

RECURRENCE RELATIONS

1. $T(n) = T(n-1) + O(1) = O(n)$
2. $T(n) = T(n/2) + O(1) = O(\log n)$
3. $T(n) = T(n-1) + O(n) = O(n^2)$
4. $T(n) = T(n-1) + O(n^k) = O(n^{k+1})$
5. $T(n) = 2T(n/2) + O(n) = O(n \log n)$
6. $T(n) = T(n/2) + O(n) = O(n)$
7. $T(n) = 2T(n/2) + O(1) = O(n)$
8. $T(n) = 2T(n-1) + O(1) = O(2^n)$

$\wedge O(x)$ represents growth of each step

BINARY SEARCH

Takes $O(\log n)$

Preconditions:

- array is of size n ; array is sorted

Invariant:

- $A[\text{begin}] \leq \text{key} \leq A[\text{end}]$
- $(\text{end} - \text{begin}) \leq n/2^k$
- $n/2^k = 1 \rightarrow k = \log n$

SORTING

InsertionSort

```
for j = 2 to n,
    key = A[j]
    i = j - 1
    while (i > 0) and (A[i] > key)
        A[i + 1] = A[i]
        i = i - 1
    A[i + 1] = key
```

- Invariant:
- At the end of iteration j , the first j items in the array are in sorted order
- Best: $O(n)$ A.S., Worst: $O(n^2)$ R.S., Stable

SelectionSort

```
for j = 1 to n-1,
    find minimum element A[j]
    swap(A[j], A[k])
```

- Invariant:
- At the end of iteration j , the smallest j items are correctly sorted in the first j positions of the array.
- Best: $O(n)$ A.S., Worst: $O(n^2)$ R.S., Not stable

QuickSelect

- $O(n)$: to find the k th smallest element
- after partitioning, the partition is always in the correct position

BubbleSort

repeat n times:

```
for j = 1 to n-1,
    if A[j] > A[j+1] then
        swap(A[j], A[j+1])
```

- Invariant:
- At the end of iteration i , the biggest j items are correctly sorted in the final j positions.
- Best: $O(n)$ A.S., Worst: $O(n^2)$ R.S., Stable

MergeSort

```
MergeSort(A, n)
if (n = 1) then return;
else:
    X = MergeSort(A[1...n/2], n/2)
    Y = MergeSort(A[n/2+1..n], n/2)
return Merge(X, Y, n/2)
```

1. Divide: split array into two halves
2. Recurse: sort the two halves
3. Combine: merge the two sorted halves

- Merge: $O(n) = cn$
- In each iteration, move one element to final list
- Recurrence relation no. 5
- Best: $O(n \log n)$, Worst: $O(n \log n)$, Stable

QuickSort

1. Divide: partition into sub-arrays around pivot x
2. Conquer: recursively sort sub-arrays

- Invariant:
- For every $i < \text{low}$, $B[i] < \text{pivot}$
- For every $j > \text{high}$, $B[j] > \text{pivot}$
- Run-time of partition: $O(n)$
- Best: $O(n \log n)$, A.S.
- Worst: $O(n^2)$, all same
- Not stable

TREES

BST

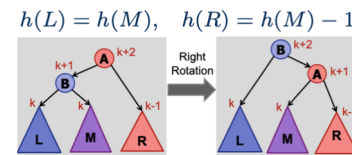
- a BST is either empty, or a node pointing to 2 BSTs
- height = $O(\log n)$
- $h(v) = \max(h(v.\text{left}), h(v.\text{right})) + 1$
- searchMax: $O(h)$ recurse until rightTree is null
- searchMin: $O(h)$ recurse until leftTree is null
- worst case running time of search: $O(n)$
- order of insertion determines shape
- In order traversal: left child, parent, right child, takes $O(n)$
- Pre-order: parent, left child, right child
- Post-order: left child, right child, parent

- Level order traversal: root, left to right
- successor: key not in tree
 - if result > key, return result
 - if result <= key, successor(result)
- successor: has no right child
 - traverse up the tree (while child is parent's rightTree) to return parent
- delete $O(h)$:
 - no child \rightarrow delete
 - 1 child \rightarrow parent point to child
 - 2 children \rightarrow find successor (which has at most 1 child)

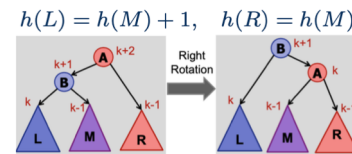
AVL Tree

- node cv is height-balanced if children's height differ by ≤ 1
- BST is height balanced if every node is height-balanced
- maximum height: $h < 2 \log(n)$

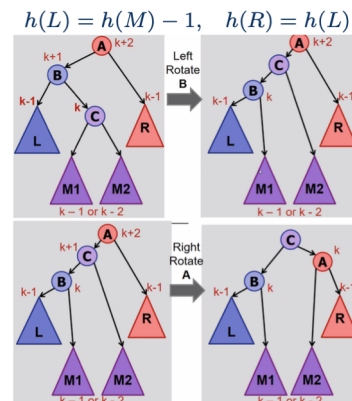
[case 1] B is **balanced**: right-rotate



[case 2] B is **left-heavy**: right-rotate



[case 3] B is **right-heavy**: left-rotate(v.left), right-rotate(v)



Rebalancing with rotation

- costs $O(1)$
- maintain ordering of keys
- left heavy: left sub-tree has larger height than right subtree
- right heavy: opposite
- A is the lowest unbalanced node
- Invariants:
 - siblings height difference < 2
 - parent's height $>$ child's height

- if v_i is out of balance and left heavy
 - v.left is balanced \rightarrow right-rotate(v)
 - v.left is left-heavy \rightarrow right-rotate(v)
 - v.left is right-heavy \rightarrow left-rotate(v.left) & right-rotate(v)
- worst case: 2 rotations after insertion (on the lowest out of balance node)
- worst case: $\log n$ rotations after delete (at every step walking up tree)
- augmented with weight of each node

TRIES

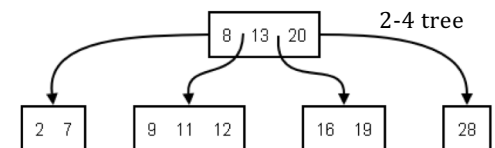
- faster $O(L)$ than a tree $O(Lh)$
- space to store: $O(\text{size of text} * \text{overhead})$

INTERVAL TREES

- augment with max interval of node
- maintain max as augmentation during rotation
- all-overlaps algorithm (all intervals that overlap with point):
 - search for interval, add to list, delete, repeat until no more
- add all intervals back to the tree
- $O(k \log n)$ for k overlapping intervals
- search: $O(\log n)$
 - value is in root interval, return
 - value $>$ max(left subtree), recurse right
 - else recurse left (only when can't go right)

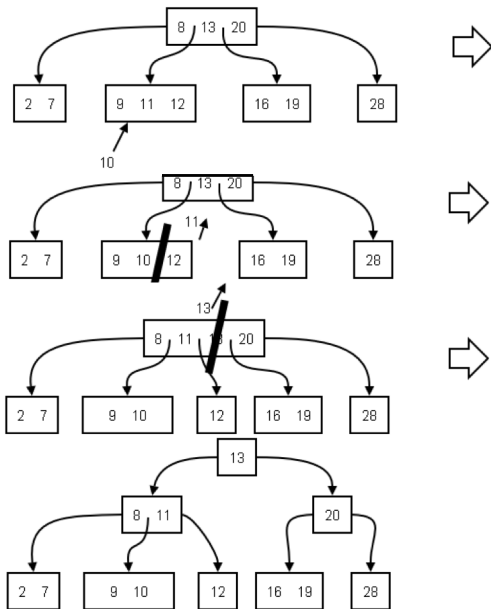
(A,B)-TREES

- $2 \leq a \leq (b+1)/2$
- Each internal node except the root has at least a children and at most b children
- Root has at most b children
- B-Tree: $a = \text{ceil}(b/2)$



- Invariants:
 - Siblings u and v :
 - $|\deg(u) - \deg(v)| \leq b$
 - $|\text{height}(u) - \text{height}(v)| < 1$
- If node u has height h , then subtree rooted at u contains at least a^h nodes
- Insertion:
 - Add into the leaf node \rightarrow (if overflow) split and propagate middle item

Example: to insert 10 into the tree above:



ORTHOGONAL RANGE SEARCHING

One dimensional range queries:

- Use BST, store all points in leaves, each internal node stores the MAX of any leaf in left sub-tree
- Find split node, do left then right traversal
 - split node: highest node where search includes both left and right subtrees
- Invariants:
 - search interval for a left-traversal at node v includes the maximum item in the subtree rooted at v
- Split node finding: $O(\log n)$
- (1) output all right sub-tree and recurse left: $O(k)$, k is number of items found
- (2) recurse right: $O(\log n)$
- Total query time: $O(k + \log n)$
- Build tree time: $O(n \log n)$
- Space complexity: $O(n)$

- To know how many points are in the range: increment count instead of all-leaf-traversal

Two dimensional range trees

- Build an x -tree using only x -coords
- For every node in the x -tree, build a y -tree out of nodes in subtree using only y -coord
- Query time: $O(\log^2 n + k)$
 - $O(\log n)$ to find split node
 - $O(\log n)$ recursing steps
 - $O(\log n)$ y -tree searches of cost $O(\log n)$
 - $O(k)$ enumerating output
- Space complexity: $O(n \log n)$
 - Each point appears in at most one y -tree per level, $O(\log n)$ levels
- Building the tree: $O(n \log n)$

PRIORITY QUEUE/HEAP SORT

- Sorted arr: insert $O(n)$, extractMax $O(1)$
- Unsorted arr: insert $O(1)$, extractMax $O(n)$
- AVL: insert $O(\log n)$, extractMax $O(\log n)$
- Heap properties:
 - priority of parent \geq priority of child
 - complete binary tree: all nodes as far left
 - max height: $\text{floor}(\log n)$, height $O(\log n)$
- Insert (at leaf)/ increase key \rightarrow bubble up
- Decrease key \rightarrow bubble down, leftwards
- Delete: swap key with last, remove last, bubble key down
- Heap to sorted array: $O(n \log n)$
- Unsorted list to heap: $O(n)$
- Heapsort: $O(n \log n)$ (faster than mergesort, slower than quicksort)

UNION FIND

- quick-find: $O(1)$, $O(n)$
- quick-union: $O(n)$, $O(n)$
- weighted-union: $O(\log n)$, $O(\log n)$
- path compression (PC): $O(\log n)$, $O(\log n)$
- weighted-union with PC: $\alpha(m, n)$, $\alpha(m, n)$

HASHING

- Symbol table
 - $O(1)$ insertion, search, deletion

Chaining

- Space: $O(m + n)$, m : table size, n : list size
- hash function of cost(h), insert: $O(1 + \text{cost}(h))$, search: $O(n + \text{cost}(h))$
- $P(\text{item } i \text{ in bucket } j) = E(i, j) = 1/m$
- Simple uniform hashing assumption:

- Every key is equally likely to map to bucket
- Keys are mapped independently.
 - $\rightarrow E(\text{search time}) = O(1)$
 - $\rightarrow E(\text{max chain length}) = O(\log n)$
 - $= \Theta(\log n / \log \log n)$
 - $\rightarrow E(\text{keys}) = E(\text{bucket}) = O(n/m) = O(1)$

Open addressing

- $m == n \rightarrow$ cannot insert, cannot efficient search
- $E(\text{cost}) = \frac{1}{1-a}$ ($a = n/m = P(\text{collision})$)
- Linear probing
 - Delete: mark as deleted
 - Problem: clustering (consecutive occupied slots makes searching hard)
- Quadratic probing
 - No linear increments to the index, sq. no.
 - Theorem: if a (load factor) < 0.5 and m is prime, we can always find an empty slot
- Double hashing
 - Uses a secondary hash function to calculate number of slots to jump each collision
 - Reduces clustering
- Cost of resizing from m to $m + 1 = O(n)$
- Square a table = $O(n^2)$
- Cost of inserting (average) = $O(1)$

BFS/ DES

- Both visit all nodes and edges (not paths)
- Runtime in adjList: $O(V + E)$
- Runtime in adjMatrix = $O(V^2)$
- BFS: Shortest path graph is a tree
- Use queue
- DFS: parent graph is a tree
- Iterative version: use stack

SSSP

Bellman-Ford

- Terminate early: an entire sequence of $|E|$ relax operations has no effect
- Runtime: $O(EV)$; each edge relaxed V time
- Invariant:
 - Let T be the shortest path tree of graph G rooted at source s
 - After iteration j , if node u is j hops from s on tree T , then $\text{est}[u] = \text{distance}(s, u)$
- Negative weight cycles: impossible
- All weights same: use BFS

Dijkstra's Algorithm

- Consider node with minimum estimate
- Add node to tree

- Relax all outgoing edges
- PQ by AVL Tree:
 - Insert, deleteMin, decreaseKey: $O(\log n)$
 - containsKey: $O(1)$
- Runtime: $O((V + E) \log V) = O(E \log V)$
- Cannot handle negative weights
- $O(n * \text{insert/extractMin} + m * \text{decreaseKey})$

DIRECTED ACYCLIC GRAPH

- Properties:
 - Sequential total ordering of all nodes
 - Edges only point forward
- Topological ordering not unique
- Not all DAG has topological ordering
- Find topological ordering in DAG: DFS
 - $O(V + E)$
- Runtime for DAG alg: $O(n^3)$
 - Longest path: $O(V + E) = O(n^2)$
 - Run path n times

MST

- MST cannot be used to find shortest path
- Assumption: all edge weights are distinct
- Properties:
 - No cycle
 - Cut MST \rightarrow 2 pieces are both MSTs \checkmark
 - Every cycle, max weight not in MST \times
 - Every partition, min weight across cut \checkmark
- Min weight is not always in MST
- For every vertex, min outgoing edge is always in MST
- For every vertex, max outgoing edge might be in MST
- Can be found in $O(E)$ time

PRIM'S ALGORITHM

- $S = \{A\}$
- Identify cut: $\{S, V-S\}$
- Find minimum weight edge on cut
- Add new node to S
- Using AVL tree for PQ: $O(E \log V)$
- Proof
 - Each added edge is lightest on some cut
 - Hence each edge is in MST

KRUSKAL'S ALGORITHM

- Sort edges by weight in ascending order
- Add the edges if there are no cycles
- Sorting: $O(E \log E) = O(E \log V)$
- Proof
 - Each added edge crosses a cut
 - Each edge is the lightest edge across cut