

# HYBRID WEIGHTING LOSS FOR PRECIPITATION NOWCASTING FROM RADAR IMAGES

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## ABSTRACT

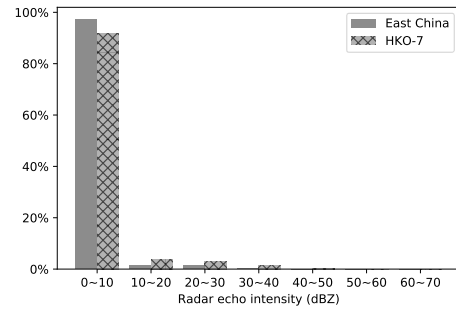
Precipitation nowcasting is gaining increasing attention in the signal processing community. Existing deep learning-based studies focus on designing an effective model architecture, neglecting the influence of the severe imbalanced distribution of rainfall data that can compromise the predictive accuracy on heavy rainfall intensities. To address the uneven distribution of precipitation nowcasting data, we propose a novel data reweighting strategy, termed Hybrid Weighting, which hybrids reweighting and non-weighting strategies together, boosting the precipitation nowcasting performance. Experimental results on two natural radar echo benchmark datasets demonstrate the superior performance of our proposed approach for precipitation nowcasting over existing loss functions on high rainfall intensities, without degenerating on low rainfall intensities compared with state-of-art reweighting methods.

**Index Terms**— Precipitation nowcasting, reweighting, data imbalance, weather radar echo extrapolation

## 1. INTRODUCTION

Nowcasting precipitation is an important task for weather nowcasting and has received increasing attention in the computer vision and signal processing communities. With the vast amount of radar echo data provided by the contemporary operational weather radar networks, many deep learning-based methods have been developed for precipitation nowcasting in recent years [1]. For example, ConvLSTM [2] and PredRNN++ [3] are the most well-known precipitation nowcasting models, which have demonstrated superior prediction performance than optical flow based models [4] and numerical weather prediction based methods [5, 6].

Though these models can often deliver promising nowcasting performance on low to medium rainfalls, they show



**Fig. 1.** Distribution of different radar echo intensity levels. East China is collected from the north temperate zone, and HKO-7 is collected from the tropics.

unsatisfying forecasts on heavy and extreme rain rates. The reason is that rainfall data usually have long-tailed distribution, overwhelmed by rain-less and drizzle data, as shown in Fig. 1. Such severe imbalanced distribution of rainfall data challenges the predictive accuracy of current models. We also observe that several widely-used loss functions such as cross-entropy [2, 7, 8, 9], mean absolute error (MAE), and mean squared error (MSE) [10, 3] do not take the imbalanced distribution of rainfall data into account as they usually assume the data are uniformly distributed. However, few studies dive into the data imbalance in precipitation nowcasting.

To mitigate this problem, we conduct experiments on several state-of-art data reweighting strategies, i.e., Focal loss [11], Weighted Mean-Square Error (WMSE) [12, 13], Distributional Ranking (DR) loss [14]. These reweighting strategies share the same idea of reducing majority sample weights to boost minority sample weight. This leads to boosting the performance on heavy rainfalls but compromised performance on low rainfall intensities.

In this work, we propose a novel loss function, Hybrid Weighting, to handle the imbalance problem in precipitation data. We combine the reweighting and non-weighting loss functions together, aiming to balance highlighting minority and sacrificing majority. In addition, we propose a new reweighting loss function, where we force the total weight factors of each class to be equivalent and use sample/pixel

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level weight to handle the imbalance.

Experimental results of three models on two real-world datasets show that the proposed loss function dramatically improves the performance compared to the unweighted ones and reweighting strategies without downgrading the performance on low rainfall intensities.

## 2. RELATED WORK

### 2.1. Deep learning for precipitation nowcasting

Precipitation nowcasting models are usually categorized into three classes: numerical weather prediction methods, extrapolation-based methods, and deep learning-based methods [15]. In this paper, we focus on the last one due to its superior performance.

Regarding precipitation nowcasting as a spatiotemporal sequence forecasting problem, in 2015, Shi *et al.* first proposed Convolutional Long Short-Term Memory (ConvLSTM) [2] to directly predict the future rainfall intensities based on the past radar echo maps. Subsequently, various ConvLSTM-like variants have been proposed for precipitation forecasting. Wang *et al.* added a zigzag memory connection across different LSTM layers [3]. Shi *et al.* utilized optical flow warping to refine the convolutional region of ConvLSTM [12]. In addition, Ayzel *et al.* adopt U-Net [16], and Tian *et al.* incorporated adversarial loss to generate more realistic predictions [17].

Meanwhile, many studies proposed to incorporate various extra information to help nowcasting. For instance, Franch *et al.* incorporated orographic features to improve the performance of weather nowcasting [13]. Lebedev *et al.* utilized satellite imagery as well as the output of Global Forecast System [7]. Li *et al.* used automatic weather stations data and satellite data [18]. However, most studies assume that data is subject to a uniform distribution, contradicting the highly imbalanced data distribution shown in Fig. 1.

### 2.2. Reweighting methods for imbalanced distribution

Resampling and reweighting are two common strategies for addressing the data imbalance problem. The former oversamples the minority classes or undersamples the majority classes on training data. However, radar echo sequences can only be sampled at frame or sequence level, but imbalance also happens at the pixel level, which is unsuitable for solving by resampling. Thus, we focus on reweighting methods.

To the best of our knowledge, Ronneberger *et al.* utilized Weighted Cross-Entropy (WCE) for class imbalance [19]. Franch *et al.* adopted WMSE to re-balance between classes [13]. Both of them share the same idea that class weight is negatively correlated to the proportion of each class. Besides the inter-class imbalance, focal loss further considers intra-class imbalance [11]. In addition to the classes' weight, focal loss

heuristically down-scales the weights of well-trained samples. Compared to focal loss, DR loss [14] reformulates the classification task as a ranking problem and proposes a refined inter- and intra-class weight assignment strategy that further improves the learning performance. In this study, we will use these imbalance solutions as baselines.

## 3. METHOD

### 3.1. Problem Statement

Given a sequence of  $k$  radar echo images,  $\mathcal{S}_{1:k}$ , collected in the past one hour, the goal of precipitation nowcasting is to predict a sequence of next  $m$  radar echo images,  $\mathcal{S}_{k+1:k+m}$ , which can be formulated as

$$\hat{\mathcal{S}}_{k+1:k+m} = \arg \max_{\mathcal{S}_{k+1:k+m}} p(\mathcal{S}_{k+1:k+m} | \mathcal{S}_{1:k}), \quad (1)$$

where  $\hat{\mathcal{S}}_{k+1:k+m}$  represents the predicted radar echo sequence of length  $m$ . In this study,  $k = m = 10$ . For simplicity, we remove the subscript.

To facilitate the reweighting strategy, following [8], we convert the precipitation nowcasting task from the original regression task to a classification problem. Specifically, we map the original radar echo range, 0 – 70 dBZ, into 7 classes, each of which corresponds to a 10 dBZ interval, as shown in Fig. 1. Among them, the first two classes covering 0 – 20 are rainless; class 20 – 30 is drizzle; class 30 – 40 is moderate rain; the last three classes, 40 – 70, are heavy and extreme rains. Notably, we focus on predicting heavy and extreme rainfall in the last three classes, as they have much less data than the first four classes.

As above mentioned, nowcasting model predicts  $n$  classes, where  $n = 7$  as shown in Fig. 1, and each class is indexed by  $t$ , where  $t \in \{1, \dots, n\}$ . For each pixel  $i$ , we predict its class with a vector  $\mathbf{P}_i$  of size  $n$ , each of which corresponds to a class. Prediction score on class  $t$  of pixel  $i$  is represented as  $p_{i,t} \in \mathbf{P}_i$ , ranging from 0 to 1. To solve imbalance problem, we expect to learn a weight for each pixel  $i$ , which is denoted as  $w_i$ , ranging from 0 to 1. Further, we constrain the pixels' weight set  $\mathbf{W}_t$  satisfying  $\mathbf{W}_t = \{w_i | \sum_{i \in \mathcal{S}^t} w_i = 1, \forall i, w_i \geq 0\}$ .

### 3.2. Hybrid Weighting (HW)

As discussed in the above section, the state-of-the-art reweighting methods boost the minority samples' weight and sacrifice the weight of majority samples to some degree. This study hopes to propose a hybrid method that can balance between sacrificing the majority and highlighting the minority. Intuitively, we use a hyperparameter  $\alpha$  to combine the non-weighting loss  $\mathcal{L}_N$  and reweighting loss  $\mathcal{L}_R$ :

$$\mathcal{L}_{\text{hybrid}} = \mathcal{L}_N + \alpha \mathcal{L}_R. \quad (2)$$

The state-of-the-art deep learning based precipitation nowcasting models [2, 3] usually employ the following non-weighting loss function:  $\sum_{t=1}^n \sum_{i \in S^t} \ell(p_{i,t})$ , where function  $\ell$  measures the distance between predicted  $p_{i,t}$  and ground-truth score 1; in this study we use  $\ell(p_{i,t}) = 1 - p_{i,t}$ .

Reweight methods usually assign each pixel  $i$  with a weight  $w_i$ , and we could obtain a weighted version of loss function for  $t$ -th class:

$$\mathcal{L}_t = \sum_{i \in S^t} w_i \ell(p_{i,t}). \quad (3)$$

In this study, we force the total weights for each class to be equivalent to 1. That is to say, the weights of classes with more pixels are generally smaller than that of another class with fewer pixels. In addition, our proposed reweighting strategy aims to identify the most reasonable pixel weight assignment, where higher loss samples should receive higher weights than lower loss samples. In following iterations, the prediction could be adjusted based on the weights. The weight  $\mathbf{W}_t$  that gives maximum  $\mathcal{L}_t$  should be the solution weight assignment. We let  $\mathcal{L}_t^*$  be the optimal solution:

$$\mathcal{L}_t^* = \max_{\mathbf{W}_t} \mathcal{L}_t. \quad (4)$$

Note that trivial solutions will be derived when optimizing Eq. (4). For example, a worst-case scenario could be that the highest loss pixel has a weight equal to 1 while the other pixels' weights are 0. This makes the model sensitive to the outlier pixels and leads to compromised performance. Hence, we constrained the weight distribution  $\mathbf{W}_t$  close to the uniform distribution  $\mathbf{U}_t$ . Then, Eq. (4) could be represented as:

$$\mathcal{L}_t^* = \min_{\mathbf{W}_t} - \sum_{i \in S^t} w_i \ell(p_{i,t}) \text{ s.t. } w_i \in \mathbf{W}_t, D_{\text{KL}}[\mathbf{W}_t \parallel \mathbf{U}_t] \leq \epsilon$$

Here,  $D_{\text{KL}}[\cdot \parallel \cdot]$  is the Kullback-Leibler divergence operator constraining the 'distance' between  $\mathbf{W}_t$  and  $\mathbf{U}_t$  by a margin  $\epsilon$ . According to Lagrangian duality theory [20], we can obtain the optimal constrained distribution  $\mathbf{W}_t$  by solving the problem

$$\min_{\mathbf{W}_t} \sum_{i \in S^t} -w_i \ell(p_{i,t}) + \lambda D_{\text{KL}}[\mathbf{W}_t \parallel \mathbf{U}_t] + \mu \sum_{i \in S^t} w_i - 1, \quad (5)$$

where  $\lambda$  and  $\mu$  are Lagrangian multipliers,  $\lambda \geq 0$  and  $\mu \geq 0$ . By Karush-Kuhn-Tucker condition [20], we have an optimal solution of  $\mathbf{W}_t$  as follows:

$$w_i = \frac{\frac{1}{|S^t|} e^{\ell(p_{i,t})/\lambda}}{\sum_i \frac{1}{|S^t|} e^{\ell(p_{i,t})/\lambda}} = \text{softmax} \left( \frac{\ell(p_{i,t})}{\lambda} \right), \quad (6)$$

where  $|S^t|$  is the pixel count in class  $t$ . Then, we could get

$$\mathcal{L}_t^* = \sum_{i \in S^t} \text{softmax} \left( \frac{\ell(p_{i,t})}{\lambda} \right) \ell(p_{i,t}). \quad (7)$$

We adopt the conventional MSE loss function as the non-weighting loss. Thus, the objective function is

$$\mathcal{L}_{\text{hybrid}} = \mathcal{L}_{\text{MSE}} + \alpha \sum_{t=1}^n \mathcal{L}_t^*. \quad (8)$$

## 4. EXPERIMENT

### 4.1. Experimental Setup

**Implementation Details** The lengths of both the input and output sequence are 10. We trained our model with an ADAM optimizer, and the learning rate is 0.002. Mini-batch size is 32, and the maximum of training iterations is 20,000. Layer Normalization is utilized in ConvLSTM cells layers in all experiments. The hidden size is 32 for all ConvLSTM cells of both models. The size of convolution filters is  $3 \times 3$ . All our experiments were implemented with PyTorch and conducted on 1 NVIDIA Tesla A100 GPU.

**Datasets** We evaluate the performance of our proposed losses on two public radar echo datasets: East China Precipitation dataset (ECP) [15] and HKO-7 dataset [12]. The first dataset contains around 170,000 weather radar intensity frames collected from October 2015 to July 2018, with an interval of 6 minutes. Each frame, covering  $501\text{km} \times 501\text{km}$  area centered in Shanghai. At pre-processing step, we removed the rain-less sequences (less than 5% raining area). The partition ratio of the training set, the validation and the testing set is 7 : 1 : 2. The second dataset contains around 238,000 radar echo frames from 2009 to 2015 collected by Hong Kong Observatory. The radar reflectivity images cover a  $512\text{km} \times 512\text{km}$  area centered in Hong Kong. The data are recorded every 6 minutes. We use the same training, validation and testing partition setting following [12]. Images are resized to  $120 \times 120$  for both dataset.

**Base Models** We carry out experiment with three open-sourced state-of-art models: ConvLSTM, PredRNN++, RainNet [2, 3, 16]. The first model is the most popular precipitation nowcasting model, which combines Long Short Term Memory (LSTM) and convolutional operation. They replace the dot operator in LSTM with convolution operation, which enables the LSTM to extract local features of images. The second model proposes a spatiotemporal LSTM to enhance the spatial memory transition and introduces an additional zig-zag link to transport spatial memory. RainNet is a UNet-based non-RNN model which only yields one frame each time.

**Loss Functions** Cross-entropy (CE) and MAE+MSE are the most basic and popular loss functions in precipitation nowcasting field [2, 3]. In WMSE, the class weight tuning range is [1, 30], following suggestion in paper [13]. We adopt same hyper parameters settings as original papers for Focal loss [11] and DR loss [14].

**Evaluation Metrics** We evaluate the model performance on weather-oriented criterion, Critical Success Index (CSI),

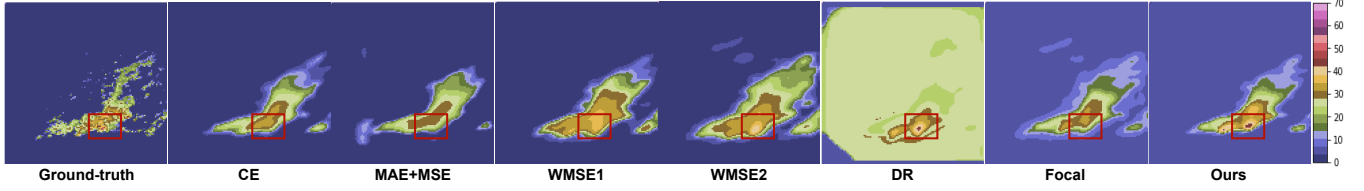


Fig. 2. Predictions of different losses in 60 minutes on ECP dataset

which can be expressed as  $CSI_z = \frac{TP}{TP+FN+FP}$ , where  $z$  (dBZ) is the threshold and TP, FN, FP are True Positive, False Negative, and False Positive respectively. In this case,  $CSI_{20}$  can demonstrate the model's performance in distinguishing rainfall intensities between rain-less and rain, and  $CSI_{30}$  is for drizzle versus moderate.  $CSI_{40}$  is disposed to the performance on the heavy or extreme scenario. Chen *et al.* [15] only reported nowcasting performance of  $CSI_{20}$ ,  $CSI_{30}$ . To demonstrate the heavy rain detection performance, we particularly present results on  $CSI_{40}$ . Besides, we average all three CSIs for comparison.

Table 1. Results of CSIs on two benchmark datasets

Loss	East China Precipitation				HKO-7				
	Avg	20dBZ	30dBZ	40dBZ	Avg	20dBZ	30dBZ	40dBZ	
CE	0.333	0.592	0.408	0.000	0.291	0.532	0.340	0.000	ConvLSTM
MAE+MSE	0.343	0.611	0.418	0.000	0.311	0.548	0.385	0.000	
WMSE	0.335	0.601	0.405	0.000	0.348	0.488	0.348	<b>0.208</b>	
DR	0.371	0.562	0.394	0.156	0.341	0.518	0.357	0.148	
Focal	0.387	0.605	0.416	0.138	0.356	0.512	0.390	0.166	
Ours	<b>0.412</b>	<b>0.623</b>	<b>0.426</b>	<b>0.187</b>	<b>0.381</b>	<b>0.555</b>	<b>0.397</b>	0.190	
CE	0.341	0.605	0.418	0.000	0.316	0.556	0.392	0.000	PredRNN++
MAE+MSE	0.346	0.611	0.425	0.000	0.343	<b>0.565</b>	0.397	0.068	
WMSE	0.342	0.602	0.425	0.000	0.344	0.498	0.369	0.163	
DR	0.314	0.494	0.339	0.108	0.346	0.515	0.369	0.155	
Focal	<b>0.417</b>	0.616	<b>0.447</b>	<b>0.188</b>	0.371	0.560	0.406	0.148	
Ours	0.411	<b>0.623</b>	0.433	0.176	<b>0.402</b>	0.564	<b>0.428</b>	<b>0.213</b>	
CE	0.322	<b>0.592</b>	0.373	0.000	0.334	0.535	0.379	0.088	RainNet
MSE+MAE	0.334	0.588	0.392	0.020	0.349	<b>0.555</b>	0.397	0.094	
WMSE	0.318	0.503	0.253	0.156	0.292	0.419	0.267	0.190	
DR	0.318	0.370	0.404	<b>0.179</b>	0.359	0.529	0.380	0.169	
Focal	0.380	0.588	0.395	0.156	0.374	0.543	0.392	0.186	
Ours	<b>0.391</b>	0.587	<b>0.410</b>	0.175	<b>0.380</b>	0.547	<b>0.399</b>	<b>0.193</b>	

## 4.2. Main Results

In this study, we tried 7 loss functions with two precipitation nowcasting models on two datasets, as shown in Table 1. The CSIs are mean scores of 10 predicted images.

First, with  $CSI_{40}$  being or approaching 0, both non-weighting methods, CE and MAE+MSE, fail to forecast heavy rainfall intensities under the influence of the overwhelming low dBZ data. Second, inter-class rebalanced methods, WMSEs and DR, show inferior performance on  $CSI_{20}$  and  $CSI_{30}$  and better performance on  $CSI_{40}$ . This indicates that inter-class reweighting strategy may sacrifice performance on majority class to obtain prediction accuracy improvement on minority class. Please note that  $CSI_{40}$  of WMSE on HKO-7 are better than that on ECP is due to that HKO-7 has more heavy and extreme rainfall data than ECP. Besides, as shown in Fig. 2, DR loss also performs worse

Table 2. Hybrid factor  $\alpha$  on ConvLSTM on ECP

$\alpha$	CSI			
	Avg	20dBZ	30dBZ	40dBZ
1	0.330	0.519	0.337	0.132
0.1	0.360	0.582	0.381	0.118
0.01	<b>0.412</b>	0.623	<b>0.426</b>	<b>0.187</b>
0.001	0.394	0.627	0.420	0.136
0.0001	0.391	0.625	0.420	0.128
0.00001	0.369	<b>0.628</b>	0.416	0.064

on low rainfall intensities, and we can infer DR fails to fit majority classes on ECP. Third, Focal loss performs better than all other losses, except ours. This demonstrates the effectiveness of its intra-class reweighting strategy. Finally, our proposed HW loss provides a clear improvement on  $CSI_{40}$  without degenerating results on  $CSI_{20}$  and  $CSI_{30}$ . Only DR and our proposed HW managed to predict pixels above 40 dBZ (colored red) in Fig. 2.

## 4.3. Effects of hyperparameters

To find a good balance for our hybrid method, different  $\alpha$  are tested, and results are demonstrated in Table 2. Best performance is obtained with  $\alpha = 0.01$ , and we adopt it in all the above experiments. In the training phase, we find  $\mathcal{L}_R$  is often roughly 100 times bigger than  $\mathcal{L}_{MSE}$ , and  $\alpha = 0.01$  makes both values in the same range. Besides, model is relatively insensitive to hyper parameter  $\lambda$  in Eq. (7) while  $\lambda \in [0.5, 20]$ . We only report results with the best performed setting  $\lambda = 6$ .

## 5. CONCLUSION

In this paper, we find that the rainfall intensity imbalance problem diminishes the performances of precipitation nowcasting models. To mitigate this influence, we hybrid non-weighting loss and a new proposed reweighting loss together. Experimental results demonstrate that our proposed loss function improves the performance of minority rainfall classes without degenerating the majority classes. Next, we will target extreme rainfall events nowcasting above 50 dBZ.

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