## Implementation Details for Algorithms:

- I used the recommended fold value of k = 10
- I used the max depth of the algorithm to 10.
- For the min size before split ie. remaining n values after I don't split, is set to 5.
- Minimal Gain is set to 0.01 being close to 0 but not 0.
- The bootstrap ratio has been set to 0.1 so 10% of the data got resampled.
- Stopping criterion like minimal size for split criterion, maximal depth, minimal gain

# For the Wine Dataset using ID3:

1 Trees Random Forest of Wine Dataset with ID3:

Accuracy: 0.951 Precision: 0.939 Recall: 0.929

F-Score (beta=1): 0.929

5 Trees Random Forest of Wine Dataset with ID3:

Accuracy: 0.974 Precision: 0.968 Recall: 0.962

F-Score(beta=1): 0.962

10 Trees Random Forest of Wine Dataset with ID3:

Accuracy: 0.982 Precision: 0.974 Recall: 0.976

F-Score(beta=1): 0.973

20 Trees Random Forest of Wine Dataset with ID3:

Accuracy: 0.985 Precision: 0.98 Recall: 0.979

F-Score(beta=1): 0.979

30 Trees Random Forest of Wine Dataset with ID3:

Accuracy: 0.981 Precision: 0.975 Recall: 0.973

F-Score(beta=1): 0.971

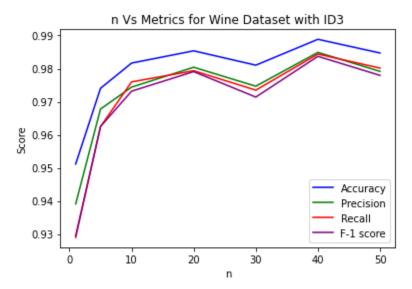
40 Trees Random Forest of Wine Dataset with ID3:

Accuracy: 0.989 Precision: 0.985 Recall: 0.984

F-Score(beta=1): 0.984

50 Trees Random Forest of Wine Dataset with ID3:

Accuracy: 0.985 Precision: 0.979 Recall: 0.98



# For the House Dataset using ID3:

1 Trees Random Forest of House Dataset with ID3:

Accuracy: 0.915 Precision: 0.914 Recall: 0.91

F-Score(beta=1): 0.909

5 Trees Random Forest of House Dataset with ID3:

Accuracy: 0.94 Precision: 0.94 Recall: 0.937

F-Score(beta=1): 0.937

10 Trees Random Forest of House Dataset with ID3:

Accuracy: 0.956 Precision: 0.957 Recall: 0.953

F-Score(beta=1): 0.954

20 Trees Random Forest of House Dataset with ID3:

Accuracy: 0.954 Precision: 0.951 Recall: 0.954

F-Score(beta=1): 0.952

30 Trees Random Forest of House Dataset with ID3:

Accuracy: 0.961 Precision: 0.958 Recall: 0.961

F-Score(beta=1): 0.959

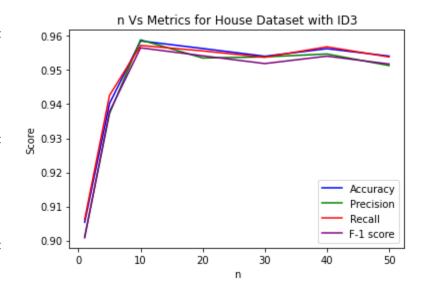
40 Trees Random Forest of House Dataset with ID3:

Accuracy: 0.956 Precision: 0.953 Recall: 0.957

F-Score(beta=1): 0.954

50 Trees Random Forest of House Dataset with ID3:

Accuracy: 0.961 Precision: 0.959 Recall: 0.961



# For the Wine Dataset using Gini:

1 Trees Random Forest of Wine Dataset with Gini:

Accuracy: 0.938 Precision: 0.922 Recall: 0.908

F-Score(beta=1): 0.909

5 Trees Random Forest of Wine Dataset with Gini:

Accuracy: 0.974 Precision: 0.968 Recall: 0.958

F-Score(beta=1): 0.96

10 Trees Random Forest of Wine Dataset with Gini:

Accuracy: 0.982 Precision: 0.976 Recall: 0.975

F-Score(beta=1): 0.974

20 Trees Random Forest of Wine Dataset with Gini:

Accuracy: 0.985 Precision: 0.981 Recall: 0.979

F-Score(beta=1): 0.979

30 Trees Random Forest of Wine Dataset with Gini:

Accuracy: 0.985 Precision: 0.979 Recall: 0.98

F-Score(beta=1): 0.978

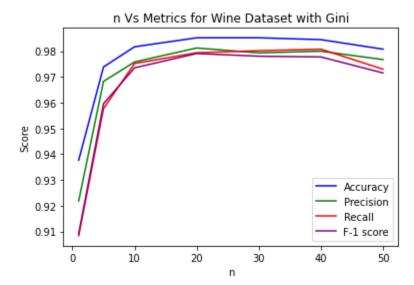
40 Trees Random Forest of Wine Dataset with Gini:

Accuracy: 0.984 Precision: 0.98 Recall: 0.981

F-Score(beta=1): 0.978

50 Trees Random Forest of Wine Dataset with Gini:

Accuracy: 0.981 Precision: 0.977 Recall: 0.973



# For the House Dataset using Gini:

1 Trees Random Forest of House Dataset with Gini:

Accuracy: 0.931 Precision: 0.927 Recall: 0.93

F-Score(beta=1): 0.926

5 Trees Random Forest of House Dataset with Gini:

Accuracy: 0.945 Precision: 0.942 Recall: 0.944

F-Score(beta=1): 0.942

10 Trees Random Forest of House Dataset with Gini:

Accuracy: 0.947 Precision: 0.949 Recall: 0.944

F-Score(beta=1): 0.944

20 Trees Random Forest of House Dataset with Gini:

Accuracy: 0.954 Precision: 0.952 Recall: 0.955

F-Score(beta=1): 0.952

30 Trees Random Forest of House Dataset with Gini:

Accuracy: 0.956 Precision: 0.953 Recall: 0.957

F-Score(beta=1): 0.954

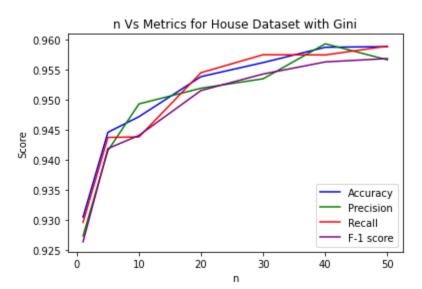
40 Trees Random Forest of House Dataset with Gini:

Accuracy: 0.959 Precision: 0.959 Recall: 0.957

F-Score(beta=1): 0.956

50 Trees Random Forest of House Dataset with Gini:

Accuracy: 0.959 Precision: 0.957 Recall: 0.959



4) For each metric being evaluated (and for each dataset), discuss which value of ntree you would select if you were to deploy this classifier in real life. Explain your reasoning.

#### a) For the Wine Dataset:

- i) Accuracy: We observe that while using Entropy, the value ntree = 20 returns the most optimal results. In the case of Cart, the value ntree = 40 returns the most optimal results. Hence, backed by our findings, I would choose the value of ntree to be 40 if I were to deploy this classifier in real life for the most optimal accuracy results.
- ii) Precision: We observe that while using Entropy, the value ntree = 40 returns the most optimal results. In case of Cart, the range of ntree value from 20 40, almost remains constant showing a next to none loss in precision value with a standard deviation of ±0.001. Hence, backed by our findings, I would choose the value of ntree to be 40 if I were to deploy this classifier in real life for the most optimal precision results.
- iii) Recall: We observe that while using Entropy, the value ntree = 40 returns the most optimal results. In the case of Cart, the range of ntree value from 20 40, almost remains constant showing a next to none loss in recall value with a standard deviation of  $\pm 0.001$ . Hence, backed by our findings, I would choose the value of ntree to be 40 if I were to deploy this classifier in real life for the most optimal recall results.
- iv) F-1: We observe that while using Entropy, the value ntree = 40 returns the most optimal results. In the case of Cart, the range of ntree value from 20 40, almost remains constant showing a next to none loss in F-1 value. Hence, backed by our findings, I would choose the value of ntree to be 40 if I were to deploy this classifier in real life for the most optimal F-1 results.

Hence, overall I would pick ntree value to be 40 for the most optimal results across all metrics if I were to deploy the model in real life.

## b) For the House Votes Dataset:

- i) Accuracy: We observe that while using Entropy, the value ntree = 30/50 returns the most optimal results. In the case of Cart, the value ntree = 50 returns the most optimal results.
- ii) Precision: We observe that while using Entropy, the value ntree = 30/50 returns the most optimal results. In the case of Cart, the value ntree = 40 or 50 showing a very little deviation and returns the most optimal results.
- iii) Recall: We observe that while using Entropy, the value ntree = 30/50 returns the most optimal results. In the case of Cart, the value ntree = 50 returns the most optimal results.
- iv) F-1: We observe that while using Entropy, the value ntree = 30/50 returns the most optimal results. In the case of Cart, the value ntree = 50 returns the most optimal results.

Hence, overall I would pick ntree value to be either 30 or 50 in case of Entropy, and would pick ntree value as 50 in case of Cart for the most optimal results across all metrics. Hence, if I were to deploy the model in real life, I would choose ntree value as 50 to obtain the most optimal results.

5) Discuss (on a high level) which metrics were more directly affected by changing the value of ntree and, more generally, how such changes affected the performance of your algorithm. For instance: was the accuracy of the random forest particularly sensitive to increasing ntree past a given value? Was the F1 score a "harder" metric to optimize, possibly requiring a significant number of trees in the ensemble? Is there a point beyond which adding more trees does not improve performance—or makes the performance worse?

In case of Entropy(ID3), all the metrics were equally sensitive to the value of ntree. This makes sense as accuracy and f1-score are somewhat related to the values of precision and recall. In general, as the value of ntree increased, the value of all the metrics also increased showing an upward trend and slowly stabilizing, as should be the case. Hence the performance of the classifier increased as the value ntree increased.

In the case of the Wine dataset, we observe all the values increasing until ntree = 40 after which they start to dip little as ntree value reaches 50 which could be due to overfitting of the model as the number of trees increases.. However, in the case of House Votes dataset, we observe the values peaking early around ntree = 30 and stabilizing after all the way to ntree = 50 indicating that increasing the number of trees beyond that point may not provide significant improvements in performance.

In the case of Cart(Gini), all the metrics were equally sensitive to the value of ntree. This makes sense as accuracy and f1-score are somewhat related to the values of precision and recall. In general, as the value of ntree increased, the value of all the metrics also increased showing an upward trend and slowly stabilizing, as should be the case. Hence the performance of the classifier increased as the value ntree increased.

In the case of the Wine dataset, we observe all the values increasing until ntree = 40 after which they start to dip little as ntree value reaches 50. However, in the case of House Votes dataset, we observe the values constantly increasing until the value of ntree reaches 50 where we, in our test case, received the most optimal results. We know that As the number of trees increases, the variance of the model decreases, which leads to better generalization performance.

The difference in the behavior of the Wine and House Votes datasets could be explained due to the complexity of the datasets and the size of the dataset. The Wine dataset is less complex and smaller than the House Votes dataset, which is why the performance of the model starts to dip after ntree = 40.

(Extra Points #2: 8 Points) Analyze a third dataset: the Breast Cancer Dataset. The goal, here, is to classify whether tissue removed via a biopsy indicates whether a person may or may not have breast cancer. There are 699 instances in this dataset. Each instance is described by 9 numerical 4 attributes, and there are 2 classes. You should present the same analyses and graphs as discussed above. This dataset can be found in the same zip file as the two main datasets.

## For the Cancer Dataset using ID3:

1 Trees Random Forest of Cancer Dataset with ID3:

Accuracy: 0.941 Precision: 0.933 Recall: 0.896

F-Score(beta=1): 0.912

5 Trees Random Forest of Cancer Dataset with ID3:

Accuracy: 0.947 Precision: 0.946 Recall: 0.9

F-Score(beta=1): 0.921

10 Trees Random Forest of Cancer Dataset with ID3:

Accuracy: 0.95 Precision: 0.941 Recall: 0.912

F-Score(beta=1): 0.925

20 Trees Random Forest of Cancer Dataset with ID3:

Accuracy: 0.959 Precision: 0.943 Recall: 0.938

F-Score(beta=1): 0.94

30 Trees Random Forest of Cancer Dataset with ID3:

Accuracy: 0.956 Precision: 0.941 Recall: 0.929

F-Score(beta=1): 0.935

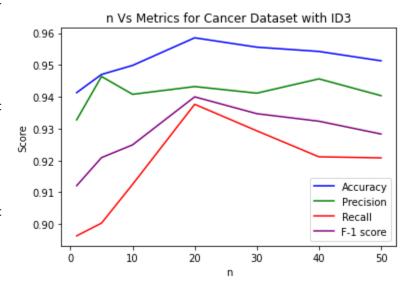
40 Trees Random Forest of Cancer Dataset with ID3:

Accuracy: 0.954 Precision: 0.946 Recall: 0.921

F-Score(beta=1): 0.932

50 Trees Random Forest of Cancer Dataset with ID3:

Accuracy: 0.951 Precision: 0.94 Recall: 0.921



# For the Cancer Dataset using Gini:

1 Trees Random Forest of Cancer Dataset with Gini:

Accuracy: 0.923 Precision: 0.923 Recall: 0.851

F-Score(beta=1): 0.881

5 Trees Random Forest of Cancer Dataset with Gini:

Accuracy: 0.95 Precision: 0.953 Recall: 0.901

F-Score(beta=1): 0.925

10 Trees Random Forest of Cancer Dataset with Gini:

Accuracy: 0.958 Precision: 0.946 Recall: 0.934

F-Score(beta=1): 0.94

20 Trees Random Forest of Cancer Dataset with Gini:

Accuracy: 0.949 Precision: 0.938 Recall: 0.913

F-Score(beta=1): 0.924

30 Trees Random Forest of Cancer Dataset with Gini:

Accuracy: 0.961 Precision: 0.954 Recall: 0.938

F-Score(beta=1): 0.944

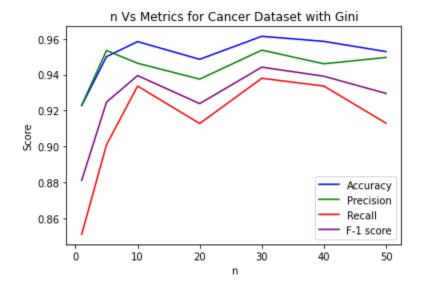
40 Trees Random Forest of Cancer Dataset with Gini:

Accuracy: 0.959 Precision: 0.946 Recall: 0.934

F-Score(beta=1): 0.939

50 Trees Random Forest of Cancer Dataset with Gini:

Accuracy: 0.953 Precision: 0.95 Recall: 0.913



4)

For the Cancer Dataset:

Accuracy: We observe that while using Entropy, the value ntree = 20 returns the most optimal results. In the case of Cart, the value ntree = 30 returns the most optimal results.

Precision: We observe that while using Entropy, the value ntree = 5/40 (with ntree = 20 showing a very tiny variance of 0.003) returns the most optimal results. In the case of Cart, the value ntree = 5/30 showing a very little deviation and returns the most optimal results.

Recall: We observe that while using Entropy, the value ntree = 20 returns the most optimal results. In the case of Cart, the value ntree = 30 returns the most optimal results.

F-1: We observe that while using Entropy, the value ntree = 20 returns the most optimal results. In the case of Cart, the value ntree = 30 returns the most optimal results.

Hence, overall I would pick ntree value to be 20 in case of Entropy, and would pick ntree value as 30 in case of Cart for the most optimal results across all metrics. Hence, if I were to deploy the model in real life, I would choose ntree value between 20 to 30 to obtain the most optimal results.

5)

In both cases ie. Entropy and Cart, we observe that the clayes increase rapidly to ntree value of 20/30 and then start diverging both ways but in sort of a stabilizing manner until starting to decrease after reaching ntree = 50. I believe this trend is due to the complexity of the dataset and increasing the value of ntree leads to overfitting after a certain point and doesn't lead to much informational gain (around 20-30).

### File Descriptions:

1) Hw3.py: Runs all the code

2) decisionTree.py: implementation of decision tree forest

3) randomForest.py. Implementation of random forest

4) evaluation.py: Metrics and results