## DEADLOCK

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### **DEADLOCK**

- What is deadlock?
  - A set of processes are in a deadlocked state when every process in the set is waiting for an event that can be caused only by another process in the set.
- A process must request for the resource before using it and must release after using it.
- Three operations are associated with resources
  - Request
  - Use
  - Release

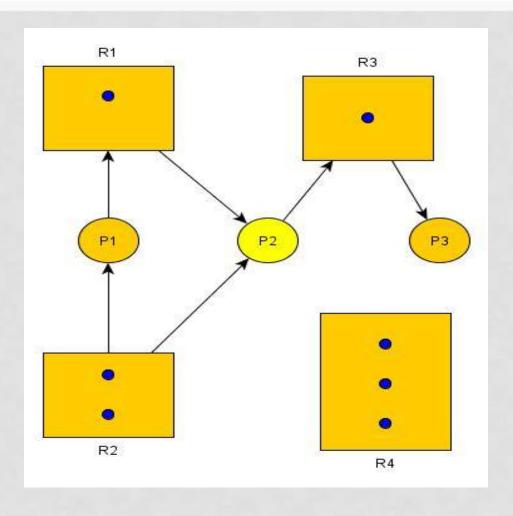
#### CONT...

- Multithreaded programs are good candidates for deadlocks;
- In deadlock processes never finish executing and prevent other processes from starting leading into starvation of processes that are waiting;

#### NECESSARY CONDITIONS FOR DEADLOCK

- Mutual exclusion at least one resource must be held in a non-sharable mode
- Hold and wait A process must hold at least one resource and be waiting for additional resource
- No preemption resources cannot be preempted
- Circular wait -

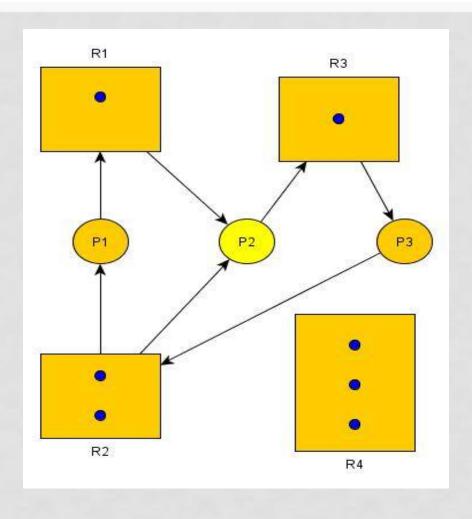
## RESOURCE ALLOCATION GRAPH



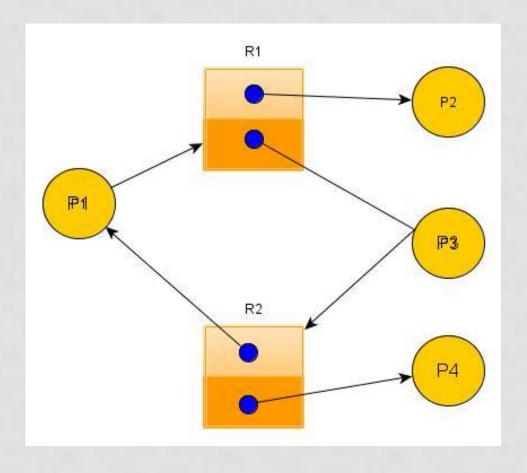
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- Set of vertices and edges
- Vertices processes and resources
- Edges assignment and request
- Cycles in a graph represent deadlock situation

# RESOURCE ALLOCATION GRAPH WITH DEADLOCK



## CYCLE BUT NO DEADLOCK



#### METHODS FOR HANDLING DEADLOCKS

- Prevent or avoid deadlock
- Detect and recover deadlock
- Ignore and pretend no deadlock unix and windows

#### DEADLOCK PREVENTION

- Ensure that at least one of the necessary conditions is not satisfied
- Sharable resources to avoid mutual exclusion— read only files
- Avoid hold and wait
  - All the resources be allocated before starting the execution
  - Request the resources only when it has none
  - Disadv resource utilization low
    - Starvation possible

#### CONT...

- Prevent no preemption
  - release the holding resource if process has to wait for another resource
  - Before starting the execution check if all the resources are available
- Prevent circular wait
  - Each process will request the resources in increasing order only

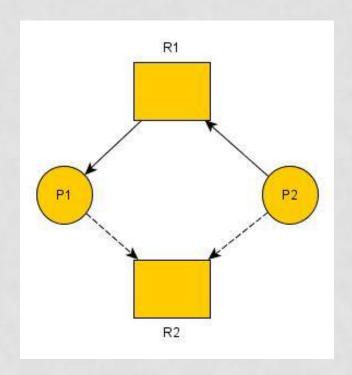
#### DEADLOCK AVOIDANCE

- Provide information about the resource requirement in advance
- Safe state
- Safe sequence

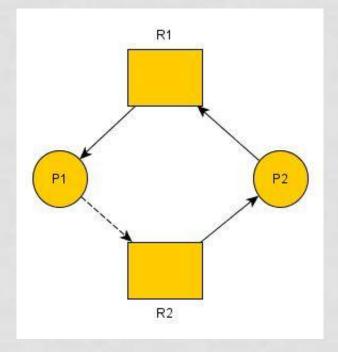
Process	Maximum Need	Currently holding	May need
P0	10	5	5
P1	4	2	2
P2	9	2	7

Number of resources = 12

## RESOURCE ALLOCATION GRAPH ALGORITHM



Resource request



Resource allocation unsafe

#### BANKER'S ALGORITHM

- m : number of resource types
- n : number of processes
- Available: vector[m] : resource availability
- Max: Max[i][j]: maximum requirement
- Allocation: Allocation[i][j]: current allocation
- Need: Need[i][j] further need of resources

#### SAFETY ALGORITHM

- 1. Let Work[m] and Finish[n] Work = Available Finish[i] = false for i=0,1,...n
- 2. Find i
  - Finish[i] == false
  - $Need_i \leq Work if no such i then go to 4$
- 3. Work = Work+ Allocation Finish[i] = true Goto Step2
- 4. If Finish[i] = true for all i, system is in safe state

#### RESOURCE REQUEST ALGORITHM

## Request<sub>i</sub> – request vector for process i

- 1. If Request  $i \leq Need_i$  goto 2 else generate error
- 2. If Request<sub>i</sub>  $\leq$  Available<sub>i</sub> goto 3 else wait
- 3. Available = Available Request<sub>i</sub>

  Allocation<sub>i</sub> = Allocation<sub>i</sub> + Request<sub>i</sub>

  Need<sub>i</sub> = Need<sub>i</sub> Request<sub>i</sub>

## **EXAMPLE**

Process	Allocation	Max	Available	Need
			3 3 2	
P0	0 1 0	7 5 3	7 5 5	7 4 3
P1	200	3 2 2	5 3 2	122
P2	3 0 2	902	10 5 7	600
P3	2 1 1	222	7 4 3	011
P4	002	4 3 3	7 4 5	4 3 1

Safe Sequence: P1, P3, P4, P0, P2

### DEADLOCK DETECTION

- Identify first if deadlock has occurred
- Algorithm to recover from deadlock

### SINGLE INSTANCE OF EACH RESOURCE

- cycle
- Algorithm to detect the cycle

## SEVERAL INSTANCES OF THE RESOURCES

- Available: vector[m] : resource availability
- Allocation: Allocation[i][j]: current allocation
- Request: Request[i][]j]

#### **ALGORITHM**

- 1. Let Work[m] and Finish[n] Work = Available Finish[i] = false for i=0,1,...n-1 if Allocation;  $\neq 0$
- 2. Find i
  - Finish[i] == false
  - Request<sub>i</sub>  $\leq$  Work if no such i then go to 4
- 3. Work = Work+ Allocation Finish[i] = true Goto Step2
- 4. If Finish[i] = true for all i, system is in safe state

## **EXAMPLE**

Process	Allocation	Request	Available	Request-2
P0	0 1 0	000	010	000
P1	200	202		202
P2	3 0 3	000		0 0 1
P3	2 1 1	100		100
P4	0 0 2	0 0 2		002

#### DEADLOCK RECOVERY

- Preempt some or all resources from the deadlocked processes
  - Selecting a victim
  - Rollback
  - Starvation
- Terminate the processes involved in the deadlock