

# User Manual of Debussy Program

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## 1 Introduction

## 2 Main input file `filename.dwa`

### 2.1 General Info

IMPORTANT: `Debussy` reads a fixed name input file, '`debussy.inp`', which must contain the string `filename.dwa`.

- 1 FILE TYPE: `form=formatted, access=sequential, recordlength=256` (max.).
- 2 READING METHOD: each record is read into a 256-places character variable `rline`.
- 2 COMMENTS: marked by a '`!`' or a `>` in the first character of `rline`.
- 4 IDENTIFIER: the first four characters in `rline`: `ident=rline(1:4)`.
- 5 BUFFER: the fifth and following characters in `rline`: `buffer=rline(5:)`.
- 6 MANDATORY/OPTIONAL FLAG: a symbol `!` specifies that a given entry is always mandatory; `*` that it is always optional; `?` that it is conditionally optional (depending on the value of other entries, as specified).
- 7 FILES AND PATHNAMES: when we refer to a file name, unless otherwise specified, we refer to the complete (pathname-inclusive) name, as and when required by the operating system. *Exception:* only for structural databases, the filename and pathname are given separately.
- 8 SECTIONS: The file `filename.dwa` is organized in three consecutive main sections, in the order:
  - I the **Datasets** Section (Sec. 2.2);
  - II the **Structure models** Section (Sec. 2.3);
  - III the **Refinement and Output** Section (Sec. 2.4).

A line with IDENTIFIER `****` marks the start of every section.

## 2.2 Datasets

This section of `filename.dwa` contains the directives for reading and using one or more experimental datasets.

For each dataset, we read

---

<code>ident</code>	<code>buffer</code>	content
--------------------	---------------------	---------

---

<code>#n□□<sup>a</sup></code>	<b>TECHNIQUE</b>	!
	<b>TECHNIQUE</b>	is a 3-characters string which may be <code>XRD</code> or <code>NPD</code> or <code>EPD</code> , indicating the experimental technique (among X-ray, Neutron or Electron Powder Diffraction).

<sup>a</sup> *n* in `ident` is the progressive dataset number.

<code>data</code>	<b>DATA_FILENAME</b>	!
	<b>DATA_FILENAME</b>	Two cases: either <b>DATA_FILENAME</b> is a string indicating the file name where the experimental data are stored or “none”. In the latter case only a simulation can be performed.

*Continues...*

**form**    **FORM; NDATA; NSKIP\_HEAD, NSKIP\_FOOT**    !

Four integers: **FORM** always mandatory, **NDATA** and an ordered pair **NSKIP\_HEAD, NSKIP\_FOOT** depending on cases. The first mandatory integer **FORM** = 1, 2, 3 or 4 indicates the kind of data in the file:

- 1: only intensities (counts)  $I_k$ ,  $k = 1 \dots N$ .
- 2: pairs angle-intensity (degrees-counts) as  $2\theta_k, I_k$ ,  $k = 1 \dots N$ .
- 3: pairs intensity-standard deviation (counts-counts) as  $I_k, \sigma_k$ ,  $k = 1 \dots N$ .
- 4: triples angle - intensity - standard deviation (degrees-counts-counts) as  $2\theta_k, I_k, \sigma_k$ ,  $k = 1 \dots N$ .

If the file format is such that every record ( $\equiv$  every row) contains only one data point (*i.e.* one intensity if **FORM**=1, one pair angle-intensity if **FORM**=2, and so on) this will be enough. Otherwise, it is necessary to supply more informations.

- If there is more than one data point per record (row), then the total number of data points in the file must be given as **NDATA**, always after **FORM** and before the pair **NSKIP\_HEAD, NSKIP\_FOOT** if the latter is present.
- If the file contains a header and/or a footer, then: the number of lines of the file header must be given as **NSKIP\_HEAD** and the number of footer lines is to be given by **NSKIP\_FOOT**. Remark: except in the case that neither header nor footer are present, it is mandatory to give both values as an ordered pair.

*Continues...*

**rang**    **ANGRANGE**,  $n_{every}$  ?

A real triple **ANGRANGE** =  $\mathcal{A}_{min}$ ,  $\mathcal{A}_{max}$ ,  $\mathcal{A}_{step}$  (°), optionally followed by a positive integer  $n_{every}$ . Cases:

- In case **FORM**=2, **ANGRANGE** is optional; if present,  $\mathcal{A}_{min}$  and  $\mathcal{A}_{max}$  will be used as cutoff limits, skipping data beyond.  $n_{every}$  is not used.
- In case **FORM**=1 or 3, **ANGRANGE** is mandatory ( $n_{every}$  is not used.) and it must hold that:

$$\mathcal{A}_k = \mathcal{A}_{min} + (k - 1)\mathcal{A}_{step}, \quad k = 1 \dots \mathbf{NDATA};$$

$$\mathbf{NDATA} = 1 + (\mathcal{A}_{max} - \mathcal{A}_{min})/\mathcal{A}_{step}.$$

- In case **FORM**= 4, the optional integer value  $n_{every}$  will be read and used (if present). It is useful to decimate huge high-resolution data sets, keeping only one point every  $n_{every}$ , so as to speed up initial runs.

**blnk**    **BLANK\_FILENAME** \*

If present<sup>1</sup>, this option indicates that the background is to be evaluated by scaling a measured ‘blank’. **BLANK\_FILENAME** is the name of the file where the measured ‘blank’ is stored. Its content and format are:  $\mathcal{A}_k$   $I_k$  (intensity)  $\sigma_k$  (sigma, optional), in case of single ‘blnk’ signal;  $\mathcal{A}_k$   $I_k^1$  (intensity)  $I_k^2 \dots I_k^{\mathbf{blnc}}$ , in case of multiple ‘blnk’.  $\mathcal{A}_k$  should be equal to the corresponding values in the dataset (within 5 decimal digits). It is warmly advised that  $I'_k$ s of the blank be filtered to reduce noise.

**blnc**    **FILENAME\_NBLNK** + **Porod TERM.** **FILENAME\_NBLNK** ?

**FILENAME\_NBLNK** is an integer specifying the number of ‘blank’ signals contained in **BLANK\_FILENAME** of **blnk**. **Porod TERM** is an integer flag assuming values 0 or 1, specifying whether a Porod-like term should (=1) or not (=0) added to the fit of the small-angle component of the observed data. If a **Porod TERM** is being specified then also **FILENAME\_NBLNK** must be given (setting to 0 is allowed).

*Continues...*

---

ident	buffer	content
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---

cheb	<b>CHEB_NC</b>	*
------	----------------	---

If present<sup>1</sup>, this option indicates that the background is to be modeled as a linear combination of Chebyshev polynomials. **CHEB\_NC** is an integer specifying the maximum number of coefficients.

youn	<b>YOUNG_NC</b>	*
------	-----------------	---

If present<sup>1</sup>, this option indicates that the background is to be modeled as a Young polynomial. **YOUNG\_NC** is an integer specifying the maximum degree.

wave	<b>WAVELENGTH</b>	!
------	-------------------	---

- Case of single-wavelength:  
**WAVELENGTH** is a real number  $\lambda$  corresponding to the value of the wavelength in Å;
- Case of double-wavelength:  
**WAVELENGTH** is a real triple  $\lambda_1, \lambda_2, w_\lambda$  containing the values of the wavelengths (Å) and the intensity ratio of  $I(\lambda_2)/I(\lambda_1)$ , respectively.

esdw	<b>WAVELENGTH_ERROR</b>	*
------	-------------------------	---

A real number **WAVELENGTH\_ERROR** =  $\sigma_\lambda$  representing the absolute maximum error on the measured wavelength (in the case of synchrotron radiation).

*Continues...*

---

<sup>1</sup>In case of multiple datasets from the same technique, if the same background is assumed for all, the background setting (type = **blnk**, **cheb**, **youn** and number of coefficients or filename, as appropriate) must be specified only for the first dataset, otherwise a setting must be specified for each dataset.

---

**ident**   **buffer** content

---

**inst**   **inst** \*

Parameters for the instrumental resolution function (IRF), altogether 9 parameters p1...p9:

p1 **inst\_flag** can be 0, 1, 2, meaning

0 no IRF will be used (default)

1 IRF will be used. The angle-dependent part contains a Voigt where the Caglioti-FullProf 6 parameters give the angular dependence of the Gaussian and Lorentz FWHMs

2 IRF will be used. The angle-dependent part contains a Voigt where the Topas 6 parameters give the angular dependence of the FWHM and mixing parameter (through those of the corresponding Pseudo-Voigt)

p1...p6 the 6 parameters mentioned above

p7...p9 the axial divergence width  $\zeta$  (Sec. B.4), the capillary width  $\xi$  (Sec. B.5), the wobbling width  $\omega$

**beam**   **RADIATION** \*

A single character **RADIATION** which may be **X**, **S**, **N** or **E**, indicating the radiation type used to collect experimental diffraction data (among the featured ones), according to the following notation:

**X**: X-Ray laboratory source

**S**: X-Ray synchrotron source (default)

**N**: Constant-wavelength neutrons

**E**: Electrons

*Continues...*

---

ident	buffer	content
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---

mono	<b>MONO_POSIT, COBRA</b>	*
------	--------------------------	---

In case **RADIATION** = **X**:  
one integer flag **MONO\_POSIT** = 1 or 2 if monochromator is on incident or diffracted beam, respectively;  
plus one real number **COBRA** (the cosine of the monochromator Bragg angle) for the polarisation correction.

pola	<b>ECCENTRICITY, PHI, COBRA</b>	*
------	---------------------------------	---

In case **RADIATION** = **X**, three real numbers:  
**ECCENTRICITY** ratio  $b/a$  of electric field;  
**PHI** angle  $\phi$  (deg) of the closest ellipse axis (def. as  $b$ ) to the scattering plane;  
**COBRA** (the cosine of the monochromator  $2\theta$  Bragg angle) for the polarisation correction. If given disables reading of **mono**.  
For  $s$ -polarised Synchrotron radiation **ECCENTRICITY** $\approx 0.01$ , **PHI** $=0.0$ , **COBRA** $=1.0$ , for circularly polarised laboratory X-ray source without monochromator **ECCENTRICITY** $=1.0$ , **PHI** $=0.0$ , **COBRA** $=1.0$ .

geom	<b>GEOM, CORR</b>	*
------	-------------------	---

One string **GEOM** which can be

**transmDS**: Debye-Scherrer geometry

**transmFP**: Flat-plate transmission geometry

**reflecBB**: Bragg-Brentano geometry

**thinfilm**: thin-film diffraction

plus one real number **CORR** $=\mu t$  for the absorption correction (**WARNING!** Correction not yet implemented!)

---



## 2.3 Structure models

This section of `filename.dwa` contains the directives for reading and using one or more structural models from one or more Database(s).

For each structural model, we read

---

<code>ident</code>	<code>buffer</code> content
--------------------	-----------------------------

---

<code>%m□□</code> <sup>b</sup>	<b>STRUCTURE_NAME</b> ! A string indicating the file name of the structure model. Such string will be used by the program as a rootname for some output files. <sup>b</sup> <i>m</i> in <code>ident</code> is the progressive structure model number.
<code>DB0x</code>	<b>PATH_DATABASE</b> is a string indicating the Database ! pathname where the sampled distances files are stored. DB0x is the Database Code, with x=1,2,3,4. In case of a multiple structures input, the various DB0x have to be listed in increasing order of x.
<code>nclu</code>	<b>NCLU</b> is an integer specifying the maximum NC size ! (as number of shells) of the associated DB03.
<code>nrod</code>	<b>NROD</b> are four integers specifying the minimum ! (always =1) and maximum NC size (as number of shells, i.e. "1 n 1 m") of the associated DB04, along a=b and c crystallographic directions, respectively.
<code>shap</code>	<b>SHAPE</b> is a 3-characters string = SPH or PAR or ! CYL or HEX, specifying the shape of the NC family in the associated DB0x. For DB03, SPH (sphere) is the unique choice; for DB04 the shape can be chosen among PAR, CYL, HEX.
<code>prot</code>	<b>PROTOTYPING</b> is a string with values 'yes'/'no' in- * dicating whether structure prototyping is being per- formed.
<code>chem</code>	<b>ATOMS</b> is a string, read if <b>PROTOTYPING</b> is 'yes', as ? 'A=Au,B=Ni,C=K,...' associating the letter with the corresponding chemical symbol used to assign different atomic species to the database files <b>PATH_DATABASE</b> .

*Continues...*

---

<sup>1</sup>Structure prototyping consist in using a previously generate database for either iso-structural compounds (only atomic species changes) or unit cell parameters adjustments (*a*, *b* and *c* are different) or both.

---

<code>ident</code>	<code>buffer</code> content	
<code>cell</code>	<b>CELL</b> mandatory (!) only for DB02; is a 6-entries real vector giving the unit cell axes lengths and angles $a$ , $b$ , $c$ , $\alpha$ , $\beta$ , $\gamma$ ; lengths in Å, angles in degrees (°). Else <b>CELL</b> is read if <b>PROTOTYPING</b> is ‘yes’ and used to assign new cell parameters ( $a, b, c$ ) to the database files <b>PATH_DATABASE</b> .	?
<code>micr</code>	<b>micr</b> is an integer flag that activates the refinement of the lattice microstrain. If <b>micr</b> is 1, a the calculated pattern is convolved with a Gaussian function with FWHM= $4\epsilon \tan(\theta)$ , where $\epsilon$ is the <b>STR_W</b> parameter in <b>PARAMETER_FILE</b> .	*
<code>parx</code>	<b>PARAMETER_FILE</b> is a string such that <b>PARAMETER_FILE.par</b> is the file name where, on each line, an identifier string, lower bounds, initial values and upper bounds of the parameters needed by the $m$ -th structure model are given. See example in Sec. 5.2. NOTE: the file extension needs to be <b>.par</b> . At the end of each run, refined parameter values will be saved in a newly created file named <b>STRUCTURE_NAME0<math>m</math>_Best.par</b> , where $m$ is the progressive number assigned to the structure in <b>STRUCTURE_NAME</b> .	!

---

## 2.4 Refinement and Output

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<code>ident</code>	<code>buffer</code>	content
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---

<code>simu</code>	<b><code>SIMUL_FLAG</code></b>	*
-------------------	--------------------------------	---

**`SIMUL_FLAG`** is an integer flag with possible values:

0 : perform a full refinement as specified below. Default.

1 : perform only once a calculated-spectrum for each of the input datasets, based on the parameters in the various **`PARAMETER_FILES`**.

-1 : to be used after a refinement run (**`SIMUL_FLAG`**=0) to calculate the standard errors of the refined parameters, based on the parameters in the various **`PARAMETER_FILES`**.

<code>calm</code>	<b><code>CALC_FLAG</code></b>	*
-------------------	-------------------------------	---

**`CALC_FLAG`** is an integer flag with possible values:

0 : Output only the global calculated spectrum for each dataset. Default.

1 : Output the structure type-separated components of the calculated spectra for each dataset.

<code>rfile</code>	<b><code>REFINEMENT_FILE</code></b>	!
--------------------	-------------------------------------	---

**`REFINEMENT_FILE`** is a string such that **`REFINEMENT_FILE.ref`** is the file name where the refinement strategy is stored. See example in Sec. 5.3.

*Continues...*

---

`ident` `buffer` content

---

`outs` **OUTPUT\_FILE**, \*

**OUTPUT\_FILE** is a string containing the (path plus) rootname of some of the output files, which is used by the program in case **SIMUL\_FLAG** is 1. It can be used to control the name of the files. Outputs are (by file extension):

- **OUTPUT\_FILE.Best.dis** Extended output of all interesting results and derived statistics, *e.g.* average size, distributions, weight fractions. The `filename.dwa` will be used as root for this output, in case **SIMUL\_FLAG** is 0 .
- **OUTPUT\_FILE\_TECHNIQUE#n.cal** Calculated spectra for every dataset (`#n` is the progressive number in the dataset section), and depending on the value of **CALC\_FLAG**: only a cumulative one for each dataset or all separated components. In case **SIMUL\_FLAG** is 0, the filename of each dataset will be used as root for the corresponding output.

`rpdf` **RPDF**, \*

**RPDF** is an integer flag with possible values:

0 : Nothing [:-)].

1 : output the radial pair distribution function of experimental, (total) calculated and background in the file **DATA\_FILENAME.rpdfn**.

---

## 3 Parameter file `*.par`

### 3.1 Main Info

The `.par` file contains a list of parameters along with their lower and upper bounds, plus a flag indicating whether the parameter can be refined or not. The parameters are divided into two groups: global and atom-specific. Global parameters are the first 11 (line 1-11). Atom-specific parameters are 7 per each atomic species, each group starts with the **AT00n** line. The meaning of the parameters listed in the `.par` file is given in the following.

### 3.1.1 Global parameters

**STcod** : Flag identifying one of the implemented function to model the dependence of (uniform isotropic) lattice expansion *vs.* cluster size for the related phase. In detail:

- STcod 0** Four-parameter smoothed-step dependence: *cf.* Eq. (1). Use for univariate (DB02) only.
- STcod 1** Constant (independent from size). Use for bivariate and univariate.
- STcod 2** Inverse-linear. Use for univariate only.
- STcod 3** Inverse-linear. Use for bivariate only.
- STcod 4** Inverse-linear. Use for univariate only.
- STcod 5** Inverse-linear. Use for bivariate only.

**VALn1** : Integer auxiliary value (fixed point  $\nu_1$ ) for the lattice expansion function. It is useful with narrow distributions and with the function corresponding to **STcod 2**.  $\nu_1$  should be chosen near the (best guess of) the distribution center. See Sec. 3.1.3 for details.

**Format:** **Xname**       $x_L$        $x_i$        $x_U$        $I_{flag}$   
 where:

**Xname** is the parameter identifier string;

$x_L$  is the lower bound of the parameter value;

$x_i$  is the initial parameter value;

$x_U$  is the upper bound of the parameter value;

$I_{flag}$  is a flag (0 or 1) that indicates if the parameter is in use (output only, in automatically generated **.par** files).

The first five parameters are needed to describe a - possibly bivariate - log-normal size distribution. For a simple univariate log-normal size distribution only two parameters are needed, and these are the first two. All five are used for a bivariate distribution. Our choice of parametrisation is the distribution average and standard deviation. For bivariate log-normal size distribution, these are referred to the log-axes. See the Appendix for details on the log-normal distributions and their parametrisation.

**AV1LN** : Average of the log-normal particle number distribution in size. Used for *monovariate cluster families* and *bivariate cluster families (first growth direction)*.

**SD1LN** : Standard deviation of the log-normal particle number distribution in size. Used for *monovariate cluster families* and *bivariate cluster families (first growth direction)*.

**AV2LN** : Average of the log-normal particle number distribution in size. Used only for *bivariate cluster families (second growth direction)*.

SD2LN : Standard deviation of the log-normal particle number distribution in size. Used only for *bivariate cluster families (second growth direction)*.

PHILN : Log-axes inclination of the log-normal particle number distribution in size. Used only for *bivariate cluster families*.

The second set of four parameters are needed to describe an uniform expansion factor applied to the clusters of a family as a function of size. Different functional dependencies are implemented (selected by label **STcod** above), and of course the bivariate size dependence is considered. Details are as follows.

STR\_i : Parameter  $\Omega$ , always describing the lattice expansion in the limit of infinite size, except when **STcod**=2, where it gives the value at the fixed point  $n = \nu_1 + 1$ , immediately following the value of **VALn1**= $\nu_1$ . See Sec. 3.1.3. For **STcod**=4 or 5 parameter  $\alpha$ , with  $1+\alpha$  describing the strain in the limit of infinite size, see Sec. 3.1.3, Eq. (6) and Eq. (7).

STR\_1 : Parameter  $\xi$ , always describing the lattice expansion either at the smallest size ( $n = 1$ ) when **STcod**=0; or, when **STcod**=2, at the fixed point  $n = \nu_1$  specified by the value of **VALn1**= $\nu_1$ . See Sec. 3.1.3, Eq. (2). For **STcod**=4 and parameter  $\beta$ , see Sec. 3.1.3, Eq. (6) and Eq. (7).

STR\_C : Parameter  $\nu_0$ , center of the step (for **STcod**=0). See Sec. 3.1.3.

STR\_W : Parameter  $w_s$ , width of the step (for **STcod**=0). See Sec. 3.1.3.

### 3.1.2 Atom-specific parameters

Site occupancy factors and thermal parameters are selectively refined for each atomic species, according to the scheme defined in the **AT00n** line.

AT00n : **LAW\_OKK** **LAW\_BTH**, where **LAW\_\*\*\***=1,2 defines the law to be used, 1: size-independent, 2:size-dependent (See Sec. 3.1.4).

OKK\_I : Parameter  $O_1$ , describing the occupancy factor independent of size if **LAW\_OKK**=1, or the largest size if **LAW\_OKK**=2. See Sec. 3.1.4.

OKK\_0 : Parameter  $O_0$ , describing the occupancy factor at the smallest size. See Sec. 3.1.4.

OKK\_L : Parameter  $O_L$ , describing the growth/decay constant of the occupation factor.

BTH\_I : Parameter  $B_1$ , describing the thermal parameter  $B$  independent of size if **LAW\_BTH**=1, or at the largest size if **LAW\_BTH**=2. See Sec. 3.1.4.

BTH\_0 : Parameter  $B_0$ , describing the thermal parameter  $B$  at the smallest size. See Sec. 3.1.4.

BTH\_L : Parameter  $B_L$ , describing the growth/decay constant of the thermal parameter. See Sec. 3.1.4.

### 3.1.3 Lattice expansion functions

A resume in tabular form of advised correspondences between DB-types and STcod:

STcod	0	1	2	3	4	5
DB01	—	✓	—	—	—	—
DB02	✓	✓	✓	—	—	—
DB03	—	✓	✓	—	✓	—
DB04	—	✓	—	✓	—	✓
DB05	—	✓	—	—	—	—

A lattice expansion function is a function  $X_s(n)$ , or  $X_s(n_1, n_2)$  in the bivariate case, where  $n$  (or the pair  $(n_1, n_2)$ ) is the cluster index and size parameter, the latter meaning that the cluster diameter  $D$  is a linear function of  $n$  (or of  $(n_1, n_2)$ ). Therefore all quantities that depend on size can be considered as functions of  $n$  (or of  $(n_1, n_2)$ ). The functions corresponding to STcod=1,4 and 5

are, instead, directly expressed in terms of  $D$ , thus the lattice expansion function is written  $X_s(D)$ , or  $X_s(D_{ab}, D_c)$  in the bivariate case. The lattice expansion function works simply by multiplying all interatomic distances of cluster  $n$  by  $X_s(D)$  (or, all interatomic distances of cluster  $(D_{ab}, D_c)$  by  $X_s(D_{ab}, D_c)$ ). Different functions are provided, connected with the flag STcod and the uni- or bi-variateness of the cluster family, as follows:

Case STcod=0: a smoothed-step function, of limited use, valid only for univariate families, DB02-type.

$$X_s(n) = \Omega - \frac{\Delta}{1 + \exp\left(\frac{n - \nu_0}{w_s}\right)} \quad \text{where} \quad \Delta = \left[1 + \exp\left(\frac{1 - \nu_0}{w_s}\right)\right](\Omega - \xi) \quad (1)$$

Note that

$$X_s(1) = \xi; \quad X_s(\infty) = \Omega.$$

Case STcod=1: a constant function.

$$X_s(n) = \Omega \quad (2)$$

Case STcod=2: an inverse-linear function.

$$X_s(n) = \Omega + (\Omega - \xi)(\nu_1 + 1/2) \left[1 - \frac{\nu_1 + 3/2}{n + 1/2}\right]. \quad (3)$$

Note that

$$X_s(\nu_1) = \xi; \quad X_s(\nu_1 + 1) = \Omega.$$

Case STcod=3: an inverse-linear function - all types.

If univariate:

$$X_s(n) = \xi + \frac{3}{2} \frac{\Omega - \xi}{n + 1/2} \quad (4)$$

If bivariate:

$$\begin{aligned}
A_1(n_1) &= \xi + \frac{3}{2} \frac{\Omega - \xi}{n_1 + 1/2}; \\
A_2(n_2) &= \xi + \frac{3}{2} \frac{\nu_0 - \xi}{n_2 + 1/2}; \\
X_s(n_1, n_2) &= [A_1^2(n_1) A_2(n_2)]^{1/3}
\end{aligned} \tag{5}$$

Case `STcod=4`: an inverse-linear function.

$$X_s(D) = \left(1 - \frac{\alpha}{D + \alpha}\right) (1 + \beta) \tag{6}$$

Case `STcod=5`: an inverse-linear function.

$$X_s(D) = \left(1 - \frac{\alpha}{\frac{V}{S} + \alpha}\right) (1 + \beta) \tag{7}$$

Note that

$$V = \pi \frac{D_{ab}^2}{4} D_c; \quad S = \pi D_{ab} D_c + \frac{\pi D_{ab}^2}{2}$$

NB: functions corresponding to `STcod=0, 2 and 3` are `DEPRECATED`. Rather use `STcod= 4, 5`.

### 3.1.4 Site occupancy factor and thermal parameter functions

Depending on the value of the `LAW_***`-flag, two kind of functions are available for site occupancy factor and thermal parameters:

Case `LAW_***=1`: constant function

$$O(D) = O_1 \quad \text{resp.} \quad B(D) = B_1 \tag{8}$$

Case `LAW_***=2`: size-dependent function

$$O(D) = O_1 + (O_0 - O_1) \exp(-D/O_L) \quad \text{resp.} \quad B(D) = B_1 + (B_0 - B_1) \exp(-D/B_L) \tag{9}$$

Where  $D$  represents the cluster diameter for `DB03, DB05` or the diameter of the equivalent volume sphere for `DB04`.

For thermal parameter or Debye-Waller factor we use the crystallographers' parametrisation, using the scalar  $B$  to describe uniform isotropic thermal motion. This introduces a factor

$$e^{-B \sin^2(\theta)/\lambda^2} = e^{-Bq^2/4}$$

where  $B = 8\pi^2 \langle u^2 \rangle$  if  $\langle u^2 \rangle$  is the mean-square displacement and  $q = 2 \sin(\theta)/\lambda$  is the reciprocal space variable.



## 4 Refinement file \*.ref

### 4.1 Main Info

The `.ref` file provides a high level management for the refinement strategy. Whereas in the `.par` file refinement flags control each single parameter, in this file refinement flags can be used to control groups of parameters: size, lattice expansion, sof and D.-W. of each atom for each structure and datasets adding the option of performing multistage refinements.

#### 4.1.1 Parameters

The file is organized in a nested-box like manner, with three mandatory elements: the **Structure** and **Dataset** contained in the **Stage** one.

The first line contains the line: `Number of stages # X` where X is the total number of stages to be performed.

Follows the line `stage # N float ALGORITHM` where N is the stage number: `X1, 2, ..., X`; `float` is a number indicating the tolerance for convergence for the specified `ALGORITHM`. `ALGORITHM` can be any of `COMPLEX`, `ANNEAL`.

Follows a line with: `% S`, where S is the structure number, e.g. 1. **Structure** elements must be placed in order of increasing S and their sequence must be the same as the one provided in the `.par` file. The following line contains the flags for the group of parameters: size, lattice expansion plus one sof and D.-W. flag for each atomic species of the specified structure. The number of flags is therefore  $2+2A$ , where A is the number of atomic species of the specified structure, that is the minimum number of flags is four since at least one atom must be present. Then a line with `: # D`, where D is the total number of datasets. Then a line with the flags for each dataset.

All flags can be 1 or 0 meaning *refine* or *not refine*. Comment lines can be inserted using the `!` symbol at the beginning of the line.

Examples of various `.ref` files are presented in Section 5.3.

## 5 Examples

### 5.1 filename.dwa examples

```
***** Datasets section *****
#1      XRD
  data   anatase_17keV.dat
  form   4
  cheb    12
  wave    0.729586
  esdw    0.0007
  beam    X
  geom    reflecBB 0.0
***** Structures section *****
%1      anatase
  db04    CLAUDE-DB/Anatase/DISTANCES/SAMPT0120A/anatase
  nrod     1 20 1 20
  shap     PAR
  parx     anatase.par
***** Refinement section *****
  simu     0
  rfil     anatase.ref
  calm     1
  outs     anatase_0
```

Figure 1: Debussy .dwa input file for a powder specimen of anatase: example of a single experimental dataset (anatase\_17keV.dat) and a single DB04 database, previously created by CLaUDe. This kind of input enables the program to run in ‘refinement mode’ (simu 0), using the refinement strategy specified in anatase.ref.

```

***** Datasets section *****
#1      XRD
data    Ti6_mix_11-3keV.dat
form    4
blnk     glass_of_Ti6_mix_11-3keV.dat
cheb    12
wave    1.097282
esdw    0.0002
beam    S
geom    transmDS 0.0
#2      XRD
data    Ti6_mix_17keV.dat
form    4
blnk     glass_allTiO2_17keV.dat
cheb    7
wave    0.729586
esdw    0.0007
beam    S
geom    transmDS 0.0
***** Structures section *****
%1      anatase
db04    CLAUDE-DB/Anatase/DISTANCES/SAMPTO120A/anatase
prot    yes
nrod    1 20 1 20
shap    PAR
cell    3.79936 3.79936 9.49801 90.00 90.00 90.00
parx    anatase.par
%2      rutile
db04    CLAUDE-DB/Rutile/DISTANCES/SAMPTO120A/rutile
nrod    1 20 1 50
shap    PAR
parx    rutile.par
***** Refinement section *****
simu    1
rfil     Ti6_mix.ref
calm    1
outs    Ti6_mix_2wl

```

Figure 2: Debussy .dwa input file for a powder mixture of anatase and rutile: example of input working with two experimental datasets, two experimental blanks and two DB04 databases. This kind of file enables the program to run in 'simulation mode' (simu 1).

## 5.2 Parameter file: examples and main Info

The .par file contains a list of parameters along with their lower and upper bounds, plus a flag indicating whether the parameter can be refined or not. The parameters are divided into two groups: global and atom-specific. Global parameters are the first 11 (line 1-11). Atom-specific parameters are 7 per each atomic species, each group starts with the AT00n line.

STcod	3			
VALn1	0			
AV1LN	8.0000000000000000	8.2448747266176179	15.0000000000000000	1
SD1LN	2.0000000000000000	2.8702408279602150	5.0000000000000000	1
AV2LN	8.0000000000000000	10.0068018005545450	15.0000000000000000	1
SD2LN	2.0000000000000000	3.6982245056089482	5.0000000000000000	1
PHILN	-90.0000000000000000	45.4229305919096050	90.0000000000000000	1
STR_i	0.9500000000000000	1.0000000000000000	1.0500000000000000	1
STR_1	0.9500000000000000	1.0000000000000000	1.0500000000000000	1
STR_C	1.0000000000000000	1.0000000000000000	1.0500000000000000	1
STR_W	0.1000000000000000E-01	1.0000000000000000	100.0000000000000000	0
AT001	1 2			
OKK_I	0.1000000000000000E-01	1.0000000000000000	1.0000000000000000	0
OKK_O	0.1000000000000000E-01	1.0000000000000000	1.0000000000000000	0
OKK_L	1.0000000000000000	100.00000000000000	1000.00000000000000	0
BTH_I	0.1000000000000000E-01	1.0000000000000000	10.0000000000000000	1
BTH_O	0.1000000000000000E-01	1.0000000000000000	10.0000000000000000	1
BTH_L	1.0000000000000000	100.00000000000000	1000.00000000000000	1
AT002	1 2			
OKK_I	0.1000000000000000E-01	1.0000000000000000	1.0000000000000000	0
OKK_O	0.1000000000000000E-01	1.0000000000000000	1.0000000000000000	0
OKK_L	1.0000000000000000	100.00000000000000	1000.00000000000000	0
BTH_I	0.1000000000000000E-01	1.0000000000000000	10.0000000000000000	1
BTH_O	0.1000000000000000E-01	1.0000000000000000	10.0000000000000000	1
BTH_L	1.0000000000000000	100.00000000000000	1000.00000000000000	1

Figure 3: Example of .par file used to supply parameter lower bounds, values and upper bounds. The final column is a flag that indicates which parameters can be refined and which not. In this example, the .par file refers to a DB04 database structure, for which only one flag is set to 0.

STcod	1				
VALn1	0				
AV1LN	3.0000000000000000	5.0000000000000000	10.0000000000000000	1	
SD1LN	2.0000000000000000	3.0000000000000000	5.0000000000000000	1	
AV2LN	0.0000000000000000	0.0000000000000000	0.0000000000000000	0	
SD2LN	0.0000000000000000	0.0000000000000000	0.0000000000000000	0	
PHILN	0.0000000000000000	0.0000000000000000	0.0000000000000000	0	
STR_i	0.9500000000000000	1.0000000000000000	1.0500000000000000	1	
STR_1	0.9500000000000000	1.0000000000000000	1.0500000000000000	1	
STR_C	1.0000000000000000	1.0000000000000000	1.0500000000000000	0	
STR_W	0.1000000000000000E-01	1.0000000000000000	100.0000000000000000	0	
AT001	1 1				
OKK_I	0.1000000000000000E-01	1.0000000000000000	1.0000000000000000	0	
OKK_O	0.1000000000000000E-01	1.0000000000000000	1.0000000000000000	0	
OKK_L	1.0000000000000000	100.0000000000000000	1000.0000000000000000	0	
BTH_I	0.1000000000000000E-01	1.0000000000000000	10.0000000000000000	1	
BTH_O	0.1000000000000000E-01	1.0000000000000000	10.0000000000000000	1	
BTH_L	1.0000000000000000	100.0000000000000000	1000.0000000000000000	1	
AT002	1 1				
OKK_I	0.1000000000000000E-01	1.0000000000000000	1.0000000000000000	0	
OKK_O	0.1000000000000000E-01	1.0000000000000000	1.0000000000000000	0	
OKK_L	1.0000000000000000	100.0000000000000000	1000.0000000000000000	0	
BTH_I	0.1000000000000000E-01	1.0000000000000000	10.0000000000000000	1	
BTH_O	0.1000000000000000E-01	1.0000000000000000	10.0000000000000000	1	
BTH_L	1.0000000000000000	100.0000000000000000	1000.0000000000000000	1	

Figure 4: Example of .par files used to supply parameter lower bounds, values and upper bounds for a DB03 database structure.

### 5.3 Refinement file example

```
Number of stages # 1
stage # 1 0.0000001 COMPLEX
%1
! 1 2 3 4 5 6
  1 0 0 1 0 1
#1
1
```

Figure 5: Example of .ref file used to supply refinement codes for bivariate-log-normal size parameters: first code; lattice expansion: second code; for site occupation factors: third and fifth codes; and thermal factor of atoms: fourth and sixth codes; within one single stage for a single structure with two atomic species and a single dataset input. The Simplex optimisation algorithm is required.

```
Number of stages # 1
stage # 1 0.0000001 ANNEAL
%1
! 1 2 3 4 5 6
  1 0 1 1 1 1
#1
1
```

Figure 6: Example of .ref file used to supply refinement codes (1) as in the previous example plus the global refinement flag for occupancy. The Simulated Annealing optimisation algorithm is required.

```
Number of stages # 1
stage # 1 0.0000001 COMPLEX
%1
! 1 2 3 4
  0 0 0 1
%2
! 1 2 3 4
  0 0 0 1
#2
1 1
```

Figure 7: Example of .ref file used to supply refinement codes (1) for correcting the thermal factor of atoms (code 4) within one single stage, for a double structure (both monoatomic) and a double dataset input.

```

Number of stages # 3
stage # 1 0.0001 COMPLEX
%1
! 1 2 3 4 5 6
  0 1 0 0 0 0
#1
  1
stage # 2 0.0001 COMPLEX
%1
! 1 2 3 4 5 6
  1 0 1 1 1 1
#1
  1
stage # 3 0.0001 COMPLEX
%1
! 1 2 3 4 5 6
  1 1 1 1 1 1
#1
  1

```

Figure 8: Example of .ref file used to supply refinement codes (1) in a multiple refinement stage.

## 6 Output files

Summary of the main output files created by [Debussy](#):

- 1) [OUTPUT\\_FILE\\_Best.dis](#) or [filename\\_Best.dis](#): Extended output of all interesting results and derived statistics, *e.g.* average size, distributions, weight fractions. The [filename.dwa](#) is used as root for this output, in case **SIMUL\_FLAG** is 0 in Refinement/Output Section.
- 2) At the end of each refinement run a refinement summary file named **REFINEMENT\_FILE\_ref.sum** is created containing information about the last refinement cycle.
- 3) In case **SIMUL\_FLAG** is -1 at the end of the refinement run a file named [refinement.std](#) is created containing the refined parameters and estimates for their standard deviations as well as the upper diagonal of the full covariance matrix.

For each structure:

- 4) At the end of each refinement run, refined parameter values will be saved in a newly created file named [STRUCTURE\\_NAME0m\\_Best.par](#), where  $m$  is the progressive number assigned to the structure in **STRUCTURE\_NAME**. The same kind of files are also created after each refinement stage.
- 5) At the end of each (simulation or refinement) run, a file named [STRUCTURE\\_NAME0m\\_plot1D.mtx](#) (for DB02 and DB03) or [STRUCTURE\\_NAME0m\\_plot2D.mtx](#) is created for each structure. It contains information for each single NC of the family (size, mass, volume, etc.).  $m$  is the progressive number assigned to the structure in **STRUCTURE\_NAME**.

For each dataset:

- 6) [OUTPUT\\_FILE\\_TECHNIQUEn.cal](#) or [DATASET\\_NAME\\_Best.cal](#) : Calculated patterns for every dataset ( $n$  is the progressive number in the dataset section). The content depends on the value of **CALC\_FLAG** in Refinement/Output Section.  
[OUTPUT\\_FILE\\_TECHNIQUE#n.rpdf](#) or [DATASET\\_NAME\\_Best.rpdf](#): Calculated pair distribution functions for observed, total calculated and background patterns - experimental feature.



## A Log-normal distributions

### A.1 One-dimensional log-normal distributions. Choice of the power.

Lognormal distributions (unnormalized form) we define as

$$F_k(x; x_0, w) = x^k e^{-\frac{1}{2} \frac{(\log(x) - \log(x_0))^2}{w^2}}$$

The ‘standard’ lognormal is

$$F(x; x_0, w) \equiv F_{-1}(x; x_0, w) = \frac{1}{x} e^{-\frac{1}{2} \frac{(\log(x) - \log(x_0))^2}{w^2}}$$

Note that - as  $x = e^{\log(x)}$  - for any  $k$  we can write

$$F_k(x; x_0, w) = x^k e^{-\frac{1}{2} \frac{(\log(x) - \log(x_0))^2}{w^2}} = \frac{1}{x} e^{-\frac{1}{2} \frac{(\log(x) - \log(x_0))^2 - 2(k+1)w^2 \log(x)}{w^2}}$$

and completing the square at exponent

$$\begin{aligned} F_k(x; x_0, w) &= \frac{1}{x} e^{-\frac{1}{2} \frac{(\log(x) - [\log(x_0) + (k+1)w^2])^2}{w^2}} e^{\frac{1}{2} w^2 (k+1)^2 + (k+1) \log(x_0)} \\ &= \left[ x_0^{k+1} e^{\frac{1}{2} w^2 (k+1)^2} \right] \frac{1}{x} e^{-\frac{1}{2} \frac{(\log(x) - \log(x_0 e^{(k+1)w^2}))^2}{w^2}} \end{aligned} \quad (10)$$

that is

$$F_k(x; x_0, w) = \left[ x_0^{k+1} e^{\frac{1}{2} w^2 (k+1)^2} \right] F(x; x_0 e^{(k+1)w^2}, w)$$

### A.2 Normalisation, moments, average/standard deviation

We can evaluate the first moments of lognormal distributions:

$$m_{0;k} = \int_0^{+\infty} dx F_k(x; x_0, w) = e^{\frac{1}{2}(k+1)^2 w^2} w x_0^{k+1} \sqrt{2\pi} \quad (11)$$

$$m_{1;k} = \int_0^{+\infty} dx x F_k(x; x_0, w) = e^{\frac{1}{2}(k+2)^2 w^2} w x_0^{k+2} \sqrt{2\pi} \quad (12)$$

$$m_{2;k} = \int_0^{+\infty} dx x^2 F_k(x; x_0, w) = e^{\frac{1}{2}(k+3)^2 w^2} w x_0^{k+3} \sqrt{2\pi} \quad (13)$$

In particular, for the standard  $k = -1$  choice, we have

$$m_0 \equiv m_{0;-1} = w \sqrt{2\pi}$$

so the normalized form is

$$\hat{F}(x; x_0, w) \equiv \frac{1}{m_{0;-1}} F_{-1}(x; x_0, w) = \frac{1}{x w \sqrt{2\pi}} e^{-\frac{1}{2} \frac{(\log(x) - \log(x_0))^2}{w^2}}$$

Similarly, the normalized general- $k$  form is

$$\hat{F}_k(x; x_0, w) = \frac{x^k e^{-\frac{1}{2}(k+1)^2 w^2}}{w x_0^{k+1} \sqrt{2\pi}} e^{-\frac{1}{2} \frac{(\log(x) - \log(x_0))^2}{w^2}}$$

Now we can evaluate the first moments of normalized lognormal distributions:

$$\hat{m}_{0;k} = \int_0^{+\infty} dx \hat{F}_k(x; x_0, w) = 1 \quad (14)$$

$$\hat{m}_{1;k} = \int_0^{+\infty} dx x \hat{F}_k(x; x_0, w) = e^{\frac{2k+3}{2}w^2} x_0 \quad (15)$$

$$\hat{m}_{2;k} = \int_0^{+\infty} dx x^2 \hat{F}_k(x; x_0, w) = e^{(2k+4)w^2} x_0^2 \quad (16)$$

and for the standard  $k = -1$  choice:

$$\hat{m}_0 = \int_0^{+\infty} dx \hat{F}(x; x_0, w) = 1 \quad (17)$$

$$\hat{m}_1 = \int_0^{+\infty} dx x \hat{F}(x; x_0, w) = e^{\frac{1}{2}w^2} x_0 \quad (18)$$

$$\hat{m}_2 = \int_0^{+\infty} dx x^2 \hat{F}(x; x_0, w) = e^{2w^2} x_0^2 \quad (19)$$

So, averages  $\langle x \rangle$  and standard deviations  $\sigma$  are given by

$$\begin{cases} \langle x \rangle = \hat{m}_{1;k} & = e^{\frac{2k+3}{2}w^2} x_0 \\ \sigma = [\hat{m}_{2;k} - \hat{m}_{1;k}^2]^{1/2} & = \langle x \rangle \sqrt{e^{w^2} - 1} \end{cases}$$

and for the standard case  $k = -1$ :

$$\begin{cases} \langle x \rangle = \hat{m}_1 & = e^{\frac{1}{2}w^2} x_0 \\ \sigma = [\hat{m}_2 - \hat{m}_1^2]^{1/2} & = \langle x \rangle \sqrt{e^{w^2} - 1} \end{cases} \quad (20)$$

Inverting the equations, we obtain (general  $k$ ):

$$\begin{cases} w = \sqrt{\log \left( 1 + \frac{\sigma^2}{\langle x \rangle^2} \right)} \\ x_0 = \frac{\langle x \rangle}{\left( 1 + \frac{\sigma^2}{\langle x \rangle^2} \right)^{k + \frac{3}{2}}} \end{cases}$$

and for the standard case  $k = -1$ :

$$\begin{cases} w = \sqrt{\log \left( 1 + \frac{\sigma^2}{\langle x \rangle^2} \right)} \\ x_0 = \frac{\langle x \rangle}{\left( 1 + \frac{\sigma^2}{\langle x \rangle^2} \right)^{\frac{1}{2}}} \end{cases} \quad (21)$$

Note:

- For given  $\langle x \rangle, \sigma$ ,  $w$  is independent of the  $k$  choice, while  $x_0$  changes;
- For given  $x_0, w$ ,  $\sigma/\langle x \rangle$  is independent of the  $k$  choice, while  $\langle x \rangle$  changes.

### A.3 Bivariate

We can define a bivariate lognormal - w.r.t. its eigenaxes - as the product of two univariate lognormals. Now we give the two separate average/st.dev. pairs, denoting them

$$(a_1, s_1); \quad (a_2, s_2)$$

and the conjugate variable pairs (center/width) as

$$(c_1, w_1); \quad (c_2, w_2)$$

so the LN looks like

$$LN_0(x, y) = \frac{e^{-\frac{1}{2}[\log(x) - \log(c_1)]^2/w_1^2} e^{-\frac{1}{2}[\log(y) - \log(c_2)]^2/w_2^2}}{2\pi xy w_1 w_2}$$

Things become a bit more complex when the axes are rotated. First we have to define the log-axes:

$$u = \log(x); \quad v = \log(y).$$

In the log-axes, we have a normal distribution:

$$LN_0(u, v) = \frac{e^{-\frac{1}{2}(u - \log(c_1))^2/w_1^2} e^{-\frac{1}{2}(v - \log(c_2))^2/w_2^2}}{2\pi w_1 w_2 e^{u+v}}$$

Suppose that a distribution is a LN referred to rotated log-axes (unit vectors)

$$u' \rightarrow (\cos(\phi), \sin(\phi)); \quad v' \rightarrow (-\sin(\phi), \cos(\phi))$$

corresponding to a counterclockwise rotation. The transformation is

$$\begin{cases} u' &= u \cos(\phi) + v \sin(\phi) \\ v' &= v \cos(\phi) - u \sin(\phi) \end{cases}$$

or in matrix form

$$\begin{pmatrix} u' \\ v' \end{pmatrix} = \begin{pmatrix} \cos(\phi) & \sin(\phi) \\ -\sin(\phi) & \cos(\phi) \end{pmatrix} \begin{pmatrix} u \\ v \end{pmatrix}$$

We have also to transform the center:

$$\begin{pmatrix} z_1 \\ z_2 \end{pmatrix} = \begin{pmatrix} \cos(\phi) & \sin(\phi) \\ -\sin(\phi) & \cos(\phi) \end{pmatrix} \begin{pmatrix} \log(c_1) \\ \log(c_2) \end{pmatrix}$$

Now it should suffice to substitute  $u \rightarrow u'$ ,  $v \rightarrow v'$  in the former expression.

$$LN_\phi(u, v) = \frac{e^{-\frac{1}{2}(u' - z_1)^2/w_1^2} e^{-\frac{1}{2}(v' - z_2)^2/w_2^2}}{2\pi w_1 w_2 e^{u'+v'}} \quad \Bigg| \quad u' = u \cos(\phi) + v \sin(\phi), \quad v' = v \cos(\phi) - u \sin(\phi)$$

**IMPORTANT:** The input parameters for **Debussy** [file **.par**] are those hereby defined, namely the averages and standard deviations referred to the log-axes  $(a_1, s_1); (a_2, s_2)$ , and the angle  $\phi$  (in deg.). Also the center/width pairs  $(c_1, w_1); (c_2, w_2)$  - derived from the former *via* Eq. (21), see the routine **avsd2cenlar**, are used to speed up the calculation. These parameters are intuitive but otherwise devoid of meaning when  $\phi \neq 0$ .

We can write the exponent in matrix form: call

$$T \equiv \begin{pmatrix} \cos(\phi) & \sin(\phi) \\ -\sin(\phi) & \cos(\phi) \end{pmatrix}$$

and

$$A \equiv \begin{pmatrix} \frac{1}{2w_1^2} & 0 \\ 0 & \frac{1}{2w_2^2} \end{pmatrix}; \quad U \equiv \begin{pmatrix} u - \log(c_1) \\ v - \log(c_2) \end{pmatrix}$$

then

$$LN_\phi(u, v) = \frac{e^{-U \cdot T^t A T U}}{2\pi w_1 w_2}$$

## A.4 Projected statistical informations

After so doing, we can calculate the projected averages:

$$\langle x \rangle = \int_0^{+\infty} dx \int_0^{+\infty} dy \ x \ LN_\phi(u, v) \quad (22)$$

$$= \int_{-\infty}^{+\infty} du' \int_{-\infty}^{+\infty} dv' \ e^{u' \cos(\phi) - v' \sin(\phi)} \ LN_0(u', v') \quad (23)$$

$$= c_1 \ e^{[(w_1^2 + w_2^2) + (w_1^2 - w_2^2) \cos(2\phi)]/4} \quad (24)$$

where the inverse coordinate transformation has been used. Similarly, we obtain

$$\langle y \rangle = c_2 \ e^{[(w_1^2 + w_2^2) - (w_1^2 - w_2^2) \cos(2\phi)]/4}$$

Now we examine the second moments:

$$\langle x^2 \rangle = \int_0^{+\infty} dx' \int_0^{+\infty} dy' \ e^{2(u' \cos(\phi) - v' \sin(\phi))} \ LN_0(x', y') \quad (25)$$

$$= c_1^2 \ e^{(w_1^2 + w_2^2) + (w_1^2 - w_2^2) \cos(2\phi)} \quad (26)$$

and

$$\langle y^2 \rangle = \int_0^{+\infty} dx' \int_0^{+\infty} dy' \ e^{2(u' \sin(\phi) + v' \cos(\phi))} \ LN_0(x', y') \quad (27)$$

$$= c_2^2 \ e^{(w_1^2 + w_2^2) - (w_1^2 - w_2^2) \cos(2\phi)} \quad (28)$$

and

$$\langle xy \rangle = \int_0^{+\infty} dx' \int_0^{+\infty} dy' \ e^{v' \cos(\phi) + u' \sin(\phi)} e^{u' \cos(\phi) - v' \sin(\phi)} \ LN_0(x', y') \quad (29)$$

$$= c_1 c_2 \ e^{[(w_1^2 + w_2^2) + (w_1^2 - w_2^2) \sin(2\phi)]/2} \quad (30)$$

Now we have all we need to calculate variances and covariance:

$$V_{xx} = \langle x^2 \rangle - \langle x \rangle^2 = c_1^2 \ e^{\frac{1}{2}[(w_1^2 + w_2^2) + (w_1^2 - w_2^2) \cos(2\phi)]} \left[ e^{\frac{1}{2}[(w_1^2 + w_2^2) + (w_1^2 - w_2^2) \cos(2\phi)]} - 1 \right]; \quad (31)$$

$$V_{yy} = \langle y^2 \rangle - \langle y \rangle^2 = c_2^2 \ e^{\frac{1}{2}[(w_1^2 + w_2^2) - (w_1^2 - w_2^2) \cos(2\phi)]} \left[ e^{\frac{1}{2}[(w_1^2 + w_2^2) - (w_1^2 - w_2^2) \cos(2\phi)]} - 1 \right]; \quad (32)$$

$$V_{xy} = \langle xy \rangle - \langle x \rangle \langle y \rangle = c_1 c_2 \ e^{\frac{1}{2}(w_1^2 + w_2^2)} \left[ e^{\frac{1}{2}(w_1^2 - w_2^2) \sin(2\phi)} - 1 \right]; \quad (33)$$

and as usual define the standard deviations  $(\sigma_1, \sigma_2)$  and the correlation cosine:

$$\sigma_1 \equiv V_{xx}^{1/2} = c_1 e^{\frac{1}{4}[(w_1^2+w_2^2)+(w_1^2-w_2^2)\cos(2\phi)]} \sqrt{e^{\frac{1}{2}[(w_1^2+w_2^2)+(w_1^2-w_2^2)\cos(2\phi)]} - 1} ; \quad (34)$$

$$\sigma_2 \equiv V_{yy}^{1/2} = c_2 e^{\frac{1}{4}[(w_1^2+w_2^2)-(w_1^2-w_2^2)\cos(2\phi)]} \sqrt{e^{\frac{1}{2}[(w_1^2+w_2^2)-(w_1^2-w_2^2)\cos(2\phi)]} - 1} ; \quad (35)$$

$$\cos(\psi) \equiv \frac{V_{xy}}{\sigma_1 \sigma_2} = \frac{e^{\frac{1}{2}(w_1^2-w_2^2)\sin(2\phi)} - 1}{\sqrt{[e^{\frac{1}{2}[(w_1^2+w_2^2)+(w_1^2-w_2^2)\cos(2\phi)]} - 1][e^{\frac{1}{2}[(w_1^2+w_2^2)-(w_1^2-w_2^2)\cos(2\phi)]} - 1]}} ; \quad (36)$$

and summarizing also the  $x$ -,  $y$ - averages (symbols  $\alpha_1, \alpha_2$ ):

$$\alpha_1 \equiv \langle x \rangle = c_1 e^{[(w_1^2+w_2^2)+(w_1^2-w_2^2)\cos(2\phi)]/4} ; \quad (37)$$

$$\alpha_2 \equiv \langle y \rangle = c_2 e^{[(w_1^2+w_2^2)-(w_1^2-w_2^2)\cos(2\phi)]/4} . \quad (38)$$

These quantities are supplied in output by **Debussy** as ‘projected averages/standard deviations’.

In particular, for  $\phi = 0$  we retrieve the familiar result (compare with formula at Eq. (20), replacing  $x_0$  with  $c_1, c_2$  and  $w$  with  $w_1, w_2$ ,  $\langle x \rangle$  with  $a_1, a_2$ ,  $\sigma$  with  $s_1, s_2$ ):

$$\alpha_1 = c_1 e^{w_1^2/2} = a_1 ; \quad (39)$$

$$\alpha_2 = c_2 e^{w_2^2/2} = a_2 ; \quad (40)$$

$$\sigma_1^2 = \langle x^2 \rangle - \langle x \rangle^2 = c_1^2 e^{w_1^2} [e^{w_1^2} - 1] = s_1^2 ; \quad (41)$$

$$\sigma_2^2 = \langle y^2 \rangle - \langle y \rangle^2 = c_2^2 e^{w_2^2} [e^{w_2^2} - 1] = s_2^2 ; \quad (42)$$

$$V_{xy} = \langle xy \rangle - \langle x \rangle \langle y \rangle = 0 . \quad (43)$$

## A.5 Eigensystem: statistics on the physical axes

We can form the covariance matrix

$$C = \begin{pmatrix} V_{xx} & V_{xy} \\ V_{xy} & V_{yy} \end{pmatrix}$$

whose eigenvalues, eigenvectors are

$$e_1 = \frac{1}{2}(\sigma_1^2 + \sigma_2^2 - \tau) ; \quad (44)$$

$$\mathbf{v}_1 = \frac{1}{\sqrt{2\tau}} \left( -\sqrt{\tau - (\sigma_1^2 - \sigma_2^2)}, \frac{2\sigma_1\sigma_2 \cos(\psi)}{\sqrt{\tau - (\sigma_1^2 - \sigma_2^2)}} \right) ; \quad (45)$$

$$e_2 = \frac{1}{2}(\sigma_1^2 + \sigma_2^2 + \tau) ; \quad (46)$$

$$\mathbf{v}_2 = \frac{1}{\sqrt{2\tau}} \left( +\sqrt{\tau + (\sigma_1^2 - \sigma_2^2)}, \frac{2\sigma_1\sigma_2 \cos(\psi)}{\sqrt{\tau + (\sigma_1^2 - \sigma_2^2)}} \right) . \quad (47)$$

where we set

$$\tau \equiv \sqrt{\sigma_1^4 + \sigma_2^4 + 2\sigma_1^2\sigma_2^2 \cos(2\psi)}$$

These eigen-quantities are supplied in output by **Debussy** as ‘eigen-averages / eigen-standard deviations’.

## B Instrumental resolution function: Schulz-Flory *vs.* Gamma distribution

The 1-parameter Schulz-Flory distribution (SF<sub>1</sub> hereafter) is typically defined as

$$P_{SF_1}(x) = x \log^2 p e^{x \log(p)} \quad \Bigg| \quad p \in (0, 1); \quad x \geq 0. \quad (48)$$

The corresponding 2-parameter version (SF<sub>2</sub> hereafter) is

$$P_{SF_2}(x) = \frac{e^{(\beta+1) \log(\alpha)}}{\Gamma(\beta+1)} x^\beta e^{-\alpha x} \quad \Bigg| \quad \beta > 0, \alpha > 0; \quad x > 0. \quad (49)$$

This is also called Schulz-Zimm distribution. Clearly, for  $\alpha \equiv -\log(p) \leftrightarrow p \equiv e^{-\alpha}$  and  $\beta = 1$  the two distributions coincide:

$$\frac{e^{2 \log(\alpha)} x}{\Gamma(2)} e^{-\alpha x} = x \alpha^2 e^{-\alpha x} = x \log^2(p) e^{x \log(p)} \quad (50)$$

The Gamma distribution (2-parameter version) is instead usually defined as

$$P_{GD_2}(x) = \frac{1}{e^{a \log(b)} \Gamma(a)} x^{a-1} e^{-x/b} \quad (51)$$

and it is easy to see that  $P_{GD_2}(x)$  and  $P_{SF_2}(x)$  coincide if simply  $\beta = a - 1$ ,  $\alpha = 1/\beta$  or conversely  $a = \beta + 1$ ,  $b = 1/\alpha$ .

### B.1 Exponential distribution

Note that in the limiting case  $\beta \rightarrow 0^+$ ,

$$P_{SF_2}(x) = \alpha e^{-\alpha x}$$

that is an exponential distribution. This is also the case (of course) for the Gamma distribution when  $a \rightarrow 1$ , yielding

$$P_{GD_2}(x) = \frac{1}{b} e^{-x/b}$$

### B.2 References

On gamma distributions:

Z. Fan, Y. Wu, X. Zhao and Y. Lu:

"Simulation of polycrystalline structure with Voronoi diagram in Laguerre geometry based on random closed packing of spheres."

*Comput. Mat. Sci.* **29** (2004) 301?-308

S. Kumar, S.K. Kurtz, J.R. Banavar and M.G. Sharma:

"Properties of a three-dimensional Poisson-Voronoi tessellation: a Monte Carlo study"

*J. Stat. Phys.* **67** (1992) 523-551

On SF distributions:

M. RogošiĆ, H.J Mencer and Z. Gomzi:

"Polydispersity index and molecular weight distributions of polymers"

*Eur. Polym. J.* **32** (1996) 1337-1344

### B.3 Debussy IRF parameters

IRF parameters are *DATASET* dependent. For every dataset, one must (can) give a new input string like

```
inst  [i1]    [r01] [r02] [r03] [r04] [r05] [r06] [r07]    [r08] [r09] [r10] [r11] [r12]
```

where after the label *inst* an integer *[i1]* and 12 floats *[r01] ... [r12]* are read.

- *[i1]* is the flag `INST\_FLAG`  $\rightarrow$  `INST\_FLAG_W`, that can be 0,1,2, meaning
  - 0 : no IRF will be used
  - 1 : IRF will be used. The angle-dependent part contains a Voigt where the Caglioti-FullProf (see Sec. B.6) 6 parameters give the angular dependence of the Gaussian and Lorentz FWHMs).
  - 2 : IRF will be used. The angle-dependent part contains a Voigt where the Topas (see Sec. B.7) 6 parameters give the angular dependence of the FWHM and Gaussian and Lorentzian mixing parameter (through those of the corresponding pseudo-Voigt).
- *[r01] ... [r06]* are the 6 parameters mentioned above.
- *[r07]* is the axial divergence width parameter  $\zeta$  (see Sec. B.4).
- *[r08]* is the capillary width parameter  $\xi$  (see Sec. B.5).
- *[r09]* is the wobbling width parameter  $\omega$ .
- *[r10] ... [r12]* will be defined.

### B.4 Definition of $\zeta$

The angular width  $\zeta$  must be calculated from parameters

- s* : the horizontal slit width [mm] (horizontal means orthogonal to the diffraction plane). Typ. *s*=4 mm
- h* : the horizontal detector width [mm] (horizontal means orthogonal to the diffraction plane). Typ. *s*=8 mm (MythenII)
- R* : the sample-detector distance. Typ. *R* = 762.5 mm (MythenII, layer 1)

The distribution used is a one-sided exponential (we write *x* for the general diffraction angle  $2\theta$ ,  $x_0$  for the current central  $2\theta$ ):

$$P(x) = \begin{cases} \frac{1}{\zeta \cot(x_0)} \exp\left(-\frac{|x - x_0|}{\zeta \cot(x_0)}\right) & \text{if } (x - x_0) \cot(x_0) \leq 0 \\ 0 & \text{if } (x - x_0) \cot(x_0) > 0 \end{cases} \quad (52)$$

It is normalized to 1 and its first moment is

$$\int_{-\infty}^{+\infty} dx \, x P(x) = -\zeta \cot(x_0).$$

Comparing it with that of the (ugly) exact function as in [E. Prince and B. H. Toby, *J. Appl. Cryst.* (2005) **38**, 804-807] : Eqs. (1, 4a)] it turns out that we must have (in degrees)

$$\zeta = \frac{180}{\pi} \left( \frac{h^2 + s^2}{24 R^2} \right) \quad (53)$$

## B.5 Definition of $\xi$

The angular width  $\xi$  must be calculated from parameters

$d$  : the capillary diameter. Typ.  $d=0.3$  mm

$R$  : the sample-detector distance. Typ.  $R=762.5$  mm (MythenII, layer 1)

Then simply

$$\xi = \frac{180}{\pi} \left( \frac{d}{2R} \right) \quad (54)$$

## B.6 Caglioti-FullProf parameters

The Voigt function is the convolution of a Gaussian

$$G(x) = \frac{1}{\sigma\sqrt{2\pi}} \exp\left(-\frac{1}{2} \frac{x^2}{\sigma^2}\right) \quad (55)$$

and a Lorentzian or Cauchy function

$$L(x) = \frac{\pi}{w} \frac{1}{1 + (x/w)^2} \quad (56)$$

so

$$V(x) = \int_{-\infty}^{+\infty} dx' G(x') L(x - x').$$

Note that the FWHM of the two cuves are

$$\text{FWHM}_G = 2\sigma\sqrt{2\log(2)}; \quad \text{FWHM}_L = 2w.$$

Modern evaluation of  $V(x)$  is performed using the complex error function (Faddaevea function). In the Caglioti-FullProf parametrization, the widths  $\sigma$  and  $w$  are given by

$$\begin{aligned} \sigma &= \frac{1}{2\sqrt{2\log(2)}} \sqrt{U \tan^2(\theta) + V \tan(\theta) + W} \\ w &= X \tan(\theta) + Y \sec(\theta) + Z \end{aligned} \quad (57)$$

where  $U, V, W, X, Y, Z$  are the [r01]...[r06] input values (in the same order). Care must be taken that the square root argument remains  $> 0$ !



## B.7 Psudo-Voigt Topas parameters

This parametrization relies on approximating the  $V(x)$  by a pseudo-Voigt function. In this case, a global FWHM  $F$  and a mixing parameter  $\eta$  ( $0 \leq \eta \leq 1$ ) are given directly by the parameters:

$$\begin{aligned} F &= h_a + h_b \tan(\theta) + h_c \sec(\theta) \\ \eta &= \text{lor}_a + \text{lor}_b \tan(\theta) + \text{lor}_c \sec(\theta) \end{aligned} \quad (58)$$

where  $h_a, h_b, h_c, \text{lor}_a, \text{lor}_b, \text{lor}_c$  are the [r01] . . . [r06] input values (in the same order). We transform now  $F, \eta$  into the corresponding  $\sigma, w$  in order to evaluate a Voigt profile. We first solve numerically the equation

$$\eta = y(1.36603 - 0.47719y + 0.11116y^2)$$

where

$$y = \frac{2w}{F} \quad \leftrightarrow \quad w = \frac{yF}{2}$$

Then we solve numerically the equation (valid for a Voigt)

$$F = 0.5346(2w) + \sqrt{0.2166(4w^2) + 8 \log(2)\sigma^2} = 0.5346yF + \sqrt{0.2166y^2F^2 + 5.545177444479562\sigma^2}$$

for the separate width  $\sigma, w$ .

## C Error estimation and propagation

TO DO ..