## **COMPLEXITIES**

### **Big-O Notation**

Given a function F(n), we say g(n) is an asymptotic upper bound of f(n), denoted as f(n) = O(g(n)), if there exists a constant c > 0, and a positive integer  $n_0$  such that  $f(n) \le c * g(n)$  for all  $n \ge n_0$ .

## Recurrence relations

```
1. T(n) = T(n-1) + O(1) = O(n)
```

2. 
$$T(n) = T(n/2) + O(1) = O(\log n)$$

3. 
$$T(n) = T(n-1) + O(n) = O(n^2)$$

4. 
$$T(n) = T(n-1) + O(n^k) = O(n^{k+1})$$

5. 
$$T(n) = 2T(n/2) + O(n) = O(n\log n)$$

6. 
$$T(n) = T(n/2) + O(n) = O(n)$$

7. 
$$T(n) = 2T(n/2) + O(1) = O(n)$$

8. 
$$T(n) = 2T(n-1) + O(1) = O(2^n)$$

^O(x) represents growth of each step

## **SEARCHING**

**Binary Search** 

Takes O(logn)

### Preconditions:

- array is of size n
- · array is sorted

#### Invariant:

- $A[begin] \le key \le A[end]$
- (end begin)  $\leq n/2^k$
- $n/2^k = 1 \rightarrow k = logn$

```
int search (A, key, n)
begin = 0;
end = n - 1;
while begin < end
    mid = begin+(end-begin)/2;
    if key <= A[mid]
        end = mid;
    else begin = mid + 1;
return (A[begin] == key?begin:-1)</pre>
```

## SORTING

## BubbleSort

```
repeat n times:
for j = 1 to n-1,
     if A[j] > A[j+1] then
     swap(A[j], A[j+1])
```

- Invariant:
- At the end of iteration i, the biggest j items are correctly sorted in the final j positions of the array.
- Best: O(n) A.S., Worst: O(n<sup>2</sup>) R.S., Stable

# <u>InsertionSort</u>

```
for j = 2 to n,
   key = A[j]
   i = j - 1
   while (i > 0) and (A[i] > key)
        A[i + 1] = A[i]
        i = i - 1
   A[i + 1] = key
```

- Invariant:
- At the end of iteration j, the first j items in the array are in sorted order
- Best: O(n) A.S., Worst: O(n2) R.S., Stable

## SelectionSort

```
for j = 1 to n-1,
    find minimum element A[j]
    swap(A[j], A[k])
```

- Invariant:
- At the end of iteration j, the smallest j items are correctly sorted in the first j positions of the array.
- Best: O(n) A.S., Worst: O(n<sup>2</sup>) R.S., Not stable

# MergeSort

```
MergeSort(A, n)
if (n = 1) then return;
else:
    X = MergeSort(A[1...n/2, n/2)
    Y = MergeSort(A[n/2+1..n, n/2)
return Merge(X, Y, n/2)
```

- 1.Divide: split array into two halves
- 2.Recurse: sort the two halves
- 3.Combine: merge the two sorted halves
- Merge: O(n) = cn
- In each iteration, move one element to the final list
- Recurrence relation no. 5
- Best: O(nlogn), Worst: O(nlogn), Stable

## QuickSort

- 1.Divide: partition into sub-arrays around pivot x
- 2.Conquer: recursively sort sub-arrays
- Invariant:
- For every i < low, B[i] < pivot
- For every j > high, B[j] > pivot
- Run-time of partition: O(n)
- Best: O(nlogn), A.S.
- Worst: O(n<sup>2</sup>), all same
- Not stable

## **QuickSelect**

- O(n): to find the kth smallest element
- after partitioning, the partition is always in the correct position

# **TREES**

## **BST**

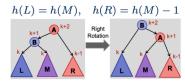
- a BST is either empty, or a node pointing to 2 BSTs
- height = O(logn)
- h(v)=max(h(v.left),h(v.right))+1
- searchMax: O(h) recurse until rightTree is null
- searchMin: O(h) recurse until leftTree is null
- worst case running time of search: O(n)
- order of insertion determines shape
- In order traversal: left child, parent, right child, takes O(n)
- Pre order traversal: parent, left child, right child
- Post order traversal: left child, right child, parent

- Level order traversal: root, left to right
- successor: key not in tree
- if result > key, return result
- if result <= key, successor(result)
- successor: has no right child
  - traverse up the tree (while child is parent's rightTree) to return parent
- delete O(h):
- no child → delete
- 1 child → parent point to child
- 2 children → find successor (which has at most 1 child)

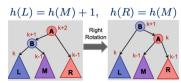
### AVL Tree

- node cv is height-balanced if children's height differ by ≤ 1
- BST is height balanced if every node is height-balanced
- maximum height: h < 2log(n)</li>

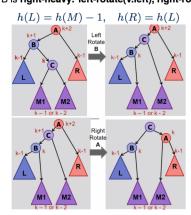
### [case 1] B is balanced: right-rotate



## [case 2] B is left-heavy: right-rotate



[case 3] B is right-heavy: left-rotate(v.left), right-rotate(v)



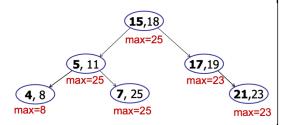
# Rebalancing with rotation

- costs 0(1)
- maintain ordering of keys
- left heavy: left sub-tree has larger height than right subtree
- right heavy: opposite
- A is the lowest unbalanced node
- Invariants:
- siblings height difference < 2
- parent's height > child's height
- if vi is out of balance and left heavy
- v.left is balanced → right-rotate(v)
- v.left is left-heavy → right-rotate(v)
- v.left is right-heavy → left-rotate(v.left)
   & right-rotate(v)
- worst case: 2 rotations after insertion (on the lowest out of balance node)
- worst case: logn rotations after delete (at every step walking up tree)
- augmented with weight of each node

## **TRIES**

- faster O(L) than a tree O(Lh)
- space to store: O(size of text \* overhead)

## **INTERVAL TREES**



- augment with max interval of node
- maintain max as augmentation during rotation
- all-overlaps algorithm (all intervals that overlap with point):
- search for interval, add to list, delete, repeat until no more
- · add all intervals back to the tree
- O(k log n) for k overlapping intervals

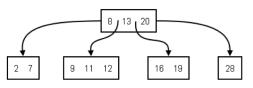
- search: O(logn)
- value is in root interval, return
- value > max(left subtree), recurse right
- else recurse left (only when can't go right)

```
c == root;
while (c != null and x is not in
c.interval)
  if (c.left == null) then
    c = c.right;
  else if (x > c.left.max)
    c = c.right;
  else
    c = c.left;
return c.interval;
```

### (A,B)-TREES

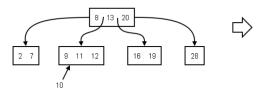
- $2 \le a \le (b + 1)/2$
- Each internal node except the root has at least a children and at most b children
- · Root has at most b children
- B-Tree: a = ceil(b/2)

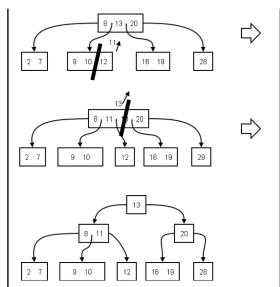
An example of a (2,4)-tree:



- Invariants:
- Siblings u and v:
- $|deg(u) deg(v)| \le b$
- |height(u) height(v)| < 1
- If node u has height h, then subtree rooted at u contains at least a<sup>h</sup> nodes
- Insertion:
- Add into the leaf node → (if overflow) split and propagate middle item

Example: to insert 10 into the tree above:





## **ORTHOGONAL RANGE SEARCHING**

One dimensional range queries:

 Use BST, store all points in leaves, each internal node stores the MAX of any leaf in left sub-tree

```
FindSplit(low, high)
v = root;
done = false;
while !done {
   if (high<=v.key) then v v.left;
   else if (low>v.key) then
v=v.right;
   else (done = true);
}
return v
```

• Find split node, do left then right traversal

• split node: highest node where search includes both left and right subtrees

```
LeftTraversal(v, low, high)
if (low <= v.key) {
   all-leaf-traversal(v.right);
   LeftTraversal(v.left,low,high);
} else {
   LeftTraversal(v.right,low,high);
}</pre>
```

- · Invariants:
- search interval for a left-traversal at node v includes the maximum item in the subtree rooted at v
- Split node finding: O(log n)
- (1) output all right sub-tree and recurse left: O(k), k is number of items found
- (2) recurse right: O(log n)
- Total query time: O(k + log n)
- Build tree time: O(n log n)
- Space complexity: O(n)
- To know how many points are in the range: increment count instead of all-leaftraversal

Two dimensional range trees

- Build an x-tree using only x-coords
- For every node in the x-tree, build a y-tree out of nodes in subtree using only y-coord
- Query time: O(log<sup>2</sup>n + k)
- O(log n) to find split node
- O(log n) recursing steps
- O(log n) y-tree searches of cost O(log n)
- O(k) enumerating output
- Space complexity: O(n log n)
- Each point appears in at most one y-tree per level, O(log n) levels
- Building the tree: O(n log n)

# PRIORITY QUEUE/HEAP SORT

- Sorted arr: insert O(n), extractMax O(1)
- Unsorted arr: insert O(1), extractMax O(n)
- AVL: insert O(logn), extractMax O(logn)
- Heap properties:
- priority of parent >= priority of child
- complete binary tree: all nodes as far left
- max height: floor(log n), height O(log n)
- Insert (at leaf)/ increase key → bubble up
- Decrease key → bubble down, leftwards
- Delete: swap key with last, remove last, bubble key down
- Heap to sorted array: O(n log n)
- Unsorted list to heap: O(n)
- Heapsort: O(n log n) (faster than mergesort, slower than quicksort)