.8: Plot of the studio data including all individual appliances

4.2.2 APPROACH

We measured the power consumption data every 10 seconds, since any higher gathering per second increases the changes of NaN values significantly, and gathered data for a duration of 3 weeks. The data was gathered using a total of 10 Plugwise² devices and a USB stick called a 'Stick' as shown in figure 4.7. The set of these Plugwise devices communicated over a wireless ZigBee mesh network around one coordinator, shown in figure 4.7b with the 'Circle +' label. The coordinator then in turn communicates with the 'Stick' allowing the gathering of data by plugging the Stick into a pc. A very similar setup was previously implemented for research on optimizing energy costs for offices connected to the smart grid[3].

The python-plugwise library³ was used to create a small script to communicate with the Stick and request the data from the Plugwise devices. This script was run on a dedicated laptop for 3 weeks. For the use of the data with our neural network, we chose a sampling period of 60 seconds for more accurate comparison with gao's tests on REDD, which also use a sampling period of 60 seconds. We use forward filling on empty or NaN values in the data and store the values in a pandas dataframe[18]. A plot of the result of the data gathering can be seen in figure 4.8

²<http://www.plugwise.com>

³<https://bitbucket.org/hadara/python-plugwise/wiki/Home>

	avg temp	std temp	avg wind	std wind	rain	cloud	mist	sun
REDD	12.6	4.1	17.6	7.2	9.6%	41.0%	35.9%	13.5%
studio	10.7	5.5	12.5	6.7	0%	22.7%	11.4%	65.9%

Table 4.3: Characteristics of the weather data set

4.3 WEATHER DATA

The weather data used in this article was retrieved from timeanddate.com⁴ for the location of Massachusetts from 18-4-2011 until 20-5-2011 and Groningen from 02-05-2020 till 23-05-2020 to fit our data. For each day the data is split up as follows: each day has a measurement point. One at 0:00, at 6:00, at 12:00 and one at 18:00. At each of these points in time the corresponding max temperature, min temperature, wind speed and weather state (sunny, cloudy, raining, mist) is available. This data was formatted into a .csv file.

The usage of this data within the implementation proceeds as follows: When the power consumption of a specific point in time was about to be disaggregated, the nearest known time to contain weather data was picked from the data set and put into the input feature. The weather state was converted into integer numbers ranging from 0: raining, 1: cloudy, 2: mist and 3: sunny.

A description of the dataset can be seen in table 4.3. Here the average and standard deviation of the temperature and wind speed is displayed for the data corresponding to a particular energy consumption data set. The distribution of the weather state over the data is also given. Note that it has not rained once during the time of measurement of the studio data.

⁴<https://www.timeanddate.com/>

CHAPTER 5

EXPERIMENTS

Here we will present the experiments with which we will try to answer the research questions. We will first begin with a small experiment to see if we can get the aggregate energy consumption data from the sum of the individual power consumption of the devices. After that we will test our benchmark implementation on REDD and compare it to Gao, as well as test it on our studio data and compare it to the previous results on REDD. Following that experiment is the accuracy test of the addition of the stated improvements. These results will be compared to the benchmarks which have been created in the previous experiment. Lastly, we will test the improved version on generalizability by training on a subset of data in REDD and testing it on a subset of devices in our studio data. In the following sections within each experiment we will explain what the accuracy outcomes of the individual steps are, as well as the combined implementation, and summarize everything in a result section per experiment. In chapter 6 we will further discuss these results.

For the calculation of the performance scores, the following equations were used, where tp is the number of true positives, fp the number of false positives and fn the number of false negatives.

$$\begin{aligned}accuracy &= \frac{tp + tn}{tp + tn + fp + fn} \\precision &= \frac{tp}{tp + fp} \\recall &= \frac{tp}{tp + fn} \\F_1 &= \frac{2 * precision * recall}{precision + recall}\end{aligned}$$

5.1 AGGREGATE DATA SUMMATION EXPERIMENT

Before we start with testing the implementation, we first need to evaluate if we can create the aggregate data by summing up the power consumption of the individual devices. To evaluate whether this method would produce correct aggregate data we will execute a small experiment:

3 devices connected to Plugwise devices (Circle's), an iPad charger, a lamp and a water heater, are added to a extension cord which in turn is connected to a plug-wise device, the Circle+, so that that Plugwise device gathers the power consumption information of the 3 devices. See figure 9.1 for the actual setup.

The consumption of each device and the total consumption was measured for a total of 3 hours every 10 seconds. In these 3 hours some of the devices are turned off or on to measure the change in total and individual power.

The results of this experiment can be seen in figure 5.1. In figure 5.1a it is already visible that the total consumption is always around 2.5W higher than everything else. Even when all appliances are turned off. Also in figure 5.1b and figure 5.1c it is clear that difference lies between 2W and 4W most of the time. It is also clear that the difference seems to grow larger when a large appliance is turned on.

The difference of 2W and 4W can be explained by the power consumption of the Plugwise devices. We have 3 Plugwise devices connected to an extension cord, one Plugwise device has an average consumption of 1.1W [3], which means that the average consumption on the total would at least be 3.3W, explaining the small difference.

The big spikes in difference between the sum and the actual total power, when the water heater is turned on, could however indeed be an sign of resistance in the extension cord. Meaning that when a lot of power is consumed, the sum will not precisely represent the aggregate data.

Since the Plugwise devices should not be part of the equation in a real household and the spike only represents 0.5% of the total power consumption, we will use the summed appliances for our aggregate power consumption data. Since implementations like Gao et al.[8] and Kelly et al.[7] use the same approach for their aggregate data, we will be able to create and test an implementation of the algorithms closer to the originals. Do keep in mind that in a real household, the resistance might be a lot higher than on an extension cord.

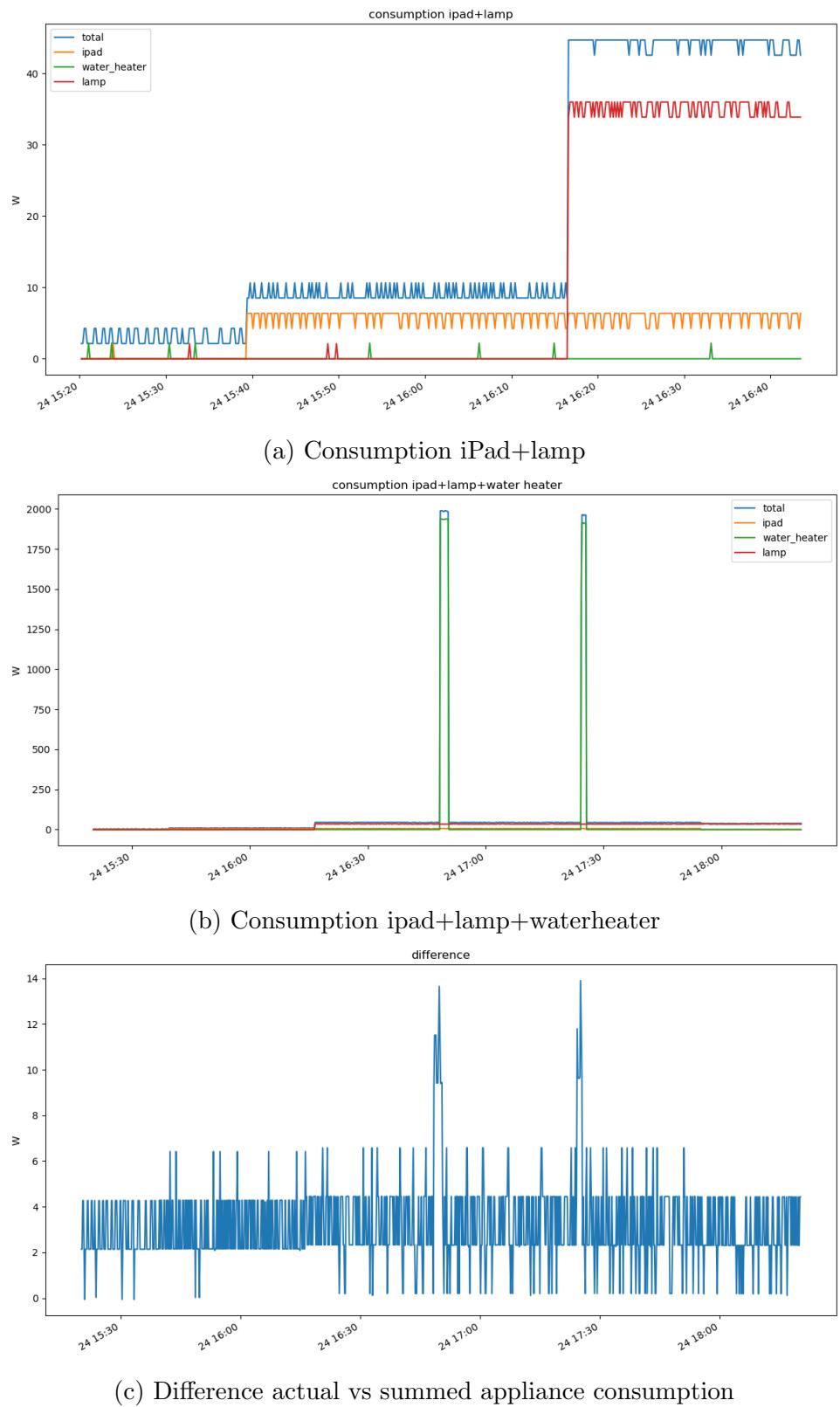


Figure 5.1: Actual total consumption vs summed appliance consumption outcomes

5.2 THE ORIGINAL IMPLEMENTATION

Here we test our implementation on performance and compare it to multilayer perceptron presented in the article by Gao et al.[8]. The purpose of these experiments is to create a benchmark to compare the improved version with. As stated before, the method presented in this article consists of 3 steps: the breakpoint identifier, the segment labeler and the multi appliance disaggregation step. We will test all 3 of these steps on REDD and compare the results with Gao et al. to see how similar our implementation is. We will also test these steps on our own gathered studio data to spot how well the implementation performs on a bigger quantity and more varying set of modern household appliances. In addition to that we will test how well the segment labeler performs given the breakpoints which are identified by the breakpoint identifier on both data sets in the combined implementation section. This result will tell us how well the implementation as a whole will perform on household appliances, which is not stated in the article of Gao et al.

5.2.1 REDD

To spot how similar our implementation is to Gao et al., we will be testing the implementation on REDD, in which we choose the following 4 appliances: A fridge, a microwave, a washer dryer and a dish washer. Note that Gao et al. tests on the following 4 appliances: A fridge, a microwave, a washer dryer and an air conditioner, but due to the fact that house 6 and 5 contain corrupted data[8] and measures power on dates where we do not have weather data on, we choose not to include those houses. This means we do not have data on the air-conditioning. Instead, we decided to disaggregate the dish washer.

Just like Gao et al., for each appliance, we down-sample the power signal to one measurement per minute. We obtain our experimental data by aggregating and adding up the power signals from all chosen appliances in the same house.

The results should be similar to the results in Gao et al., since we used the same architecture. But the testing is done on slightly different data. We do not test on house 6 and include the dish washer instead of the air conditioner. Another point of difference is that the dish washer consumes power in pulses, so it could be harder to disaggregate. Lastly Gao et al. manually identifies breakpoints, while we let an algorithm detect the breakpoints.

Breakpoint identifier

The first classifier we test is the breakpoint identifier. The classification report of the breakpoint identifier can be seen in table 5.1 depicting the performance of the implementation. Here an accuracy of **95.8%** is measured. Using 10-fold cross validation and help of the `cross_val_score()` method of scikit-learn[15] we measured an accuracy of **97%** with a standard deviation of **3** using the data with random oversampling and **98%** with a standard deviation of **1** without. Gao does not specifically note the accuracy of the breakpoint identifier, but states that the breakpoint identifier "performs nearly perfectly in identifying breakpoints and non-breakpoints". Keeping in mind that Gao has a lower ratio of breakpoint vs non-breakpoint than our implementation due to the inclusion of the dishwasher and the fact that we do not manually label the breakpoints, we can conclude that this implementation is sufficiently similar to gao's breakpoint identifier.

In the confusion matrix of table 9.1 in the appendix we see that most breakpoints are

classification report	precision	recall	F_1 -score	support
non-breakpoint	1.00	0.96	0.98	10988
breakpoint	0.53	0.93	0.67	532

Table 5.1: Classification report of the breakpoint identifier using REDD with an accuracy of 95.83%

classification report	precision	recall	F_1 -score	support
empty	1.00	0.98	0.99	213
fridge	0.95	0.82	0.88	187
microwave	0.78	0.82	0.80	44
washer dryer	0.11	0.18	0.13	11
dish washer	0.21	0.27	0.24	22
multi	0.57	0.69	0.62	55

Table 5.2: Classification report of the segment labeler using REDD with an accuracy of 83.46%

correctly identified, but 377 non-breakpoints are labeled as breakpoints. However the segment labeler might be able to disaggregate the incorrect marked breakpoints anyway. We will analyse this in the combined accuracy later.

Segment labeler

The second classifier, the segment labeler, is up next. The classification report of the segment labeler can be seen in 5.2 depicting the performance of the implementation. Here an accuracy of **83.5%** is measured. The confusion matrix can be seen in table 9.2 in the appendix. Using 10-fold cross validation and help of the `cross_val_score()` method of scikit-learn[15] we measured an accuracy of **88%** with a standard deviation of **3** without using random oversampling. In the article of Gao et al. an accuracy of **94.5%** was measured. This difference in accuracy is mainly due to the absence of house 6 in the training data, resulting in less training points for fridge. Using the same cross validation method on random over-sampled data we get an accuracy of **66%** with a standard deviation of **5**. In the over-sampled data, appliances that are normally not frequently present are now more present in the training/testing data. So this result does show some over-fitting. Considering only the segments labeled as single appliance or empty by the segment labeler, **417** out of **482** appliance or empty instances were labelled correctly, where **48** were mislabeled and **17** not labeled at all (due to the segment being labeled as a single appliance while being a multi appliance segment).

Multi appliance disaggregation

The last step of the method proposed by Gao et al. is disaggregating the multi-appliance segments further. We test this step on the **55** multi appliance segments from the testing data. The results can be seen in table 5.3. From the total of **220** single appliance labels within the multi appliance segments **156** were correctly identified. This is an accuracy of **70.9%** Compared to Gao's **94%**.

classification report	precision	recall	F_1 -score	support
fridge	0.91	0.89	0.90	47
microwave	0.83	0.29	0.43	34
washer dryer	0.70	0.50	0.58	14
dish washer	0.44	0.47	0.46	17

Table 5.3: Classification report of the multi appliance disaggregator using REDD with an accuracy of 70.9%

classification report	precision	recall	F_1 -score	support
fridge	0.68	0.85	0.76	430
microwave	0.63	0.69	0.66	110
washer dryer	0.27	0.36	0.31	22
dish washer	0.41	0.36	0.38	87

Table 5.4: Classification report of the total combined using REDD with an accuracy of 88.1%

Combined implementation

These results are quite promising, but what is left out of the article from Gao et al. is the performance of the implementation as a whole. Or in fact, how well the segment labeler does on the output of the breakpoint identifier. This test is important, since it is the accuracy of the combined implementation that matters when the neural nets are put into practice.

To test the implementation as a whole, we first give the input to the breakpoint identifier as we did before. With the output from the breakpoint identifier we looked up the states of each of the given breakpoints and used that as our target for the segment labeler. Using the breakpoints from the breakpoint identifier and the aggregate signal, the input is created and tested on the segment labeler. the results can be seen in table 9.3 and table 9.14 in the appendix. As you can see, the accuracy of **70.5%** for the combined test on the segment labeler is considerably lower than the standalone implementation. To test the multi appliance disaggregation we apply the same original tactic of multi appliance disaggregation, but with the breakpoints given by the breakpoint identifier and the labels given by the segment labeler. The results can be seen in table 9.15 in the appendix. From the 132 segments labeled as multi appliance by the segment labeler only 345 out of 528 of the labels were found correctly. This is an accuracy of **65.3%**. Putting all of the steps together, the implementation is able to disaggregate **3316** out of the **3764** labels correctly. This is an accuracy of **88.1%**. These results are visible in table 5.4.

Results

The point of this experiment was, as mentioned, to see how well this implementation is able to mirror the results presented in the article by Gao et al.[8]. The breakpoint identifier seems to perform well considering both the cross validation result of **97%** accuracy and the classification report with an accuracy of **95.8%**. Gao et al. does not specifically mention the exact accuracy of the breakpoint identifier, only that it "performs near perfectly". It should also be noted that we used a naive algorithm to find the true breakpoints, while Gao et al. identified them manually. This probably caused a slight drop in accuracy. Our

implementation may not be perfect, but with a result of **96-97%** we can conclude that it is sufficiently similar to Gao's implementation to use as a benchmark in this article.

The next step in the implementation presented by Gao et al. is the segment labeler. We performed 3 tests on the neural network to see how well it compares to Gao et al. and to see if there are signs of over-fitting. The first test, the Cross-validation test, showed an accuracy of **88%** and the second test, the classification report, showed an accuracy of **83.5%**. In the article of Gao et al. an accuracy of **94.5%** was measured. As you can see there is a difference in accuracy compared to Gao et al. of around **10%**. This difference is mainly due to the fact that we use slightly different and less training data. For example we do not train on house six and thus do not have an air condition in our data set. Instead we use the dishwasher. The results are still accurate enough to be used as a benchmark, but the difference between this implementation and the one presented by Gao et al. should be kept in mind. The third test was the cross-validation on the random over-sampled data used for training the neural net to detect over-fitting. This test resulted in an accuracy of **66%** with a standard deviation of **5**. This indeed is a sign of over-fitting. Because the data as-is is mostly dominated by appliance like the fridge and the microwave, the washer dryer is under represented. Random-oversampling tries to attack this by randomly duplicating examples from the minority class and adding them to the training data set. But because the implementation does not seem to be able to disaggregate the washer dryer well, the overall accuracy is thus decreased. The solution to this problem would be to include more training data.

The third step in the implementation presented by Gao et al. is the multi appliance disaggregation. This step showed an accuracy of **70.9%**. Compared to the **94.5%** from Gao et al. this is quite a difference. However, since the multi appliance disaggregation step is just the segment labeler applied over and over again on each multi appliance label, a big drop in accuracy was expected because the segment labeler showed a difference in accuracy already. With this in mind, this step seems to be sufficient to use as a benchmark.

A step not present in the article by Gao et al. but still very important to mention is the combined implementation. Where the breakpoint identifier, the segment labeler and the multi appliance disaggregation are combined together as they would in real life. This experiment will give us better insight into how the implementation will work as a whole in real life. Since Gao et al. does not present this in their article, we can not compare the results to the original implementation. The point of this test is just to get an indication of how well it will perform overall. The final resulting accuracy was **88.1%**. We will use this measurement to compare the improved combined version to this one. One thing that can be noted here is the relative good accuracy compared to the segment labeler on the same data. The segment labeler and the combined implementation as a whole can however not be compared to one another. The segment labeler return the label of an appliance or a multi-appliance segment per breakpoint, where the combined implementation returns the state of each appliance per breakpoint. Thus the meaning of accuracy is different for both steps.

classification report	precision	recall	F_1 -score	support
non-breakpoint	0.99	0.95	0.97	7213
breakpoint	0.48	0.90	0.62	347

Table 5.5: Classification report of the breakpoint identifier using the studio data with an accuracy of 95.04%

5.2.2 STUDIO

Here we propose to test our implementation of the multilayer perceptron classifier against our own data set and compare it with the results of the benchmark experiment on REDD. Our data set is created with the intention to represent a modern day household. With this experiment we investigate how well this implementation works on more modern devices and how well it is able to handle a large quantity of varying devices. Due to the increased amount of different appliances, and the inclusion of a laptop, which is on almost the entire day, the amount of multi-appliance segments will be substantial.

Breakpoint identifier

The first classifier we test on our own data is the breakpoint identifier. The classification report of the breakpoint identifier can be seen in table 5.5 depicting the performance of the implementation. This shows an accuracy of **95.0%**. Using 10-fold cross validation and help of the `cross_val_score()` method of scikit-learn[15] we measured an accuracy of **94%** with a standard deviation of **2** with over-sampling and an accuracy of **98%** with a standard deviation of **2** without over-sampling. Considering the accuracy on REDD **96-97%** the application of the breakpoint identifier on the studio data seems to work well too.

In the confusion matrix of table 9.4 in the appendix, we see that most breakpoints are correctly identified, but 339 non-breakpoints are labeled as breakpoints. However the segment labeler might be able to solve the incorrect marked breakpoints. We will analyse this in the combined accuracy section later.

Segment labeler

The second classifier, the segment labeler, is up next. The classification report of the segment labeler can be seen in 5.6 depicting the performance of the implementation. This shows an accuracy of **86.2%**. The confusion matrix can be seen in table 9.5 in the appendix. One can see that although the accuracy remains the same, most of the appliances have very little to no data points. This is due to the large quantity of devices in the data set, a lot of the segments in this data set are multi-appliance segments. This is why with the studio data as training data the implementation seems to be very good at multi state disaggregation. Since a lot of single states are missing from the training data as well, the segment labeler will most likely have a lot of trouble disaggregating the single states that are present. Furthermore the microwave does not seem to be present in the classification reports, meaning there was not one single single-appliance label of a microwave in the result data. Using over-sampled data and 10-fold cross validation we reach an accuracy of **94%** with a standard deviation of **3**. Compared to REDD over-sampling now seems to increase the accuracy of the implementation. This difference could be a sign of overfitting.

classification report	precision	recall	F_1 -score	support
empty	1.00	0.87	0.93	67
tv	0.50	1.00	0.67	1
phone charger	0.43	1.00	0.60	9
desk lamp	0.00	0.00	0.00	4
washing machine	0.00	0.00	0.00	1
fridge	0.93	0.93	0.93	59
water heater	0.00	0.00	0.00	1
alienware laptop	0.72	0.73	0.73	45
ps4	0.00	0.00	0.00	0
multi	0.98	0.89	0.93	160

Table 5.6: Classification report of the segment labeler using the studio data with an accuracy of 86.17%

classification report	precision	recall	F_1 -score	support
tv	1.00	0.04	0.07	56
phone charger	0.18	0.71	0.29	7
desk lamp	0.38	0.53	0.44	19
couch lamp	0.00	0.00	0.00	16
washing machine	1.00	0.02	0.04	45
fridge	0.43	0.10	0.16	91
water heater	0.50	0.00	0.00	4
alienware laptop	0.98	0.93	0.95	147
ps4	0.47	0.70	0.56	67
microwave	0.50	0.00	0.00	9

Table 5.7: Classification report of the multi appliance disaggregator using the studio data with an accuracy of 76.9%

classification report	precision	recall	F_1 -score	support
tv	0.56	0.63	0.60	141
phone charger	0.06	0.50	0.10	12
desk lamp	0.02	0.07	0.03	44
couch lamp	0.00	0.00	0.00	47
washing machine	0.50	0.00	0.00	114
fridge	0.53	0.29	0.37	284
water heater	0.50	0.00	0.00	3
alienware laptop	0.96	0.71	0.81	452
ps4	0.40	0.92	0.55	166
microwave	0.50	0.00	0.00	25

Table 5.8: Classification report of the total combined using the studio data with an accuracy of 80.7%

Multi appliance disaggregation

The last step of Gao’s multilayer perceptron is disaggregating the multi-appliance segments further. The results can be seen in table 5.7. We test this step on the **160** multi appliance segments from the testing data. From the total of **1600** single appliance labels within the multi appliance segments **1231** were correctly identified. so it got an accuracy of **76.9%**.

Combined implementation

We saw that the segment labeler experiences quite some problems with the current way of how it is trained. But how does the combined implementation hold up? It turns out it performs relatively the same as with the REDD dataset, as can be seen in table 9.16 in the appendix, with an accuracy of **67.7%**. This test on the combination of the two neural networks also gives us insight into the breakpoint identifier. For example we can see that the breakpoint identifier produces far less breakpoints for the phone, and way to much for the laptop. This is because the laptop has a very varying power consumption, while the phone consumes almost no power. To test the multi appliance disaggregation we apply the same original tactic of multi appliance disaggregation, but with the breakpoints given by the breakpoint identifier and the labels given by the segment labeler. The results can be seen in table 9.17 in the appendix. From the **385** segments labeled as multi appliance by the segment labeler only **2900** out of **3850** of the single appliance labels were found correctly. This is an accuracy of **75.3%**. With a total of 5252 correctly identified states from the total of 6510 states, the combined implementation reaches an accuracy of **80.7%**. These results are visible in table 5.8.

Results

We have just tested the implementation of Gao’s et al. on our own studio dataset. The breakpoint identifier seems to compare well against the results of REDD. The test on the studio data seems to get an accuracy of around **94-98%** where REDD seems to get an accuracy of around **97%** on the 10-fold cross validation test. The classification reports show an accuracy of **95.8%** for REDD and **95.0%** for the studio data. We can conclude that the breakpoint identifier seems to work well on both data sets.

The segment labeler scores an accuracy of **85%** without sampling which compares well to REDD's **88%** accuracy on the 10-fold cross validation test. The test using random oversampling does show an increase in accuracy: A score of **94%**. This is mainly due to the almost complete absence of single appliance labels for most appliances. However, this is still a sign of overfitting. Comparing the classification report with REDD revealed only a slight difference in accuracy for the segment labeler. With a **83.5%** accuracy on REDD and a **86.2%** accuracy on studio. So the performance is pretty similar.

The multi appliance disaggregation step performs at an accuracy of **76.9%**. Compared to the accuracy of 70.9% on REDD this is actually an improvement. The studio data mostly consists of multi appliance segments because of the larger number of appliances and a low number of single appliance data points for a lot of appliances. It was thus expected to have a lower accuracy on the multi appliance disaggregation, but it turns out to not perform worse than REDD, but even better. The reason for this can be explained the same way as the accuracy of the segment labeler. A lot of multi appliance states consists of the laptop segment and the fridge segment, which seem to perform the best.

As with the experiment with REDD we tested the combined implementation to see how well all the steps together would perform. In this case it is also not much different. We measured an accuracy of **80.7%** which is slightly worse than the accuracy of **88.1%** on REDD.

overall the implementation seems to work well on studio data. However the results are clouded by the distribution of data points in the data set. The results of the segments labeler mainly reflect how well the implementation is able to perform on the fridge and the laptop, since all the other appliances are hidden away under multi-state segments. However the multi appliance disaggregation step seems to still perform well considering this shortcoming.

5.3 THE EXTERNAL FEATURES

In this section we will try to answer the first 4 sub-research questions. First of all, how well the combination is able to perform with improvements. We will analyse this using REDD. Second of all, how well it does on modern devices. We will analyse this using our studio data. Thirdly, to what extend the external factors have an effect on the result of the implementation. We will test this with a small weight analyses. Last of all, to what extend the training on fake breakpoints improve the accuracy as a whole. We will test this on both REDD and our studio data by combining the steps of Gao together.

The first change to the implementation will be the inclusion of temperature, wind and weather state data in the features of the segmentation labeler as shown in table 2.2 as the features marked with *. With this inclusion we expect appliances influenced by the temperature, like a fridge, or appliances influenced by cloudy, sunny or rainy weather, like lights, easier to disaggregate. The second change is to make the segment labeler train on false breakpoints. For the training data we use a 50/50 distribution between false and actual breakpoints. To make a false breakpoint we choose a random point on the signal and place a breakpoint there. We hope that this will increase the accuracy of the combination of the two neural networks.

classification report	precision	recall	F_1 -score	support
empty	1.00	0.96	0.98	213
fridge	0.93	0.86	0.89	187
microwave	0.73	0.73	0.73	44
washer dryer	0.33	0.27	0.30	11
dish washer	0.24	0.50	0.33	22
multi	0.54	0.56	0.55	55

Table 5.9: Classification report of the improved segment labeler using REDD with an accuracy of 83.08%

classification report	precision	recall	F_1 -score	support
fridge	0.90	0.94	0.92	47
microwave	0.83	0.85	0.84	34
washer dryer	0.80	0.29	0.42	14
dish washer	0.62	0.47	0.53	17

Table 5.10: Classification report of the improved multi appliance disaggregator using REDD with an accuracy of 80.0%

5.3.1 REDD

Segment labeler

The classification report of the segment labeler can be seen in 5.9 depicting the performance of the implementation. Here an accuracy of **83.1%** is shown. The confusion matrix can be seen in table 9.7 in the appendix. Using 10-fold cross validation and help of the `cross_val_score()` method of scikit-learn[15] we measured an accuracy of **68%** with a standard deviation of **5** using the data with random oversampling and **88%** with a standard deviation of **4** without. Compared to the original implementation there is not much change. This is mainly due to the fact that the fridge is one of the only appliances that could be influenced by temperature, but when looking at the fridge itself there is only a slight increase in accuracy.

Multi appliance

The last step of Gao's multilayer perceptron is disaggregating the multi-appliance segments further. The results can be seen in table 5.10. We test this step on the **55** multi appliance segments from the testing data. From the total of **220** single appliance labels within the multi appliance segments **176** were correctly identified. so it got an accuracy of **80.0%**.

Combined implementation

from the performance metrics depicted in table 9.18 and the confusion matrix in table 9.8 in the appendix, an accuracy of **60.5%**. The multi appliance disaggregation performed as follows: From the **398** segments labeled as multi appliance by the segment labeler only **803** out of **1592** of the single appliance labels were found correctly. This is an accuracy of **50.4%**. Putting all of the steps together, the implementation is able to disaggregate **3426** out of the **4480** labels correctly. This is an accuracy of **76.5%**. These results are visible in table 5.11.

classification report	precision	recall	F_1 -score	support
fridge	0.46	0.84	0.60	398
microwave	0.45	0.75	0.56	183
washer dryer	0.80	0.61	0.69	207
dish washer	0.30	0.51	0.38	167

Table 5.11: Classification report of the improved total combined using REDD with an accuracy of 76.5%

results

The first improvement we tested was the inclusion of weather data in the segment labeler. The segment labeler showed an accuracy of **68%** with a standard deviation of **5** using the data with random oversampling and **88%** with a standard deviation of **4** without. Meaning that there are still signs of over-fitting. Comparing the classification reports show that the accuracy of the benchmark, which is **83.5%**, is not considerably different from the accuracy of this improved version, which is **83.1%**. This would show that the inclusion of weather data in the input features does not increase the accuracy.

The multi appliance disaggregation step did perform a bit better however, with an accuracy of **80.0%** compared to the **70.5%**. This would be a sign that the inclusion of weather data would improve the multi appliance disaggregation step. Since this is just a difference of 20 appliance states, it is hard to say if it is not just a matter of chance.

The improvement of including synthetic breakpoints in the input feature will be testing with the combined implementation. This step did not perform well at all. With an accuracy of **76.5%** compared to the original accuracy of **88.1%**. This shows that training on synthetic breakpoints does not increase accuracy, if not make it worse.

5.3.2 ANALYSIS OF THE WEIGHTS ON REDD

The accuracy's of the implementations alone are not enough to see if weather, wind and temperature as a feature in the neural network actually have an impact in making the neural network produce a result. To see if the features have an impact and are not simply

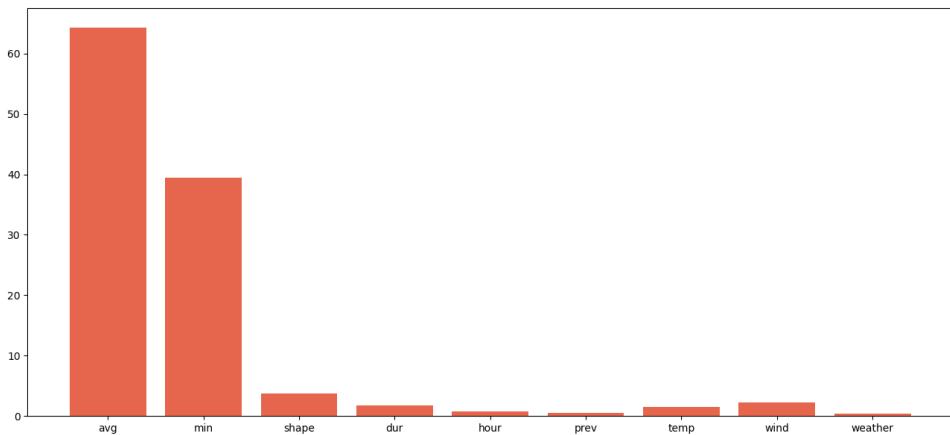


Figure 5.2: analysis of the weights of the improves segment labeler on REDD

ignored, we choose to analyse the absolute weights of the first layer. We average the weights of the first layer of the neural network for each input feature and multiply that with the average of what that specific feature could be. The result would be an indication of the influence that feature has on the output. The result can be seen in figure 5.2.

As expected, the average and min power consumption have the most influence. What also can be seen is that although shape has a maximum value of 3, it still has a decent influence on the outcome. Regarding the temperature and wind, they have about as much influence in the outcome as shape and duration. So they are not ignored.

Note that this test reflects how much a feature contributes to an outcome, not just the correct outcome.

5.3.3 STUDIO

Segment labeler

Because the proposed changes only affect the segment labeler, the breakpoint identifier will not be changed. The classification report of the segment labeler can be seen in 5.12 depicting the performance of the implementation. This showed an accuracy of **86.7%**. The confusion matrix can be seen in table 9.9 in the appendix. Using 10-fold cross validation and help of the `cross_val_score()` method of scikit-learn[15] we measured an accuracy of **96%** with a standard deviation of **2** using the data with random oversampling and **88%** with a standard deviation of **11** without. Compared to the original implementation and the improved version of REDD this is still fairly similar.

Multi appliance

The last step of Gao's multilayer perceptron is disaggregating the multi-appliance segments further. The results can be seen in table 5.13. We test this step on the **160** multi appliance segments from the testing data. From the total of **1600** single appliance labels within the multi appliance segments **1169** were correctly identified. so it got an accuracy of **73.1%**.

classification report	precision	recall	F_1 -score	support
empty	1.00	0.93	0.96	67
tv	1.00	1.00	1.00	1
phone charger	0.75	1.00	0.86	9
desk lamp	0.00	0.00	0.00	4
washing machine	0.00	0.00	0.00	1
fridge	0.88	0.95	0.91	59
water heater	0.00	0.00	0.00	1
alienware laptop	0.78	0.62	0.69	45
ps4	0.00	0.00	0.00	0
multi	0.97	0.91	0.94	160

Table 5.12: Classification report of the improved segment labeler using the studio data with an accuracy of 86.74%

classification report	precision	recall	F_1 -score	support
tv	0.45	0.82	0.58	56
phone charger	0.23	0.86	0.36	7
desk lamp	0.15	0.37	0.22	19
couch lamp	0.00	0.00	0.00	16
washing machine	1.00	0.02	0.04	45
fridge	0.48	0.25	0.33	91
water heater	0.50	0.00	0.00	4
alienware laptop	0.99	0.76	0.86	147
ps4	0.49	0.42	0.45	67
microwave	0.50	0.00	0.00	9

Table 5.13: Classification report of the improved multi appliance disaggregator using the studio data with an accuracy of 73.1%

classification report	precision	recall	F_1 -score	support
tv	0.25	0.18	0.21	127
phone charger	0.03	0.31	0.06	13
desk lamp	0.02	0.09	0.04	46
couch lamp	0.50	0.00	0.00	43
washing machine	0.50	0.00	0.00	108
fridge	0.72	0.45	0.56	288
water heater	0.50	0.00	0.00	3
alienware laptop	0.94	0.64	0.76	448
ps4	0.43	0.85	0.57	152
microwave	0.50	0.00	0.00	11

Table 5.14: Classification report of the improved total combined using the studio data with an accuracy of 81.1%

Combined implementation

Looking at the combined implementation of the improved version, of which the results can be seen in table 9.20 and table 9.10. This shows an accuracy of **73.9%**. So it seems that training on false breakpoints does not increase the accuracy. The multi appliance disaggregation performed as follows: From the **352** segments labeled as multi appliance by the segment labeler only **2495** out of **3520** of the single appliance labels were found correctly. This is an accuracy of **70.9%**. The details can be seen in table 9.21. Putting all of the steps together, the implementation is able to disaggregate **5433** out of the **6700** labels correctly. This is an accuracy of **81.1%**. These results are visible in table 5.8.

results

The segment labeler got an accuracy of **88%** with a standard deviation of **11** while the original implementation showed an accuracy of **85%** with a standard deviation of **9**. Just as with REDD no real changes in accuracy are measured. Comparing the classification reports show that the accuracy of the benchmark, which is **86.2%**, is also not considerably different from the accuracy of this improved version, which is **86.7%**. Although this is a slight increase, it is not definitive enough to conclude that the inclusion of weather data in the input features increase the accuracy.

the multi appliance step had an accuracy of **73.1%**. Compared to the **76.9%** accuracy of the original, no real improvement was measured. This would indicate that the inclusion of weather data in the input feature does not improve the accuracy for the studio data as well.

The combined implementation showed an accuracy of **81.1%**. Compared to the **80.7%** accuracy of the original implementation, we see almost no difference. The inclusion of fake breakpoints does not seem to worsen performance as it does for REDD, but it does not improve it for studio either.

5.4 THE GENERALIZE-ABILITY EXPERIMENT

Here we will test the generalize-ability of the improved implementation and try to answer the last sub-research question. Or in other words, how well the implementation is able to disaggregate appliances from an unknown household. To test this we will train the two multilayer perceptrons on the big devices that REDD and the studio data have in common, namely the fridge, the microwave and the washing machine. The result will tell us how well this implementation will perform as an AS-NIALM method. Due to a considerable difference in the power consumption of the devices, as can be seen in table 4.1 and table 4.2, a low accuracy is predicted. However if we do receive an accuracy on par with the other test, this means that the implementation is very well suited to be used as a AS-NIALM method.

Breakpoint identifier

The breakpoint identifier performs better than expected, correctly identifying a good amount of breakpoints as can be seen in table 5.15 and table 9.11. The accuracy of **96.5%** is also very good, considering the difference in testing and training appliances.

classification report	precision	recall	F_1 -score	support
non-breakpoint	1.00	0.97	0.98	29528
breakpoint	0.38	0.81	0.52	712

Table 5.15: Classification report of the improved breakpoint identifier using a combination of the 2 datasets with an accuracy of 96.47%

classification report	precision	recall	F_1 -score	support
empty	0.94	0.70	0.81	327
fridge	0.73	0.95	0.82	319
microwave	0.60	0.17	0.26	18
washing machine	0.69	0.47	0.56	19
multi	0.62	0.72	0.67	29

Table 5.16: Classification report of the improved segment labeler using a combination of the 2 datasets with an accuracy of 79.49%

Segment labeler

The segment labeler also does considerably well, having an accuracy of **79.5%**. As you can see from table 5.16 and table 9.12 the appliances are being disaggregated with a decent accuracy.

Multi appliance disaggregation

We test the multi appliance disaggregation step on the **29** multi appliance segments from the testing data. From the total of **87** single appliance labels within the multi appliance segments **61** were correctly identified. so it got an accuracy of **70.1%**. Details can be seen in table 5.17

Combined implementation

Looking at the combined implementation, of which the results can be seen in table 9.22 and table 9.13, we detect an accuracy of **49.7%**. Which is a bit lower than expected considering the results of the individual tests, but still very promising for a AS-NIALM method. The multi appliance disaggregation performed as follows: From the **140** segments labeled as multi appliance by the segment labeler only **194** out of **420** of the single appliance labels were found correctly. This is an accuracy of **46.2%**. Details can be seen in table 9.23. Putting all of the steps together, the implementation is able to disaggregate **3493** out of the **4533** labels correctly. This is an accuracy of **77.1%**. These results are visible in table 5.18.

classification report	precision	recall	F_1 -score	support
fridge	1.00	0.61	0.76	28
microwave	0.70	0.58	0.64	12
washing machine	0.93	0.68	0.79	19

Table 5.17: Classification report of the improved multi appliance disaggregator using a combination of the 2 datasets with an accuracy of 70.1%

classification report	precision	recall	F_1 -score	support
fridge	0.49	0.66	0.56	667
microwave	0.49	0.77	0.60	94
washing machine	0.44	0.08	0.13	258

Table 5.18: Classification report of the improved total combined using a combination of the 2 data sets with an accuracy of 77.1%

Results

As we can see from the tests the performance of the individual neural networks are quite good. Take the accuracy of the breakpoint identifier for example, which hovers at **96.5%** as can be seen from the classification report. compared to the **94%** to **98%** measured for both data sets separately, this is surprisingly good. This proofs that at least the breakpoint identifier is able to recognize characteristics very well and thus is able to be applied across data sets.

The segment labeler received an accuracy of **79.5%**. Also not considerably different from **83.1%** and **86.7%** shown by the classification report of REDD and the studio data set respectively. It seems that the segment labeler is able to recognize appliance characteristics very well too .

The multi appliance disaggregation step received an accuracy of **70.1%**, compared to the **80%** and **73.1%** accuracy, this is not to bad either. We have thus shown that the neural nets on their own can be used as an AS-NIALM method. But what about the complete picture, or in other words, the combination of the neural networks?

The combined implementation measures an accuracy of **77.1%**. Compared to the **76.5%** accuracy on REDD and the **81.1%** accuracy on the studio data this seem to hold up very well too. So it seems that in the end some work does need to be done to make this implementation a fully-fledged AS-NIALM method, but it does show quite a lot of potential.

CHAPTER 6

DISCUSSION

In this article we have tried to answer the following research questions with a number of experiments: Can the existing implementation be improved with the inclusion of weather related external factors and can it be improved by training on fake breakpoints. We have tried to answer the first question by comparing the improved multilayer perceptron classifier from Gao to a benchmark based on the original. We have tried to answer the second problem by linking all the steps together and comparing the improved version to the same benchmark.

An overview of these results can be found in table 6.1, where bi, sl, multi and comb stands for the accuracy of the breakpoint identifier, segment labeler, multi appliance disaggregation step and combined implementation respectively. These results were taken from the classification report of each test.

Before we tried to answer the research questions, we undertook an experiment to see if we can sum up the separate appliance power consumption data to get the aggregate data. Our conclusion was that it is possible, but that this might not be resulting in accurate data for all cases. We measured an increase in difference between actual aggregate data and summed aggregate data when there was a significant increase in power consumption. This was not a lot because we only used an extension cord to connect the devices. An entire household might produce significantly more resistance and thus might actually make a difference. This means that summed aggregate data might not be accurate enough in practice.

After that experiment we created a benchmark to compare the improved version with. To evaluate the correctness of the benchmark we compared it to the original of Gao et al. Using the REDD data set. Looking at table 6.1, we see a **95.8%**, **83.5%** and **70.9%** accuracy for the breakpoint identifier, segment labeler and multi appliance disaggregation step respectively. Gao measured an respective accuracy of **99.8%** approximately, **94.5%** and **94%**. Directly it can be seen that our implementation is not as accurate as Gao's implementation. The breakpoint identifier seems to hold up very well, with only a slight difference in accuracy, but the segment labeler and the multi appliance disaggregation step show a significant difference. This difference is likely caused by the fact that our implementation is not exactly the same. We had to train on different data, because we could not use house 6, and thus could not include the air-conditioner. Instead we included the dishwasher. To point out that this makes a difference, have a look at table 5.2. There

	REDD	studio		REDD	studio	combined
bi	95,8%	95,0%	bi improved	95,8%	95,0%	96,5%
sl	83,5%	86,2%	sl improved	83,1%	86,7%	79,5%
multi	70,9%	76,9%	multi improved	80,0%	73,1%	70,1%
[comb sl]	70,5%	67,7%	[comb sl imp]	60,5%	73,9%	49,7%
[comb multi]	65,3%	75,3%	[comb multi imp]	50,4%	70,9%	46,2%
comb	88,1%	80,7%	comb improved	76,5%	81,1%	77,1%

Table 6.1: overview of the results of the experiments

it can be seen that the dishwasher seems to perform the worst next to the washer dryer. The other appliances seem to hold up well.

In addition to that, the multi appliance disaggregation step was not precisely defined and open to interpretation in the article of Gao et al. Differences in this implementation were difficult to avoid and an exact replica could probably not have been made without the source code. On the other hand, our individual appliance performance of the multi appliance disaggregation step in table 5.3 shows similar performance to REDD. This could be because of a difference in implementation and output of the multi appliance disaggregator, which in turn changes the way the accuracy is calculated. There is however no way to know for sure without the source code. In the following experiments where we compare the benchmark to the improved version, we should keep in mind that the original showed a higher accuracy.

Another problem we noticed was the overfitting on the data for the segment labeler. The 10-fold cross validation test on the same randomly over-sampled training and testing data used for creating and testing the implementation showed an accuracy of **66%** with a standard deviation of **5**. A 10-fold cross validation test on non randomly over-sampled data showed an accuracy of **88%** with a standard deviation of **3**. These significant difference between the 10-fold cross validation and the classification report likely indicates the presence of overfitting. This means that the model is too closely fit to a limited set of data points.

The original implementation was also tested on our own studio data. Looking at table 6.1, we see a **95.0%**, **86.2%** and **76.9%** accuracy for the breakpoint identifier, segment labeler and multi appliance disaggregation step respectively. Compared to the respective accuracy of **95.8%** approximately, **83.5%** and **70.9%** this seems like an improvement. However this is misleading. The studio data is very unbalanced, with only a few data points for lights and hundreds of data points for a fridge for example. In addition to that, due to the increased number of appliances in this data set, the amount of single appliance labels per appliance is not well distributed at all. Appliances that go on first, like the laptop, or turn themselves on during the night, like a fridge, seem to have the most single appliance labels, while the rest are hidden away as a multi appliance label. This means that the above represented accuracy mostly reflects how well the implementation does on the fridge and the laptop. There is not enough data to get an accurate representation for all appliances.

Just as with REDD, the studio data seems to show overfitting as well for the segment labeler. The 10-fold cross validation test on the same randomly over-sampled training and testing data used for creating and testing the implementation showed an accuracy of **94%**

with a standard deviation of **3**. So also here a big difference between the classification report and the 10-fold cross validation.

After we have created the benchmark we can find the answer to whether or not this implementation can be improved with external factors like temperature, wind and weather state data. Looking at table 6.1, we see a **95.8%**, **83.1%** and **80.0%** accuracy for the improved breakpoint identifier, segment labeler and multi appliance disaggregation step respectively. Compared to the respective **95.8%**, **83.5%** and **70.9%** accuracy for the benchmark not much change is noted. The improvements do not influence the breakpoint identifier, so that accuracy is suppose to stay the same. But the segment labeler should show improvement. However the classification report of table 5.9 and table 5.2 show no discernible difference. The multi appliance disaggregation step does show an improvement in accuracy. However the accuracy of this step is only based on the 55 remaining multi appliance labels from the segment labeler. A lucky guess of just a few instances will increase the accuracy and makes the test on its own not enough to conclude that the inclusion of weather data improves the implementation. So knowing this we can say that for REDD, no improvement is measured.

But what about our studio data? Looking at table 6.1, we see a **95.0%**, **86.7%** and **73.1%** accuracy for the improved breakpoint identifier, segment labeler and multi appliance disaggregation step respectively. Compared to the respective **95.0%**, **86.2%** and **76.9%** accuracy for the benchmark not much change is noted as well. As with REDD the breakpoint identifier is supposed to stay the same, but the segment labeler should show improvement. In this case both the segment labeler and the multi appliance disaggregators show little difference, pointing again to the conclusion that the inclusion of weather data in the input does not improve performance. As mentioned however, the studio data is not well distributed at all. The accuracy mostly reflect the performance on the fridge and laptop, but other appliances that might be influenced by weather like lights are significantly underrepresented in the data. A bigger data set is needed to see if weather has any influence on the use and power consumption of lights within a modern household.

Because of the small difference in accuracy, we undertook a small experiment on the weights of the input nodes of the segment labeler. This experiment did indeed show that at least the temperature and wind speed have some influence on the outcome of the neural network. So the added input features are not just simply ignored.

What appears to be no increase in accuracy for both REDD and our studio data could be due to the absence of relation between weather and appliance power consumption, but it might also be because of the lack of difference in the weather data. Both the measurements of REDD and the studio data took place during the summer, especially for studio it barely rained during the measuring period. As can be seen from table 4.3 the range of temperature and weather states is not big, so the influence on appliances cannot be accurately researched if the temperature or weather state barely changes. The fridge for example might have consumed less power if it was freezing.

To answer the second research question: Can the implementation be improved by training on fake breakpoints. We need to look at the implementation as a whole by combining all the steps together. For this experiment we have first chained all the steps of the benchmark implementation together and compared it to the chained together steps of the improved version. This chaining was done by using the output of one step, and used it as input for the next. Due to the fact that the breakpoint identifier marks some

points as breakpoints while they are actually not, an increased number of datapoints will occur for the segment labeler. Looking at table 6.1, we see a **60.5%**, **50.4%** and **76.5%** accuracy in the combined implementation of the version with weather data and fake breakpoints training for the segment labeler, multi appliance disaggregation step and the final accuracy respectively. The combined implementation of the benchmark received a respective accuracy of **70.5%**, **65.3%** and **88.1%**. This shows a significant drop in accuracy. Training on fake breakpoints seem to make the implementation perform worse on REDD. Looking at table 9.18 and table 9.14 we see that the drop is mainly due to the washer dryer. By training on fake breakpoints the segment labeler seems to not be able to disaggregate the washer dryer at all. This in turn with the fact that the false breakpoints given by the breakpoint identifier increase the data points for the washer dryer, making the inability to disaggregate it have a lot more influence on the accuracy. This issue with the washer dryer might be due to how we define true breakpoints over how Gao defines true breakpoints. As mentioned before, Gao marks breakpoints manually, while we mark breakpoints whenever a power signal goes over or below a certain threshold. This seemed to give a large amount of breakpoints for pulsating appliances like a dishwasher compared to the manual approach. Finding a more advanced way of marking true breakpoints might solve this.

So we can conclude that training on fake breakpoint does not improve performance on REDD, it might even make it worse.

How does the combined implementation work on studio data? Looking at table 6.1, we see a **73.9%**, **70.9%** and **81.1%** accuracy in the combined implementation of the version with weather data and fake breakpoints training for the segment labeler, multi appliance disaggregation step and the final accuracy respectively. The combined implementation of the benchmark received a respective accuracy of **67.7%**, **75.3%** and **80.7%**. Although the accuracy of the segment labeler and multi appliance disaggregation step do not consistently point out a decrease or increase in accuracy, the final accuracy shows little difference. Compared to REDD, there is no significant drop in accuracy. This is mainly due to unfair data distribution in studio, as mentioned before. The appliances that showed trouble for REDD before which are also present here in the studio data, are not well enough represented to draw a conclusion from. So we can't draw a strict conclusion that the washer dryer works bad here as well. But from the data that we do have, we can say that training on fake breakpoints does not improve accuracy.

One thing that might have raised some questions in the experiment chapter was the considerable difference between the accuracy of the segment labeler and the multi appliance disaggregation step compared to the total accuracy. As mentioned before, the output of the combined implementation is different from the segment labeler. Therefore, the accuracy is calculated differently. The combined implementations returns a list of appliance states per breakpoints, where the segment labeler only returns one label. This would mean that if the combined implementation gets one state wrong, but the others correct the accuracy of that specific breakpoint would be $\frac{N-1}{N}$. If the segment labeler gets a state wrong, there is a good chance the entire label will be wrong. Thus for that specific breakpoint it will get an accuracy of 0.

We also did a generalizability experiment. This experiment would point out how well the improved implementation is able to generalize across houses by training it on REDD and testing it on the studio data. Looking at table 6.1, we see a **96.5%**, **79.5%** and **70.1%** accuracy for the improved breakpoint identifier, segment labeler and multi appliance

disaggregation step respectively. This is not as high compared to REDD and the studio data alone, but still very good considering that the same appliances in REDD are very different from the appliances in studio. look at the max power consumption of the fridge in REDD from table 4.1 and that of the fridge in studio from table 4.2 for example. the REDD fridge consumes 441. W max while the studio fridge consumes 703.65W max. This is a considerable difference. The fact that this implementation is still able to disaggregate these appliance with the above mentioned accuracy's is a very good sign. This would point out that this implementation could be trained on some generic data set, better than this one, and than apply it to a wide range of households without the need of an intrusive step. Thus making this implementation a fully fledged AS-NIALM implementation. Looking at the combined implementation as a whole, it receives an accuracy of **77.1%**. For an AS-NIALM method this is very promising, compared to Kelly et al.[7] for example. Although a lot of work still needs to be done to be able to implement this in a household.

So in the end we can say that the improvements we proposed do not have a positive influence on the implementation. At least not with the data we have been testing on. That said, a few things could be changed to the implementation or the data to gain a more a better understanding of the method and possible improvements. We will go over this in the next chapter.

CHAPTER 7

FUTURE IMPROVEMENTS

As we previously concluded, the addition of weather data in the input feature and the training on fake breakpoints did not seem to improve accuracy. There are however a few things that might be good to be looked into in future research regarding the implementation. First of all, in this research we implemented a interpretation of Gao's multilayer perceptron, not an exact copy, since we had to make it from scratch. If it is possible to access the source code of the original implementation, which was measured to have a slightly higher performance overall, the accuracy of the improved version might increase as well and give a clearer image on the influence of weather data in the input feature.

Secondly, we chose our devices within REDD to be as close to Gao's research as possible. But REDD contains more devices than was used in our research. For example, the lights were not included, since the test on the implementation in the article of Gao et al. did not include them as well. In addition to that, the air-conditioner was not used since only one house contained data for it, which did not overlap with our weather data. Future research could find a way around these restrictions and include more appliances from REDD in the tests.

Thirdly, we had to automate the parsing of the true breakpoints from the individual appliance data, since Gao's manual approach is imprecise and unfeasible for large data sets. We used a naive method for this, where if a power consumption goes below or above a certain power threshold, the point is marked as a breakpoint. This has the downside of generating a lot of breakpoints for pulsating devices. In the future a more advanced algorithm needs to be developed to identify true breakpoints by taking the existence of Finite State Machines[4] into account, where an appliance can have more than just an on and off state.

Then another point is the appearance of overfitting. For both REDD and the studio data we measured a significant difference between the accuracy of the 10-fold cross validation and classification report. This has the disadvantage that the classification report does not reflect the accuracy perfectly. In the future more data points are needed to avoid overfitting.

Lastly, we've only looked at the breakpoint identifier and the segment labeler of Gao to analyse the increase in performance with inclusion of weather data in the input feature, but this could also be tested on other breakpoint or state detection algorithms, like the

one by Dash et al.[19]. Gao's implementation also has some major shortcomings in the multi appliance segment disaggregation step, since it is not even able to disaggregate a segment where two appliances are turned on at once. To get a more general overview of temperature, wind and weather state influence on power consumption or energy disaggregation, more models need to be researched. The same can be said about the inclusion of fake breakpoints in the training data. Another model might show more promising results for this inclusion than what the model presented in this article showed.

In this article we presented our own gathered data from a one person studio apartment, which we refer to as the studio data. We used this data for our comparisons next to REDD. The intention of the data set is to contain the most frequently present modern devices in a household. We believe this criteria has been partially met, but a lot of improvements could be made on the data set itself. For instance, take a look at the data set description in table 4.2. Here it can be seen that the distribution of the data is very uneven. The laptop, PlayStation 4 and fridge occupy a large portion of the overall data points, while the desk lamp, couch lamp and water heater only occur a fraction of the time. This is of course due to the fact that some appliances are used more often than others, but the resulting problem is that these appliances are completely underrepresented in the training and testing data. So the model will not be able to disaggregate them no matter how hard it learns. The measured accuracy will therefore mostly reflect how well the model is able to disaggregate the more frequently used devices.

We tried to solve this using random over-sampling, but the distribution was still not even enough. In the future, more data points for the less frequently used devices are needed. This can be done by simply measuring for a longer period, where a year or more would be enough to create enough data points for the model to accurately train the less frequently used appliances on.

Another argument for a longer period of data gathering is the use of weather data. Both REDD and the studio data were measured at around the same time during the summer. We saw hardly any rain or cloudy weather during the measurements of the studio data and the temperature was always quite warm. This can be seen in table 4.3. A year round measurement is needed to get a more accurate representation of the influence of temperature and weather state on the power consumption of devices or at least more difference in temperature and weather state. Freezing versus very hot temperatures could give a clearer image of the influence temperature has on the fridge and rainy weather versus sunny weather a clearer image of the influence of light on the power consumption of lamps.

Apart from more data, another solution to the shortage of data points might be the potential improvement of training on synthetic data (not only synthetic breakpoints, but synthetic segments too). As stated in the article by Kelly et al.[7]: "We found that synthetic data acts as a regulariser. In other words, training on a mix of synthetic and real aggregate data rather than just real data appears to improve the nets ability to generalise to unseen houses.". We have shown that training on synthetic breakpoints does not seem to better performance, but creating synthetic segments of combinations of less frequently used devices might improve the accuracy of those devices. Research does need to be done in the case of preserving usage patterns within the synthetic data. For example lights go on when it is dark and if the TV is turned on, the gaming console or dvd player is also most likely to be turned on.

Another thing to mention about the data, is the extraordinary period of time the measurements took place. The data was measured during the beginning of the first wave of the corona virus, so most of the power consumption actually reflect a one person studio apartment in quarantine. Due to this quarantine a substantial amount of time of the resident was spent at home, meaning that the energy consumption and the appliance used are considerably more than normal. In the future a more accurate representation of a household could be created where the resident is not obligated to stay home all day.

The first thing that is checked before we try to answer the research questions is whether the aggregate data can be taken from the summation of the power consumption of the individual appliances. The answer was yes due to only a 0.5% difference in summed and true total power consumption. This was concluded to be caused by the resistance in the extension cord. It should be noted however that in real application the resistance might be a lot higher, since we are not talking about a short extension cord anymore, but a whole house filled with wires. Further research needs to be done in order to see if the spike in difference of measured power consumption becomes significant when applied to an electricity grid within a household.

CHAPTER 8

CONCLUSION

In this article we have presented several experiments and improvements on the multilayer perceptron of Gao et al.[8]. as well as a more present day data set representing the power consumption of a 1 person studio apartment. With these experiments we have tried to answer the following research questions: Can the existing implementation be improved with inclusion of weather related external factors and can it be improved by training on fake breakpoint.

From the experiments where we compared the improved implementation with the benchmark implementation based on Gao's method, we saw that the inclusion of weather data and training on fake breakpoints does not increase accuracy. We've also shown that these results are dependant on the data we used. This meant that further research and a higher quantity and quality of data is needed to get a more accurate image of the influence of weather and fake breakpoint training on energy disaggregation.

From the generalization experiment we noticed that the improved version is very good at generalizing across houses. Although it is far from perfect, an accuracy of **77.1%** is promising.

With the aggregate data summation experiment we've shown that there is a difference between real and summed aggregate data due to resistance in the network. Although that resistance wasn't high in the experiment, it might be in a real household.

In the future improvements sections we've noted several peculiarities about the research. Some were regarding the way Gao's implementation was interpreted and trained. we've shown that a solution to this could be access to the source code. Most of the problems was regarding the data however: There were insufficient data points, an unfair distribution of data points across appliances, an unusual time period because of corona (which obstructed the goal of creating everyday modern household power consumption data) and weather with only high temperatures and a cloudy or sunny sky during measurements. Most of these problems could be solved with a longer measurement period, but training on synthetic data and not measuring data during a pandemic might be a solution as well.

CHAPTER 9

APPENDIX

	confusion matrix	non-breakpoint	breakpoint
non-breakpoint	10544	444	
breakpoint	36	496	

Table 9.1: Confusion matrix of the breakpoint identifier using REDD

confusion matrix	empty	fridge	microwave	washer dryer	dish washer	multi
empty	209	3	0	0	0	1
fridge	1	153	0	1	16	16
microwave	0	0	36	2	1	5
washer dryer	0	0	0	2	3	6
dish washer	0	4	4	7	6	1
multi	0	1	6	7	3	38

Table 9.2: Confusion matrix of the segment labeler using REDD

confusion matrix	empty	fridge	microwave	washer dryer	dish washer	multi
empty	251	110	0	1	0	3
fridge	1	311	19	2	8	22
microwave	0	3	39	1	1	28
washer dryer	0	2	1	2	1	7
dish washer	0	10	7	6	10	22
multi	0	4	5	5	9	50

Table 9.3: Confusion matrix of the segment labeler with breakpoints given by the breakpoint identifier using REDD

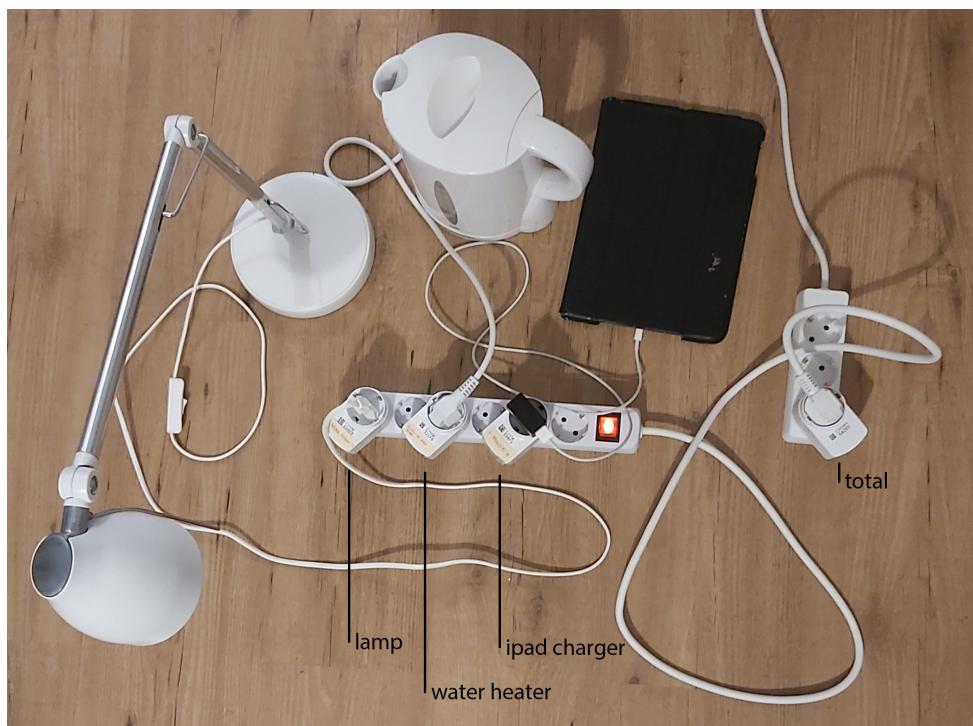


Figure 9.1: Setup sum of individual consumption experiment

	confusion matrix	non-breakpoint	breakpoint
non-breakpoint	6874	339	
breakpoint	36	311	

Table 9.4: Confusion matrix of the breakpoint identifier using the studio data

confusion matrix	empty	tv	phone	d. lamp	washer	fridge	heater	laptop	ps4	multi
empty	58	0	9	0	0	0	0	0	0	0
tv	0	1	0	0	0	0	0	0	0	0
phone charger	0	0	9	0	0	0	0	0	0	0
desk lamp	0	0	0	0	0	1	0	3	0	0
washing machine	0	0	0	0	0	0	0	0	0	1
fridge	0	0	1	1	0	55	0	1	1	0
water heater	0	0	0	0	0	0	0	0	0	1
alienware laptop	0	1	0	0	0	1	0	33	9	1
ps4	0	0	0	0	0	0	0	0	0	0
multi	0	0	2	0	0	2	0	9	4	143

Table 9.5: Confusion matrix of the segment labeler using the studio data

confusion matrix	empty	tv	phone	d. lamp	fridge	water heater	laptop	ps4	multi
empty	57	4	6	2	2	0	0	0	1
tv	0	1	0	0	0	0	0	0	0
phone charger	1	0	2	0	0	0	0	0	0
desk lamp	0	1	0	0	1	0	3	0	0
fridge	0	10	12	5	28	0	9	26	11
water heater	0	0	0	0	0	0	0	0	1
alienware laptop	0	2	0	0	1	0	27	35	46
ps4	0	0	0	0	0	0	0	0	0
multi	0	0	3	2	1	0	1	24	326

Table 9.6: Confusion matrix of the segment labeler with breakpoints given by the breakpoint identifier using the studio data

confusion matrix	empty	fridge	microwave	washer dryer	dish washer	multi
empty	205	7	0	0	1	0
fridge	0	160	0	1	22	4
microwave	0	0	32	0	2	10
washer dryer	0	0	0	3	1	7
dish washer	0	4	1	1	11	5
multi	0	1	11	4	8	31

Table 9.7: Confusion matrix of the improved segment labeler using REDD

confusion matrix	empty	fridge	microwave	washer dryer	dish washer	multi
empty	241	111	0	0	0	0
fridge	0	237	2	2	17	6
microwave	0	4	37	0	0	74
washer dryer	0	2	1	0	1	117
dish washer	0	20	5	3	9	47
multi	0	4	13	4	9	154

Table 9.8: Confusion matrix of the improved segment labeler with breakpoints given by the breakpoint identifier using REDD

confusion matrix	empty	tv	phone	d. lamp	washer	fridge	heater	laptop	ps4	multi
empty	62	0	3	0	0	2	0	0	0	0
tv	0	1	0	0	0	0	0	0	0	0
phone charger	0	0	9	0	0	0	0	0	0	0
desk lamp	0	0	0	0	0	1	0	3	0	0
washing machine	0	0	0	0	0	0	0	0	0	1
fridge	0	0	0	0	0	56	0	1	2	0
water heater	0	0	0	0	0	0	0	0	0	1
alienware laptop	0	0	0	1	0	1	0	28	13	2
ps4	0	0	0	0	0	0	0	0	0	0
multi	0	0	0	2	0	4	0	4	5	145

Table 9.9: Confusion matrix of the improved segment labeler using the studio data

confusion matrix	empty	tv	phone	d. lamp	washer	fridge	heater	laptop	ps4	multi
empty	62	0	4	10	0	6	0	0	0	2
tv	0	1	0	0	0	0	0	0	0	0
phone charger	0	0	4	0	0	0	0	0	0	0
desk lamp	0	0	0	0	0	3	0	3	0	0
washing machine	0	0	0	0	0	0	0	0	0	1
fridge	0	11	0	13	0	70	0	9	1	4
water heater	0	0	0	0	0	0	0	0	0	1
alienware laptop	0	1	0	3	0	2	0	56	28	42
ps4	0	0	0	0	0	0	0	0	0	0
multi	0	0	0	4	0	2	0	6	19	302

Table 9.10: Confusion matrix of the improved segment labeler with breakpoints given by the breakpoint identifier using the studio data

confusion matrix	non-breakpoint	breakpoint
non-breakpoint	28596	932
breakpoint	134	578

Table 9.11: Confusion matrix of the improved breakpoint identifier using a combination of the 2 datasets

confusion matrix	empty	fridge	microwave	washing machine	multi
empty	230	96	0	1	0
fridge	12	303	0	3	1
microwave	0	7	3	0	8
washing machine	1	3	2	9	4
multi	1	7	0	0	21

Table 9.12: Confusion matrix of the improved segment labeler using a combination of the 2 datasets

confusion matrix	empty	fridge	microwave	washing machine	multi
empty	323	247	4	0	6
fridge	193	375	6	5	2
microwave	1	15	12	1	41
washing machine	21	110	8	2	52
multi	4	34	7	3	39

Table 9.13: Confusion matrix of the improved segment labeler with breakpoints given by the breakpoint identifier using a combination of the 2 datasets

classification report	precision	recall	F_1 -score	support
empty	1.00	0.69	0.81	365
fridge	0.71	0.86	0.77	363
microwave	0.55	0.54	0.55	72
washer dryer	0.12	0.15	0.13	13
dish washer	0.34	0.18	0.24	55
multi	0.38	0.68	0.49	73

Table 9.14: Classification report of the segment labeler with breakpoints given by the breakpoint identifier using REDD with an accuracy of 70.46%

classification report	precision	recall	F_1 -score	support
fridge	0.54	0.78	0.64	67
microwave	0.66	0.56	0.61	59
washer dryer	0.23	0.23	0.23	13
dish washer	0.28	0.33	0.30	40

Table 9.15: Classification report of the multi appliance disaggregator combined using REDD with an accuracy of 65.3%

classification report	precision	recall	F_1 -score	support
empty	0.98	0.79	0.88	72
tv	0.06	1.00	0.11	1
phone charger	0.09	0.67	0.15	3
desk lamp	0.00	0.00	0.00	5
fridge	0.85	0.28	0.42	101
water heater	0.00	0.00	0.00	1
alienware laptop	0.68	0.24	0.36	111
ps4	0.00	0.00	0.00	0
multi	0.85	0.91	0.88	357

Table 9.16: Classification report of the segment labeler with breakpoints given by the breakpoint identifier using the studio data with an accuracy of 67.74%

classification report	precision	recall	F_1 -score	support
tv	0.63	0.63	0.63	140
phone charger	0.01	1.00	0.02	1
desk lamp	0.02	0.09	0.04	32
couch lamp	0.00	0.00	0.00	47
washing machine	0.50	0.00	0.00	114
fridge	0.43	0.32	0.36	168
water heater	0.50	0.00	0.00	3
alienware laptop	0.99	0.80	0.89	366
ps4	0.51	0.92	0.66	166
microwave	0.50	0.00	0.00	25

Table 9.17: Classification report of the multi appliance disaggregator combined using the studio data with an accuracy of 75.3%

classification report	precision	recall	F_1 -score	support
empty	1.00	0.68	0.81	352
fridge	0.63	0.90	0.74	264
microwave	0.64	0.32	0.43	115
washer dryer	0.00	0.00	0.00	121
dish washer	0.25	0.11	0.15	84
multi	0.39	0.84	0.53	184

Table 9.18: Classification report of the improved segment labeler with breakpoints given by the breakpoint identifier using REDD with an accuracy of 60.54%

classification report	precision	recall	F_1 -score	support
fridge	0.27	0.84	0.41	112
microwave	0.35	0.68	0.47	129
washer dryer	0.84	0.62	0.72	200
dish washer	0.28	0.60	0.38	114

Table 9.19: Classification report of the improved multi appliance disaggregator combined using REDD with an accuracy of 50.4%

classification report	precision	recall	F_1 -score	support
empty	1.00	0.74	0.85	84
tv	0.08	1.00	0.14	1
phone charger	0.50	1.00	0.67	4
desk lamp	0.00	0.00	0.00	6
washing machine	0.00	0.00	0.00	1
fridge	0.84	0.65	0.73	108
water heater	0.00	0.00	0.00	1
alienware laptop	0.76	0.42	0.54	132
ps4	0.00	0.00	0.00	0
multi	0.86	0.91	0.88	333

Table 9.20: Classification report of the improved segment labeler with breakpoints given by the breakpoint identifier using the studio data with an accuracy of 73.88%

classification report	precision	recall	F_1 -score	support
tv	0.28	0.17	0.22	126
phone charger	0.00	0.00	0.00	1
desk lamp	0.01	0.06	0.02	32
couch lamp	0.50	0.00	0.00	43
washing machine	0.50	0.00	0.00	108
fridge	0.60	0.37	0.46	158
water heater	0.50	0.00	0.00	3
alienware laptop	0.97	0.67	0.79	337
ps4	0.51	0.85	0.64	152
microwave	0.50	0.00	0.00	11

Table 9.21: Classification report of the improved multi appliance disaggregator combined using the studio data with an accuracy of 70.9%

classification report	precision	recall	F_1 -score	support
empty	0.60	0.56	0.58	580
fridge	0.48	0.65	0.55	581
microwave	0.32	0.17	0.22	70
washing machine	0.18	0.01	0.02	193
multi	0.28	0.45	0.34	87

Table 9.22: Classification report of the improved segment labeler with breakpoints given by the breakpoint identifier using a combination of the 2 datasets with an accuracy of 49.70%

classification report	precision	recall	F_1 -score	support
fridge	0.27	0.75	0.40	40
microwave	0.50	0.98	0.66	56
washing machine	0.47	0.21	0.29	78

Table 9.23: Classification report of the improved multi appliance disaggregator combined using a combination of the 2 data sets with an accuracy of 46.2%

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