

# PHYS 304

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## Preliminaries

### Spherical coordinates

$$\begin{cases} x = r \sin(\theta) \cos(\phi) \\ y = r \sin(\theta) \sin(\phi) \\ z = r \cos(\theta) \end{cases} \quad \begin{cases} r = \sqrt{x^2 + y^2 + z^2} \\ \theta = \arctan\left(\sqrt{x^2 + y^2}/z\right) \\ \phi = \arctan(y/x) \end{cases}$$

$\theta$  is the polar angle, measured from  $+z$  axis.  $\phi$  is the azimuthal angle, measured from the  $+x$  axis. Cartesian basis  $\underline{e}_i$  are dependent on  $\underline{s}_j(r, \theta, \phi)$

$$\begin{cases} \underline{e}_1 = \sin(\theta) \cos(\phi) \underline{s}_1 + \cos(\theta) \cos(\phi) \underline{s}_2 - \sin(\phi) \underline{s}_3 \\ \underline{e}_2 = \sin(\theta) \sin(\phi) \underline{s}_1 + \cos(\theta) \sin(\phi) \underline{s}_2 + \cos(\phi) \underline{s}_3 \\ \underline{e}_3 = \cos(\theta) \underline{s}_1 - \sin(\theta) \underline{s}_2 \end{cases}$$

Spherical basis in terms of Cartesian basis

$$\begin{cases} \underline{s}_1 = \sin(\theta) \cos(\phi) \underline{e}_1 + \sin(\theta) \sin(\phi) \underline{e}_2 + \cos(\theta) \underline{e}_3 \\ \underline{s}_2 = \cos(\theta) \cos(\phi) \underline{e}_1 + \cos(\theta) \sin(\phi) \underline{e}_2 - \sin(\theta) \underline{e}_3 \\ \underline{s}_3 = -\sin(\phi) \underline{e}_1 + \cos(\phi) \underline{e}_2 \end{cases}$$

$\underline{s}_i$  are dependent on  $r, \theta, \phi$  themselves.

$$dV = dx dy dz \mapsto r^2 \sin(\theta) dr d\theta d\phi$$

### Gradient

$$\underline{\nabla} u = \frac{\partial u}{\partial r} \underline{s}_1 + \frac{1}{r} \frac{\partial u}{\partial \theta} \underline{s}_2 + \frac{1}{r \sin(\theta)} \frac{\partial u}{\partial \phi} \underline{s}_3$$

### Divergence

$$\underline{\nabla} \cdot \underline{u} = \frac{1}{r^2} \frac{\partial}{\partial r} (r^2 u_r) + \frac{1}{r \sin(\theta)} \frac{\partial}{\partial \theta} (\sin(\theta) u_\theta) + \frac{1}{r \sin(\theta)} \frac{\partial u_\phi}{\partial \phi}$$

### Curl

$$\begin{aligned} \underline{\nabla} \times \underline{u} &= \frac{1}{r \sin(\theta)} \left[ \frac{\partial}{\partial \theta} (\sin(\theta) u_\phi) - \frac{\partial u_\theta}{\partial \phi} \right] \underline{s}_1 \\ &+ \frac{1}{r} \left[ \frac{1}{\sin(\theta)} \frac{\partial u_r}{\partial \phi} - \frac{\partial}{\partial r} (r u_\phi) \right] \underline{s}_2 + \frac{1}{r} \left[ \frac{\partial}{\partial r} (r u_\theta) - \frac{\partial u_r}{\partial \theta} \right] \underline{s}_3 \end{aligned}$$

### Laplacian

$$\nabla^2 u = \frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial u}{\partial r} \right) + \frac{1}{r^2 \sin(\theta)} \frac{\partial}{\partial \theta} \left( \sin(\theta) \frac{\partial u}{\partial \theta} \right) + \frac{1}{r^2 \sin^2(\theta)} \frac{\partial^2 u}{\partial \phi^2}$$

Alternatively, we can replace

$$\frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial}{\partial r} \right) \mapsto \frac{1}{r} \frac{\partial^2}{\partial r^2} r$$

## Cylindrical coordinates

$$\begin{cases} x = r \cos(\phi) \\ y = r \sin(\phi) \\ z = z \end{cases} \quad \begin{cases} r = \sqrt{x^2 + y^2} \\ \phi = \arctan(y/x) \\ z = z \end{cases}$$

$r$  is the distance to the closest point on the  $z$  axis (different from spherical coordinates).  $\phi$  is the azimuthal angle, measured from the  $+x$  axis.

$$\begin{cases} \underline{e}_1 = \cos(\phi) \underline{s}_1 - \sin(\phi) \underline{s}_2 \\ \underline{e}_2 = \sin(\phi) \underline{s}_1 + \cos(\phi) \underline{s}_2 \\ \underline{e}_3 = \underline{s}_3 \end{cases} \quad \begin{cases} \underline{s}_1 = \cos(\phi) \underline{e}_1 + \sin(\phi) \underline{e}_2 \\ \underline{s}_2 = -\sin(\phi) \underline{e}_1 + \cos(\phi) \underline{e}_2 \\ \underline{s}_3 = \underline{e}_3 \end{cases}$$

$$dx dy dz \mapsto r dr d\phi dz$$

### Gradient

$$\underline{\nabla} u = \frac{\partial u}{\partial r} \underline{s}_1 + \frac{1}{r} \frac{\partial u}{\partial \phi} \underline{s}_2 + \frac{\partial u}{\partial z} \underline{s}_3$$

### Divergence

$$\underline{\nabla} \cdot \underline{u} = \frac{1}{r} \frac{\partial}{\partial r} (r u_1) + \frac{1}{r} \frac{\partial u_2}{\partial \phi} + \frac{\partial u_3}{\partial z}$$

### Curl

$$\begin{aligned} \underline{\nabla} \times \underline{u} &= \left[ \frac{1}{r} \frac{\partial u_3}{\partial \phi} - \frac{\partial u_2}{\partial z} \right] \underline{s}_1 + \left[ \frac{\partial u_1}{\partial z} - \frac{\partial u_3}{\partial r} \right] \underline{s}_2 \\ &+ \frac{1}{r} \left[ \frac{\partial}{\partial r} (r u_2) - \frac{\partial u_1}{\partial \phi} \right] \underline{s}_3 \end{aligned}$$

### Laplacian

$$\nabla^2 u = \frac{1}{r} \frac{\partial}{\partial r} \left( r \frac{\partial u}{\partial r} \right) + \frac{1}{r^2} \frac{\partial^2 u}{\partial \phi^2} + \frac{\partial^2 u}{\partial z^2}$$

## Vectors

A vector space is a set of vectors,  $(|\alpha\rangle, |\beta\rangle, \dots)$  a set of scalars,  $(a, b, \dots)$ , that is closed under vector addition and scalar multiplication.

- $\alpha, \beta$  are labels for some vector. We can equally label vectors by numbers, such as  $|1\rangle$

The set  $|\alpha\rangle, |\beta\rangle, \dots$  is *linearly independent* if the only combination that gives the zero vector

$$a |\alpha\rangle + b |\beta\rangle + \dots = \underline{0}$$

is for all  $a, b, \dots = 0$ .

Given a  $n$  dimension basis,

$$|e_1\rangle, |e_2\rangle, \dots, |e_n\rangle$$

we can always expand vectors in a linear combination of the basis vectors:

$$|\alpha\rangle = \sum_{i=1}^n a_i |e_i\rangle$$

The coefficients  $a_i$  for  $n = 1, 2, \dots, n$  are the components of  $|\alpha\rangle$  under a particular basis.

## Inner Products

A vector space endowed with an inner product is also an *inner product space*. We denote the inner product of  $|\alpha\rangle$  and  $|\beta\rangle$  as  $\langle \alpha | \beta \rangle$ .

- Noncommutative:  $\langle \alpha | \beta \rangle = \langle \beta | \alpha \rangle^*$
- Distributive:  $\langle \alpha | (b |\beta\rangle + c |\gamma\rangle) \rangle = b \langle \alpha | \beta \rangle + c \langle \alpha | \gamma \rangle$
- $\langle \alpha | \alpha \rangle \geq 0$
- $\langle \alpha | \alpha \rangle = 0$  iff  $|\alpha\rangle = 0$
- $\|\alpha\|^2 = \langle \alpha | \alpha \rangle$

A set of vectors is orthonormal when

$$\langle \alpha_i | \alpha_j \rangle = \delta_{ij}$$

Its always possible to choose an orthonormal basis to express our vectors in. With an orthonormal basis,

$$\langle \alpha | \beta \rangle = \sum_{i=1}^n a_i^* b_i$$

Under the inner product:

- Multiplication by a constant:

$$\langle c \alpha | \beta \rangle = c^* \langle \alpha | \beta \rangle$$

$$\langle \alpha | c \beta \rangle = c \langle \alpha | \beta \rangle$$

- For a linear transformation  $\hat{T}$ :

$$\langle \hat{T} \alpha | \beta \rangle = \langle \alpha | \hat{T}^\dagger \beta \rangle$$

$$\langle \hat{T}^\dagger \alpha | \beta \rangle = \langle \alpha | \hat{T} \beta \rangle$$

We are abusing the notation here:  $\langle \hat{T} \alpha | \beta \rangle$ . Since  $\alpha, \beta$  are labels, not vectors,  $\hat{T}$  acting on a label has no meaning.  $\hat{T} \beta$  means  $\hat{T} |\beta\rangle$ . The following are unproven:

Schwarz inequality:

$$|\langle \alpha | \beta \rangle|^2 \leq \langle \alpha | \alpha \rangle \langle \beta | \beta \rangle$$

## Matrices

All operators in quantum mechanics are linear transformations.

A transformation is linear when

$$\hat{T}(a|\alpha\rangle + b|\beta\rangle) = a\hat{T}|\alpha\rangle + b\hat{T}|\beta\rangle$$

If we know how  $\hat{T}$  transforms a basis, we can find out how it will transform any vectors expressible by that basis

$$\hat{T}|e_j\rangle = \sum_{i=1}^n T_{ij}|e_i\rangle \quad j = 1, 2, \dots$$

Consider a vector  $|\alpha\rangle$ .

$$\begin{aligned} \hat{T}|\alpha\rangle &= \hat{T} \sum_{j=1}^n a_j |e_j\rangle \\ &= \sum_{j=1}^n \sum_{i=1}^n a_j T_{ij} |e_i\rangle \end{aligned}$$

we can exchange the summations, and make it look more suggestive:

$$\sum_{i=1}^n \left( \sum_{j=1}^n a_j T_{ij} \right) |e_i\rangle$$

So  $\hat{T}$  transforms a vector with components  $a_1, a_2, \dots$  into a vector with components

$$a'_i = \sum_{j=1}^n a_j T_{ij}$$

- With an orthonormal basis,

$$T_{ij} = \langle e_i | \hat{T} | e_j \rangle$$

- A transformation is hermitian iff  $\hat{T}^\dagger = \hat{T}$  if it is invariant under hermitian conjugation (conjugate transpose)
- Assuming an orthonormal basis,  $\langle \alpha | \beta \rangle = \underline{a}^\dagger \underline{b}$ , where  $\underline{a}$  and  $\underline{b}$  are column vectors
- Anti-hermitian/skew-hermitian operator:  $\hat{T}^\dagger = -\hat{T}$
- $(\hat{A}\hat{B} \dots \hat{Z})^\dagger = \hat{Z}^\dagger \dots \hat{B}^\dagger \hat{A}^\dagger$
- Matrix transpose:  $\underline{T} \mapsto \tilde{\underline{T}}$

If  $\underline{A}$  is an unitary matrix, then

- $\underline{A}\underline{A}^\dagger = \underline{A}^\dagger \underline{A} = \underline{I} \implies \underline{A}^\dagger = \underline{A}^{-1}$
- Columns of  $\underline{A}$  are orthonormal
- Rows of  $\underline{A}$  are orthonormal
- $\|\underline{A}\|_2 = 1$

The inverse of  $\underline{A}$  only exists if  $\det(\underline{A}) \neq 0$ .

The determinant of any diagonal square matrix is the product of its diagonal entries.

## Changing basis

A change of basis can be represented by a linear transformation. We can expand old basis vectors in terms of new ones:

$$|e_j\rangle = \sum_{i=1}^n S_{ij} |f_i\rangle$$

## Eigenvectors and eigenvalues

The collection of all eigenvalues of a matrix is its *spectrum*.

The spectrum is degenerate when two or more linearly independent eigenvectors share the same eigenvalue.

### Diagonalizability

$\underline{A}$  is **diagonalizable** if there exists an invertible matrix  $\underline{P}$  and a diagonal matrix  $\underline{D}$  such that  $\underline{A} = \underline{P}\underline{D}\underline{P}^{-1}$ .

$\underline{A}$  is **diagonalizable** if the columns of  $\underline{P}$  are eigenvectors and the diagonal entries of  $\underline{D}$  are the corresponding eigenvalues of  $\underline{A}$ , where  $\underline{A} = \underline{P}\underline{D}\underline{P}^{-1}$ .

The multiplicity of an eigenvalue is the number of times it appears as a root to the characteristic polynomial.

If a square matrix  $\underline{A}$  has distinct eigenvalues (all eigenvalues have multiplicity 1), then  $\underline{A}$  is diagonalizable.

A square matrix  $\underline{A}$  is diagonalizable iff every eigenvalue  $\lambda$  of multiplicity  $m$  yields  $m$  basic eigenvectors.

(Every eigenvalue of algebraic multiplicity of  $m$  also has  $m$  geometric multiplicity. So some matrices with repeated eigenvalues are also diagonalizable.)

All *normal* matrices are diagonalizable. A matrix is normal when it commutes with its hermitian conjugate:

$$[\underline{A}^\dagger, \underline{A}] = 0$$

Always diagonalizable:

- Matrices with a basis of eigenvectors
- Matrices with distinct eigenvalues
- Hermitian matrices
- Non-zero orthogonal projection matrices

Sometimes diagonalizable:

- Matrices with repeated eigenvalues

## Applications of diagonalization

Suppose  $\underline{A} = \underline{P}\underline{D}\underline{P}^{-1}$ .

1. Recall two properties of the determinant:

$$\det(\underline{BC}) = \det(\underline{B}) \det(\underline{C})$$

$$\det(\underline{B}^{-1}) = 1/\det(\underline{B})$$

Then,

$$\det(\underline{A}) = \det(\underline{P}) \det(\underline{D}) \det(\underline{P}^{-1}) = \det(\underline{D})$$

so the determinant of a diagonalizable matrix is the product of its eigenvalues

2. The trace of a square matrix is the sum of its entries on the main diagonal. We have the following property

$$\text{tr}(\underline{AB}) = \text{tr}(\underline{BA})$$

Therefore,

$$\text{tr}(\underline{A}) = \text{tr}(\underline{P}\underline{D}\underline{P}^{-1}) = \text{tr}(\underline{P}\underline{P}^{-1}\underline{D}) = \text{tr}(\underline{D})$$

So the trace of a diagonalizable matrix is the sum of its eigenvalues

3. The  $k^{\text{th}}$  power of a diagonalizable matrix, for  $k \geq 0$ , can be computed by

$$\underline{A}^k = \underline{P}\underline{D}^k\underline{P}^{-1}$$

If all eigenvalues of  $\underline{A}$  are non-zero, then

$$\underline{D}^k = \begin{bmatrix} \lambda_1^k & 0 & & 0 \\ 0 & \lambda_2^k & & 0 \\ & & \ddots & \\ 0 & 0 & & \lambda_n^k \end{bmatrix}$$

$\forall k \in \mathbb{Z}$ .

In general, even for non-diagonalizable matrices,

$$\det(\underline{A}) = \lambda_1 \dots \lambda_n$$

$$\text{tr}(\underline{A}) = \lambda_1 + \dots + \lambda_n$$

## Hermitian transformations

Properties:

1. Eigenvalues of a hermitian transformation are real
2. Eigenvectors a hermitian transformation belonging to distinct eigenvalues are orthogonal
3. Eigenvectors of a hermitian transformation form an eigenbasis

*Spectral theorem:* Let  $\underline{A}$  be a Hermitian matrix. There exists an unitary matrix  $\underline{P}$  and diagonal matrix  $\underline{D}$  such that

$$\underline{A} = \underline{P} \underline{D} \underline{P}^\dagger$$

and  $\underline{A}$  is diagonalizable ("orthogonally diagonalizable").

The columns of  $\underline{P}$  are a set of orthonormal eigenvectors, and the diagonal entries of  $\underline{D}$  are the eigenvalues.

### Leibniz's rule

Recall, for  $a, b \in \mathbb{R}$ , and  $f(x) = dF(x)/dx$ , the fundamental theorem of calculus

$$I = \int_a^b f(t) dt = F(b) - F(a)$$

Clearly,

$$\frac{d}{dx} I = 0$$

since we are differentiating a number.

Consider now

$$I = \int_a^x f(t) dt = F(x) - F(a)$$

If we differentiate w.r.t.  $x$ , we get

$$\frac{d}{dx} I = \underbrace{\frac{d}{dx} F(x)}_{=f(x)} - 0$$

Similarly,

$$\frac{d}{dx} \int_x^b f(t) dt = -f(x)$$

Let's now go to a more general case. Consider integration of  $f$  over functions  $v$  and  $u$  of  $x$ .

$$I(u, v) = \int_{u(x)}^{v(x)} f(t) dt$$

$I$  should have no terms that "explicitly" depend on  $x$  alone.

By the chain rule,

$$\frac{dI}{dx} = \frac{\partial I}{\partial u} \frac{du}{dx} + \frac{\partial I}{\partial v} \frac{dv}{dx}$$

This gets us to

$$\frac{d}{dx} \int_{u(x)}^{v(x)} f(t) dt = f(v) \frac{dv}{dx} - f(u) \frac{du}{dx}$$

Consider the following equality:

$$\frac{d}{dx} \int_a^b f(x, t) dt = \int_a^b \frac{\partial f(x, t)}{\partial x} dt$$

the equality holds when both integrals exists.

**Leibniz's rule:** Consider functions  $f(x, t)$ ,  $v(x)$ ,  $u(x)$ . *Leibniz's rule* gives a formula to the total derivative of an definite integral:

$$\begin{aligned} \frac{d}{dx} \int_{u(x)}^{v(x)} f(x, t) dt &= f(x, v) \frac{dv}{dx} \\ &\quad - f(x, u) \frac{du}{dx} + \int_u^v \frac{\partial f}{\partial x} dt \end{aligned}$$

The rule holds when both integrals in the relation exist.

The Leibniz rule give us a nice way to evaluate some integrals. We know

$$I(b) = \int_0^\infty e^{-bx} dx = \frac{1}{b}$$

Repeated differentiation by  $b$  gives:

$$\int_0^\infty x^n e^{-bx} dx = \frac{n!}{b^{n+1}}$$

We know

$$I = \int_{-\infty}^\infty e^{-ax^2} dx = \sqrt{\frac{\pi}{a}}$$

Differentiating both sides by  $a$ .

## The wave function

### The Schrödinger equation

In classical mechanics, given appropriate initial and boundary conditions, we can completely determine the behaviour of a conservative system using Newton's laws.

Given initial conditions  $(\Psi(x, 0))$  the Schrödinger equations determines the wave function  $\Psi(x, t)$  for all future times

$$i\hbar \frac{\partial \Psi}{\partial t} = -\frac{\hbar^2}{2m} \frac{\partial^2 \Psi}{\partial x^2} + V\Psi,$$

where  $\hbar = h/2\pi = 1.054 \times 10^{-34}$  Joule-seconds.

Fundamental laws in physics are postulated. Newton nor Schrödinger derived their equations.

- $\hbar$  is also called a coupling constant.

## The statistical interpretation

The wave function is a complex object that spreads out through all of space.

**Born's statistical interpretation:**

$$\int_a^b |\Psi(x, t)|^2 dx$$

is the probability of finding the particle between  $a$  and  $b$  at time  $t$

Quantum mechanics offers statistical information about the possible results. It does not predict with certainty.

If we make a measurement, and finds the particle at a point  $C$ , where was the particle just before the measurement was made?

**Realist:** particles do not have an indeterminant position. And quantum mechanics is an incomplete theory. There is some additional information missing.

**Orthodox (Copenhagen):** the act of measurement compels the particle to take on a definite position. We do not ask why it does so (this is the measurement problem).

**Agnostic:** refuse to answer. It does not make sense to worry about what happens before the measurement was made, since this cannot be tested.

The current agreed upon interpretation is the orthodox position.

Making a measurement *collapses* the wave function to a sharp peak about a point. It then relaxes again. Fast repeated measurements yield similar results since it some time for the wave function to spread out.

## Probability

### Discrete Variables

Given outcomes  $j$ , a discrete variable,  $N: \mathbb{Z} \rightarrow \mathbb{Z}$ , gives  $N(j)$ , a measure of likelihood for each possible  $j$ .

- The probability of outcome  $j$  is  $P(j) = N(j)/N$ .

The probability for all outcome must sum to 1:

$$\sum_j P(j) = 1$$

- *Median* outcome,  $i$ , is the outcome for which  $P(j > i) = P(j < i)$
- Most probable outcome is  $\max_j P(j)$

The average outcome (or expectation value), is

$$\langle j \rangle = \frac{1}{N(j)} \sum_j j N(j) = \sum_j j P(j)$$

**Average/Expectation value:** The average value of some function of  $j$  is

$$\langle f(j) \rangle = \sum_j f(j) P(j)$$

Since the average of the deviation is zero, we define average of the square of the deviation to be the measure of spread - the *variance*:

$$\sigma^2 = \langle (\Delta j)^2 \rangle,$$

where  $\Delta j = j - \langle j \rangle$  is the *deviation*.

The square root of variance is the standard deviation.

**Variance identity:**  $\sigma^2 = \langle j^2 \rangle - \langle j \rangle^2 \geq 0$

The average of the squares is greater than the square of the average. They are equal only when  $\sigma = 0$ , the case where the data do not spread at all.

## Continuous Variables

It only makes sense speak about the probability that an outcome  $x$  lies in some interval.

**Probability density function:** The probability that a outcome lies between  $x$  and  $x + dx$  is  $\rho(x) dx$ .

The probability that an outcome lies between  $[a, b]$  is

$$P_{ab} = \int_a^b \rho(x) dx$$

We require

$$\int_{-\infty}^{\infty} \rho(x) dx = 1$$

The average is

$$\langle x \rangle = \int_{-\infty}^{\infty} x \rho(x) dx$$

The average of a function of  $x$  is

$$\langle f(j) \rangle = \int_{-\infty}^{\infty} f(x) \rho(x) dx$$

And the standard deviation:

$$\sigma^2 = \langle (\Delta x)^2 \rangle = \langle x^2 \rangle - \langle x \rangle^2$$

## Normalization

We require

$$\int_{-\infty}^{\infty} |\Psi(x, t)|^2 dx = \int_{-\infty}^{\infty} \Psi^* \Psi dx = 1,$$

where  $\Psi^*$  denotes the complex conjugate of  $\Psi$ .

**Physical solutions:** are square-integrable, and are normalizable.

**Conservation of probability:** The Schrödinger equation preserves the normalization of the wave function. This is true when the integral has no time dependence.

$$\frac{d}{dt} \int_{-\infty}^{\infty} |\Psi(x, t)|^2 dx = 0$$

We can derive this result by noting  $|\Psi|^2 = \Psi^* \Psi$ , using product rule on  $\Psi^* \Psi$ , substituting the Schrödinger equation.

On the assumptions that

$$\lim_{x \rightarrow \pm\infty} \Psi(x, t) = 0, \text{ and } \text{Im}\{V(x)\} = 0$$

## Probability current

Recall the “continuity equation” in electrodynamics.

$$-\nabla \cdot \underline{J} = \frac{\partial \rho}{\partial t}$$

This is the statement of the conservation of charge. If we volume integrate both sides and invoke Stoke’s theorem, we get that the negative current flux is equal to the rate of change in charge.

A similar parallel can be drawn in quantum mechanics by defining a “probability current”.

**Probability current:** is the rate at which probability is flowing past the point  $x$  (units 1/s):

$$J = \frac{i\hbar}{2m} \left( \Psi \frac{\partial \Psi^*}{\partial x} - \Psi^* \frac{\partial \Psi}{\partial x} \right)$$

We can show that

$$\frac{d}{dt} \int_a^b |\Psi|^2 dx = J(a, t) - J(b, t)$$

The conservation of probability in 1-D quantum mechanics is that statement:

$$\frac{\partial J}{\partial x} + \frac{\partial |\Psi|^2}{\partial t} = 0$$

We will see that in higher dimensions, the “continuity equation” takes on an identical form as the one we encountered in electrodynamics.

In scattering, where we have left and right plane waves on both sides of some potential,

$$\begin{aligned} \psi_{\text{left}} &= Ae^{ikx} + Be^{-ikx} \\ \psi_{\text{right}} &= Ce^{ikx} + Be^{-ikx} \end{aligned}$$

we have  $J_{\text{left}} = J_{\text{right}}$ .

## Momentum

The expectation value of  $x$  is

$$\langle x \rangle = \int_{-\infty}^{\infty} x |\Psi(x, t)|^2 dx$$

interpreted as the average of measurements performed using *identical particles* all described by the same  $\Psi$ .

It is not the average of repeated measurements on the same particle, as the wave function collapses after initial measurement.

We postulate that  $\langle v \rangle$  is equal to  $d\langle x \rangle / dt$ . Since  $p = mv$ , the expectation value of momentum,  $\langle p \rangle$  is

$$\langle p \rangle = m \langle v \rangle = -i\hbar \int \Psi^* \frac{\partial \Psi}{\partial x} dx$$

This correspondance of  $md\langle x \rangle / dt = \langle p \rangle$ , along with  $d\langle p \rangle / dt = -\langle dV / dt \rangle$  is also known as Ehrenfest theorem.

The expectation values appear to obey Newton’s classical equations. This is only partially true. (See wiki article on why this is not the case).

Our derivation of expectation value of momentum relied on the Schrödinger equation. We can arrive at the same result using the notion of operators.

Without the notion of operators, let’s compute  $d\langle x \rangle / dt$ .

$$\begin{aligned} \frac{d\langle x \rangle}{dt} &= \int x \frac{\partial}{\partial t} |\Psi|^2 dx \\ &= \int x \left( \frac{\partial \Psi^*}{\partial t} \Psi + \Psi^* \frac{\partial \Psi}{\partial t} \right) dx \end{aligned}$$

Using the Schrödinger equation, we can convert the time derivatives into spatial derivatives:

$$= \frac{i\hbar}{2m} \int x \frac{\partial}{\partial x} \left( \Psi^* \frac{\partial \Psi}{\partial x} + \Psi \frac{\partial \Psi^*}{\partial x} \right) dx$$

Applying integration by parts, and the assumption that  $\Psi \rightarrow 0$  for  $x \rightarrow \pm\infty$ , we recover the expectation value for the momentum of a particle in 1-D.

## Operators

Operators map functions to functions. We denote operators with a hat:

$$\begin{aligned}\hat{x} &= x && \text{position operator} \\ \hat{p} &= -i\hbar \frac{\partial}{\partial x} && \text{momentum operator} \\ \hat{T} &= \frac{-\hbar^2}{2m} \frac{\partial^2}{\partial x^2} && \text{kinetic energy operator}\end{aligned}$$

Operators do not depend on time. This is why we do not differentiate  $x$  with respect to time when computing  $d\langle x \rangle/dt$ .

The expectation values can be found by sandwiching operators between  $\Psi^*$  and  $\Psi$ :

$$\langle x \rangle = \int \Psi^* [x] \Psi dx$$

and

$$\langle p \rangle = \int \Psi^* \left[ -i\hbar \frac{\partial}{\partial x} \right] \Psi dx$$

The expectation value of any dynamical quantity  $Q(x, p)$  can be found sandwiching an operator between  $\Psi^*$  and  $\Psi$ :

$$\langle Q(x, p) \rangle = \int \Psi^* \left[ Q\left(x, -i\hbar \frac{\partial}{\partial x}\right) \right] \Psi dx$$

Properties of operators:

- Like matrices, operators can act to the left or to the right
- Operators,  $\hat{a}$ ,  $\hat{b}$ , commute when  $\hat{a}\hat{b} = \hat{b}\hat{a}$

A Hermitian (self-adjoint) operator is an operator whose expectation value is real.

$$\langle \hat{p} \rangle^* = \langle \hat{p} \rangle$$

- Powers of Hermitian operators are also Hermitian operators

Position and momentum operators are Hermitian. Such that

$$\hat{x}^\dagger = \hat{x}$$

$$\hat{p}^\dagger = \hat{p}$$

sup dagger represent complex conjugation.

When  $\Psi = \Psi^*$  ( $\Psi$  is real),  $\langle p \rangle = 0$ .

$$\begin{aligned}\langle p \rangle &= \int \Psi^* \hat{p} \Psi dx = -i\hbar \int \Psi^* \frac{\partial \Psi}{\partial x} dx \\ &= 0\end{aligned}$$

The right will have imaginary components. But since  $\hat{p}$  is self-adjoint, its expectation value cannot be imaginary, so the right must be zero.

When manipulating operators, its a good practice to assign a test function for the operators to act on. We can throw the test function away afterwards.

## Uncertainty principle

Waves can have a well defined wavelength, but an ill-defined position, since it is spread out.

Waves can also have a well defined position (a single bump), but an ill-defined wavelength, since there is little periodicity.

The wavelength of  $\Psi$  is related to the momentum of particle, by the de Broglie wavelength:

$$p = \frac{h}{\lambda} = \frac{2\pi\hbar}{\lambda}$$

The more precise the position measurement of a particle is, the less precise is its momentum measurement.

$$\sigma_x \sigma_p \geq \hbar/2$$

Measurements on systems with  $\Psi$  that is a single localized spike will produce widely scattered momentum measurements.

Measurements on systems with  $\Psi$  that is a long sine wave will produces widely scattered position measurements.

When considering only one of  $\sigma_x$  or  $\sigma_p$ , there is no limit on how big or small it can be.

## Time-independent Schrödinger equation

### Stationary states

A time-independent potential,  $V$ , allows us to use separation of variables.

We write  $\Psi(x, t) = \psi(x) \phi(t)$  and substitute into the Schrödinger equation.

$$i\hbar \psi \frac{d\phi}{dt} = -\frac{\hbar^2}{2m} \frac{d^2\psi}{dx^2} \phi + V \psi \phi$$

$$i\hbar \frac{1}{\phi} \frac{d\phi}{dt} = -\frac{\hbar^2}{2m} \frac{1}{\psi} \frac{d^2\psi}{dx^2} + V$$

Setting the both sides to equal a constant  $E$ , we get two ODEs:

$$\frac{d\phi}{dt} = -\frac{iE}{\hbar} \phi$$

and

$$-\frac{\hbar^2}{2m} \frac{1}{\psi} \frac{d^2\psi}{dx^2} + V = E$$

A single solution to the 1-D Schrödinger equation has the form  $\Psi(x, t) = \psi(x) \phi(t)$ , where

$$\phi(t) = \exp(-iEt/\hbar)$$

and  $\psi$  solves the time independent Schrödinger equation

$$-\frac{\hbar^2}{2m} \frac{d^2\psi}{dx^2} + V \psi = E \psi$$

All normalizable solutions satisfy  $E > V_{\min}$

**Stationary states:** Solutions of the form  $\Psi(x, t) = \psi(x) e^{-iEt/\hbar}$  are stationary states since their probability density functions are time independent

$$\Psi^* \Psi = \psi^* e^{iEt/\hbar} \psi e^{-iEt/\hbar} = \psi^* \psi$$

### The Hamiltonian operator

The Hamiltonian operator is a linear operator.

$$\hat{H} = \frac{-\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + V(x)$$

we can write the time-independent Schrödinger equation as

$$\hat{H} \psi = E \psi$$

The total energy of a particle is the Hamiltonian (kinetic plus potential energy):

$$H(x, p) = \frac{p^2}{2m} + V(x)$$

The Hamiltonian operator is obtained by substituting  $p$  for the momentum operator:

$$\hat{H} = -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + V(x)$$

- $\psi$ , an eigenstate of  $\hat{H}$
- $E$  is the corresponding eigenvalue

Any expectation value for a single eigenstate cannot depend on time.

If  $\hat{H}\Psi_1 = E_1\Psi_1$ , and  $\hat{H}\Psi_2 = E_2\Psi_2$ , and  $E_1 \neq E_2$ , (the Hamiltonian is not “degenerate”), so both  $\Psi_1$  and  $\Psi_2$  are eigenstates of the Hamiltonian.

But  $\Psi_1 + \Psi_2$  cannot be an eigenstate.

$$\begin{aligned}\hat{H}(\Psi_1 + \Psi_2) &= \hat{H}\Psi_1 + \hat{H}\Psi_2 \\ &= E_1\Psi_1 + E_2\Psi_2 \\ &\neq \lambda(\Psi_1 + \Psi_2)\end{aligned}$$

The expectation value of the Hamiltonian:

$$\langle H \rangle = E \underbrace{\int \psi^* \psi dx}_{=1} = E$$

The expectation value of the square of the Hamiltonian:

$$\langle H^2 \rangle = \int \psi^* \hat{H}^2 \psi dx = E^2$$

Every eigenstate has definite energy:

$$\sigma_H^2 = \langle H^2 \rangle - \langle H \rangle^2 = 0$$

$\hat{H}$  is a linear operator implies: for  $c_n \in \mathbb{C}$ .

$$\Psi(x, t) = \sum_{n=1}^{\infty} c_n \psi_n e^{-iE_n t/\hbar}$$

is the most general solution to the 1-D Schrödinger equation.

Recall the linear differential operators we defined in the study of PDEs. Their solutions took a similar form.

The time-independent Schrödinger equation yields an infinite set of solutions, each with an associated energy. By taking a linear combination of  $\Psi_n$ , we can find a solution that matches the initial condition and boundary conditions.

Each eigenstate  $\Psi_n$  is a stationary state, but a superposition of eigenstates do not have to be a stationary state.

Each  $c_n^* c_n$  is the probability that a measurement of the energy would return  $E_n$ . So the sum of all  $|c_n|^2$  must equal 1.

The expectation value of a time-independent Hamiltonian for a superposition of eigenstate is time-independent

$$\langle \hat{H} \rangle = \sum_n |c_n|^2 E_n$$

## The infinite square well

Is characterized by the potential:

$$V(x) = \begin{cases} 0 & x \in [0, a] \\ \infty & \text{otherwise} \end{cases}$$

We require

- $\psi$  is continuous:  $\psi(0) = \psi(a) = 0$  (boundary condition)
- $\psi$  is normalized

Let  $k = \sqrt{2mE}/\hbar$ . Inside the well,

$$\frac{d^2 \psi}{dx^2} = -k^2 \psi,$$

Has solution

$$\psi(x) = A \sin(kx) + B \cos(kx)$$

Applying the boundary condition, We will find that  $B = 0$ , and that

$$ka = n\pi$$

Quantization of energy arises from applying boundary conditions.

Each  $\psi$  (normalized) inside the well has the form

$$\psi_n(x) = \sqrt{\frac{2}{a}} \sin\left(\frac{n\pi x}{a}\right)$$

- From  $n = 1$ , each  $\psi_n$  alternate between symmetric (even) and anti-symmetric (odd) functions.

$$\begin{aligned} \psi_{1,3,5,\dots}(a-x) &= \psi_{1,3,5,\dots}(x) && \text{symmetric} \\ \psi_{2,4,6,\dots}(a-x) &= -\psi_{2,4,6,\dots}(x) && \text{anti-symmetric} \end{aligned}$$

We can define a *symmetry operator*:

$$\mathbb{P} : x \rightarrow (a-x)$$

So that,

$$\mathbb{P}\psi_n = (-1)^{n+1} \psi_n$$

The symmetry of the potential determines the parity of eigenstates.

- Energy eigenstates are orthonormal over  $[0, a]$ :

$$\int_0^a \psi_m^* \psi_n(x) dx = \delta_{mn}$$

- For  $m \neq n$ , and  $\psi_m, \psi_n$  are both symmetric/anti-symmetric,

$$\int_{a/2}^a \psi_m^* \psi_n(x) dx = 0$$

- The  $n^{\text{th}}$  eigenstate has  $n-1$  nodes, for  $n = 1, 2, \dots$

The energy eigenvalues (the *spectrum*) are

$$E_n = \frac{\hbar^2}{2m} \left(\frac{n\pi}{a}\right)^2 = \frac{\hbar^2}{2m} k_n^2,$$

for  $n = 1, 2, \dots$

The eigenstates form a complete basis. Any function,  $f(x)$  can be expressed as a linear combination of  $\psi(x)$ , even if  $f(0), f(a) \neq 0$ .

$$f(x) = \sum_n c_n \psi_n(x)$$

$\langle \hat{H} \rangle$  does not depend on time for any superposition of states,  $f(x, t)$ ,

$$f(x, t) = \sum_n c_n \psi_n e^{-iE_n t/\hbar}$$

and

$$\langle \hat{H} \rangle = \int f^* \hat{H} f dx = \sum_n |c_n|^2 E_n$$

We can show that this is true by similarly proving

$$\langle \hat{H} \rangle = \int f^*(x, 0) \hat{H} f(x, 0) dx = \sum_n |c_n|^2 E_n$$

Since the Hamiltonian is a time independent operator, it is sufficient to consider the case at time  $t = 0$ .

In general, orthogonality of sines:

$$\int_0^L \sin\left(\frac{n\pi x}{L}\right) \sin\left(\frac{m\pi x}{L}\right) dx = \begin{cases} 0 & n \neq m \\ L/2 & n = m \end{cases}$$

And cosines:

$$\int_0^L \cos\left(\frac{n\pi x}{L}\right) \cos\left(\frac{m\pi x}{L}\right) dx = \begin{cases} 0 & n \neq m \\ L/2 & n = m \neq 0 \\ L & n = m = 0 \end{cases}$$

We can compute the integrals explicitly by expanding them using complex exponentials.

A set of functions  $f_n(y)$  is complete over an interval if any other function over the interval can be expanded in terms of a linear combination of them:

$$f(y) = \sum_{n=1}^{\infty} C_n f_n(y)$$

A set of functions is orthogonal over  $\Omega$  if the integral of the product of any two different members of the set is zero:

$$\langle f_i, f_j \rangle := \int_{\Omega} f_i(y) f_j(y) dy = 0 \quad \text{when } i \neq j$$

## The harmonic oscillator

Has the characteristic potential:

$$V(x) = \frac{1}{2} m \omega^2 x^2$$

Recall that in classical mechanics, the elastic potential of a spring is given by

$$U(\ell) = \frac{k}{2} (\ell - \ell_0)^2$$

where  $k$  is the spring constant, with units  $[\text{kg/s}^2]$ . The angular frequency of the oscillation is given by

$$\omega = \sqrt{\frac{k}{m}}$$

which arises naturally from the solution to the Newton's second law.

- $k = m\omega^2$  is analogous the classical spring constant [kg/s<sup>2</sup>]

The time independent equation is then

$$-\frac{\hbar^2}{2m} \frac{d^2 \psi}{dx^2} + \frac{1}{2} m \omega^2 x^2 \psi = E \psi$$

### Algebraic Method

The Hamiltonian operator for our equation is

$$\hat{H} = -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + \frac{1}{2} m \omega^2 x^2$$

This can be written using  $\hat{p}$  and  $\hat{x}$ :

$$\hat{H} = \frac{1}{2m} (\hat{p}^2 + (m\omega\hat{x})^2)$$

We wish to factor  $\hat{H}$ . If  $\hat{H}$  were a polynomial equation, then

$$u^2 + v^2 = (iu + v)(-iu + v)$$

Let's introduce the ladder operators:

$$\hat{a}_{\pm} = \frac{1}{\sqrt{2\hbar m \omega}} (\mp i \hat{p} + m \omega \hat{x})$$

Equivalently:

$$\hat{a} = \hat{a}_- = \sqrt{\frac{m\omega}{2\hbar}} \hat{x} + i \frac{\hat{p}}{\sqrt{2m\hbar\omega}}$$

and

$$\hat{a}^\dagger = \hat{a}_+ = \sqrt{\frac{m\omega}{2\hbar}} \hat{x} - i \frac{\hat{p}}{\sqrt{2m\hbar\omega}}$$

$\hat{a}$  and  $\hat{a}^\dagger$  are hermitian conjugates of each other.

Let  $x_0^2 = \hbar/m\omega$  (units of length squared), and  $\xi = x/x_0$ , the ladder operators become

$$\hat{a} = \frac{1}{\sqrt{2}} \left( \frac{\hat{x}}{x_0} + i \frac{x_0 \hat{p}}{\hbar} \right) = \frac{1}{\sqrt{2}} \left( \xi + \frac{\partial}{\partial \xi} \right)$$

$$\hat{a}^\dagger = \frac{1}{\sqrt{2}} \left( \xi - \frac{\partial}{\partial \xi} \right)$$

We can see that

$$\hat{a}_- \hat{a}_+ = \frac{1}{2\hbar m \omega} (i \hat{p} + m \omega \hat{x}) (-i \hat{p} + m \omega \hat{x})$$

$$= \frac{1}{2\hbar m \omega} (\hat{p}^2 + (m \omega \hat{x})^2 - i m \omega (\hat{x} \hat{p} - \hat{p} \hat{x}))$$

The additional term,  $\hat{x} \hat{p} - \hat{p} \hat{x}$  is the *commutator* of  $\hat{x}$  and  $\hat{p}$ .

The ordering of  $\hat{a}_-$  and  $\hat{a}_+$  also matters, as they do not commute.

The commutator of two operators is an operator that measures of how well two operators commute. In general the commutator of  $\hat{A}$  and  $\hat{B}$  is denoted

$$[\hat{A}, \hat{B}] = \hat{A}\hat{B} - \hat{B}\hat{A}$$

Properties of commutators:

- Antisymmetry:  $[\hat{A}, \hat{B}] = -[\hat{B}, \hat{A}]$
- Hermitian conjugation:  $[\hat{A}, \hat{B}]^\dagger = [\hat{B}^\dagger, \hat{A}^\dagger]$
- Operators and constants always commute  $[c, \hat{A}] = 0$
- Linearity:  $[\hat{A}, \hat{B} + \hat{C} + \dots] = [\hat{A}, \hat{B}] + [\hat{A}, \hat{C}] + \dots$
- Distributivity:

$$[\hat{A}, \hat{B}\hat{C}] = [\hat{A}, \hat{B}] \hat{C} + \hat{B} [\hat{A}, \hat{C}]$$

$$[\hat{A}\hat{B}, \hat{C}] = \hat{A} [\hat{B}, \hat{C}] + [\hat{A}, \hat{C}] \hat{B}$$

- We can always factor coefficients in operators out of the commutator:

$$[a\hat{A}/b, c\hat{B}/d] = \frac{ac}{bd} [\hat{A}, \hat{B}]$$

- Jacobi identity:

$$[\hat{A}, [\hat{B}, \hat{C}]] + [\hat{B}, [\hat{C}, \hat{A}]] + [\hat{C}, [\hat{A}, \hat{B}]] = 0$$

The canonical commutation relation is

$$[\hat{x}, \hat{p}] = i\hbar$$

Let's compute the commutator of the position and momentum operator.

First, let  $f(x)$  be a test function.

$$\hat{x} \hat{p} f - \hat{p} \hat{x} f = x(-i\hbar) \frac{\partial}{\partial x} f(x) - (-i\hbar) \frac{\partial}{\partial x} (x f)$$

$$= i\hbar f$$

Throwing  $f$  away, we find that that  $[\hat{x}, \hat{p}]$  is  $i\hbar$ .

Mysteries of quantum mechanics can be traced back to this relation. Its sometimes taken to be an axiom of the theory.

We have:

$$\hat{a}_\pm \hat{a}_\mp = \frac{1}{\hbar \omega} \hat{H} \mp \frac{1}{2}$$

- $\hat{a}_- \hat{a}_+ = (\hat{a}_+ \hat{a}_- + 1)$
- $[\hat{a}, \hat{a}^\dagger] = 1$
- $[\hat{a}^\dagger, \hat{a}] = -1$

- $[\hat{H}, \hat{a}] = -\hbar \omega \hat{a}$

First, we use

$$[\hat{A} + \hat{B}, \hat{C} + \hat{D}] = [\hat{A}, \hat{C}] + [\hat{B}, \hat{C}] + [\hat{A}, \hat{D}] + [\hat{B}, \hat{D}]$$

to write

$$\left[ \hbar \omega \left( \hat{a}^\dagger \hat{a} + \frac{1}{2} \right), \hat{a} \right] = \hbar \omega [\hat{a}^\dagger \hat{a}, \hat{a}] + \hbar \omega \left[ \frac{1}{2}, \hat{a} \right]$$

Then, we can apply

$$[\hat{A}\hat{B}, \hat{C}] = [\hat{A}, \hat{C}] \hat{B} + \hat{A} [\hat{B}, \hat{C}]$$

To the first term:

$$\hbar \omega \left( [\hat{a}^\dagger, \hat{a}] \hat{a} + \hat{a}^\dagger [\hat{a}, \hat{a}] \right)$$

Which simplifies to our desired result.

- $[\hat{H}, \hat{a}^\dagger] = \hbar \omega \hat{a}^\dagger$

Using  $a_-$  and  $a_+$ , we factor the Hamiltonian:

$$\hat{H} = \hbar \omega \left( \hat{a}_\pm \hat{a}_\mp \pm \frac{1}{2} \right)$$

Equivalently:

$$\hat{H} = \hbar \omega \left( \hat{a}^\dagger \hat{a} + \frac{1}{2} \right)$$

Rewriting the time independent equation:

$$\hbar \omega \left( \hat{a}_\pm \hat{a}_\mp \pm \frac{1}{2} \right) \psi = E \psi$$

Let  $\psi$  is an normalized eigenstate of the Hamiltonian,

$$\hat{H} \psi = E \psi \quad \text{then} \quad \hat{H} \hat{a}_\pm \psi = (E \pm \hbar \omega) \psi$$

We introduced this result as a postulate. But here is the derivation. Consider

$$\left( [\hat{H}, \hat{a}^\dagger] - \hbar \omega \hat{a}^\dagger \right) \psi_n = 0$$

We can verify that this equality holds. Assuming we have solved the Schrödinger equation, (we have  $\hat{H} \psi = E \psi$  as a relation at our disposal) we can manipulate this equation to arrive at our desired result.

We can explicitly show that  $\hat{a}_\pm \psi$  is also an eigenstate of  $\hat{H}$  with eigenvalue  $E \pm \hbar \omega$ .

$$\begin{aligned} \hat{H} \hat{a}_\pm \psi &= \hbar \omega \left( \hat{a}_\pm \hat{a}_\mp \pm \frac{1}{2} \right) \hat{a}_\pm \psi = \hbar \omega \left( \hat{a}_\pm \hat{a}_\mp \hat{a}_\pm \pm \frac{1}{2} \hat{a}_\pm \right) \psi \\ &= \hat{a}_\pm \hbar \omega \left( \hat{a}_\mp \hat{a}_\pm \pm \frac{1}{2} \right) \psi = \hat{a}_\pm \hbar \omega \left( \hat{a}_\pm \hat{a}_\mp \pm 1 \pm \frac{1}{2} \right) \psi \\ &= \hat{a}_\pm (\hat{H} \pm \hbar \omega) \psi = \hat{a}_\pm E \psi \pm \hat{a}_\pm \hbar \omega \psi \\ &= \hat{a}_\pm (E \pm \hbar \omega) \psi \\ &= (E \pm \hbar \omega) \hat{a}_\pm \psi \end{aligned}$$

Operators  $\hat{a}_+$ ,  $\hat{a}_-$  are raising and lowering ladder operators.

- Given an eigenstate  $\psi$ , we can find all other eigenstates by applying  $\hat{a}_\pm$
- $\hat{a}_-^n \psi$  need not be normalizable, since  $(E - n\hbar\omega)$  will eventually be less than  $V_{\min}$



The lowest energy state of the harmonic oscillator potential is found by setting

$$\hat{a}_- \psi_0 = 0$$

This is the **ONLY** consistent solution.

While we have started our numbering from 1 in the past, ground state starts from 0 from the harmonic oscillator.

This is a first order ODE with the solution

$$\psi_0(x) = \left(\frac{m\omega}{\pi\hbar}\right)^{1/4} \exp\left(-\frac{m\omega}{2\hbar}x^2\right)$$

In terms of  $\xi$ :

$$\psi_0(\xi) = \frac{1}{x_0^{1/2} \pi^{1/4}} e^{-\xi^2/2}$$

We see that

$$\int \psi_n^\dagger \psi_n dx = \int \psi_n^\dagger \psi_n x_0 d\xi = 1$$

Thus:

$$\int \psi_n^\dagger \psi_n d\xi = \frac{1}{x_0}$$

To change the differentiation variable from  $x$  to  $\xi$ , we do the following:

$$\frac{\partial \psi(\xi(x))}{\partial x} = \frac{\partial \psi}{\partial \xi} \frac{\partial \xi}{\partial x}$$

which means that

$$\frac{\partial}{\partial x} \mapsto \frac{\partial \xi}{\partial x} \frac{\partial}{\partial \xi}$$

For the second partial, we need to apply the product rule:

$$\begin{aligned} \frac{\partial^2 \psi}{\partial x^2} &= \frac{\partial \xi}{\partial x} \frac{\partial}{\partial \xi} \left( \frac{\partial \psi}{\partial \xi} \frac{\partial \xi}{\partial x} \right) \\ &= \left( \frac{d\xi}{dx} \right)^2 \frac{d^2 \psi}{d\xi^2} + \frac{d\psi}{d\xi} \frac{d^2 \xi}{dx^2} \end{aligned}$$

Thus:

$$\frac{\partial^2}{\partial x^2} \mapsto \left( \frac{\partial \xi}{\partial x} \right)^2 \frac{\partial^2}{\partial \xi^2} + \frac{\partial^2 \xi}{\partial x^2} \frac{\partial}{\partial \xi}$$

The ground state energy is  $E_0 = \hbar\omega/2$ . We can find this by substituting it into the time independent equation written using the Hamiltonian in terms of the ladder operators.

The  $n^{\text{th}}$  excited eigenstates of the quantum oscillator potential can be found by

$$\psi_n = A_n \hat{a}_+^n \psi_0 \quad E_n = \hbar\omega \left( \frac{1}{2} + n \right)$$

where  $A_n$  is the  $n^{\text{th}}$  normalization constant, given by

$$A_n = \frac{1}{\sqrt{n!}}$$

We can derive the normalization constant for all eigenstates algebraically. First, let's consider

$$\hat{a} \psi_n = c_n \psi_{n-1},$$

where  $c_n$  is the normalization constant for  $\psi_{n-1}$ , and we assume that  $\psi_n$  is already normalized.

1. Take the hermitian conjugate of both sides:

$$\psi_n^\dagger \hat{a}^\dagger = c_n^* \psi_{n-1}^\dagger$$

the hermitian conjugate of numbers is simply the complex conjugate.

2. Multiply conjugated expression by the original

$$\begin{aligned} \psi_n^\dagger \hat{a}^\dagger \hat{a} \psi_n &= c_n^* \psi_{n-1}^\dagger c_n \psi_{n-1} \\ &= |c_n|^2 \psi_{n-1}^\dagger \psi_{n-1} \end{aligned}$$

3. Write  $\hat{a}^\dagger \hat{a}$  in terms of the Hamiltonian:

$$\hat{a}^\dagger \hat{a} = \frac{\hat{H}}{\hbar\omega} - \frac{1}{2}$$

4. Substitute into our expression:

$$\psi_n^\dagger \left( \frac{\hat{H}}{\hbar\omega} - \frac{1}{2} \right) \psi_n = |c_n|^2 \psi_{n-1}^\dagger \psi_{n-1}$$

5. Integrate both sides. Consider the integral on the right:

$$|c_n|^2 \int \psi_{n-1}^\dagger \psi_{n-1} dx = |c_n|^2$$

This is due to our normalization condition. Consider now the integral on the left:

$$\begin{aligned} \int \psi_n^\dagger \left( \frac{\hat{H}}{\hbar\omega} - \frac{1}{2} \right) \psi_n dx &= \int \psi_n^\dagger \frac{\hat{H}}{\hbar\omega} \psi_n dx - \frac{1}{2} \int \psi_n^\dagger \psi_n dx \\ &= \left( n + \frac{1}{2} \right) - \frac{1}{2} \\ &= n \end{aligned}$$

6. Equate the left and right integrals:

$$c_n = \sqrt{n} \iff \hat{a} \psi_n = \sqrt{n} \psi_{n-1}$$

In a similar fashion, we can find that

$$\hat{a}^\dagger \psi_n = \sqrt{n+1} \psi_{n+1}$$

We can use an operators approach to when integrating  $\psi_m$  and  $\psi_n$ . The key is to utilize the identity:

$$\hat{a} \psi_0 = 0$$

and its hermitian conjugate:

$$\psi_0^\dagger \hat{a}^\dagger = 0$$

and the fact that any excited state can be found by repeated applying the raising operator to the ground state.

In position space, we can express the position and momentum operator in terms of  $\hat{a}$ ,  $\hat{a}^\dagger$ .

$$\begin{aligned} \hat{x} &= \frac{x_0}{\sqrt{2}} (\hat{a}^\dagger + \hat{a}) \\ \hat{p} &= \frac{i\hbar}{x_0 \sqrt{2}} (\hat{a}^\dagger - \hat{a}) \end{aligned}$$

Instead of directly computing  $\langle \psi_n | \hat{x} | \psi_m \rangle$ , we can leverage orthogonality by writing  $\hat{x}$ ,  $\hat{p}$  using  $\hat{a}$ ,  $\hat{a}^\dagger$ .

For two eigenstates  $|n\rangle$ ,  $|m\rangle$  of the harmonic oscillator in position space, while

$$\langle n | x | m \rangle = \langle m | x | n \rangle$$

in general,

$$\langle n | \hat{p} | m \rangle = \langle m | \hat{p} | n \rangle^*$$

First three eigenstates:

$$\begin{aligned} \psi_0 &= \alpha e^{-\xi^2/2} \\ \psi_1 &= \sqrt{2} \alpha \xi e^{-\xi^2/2} \\ \psi_2 &= \frac{\alpha}{\sqrt{2}} (2\xi^2 - 1) e^{-\xi^2/2} \end{aligned}$$

where  $\alpha = 1/(x_0^{1/2} \pi^{1/4})$ ,  $x_0^2 = \hbar/m\omega$ ,  $\xi = x/x_0$ .

Assuming  $\psi_n$  is normalized:

$$\begin{aligned} \hat{a} \psi_n &= \sqrt{n} \psi_{n-1} \\ \hat{a}^\dagger \psi_n &= \sqrt{n+1} \psi_{n+1} \end{aligned}$$

Different stationary states of the same Hamiltonian are orthogonal.

$$\int \psi_m^\dagger \psi_n dx = \delta_{mn}$$



## The free particle

The free particle is characterized by

$$V(x) = 0 \quad \forall x \in \Omega$$

Recall that the time-independent equation can be put into the form

$$\frac{d^2 \psi}{dx^2} = -k^2 \psi$$

where  $k = \sqrt{2mE}/\hbar$ .

The solution has the form

$$\psi(x) = Ae^{ikx} + Be^{-ikx}$$

which can be converted in terms of sines and cosines.

The general solution to the time-dependent equation is

$$\Psi(x, t) = Ae^{ik(x - \frac{\hbar k}{2m}t)} + Be^{-ik(x + \frac{\hbar k}{2m}t)}$$

Functions of the form  $f(x \pm vt)$  are waves travelling to the  $\mp x$  direction with speed  $v$ .

Every point in the wave travels with the same speed  $v$ , and the shape of wave remains the same as it travels.

Letting  $k = \pm\sqrt{2mE}/\hbar$ , we can write

$$\Psi_k = Ae^{ik(x - \frac{\hbar k}{2m}t)} = Ae^{i(kx - \frac{\hbar k^2}{2m}t)}$$

and  $\pm k$  corresponds to waves traveling in  $\pm x$  direction (called *plane wave solutions*).

We can expand

$$e^{ik(x - \frac{\hbar k}{2m}t)} = e^{ikx} e^{-ik \frac{\hbar k}{2m}t}$$

Recall that

$$e^{i\theta} = e^{i\theta + i2\pi n} = e^{i\theta} e^{i2\pi n} = e^{i\theta}$$

Thus

$$e^{ikx} = e^{ikx + i2\pi n} = e^{ikx} e^{i2\pi n}$$

this means for every multiple of  $2\pi/k$ , the wave repeats itself. This means that  $\Psi$  has wave length

$$\lambda = 2\pi/|k|$$

This is analogous to the classical mechanical wave equation:

$$y(x, t) = A \sin(kx - \omega t + \phi)$$

where  $k$  is the wave number, such that  $k = 2\pi/\lambda$ ,  $\omega = 2\pi/T$ , and  $\phi$  is the phase.

- $k$  plays same the role of the wave number in a classical plane wave
- $\hbar k^2/2m$  plays the role of angular frequency,  $\hbar k^2/2m = 2\pi f$

The plane wave solutions have a well-defined momentum, given by de Broglie:

$$p = \frac{2\pi\hbar}{\lambda} = \hbar k$$

The waves also have well-defined energies. But as we will see, our solutions are not normalizable.

Wave functions with a definite momentum are eigenstates of the momentum operator:

$$\hat{p}\Psi_k = \hbar k\Psi_k$$

A superposition of states are not necessarily eigenstates.

Since  $v = \lambda f$ ,

$$v_{\text{quantum}} = \frac{2\pi}{k} \frac{\hbar k^2}{4\pi m} = \frac{\hbar |k|}{2m} = \sqrt{\frac{E}{2m}}$$

Recall that classical kinetic energy is

$$E = \frac{1}{2}mv^2 \Rightarrow v = \sqrt{\frac{2E}{m}}$$

The wave function travels half as fast as the particle it represents.

The separable solution (plane wave solution) to the Schrödinger equation is not normalizable.

$$\int_{-\infty}^{\infty} \Psi_k^* \Psi_k dx = |A|^2 \int_{-\infty}^{\infty} dx = |A|^2 (\infty)$$

- A free particle cannot exist in a stationary state
- Plane waves cannot represent free particles, they have both definite momentum and energy, which violates the uncertainty principle

Instead of summing over and index, we integrate over  $k$  (hence a range of energies and speeds), to find a general solution to the time-dependent equation. This is the wave packet solution:

$$\Psi(x, t) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \phi(k) e^{i(kx - \frac{\hbar k^2}{2m}t)} dk$$

$\phi(k)/\sqrt{2\pi} dk$  plays the role of  $c_n$ .

The equation must satisfy our initial condition:

$$\Psi(x, 0) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \phi(k) e^{ikx} dk$$

We recognize that this is the INVCTFT of  $\phi(k)$ . To find  $\phi(k)$ , we find the CTFT of  $\Psi(x, 0)$ :

$$\phi(k) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \Psi(x, 0) e^{-ikx} dx$$

Parseval's Theorem for CTFT: the normalized wave function in real space remain normalized in momentum space

$$\int_{-\infty}^{\infty} |\Psi(x, 0)|^2 dx = \int_{-\infty}^{\infty} |g(k)|^2 dk$$

We are transforming between position and  $k$ -space. Since  $p = \hbar k$ , we can also say that we can transforming between momentum and position space.

Also, this definition of CTFT has a different normalization constant than what we encountered in other courses.

There is another interpretation to this normalization. We are normalizing to the delta function:

$$\int \Psi_p^*(x) \Psi_{p'}(x) dx = \delta(p - p')$$

$\phi(k) dk$  is the probability to find a particle with momentum between  $\hbar k$  and  $\hbar(k + dk)$ .

Instead of transforming into  $k$ -space, we can transform into momentum-space instead.

$$\psi(x) = \frac{1}{\sqrt{2\pi\hbar}} \int_{-\infty}^{\infty} \phi(p) e^{ipx/\hbar} dp$$

and

$$\phi(p) = \frac{1}{\sqrt{2\pi\hbar}} \int_{-\infty}^{\infty} \psi(x) e^{-ipx/\hbar} dx$$

Since if

$$\phi(p) = \frac{1}{\sqrt{2\pi\hbar}} \int_{-\infty}^{\infty} \delta(x) e^{-ipx/\hbar} dx = \frac{1}{\sqrt{2\pi\hbar}}$$

we have

$$\psi(x) = \frac{1}{\sqrt{2\pi\hbar}} \int_{-\infty}^{\infty} \frac{1}{\sqrt{2\pi\hbar}} e^{ipx/\hbar} dp = \delta(x)$$

## The delta function potential

### Bound and scattering states

We have seen two types of general solutions to the Schrödinger equation.

- Sum of eigenstates over an index: infinite well
- Integral of eigenstates over wave number  $k$ : free particle

In classical mechanics, we have the distinction of bound and scattering states.

We have a bound state when the energy of the particle is less than that of the potential, and the particle cannot escape.

In a scattering state, the particle energy is more than that of the potential.

We say an eigenstate is a scattering or bound state by the following criterion:

$$\begin{cases} E < V(-\infty) \wedge V(+\infty) & \text{bound} \\ E > V(-\infty) \wedge V(+\infty) & \text{scattering} \end{cases}$$

The delta function potential support both bound and scattering states.

- Bound states are differentiated by an discrete index
- Scattering states are differentiated by a continuous variable

If  $V$  goes to zero for  $x \rightarrow \infty$ , then  $E$  alone determines whether we have a bound or scattering state.

So the free particle potential ( $V = 0$  every where) result in only scattering states, and the square well result in only bound states.

Recall the general rule, that we require  $E > V_{\min}$ , but this does not apply as the scattering states are not normalizable in the way we do for bound states.

### The delta-function well

The characteristic potential is:

$$V(x) = -\alpha \delta(x),$$

where  $\alpha \in \mathbb{R}$ , and

$$\delta(x) = \begin{cases} 0 & x \neq 0 \\ \infty & x = 0 \end{cases}$$

- The delta function has units [1/Length]
- $\alpha$  has units [Energy/Length]

**Bound states ( $E < 0$ ):** When  $x \neq 0$ , the time independent Schrödinger equation reads

$$-\frac{\hbar^2}{2m} \frac{d^2}{dx^2} \psi = E \psi$$

If we divide both sides by  $-\hbar^2/2m$ . Since  $E < 0$ ,  $\kappa$  be real and positive:

$$\kappa = \frac{\sqrt{-2mE}}{\hbar}$$

Our time independent equation becomes:

$$\frac{d^2 \psi}{dx^2} = \kappa^2 \psi$$

The general solution to

$$\frac{d^2 \psi}{dx^2} = \kappa^2 \psi$$

is

$$\psi(x) = Ae^{-\kappa x} + Be^{\kappa x}$$

To determine the constants, we require the following conditions:

1.  $\psi$  is symmetric
2.  $\psi$  is continuous
3.  $d\psi/dx$  is continuous except where  $V$  is infinite
4.  $\psi \rightarrow 0$  when  $x \rightarrow \pm\infty$

The general solution to the time independent equation is

$$\psi(x) = \begin{cases} Be^{-\kappa x} & x \geq 0 \\ Be^{\kappa x} & x \leq 0 \end{cases}$$

The delta function adds a discontinuity to  $d\psi/dx$ . It modifies the boundary condition at  $x = 0$  from  $\Delta(d\psi/dx) = 0$  to :

$$\lim_{\epsilon \rightarrow 0} \left( \frac{d\psi}{dx}(\epsilon) - \frac{d\psi}{dx}(-\epsilon) \right) = \frac{2m}{\hbar^2} \int_{-\epsilon}^{\epsilon} V \psi dx$$

If we integrate the time independent equation over  $[-\epsilon, \epsilon]$ ,

$$\begin{aligned} -\frac{\hbar^2}{2m} \int_{-\epsilon}^{\epsilon} \frac{d^2 \psi}{dx^2} dx + \int_{-\epsilon}^{\epsilon} V \psi dx \\ = E \int_{-\epsilon}^{\epsilon} \psi dx \end{aligned}$$

In the limit as  $\epsilon \rightarrow 0$ , the leftmost term becomes

$$-\frac{\hbar^2}{2m} \left( \frac{d\psi}{dx}(\epsilon) - \frac{d\psi}{dx}(-\epsilon) \right)$$

The rightmost term must be zero. Rearranging,

$$\left( \frac{d\psi}{dx}(\epsilon) - \frac{d\psi}{dx}(-\epsilon) \right) = \frac{2m}{\hbar^2} \int_{-\epsilon}^{\epsilon} V \psi dx$$

When  $V$  is finite, the right hand side is zero. Since the difference between the derivative of  $\psi$  at two infinitely close neighbouring points is zero, we conclude that  $\psi$  is continuous.

For  $V = -\alpha \delta(x)$ , we have

$$\Delta \left( \frac{d\psi}{dx} \right) = \frac{-2m\alpha}{\hbar^2} \psi(0)$$

This leads to

$$\kappa = \frac{m\alpha}{\hbar^2}$$

which result in

$$E = \frac{-\hbar^2 \kappa^2}{2m}$$

The delta function potential only admits a single energy level

Normalizing  $\psi$  gives  $B = \sqrt{\kappa}$ , and the general solution becomes

$$\psi(x) = \frac{\sqrt{m\alpha}}{\hbar} \exp\left(\frac{-m\alpha|x|}{\hbar^2}\right)$$

**Scattering states ( $E > 0$ ):** Since  $E < 0$ , we define  $k$

$$k = \frac{\sqrt{2mE}}{\hbar}$$

and the time independent equation is

$$\frac{d^2 \psi}{dx^2} = -k^2 \psi$$

The general solution are similar to what we found for the free particle.

$$\psi(x) = \begin{cases} Ae^{ikx} + Be^{-ikx} & x < 0 \\ Fe^{ikx} + Ge^{-ikx} & x > 0 \end{cases}$$

Applying

1. Continuity at 0 gives:

$$A + B = F + G$$

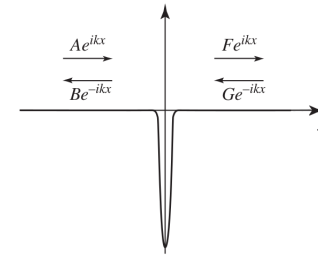
2. Discontinuity condition at  $x = 0$ :

$$ik(F - G - A + B) = \frac{-2m\alpha}{\hbar^2} (A + B)$$

defining  $\beta = \frac{m\alpha}{\hbar^2 k}$ , we can write

$$F - G = A(1 + 2i\beta) - B(1 - 2i\beta)$$

When we consider the time dependent term, from the free particle case we know that our solution resemble plane waves.



Interpreting  $A, B, G, F$  as amplitudes:

- $A$ : wave coming from the left
- $G$ : wave coming from the right
- $B$ : wave return to the left
- $F$  wave travelling off to the right

we can set any one of  $A, B, G, F$  to zero, and interpret the remain coefficients as terms describing an incident wave, reflected wave, and trasmitted wave across the delta potential.

$$G = 0$$

let's us say that

- $A$ : incident wave
- $F$ : transmitted wave
- $B$ : reflected wave

We can arrange

$$A + B = F + G$$

and

$$F - G = A(1 + 2i\beta) - B(1 - 2i\beta)$$

to write  $B$  and  $F$  in terms of  $A$ .

$$B = \frac{i\beta}{1 - i\beta} A$$

and

$$F = \frac{1}{1 - i\beta} A$$

**Reflection coefficient:** The relative probability that an incident particle will be reflected back:

$$R = \left| \frac{J_{\text{reflected}}}{J_{\text{incident}}} \right|$$

**Transmission coefficient:** The relative probability that a particle will travel through the potential:

$$T = \left| \frac{J_{\text{transmitted}}}{J_{\text{incident}}} \right|$$

In our case:

$$R = \frac{|B|^2}{|A|^2} = \frac{\beta^2}{1 + \beta^2} \quad \beta = \frac{m\alpha}{\hbar^2 k}$$

$$T = \frac{|F|^2}{|A|^2} = \frac{1}{1 + \beta^2}$$

- $R + T = 1$
- $R$  and  $T$  are independent of  $\alpha$  (sign of  $V$ ), so a quantum particle is just as likely to pass through a barrier as to cross over a well

We could have written the out-going amplitudes,  $B$  and  $F$ , as a function of the in-going amplitudes,  $A$  and  $G$ :

$$B = S_{11}A + S_{12}G$$

$$F = S_{21}A + S_{22}G$$

We define the **S-matrix**, or **Scattering matrix** as the matrix that relates  $B, F$  to  $A, G$ :

$$\begin{bmatrix} B \\ F \end{bmatrix} = \begin{bmatrix} S_{11} & S_{12} \\ S_{21} & S_{22} \end{bmatrix} \begin{bmatrix} A \\ G \end{bmatrix}$$

In our case,

$$\underline{S} = \frac{1}{1 - i\beta} \begin{bmatrix} i\beta & 1 \\ 1 & i\beta \end{bmatrix}$$

$\underline{S}$  is unitary (but not hermitian):

$$\underline{S}^\dagger \underline{S} = \underline{I}$$

Notice that each term in  $\psi$ , if we interpret it as a superposition of left and right travelling waves, is not normalizable.

We know how to deal with it, but integrating over  $k$ , and thereby a range of energies, as we did for the free particle.

Only when we get a wave packet is our solution normalizable.

" $R$  and  $T$  should be interpreted as the approximate reflection and transmission probabilities for particles with energies in the vicinity of  $E$ "

The fact that  $T$  is non zero, even for an infinitely deep/tall delta well/barrier, is the phenomenon of quantum tunnelling.

### Triple delta potential

Has the characteristic potential

$$V(x) = -\alpha \delta(x - a) - \alpha \delta(x) - \alpha \delta(x + a)$$

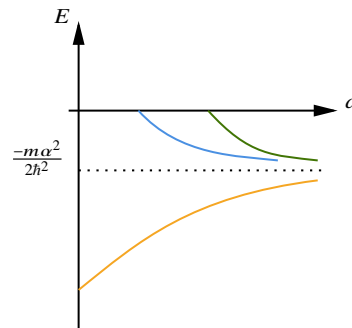
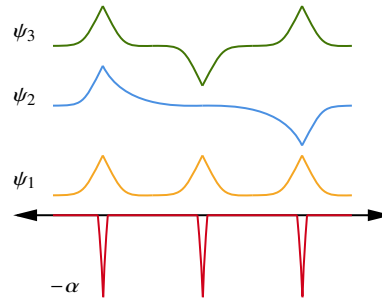
- $V$  is symmetric, so the eigenstate be even, odd, even,...
- For small  $a$ ,  $V(x, a = 0) = -3\alpha \delta(x)$ ; single bound state with energy

$$E = \frac{-m(3\alpha)^2}{2\hbar^2}$$

- For large  $a$ , there will be three degenerate bound states, with the same energy

$$E = \frac{-m\alpha^2}{2\hbar^2}$$

- Starting from 0, as  $a \rightarrow \infty$ , the number of bound states goes from 1 to 3 distinct bound states, to 3 degenerate bound states



## Dirac Delta

We can define it in two ways:

1.  $\delta(x)$  as a limit of a sequence of functions peaked at  $x = 0$ . In the limit, the peak rises and the width shrinks in such a way that the area under the curve remains 1.

$$\delta(x) = \lim_{\epsilon \rightarrow 0} \delta_\epsilon(x)$$

where

$$\delta_\epsilon(x) = \begin{cases} \frac{1}{\epsilon} & -\epsilon/2 < x < \epsilon/2 \\ 0 & \text{otherwise} \end{cases}$$

Similarly,

$$\delta(x) = \lim_{\epsilon \rightarrow 0} \frac{1}{\pi} \frac{\epsilon}{\epsilon^2 + x^2}$$

(In the limit as  $\epsilon$  goes to zero, but never zero.)

2. For some  $\epsilon > 0$ ,  $\epsilon \in \mathbb{R}$ ,

$$\int_{a-\epsilon}^{a+\epsilon} f(x) \delta(x - a) dx = f(a)$$

### Equivalence

Expressions  $D_1(x), D_2(x)$  involving the delta function are equal if

$$\int_{-\infty}^{\infty} f(x) D_1(x) dx = \int_{-\infty}^{\infty} f(x) D_2(x) dx,$$

for all  $f(x)$ .

### Scaling

$$\delta(kx) = \delta(x)/|k|$$

So  $\delta(-x) = \delta(x)$ .

### Three dimensions

$$\delta^3(\underline{r}) = \prod_{i=1}^3 \delta(x_i)$$

$$\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} f(\underline{r}) \delta^3(\underline{r} - \underline{a}) dV = f(\underline{a})$$

### Differentiation

By repeated applications of integration by parts, we can show that

$$\int_{-\infty}^{\infty} f(x) \frac{d^n}{dx^n} \delta(x - a) dx = (-1)^n \frac{d^n f}{dx^n}(a)$$

We can also think of  $\delta(x)$  as

$$\delta(x) = \frac{d}{dx} \theta(x)$$

where  $\theta(x)$  is the step function.

## Formalism

### Hilbert Space

Quantum theory is based on wave functions and operator.

Wave functions: represent the state of a system

Operators: represent observables.

Operators act on wave functions as linear transformations.

The space of all square integrable functions defined over a domain  $\Omega$ , is an instance of a more general abstract vector space, called a *Hilbert space*.  $L^2$  is only an example of a Hilbert space.

$$f \in L^2(\Omega) \iff \int_{\Omega} |f|^2 dx < \infty$$

All wave functions live in  $L^2$ .

The inner product of two functions,  $f(x), g(x) \in L^2(a, b)$  is given by:

$$\langle f | g \rangle = \int_a^b f^*(x)g(x) dx$$

When  $f, g \in L^2$ , their inner product must exist. This comes from the integral Schwarz inequality:

$$\left| \int_a^b f^*(x)g(x) dx \right| \leq \sqrt{\int_a^b |f(x)|^2 dx} \sqrt{\int_a^b |g(x)|^2 dx}$$

Properties of the  $L^2$  inner product:

- Non-commutative:  $\langle g | f \rangle = \langle f | g \rangle^*$
- $\langle f | f \rangle \geq 0$ ;  $\langle f | f \rangle = 0 \iff f = 0$

Schwarz inequality:  $|\langle f | g \rangle|^2 \leq \langle f | f \rangle \langle g | g \rangle$

In linear algebra, we are more familiar with the following statement:

$$|\underline{v} \cdot \underline{w}| \leq \|\underline{v}\| \|\underline{w}\|$$

A set of functions,  $V$ , is:

- orthonormal when any  $f_m, f_n \in V$ :

$$\langle f_m | f_n \rangle = \delta_{mn}$$

- complete when any other  $L^2$  function  $g(x)$  can be expanded in terms of functions of  $V$ :

$$g(x) = \sum_{n=1}^{\infty} c_n f_n(x)$$

- When  $V$  is an orthonormal set,

$$c_n = \langle f_n | g \rangle$$

### Observables

Hermitian operators represent observables, since the expectation value of hermitian operators is real.

The expectation value of an observable is can be written as

$$\langle Q \rangle = \langle \Psi | \hat{Q} \Psi \rangle = \langle Q \rangle^*$$

The expectation value is real, so it is equal to its complex conjugate.

Recall the adjoint of  $\hat{Q}$ ,  $\hat{Q}^\dagger$  has the property that

$$\langle f | \hat{Q} g \rangle = \langle \hat{Q}^\dagger f | g \rangle$$

All hermitian (self-adjoint) operators satisfy:

- $\langle f | \hat{Q} g \rangle = \langle \hat{Q} f | g \rangle$
- $\hat{Q} = \hat{Q}^\dagger$

In Dirac notation:

$$\langle f | \hat{Q} | g \rangle = \langle \hat{Q} f | g \rangle = \langle f | \hat{Q}^\dagger | g \rangle$$

An operator that is hermitian on the real line may not be hermitian on a finite interval.

If we know  $\hat{Q} | \psi \rangle$ , then

$$\langle \psi | \hat{Q}^2 | \psi \rangle = \langle \hat{Q} | \psi \rangle^\dagger \hat{Q} | \psi \rangle$$

### Determinate states

A determinate state,  $\Psi$ , for an observable,  $Q$ , is such that every measurement of  $Q$  on  $\Psi$  returns the same value. For example, a single eigenstate of the Hamiltonian is a determinate state of energy. Every measurement of energy on a systems of that eigenstate will return a single energy value.

Since  $\sigma_Q^2$  must be zero for determinate states:

$$\sigma_Q^2 = \langle (Q - \langle Q \rangle)^2 \rangle = 0$$

This is the expectation value of the deviation squared:

$$\langle \Psi | (\hat{Q} - \langle Q \rangle)^2 \Psi \rangle$$

Since  $\hat{Q}$  is hermitian, so too is  $\hat{Q} - \langle Q \rangle$ . This lets us move a factor of deviation over:

$$\langle (\hat{Q} - \langle Q \rangle) \Psi | (\hat{Q} - \langle Q \rangle) \Psi \rangle = 0$$

The only vector whose inner product with it self vanishes is  $|0\rangle$ . We can conclude:

All determinate states of  $Q$  are eigenfunctions of  $\hat{Q}$

$$\hat{Q} \Psi = q \Psi$$

- The set of all eigenvalues of a operator is the *spectrum* of the operator
- The spectrum is degenerate when two linearly independent eigenfunctions share the same eigenvalue

### Eigenfunctions of a hermitian operator

When the spectrum is discrete:

- Eigenfunctions are in Hilbert space (thus normalizable)
- They are physically realizable states

When the spectrum is continuous:

- Eigenfunctions are not normalizable
- A superposition of eigenfunctions may be normalizable
- On their own, they are not physically realizable

### Discrete spectra

Eigenvalues of normalizable eigenfunctions of a Hermitian operator are real.

Eigenfunctions of distinct eigenvalues are orthogonal.

Its possible to use Gram-Schmidt to construct a orthonormal basis of eigenfunctions.

In a finite dimensional vector space, eigenvectors of a Hermitian matrix form an eigenbasis.

Eigenfunctions of an observable operator are complete: Any function (in Hilbert space) can be expressed as a linear combination of them.

### Continuous Spectra

Eigenvalues of the hermitian operators are labeled by a continuous variable.

- Eigenfunctions are not normalizable, ( $\notin H(\Omega)$ )
- Eigenfunctions with real eigenvalues are **Dirac orthonormalizable** and complete

**Momentum operator:** Consider the eigenvalue problem involving the 1-D momentum operator.

$$-i\hbar \frac{d}{dx} f_p(x) = p f_p(x)$$

This is a 1-D separable ODE we can solve:

$$f_p(x) = A e^{ipx/\hbar}$$

$f_p(x) \notin H(\Omega)$ , but if we only consider eigenvalues  $p \in \mathbb{R}$ , then

$$\langle f_{p'} | f_p \rangle = |A|^2 \int_{-\infty}^{\infty} e^{i(p-p')x/\hbar} dx$$

By a fourier transform identity, and letting  $A = 1/\sqrt{2\pi\hbar}$  we arrive at

$$\langle f_{p'} | f_p \rangle = \delta(p - p')$$

The eigenfunctions of the momentum operator

$$f_p = \frac{1}{\sqrt{2\pi\hbar}} e^{ipx/\hbar}$$

are sinusoidal, with wavelength equal to the de Broglie wavelength,  $2\pi\hbar/p$ .

**Position operator:** The eigenvalue problem:

$$\hat{x}g_y(x) = xg_y(x) = yg_y(x)$$

The only function such that multiplying by a variable is the same as multiplication a constant  $y$  is the Dirac delta function. When  $g_{y'} = A\delta(x - y')$ ,  $A = 1$ , we can check that

$$\langle g_{y'} | g_y \rangle = \delta(y - y')$$

## Generalized statistical interpretation

**Generalized statistical interpretation:** Consider a particle in a general state  $\Psi(x, t)$ . A measurement of an observable  $Q(x, p)$  will return an eigenvalue of the hermitian operator

$$\hat{Q}(\hat{x}, \hat{p})$$

When the spectrum is discrete, then the probability of getting eigenvalue  $q_n$  associated with the orthonormal eigenfunction  $f_n(x)$  is

$$|c_n|^2 \quad c_n = \langle f_n | \Psi \rangle$$

When the spectrum is continuous, the probability of getting  $q(z)$  associated with the Dirac-orthonormal eigenfunction  $f_z(x)$ , within  $dz$ , is

$$|c(z)|^2 dz \quad c(z) = \langle f_z | \Psi \rangle$$

The wave function collapses into the eigenstate upon measurement.

## The uncertainty principle

### Proof of the generalized uncertainty principle

Consider two hermitian operators,  $\hat{A}$  and  $\hat{B}$ . Let us define,

$$\Delta\hat{A} = \hat{A} - \langle A \rangle$$

$$\Delta\hat{B} = \hat{B} - \langle B \rangle$$

and

$$f = \Delta\hat{A}\Psi$$

$$g = \Delta\hat{B}\Psi$$

Since  $\hat{A}$  and  $\hat{B}$  are hermitian, we have

$$\sigma_A^2 = \langle f | f \rangle$$

and

$$\sigma_B^2 = \langle g | g \rangle$$

The product of  $\sigma_A^2$  and  $\sigma_B^2$  is the upper bound of  $|\langle f | g \rangle|^2$ , by the Schwarz inequality:.

$$\langle f | f \rangle \langle g | g \rangle \geq |\langle f | g \rangle|^2$$

Since  $|z|^2 \geq \text{Im}(z)^2$  for all  $z \in \mathbb{C}$ , we have

$$|\langle f | g \rangle|^2 \geq \left( \frac{\langle f | g \rangle - \langle g | f \rangle}{2i} \right)^2$$

Let's consider the terms  $\langle f | g \rangle$  and  $\langle g | f \rangle$ . Since  $\Delta\hat{A}$  and  $\hat{B}$  are hermitian, we can write

$$\langle f | g \rangle = \langle \Psi | \Delta\hat{A}\Delta\hat{B} \Psi \rangle$$

We can expand  $\Delta\hat{A}\Delta\hat{B}$ :

$$\Delta\hat{A}\Delta\hat{B} - \hat{A}\langle B \rangle - \langle A \rangle\hat{B} + \langle A \rangle\langle B \rangle$$

This lets us break up  $\langle \Psi | \Delta\hat{A}\Delta\hat{B} \Psi \rangle$  into a sum of inner products.

$$\begin{aligned} \langle \Psi | \Delta\hat{A}\Delta\hat{B} \Psi \rangle &= \langle \Psi | \hat{A}\hat{B} \Psi \rangle - \langle B \rangle \langle \Psi | \hat{A} \Psi \rangle \\ &\quad - \langle A \rangle \langle \Psi | \hat{B} \Psi \rangle + \langle A \rangle \langle B \rangle \langle \Psi | \Psi \rangle \end{aligned}$$

We can show that

$$\langle f | g \rangle = \langle \hat{A}\hat{B} \rangle - \langle A \rangle \langle B \rangle$$

$$\langle g | f \rangle = \langle \hat{B}\hat{A} \rangle - \langle A \rangle \langle B \rangle$$

Then,

$$\begin{aligned} \langle f | g \rangle - \langle g | f \rangle &= \langle \hat{A}\hat{B} \rangle - \langle \hat{B}\hat{A} \rangle \\ &= \langle [\hat{A}, \hat{B}] \rangle \end{aligned}$$

Given two hermitian operators,  $\hat{A}$  and  $\hat{B}$ , we have

$$\sigma_A^2 \sigma_B^2 \geq \left( \frac{1}{2i} \langle [\hat{A}, \hat{B}] \rangle \right)^2$$

When the operators commute,  $\sigma_A \sigma_B \geq 0$

The commutator of two hermitian operators is *anti-hermitian*, such that

$$\hat{Q}^\dagger = -\hat{Q}$$

When two hermitian operators commute, their observables are said to be *compatible*. Compatible observables:

- share a complete set of eigenfunctions
- we can measure both observables at the same time

When two hermitian operators do not commute, their observables are said to be *incompatible*. Incompatible observables:

- do not share a complete set of eigenfunctions
- measuring one observable will render the measurement of other observable obsolete

For example, measuring the position of the particle collapses the wave function to an eigenstate of the position operator (a delta function). The fourier transform into momentum space will be a complex exponential.

Measuring the momentum of the particle collapses the wave function into an eigenstate of the momentum operator (a complex exponential). And its position will be undefined.

### The minimum-uncertainty wave packet

### The energy-time uncertainty principle

## Vectors and operators

### Bases in Hilbert space

- The physical state of a system is represented by a state vector that lives in Hilbert space
- We can choose the basis we want to represent them in
- Operators are transformations on Hilbert space - they transform once vector into another
- While  $\Psi(x, t)$  represents a quantum state in the *position basis*,  $\Phi(x, t)$  is an equal representatopm in *momentum basis*

Let  $|x\rangle$  denote eigenfunctions of  $\hat{x}$  with eigenvalue  $x$ ,  $|p\rangle$  be eigenfunctions of  $\hat{p}$  with eigenvalue  $p$ , and  $|S(t)\rangle$  be a general quantum state. Then

$$\Psi(x, t) = \langle x | S(t) \rangle$$

$$\Phi(p, t) = \langle p | S(t) \rangle$$

We do not specify the form of  $\hat{x}$  or  $\hat{p}$ . Since their representations also depend on what basis we express them in.

A vector can be expanded in terms of an orthonormal basis:

$$|\alpha\rangle = \sum_n a_n |e_n\rangle \iff a_n = \langle e_n | \alpha \rangle$$

An operator in a particular basis are represented by their *matrix element* (often an infinite number of them),

$$\langle e_m | \hat{Q} | e_n \rangle = Q_{mn}$$

Consider the following transformation:

$$|\beta\rangle = \hat{Q} |\alpha\rangle$$

In a particular basis, we have

$$\sum_n b_n |e_n\rangle = \sum_n a_n \hat{Q} |e_n\rangle$$

Taking the inner product with  $|e_m\rangle$  with both sides:

$$\sum_n b_n \langle e_m | e_n \rangle = \sum_n a_n \langle e_m | \hat{Q} | e_n \rangle$$

Since we have an orthonormal basis,

$$b_m = \sum_n a_n Q_{mn}$$

Operators have different representations in different bases:

$$\begin{aligned} \hat{x} &\rightarrow \begin{cases} x & \text{position space} \\ i\hbar\partial/\partial p & \text{momentum space} \end{cases} \\ \hat{p} &\rightarrow \begin{cases} -i\hbar\partial/\partial x & \text{position space} \\ p & \text{momentum space} \end{cases} \end{aligned}$$

## Dirac notation

**Ket:** are elements of a Hilbert vector space  $H$ , denoted by  $|a\rangle$ ;  $a$  is merely a label.

A *ket* analogous to a column vector:

$$|\alpha\rangle = \begin{bmatrix} a_1 \\ a_2 \\ \vdots \\ a_n \end{bmatrix}$$

**Bra:** belongs to the dual Hilbert vector space, denoted by  $\langle a|$ ;  $a$  is merely a label.

For every ket vector there exists a unique bra, and vice versa. (Riesz representation theorem)

$$\begin{aligned} |a\rangle &\leftrightarrow \langle a| \\ \alpha |a\rangle + \beta |b\rangle &\leftrightarrow \alpha^* \langle a| + \beta^* \langle b| \end{aligned}$$

A *bra* is

- returns a complex number, given a vector
- an instruction to integrate (in coordinate notation)

$$\langle f| = \int f^* (\dots) dx$$

- analogous to row vectors:

$$\langle \beta| = [b_1^* \quad b_2^* \quad \dots \quad b_n^*]$$

**Bra-ket:** is the Dirac notation for the inner product. Between two kets  $|a\rangle, |b\rangle$ , the bracket is  $\langle a|b\rangle$ . The antisymmetry property implies that, for a hermitian operator,  $\hat{Q}$ ,

$$\langle f|\hat{Q}|g\rangle = \left(\langle g|\hat{Q}|f\rangle\right)^\dagger = \langle f|\hat{Q}^\dagger|g\rangle$$

**Schwarz inequality:** For any two states  $|a\rangle, |b\rangle$  of the Hilbert space, we can show

$$|\langle a|b\rangle|^2 \leq \langle a|a\rangle \langle b|b\rangle$$

If  $|a\rangle = \alpha |b\rangle$  for some scalar, then

$$|\langle a|b\rangle|^2 = \langle a|a\rangle \langle b|b\rangle$$

**Triangle inequality:** For any two states  $|a\rangle, |b\rangle$ ,

$$\sqrt{\langle a+b|a+b\rangle} \leq \sqrt{\langle a|a\rangle} + \sqrt{\langle b|b\rangle}$$

## Adjoint

For every ket, there is a corresponding bra; for some coefficient  $a$ :

$$\langle aV| = \langle V| a^* \leftrightarrow a |V\rangle = |aV\rangle$$

In the same manner, for an operator  $\Omega$ :

$$\langle \Omega V| = \langle V| \Omega^\dagger \leftrightarrow |\Omega V\rangle = \Omega |V\rangle$$

Recall the matrix that projects a vector  $\underline{x}$  onto a vector  $\underline{u}$  is given by

$$\underline{P} = \frac{\underline{u} \cdot \underline{u}^\top}{\|\underline{u}\|^2}$$

where  $\underline{u} \cdot \underline{u}^\top$  returns a matrix, and is called an *outer product*.

We define the projection operator. For a normalized ket-vector:  $|\alpha\rangle$ , the operator

$$\hat{P} = |\alpha\rangle\langle\alpha|$$

projects another ket-vector onto the 1-D subspace spanned by  $|\alpha\rangle$ .

Two states  $|a\rangle, |b\rangle$  are orthogonal if

$$\langle a|b\rangle = 0$$

Completeness is possible only if the eigenfunctions for a complete basis that spans the space. This basis can always be orthonormalized. So an alternative statement of completeness is that

$$\sum |e_n\rangle\langle e_n| = \mathbb{I}$$

or when the functions are Dirac-orthonormal,

$$\int |e_z\rangle\langle e_z| dz = \mathbb{I}$$

- $\mathbb{I} \neq 1$ . They are the result of different operations.

**Forbidden quantities:** if  $|a\rangle, |b\rangle$  belong to the same Hilbert space, then products of the type:  $|a\rangle|b\rangle$ , or  $\langle a|\langle b|$  are forbidden. They have no meaning *in general*.

## Changing bases in Dirac notation

Let  $|x\rangle, |p\rangle, |n\rangle$  be eigenstates of the position, momentum, and energy (discrete) operator.

The eigenstates are orthonormal, which means that

$$\begin{aligned} \mathbb{I} &= \int dx |x\rangle\langle x| \\ &= \int dp |p\rangle\langle p| \\ &= \sum |n\rangle\langle n| \end{aligned}$$

If we act on a general quantum state:  $|S(t)\rangle$

$$\begin{aligned} |S(t)\rangle &= \mathbb{I} |S(t)\rangle \\ &= \int dx |x\rangle \langle x| S(t)\rangle = \int dx |x\rangle \Psi(x, t) \\ &= \int dp |p\rangle \langle p| S(t)\rangle = \int dp |p\rangle \Phi(p, t) \\ &= \sum_n |n\rangle \langle n| S(t)\rangle = \sum_n |n\rangle c_n(t) \end{aligned}$$

We see the components of  $|S(t)\rangle$  are expressed as the position-space, momentum-space, and “energy-space” wave functions, in their corresponding basis.

## Quantum mechanics in three dimensions

### The Schrödinger equation

In three dimensions, the classical Hamiltonian for a single particle is

$$H = \frac{p^2}{2m} + V$$

by substituting

$$p_i \rightarrow -i\hbar \frac{\partial}{\partial x_i}$$

we get

$$\hat{H} = \frac{-\hbar^2}{2m} \nabla^2 + V$$

Schrödinger's equation:

$$i\hbar \frac{\partial}{\partial t} \Psi = \hat{H} \Psi$$

- $V$  and  $\Psi$  are now functions of  $\underline{r} = (x, y, z)$

In three dimensions, the probability of finding the particle in the volume  $d^3\underline{r}$  is:

$$|\Psi(\underline{r}, t)|^2 d^3\underline{r}$$

Normalization:

$$\int |\Psi|^2 d^3\underline{r} = 1$$

As before, when  $V$  is time independent, we can apply separation of variables to find

$$\phi_n(t) = e^{iE_n t/\hbar}$$

and the time-independent equation:

$$\frac{-\hbar^2}{2m} \nabla^2 \psi + V \psi = E \psi$$

whose solution are eigenstates of the Hamiltonian. The general solution to the time-dependent equation is a superposition of eigenstates:

$$\Psi(\underline{r}, t) = \sum c_n \psi_n(\underline{r}) e^{-iE_n t/\hbar}$$

**Canonical commutation relations in 3-D:** For  $i, j = 1, 2, 3$ :

$$\begin{aligned}[x_i, p_j] &= i\hbar \delta_{ij} \\ [x_i, x_j] &= 0 \\ [p_i, p_j] &= 0\end{aligned}$$

The fact that  $[x_i, p_j]$  commutes only when  $i \neq j$  is obvious. In 1-D, we already  $[x, p] = i\hbar$ . Nonetheless,

$$\begin{aligned}[x_i, p_j] f(x_1, x_3, x_2) &= -i\hbar x_i \frac{\partial}{\partial x_j} f + i\hbar \frac{\partial}{\partial x_j} (x_i f) \\ &= i\hbar f \frac{\partial x_i}{\partial x_j} \\ &= i\hbar f \delta_{ij}\end{aligned}$$

$[p_i, p_j]$  commutes come from the fact that partial derivatives commute for nice functions.

### The 3D free particle

Is characterized by a zero potential everywhere. So there will only be scattering states. We look for solutions of the form:

$$\psi(x, y, z) = X(x)Y(y)Z(z)$$

The time-independent equation is

$$-\frac{\hbar^2}{2m} \nabla^2 XYZ = EXYZ$$

Let's expand the Laplacian:

$$\nabla^2 \psi(x, y, z) = YZ \frac{\partial^2 X}{\partial x^2} + XZ \frac{\partial^2 Y}{\partial y^2} + XY \frac{\partial^2 Z}{\partial z^2}$$

We divide this result by  $XYZ$ , and substitute it back into our equation:

$$-\frac{\hbar^2}{2m} \left( \frac{1}{X} \frac{\partial^2 X}{\partial x^2} + \frac{1}{Y} \frac{\partial^2 Y}{\partial y^2} + \frac{1}{Z} \frac{\partial^2 Z}{\partial z^2} \right) = E$$

We have three terms that only depend on  $X, Y, Z$  respectively. This only makes sense if each term is a constant. So we arrive three equations:

$$\begin{aligned}-\frac{\hbar^2}{2m} \frac{\partial^2 X}{\partial x^2} &= E_x X \\ -\frac{\hbar^2}{2m} \frac{\partial^2 Y}{\partial y^2} &= E_y Y \\ -\frac{\hbar^2}{2m} \frac{\partial^2 Z}{\partial z^2} &= E_z Z\end{aligned}$$

where

$$E_x + E_y + E_z = E$$

Separation of variable leads to three 1D free particle equations. The general solution is given by the product of three plane wave solutions

$$\begin{aligned}\psi(x, y, z) &= \frac{1}{(2\pi)^{3/2}} e^{ik_x x} e^{ik_y y} e^{ik_z z} \\ &= \frac{1}{(2\pi)^{3/2}} e^{i\mathbf{k} \cdot \mathbf{r}}\end{aligned}$$

where the components of the **wave vector** are given by

$$k_i^2 = \frac{2mE_i}{\hbar^2}$$

The energy of the particle is given by

$$E = \frac{\hbar^2}{2m} \|\mathbf{k}\|$$

The time evolution is

$$\Psi(\mathbf{r}, t) = \frac{1}{(2\pi)^{3/2}} e^{i\mathbf{k} \cdot \mathbf{r} - \omega t}$$

where  $\omega = E/\hbar$ . Dirac orthonormality of free particle wave functions tells us

$$\langle \Psi_{\mathbf{k}'}(t) | \Psi_{\mathbf{k}}(t) \rangle = \langle \psi_{\mathbf{k}'} | \psi_{\mathbf{k}} \rangle = \delta(\mathbf{k} - \mathbf{k}')$$

The free particle can be represented by a wave superposition of plane waves with different wave vectors

$$\Psi(\mathbf{r}, t) = \int \phi(\mathbf{k}, t) \Psi_{\mathbf{k}}(\mathbf{r}, t) d^3 k$$

where  $\phi(\mathbf{k}, t)$  is the CTFT of  $\Psi(\mathbf{r}, t)$ .

### Spherical coordinates

Using the spherical Laplacian, we can write Schrödinger's equation using spherical coordinates.

$$\nabla^2 = \frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial}{\partial r} \right) + \frac{1}{r^2} \left( \frac{1}{\sin(\theta)} \frac{\partial}{\partial \theta} \left( \sin(\theta) \frac{\partial}{\partial \theta} \right) + \frac{1}{\sin^2(\theta)} \frac{\partial^2}{\partial \phi^2} \right)$$

#### Spherical separation of variables:

$$\psi(r, \theta, \phi) = R(r)Y(\theta, \phi)$$

$R(r)$  is the solution to the radial equation:

$$\frac{1}{R} \frac{d}{dr} \left( r^2 \frac{dR}{dr} \right) - \frac{2mr^2}{\hbar^2} (V(r) - E) = \ell(\ell + 1)$$

and  $Y(\theta, \phi)$ , the *angular wave function*, is the solution to the angular equation:

$$\frac{1}{Y} \left( \frac{1}{\sin(\theta)} \frac{\partial}{\partial \theta} \left( \sin(\theta) \frac{\partial Y}{\partial \theta} \right) + \frac{1}{\sin^2(\theta)} \frac{\partial^2 Y}{\partial \phi^2} \right) = -\ell(\ell + 1)$$

where  $\ell(\ell + 1)$  is the separation constant.

#### The angular equation

- The angular equation is independent of the central field.

We solve the angular equation by separation of variables:

$$Y(\theta, \phi) = \Theta(\theta)\Phi(\phi)$$

Multiply both sides by  $Y \sin^2(\theta)$ , to find that

$$\sin(\theta) \frac{\partial}{\partial \theta} \left( \sin(\theta) \frac{\partial Y}{\partial \theta} \right) + \frac{\partial^2 Y}{\partial \phi^2} = -\ell(\ell + 1) \sin^2(\theta) Y$$

Substituting:

$$\sin(\theta) \frac{\partial}{\partial \theta} \left( \sin(\theta) \Phi \frac{\partial \Theta}{\partial \theta} \right) + \Theta \frac{\partial^2 \Phi}{\partial \phi^2} = -\ell(\ell + 1) \sin^2(\theta) \Phi \Theta$$

Divide both sides by  $\Theta \Phi$ :

$$\frac{1}{\Theta} \sin(\theta) \frac{\partial}{\partial \theta} \left( \sin(\theta) \frac{\partial \Theta}{\partial \theta} \right) + \frac{1}{\Phi} \frac{\partial^2 \Phi}{\partial \phi^2} = -\ell(\ell + 1) \sin^2(\theta)$$

Let the separation constant be  $m^2$  ( $m$  is any complex number, not mass):

$$\begin{aligned}\frac{1}{\Theta} \sin(\theta) \frac{d}{d\theta} \left( \sin(\theta) \frac{d\Theta}{d\theta} \right) + \ell(\ell + 1) \sin^2(\theta) &= m^2 \\ \frac{1}{\Phi} \frac{d^2 \Phi}{d\phi^2} &= -m^2\end{aligned}$$

The  $\phi$  equation has solution:

$$\Phi(\phi) = e^{im\phi}$$

- Let  $m$  run from  $(-\infty, \infty)$
- Absorb constant into  $\Theta(\theta)$
- Require  $\Phi(\phi + 2\pi) = \Phi(\phi)$ , this implies  $m = 0, \pm 1, \pm 2, \dots$

The  $\theta$  equation as solution

$$\Theta(\theta) = A P_\ell^m(\cos(\theta))$$

Where  $P_\ell^m(x)$  is the *associated Legendre function*

The associated Legendre function:

$$P_\ell^m(x) = (-1)^m (1 - x^2)^{m/2} \left( \frac{d}{dx} \right)^m P_\ell(x)$$

The Legendre polynomials are given by the *Rodrigues formula*:

$$P_\ell(x) = \frac{1}{2^\ell \ell!} \left( \frac{d}{dx} \right)^\ell (x^2 - 1)^\ell \quad \ell \geq 0$$

The first couple of Legendre polynomials are given by

$$\begin{aligned}P_1(x) &= x \\ P_2(x) &= (3x^2 - 1)/2 \\ P_3(x) &= (5x^3 - 3x)/2 \\ P_4(x) &= (35x^4 - 30x^2 + 3)/8 \\ P_5(x) &= (63x^5 - 70x^3 + 15x)/8\end{aligned}$$

Properties of Legendre polynomials:

- $P_\ell(1) = 1$
- $P_\ell$  is a polynomial of degree  $\ell$

Properties of associated Legendre function:

- $P_\ell^m(x)$  is not a polynomial in general; when  $m$  is odd,  $(1 - x^2)^{m/2}$  results in a term with a square root



- $P_\ell^m(x)$  is a polynomial in  $\cos(\theta)$ , or in  $\sin(\theta)$  when  $m$  is odd
- When  $m > \ell$ ,  $P_\ell^m = 0$
- For some  $\ell$ , there exists  $2\ell + 1$  values of  $m$  such that  $P_\ell^m \neq 0$

To normalize  $\psi = R(r)Y(\theta, \phi)$ :

$$1 = \int |R|^2 |Y|^2 r^2 \sin(\theta) dr d\theta d\phi$$

Implies

$$1 = \int_0^\infty |R|^2 r^2 dr$$

and

$$\begin{aligned} 1 &= \int_0^{2\pi} \int_0^\pi |Y|^2 \sin(\theta) d\theta d\phi \\ &= \int_0^{2\pi} \int_0^\pi |Y|^2 d\Omega \end{aligned}$$

$d\Omega \mapsto \sin(\theta) d\theta d\phi$  is an element of "solid angle".

**Spherical harmonics:** are the normalized angular wave functions:

$$Y_\ell^m(\theta, \phi) = \sqrt{\frac{(2\ell+1)}{4\pi} \frac{(\ell-1)!}{(\ell+m)!}} e^{im\phi} P_\ell^m(\cos(\theta))$$

**Spherical harmonics are orthogonal:**

$$\int (Y_\ell^m)^* Y_{\ell'}^{m'} d\Omega = \delta_{\ell\ell'} \delta_{mm'}$$

Instead of performing this analysis using Legendre polynomials, we will come to recognize that the angular part of the Schrödinger equation is the  $L^2$  operator, in spherical coordinate representation.

$$\frac{1}{\sin(\theta)} \frac{\partial}{\partial \theta} \left( \sin(\theta) \frac{\partial}{\partial \theta} \right) + \frac{1}{\sin^2(\theta)} \frac{\partial^2}{\partial \phi^2} = -\frac{L^2}{\hbar^2}$$

Since  $\hat{H}$  and  $\hat{L}^2$  commute, we can replace these differential operators by eigenvalue of  $L^2$ , which greatly simplifies our Schrödinger equation.

$$-\frac{\hbar^2}{2m} \frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial \psi}{\partial r} \right) + \frac{L^2}{2mr^2} \psi + V(r) \psi = E \psi$$

### The radial equation

The central field,  $V(r)$  only effects the radial equation.

$$\frac{1}{R} \frac{d}{dr} \left( r^2 \frac{dR}{dr} \right) - \frac{2mr^2}{\hbar^2} (V(r) - E) = \ell(\ell+1)$$

Multiply both sides by  $R$ :

$$\frac{d}{dr} \left( r^2 \frac{dR}{dr} \right) - \frac{2mr^2}{\hbar^2} (V(r) - E) R = \ell(\ell+1)R$$

We introduce subscripts  $E\ell$  to the solution, since the radial equation depends on both the total energy, and the value of  $\ell$ .

Let  $u_{E\ell}(r) = r R_{E\ell}(r)$ , we will find that the angular equation reduces to

$$\left( -\frac{\hbar^2}{2m} \frac{d^2}{dr^2} + V_{\text{eff}} \right) u_{E\ell} = E u$$

where

$$V_{\text{eff}} = V(r) + \frac{\hbar^2 \ell(\ell+1)}{2mr^2}$$

is the **effective potential**.

Since the additional term is also called the **centrifugal term**, because it can be interpreted as a repulsive potential (positive sign) and proportional to the square of the angular momentum.

Recall the relative motion Lagrangian. We referred to the following as the centrifugal term,

$$\frac{\ell^2}{2\mu r^2}$$

where  $\ell$  is the classical angular momentum,  $r$  is the relative positive vector.

Normalizing  $R_{E\ell}$  implies normalizing  $u_{E\ell}$ :

$$1 = \int_0^\infty |u_{E\ell}|^2 dr = \int_0^\infty r^2 |R_{E\ell}|^2 dr$$

### Behaviour near the origin

As  $r \rightarrow 0$ , the centrifugal term of  $V_{\text{eff}}$  dominates. To leading order, we can say

$$\frac{-\hbar^2}{2m} \frac{d^2 u_{E\ell}}{dr^2} + \frac{\hbar^2 \ell(\ell+1)}{2mr^2} u_{E\ell} = 0 \quad \text{as } r \rightarrow 0$$

Rearranging,

$$r^2 \frac{d^2 u_{E\ell}}{dr^2} - \ell(\ell+1) u_{E\ell} = 0$$

is a Cauchy-Euler equation. We guess  $u_{E\ell} = r^s$ , and substitute to find

$$s(s-1) = \ell(\ell+1) \implies s = \ell+1, -\ell$$

So near  $r = 0$ , the equation can be either

$$u_{E\ell} \approx r^{\ell+1} \quad u_{E\ell} \approx \frac{1}{r^\ell}$$

1. If  $\ell > 0$ ,  $u_{E\ell} \approx r^{-\ell}$  diverges
2. If  $\ell = 0$ ,  $u_{E\ell} \approx 1$ , and  $R = 1/r$  is not a solution to the Schrödinger equation

**Radial solution near the origin:** for all  $\ell \geq 0$ ,  $u_{E\ell} \approx r^{\ell+1}$  leads to a radial solution that is

$$R_{E\ell} \approx r^\ell$$

- Only if  $\ell = 0$  can  $R_{E\ell}(0) \neq 0$
- Otherwise, the angular momentum barrier prevents the particle from reaching the origin

## Angular momentum

In classical mechanics, energy and momentum is conserved for motion in a central field.

$$\underline{L} = \underline{r} \times \underline{p}$$

By substituting  $\underline{p}$  for the operator  $\hat{\underline{p}} = -i\hbar \nabla$ , and  $\underline{r}$  for the position operator, we find the quantum mechanical angular momentum operator

$$\hat{\underline{L}} = -i\hbar (\underline{r} \times \nabla) \rightarrow \begin{cases} \hat{L}_x &= y p_z - z p_y \\ \hat{L}_y &= z p_x - x p_z \\ \hat{L}_z &= x p_y - y p_x \end{cases}$$

Remembering  $\hat{L}_x = y p_z - z p_y$ , we can always cyclically permute ( $x \rightarrow y \rightarrow z \rightarrow x$ ) each subscript and position operator to get the other components.

For example, we can change  $x \rightarrow y$ ,  $y \rightarrow z$ ,  $z \rightarrow x$  in  $L_x$  to find that  $\hat{L}_y = z p_x - x p_z$ .

We can define  $\hat{L}^2$  as

$$\hat{L}^2 = \hat{L}_x^2 + \hat{L}_y^2 + \hat{L}_z^2$$

**$L, L^2$  are hermitian:** they both are observables

### Eigenvalues

Consider the commutator

$$\begin{aligned} [L_x, L_y] &= [y p_z - z p_y, z p_x - x p_z] \\ &= [y p_z, z p_x] - [y p_z, x p_z] - [z p_y, z p_x] + [z p_y, x p_z] \end{aligned}$$

The first and last term is nonzero, since  $p_z$  do not commute with  $z$ . Then,

$$[L_x, L_y] = [y p_z, z p_x] + [z p_y, x p_z]$$

using distributive identities, we will find that

$$[L_x, L_y] = i\hbar L_z$$

**Components of angular momentum are incompatible:**

Different components of angular momentum in Cartesian coordinates do not commute:

$$[L_i, L_j] = i\hbar \epsilon_{ijk} L_k$$

**Levi-Civita symbol:**

$$\epsilon_{ijk} = \begin{cases} 1 & \text{if } ijk \text{ is a cyclic permutation of } 123 \\ -1 & \text{if } ijk \text{ is an anticyclic permutation of } 123 \\ 0 & \text{if } i = j, \text{ or } j = k, \text{ or } k = i \end{cases}$$

This is an equivalent statement to

$$\begin{aligned} [L_x, L_y] &= i\hbar L_z \\ [L_y, L_z] &= i\hbar L_x \\ [L_z, L_x] &= i\hbar L_y \end{aligned}$$

So  $L_i, L_j$ , for  $i \neq j$ , are incompatible. They do not share a complete set of eigenfunctions.

$$\begin{aligned} [L_z, x] &= i\hbar y & [L_z, p_x] &= i\hbar p_y \\ [L_z, y] &= -i\hbar x & [L_z, p_y] &= -i\hbar p_x \\ [L_z, z] &= 0 & [L_z, p_z] &= 0 \end{aligned}$$

$L^2$  is compatible with each component of  $L$ :

$$[L^2, L_i] = 0 \quad i = 1, 2, 3$$

$L$  commutes with all rotationally invariant observables:

$$[L, r^2] = [L, p^2] = [L, V(r)] = [L, L^2] = 0$$

A "central potential",  $V(r)$ , only depends on the radial distance  $r = \|r\|_2$  from some reference point.

$L, L^2, H$  are compatible:

$$[L, \hat{H}] = [L^2, \hat{H}] = 0$$

provided that the  $H$  has a central potential

.....  
We can define ladder operators for angular momentum:

$$L_{\pm} = L_x \pm iL_y$$

We can verify that

**Commutation relations involving  $L_{\pm}$**

$$\begin{aligned} [L_z, L_{\pm}] &= \pm\hbar L_{\pm} \\ [L^2, L_{\pm}] &= 0 \end{aligned}$$

- $L_{\pm}$  are not hermitian, they are not observables

- We can write  $L^2 = L_+ L_- + L_-^2 + \hbar L_z$
- $L_x = (L_+ + L_-)/2$
- $L_y = (L_+ - L_-)/(2i)$

We can derive the last fact:

$$\begin{aligned} L_{\pm} L_{\mp} &= (L_x \pm iL_y)(L_x \mp iL_y) \\ &= L_x^2 \mp iL_x L_y \pm iL_y L_x + L_y^2 \\ &= L_x^2 + L_y^2 \mp i(L_x L_y - L_y L_x) \\ &= L_x^2 + L_y^2 \mp i[L_x, L_y] \\ &= L_x^2 + L_y^2 \pm \hbar L_z \end{aligned}$$

Rearranging, we have  $L_x^2 + L_y^2 = L^2 - L_z^2$ . Substituting:

$$L_{\pm} L_{\mp} = L^2 - L_z^2 \pm \hbar L_z$$

If  $f$  is an eigenfunction of  $L^2$  and  $L_z$ , then

- $L_{\pm} f$  is an eigenfunction of  $L^2$  with same eigenvalue  $\lambda$
- $L_{\pm} f$  is an eigenfunction of  $L_z$  with a different eigenvalue  $\mu \pm \hbar$

The property of  $L_{\pm}$  to increment/decrement the eigenvalues of  $L_z$  parallels with  $\hat{a}, \hat{a}^\dagger$  in the harmonic oscillator.

First show that  $L_{\pm} f$  is an eigenfunction of  $L^2$ , with the same eigenvalue  $\lambda$ :

$$L^2 (L_{\pm} f) = L_{\pm} (L^2 f) = L_{\pm} (\lambda f) = \lambda (L_{\pm} f)$$

Then we show that  $L_{\pm} f$  is an eigenfunction of  $L_z$ , with new eigenvalue  $\mu \pm \hbar$

$$\begin{aligned} L_z (L_{\pm} f) &= [L_z, L_{\pm}] f + L_{\pm} L_z f \\ &= \pm\hbar L_{\pm} f + L_{\pm} (\mu f) \\ &= (\mu \pm \hbar) L_{\pm} f \end{aligned}$$

Repeatedly applying  $L_+$  to an eigenstate would increase the associated eigenvalue of  $L_z$  by a factor of  $\hbar$ . "We will reach a state for which the z-component exceeds the total." So there must a state such that

$$L_+ f_t = 0$$

Mathematically, we require

$$L^2 |\ell, m\rangle \geq L_z^2 |\ell, m\rangle \iff \ell(\ell+1) \geq m^2$$

Let  $\hbar\ell$  be the eigenvalue associated with  $f_t$  for  $L_z$ . Then

$$\begin{aligned} L_z f_t &= \hbar\ell f_t \\ L^2 f_t &= \lambda f_t \end{aligned}$$

Substituting  $L^2$  for  $L_- L_+ + L_z^2 + \hbar L_z$ , we will find that

$$L^2 f_t = \hbar^2 \ell(\ell+1) f_t \iff \lambda = \hbar^2 \ell(\ell+1)$$

There must exist also a bottom eigenstate, such that

$$L_- f_b = 0$$

Let  $\hbar\bar{\ell}$  be the eigenvalue of  $L_z$  at the bottom eigenstate:

$$\begin{aligned} L_z f_b &= \hbar\bar{\ell} f_b \\ L^2 f_b &= \lambda f_b \end{aligned}$$

Substituting  $L^2$  for  $L_+ L_- + L_z^2 - \hbar L_z$ , we will find that

$$\lambda = \hbar^2 \bar{\ell}(\bar{\ell} - 1)$$

And we have

$$\bar{\ell}(\bar{\ell} - 1) = \ell(\ell + 1)$$

This implies that  $\bar{\ell} = -\ell$ . To go from  $f_b$  to  $f_t$ , or from eigenvalue equal to  $-\ell$  to  $\ell$ , we will need to take  $N$  steps. So  $\ell = -\ell + N$ , and  $\ell = N/2$ .

Let's define a new index  $m$ , which goes from  $-\ell$  to  $\ell$  in  $N$  steps.

**Simultaneous eigenfunctions of  $L^2$  and  $L_z$ :**

$$\begin{aligned} L^2 f_\ell^m &= \hbar^2 \ell(\ell+1) f_\ell^m \\ L_z f_\ell^m &= \hbar m f_\ell^m \end{aligned}$$

for  $\ell = 0, (1/2), 1, \dots$  and  $m = -\ell, (-\ell+1), \dots, (\ell-1), \ell$ .  
And  $f_\ell^m$  is eigenstate of  $L_z$  and  $L^2$ .

We will come to find that  $\ell$  cannot take on half integer values.

We can show that:

$$L_{\pm} f_\ell^m = \hbar \sqrt{\ell(\ell+1) - m(m \pm 1)} f_\ell^{m \pm 1}$$

When  $m = \pm\ell$ , the normalization constant is 0. So there must be a top and bottom rung of the ladder.

Angular momentum cannot completely point along a given direction. If it is well defined in one direction, say along the z-axis, the x and y components will have non-zero uncertainty, as different components do not commute.

**Normalization:** If we apply  $L_{\pm}$  to a superposition of eigenstates,  $|\psi\rangle$ , the resulting state still requires normalization!

**Unitless angular momentum operators**

An alternate formulation involves dividing each component of angular momentum by  $\hbar$ , such that  $L_i = \hbar\ell_i$ .

## Eigenfunctions

Our goal is to construct eigenstates of  $L_z$  and  $L^2$ , which we denote by  $|\ell, m\rangle$ , that are orthonormal

$$\langle \ell', m' | \ell, m \rangle = \delta_{\ell'\ell} \delta_{m'm}$$

- $|\ell, m\rangle$  states are  $2\ell + 1$  degenerate
- For every  $\ell$ , there are  $2\ell + 1$  values of  $m$

If we can find the states  $f_\ell^\ell$ . By repeated application of  $L_\pm$ , we can construct all possible states of  $f_\ell^m$ .

Recall the spherical gradient operator:

$$\nabla = \hat{r} \frac{\partial}{\partial r} + \frac{\hat{\theta}}{r} \frac{\partial}{\partial \theta} + \frac{\hat{\phi}}{r \sin(\theta)} \frac{\partial}{\partial \phi}$$

Substitute the spherical gradient into the angular momentum operator. Remember that the cross product is distributive:

$$\underline{L} = -i\hbar \left( r (\hat{r} \times \hat{r}) \frac{\partial}{\partial r} + (\hat{r} \times \hat{\theta}) \frac{\partial}{\partial \theta} + (\hat{r} \times \hat{\phi}) \frac{1}{\sin(\theta)} \frac{\partial}{\partial \phi} \right)$$

By the relations

$$\begin{aligned} \hat{r} \times \hat{r} &= 0 \\ \hat{r} \times \hat{\theta} &= \hat{\phi} \\ \hat{r} \times \hat{\phi} &= -\hat{\theta} \end{aligned}$$

Simplifying:

$$\underline{L} = -i\hbar \left( \hat{\phi} \frac{\partial}{\partial \theta} - \hat{\theta} \frac{\partial}{\partial \phi} \right)$$

With components

**$L_z$  in spherical coordinates:**

$$L_z = -i\hbar \frac{\partial}{\partial \phi}$$

$$L_x = -i\hbar \left( -\sin(\phi) \frac{\partial}{\partial \theta} - \cos(\phi) \cot(\theta) \frac{\partial}{\partial \phi} \right)$$

$$L_y = -i\hbar \left( \cos(\phi) \frac{\partial}{\partial \theta} - \sin(\phi) \cot(\theta) \frac{\partial}{\partial \phi} \right)$$

The raising and lower operator become

$$L_\pm = \pm \hbar e^{i\phi} \left( \frac{\partial}{\partial \theta} \pm i \cot(\theta) \frac{\partial}{\partial \phi} \right)$$

Using the fact that  $L^2 = L_+ L_- + L_- L_+ + L_z^2 + \hbar L_z$ , and

$$L_+ L_- = -\hbar^2 \left( \frac{\partial^2}{\partial \theta^2} + \cot(\theta) \frac{\partial}{\partial \theta} + \cot^2(\theta) \frac{\partial^2}{\partial \phi^2} + i \frac{\partial}{\partial \phi} \right)$$

we can show that

**$L^2$  operator in spherical coordinates:**

$$L^2 = -\hbar^2 \left( \frac{1}{\sin(\theta)} \frac{\partial}{\partial \theta} \left( \sin(\theta) \frac{\partial}{\partial \theta} \right) + \frac{1}{\sin^2(\theta)} \frac{\partial^2}{\partial \phi^2} \right)$$

Let's call the eigenfunctions  $Y_\ell^m(\theta, \phi)$ . Consider

$$\hat{L}_z |\ell, m = \ell\rangle = (m = \ell) |\ell, m = \ell\rangle$$

Substituting the spherical representation

$$-i \frac{\partial}{\partial \phi} Y_\ell^{m=\ell} = \ell Y_\ell^{m=\ell}$$

This is a first order ODE, with the solution

$$Y_\ell^{m=\ell} = f(\theta) e^{i(m=\ell)\phi}$$

**No half-integers for orbital angular momentum:** If  $\ell$  can take on half-integer values, so too can  $m$ . But we require

$$e^{im\phi} = e^{im(\phi+2\pi)} = e^{im\phi} e^{im2\pi} \iff e^{im2\pi} = 1$$

which is not possible if when  $m$  can be a half-integer.

We also know that

$$\mathcal{L}_+ Y_\ell^\ell = 0$$

Substituting the spherical representation for  $\mathcal{L}_+$ :

$$0 = e^{i\phi} \left( \frac{\partial}{\partial \theta} \pm i \cot(\theta) \frac{\partial}{\partial \phi} \right) f(\theta) e^{i\ell\phi}$$

We can find that  $Y_\ell^\ell$  is of the form

$$Y_\ell^\ell = A \sin^\ell(\theta) e^{i\ell\phi}$$

Computing the normalization:

$$\begin{aligned} \langle \ell, \ell | \ell, \ell \rangle &= |A|^2 \int \sin^{2\ell}(\theta) d\Omega \\ &= |A|^2 \int_0^{2\pi} \int_0^\pi \sin^{2\ell}(\theta) \sin(\theta) d\theta d\phi \\ &= 1 \end{aligned}$$

**Maximum projection state:**

$$|\ell, \ell\rangle = Y_\ell^\ell(\theta, \phi) = \sqrt{\frac{(2\ell+1)!!}{2\pi(2\ell)!!}} \sin^\ell(\theta) e^{i\ell\phi}$$

The **double factorial** is defined to be

$$\ell!! = \begin{cases} (\ell)(\ell-2) \dots 5 \cdot 3 \cdot 1 & n > 0 \text{ odd} \\ (\ell)(\ell-2) \dots 6 \cdot 4 \cdot 2 & n > 0 \text{ even} \\ 1 & n = -1, 0 \end{cases}$$

Table of spherical harmonics:

$$Y_0^0 = \left(\frac{1}{4\pi}\right)^{1/2}$$

$$Y_1^0 = \left(\frac{3}{4\pi}\right)^{1/2} \cos \theta$$

$$Y_1^{\pm 1} = \mp \left(\frac{3}{8\pi}\right)^{1/2} \sin \theta e^{\pm i\phi}$$

$$Y_2^0 = \left(\frac{5}{16\pi}\right)^{1/2} (3 \cos^2 \theta - 1)$$

$$Y_2^{\pm 1} = \mp \left(\frac{15}{8\pi}\right)^{1/2} \sin \theta \cos \theta e^{\pm i\phi}$$

$$Y_2^{\pm 2} = \left(\frac{15}{32\pi}\right)^{1/2} \sin^2 \theta e^{\pm 2i\phi}$$

$$Y_3^0 = \left(\frac{7}{16\pi}\right)^{1/2} (5 \cos^3 \theta - 3 \cos \theta)$$

$$Y_3^{\pm 1} = \mp \left(\frac{21}{64\pi}\right)^{1/2} \sin \theta (5 \cos^2 \theta - 1) e^{\pm i\phi}$$

$$Y_3^{\pm 2} = \left(\frac{105}{32\pi}\right)^{1/2} \sin^2 \theta \cos \theta e^{\pm 2i\phi}$$

$$Y_3^{\pm 3} = \mp \left(\frac{35}{64\pi}\right)^{1/2} \sin^3 \theta e^{\pm 3i\phi}$$

## Symmetry

In one dimension, we discussed the  $x \rightarrow -x$  symmetry.

Spherical coordinates obey **mirror symmetry** about the origin.

$$\underline{r} \rightarrow -\underline{r}$$

under the transformations

$$\theta \rightarrow \pi - \theta$$

$$\phi \rightarrow \phi + \pi$$

In spherical coordinates,

$$x = r \sin(\theta) \cos(\phi)$$

$$y = r \sin(\theta) \sin(\phi)$$

$$z = r \cos(\theta)$$

We see that

$$\sin(\pi - \theta) = \sin(\theta)$$

$$\cos(\pi - \theta) = -\cos(\theta)$$

$$\sin(\phi + \pi) = -\sin(\phi)$$

$$\cos(\phi + \pi) = -\cos(\phi)$$

So applying  $\theta$  and  $\phi$  transformations, we get

$$x \rightarrow -x$$

$$y \rightarrow -y$$

$$z \rightarrow -z$$

which is precisely  $\underline{r} \rightarrow -\underline{r}$

Under the transformations, we define spherical harmonics to be

$$Y_\ell^m \rightarrow \begin{cases} Y_\ell^m & \ell = 2n; \text{ symmetric} \\ -Y_\ell^m & \ell = 2n+1; \text{ anti-symmetric} \end{cases}$$

for some  $n \in \mathbb{Z}$ . This can be used to reason why

$$\int Y_a^{b*} Y_c^d d\Omega = 0$$

when  $a$  is even and  $c$  is odd.

Consider  $\langle \ell, m | z | \ell, m \rangle$ . Since  $z$  changes sign under the transformations, while sign changes of  $|\ell, m\rangle$  will cancel, so we expect

this to be 0. In coordinate representation, we have a product of symmetric and antisymmetric functions, which is antisymmetric.

We cannot expect that  $\langle \ell, m | z^2 | \ell, m \rangle$  to vanish.  $z^2$  does not change sign under the transformations.

Since both  $\underline{r}$  and  $\underline{p}$  change sign under the transformations,  $\underline{L}$  does not change sign. So  $\underline{L}$  is symmetric under the transformations. We call  $\underline{L}$  a **pseudo-** or **axial** vector.

## Spin

Elementary particles carry **intrinsic** angular momentum ( $\underline{S}$ ) in addition to their extrinsic angular momentum ( $\underline{L}$ ).

In classical mechanics, we had two forms of angular momentum. Orbital, and spin angular momentum. But this is not the case in quantum mechanics.

In quantum mechanics, we cannot imagine particles as small spinning balls. Spin has no coordinate-space representation.

We postulate that Spin obeys the same algebra as angular momentum.

$$[S_i, S_j] = i\hbar \epsilon_{ijk} S_k$$

And there exists an operator  $S^2$ , such that

$$S^2 |s, m\rangle = \hbar^2 s(s+1) |s, m\rangle$$

$$S_z |s, m\rangle = \hbar m |s, m\rangle$$

The parallel to angular momentum can be seen by switching from  $s \rightarrow \ell$ .

Spin does not have a coordinate representation. So  $|s, m\rangle$  are strictly eigenstates, not eigenfunctions.

And we can similarly define ladder operators,  $S_{\pm} = S_x \pm iS_y$ , such that:

$$S_{\pm} |s, m\rangle = \hbar \sqrt{s(s+1) - m(m \pm 1)} |s, m \pm 1\rangle$$

- for a given particle, the spin quantum number,  $s$ , can only take on a specific integer/half-integer number

Recall that for any particle,  $\ell$  is allowed to be any integer, and it changes whenever the system is perturbed.

Different elementary particle species take on different values of spin.

### Spin 1/2

Protons, electrons, neutrons have  $s = 1/2$ . When  $s = 1/2$ , we have two eigenstates of  $S_z$ . They are spin up, and spin down states:

$$|\uparrow\rangle = \begin{bmatrix} 1 \\ 2 \end{bmatrix}, \quad |\downarrow\rangle = \begin{bmatrix} 1 \\ -2 \end{bmatrix}$$

This is a “two level system”. We can treat this problem by using a matrix basis. In this basis, we represent the states as

$$|\uparrow\rangle \rightarrow \chi_+ = \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \quad |\downarrow\rangle \rightarrow \chi_- = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$$

**Spinor:** The “spin state” of a stationary particle is described by two element column matrix called a spinor

$$\chi = \begin{bmatrix} a \\ b \end{bmatrix} = a\chi_+ + b\chi_-$$

We require  $\chi$  to be normalized.

The most general spinor has the form

$$\chi = \begin{bmatrix} e^{i\alpha} \cos(\gamma) \\ e^{i\beta} \sin(\gamma) \end{bmatrix} = e^{i\alpha} \begin{bmatrix} \cos(\gamma) \\ e^{i(\beta-\alpha)} \sin(\gamma) \end{bmatrix}$$

But only the phase difference  $\beta - \alpha$  is observable. Since  $e^{i\alpha}$  will cancel in all computations of probability (and so in all computations of expectation values as well).

In our choice of a matrix basis, we can verify that

$$\underline{S}^2 = \frac{3}{4}\hbar^2 \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

$$\underline{S}_+ = \hbar \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}, \quad \underline{S}_- = \hbar \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix}$$

If we define the **Pauli spin matrices**,

$$\underline{\sigma}_x = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}, \quad \underline{\sigma}_y = \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix}, \quad \underline{\sigma}_z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

We can write

$$\underline{S}_x = \frac{\hbar}{2} \underline{\sigma}_x, \quad \underline{S}_y = \frac{\hbar}{2} \underline{\sigma}_y, \quad \underline{S}_z = \frac{\hbar}{2} \underline{\sigma}_z$$

- $\chi_+, \chi_-$  are “eigenspinors” of  $\underline{S}_z$
- Under this basis,  $S_x, S_y$  may have eigenspinors of a different form. We denote them  $\chi_{\pm}^{(x)}, \chi_{\pm}^{(y)}$ .
- The Pauli matrices are unitary. This implies that  $S_i^2 = \hbar^2/4$
- We can prove that

$$\sigma_j \sigma_k = \delta_{jk} + i\epsilon_{jkl} \sigma_l$$

- $S_+ \chi_+ = S_- \chi_- = 0$
- $S_{\pm} \chi_{\mp} = \hbar \chi_{\pm}$

At least for spin 1/2, we have

$$\underline{S}_x^2 = \underline{S}_y^2 = \underline{S}_z^2 = \frac{\hbar^2}{4} \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

The eigenvalue, eigenvector pairs of  $\underline{S}_x$ :

$$\left\{ \frac{\hbar}{2}, \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ 1 \end{bmatrix} \right\}, \left\{ -\frac{\hbar}{2}, \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ -1 \end{bmatrix} \right\}$$

The eigenvalue, eigenvector pairs of  $\underline{S}_y$ :

$$\left\{ \frac{\hbar}{2}, \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ i \end{bmatrix} \right\}, \left\{ -\frac{\hbar}{2}, \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ -i \end{bmatrix} \right\}$$

## Electron in a magnetic field

Recall the gyromagnetic ratio, the ratio of the magnetic dipole moment to the angular momentum of some configuration. Classically,

$$\underline{\mu} = \gamma \underline{S}$$

- $\underline{\mu}$  is the magnetic dipole moment
- $\underline{S}$  is the spin angular momentum of a particle

A current loop placed in a uniform magnetic field experiences a torque that aligns the magnetic dipole moment of the loop to the direction of the field.

Assuming the particle is stationary. This potential energy associated with this torque is

$$\hat{H} = -\underline{\mu} \cdot \underline{B} = -\gamma \underline{B} \cdot \underline{S}$$

- No magnetic field quantization
- Quantize spin:  $\underline{S}$  becomes a spin matrix

**Uniform magnetic field:** let  $\underline{B} = B_0 \hat{k}$ . The Hamiltonian

$$\underline{H} = -\gamma B_0 \underline{S}_z = -\frac{\gamma B_0 \hbar}{2} \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

Hamiltonian share the same eigenvectors as  $\underline{S}_z$ , which means that  $[\underline{H}, \underline{S}_z] = 0$ :

$$\underline{H} \chi_+ = -\frac{\gamma B_0 \hbar}{2} \chi_+, \quad \underline{H} \chi_- = +\frac{\gamma B_0 \hbar}{2} \chi_-$$

- $E_+$  associated with  $\chi_+$  is less than  $E_-$ : energy is lowest when the magnetic dipole moment is parallel to the field

The general solution can be found by appending our time dependent wiggles factors

$$\chi(t) = a \chi_+ e^{-iE_+ t/\hbar} + b \chi_- e^{-iE_- t/\hbar}$$

The coefficients,  $a$  and  $b$  are determined by initial conditions at  $\chi(0)$ . Since we require  $\chi(t)$  to be normalized, we can substitute

$$a = \cos(\alpha/2) \\ b = \sin(\alpha/2)$$

to replace two coefficients by a single angle  $\alpha$ . Recognize that

$$\omega = \gamma B_0$$

is the classical Larmor frequency, so the eigenenergies can be written as

$$E_{\pm} = \mp \omega \hbar/2$$

Direct computation of  $\langle S_x \rangle, \langle S_y \rangle, \langle S_z \rangle$  gives

$$\langle S_x \rangle = \frac{\hbar}{2} \sin(\alpha) \cos(\omega t) \\ \langle S_y \rangle = \frac{\hbar}{2} \sin(\alpha) \sin(\omega t) \\ \langle S_z \rangle = \frac{\hbar}{2} \cos(\alpha)$$

which tells us that the expectation value,  $\langle \underline{S} \rangle$  precesses about the magnetic field at a frequency  $\omega$ .

- $\langle S_z \rangle$  is time-independent, since  $\chi$  is a superposition of eigenstates of  $S_z$ , who shares the same eigenstates as our time independent Hamiltonian
- The expectation value of a time independent  $\hat{H}$  is time independent, even for a superposition of eigenstates
- The probability to get  $\hbar/2$  or  $-\hbar/2$  for  $S_z$  is time independent  
This is the same as asking the probability to find a particular eigenstate of  $S_z$ . As  $S_z$  commutes with  $\hat{H}$ , and  $\hat{H}$  is time independent, the probabilities are time independent.

For example,

$$\begin{aligned}
 \langle S_x \rangle &= \chi^\dagger \frac{\hbar}{2} \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \chi \\
 &= \frac{\hbar}{2} \left[ \cos\left(\frac{\alpha}{2}\right) e^{-i\omega t/2} \quad \sin\left(\frac{\alpha}{2}\right) e^{i\omega t/2} \right] \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \chi \\
 &= \frac{\hbar}{2} \left[ \sin\left(\frac{\alpha}{2}\right) e^{i\omega t/2} \quad \cos\left(\frac{\alpha}{2}\right) e^{-i\omega t/2} \right] \begin{bmatrix} \cos\left(\frac{\alpha}{2}\right) e^{i\omega t/2} \\ \sin\left(\frac{\alpha}{2}\right) e^{-i\omega t/2} \end{bmatrix} \\
 &= \frac{\hbar}{2} \left( \sin\left(\frac{\alpha}{2}\right) \cos\left(\frac{\alpha}{2}\right) e^{i\omega t} + \sin\left(\frac{\alpha}{2}\right) \cos\left(\frac{\alpha}{2}\right) e^{-i\omega t} \right) \\
 &= \frac{\hbar}{2} 2 \cos(\omega t) \sin\left(\frac{\alpha}{2}\right) \cos\left(\frac{\alpha}{2}\right) \\
 &= \frac{\hbar}{2} \cos(\omega t) \sin(\alpha)
 \end{aligned}$$

And

$$\begin{aligned}
 \langle S_z \rangle &= \chi^\dagger \frac{\hbar}{2} \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \chi \\
 &= \frac{\hbar}{2} \left[ \cos\left(\frac{\alpha}{2}\right) e^{-i\omega t/2} \quad \sin\left(\frac{\alpha}{2}\right) e^{i\omega t/2} \right] \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \chi \\
 &= \frac{\hbar}{2} \left[ \cos\left(\frac{\alpha}{2}\right) e^{-i\omega t/2} \quad -\sin\left(\frac{\alpha}{2}\right) e^{i\omega t/2} \right] \begin{bmatrix} \cos\left(\frac{\alpha}{2}\right) e^{i\omega t/2} \\ \sin\left(\frac{\alpha}{2}\right) e^{-i\omega t/2} \end{bmatrix} \\
 &= \frac{\hbar}{2} \left( \cos^2\left(\frac{\alpha}{2}\right) - \sin^2\left(\frac{\alpha}{2}\right) \right) \\
 &= \frac{\hbar}{2} \cos(\alpha)
 \end{aligned}$$

**Stern-Gerlach experiment:** demonstrated the quantization of spin for spin 1/2 particles. It involved

- beam of silver atoms (neutral, so we avoid talking about the Lorentz force)
- an inhomogenous magnetic field:

$$\underline{B} = -\alpha x \hat{x} + (B_0 + \alpha z) \hat{z}$$

Recall that the force exerted on a magnetic dipole is given by the gradient of the potential energy

$$\underline{F} = \nabla(\underline{\mu} \cdot \underline{B})$$

If the field were homogeneous, then there be no deflection force on the atoms since the gradient would be zero. Particles passing through the field was deflected into 2 separate streams, depending on the spin of the particle. While we expected a smear of particles instead.

## Direction of spin polarization

Along an arbitrary direction,  $\hat{n}$ ,

$$\hat{n} = \sin(\theta) \cos(\phi) \hat{x} + \sin(\theta) \sin(\phi) \hat{y} + \cos(\theta) \hat{z}$$

The matrix representing the component of spin along  $\hat{n}$  is

$$\begin{aligned}
 S_n &= S_x \sin(\theta) \cos(\phi) \hat{x} + S_y \sin(\theta) \sin(\phi) \hat{y} + S_z \cos(\theta) \hat{z} \\
 &= \frac{\hbar}{2} \begin{bmatrix} \cos(\theta) & e^{-i\phi} \sin(\theta) \\ e^{-i\phi} \sin(\theta) & -\cos(\theta) \end{bmatrix}
 \end{aligned}$$

The eigenvalues and eigenvector pairs are

$$\left\{ \frac{\hbar}{2}, \begin{bmatrix} \cos(\theta/2) \\ e^{i\phi} \sin(\theta/2) \end{bmatrix} \right\}, \left\{ -\frac{\hbar}{2}, \begin{bmatrix} e^{-i\phi} \sin(\theta/2) \\ -\cos(\theta/2) \end{bmatrix} \right\}$$

Given an arbitrary spinor, the direction of polarization is parallel to

$$\langle \chi | S_x | \chi \rangle \hat{x} + \langle \chi | S_y | \chi \rangle \hat{y} + \langle \chi | S_z | \chi \rangle \hat{z}$$

up to normalization.

## Spin 1

There are three eigenspinors of  $S_z$ :

$$|1, -1\rangle \rightarrow \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \quad |1, 0\rangle \rightarrow \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} \quad |1, 1\rangle \rightarrow \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$$

The spin matrices are

$$\underline{S}^2 = 2\hbar^2 \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$\underline{S}_+ = \hbar\sqrt{2} \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{bmatrix}$$

$$\underline{S}_- = \hbar\sqrt{2} \begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix}$$

$$\underline{S}_x = \frac{\hbar}{\sqrt{2}} \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix}$$

$$\underline{S}_y = \frac{i\hbar}{\sqrt{2}} \begin{bmatrix} 0 & -1 & 0 \\ 1 & 0 & -1 \\ 0 & 1 & 0 \end{bmatrix}$$

$$\underline{S}_z = \hbar \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -1 \end{bmatrix}$$

## The hydrogen atom

Classically, The hydrogen atom is a *two-body problem*, involving: a proton of mass  $m_p$ , charge  $+e$ ; an electron of mass  $m_e$ , charge  $-e$ .

If we say that  $m_p \gg m_e$ , then the proton can be taken to be at the origin, and the potential is a central potential:

$$V(r) = -\frac{e^2 Z}{4\pi\epsilon_0 r}$$

where  $Z$  is a free parameter ( $Z = 1$  for hydrogen).

Reasonably, the proton and electron's position and momentum are specified by two pairs of **independent canonical variables**, subscript  $p$  for proton, and  $e$  for electron.

$$[(\hat{x}_p)_i, (\hat{p}_p)_j] = i\hbar\delta_{ij}$$

$$[(\hat{x}_e)_i, (\hat{p}_e)_j] = i\hbar\delta_{ij}$$

- One would also expect the proton variables to commute with electron variables
- We can measure the momentum of both the proton and electron simultaneously (to arbitrary precision)

The Hamiltonian of system is given by

$$\hat{H} = \frac{\hat{p}_p^2}{2m_p} + \frac{\hat{p}_e^2}{2m_e} + V(|\hat{x}_e - \hat{x}_p|)$$

We introduce two new pairs of canonical variables. The first pair includes

- the total momentum operator

$$\hat{\underline{P}} = \hat{\underline{p}}_p + \hat{\underline{p}}_e$$

- the center of mass position operator

$$\hat{\underline{X}} = \frac{m_e \hat{\underline{x}}_e + m_p \hat{\underline{x}}_p}{m_e + m_p}$$

Their commutator is

$$[(\hat{X})_i, (\hat{P})_j] = i\hbar\delta_{ij}$$

Let's verify this. Using the distributivity of commutators, and setting all commutators involving only both electron and proton variables to zero:

$$\begin{aligned}
 \left[ \frac{m_e (\hat{x}_e)_i + m_p (\hat{x}_p)_i}{m_e + m_p}, (\hat{p}_p)_j + (\hat{p}_e)_j \right] &= \frac{m_e}{m_e + m_p} [(\hat{x}_e)_i, (\hat{p}_e)_j] \\
 &\quad + \frac{m_p}{m_e + m_p} [(\hat{x}_p)_i, (\hat{p}_p)_j] \\
 &= i\hbar\delta_{ij}
 \end{aligned}$$

For convenience, we introduce the total mass and the reduced mass,

$$M = m_e + m_p$$

$$\mu = \frac{m_e m_p}{m_e + m_p}$$

The second pair of variables we introduce are

- the relative position operator

$$\hat{\underline{x}} = \hat{\underline{x}}_e - \hat{\underline{x}}_p$$

- the relative momentum operator

$$\hat{\underline{p}} = \mu \left( \frac{\hat{\underline{p}}_e}{m_e} - \frac{\hat{\underline{p}}_p}{m_p} \right) = \frac{m_p}{M} \hat{\underline{p}}_e - \frac{m_e}{M} \hat{\underline{p}}_p$$

Since our original pairs of canonical variables commutes with each other, we also require that  $\hat{\underline{x}}$ , and  $\hat{\underline{p}}$  commutes with  $\hat{\underline{X}}$  and  $\hat{\underline{P}}$ , and that

$$[(\hat{x})_i, (\hat{p})_j] = i\hbar\delta_{ij}$$

$\hat{\underline{x}}$  and  $\hat{\underline{X}}$  definitely commute. And  $\hat{\underline{x}}$  and  $\hat{\underline{P}}$ :

$$\begin{aligned} [(\hat{x}_e)_i - (\hat{x}_p)_i, (\hat{p}_p)_{ji} + (\hat{p}_e)_j] &= [(\hat{x}_e)_i, (\hat{p}_e)_j] - [(\hat{x}_p)_i, (\hat{p}_p)_j] \\ &= 0 \end{aligned}$$

also commute.

To derived the coefficients in the  $\hat{\underline{p}}$  operator, we first let

$$\hat{\underline{p}} = \alpha\hat{\underline{p}}_e - \beta\hat{\underline{p}}_p$$

$\alpha, \beta$  can be derived from requiring

$$\begin{aligned} [(\hat{x})_i, (\hat{p})_j] &= i\hbar\delta_{ij} \\ &= [(\hat{x}_e)_i - (\hat{x}_p)_i, \alpha(\hat{p}_e)_j - \beta(\hat{p}_p)_j] \\ &= \alpha i\hbar\delta_{ij} + \beta i\hbar\delta_{ij} \\ \Rightarrow \alpha + \beta &= 1 \end{aligned}$$

and similarly

$$[(\hat{X})_i, (\hat{p})_i] = 0$$

In terms of the new momentum variables,

$$\hat{\underline{p}}_p = \frac{m_p}{M}\hat{\underline{P}} - \hat{\underline{p}} \quad \hat{\underline{p}}_e = \frac{m_e}{M}\hat{\underline{P}} + \hat{\underline{p}}$$

We can write the Hamiltonian as

$$\hat{H} = \frac{\hat{\underline{P}}^2}{2M} + \frac{\hat{\underline{p}}^2}{2\mu} + V(r)$$

First compute the squares of  $\hat{\underline{p}}_p$  and  $\hat{\underline{p}}_e$ :

$$\begin{aligned} \hat{\underline{p}}_p^2 &= \left(\frac{m_p}{M}\hat{\underline{P}}\right)^2 - 2\left(\frac{m_p}{M}\hat{\underline{P}}\hat{\underline{p}}\right) + \hat{\underline{p}}^2 \\ \hat{\underline{p}}_e^2 &= \left(\frac{m_e}{M}\hat{\underline{P}}\right)^2 + 2\left(\frac{m_e}{M}\hat{\underline{P}}\hat{\underline{p}}\right) + \hat{\underline{p}}^2 \end{aligned}$$

Substituting into the kinetic energy portion of the Hamiltonian:

$$\begin{aligned} \frac{\hat{\underline{p}}_p^2}{2m_p} + \frac{\hat{\underline{p}}_e^2}{2m_e} &= \frac{1}{2m_p} \left( \left(\frac{m_p}{M}\hat{\underline{P}}\right)^2 - 2\left(\frac{m_p}{M}\hat{\underline{P}}\hat{\underline{p}}\right) + \hat{\underline{p}}^2 \right) \\ &\quad + \frac{1}{2m_e} \left( \left(\frac{m_e}{M}\hat{\underline{P}}\right)^2 + 2\left(\frac{m_e}{M}\hat{\underline{P}}\hat{\underline{p}}\right) + \hat{\underline{p}}^2 \right) \\ &= \frac{m_p}{2M^2}\hat{\underline{P}}^2 - \frac{1}{M}\hat{\underline{P}}\hat{\underline{p}} + \frac{1}{2m_p}\hat{\underline{p}}^2 \\ &\quad + \frac{m_e}{2M^2}\hat{\underline{P}}^2 + \frac{1}{M}\hat{\underline{P}}\hat{\underline{p}} + \frac{1}{2m_e}\hat{\underline{p}}^2 \\ &= \frac{\hat{\underline{P}}^2}{2M^2}(m_p + m_e) + \frac{\hat{\underline{p}}^2}{2} \left( \frac{1}{m_p} + \frac{1}{m_e} \right) \\ &= \frac{\hat{\underline{P}}^2}{2M} + \frac{\hat{\underline{p}}^2}{2\mu} \end{aligned}$$

In position space, we can write the new momentum operators as gradients with respect to the center of mass coordinates

$$\hat{\underline{P}} \rightarrow -i\hbar\nabla_{\underline{X}}$$

and the relative position coordinates

$$\hat{\underline{p}} \rightarrow -i\hbar\nabla_{\underline{x}}$$

Our wave function is now a function of  $\underline{x}, \underline{X}$ . We solve the equation by considering the separation of variables

$$\psi(\underline{x}, \underline{X}) = \psi_{\text{cm}}(\underline{X})\psi_{\text{rel}}(\underline{x})$$

The Schrödinger equation

$$\hat{H}\psi_{\text{cm}}(\underline{X})\psi_{\text{rel}}(\underline{x}) = E\psi_{\text{cm}}(\underline{X})\psi_{\text{rel}}(\underline{x})$$

is reduced to solving the center of mass equation

$$\frac{\hat{\underline{P}}^2}{2M}\psi_{\text{cm}}(\underline{X}) = E_{\text{cm}}\psi_{\text{cm}}(\underline{X})$$

and the relative position equation

$$\left( \frac{\hat{\underline{p}}^2}{2\mu} + V(|\underline{x}|) \right) \psi_{\text{rel}}(\underline{x}) = E_{\text{rel}}\psi_{\text{rel}}(\underline{x})$$

where

$$E = E_{\text{cm}} + E_{\text{rel}}$$

- The center of mass equation appears like the equation for a free particle of mass  $M$ , so energy is not quantized
- The relative position equation is the equation for a particle in a central field
- The total energy is the sum of the energies of the relative and center of mass motion

### Center of mass equation

Resembles the equation for the free particle in three dimensions. And has solution

$$\psi_{\text{R}} = (2\pi\hbar)^{-3/2} e^{i\vec{P}\cdot\vec{R}/\hbar}$$

### Relative distance equation

Resembles the equation for a single particle in a central potential. We can show that the relative distance Hamiltonian reduces to

$$H_{\text{rel}} = \frac{-\hbar^2}{2\mu} \frac{1}{r} \frac{\partial^2}{\partial r^2} r + \frac{L^2}{2\mu r^2} + V(r)$$

We showed that  $L^2$  commutes with the Hamiltonian with a central potential. This allows us to replace the operator,  $L^2$ , by its eigenvalue. The relative distance equation becomes

$$\frac{-\hbar^2}{2\mu} \frac{1}{r} \frac{\partial^2}{\partial r^2} (r\psi_{\text{rel}}) + \frac{\hbar^2\ell(\ell+1)}{2\mu r^2} \psi_{\text{rel}} + V(r)\psi_{\text{rel}} = E_{\text{rel}}\psi_{\text{rel}}$$

We can multiply by  $r$  on both sides, and define a new variable,  $u = r\psi_{\text{rel}}$ :

$$\left( \frac{-\hbar^2}{2\mu} \frac{\partial^2}{\partial r^2} + \frac{\hbar^2\ell(\ell+1)}{2\mu r^2} + V(r) \right) u = E_{\text{rel}}u$$

Substitute the characteristic potential,

$$\frac{-\hbar^2}{2\mu} \frac{\partial^2 u}{\partial r^2} + \left( \frac{\hbar^2\ell(\ell+1)}{2\mu r^2} - \frac{e^2 Z}{4\pi\epsilon_0 r} \right) u = E_{\text{rel}}u$$

Our equation in the form of a **radial equation**.

### Bound states of the radial equation

Since we are considering bound states,  $E_{\text{rel}}$  is taken to be  $< 0$ .

When  $m_p \gg m_e$ ,

$$\mu = \frac{m_p m_e}{m_p + m_e} \approx m_e$$

To simplify our notation, we interchange  $\mu$  with  $m$ , and  $E_{\text{rel}}$  with  $E$ .

We can get rid of the coefficients in front of the second derivative.

$$\frac{\partial^2 u}{\partial r^2} - \frac{2m}{\hbar^2} \left( \frac{\hbar^2\ell(\ell+1)}{2mr^2} - \frac{e^2 Z}{4\pi\epsilon_0 r} \right) u = \frac{-2mE}{\hbar^2} u$$

Define

$$\kappa^2 = \frac{-2mE}{\hbar^2} \quad [\text{length}]^{-2}$$

we have

$$\frac{\partial^2 u}{\partial r^2} - \left( \frac{\ell(\ell+1)}{r^2} - \frac{me^2 Z}{2\pi\epsilon_0 \hbar^2 r} \right) u = \kappa^2 u$$

Dividing both sides by  $\kappa^2$ ,

$$\frac{1}{\kappa^2} \frac{\partial^2 u}{\partial r^2} + \left( \frac{me^2 Z}{2\pi\epsilon_0 \hbar^2 \kappa} \frac{1}{r\kappa} - \frac{\ell(\ell+1)}{(\kappa r)^2} \right) u = u$$

**Nondimensionalization:** We introduce the dimensionless variables

$$\rho = \kappa r \quad \rho_0 = \frac{me^2 Z}{2\pi\epsilon_0 \hbar^2 \kappa} = \frac{e^2 Z}{4\pi\epsilon_0} \frac{2m}{\hbar^2 \kappa}$$

- $\rho_0$  will be important when we discuss the hydrogen spectrum
- $\rho_0$  contains the factor  $2m/\hbar^2\kappa$ , which resembles energy:

$$E = \frac{-\hbar^2 \kappa^2}{2m}$$



In the original ODE, the second derivative has units  $1/u$ . This implies that the righthand side must have units  $1/u$  as well. This tells us that  $\kappa$  has units of  $1/u$ .

$$\frac{du}{dr} = \frac{du}{d\rho} \frac{d\rho}{dr} = \frac{du}{d\rho} \kappa$$

and

$$\frac{d^2u}{dr^2} = \frac{d}{dr} \left( \frac{du}{d\rho} \kappa \right) = \kappa \frac{d}{d\rho} \frac{du}{dr} = \kappa \frac{d}{d\rho} \frac{du}{d\rho} \kappa = \kappa^2 \frac{d^2u}{d\rho^2}$$

We can write

$$\frac{d^2u}{d\rho^2} = \left( 1 - \frac{\rho_0}{\rho} + \frac{\ell(\ell+1)}{\rho^2} \right) u$$

This equation isn't yet ready for a series solution as it would require a three term recurrence relation. To make progress, we need to look at the asymptotic behaviour.

For large  $\rho$ , the 1 dominates in the brackets.

$$\frac{d^2u}{d\rho^2} \approx u$$

This has general solutions involving exponentials. For the solution to normalizable, the term involving positive powers of  $\rho$  is must be discarded. So

$$u(\rho) \approx e^{-\rho} \quad \text{large } \rho$$

For small  $\rho$ ,  $1/\rho^2$  is the most singular.

$$\frac{d^2u}{d\rho^2} \approx \frac{\ell(\ell+1)}{\rho^2} u$$

This is a Cauchy Euler equation. Normalizability requires that we discard the term being raised to a negative power. So

$$u(\rho) \approx \rho^{\ell+1} \quad \text{small } \rho$$

#### Radial equation ansatz:

$$u(\rho) = \rho^{\ell+1} e^{-\rho} v(\rho)$$

where  $v(\rho)$  is to be determined.

The first derivative

$$\begin{aligned} \frac{du}{d\rho} &= (\ell+1)\rho^\ell e^{-\rho} v(\rho) - \rho^{\ell+1} e^{-\rho} v(\rho) + \rho^{\ell+1} e^{-\rho} \frac{dv}{d\rho} \\ &= \rho^\ell e^{-\rho} \left( (\ell+1)v(\rho) - \rho v(\rho) + \rho \frac{dv}{d\rho} \right) \\ &= \rho^\ell e^{-\rho} \left( (\ell+1-\rho)v(\rho) + \rho \frac{dv}{d\rho} \right) \end{aligned}$$

We can show that in terms of  $v(\rho)$ , the radial equation becomes

$$\rho \frac{d^2v}{d\rho^2} + 2(\ell+1-\rho) \frac{dv}{d\rho} + (\rho_0 - 2(\ell+1)) v = 0$$

For  $\rho \rightarrow \infty$ ,  $v(\rho)$  cannot be increasing at a faster rate than  $e^{-\rho}$  decays. So a series solution is possible:

$$v(\rho) = \sum_{j=0}^{\infty} c_j \rho^j$$

The derivatives

$$\begin{aligned} \frac{dv}{d\rho} &= \sum_{j=1}^{\infty} c_j j \rho^{j-1} = \sum_{j=0}^{\infty} c_{j+1} (j+1) \rho^j \\ \frac{d^2v}{d\rho^2} &= \sum_{j=2}^{\infty} c_j j(j-1) \rho^{j-2} = \sum_{j=1}^{\infty} c_{j+1} (j+1) j \rho^{j-1} \end{aligned}$$

At  $j=0$ ,  $j(j+1)=0$ , so we may as well start indexing at  $j=0$ .

$$\frac{d^2v}{d\rho^2} = \sum_{j=0}^{\infty} c_{j+1} (j+1) j \rho^{j-1}$$

We can substitute into our ODE to find the recurrence relation:

$$c_{j+1} = \frac{2(j+\ell+1) - \rho_0}{(j+1)(j+2\ell+2)} c_j$$

To analyse the convergence, we look at the coefficients at large  $\rho$ , where large  $j$  dominates.

$$c_{j+1} \approx \frac{2j}{j(j+1)} c_j = \frac{2}{j+1} c_j$$

Writing  $c_j$  in terms of  $c_0$  and  $j$ :

$$c_j \approx \frac{2^j}{j!} c_0$$

Then,

$$v(\rho) \approx c_0 \sum_{j=0}^{\infty} \frac{2^j}{j!} \rho^j = c_0 e^{2\rho}$$

But,

$$u(\rho) = c_0 \rho^{\ell+1} e^{-\rho} e^{2\rho}$$

blows up at large  $\rho$ . Since the series diverge for large values of  $\rho$ , the series must terminate. There must be some integer  $N$  such that

$$c_{j_{\max}} \neq 0 \wedge c_{j>j_{\max}} = 0$$

This leads us to require that

$$c_{j_{\max}+1} = \frac{2(j_{\max} + \ell + 1) - \rho_0}{(j_{\max} + 1)(j_{\max} + 2\ell + 2)} c_{j_{\max}} = 0$$

which holds given

$$0 = 2(j_{\max} + \ell + 1) - \rho_0$$

$j_{\max}$  is called the **Radial quantum number**.

- $v(\rho)$  is a polynomial of order  $j_{\max}$ , so it has  $j_{\max}$  roots
- The radial wave function has  $j_{\max}$  nodes

Imposing that the series must terminate (to satisfy a vanishing boundary condition) leads to quantization of  $\rho_0$ , which represents the energy of the system.

Recall the definition of  $\rho_0$ :

$$2n = \frac{e^2 Z}{4\pi\epsilon_0} \frac{2m}{\hbar^2 \kappa}$$

we can isolate  $\kappa$ :

$$\kappa = \frac{\sqrt{-2mE}}{\hbar} = \frac{e^2 Z}{4\pi\epsilon_0} \frac{m}{\hbar^2 n}$$

from which we can solve for  $E$ . The quantity

$$\frac{me^2 Z}{4\pi\epsilon_0 \hbar^2} = \frac{1}{a} \implies \kappa = \frac{1}{an}$$

is inverse of the **Bohr radius** of the system. When  $Z=1$ , in the case of hydrogen,

$$a = \frac{4\pi\epsilon_0 \hbar^2}{me^2} = 0.529 \text{ Angstroms} = 53 \text{ pm}$$

- If we increase the proton's electric charge by factor of  $Z$ , the Bohr radius decrease by a factor of  $Z$  due to stronger attraction
- This is the maximum of the radial probability density function of ground state hydrogen

**Energy quantization:** Let  $n = j_{\max} + \ell + 1$

$$E_n = \frac{-m}{2\hbar^2} \left( \frac{e^2}{4\pi\epsilon_0} \right)^2 \frac{Z^2}{n^2} = \frac{-\hbar^2}{2ma} \frac{Z^2}{n^2} \approx (-13.6 \text{ eV}) \frac{Z^2}{n^2}$$

The quantity

$$\frac{-m}{2\hbar^2} \left( \frac{e^2}{4\pi\epsilon_0} \right)^2 = \frac{-\hbar^2}{2ma} = -13.6 \text{ eV}$$

is the amount of energy we need to ionize a hydrogen atom with the electron in its ground state, called the **binding energy**

- Increasing the charge of proton by a factor  $Z$  increases the binding energy of the electron by a factor  $Z^2$
- One power of  $Z$  comes from increased columb attraction
- One power comes from decreased Bohr radius



## Construction of wave functions

Solutions of the radial equation depends on both  $\ell$  and  $E$ . But since  $E$  is described by  $n$ , we denote the solution to the relative position (or radial) equation by  $R_{n\ell}$ , instead of  $RE\ell$ .

**Quantum numbers of hydrogen atom:** The complete solution,

$$|n, \ell, m\rangle \mapsto \psi_{n\ell m}(r, \theta, \phi) \propto R_{n\ell}(r) Y_{\ell}^m(\theta, \phi)$$

is described by

- Principal quantum number:  $n = j_{\max} + \ell + 1, n \in [1, \infty)$
- Angular momentum quantum number:  $\ell \in [0, n - 1]$
- Magnetic quantum number:  $m \in [-\ell, \ell]$

- Commonly,  $\ell = 0, 1, 2, 3$  are also referred to as the s, p, d, f states.

For the ground state,  $v(\rho)$  terminates after  $j_{\max} = 0$ , so  $n = 1$ . Since  $n = 1 = 0 + \ell + 1$ ,  $\ell$  can only be 0. The radial wave function

$$R_{10} = \frac{u}{r} = e^{-\kappa r} \kappa c_0 = \frac{c_0}{a} e^{-r/a}$$

Normalization:

$$\int_0^{\infty} r^2 |R_{10}|^2 dr = \frac{c_0^2}{a} \int_0^{\infty} r^2 e^{-2r/a} dr$$

Using the Leibniz rule, we can find the normalization constant for  $R_{10}$ . We already computed the all spherical harmonics with their normalizations. So

$$\psi_{100}(r, \theta, \phi) = \frac{1}{\sqrt{\pi a^3}} e^{-r/a}$$

For any combination of  $n\ell m$  quantum numbers, we can find  $R_{n\ell}$  up to its normalization constant, by

$$R_{n\ell} \propto \frac{1}{r} e^{-\kappa r} (\kappa r)^{\ell+1} \sum_{j=0}^{j_{\max}} c_j (\kappa r)^j$$

where by setting  $\rho_0 = 2n$ ,

$$c_{j+1} = \frac{2(j + \ell + 1 - n)}{(j + 1)(j + 2\ell + 2)} c_j$$

**Orthogonality:** The orthogonality of wave functions:

$$\langle n, \ell, m | n', \ell', m' \rangle = \delta_{nn'} \delta_{\ell\ell'} \delta_{mm'}$$

- Orthogonality stems from the orthogonality of spherical harmonics

**Orthogonality of radial wave functions:** When  $\ell = \ell'$ , and  $n \neq n'$ , we can conclude

$$\int_0^{\infty} R_{n\ell}^* R_{n'\ell} r^2 dr = 0$$

If  $\ell \neq \ell'$  and  $n \neq n'$ , we cannot draw such conclusions.

## Behaviour at infinity

For large  $r$ ,  $R_{n\ell}$  behaves like

$$R_{n\ell} \approx e^{-r/na}$$

The electron is well localized within a distance  $a$  from the proton for  $n = 1$ , and spreads out for larger values of  $n$ .

## The spectrum of hydrogen

**Degeneracy:** For every  $n$ , there are  $n - 1$  possible values of  $\ell$ . For Every  $\ell$ , there are  $2\ell + 1$  possible values of  $m$ . The electron can have spin quantum  $\pm(1/2)$ . So the degeneracy at  $E_n$  is

$$d(n) = 2 \sum_{\ell=0}^{n-1} (2\ell + 1) = 2n^2$$

If we also accounted for the spin of the proton, then  $d(n) = 4n^2$ .

When perturbed, the hydrogen atom can absorb energy and become excited, or release energy and transition to closer to the ground state.

Such perturbations always present, and are called **quantum jumps**.

The energy of the photon released from the electron transitioning from  $n_i$  to  $n_f$  is

$$E_{\gamma} = E_i - E_f = -13.6 \text{ eV} \left( \frac{1}{n_i^2} - \frac{1}{n_f^2} \right)$$

## Complete classification

Up to this point, our complete classification of an eigenstate is

$$\left| n, \ell, m, s = \frac{1}{2}, s_z \right\rangle \propto R_{n\ell} Y_{\ell}^m \chi_{\pm}$$

where  $s = 1/2$  is the spin of the electron. They correspond to the operators

$$\hat{H}, \hat{L}^2, \hat{L}_z, \hat{S}^2, \hat{S}_z$$

which are all compatible with each other.

**Spin-orbit interaction** is the interaction between the “orbital and spin magnetic moments”

$$\begin{aligned} \hat{H}_{\text{so}} &\propto -\frac{\mu_L \cdot \mu_s}{r^3} \propto -\text{const } \underline{S} \cdot \underline{L} \\ &\propto -\text{const } (S_x L_x + S_y L_y + S_z L_z) \end{aligned}$$

**Total Hamiltonian:** Accounting for spin-orbit interactions results in the total Hamiltonian,

$$\hat{H}_{\text{tot}} = \frac{\hat{p}^2}{2m} + V_{\text{eff}}(r) + \hat{H}_{\text{so}}$$

where  $\hat{p}$  is relative momentum operator, and  $m$  is the reduced mass (effectively, the electron mass).

A quantum number is “good” when their associated operators commute with the total Hamiltonian:

- $n$  is a good quantum number; The original Hamiltonian commutes with both  $S$  and  $L$
- $\ell$  is a good quantum number
- $m$  is not a good quantum number;  $L_z$  does not commute with  $H_{\text{so}}$
- $s$  is a good quantum number
- $s_z$  is not a good quantum number

$m$  is not a good quantum number:

$$\begin{aligned} [L_z, H_{\text{total}}] &\propto [L_z, H_{\text{so}}] \\ &\propto [L_z, S_x L_x + S_y L_y + S_z L_z] \\ &\propto S_x i\hbar L_y - S_y i\hbar L_x + 0 \\ &= i\hbar (S_x L_y - S_y L_x) \end{aligned}$$

$s_z$  is not a good quantum number:

$$\begin{aligned} [S_z, H_{\text{total}}] &\propto [S_z, H_{\text{so}}] \\ &\propto [S_z, S_x L_x + S_y L_y + S_z L_z] \\ &\propto L_x i\hbar S_y - L_y i\hbar S_x + 0 \\ &= i\hbar (L_x S_y - L_y S_x) \end{aligned}$$

## Total angular momentum operators:

$$\begin{aligned} \hat{J} &= \hat{L} + \hat{S} \\ \hat{J}^2 &= \hat{L}^2 + \hat{S}^2 + 2\underline{L} \cdot \underline{S} \end{aligned}$$

- $[J_i, J_j] = i\hbar \epsilon_{ijk} J_k$
- And  $[J^2, J_z] = [H_{\text{so}}, J_z] = [H_{\text{tot}}, J^2] = [J^2, L^2] = [J^2, S^2] = 0$

Consider

$$\begin{aligned} [J_x + J_y] &= [L_x + S_x, L_y + S_y] \\ &= [L_x, L_y] + [S_x, S_y] \\ &= i\hbar L_z + i\hbar S_z \\ &= i\hbar J_z \end{aligned}$$

$$[J^2, J_z] = [\hat{L}^2 + \hat{S}^2 + 2\underline{L} \cdot \underline{S}, L_z + S_z]$$

Certainly,  $L^2$  and  $S^2$  commute with  $L_z$  and  $S_z$ . The only term to check is

$$\begin{aligned} [\underline{L} \cdot \underline{S}, L_z] + [\underline{L} \cdot \underline{S}, S_z] &= [L_x S_x + L_y S_y + L_z S_z, L_z] \\ &\quad + [L_x S_x + L_y S_y + L_z S_z, S_z] \\ &= -S_x i\hbar L_y + S_y i\hbar L_x - L_x i\hbar S_y + L_y i\hbar S_x \\ &= i\hbar (-S_x L_y + S_y L_x - L_x S_y + L_y S_x) \\ &= i\hbar ([S_y, L_x] + [L_y, S_x]) \\ &= 0 \end{aligned}$$

Which implies that

$$[\underline{L} \cdot \underline{S}, L_z] + [\underline{L} \cdot \underline{S}, S_z] \propto [H_{\text{so}}, J_z] = 0$$

The new classification, accounting for spin-orbit interaction, is

$$|n, \ell, s, J, J_z\rangle$$

Total degeneracy at each  $n$  is unchanged under this new classification.

## Addition of angular momenta

**Two spin 1/2 particles at rest:** We say that all spin operators of particle 1 commute with particle 2.

$$[S_i^{(1)}, S_j^{(2)}] = \left[ (S^{(1)})^2, (S^{(2)})^2 \right] = 0$$

### Total spin operators:

$$\begin{aligned}\underline{S}^{\text{tot}} &= \underline{S}^{(1)} + \underline{S}^{(2)} \\ (S^{\text{tot}})^2 &= (\underline{S}^{(1)})^2 + (\underline{S}^{(2)})^2 + 2\underline{S}^{(1)} \cdot \underline{S}^{(2)} \\ S_{\pm}^{\text{tot}} &= S_{\pm}^{(1)} + S_{\pm}^{(2)}\end{aligned}$$

The total spin operators follow the same algebra as the conventional spin operators.

$$\begin{aligned}[S_i^{\text{tot}}, S_j^{\text{tot}}] &= i\hbar \epsilon_{ijk} S_k^{\text{tot}} \\ [(S^{\text{tot}})^2, S_i^{\text{tot}}] &= 0\end{aligned}$$

We can verify that

$$2\underline{S}^{(1)} \cdot \underline{S}^{(2)} = 2S_z^{(1)} S_z^{(2)} + S_+^{(1)} S_-^{(2)} + S_-^{(1)} S_+^{(2)}$$

Each particle can either be spin  $\uparrow$  or  $\downarrow$ . We define the composed state of the two particles by

$$|\uparrow; \uparrow\rangle = |\uparrow\rangle^{(1)} \otimes |\uparrow\rangle^{(2)}$$

where  $\otimes$  denotes the **direct product**.

- All operators are either applied to particle 1 or particle 2

There are 4 possible combinations  $s_z$  for the two spin 1/2 particles

$$\begin{aligned}|\uparrow; \uparrow\rangle &= \left| \frac{1}{2}, \frac{1}{2}; \frac{1}{2}, \frac{1}{2} \right\rangle & s_z^{(1)} + s_z^{(2)} &= 1 \\ |\uparrow; \downarrow\rangle &= \left| \frac{1}{2}, \frac{1}{2}; \frac{1}{2}, -\frac{1}{2} \right\rangle & s_z^{(1)} + s_z^{(2)} &= 0 \\ |\downarrow; \uparrow\rangle &= \left| \frac{1}{2}, -\frac{1}{2}; \frac{1}{2}, \frac{1}{2} \right\rangle & s_z^{(1)} + s_z^{(2)} &= 0 \\ |\downarrow; \downarrow\rangle &= \left| \frac{1}{2}, -\frac{1}{2}; \frac{1}{2}, -\frac{1}{2} \right\rangle & s_z^{(1)} + s_z^{(2)} &= -1\end{aligned}$$

The action of  $S_z^{\text{tot}} = S_z^{(1)} + S_z^{(2)}$  on  $|s_z^{(1)}; s_z^{(2)}\rangle$  is as if there were only a single particle with  $s_z = s_z^{(1)} + s_z^{(2)}$

$$S_z^{\text{tot}} |s_z^{(1)}; s_z^{(2)}\rangle = \hbar (s_z^{(1)} + s_z^{(2)}) |\uparrow, \uparrow\rangle$$

For example,

$$\begin{aligned}S_z^{\text{tot}} &= S_z^{(1)} + S_z^{(2)} \\ &= S_z^{(1)} \otimes \mathbb{I}^{(2)} + S_z^{(2)} \otimes \mathbb{I}^{(1)}\end{aligned}$$

acting on the state  $|\uparrow, \uparrow\rangle$  is

$$\begin{aligned}S_z^{\text{tot}} |\uparrow; \uparrow\rangle &= (S_z^{(1)} + S_z^{(2)}) |\uparrow\rangle^{(1)} \otimes |\uparrow\rangle^{(2)} \\ &= (S_z^{(1)} \otimes \mathbb{I}^{(2)} + S_z^{(2)} \otimes \mathbb{I}^{(1)}) |\uparrow\rangle^{(1)} \otimes |\uparrow\rangle^{(2)} \\ &= \frac{\hbar}{2} |\uparrow\rangle^{(1)} \otimes |\uparrow\rangle^{(2)} + \frac{\hbar}{2} |\uparrow\rangle^{(2)} \otimes |\uparrow\rangle^{(1)} \\ &= \hbar |\uparrow\rangle^{(1)} \otimes |\uparrow\rangle^{(2)}\end{aligned}$$

If we are to treat the two particle system together, it appears as if we have a single spin 1 particle, but we have an additional  $s_z = 0$  state which is not represent in a regular spin 1 particle.

.....  
If we apply

$$S_-^{\text{tot}} = S_-^{(1)} + S_-^{(2)}$$

to the state  $|\uparrow; \uparrow\rangle$ .

$$\begin{aligned}S_-^{\text{tot}} |\uparrow; \uparrow\rangle &= S_-^{(1)} |\uparrow; \uparrow\rangle + S_-^{(2)} |\uparrow; \uparrow\rangle \\ &= \hbar (|\downarrow; \uparrow\rangle + |\uparrow; \downarrow\rangle)\end{aligned}$$

This allows us to interpret the states:

$$\begin{aligned}|1, 1\rangle &= |\uparrow; \uparrow\rangle \\ |1, 0\rangle &= \frac{1}{\sqrt{2}} (|\downarrow; \uparrow\rangle + |\uparrow; \downarrow\rangle) \\ |1, -1\rangle &= |\downarrow; \downarrow\rangle\end{aligned}$$

as if we have a single particle of spin quantum number  $s = 1$ . These are call the **triplet states**.

There were 4 possible combinations of  $\uparrow, \downarrow$  among the two particles. There is a missing state. Since

**Different quantum numbers:** states with different quantum numbers must be orthogonal

The last state, call the **singlet state**,  $|0, 0\rangle$ , must be orthogonal to all the triplet states.

$$\langle s = 0, s_z = 0 | s = 1, s = \pm 1, 0 \rangle = 0$$

We say that

$$|0, 0\rangle = a |\uparrow; \downarrow\rangle + b |\downarrow; \uparrow\rangle$$

We can find the relationships between  $a$  and  $b$  by

$$\begin{aligned}\langle 1, 0 | 0, 0 \rangle &= \frac{a}{\sqrt{2}} \langle \uparrow; \downarrow | \uparrow; \downarrow \rangle + \frac{b}{\sqrt{2}} \langle \uparrow; \downarrow | \downarrow; \uparrow \rangle \\ &= 0\end{aligned}$$

implying

$$a + b = 0$$

Accounting also for normalization

$$|0, 0\rangle = \frac{1}{\sqrt{2}} (|\uparrow; \downarrow\rangle - |\downarrow; \uparrow\rangle)$$

**Maximum and minimum total spins:** The total spin cannot be greater than the sum of the individual spins. The minimum spin cannot be less than the difference between the two spins.

$$\begin{aligned}S_{\text{max}} &= |S^{(1)} + S^{(2)}| \\ S_{\text{min}} &= |S^{(1)} - S^{(2)}|\end{aligned}$$

**Clebsch–Gordan coefficients:** For two particles of arbitrary spins  $s_1, s_2$  and  $m_1, m_2$ , the combined state is given by

$$|S, M\rangle = \sum_{m_1+m_2=M} C_{m_1 m_2 m}^{s_1 s_2 S} |s_1, m_2\rangle \otimes |s_2, m_2\rangle$$

## Electromagnetic interactions

Recall the magnetic vector potential,  $\underline{A}$ , such that

$$\underline{B} = \underline{\nabla} \times \underline{A}$$

and we define a new scalar potential  $\varphi$ , such that

$$\underline{E} = -\underline{\nabla} \varphi - \frac{\partial \underline{A}}{\partial t}$$

**EM-Field Hamiltonian Operator:** A particle of charge  $q$  and momentum  $\underline{p}$  is

$$\hat{H} = \frac{1}{2m} (-i\hbar \underline{\nabla} - q \underline{A})^2 - q \varphi$$

We substituted  $\underline{p}$  for the quantum momentum operator,  $-i\hbar \underline{\nabla}$  results in the quantum Hamiltonian. This is called the **minimal coupling rule** since the fields themselves are not quantized.

### Landau Levels

Consider:

$$\underline{A} = \frac{B_0}{2} \begin{bmatrix} -y & x & 0 \end{bmatrix} \quad \varphi = K z^2$$

Where  $B_0$  has units of Tesla, and  $K$  has units of V/m<sup>2</sup>.

We can verify that

$$\underline{E} = -2K z \hat{k} \quad [\text{V/m; N/C}]$$

and

$$\underline{B} = B_0 \hat{k} \quad [\text{T; N/(A·m)}]$$

The time independent equation is

$$\frac{1}{2m} (-\hbar \underline{\nabla} - q \underline{A})^2 \psi + q \varphi \psi = E \psi$$

Expanding:

$$\frac{-\hbar^2}{2m} \nabla^2 \psi + \frac{iq\hbar}{2m} (\nabla \cdot (\underline{A}\psi) + \underline{A} \cdot (\nabla\psi)) + \frac{q^2}{2m} A^2 \psi + q\varphi\psi = E\psi$$

To simplify the equation, we consider the vector identity:

$$\nabla \cdot (\underline{A}\psi) = (\nabla \cdot \underline{A}) \psi + \underline{A} \cdot (\nabla\psi)$$

Explicit computation of  $\nabla \cdot \underline{A}$ ,  $\underline{A} \cdot (\nabla\psi)$ ,  $A^2$ , gives

$$\begin{aligned} \frac{-\hbar^2}{2m} \nabla^2 \psi + \frac{iq\hbar}{2m} B_0 \left( x \frac{\partial}{\partial y} - y \frac{\partial}{\partial x} \right) \psi \\ + \frac{(qB_0)^2}{8m} (x^2 + y^2) + qKz^2 = E\psi \end{aligned}$$

Recall that the  $z$ -orbital angular momentum operator

$$\begin{aligned} \hat{L}_z &= xp_y - yp_x \\ &= -i\hbar \left( x \frac{\partial}{\partial y} - y \frac{\partial}{\partial x} \right) \end{aligned}$$

One can verify that  $L_z$  commutes with  $H$ . This allows us to replace the operator with its eigenvalue  $\hbar m$ . Rearranging,

$$\left( \frac{-\hbar^2}{2m} \nabla^2 + \frac{(qB_0)^2}{8m} (x^2 + y^2) + qKz^2 \right) \psi = \left( E + \frac{qB_0\hbar}{2m} m \right) \psi$$

We define two frequencies:

$$\begin{aligned} \omega_1 &= \frac{qB_0}{m} \\ \omega_2 &= \sqrt{\frac{2Kq}{m}} \end{aligned}$$

Since  $B_0$  has units  $N/(A \cdot s)$  which is equal to  $kg/(C \cdot s)$ , when we multiply  $B_0$  by  $q/m$  the units become  $1/s$ .  $\omega_1$  is also the classical cyclotron orbit frequency.

Since  $1 \text{ V}$  is equivalent to  $1 \text{ (N}\cdot\text{m)/C}$ ,  $Kq/m$  has units of  $1/s^2$ . and substitute for the cylindrical Laplacian.

$$\begin{aligned} \frac{-\hbar^2}{2m} \left( \frac{1}{r} \frac{\partial}{\partial r} \left( r \frac{\partial \psi}{\partial r} \right) + \frac{1}{r^2} \frac{\partial^2 \psi}{\partial \phi^2} + \frac{\partial^2 \psi}{\partial z^2} \right) \\ + \left( \frac{1}{8} m \omega_1^2 (x^2 + y^2) + \frac{1}{2} m \omega_2^2 z^2 \right) \psi = \left( E + \frac{qB_0\hbar}{2m} m \right) \psi \end{aligned}$$

In cylindrical coordinates,  $L_z$  has representation  $-i\hbar \partial/\partial \phi$ . So

$$\frac{\partial^2}{\partial \phi^2} \psi = -\frac{1}{\hbar^2} L_z^2 \psi = -\overline{m}^2 \psi$$

To solve the equation, we used the method of separation of variables,  $\psi = R(r)\Phi(\phi)Z(z)$ . This gives two equations as the  $\Phi(\phi)$  factor vanishes.

$$\begin{aligned} \frac{-\hbar^2}{2m} \left( \frac{1}{r} \frac{d}{dr} \left( r \frac{dR}{dr} \right) - \frac{\overline{m}^2}{r^2} R \right) + \frac{1}{8} m \omega_1^2 r^2 R &= E_r R \\ \frac{-\hbar^2}{2m} \frac{d^2 Z}{dz^2} + \frac{1}{2} m \omega_2^2 z^2 Z &= E_z Z \end{aligned}$$

where

$$E = E_r + E_z - \frac{1}{2} \overline{m} \hbar \omega_1$$

The  $z$ -equation is a 1D harmonic oscillator. The  $R$  equation turns out to be a 2D harmonic oscillator.

The result is that the total energy is

$$E = \left( n_1 + \frac{1}{2} \right) \hbar \omega_1 + \left( n_2 + \frac{1}{2} \right) \hbar \omega_2$$

**Landau Levels:** An electron moving the  $xy$ -plane, with a uniform magnetic field pointing in the  $z$ -direction undergoes cyclotron motion. The electron's energy is discretized into Landau levels:

$$E_{n_1} = \hbar \frac{qB_0}{m} \left( n_1 + \frac{1}{2} \right)$$

where  $qB_0/m$  is the classical cyclotron frequency.