

# 1. Introduction

Let  $g_1, \dots, g_N$  be  $N$  real numbers. The *number partitioning problem (NPP)* asks: what is the subset  $A$  of  $[N] := \{1, 2, \dots, N\}$  such that the sum of the  $g_i$  for  $i \in A$  and the sum of the remaining  $g_i$  are as close as possible? More formally, the  $A$  we want to find is the one minimizing the discrepancy

$$\left| \sum_{i \in A} g_i - \sum_{i \notin A} g_i \right|.$$

When rephrased as a decision problem (i.e., whether there is an  $A$  such that the discrepancy is below a certain threshold, or even zero), the NPP is one of the six basic NP-complete problems of Garey and Johnson, and of those, the only one to deal with numbers [GJ79, § 3.1].

(talk about modifications and variants?)

The number partitioning problem can be rephrased in the following way. Let our instance  $g_1, \dots, g_N$  be identified with a point  $g \in \mathbf{R}^N$ . Then, a choice of  $A \subseteq [N]$  is equivalent to choosing a point  $x$  in the  $N$ -dimensional binary hypercube  $\Sigma_N := \{\pm 1\}^N$ , and the discrepancy of  $x$  is now  $|\langle g, x \rangle|$ . The goal is now to find the  $x$  minimizing this discrepancy:

$$\min_{x \in \Sigma_N} |\langle g, x \rangle|.$$

**Definition 1.1.** Let  $x \in \Sigma_N$ . The *energy* of  $x$  (with respect to the instance  $g$ ) is

$$E(x; g) := -\log_2 |\langle g, x \rangle|.$$

The *solution set*  $S(E; g)$  is the set of all  $x \in \Sigma_N$  that have energy at least  $E$ , i.e. that satisfy

$$|\langle g, x \rangle| \leq 2^{-E}. \quad (1.1)$$

- This terminology is motivated by the statistical physics literature, wherein random optimization problems are often reframed as energy maximization over a random landscape [Mer01].
- Observe that minimizing the discrepancy  $|\langle g, x \rangle|$  corresponds to maximizing the energy  $E$ .

Overview of number partitioning problem.

Application: randomized control trials.

Other applications.

- Circuit design, etc.

Two questions of interest:

1. What is optimal solution.
2. How to find optimal solution.

## 1.1. Physical Interpretations

## 1.2. Statistical-to-Computational Gap

Low degree heuristic: degree  $D$  algorithms are a proxy for the class of  $e^{\tilde{O}(D)}$ -time algorithms.

## 1.3. Existing Results

1.  $X_i, 1 \leq i \leq n$  i.i.d. uniform from  $\{1, 2, \dots, M := 2^m\}$ , with  $\kappa := \frac{m}{n}$ , then phase transition going from  $\kappa < 1$  to  $\kappa > 1$ .

2. Average case,  $X_i$  i.i.d. standard Normal.
3. Karmarkar [KKLO86] - NPP value is  $\Theta(\sqrt{N}2^{-N})$  whp as  $N \rightarrow \infty$  (doesn't need Normality).
4. Best polynomial-time algorithm: Karmarkar-Karp [KK82] - Discrepancy  $O(N^{-\alpha \log N}) = 2^{-\Theta(\log^2 N)}$  whp as  $N \rightarrow \infty$
5. PDM (paired differencing) heuristic - fails for i.i.d. uniform inputs with objective  $\Theta(n^{-1})$  (Lueker).
6. LDM (largest differencing) heuristic - works for i.i.d. Uniforms, with  $n^{-\Theta(\log n)}$  (Yakir, with constant  $\alpha = \frac{1}{2 \ln 2}$  calculated non-rigorously by Boettcher and Mertens).
7. Krieger -  $O(n^{-2})$  for balanced partition.
8. Hoberg [HHRY17] - computational hardness for worst-case discrepancy, as poly-time oracle that can get discrepancy to within  $O(2^{\sqrt{n}})$  would be oracle for Minkowski problem.
9. Gamarnik-Kizildag: Information-theoretic guarantee  $E_n = n$ , best computational guarantee  $E_n = \Theta(\log^2 n)$ .
10. Existence of  $m$ -OGP for  $m = O(1)$  and  $E_n = \Theta(n)$ .
11. Absence for  $\omega(1) \leq E_n = o(n)$
12. Existence for  $\omega(\sqrt{n \log_2 n}) \leq E_n \leq o(n)$  for  $m = \omega_{n(1)}$  (with changing  $\eta, \beta$ )
  1. While OGP not ruled out for  $E_n \leq \omega(\sqrt{n \log_2 n})$ , argued that it is tight.
13. For  $\varepsilon \in (0, \frac{1}{5})$ , no stable algorithm can solve  $\omega(n \log^{-\frac{1}{5} + \varepsilon} n) \leq E_n \leq o(n)$
14. Possible to strengthen to  $E_n = \Theta(n)$  (as  $2^{-\Theta(n)} \leq 2^{-o(n)}$ )

## 1.4. Our Results

## 1.5. Notation and Conventions

Conventions:

1. On  $\mathbf{R}^N$  we write  $\|\cdot\|_2 = \|\cdot\|$  for the Euclidean norm, and  $\|\cdot\|_1$  for the  $\ell^1$  norm.
2. If  $x \in \mathbf{R}^N$  and  $S \subseteq [N]$ , then  $x_S$  is vector with

$$(x_S)_i = \begin{cases} x_i & i \in S, \\ 0 & \text{else.} \end{cases}$$

In particular, for  $x, y \in \mathbf{R}^N$ ,

$$\langle x_S, y \rangle = \langle x, y_S \rangle = \langle x_S, y_S \rangle.$$

3. meow
4.  $B(x, r) = \{y \in \mathbf{R}^N : \|y - x\| < r\}$  is  $\ell^2$  unit ball.

Throughout we will make key use of the following lemma:

**Lemma 1.2** (Normal Small-Probability Estimate). *Let  $E, \sigma^2 > 0$ , and  $\mu, Z$  be random variables with  $Z \mid \mu \sim \mathcal{N}(\mu, \sigma^2)$ . for  $\sigma^2$  a constant. Then*

$$\mathbf{P}(|Z| \leq 2^{-E} \mid \mu) \leq \exp_2\left(-E - \frac{1}{2} \log_2(\sigma^2) + O(1)\right). \quad (1.2)$$

*Proof:* Observe that conditional on  $\mu$ , the distribution of  $Z$  is bounded as

$$\varphi_{Z \mid \mu}(z) \leq \frac{1}{\sqrt{2\pi\sigma^2}} e^{-\frac{(z-\mu)^2}{2\sigma^2}} \leq (2\pi\sigma^2)^{-1/2}.$$

Integrating over  $|z| \leq 2^{-E}$  then gives (1.2), via

$$\mathbf{P}(|Z| \leq 2^{-E}) = \int_{|z| \leq 2^{-E}} (2\pi\sigma^2)^{-1/2} dz \leq 2^{-E - \frac{1}{2} \log_2(2\pi\sigma^2) + 1}. \quad \square$$

**Lemma 1.3.** Suppose that  $K \leq N/2$ , and let  $h(x) = -x \log_2(x) - (1-x) \log_2(x)$  be the binary entropy function. Then, for  $p := K/N$ ,

$$\sum_{k \leq K} \binom{N}{k} \leq \exp_2(Nh(p)) \leq \exp_2\left(2Np \log_2\left(\frac{1}{p}\right)\right).$$

*Proof:* Consider a  $\text{Bin}(N, p)$  random variable  $S$ . Summing its PMF from 0 to  $K$ , we have

$$1 \geq \mathbf{P}(S \leq K) = \sum_{k \leq K} \binom{N}{k} p^k (1-p)^{N-k} \geq \sum_{k \leq K} \binom{N}{k} p^K (1-p)^{N-K}.$$

Here, the last inequality follows from the fact that  $p \leq (1-p)$ , and we multiply each term by  $\left(\frac{p}{1-p}\right)^{K-k} \leq 1$ . Now rearrange to get

$$\begin{aligned} \sum_{k \leq K} \binom{N}{k} &\leq p^{-K} (1-p)^{-(N-K)} \\ &= \exp_2(-K \log_2(p) - (N-K) \log_2(1-p)) \\ &= \exp_2\left(N \cdot \left(-\frac{K}{N} \log_2(p) - \left(\frac{N-K}{N}\right) \log_2(1-p)\right)\right) \\ &= \exp_2(N \cdot (-p \log_2(p) - (1-p) \log_2(1-p))) = \exp_2(Nh(p)). \end{aligned}$$

The final equality then follows from the bound  $h(p) \leq 2p \log_2(1/p)$  for  $p \leq 1/2$ .  $\square$

Note that this is decreasing function of  $\sigma^2$ , e.g. it's bounded by  $\exp_2\left(-E - \frac{1}{2} \log_2(\min \sigma^2)\right)$  (this bound is trivial unless  $\sigma^2 \Rightarrow \gamma > 0$ ).

### 1.5.1. Glossary:

1. “instance”/“disorder” -  $g$ , instance of the NPP problem
2. “discrepancy” - for a given  $g$ , value of  $\min_{x \in \Sigma_N} |\langle g, x \rangle|$
3. “energy” - negative exponent of discrepancy, i.e. if discrepancy is  $2^{-E}$ , then energy is  $E$ . Lower energy indicates “worse” discrepancy.
4. “near-ground state”/“approximate solution”

## 2. Low-Degree Algorithms

For our purposes, an *algorithm* is a function which takes as input a problem instance  $g \in \mathbf{R}^N$  and outputs some  $x \in \Sigma_N$ . This definition can be extended to functions giving outputs on  $\mathbf{R}^N$ , and rounding to a vertex on the hypercube  $\Sigma_N$ . Alternatively, we could consider *randomized algorithms* via taking as additional input some randomness  $\omega$  independent of the problem instance. However, most of our analysis will focus on the deterministic case.

To further restrict the category of algorithms considered, we specifically restrict to *low degree algorithms*. Compared to analytically-defined classes of algorithms (e.g. Lipschitz), these algorithms

have a regular algebraic structure that we can exploit to precisely control their stability properties. In particular, our goal is to show *strong low degree hardness*, in the sense of [HS25, Def. 3].

**Definition 2.1** (Strong Low-Degree Hardness). A random search problem, namely a  $N$ -indexed sequence of input vectors  $y_N \in \mathbf{R}^{d_N}$  and random subsets  $S_N = S_{N(y_N)} \subseteq \Sigma_N$ , exhibits *strong low degree hardness up to degree  $D \leq o(D_N)$*  if, for all sequences of degree  $o(D_N)$  algorithms  $(\mathcal{A}_N)$  with  $\mathbf{E}\|\mathcal{A}(y_N)\|^2 \leq O(N)$ , we have

$$\mathbf{P}(\mathcal{A}(y_N) \in S_N) \leq o(1).$$

In addition, degree  $D$  polynomials are a heuristic proxy for the class of  $e^{\tilde{O}(D)}$ -time algorithms [Hop18, Kot+17]. Thus, strong low degree hardness up to  $o(N)$  can be thought of as evidence of requiring exponential (i.e.  $e^{\Omega(N)}$ ) time to find globally optimal solutions.

For the case of NPP, we consider two distinct notions of degree. One is traditional polynomial degree, which has an intuitive interpretation, but the other, known in the literature as “coordinate degree,” is a more flexible notion which can be applied to a much broader class of algorithms. As we will see in Section 3, these classes of algorithms exhibit quantitatively different behavior, in line with existing heuristics for the “brittleness” of NPP.

## 2.1. Coordinate Degree and $L^2$ Stability

First, we consider a general class of putative algorithms, where the notion of “degree” corresponds to how many variables can interact nonlinearly with each other. Given this notion, deriving stability bounds becomes a straightforward piece of functional analysis. To start, recall the notion of  $L^2$  functions:

**Definition 2.2.** Let  $\pi$  be a probability distribution on  $\mathbf{R}$ . The  $L^2$  space  $L^2(\mathbf{R}^N, \pi^{\otimes N})$  is the space of functions  $f : \mathbf{R}^N \rightarrow \mathbf{R}$  with finite  $L^2$  norm.

$$\mathbf{E}[f^2] := \int_{x=(x_1, \dots, x_n) \in \mathbf{R}^N} f(x)^2 d\pi^{\otimes N}(x) < \infty.$$

Alternatively, this is the space of  $L^2$  functions of  $N$  i.i.d. random variables  $x_i$ , distributed as  $\pi$ .

Note that this is an extremely broad class of functions; for instance, all bounded functions are  $L^2$ .

Given any function  $f \in L^2(\mathbf{R}^N, \pi^{\otimes N})$ , we can consider how it depends on various subsets of the  $N$  input coordinates. In principle, everything about  $f$  should be reflected in how it acts on all possible such subsets. To formalize this intuition, define the following coordinate projection:

**Definition 2.3.** Let  $f \in L^2(\mathbf{R}^N, \pi^{\otimes N})$  and  $J \subseteq [N]$ , with  $\bar{J} = [N] \setminus J$ . The *projection of  $f$  onto  $J$*  is the function  $f^{\subseteq J} : \mathbf{R}^N \rightarrow \mathbf{R}$  given by

$$f^{\subseteq J}(x) = \mathbf{E}[f(x_1, \dots, x_n) \mid x_i, i \in J] = \mathbf{E}[f(x) \mid x_J]$$

Intuitively  $f^{\subseteq J}$  is  $f$  with the  $\bar{J}$  coordinates re-randomized, so  $f^{\subseteq J}$  only depends on the coordinates in  $J$ . However, depending on how  $f$  accounts for higher-order interactions, it might be the case that  $f^{\subseteq J}$  is fully described by some  $f^{\subseteq J'}$ , for  $J' \subsetneq J$ . What we really want is to decompose  $f$  as

$$f = \sum_{S \subseteq [N]} f^{\subseteq S} \tag{2.1}$$

where each  $f^{\subseteq S}$  only depends on the coordinates in  $S$ , but not any smaller subset. That is, if  $T \not\subseteq S$  and  $g$  depends only on the coordinates in  $T$ , then  $\langle f^{\subseteq S}, g \rangle = 0$ .

This decomposition, often called the *Efron-Stein*, *orthogonal*, or *Hoeffding* decomposition, does indeed exist, and exhibits the following combinatorial construction. Our presentation largely follows [O'D21, § 8.3], as well as the paper [Kun24].

The motivating fact is that for any  $J \subseteq [N]$ , we should have

$$f^{\subseteq J} = \sum_{S \subseteq J} f^{\subseteq S}. \quad (2.2)$$

Intuitively,  $f^{\subseteq J}$  captures everything about  $f$  depending on the coordinates in  $J$ , and each  $f^{\subseteq S}$  captures precisely the interactions within each subset  $S$  of  $J$ . The construction of  $f^{\subseteq S}$  proceeds by inverting this formula.

First, we consider the case  $J = \emptyset$ . It is clear that  $f^{\subseteq \emptyset} = f^{\subseteq \emptyset}$ , which, by Definition 2.3 is the constant function  $\mathbf{E}[f]$ . Next, if  $J = \{j\}$  is a singleton, (2.2) gives

$$f^{\subseteq \{j\}} = f^{\subseteq \emptyset} + f^{\subseteq \{j\}},$$

and as  $f^{\subseteq \{j\}}(x) = \mathbf{E}[f \mid x_j]$ , we get

$$f^{\subseteq \{j\}} = \mathbf{E}[f \mid x_j] - \mathbf{E}[f].$$

This function only depends on  $x_j$ ; all other coordinates are averaged over, thus measuring how the expectation of  $f$  changes given  $x_j$ .

Continuing on to sets of two coordinates, some brief manipulation gives, for  $J = \{i, j\}$ ,

$$\begin{aligned} f^{\subseteq \{i, j\}} &= f^{\subseteq \emptyset} + f^{\subseteq \{i\}} + f^{\subseteq \{j\}} + f^{\subseteq \{i, j\}} \\ &= f^{\subseteq \emptyset} + (f^{\subseteq \{i\}} - f^{\subseteq \emptyset}) + (f^{\subseteq \{j\}} - f^{\subseteq \emptyset}) + f^{\subseteq \{i, j\}} \\ \therefore f^{\subseteq \{i, j\}} &= f^{\subseteq \{i, j\}} - f^{\subseteq \{i\}} - f^{\subseteq \{j\}} + f^{\subseteq \emptyset}. \end{aligned}$$

We can imagine that this accounts for the two-way interaction of  $i$  and  $j$ , namely  $f^{\subseteq \{i, j\}} = \mathbf{E}[f \mid x_i, x_j]$ , while “correcting” for the one-way effects of  $x_i$  and  $x_j$  individually. Inductively, we can continue on and define all the  $f^{\subseteq J}$  via inclusion-exclusion, as

$$f^{\subseteq J} := \sum_{S \subseteq J} (-1)^{|J|-|S|} f^{\subseteq S} = \sum_{S \subseteq J} (-1)^{|J|-|S|} \mathbf{E}[f \mid x_S].$$

This construction, along with some direct calculations, leads to the following theorem on Efron-Stein decompositions:

**Theorem 2.4** ([O'D21, Thm 8.35]). *Let  $f \in L^2(\mathbf{R}^N, \pi^{\otimes N})$ . Then  $f$  has a unique Efron-Stein decomposition as*

$$f = \sum_{S \subseteq [N]} f^{\subseteq S}$$

where the functions  $f^{\subseteq S} \in L^2(\mathbf{R}^N, \pi^{\otimes N})$  satisfy

1.  $f^{\subseteq S}$  depends only on the coordinates in  $S$ ;
2. if  $T \subsetneq S$  and  $g \in L^2(\mathbf{R}^N, \pi^{\otimes N})$  only depends on coordinates in  $T$ , then  $\langle f^{\subseteq S}, g \rangle = 0$ .

In addition, this decomposition has the following properties:

3. Condition 2. holds whenever  $S \not\subseteq T$ .
4. The decomposition is orthogonal:  $\langle f^=S, f^=T \rangle = 0$  for  $S \neq T$ .
5.  $\sum_{S \subseteq T} f^=S = f^=T$ .
6. For each  $S \subseteq [N]$ ,  $f \mapsto f^=S$  is a linear operator.

In summary, this decomposition of any  $L^2(\mathbf{R}^N, \pi^{\otimes N})$  function into it's different interaction levels not only uniquely exists, but is an orthogonal decomposition, enabling us to apply tools from elementary Fourier analysis.

**Theorem 2.4** further implies that we can define subspaces of  $L^2(\mathbf{R}^N, \pi^{\otimes N})$  (see also [Kun24, § 1.3])

$$\begin{aligned} V_J &:= \{f \in L^2(\mathbf{R}^N, \pi^{\otimes N}) : f = f^{\subseteq J}\}, \\ V_{\leq D} &:= \sum_{\substack{J \subseteq [N] \\ |J| \leq D}} V_J. \end{aligned} \tag{2.3}$$

These capture functions which only depend on some subset of coordinates, or some bounded number of coordinates. Note that  $V_{[N]} = V_{\leq N} = L^2(\mathbf{R}^N, \pi^{\otimes N})$ .

With this, we can define the notion of “coordinate degree”:

**Definition 2.5.** The *coordinate degree* of a function  $f \in L^2(\mathbf{R}^N, \pi^{\otimes N})$  is

$$\text{cdeg}(f) := \max\{|S| : S \subseteq [N], f^=S \neq 0\} = \min\{D : f \in V_{\leq D}\}$$

If  $f = (f_1, \dots, f_M) : \mathbf{R}^N \rightarrow \mathbf{R}^M$  is a multivariate function, then

$$\text{cdeg}(f) := \max_{i \in [M]} \text{cdeg}(f_i).$$

Intuitively, the coordinate degree is the maximum size of (nonlinear) multivariate interaction that  $f$  accounts for. Of course, this degree is also bounded by  $N$ , very much unlike polynomial degree. Note as a special case that any multivariate polynomial of degree  $D$  has coordinate degree at most  $D$ . As an example, the function  $x_1 + x_2$  has both polynomial degree and coordinate degree 1, while  $x_1 + x_2^2$  has polynomial degree 2 and coordinate degree 1. We are especially interested in algorithms coming from functions in  $V_{\leq D}$ , which we term *low coordinate degree algorithms*.

As we are interested in how these function behaves under small changes in its input, we are led to consider the following “noise operator,” which lets us measures the effect of small changes in the input on the coordinate decomposition. First, we need the following notion of distance between problem instances:

**Definition 2.6.** For  $p \in [0, 1]$ , and  $x \in \mathbf{R}^N$ , we say  $y \in \mathbf{R}^N$  is *p-resampled from x*, denoted  $y \sim \pi_p^{\otimes N}(x)$ , if  $y$  is chosen as follows: for each  $i \in [N]$ , independently,

$$y_i = \begin{cases} x_i & \text{with probability } p \\ \text{drawn from } \pi & \text{with probability } 1 - p \end{cases}$$

We say  $(x, y)$  are a *p-resampled pair*.

Note that being  $p$ -resampled and being  $p$ -correlated are rather different - for one, there is a nonzero probability that, for  $\pi$  a continuous probability distribution,  $x = y$  when they are  $p$ -resampled, even though this a.s. never occurs if they were  $p$ -correlated.

**Definition 2.7.** For  $p \in [0, 1]$ , the *noise operator*  $T_p$  is the linear operator on  $L^2(\mathbf{R}^N, \pi^{\otimes N})$  defined by

$$T_p f(x) = \mathbf{E}_{y \sim \pi_p^{\otimes N}(x)}[f(y)]$$

In particular,  $\langle f, T_p f \rangle = \mathbf{E}_{(x,y) \text{ } p\text{-resampled}}[f(x) \cdot f(y)]$ .

This noise operator changes the Efron-Stein decomposition, and hence the behavior of low coordinate degree functions, in a controlled way:

**Lemma 2.8.** Let  $p \in [0, 1]$  and  $f \in L^2(\mathbf{R}^N, \pi^{\otimes N})$  have Efron-Stein decomposition  $f = \sum_{S \subseteq [N]} f^S$ . Then

$$T_p f(x) = \sum_{S \subseteq [N]} p^{|S|} f^S.$$

*Proof:* Let  $J$  denote a  $p$ -random subset of  $[N]$ , i.e. with  $J$  formed by including each  $i \in [N]$  independently with probability  $p$ . By definition,  $T_p f(x) = \mathbf{E}_J[f^{\subseteq J}(x)]$  (i.e. pick a random subset of coordinates to fix, and re-randomize the rest). We know by [Theorem 2.4](#) that  $f^{\subseteq J} = \sum_{S \subseteq J} f^S$ , so

$$T_p f(x) = \mathbf{E}_J \left[ \sum_{S \subseteq J} f^S \right] = \sum_{S \subseteq [N]} \mathbf{E}_J[I(S \subseteq J)] \cdot f^S = \sum_{S \subseteq [N]} p^{|S|} f^S,$$

since for a fixed  $S \subseteq [N]$ , the probability that  $S \subseteq J$  is  $p^{|S|}$ . □

Thus, we can derive the following stability bound on low coordinate degree functions.

**Theorem 2.9.** Let  $p \in [0, 1]$  and let  $f = (f_1, \dots, f_M) : \mathbf{R}^N \rightarrow \mathbf{R}^M$  be a multivariate function with coordinate degree  $D$  and each  $f_i \in L^2(\mathbf{R}^N, \pi^{\otimes N})$ . Suppose that  $(x, y)$  are a  $p$ -resampled pair under  $\pi^{\otimes N}$ , and  $\mathbf{E}\|f(x)\|^2 = 1$ . Then

$$\mathbf{E}\|f(x) - f(y)\|^2 \leq 2(1 - p^D) \leq 2(1 - p)D. \quad (2.4)$$

*Proof:* Observe that

$$\begin{aligned} \mathbf{E}\|f(x) - f(y)\|^2 &= \mathbf{E}\|f(x)\|^2 + \mathbf{E}\|f(y)\|^2 - 2\mathbf{E}\langle f(x), f(y) \rangle \\ &= 2 - 2 \left( \sum_i \mathbf{E}[f_i(x)f_i(y)] \right) \\ &= 2 - 2 \left( \sum_i \langle f_i, T_p f_i \rangle \right). \end{aligned} \quad (2.5)$$

Here, we have for each  $i \in [M]$  that

$$\langle f_i, T_p f_i \rangle = \left\langle \sum_{S \subseteq [N]} f_i^S, \sum_{S \subseteq [N]} p^{|S|} f_i^S \right\rangle = \sum_{S \subseteq [N]} p^{|S|} \|f_i^S\|^2,$$

by [Lemma 2.8](#) and orthogonality. Now, as each  $f_i$  has coordinate degree at most  $D$ , the sum above can be taken only over  $S \subseteq [N]$  with  $0 \leq |S| \leq D$ , giving the bound

$$p^D \mathbf{E}[f_i(x)^2] \leq \langle f_i, T_p f_i \rangle = \mathbf{E}[f_i(x) \cdot T_p f_i(x)] \leq \mathbf{E}[f_i(x)^2].$$

Summing up over  $i$ , and using that  $\mathbf{E}\|f(x)\|^2 = 1$ , gives

$$p^D \leq \sum_i \langle f_i, T_p f_i \rangle = \mathbf{E} \langle f(x), f(y) \rangle \leq 1.$$

Finally, we can substitute into (2.5) to get<sup>1</sup>

$$\mathbf{E} \|f(x) - f(y)\|^2 \leq 2 - 2p^D = 2(1 - p^D) \leq 2(1 - p)D. \quad \square$$

## 2.2. Hermite Polynomials

Alternatively, we can consider the much more restrictive (but more concrete) class of honest polynomials. When considered as functions of independent Normal variables, such functions admit a simple description in terms of *Hermite polynomials*, which enables us to prove similar bounds as [Theorem 2.9](#). This theory is much more classical, so we encourage the interested reader to see [\[O'D21, § 11\]](#) for details.

**Definition 2.10.** Let  $\gamma_N$  be the  $N$ -dimensional standard Normal measure on  $\mathbf{R}^N$ . Then the  $N$ -dimensional Gaussian space is the space  $L^2(\mathbf{R}^N, \gamma^N)$  of  $L^2$  functions of  $N$  i.i.d. standard Normal r.v.s.

Note that under the usual  $L^2$  inner product,  $\langle f, g \rangle = \mathbf{E}[f \cdot g]$ , this is a separable Hilbert space.

It is a well-known fact that the monomials  $1, z, z^2, \dots$  form a complete basis for  $L^2(\mathbf{R}, \gamma)$  [\[O'D21, Thm 11.22\]](#). However, these are far from an orthonormal “Fourier” basis; for instance, we know  $\mathbf{E}[z^2] = 1$  for  $z \sim \mathcal{N}(0, 1)$ . By the Gram-Schmidt process, these monomials can be converted into the (normalized) *Hermite polynomials*  $h_j$  for  $j \geq 0$ , given as

$$h_0(z) = 1, \quad h_1(z) = z, \quad h_2(z) = \frac{z^2 - 1}{\sqrt{2}}, \quad h_3(z) = \frac{z^3 - 3z}{\sqrt{6}}, \quad \dots \quad (2.6)$$

Note here that each  $h_j$  is a degree  $j$  polynomial. With these, we have:

**Theorem 2.11** ([\[O'D21, Prop 11.30\]](#)). *The Hermite polynomials  $(h_j)_{j \geq 0}$  form a complete orthonormal basis for  $L^2(\mathbf{R}, \gamma)$ .*

To extend this to  $L^2(\mathbf{R}^N, \gamma^N)$ , we can take products. For a multi-index  $\alpha \in \mathbb{N}^N$ , we define the multivariate Hermite polynomial  $h_\alpha : \mathbf{R}^N \rightarrow \mathbf{R}$  as

$$h_\alpha(z) := \prod_{j=1}^N h_{\alpha_j}(z_j).$$

The degree of  $h_\alpha$  is clearly  $|\alpha| = \sum_j \alpha_j$ .

**Theorem 2.12.** *The Hermite polynomials  $(h_\alpha)_{\alpha \in \mathbb{N}^N}$  form a complete orthonormal basis for  $L^2(\mathbf{R}^N, \gamma^N)$ . In particular, every  $f \in L^2(\mathbf{R}^N, \gamma^N)$  has a unique expansion in  $L^2$  norm as*

$$f(z) = \sum_{\alpha \in \mathbb{N}^N} \hat{f}(\alpha) h_\alpha(z).$$

As a consequence of the uniqueness of the expansion in , we see that polynomials are their own Hermite expansion. Namely, let  $H^{\leq k} \subseteq L^2(\mathbf{R}^N, \gamma^N)$  be the subset of multivariate polynomials of degree at most  $k$ . Then, any  $f \in H^{\leq k}$  can be Hermite expanded as

<sup>1</sup>The last inequality follows from  $(1 - p^D) = (1 - p)(1 + p + p^2 + \dots p^{D-1})$ ; the bound is tight for  $p \approx 1$ .



$$f(z) = \sum_{\alpha \in \mathbb{N}^N} \hat{f}(\alpha) h_\alpha(z) = \sum_{|\alpha| \leq k} \hat{f}(\alpha) h_\alpha(z).$$

Thus,  $H^{\leq k}$  is the closed linear span of the set  $\{h_\alpha : |\alpha| \leq k\}$ .

When working with honest polynomials, the traditional notion of correlation is a much more natural measure of “distance” between inputs:

**Definition 2.13.** Let  $(x, y)$  be  $N$ -dimensional standard Normal vectors. We say  $(x, y)$  are  $p$ -correlated if  $(x_i, y_i)$  are  $p$ -correlated for each  $i \in [N]$ , and these pairs are mutually independent.

In a similar way to the Efron-Stein case, we can consider the resulting “noise operator,” as a way of measuring the effect on a function of a small change in the input.

**Definition 2.14.** For  $p \in [0, 1]$ , the *Gaussian noise operator*  $T_p$  is the linear operator on  $L^2(\mathbf{R}^N, \gamma^N)$ , given by

$$T_p f(x) = \mathbf{E}_{y \text{ } p\text{-correlated to } x} [f(y)] = \mathbf{E}_{y \sim \mathcal{N}(0, I_N)} \left[ f\left(px + \sqrt{1-p^2}y\right) \right]$$

This operator admits a more classical description in terms of the Ornstein-Uhlenbeck semigroup, but we will not need that connection here. As it happens, a straightforward computation with the Normal moment generating function gives the following:

**Lemma 2.15** ([O’D21, Prop 11.37]). Let  $p \in [0, 1]$  and  $f \in L^2(\mathbf{R}^N, \gamma^N)$ . Then  $T_p f$  has Hermite expansion

$$T_p f = \sum_{\alpha \in \mathbb{N}^N} p^{|\alpha|} \hat{f}(\alpha) h_\alpha$$

and in particular,

$$\langle f, T_p f \rangle = \sum_{\alpha \in \mathbb{N}^N} p^{|\alpha|} \hat{f}(\alpha)^2.$$

With this in hand, we can prove a similar stability bound to **Theorem 2.9**.

**Theorem 2.16.** Let  $p \in [0, 1]$  and let  $f = (f_1, \dots, f_M) : \mathbf{R}^N \rightarrow \mathbf{R}^M$  be a multivariate polynomial with degree  $D$ . Suppose that  $(x, y)$  are a  $p$ -correlated pair of standard Normal vectors, and  $\mathbf{E}\|f(x)\|^2 = 1$ . Then

$$\mathbf{E}\|f(x) - f(y)\|^2 \leq 2(1 - p^D) \leq 2(1 - p)D. \quad (2.7)$$

*Proof:* The proof is almost identical to that of **Theorem 2.9** (see also [GJW22, Lem. 3.4]). The main modification is to realize that for each  $f_i$ , having degree at most  $D$  implies that  $\hat{f}_i(\alpha) = 0$  for  $|\alpha| > D$ . Thus, as  $p^D \leq p^s \leq 1$  for all  $s \leq D$ , we can apply **Lemma 2.15** to get

$$p^D \mathbf{E}[f_i(x)^2] \leq \langle f_i, T_p f_i \rangle = \sum_{\alpha \in \mathbb{N}^N : |\alpha| \leq D} p^{|\alpha|} \hat{f}_i(\alpha)^2 \leq \mathbf{E}[f_i(x)^2].$$

From there, the proof proceeds as before. □

As a comparison to the case for functions with coordinate degree  $D$ , notice that **Theorem 2.16** gives, generically, a much looser bound. In exchange, being able to use  $p$ -correlation as a “metric” on the input domain will turn out to offer significant strengthenings in the arguments which follow, justifying equal consideration of both classes of functions.

### 2.3. Stability of Low-Degree Algorithms

With these notions of low degree functions/polynomials in hand, we can consider algorithms based on such functions.

**Definition 2.17.** A (randomized) algorithm is a measurable function  $\mathcal{A} : (g, \omega) \mapsto x^* \in \Sigma^N$ , where  $\omega \in \Omega_N$  is an independent random variable. Such an  $\mathcal{A}$  is *deterministic* if it does not depend on  $\omega$ .

In practice, we want to consider  $\mathbf{R}^N$ -valued algorithms as opposed to  $\Sigma_N$ -valued ones to avoid the resulting restrictions on the component functions. These can then be converted to  $\Sigma_N$ -valued algorithms by some rounding procedure. We discuss the necessary extensions to handling this rounding in [Section 4](#).

**Definition 2.18.** A polynomial algorithm is an algorithm  $\mathcal{A}(g, \omega)$  where each coordinate of  $\mathcal{A}(g, \omega)$  is given by a polynomial in the  $N$  entries of  $g$ . If  $\mathcal{A}$  is a polynomial algorithm, we say it has degree  $D$  if each coordinate has degree at most  $D$  (with at least one equality).

We can broaden the notion of polynomial algorithms (with their obvious notion of degree) to algorithms with a well-defined notion of coordinate degree:

**Definition 2.19.** Suppose an algorithm  $\mathcal{A}(g, \omega)$  is such that each coordinate of  $\mathcal{A}(-, \omega)$  is in  $L^2(\mathbf{R}^N, \pi^{\otimes N})$ . Then, the *coordinate degree* of  $\mathcal{A}$  is the maximum coordinate degree of each of its coordinate functions.

By the low degree heuristic, these algorithms can be interpreted as a proxy for time  $N^D$ -algorithms, unlike classes based off of their stability properties, such as Lipschitz/Hölder continuous algorithms. Yet in addition to this interpretability, these algorithms also have accessible stability bounds:

**Proposition 2.20** (Low-Degree Stability – [\[HS25, Prop. 1.9\]](#)). Suppose we have a deterministic algorithm  $\mathcal{A}$  with degree (resp. coordinate degree)  $\leq D$  and norm  $\mathbf{E}\|\mathcal{A}(g)\|^2 \leq CN$ . Then, for inputs  $g, g'$  which are  $(1 - \varepsilon)$ -correlated (resp.  $(1 - \varepsilon)$ -resampled),

$$\mathbf{E}\|\mathcal{A}(g) - \mathcal{A}(g')\|^2 \leq 2CD\varepsilon N, \quad (2.8)$$

and thus

$$\mathbf{P}(\|\mathcal{A}(g) - \mathcal{A}(g')\| \geq 2\sqrt{\eta N}) \leq \frac{CD\varepsilon}{2\eta} \asymp \frac{D\varepsilon}{\eta} \quad (2.9)$$

*Proof:* Let  $C' := \mathbf{E}\|\mathcal{A}(g)\|^2$ , and define the rescaling  $\mathcal{A}' := \mathcal{A}/\sqrt{C'}$ . Then, by [Theorem 2.16](#) (or [Theorem 2.9](#), in the low coordinate degree case), we have

$$\mathbf{E}\|\mathcal{A}'(g) - \mathcal{A}'(g')\|^2 = \frac{1}{C'} \mathbf{E}\|\mathcal{A}(g) - \mathcal{A}(g')\|^2 \leq 2D\varepsilon.$$

Multiplying by  $C'$  gives [\(2.8\)](#) (as  $C' \leq CN$ ). Finally, [\(2.9\)](#) follows from Markov's inequality.  $\square$

### 3. Proof of Strong Low-Degree Hardness

In this section, we prove strong low degree hardness for both low degree polynomial algorithms and algorithms with low Efron-Stein degree.

For now, we consider  $\Sigma_N$ -valued deterministic algorithms. We discuss the extension to  $\mathbf{R}^N$ -valued algorithms in [Section 4](#). As outlined in [Section 1.4](#), we show that TODO.

The key argument is as follows. Fix some energy levels  $E$ , depending on  $N$ . Suppose we have a  $\Sigma_N$ -valued, deterministic algorithm  $\mathcal{A}$  given by a degree  $D$  polynomial (resp. an Efron-Stein degree  $D$  function), and we have two instances  $g, g' \sim \mathcal{N}(0, I_N)$  which are  $(1 - \varepsilon)$ -correlated (resp.  $(1 - \varepsilon)$ -resampled), for  $\varepsilon > 0$ . Say  $\mathcal{A}(g) = x \in \Sigma_N$  is a solution with energy at least  $E$ , i.e. it “solves” this NPP instance. For  $\varepsilon$  close to 0,  $\mathcal{A}(g') = x'$  will be close to  $x$ , by low degree stability. However, by adjusting parameters carefully, we can make it so that with high probability (exponential in  $E$ ), there are no solutions to  $g'$  close to  $x$ . By application of a correlation bound on the probability of solving any fixed instance, we can conclude that with high probability,  $\mathcal{A}$  can’t find solutions to NPP with energy  $E$ .

Our argument utilizes what can be thought of as a “conditional” version of the overlap gap property. Traditionally, the overlap gap property is a global obstruction: one shows that with high probability, one cannot find a tuple of good solutions to a family of correlated instances which are all roughly the same distance apart. Here, however, we show a local obstruction - we condition on being able to solve a single instance, and show that after a small change to the instance, we cannot guarantee any solutions will exist close to the first one. This is an instance of the “brittleness,” so to speak, that makes NPP so frustrating to solve; even small changes in the instance break the landscape geometry, so that even if solutions exist, there’s no way to know where they’ll end up.

First moment details meow.

We start with some setup which will apply, with minor modifications depending on the nature of the algorithm in consideration, to all of the energy regimes in discussion. After proving some preliminary estimates, we establish the existence of our conditional landscape obstruction, which is of independent interest. Finally, we conclude by establishing low degree hardness in both the linear and sublinear energy regimes.

Explain more meow.

### 3.1. Hardness for Low Degree Polynomial Algorithms

First, consider the case of  $\mathcal{A}$  being a polynomial algorithm with degree  $D$ .

Let  $g, g'$  be  $(1 - \varepsilon)$ -correlated standard Normal r.v.s, and let  $x \in \Sigma_N$  depend only on  $g$ . Furthermore, let  $\eta > 0$  be a parameter which will be chosen in a manner specified later. We define the following events:

$$\begin{aligned} S_{\text{solve}} &= \{\mathcal{A}(g) \in S(E; g), \mathcal{A}(g') \in S(E; g')\} \\ S_{\text{stable}} &= \{\|\mathcal{A}(g) - \mathcal{A}(g')\| \leq 2\sqrt{\eta N}\} \\ S_{\text{cond}}(x) &= \left\{ \nexists x' \in S(E; g') \text{ such that } \right. \\ &\quad \left. \|x - x'\| \leq 2\sqrt{\eta N} \right\} \end{aligned} \tag{3.1}$$

Intuitively, the first two events ask that the algorithm solves both instances and is stable, respectively. The last event, which depends on  $x$ , corresponds to the conditional landscape obstruction: for an  $x$  depending only on  $g$ , there is no solution to  $g'$  which is close to  $x$ .

**Lemma 3.1.** *We have, for  $x := \mathcal{A}(g)$ ,  $S_{\text{solve}} \cap S_{\text{stable}} \cap S_{\text{cond}}(x) = \emptyset$ .*

*Proof:* Suppose that  $S_{\text{solve}}$  and  $S_{\text{stable}}$  both occur. Letting  $x := \mathcal{A}(g)$  (which only depends on  $g$ ) and  $x' := \mathcal{A}(g')$ , we have that  $x' \in S(E; g')$  while also being within distance  $2\sqrt{\eta N}$  of  $x$ . This contradicts  $S_{\text{cond}}(x)$ , thus completing the proof.  $\square$

First, define  $p_{\text{solve}}$  as the probability that the algorithm solves a single random instance:

$$p_{\text{solve}} = \mathbf{P}(\mathcal{A}(g) \in S(E; g)). \quad (3.2)$$

Then, we have the following correlation bound, which allows us to avoid union bounding over instances:

**Lemma 3.2.** *For  $g, g'$  being  $(1 - \varepsilon)$ -correlated, we have*

$$\mathbf{P}(S_{\text{solve}}) = \mathbf{P}(\mathcal{A}(g) \in S(E; g), \mathcal{A}(g') \in S(E; g')) \geq p_{\text{solve}}^2$$

*Proof:* Let  $\tilde{g}, g^{(0)}, g^{(1)}$  be three i.i.d. copies of  $g$ , and observe that  $g, g'$  are jointly representable as

$$g = \sqrt{1 - \varepsilon}\tilde{g} + \sqrt{\varepsilon}g^{(0)}, \quad g' = \sqrt{1 - \varepsilon}\tilde{g} + \sqrt{\varepsilon}g^{(1)}.$$

Thus, since  $g, g'$  are conditionally independent given  $\tilde{g}$ , we have

$$\begin{aligned} \mathbf{P}(\mathcal{A}(g) \in S(E; g), \mathcal{A}(g') \in S(E; g')) &= \mathbf{E}[\mathbf{P}(\mathcal{A}(g) \in S(E; g), \mathcal{A}(g') \in S(E; g') \mid \tilde{g})] \\ &= \mathbf{E}[\mathbf{P}(\mathcal{A}(g) \in S(E; g) \mid \tilde{g})^2] \\ &\geq \mathbf{E}[\mathbf{P}(\mathcal{A}(g) \in S(E; g) \mid \tilde{g})]^2 = p_{\text{solve}}^2, \end{aligned}$$

where the last line follows by Jensen's inequality. □

Moreover, let us define  $p_{\text{unstable}}$  and  $p_{\text{cond}}(x)$  by

$$\mathbf{P}(S_{\text{stable}}) = 1 - p_{\text{unstable}}$$

and

$$\mathbf{P}(S_{\text{cond}}(x)) = 1 - p_{\text{cond}}(x).$$

In addition, define

$$p_{\text{cond}} := \max_{x \in \Sigma_N} p_{\text{cond}}(x). \quad (3.3)$$

By [Lemma 3.1](#), we know that for  $x := \mathcal{A}(g)$

$$\mathbf{P}(S_{\text{solve}}) + \mathbf{P}(S_{\text{stable}}) + \mathbf{P}(S_{\text{cond}}(x)) \leq 2,$$

and rearranging, we get that

$$p_{\text{solve}}^2 \leq p_{\text{unstable}} + p_{\text{cond}} \quad (3.4)$$

Our proof follows by showing that, for appropriate choices of  $\varepsilon$  and  $\eta$ , depending on  $D, E$ , and  $N$ , we have  $p_{\text{unstable}}, p_{\text{cond}} = o(1)$ .

### 3.1.1. Conditional obstruction

To this end, we start by bounding the size of neighborhoods on  $\Sigma_N$ .

**Proposition 3.3** (Hypercube Neighborhood Size). *Fix  $x \in \Sigma_N$ , and let  $\eta \leq \frac{1}{2}$ . Then the number of  $x'$  within distance  $2\sqrt{\eta N}$  of  $x$  is*

$$\left| \left\{ x' \in \Sigma_N \mid \|x - x'\| \leq 2\eta\sqrt{N} \right\} \right| \leq \exp_2(2\eta \log_2(1/\eta)N)$$

*Proof:* Let  $k$  be the number of coordinates which differ between  $x$  and  $x'$  (i.e. the Hamming distance). We have  $\|x - x'\|^2 = 4k$ , so  $\|x - x'\| \leq 2\sqrt{\eta N}$  iff  $k \leq N\eta$ . Moreover, for  $\eta \leq \frac{1}{2}$ ,  $k \leq \frac{N}{2}$ . Thus, by [Lemma 1.3](#), we get

$$\sum_{k \leq N\eta} \binom{N}{k} \leq \exp_2(Nh(\eta)) \leq \exp_2(2\eta \log_2(1/\eta)N). \quad \square$$

This shows that within a small neighborhood of any  $x \in \Sigma_N$ , the number of nearby points is exponential in  $N$ , with a more nontrivial dependence on  $\eta$ . The question is how many of these are solutions to a correlated/resampled instance.

First, we consider the conditional probability of any fixed  $x \in \Sigma_N$  solving a  $(1 - \varepsilon)$ -correlated problem instance  $g'$ , given  $g$ :

Putting together these bounds, we conclude the following fundamental estimates of  $p_{\text{cond}}$ , i.e. of the failure of our conditional landscape obstruction.

**Proposition 3.4** (Fundamental Estimate – Correlated Case). *Assume that  $(g, g')$  are  $(1 - \varepsilon)$ -correlated standard Normal vectors. Then, for any  $x$  only depending on  $g$ ,*

$$p_{\text{cond}}(x) := \mathbf{P}\left(\exists x' \in S(E; g') \text{ such that } \|x - x'\| \leq 2\sqrt{\eta N}\right) \leq \exp_2\left(-E + -\frac{1}{2} \log_2(\varepsilon) + 2\eta \log_2\left(\frac{1}{\eta}\right)N + O(\log_2 N)\right).$$

*Proof:* For each  $x'$  within distance  $2\sqrt{\eta N}$  of  $x$ , let

$$I_{x'} := I(x \in S(E; g')) = I(|\langle g', x' \rangle| \leq 2^{-E}),$$

so that

$$p_{\text{cond}}(x) = \mathbf{E}\left[\sum_{\|x-x'\| \leq 2\sqrt{\eta N}} \mathbf{E}[I_{x'} \mid g]\right] = \mathbf{E}\left[\sum_{\|x-x'\| \leq 2\sqrt{\eta N}} \mathbf{P}(|\langle g', x' \rangle| \leq 2^{-E} \mid g)\right] \quad (3.5)$$

To bound the inner probability, let  $\tilde{g}$  be a Normal vector independent to  $g$  and set  $p = 1 - \varepsilon$ . Observe that  $g'$  can be represented as  $g' = pg + \sqrt{1 - p^2}\tilde{g}$ , so,  $\langle g', x' \rangle = p\langle g, x' \rangle + \sqrt{1 - p^2}\langle \tilde{g}, x' \rangle$ . We know  $\langle \tilde{g}, x' \rangle \sim \mathcal{N}(0, N)$ , so conditional on  $g$ , we have  $\langle g', x' \rangle \mid g \sim \mathcal{N}(p\langle g, x' \rangle, (1 - p^2)N)$ . Note that  $\langle g', x' \rangle$  is nondegenerate for  $(1 - p^2)N \geq \varepsilon N > 0$ ; thus by [Lemma 1.2](#), we get

$$\mathbf{P}(|\langle g', x' \rangle| \leq 2^{-E} \mid g) \leq \exp_2\left(-E - \frac{1}{2} \log_2(\varepsilon) + O(\log_2 N)\right). \quad (3.6)$$

Finally, by [Proposition 3.3](#), the number of terms in the sum (3.5) is bounded by  $\exp_2(2\eta \log_2(1/\eta)N)$ , so given that (3.6) is independent of  $g$ , we conclude that

$$p_{\text{cond}}(x) \leq \exp_2\left(-E + -\frac{1}{2} \log_2(\varepsilon) + 2\eta \log_2\left(\frac{1}{\eta}\right)N + O(\log_2 N)\right). \quad \square$$

Note for instance that  $\varepsilon$  can be exponentially small in  $E$  (e.g.  $\varepsilon = \exp_2(-E/10)$ ), which for the case  $E = \Theta(N)$  implies  $\varepsilon$  can be exponentially small in  $N$ .

### 3.1.2. Hardness proof

Throughout this section, we let  $E = \delta N$  for some  $\delta > 0$ , and aim to rule out the existence of low degree algorithms achieving these energy levels. This corresponds to the statistically optimal

regime, as per [Kar+86]. These results roughly correspond to those in [GK21, Thm. 3.2], although their result applies to stable algorithms more generally, and does not show a low degree hardness-type result.

**Theorem 3.5.** *Let  $\delta > 0$  and  $E = \delta N$ , and let  $g, g'$  be  $(1 - \varepsilon)$ -correlated standard Normal r.v.s. Then, for any degree  $D \leq o(\exp_2(\delta N/2))$  polynomial algorithm  $\mathcal{A}$  (with  $\mathbf{E}\|\mathcal{A}(g)\|^2 \leq CN$ ), there exist  $\varepsilon, \eta > 0$  such that  $p_{\text{solve}} = o(1)$ .*

*Proof:* Recall from (3.4) that it suffices to show that both  $p_{\text{cond}}$  and  $p_{\text{unstable}}$  go to zero. Further, by (3.3) and Proposition 3.4, we have

$$p_{\text{cond}} \leq \exp_2\left(-E - \frac{1}{2} \log_2(\varepsilon) + 2\eta \log_2\left(\frac{1}{\eta}\right)N + O(\log_2 N)\right)$$

Thus, first choose  $\eta$  sufficiently small, such that  $2\eta \log_2(1/\eta) < \delta/4$  – this results in  $\eta$  being independent of  $N$ . Next, choose  $\varepsilon = \exp_2(-\delta N/2)$ . This gives

$$p_{\text{cond}} \leq \exp_2\left(-\delta N - \frac{1}{2}\left(-\frac{\delta N}{2}\right) + \frac{\delta N}{4} + O(\log_2 N)\right) = \exp_2\left(-\frac{\delta N}{2} + O(\log_2 N)\right) = o(1).$$

Moreover, for  $D \leq o(\exp_2(\delta N/2))$ , we get by Proposition 2.20 that

$$p_{\text{unstable}} \leq \frac{CD\varepsilon}{2\eta} \asymp \frac{D\varepsilon}{\eta} \asymp D \cdot \exp_2\left(-\frac{\delta N}{2}\right) \rightarrow 0.$$

By (3.4), we conclude that  $p_{\text{solve}}^2 \leq p_{\text{unstable}} + p_{\text{cond}} = o(1)$ , thus completing the proof.  $\square$

Remark that this implies poly algs are really bad, requiring double exponential time. meow.

Next, we let  $\omega(\log_2 N) \leq E \leq o(N)$ .

**Theorem 3.6.** *Let  $\omega(\log_2^2 N) \leq E \leq o(N)$ , and let  $g, g'$  be  $(1 - \varepsilon)$ -correlated standard Normal r.v.s. Then, for any polynomial algorithm  $\mathcal{A}$  with degree  $D \leq o(\exp_2(E/4))$  (and with  $\mathbf{E}\|\mathcal{A}(g)\|^2 \leq CN$ ), there exist  $\varepsilon, \eta > 0$  such that  $p_{\text{solve}} = o(1)$ .*

*Proof:* As in Theorem 3.5, it suffices to show that both  $p_{\text{cond}}$  and  $p_{\text{unstable}}$  go to zero. To do this, we choose

$$\varepsilon = \exp_2\left(-\frac{E}{2}\right), \quad \eta = \frac{E}{16N \log_2(N/E)}. \quad (3.7)$$

With this choice of  $\eta$ , some simple analysis shows that for  $\frac{E}{N} \ll 1$ , we have that

$$\frac{E}{4N} > 2\eta \log_2(1/\eta).$$

Thus, by Proposition 3.4, we get

$$\begin{aligned} p_{\text{cond}} &\leq \exp_2\left(-E - \frac{1}{2} \log_2(\varepsilon) + 2\eta \log_2\left(\frac{1}{\eta}\right)N + O(\log_2 N)\right) \\ &\leq \exp_2\left(-E + \frac{E}{4} + \frac{E}{4} + O(\log_2 N)\right) = \exp_2\left(-\frac{E}{2} + O(\log_2 N)\right) = o(1). \end{aligned}$$

where the last equality follows as  $E \gg \log_2 N$ . Then, by Proposition 2.20, the choice of  $D = o(\exp_2(E/4))$  gives

$$\begin{aligned}
p_{\text{unstable}} &\leq \frac{CD\varepsilon}{2\eta} \asymp \frac{D\varepsilon N \log_2(N/E)}{E} \\
&= \frac{D \exp_2(-E/2) N \log_2(N/E)}{E} \leq \frac{D \exp_2(-E/2) N \log_2(N)}{E} \\
&\leq D \exp_2\left(-\frac{E}{2} + \log_2(N) + \log_2 \log_2(N) - \log_2(E)\right) \\
&\leq \exp_2\left(-\frac{E}{4} + \log_2(N) + \log_2 \log_2(N) - \log_2(E)\right) = o(1),
\end{aligned}$$

again, as  $E \gg \log_2 N$ . Ergo, by (3.4),  $p_{\text{solve}}^2 \leq p_{\text{unstable}} + p_{\text{cond}} = o(1)$ , as desired.  $\square$

### 3.2. Proof for Low Coordinate-Degree Algorithms

Next, let  $\mathcal{A}$  have coordinate degree  $D$ . We now want  $g, g'$  to be  $(1 - \varepsilon)$ -resampled standard Normals. We define the following events.

$$\begin{aligned}
S_{\text{diff}} &= \{g \neq g'\} \\
S_{\text{solve}} &= \{\mathcal{A}(g) \in S(E; g), \mathcal{A}(g') \in S(E; g')\} \\
S_{\text{stable}} &= \{\|\mathcal{A}(g) - \mathcal{A}(g')\| \leq 2\sqrt{\eta N}\} \\
S_{\text{cond}}(x) &= \left\{ \nexists x' \in S(E; g') \text{ such that } \right. \\
&\quad \left. \|x - x'\| \leq 2\sqrt{\eta N} \right\}
\end{aligned} \tag{3.8}$$

Note that these are the same events as (3.1), along with an event to ensure that  $g'$  is nontrivially resampled from  $g$ .

**Lemma 3.7.** *For  $g, g'$  being  $(1 - \varepsilon)$ -resampled,  $\mathbf{P}(S_{\text{diff}}) = 1 - (1 - \varepsilon)^N \leq \varepsilon N$ .*

*Proof:* Follows from calculation:

$$\mathbf{P}(g = g') = \prod_{i=1}^N \mathbf{P}(g_i = g_{i'}) = (1 - \varepsilon)^N \quad \square$$

**Lemma 3.8.** *We have, for  $x = \mathcal{A}(g)$ ,  $S_{\text{diff}} \cap S_{\text{solve}} \cap S_{\text{stable}} \cap S_{\text{cond}}(x) = \emptyset$ .*

*Proof:* This follows from Lemma 3.1, noting that the proof did not use that  $g \neq g'$  almost surely.  $\square$

We should interpret this as saying  $S_{\text{solve}}, S_{\text{stable}}, S_{\text{cond}}$  are all mutually exclusive, conditional on  $g \neq g'$ .

The previous definition of  $p_{\text{solve}}$  in (3.2) remains valid. In particular, we have

**Lemma 3.9.** *For  $g, g'$  being  $(1 - \varepsilon)$ -resampled, we have*

$$\mathbf{P}(S_{\text{solve}}) = \mathbf{P}(\mathcal{A}(g) \in S(E; g), \mathcal{A}(g') \in S(E; g')) \geq p_{\text{solve}}^2$$

*Proof:* Let  $\tilde{g}, g^{(0)}, g^{(1)}$  be three i.i.d. copies of  $g$ , and let  $J$  be a random subset of  $[N]$  where each coordinate is included with probability  $1 - \varepsilon$ . Then,  $g, g'$  are jointly representable as

$$g = \tilde{g}_J + g_{[N] \setminus J}^{(0)}, \quad g' = \tilde{g}_J + g_{[N] \setminus J}^{(1)}$$

where  $\tilde{g}_J$  denotes the vector with coordinates  $\tilde{g}_i$  if  $i \in J$  and 0 else. Thus  $g$  and  $g'$  are conditionally independent, given  $(\tilde{g}, J)$ , and the proof concludes as in Lemma 3.2.  $\square$

Let us slightly redefine  $p_{\text{unstable}}$  and  $p_{\text{cond}}(x)$  by

$$\mathbf{P}(S_{\text{stable}} | S_{\text{diff}}) = 1 - p_{\text{unstable}}$$

and

$$\mathbf{P}(S_{\text{cond}}(x) | S_{\text{diff}}) = 1 - p_{\text{cond}}(x).$$

This is necessary as  $p_{\text{unstable}}, p_{\text{cond}}(x) = 1$  given  $g = g'$ . Note however that for  $\mathbf{P}(S_{\text{diff}}) = 1$ , which is always the case for  $g, g'$  being  $(1 - \varepsilon)$ -correlated, these definitions agree with what we had in (3.4). Again, we can define  $p_{\text{cond}}$  via (3.3) to be the maximum of  $p_{\text{cond}}(x)$  over  $\Sigma_N$ .

Now, by Lemma 3.8, we know that for  $x = \mathcal{A}(g)$ ,  $\mathbf{P}(S_{\text{solve}}, S_{\text{stable}}, S_{\text{cond}}(x) | S_{\text{diff}}) = 0$ , so

$$\mathbf{P}(S_{\text{solve}} | S_{\text{diff}}) + \mathbf{P}(S_{\text{stable}} | S_{\text{diff}}) + \mathbf{P}(S_{\text{cond}}(x) | S_{\text{diff}}) \leq 2.$$

Thus, rearranging and multiplying by  $\mathbf{P}(S_{\text{diff}})$  (so as to apply Lemma 3.9) gives

$$p_{\text{solve}}^2 \leq \mathbf{P}(S_{\text{diff}}) \cdot (p_{\text{unstable}} + p_{\text{cond}}) \quad (3.9)$$

As before, our proof follows by showing that, for appropriate choices of  $\varepsilon$  and  $\eta$ , depending on  $D, E$ , and  $N$ , that  $p_{\text{unstable}}, p_{\text{cond}} = o(1)$ . However, this also requires us to choose  $\varepsilon \gg \frac{1}{N}$ , so as to ensure that  $g \neq g'$ , as otherwise  $p_{\text{unstable}}, p_{\text{cond}}$  would be too large. This restriction on  $\varepsilon$  effectively limits us from showing hardness for algorithms with degree larger than  $o(N)$ , as we will see shortly.

First, we bound the same probability of a fixed  $x$  solving a resampled instance. Here, we need to condition on the resampled instance being different, as otherwise the probability in question can be made to be 1 if  $x$  was chosen to solve  $g$ .

**Proposition 3.10** (Fundamental Estimate – Resampled Case). *Assume that  $(g, g')$  are  $(1 - \varepsilon)$ -resampled standard Normal vectors. Then, for any  $x$  only depending on  $g$ ,*

$$p_{\text{cond}}(x) = \mathbf{P}\left(\exists x' \in S(E; g') \text{ such that } \left\| \begin{array}{l} x - x' \\ \|x - x'\| \leq 2\sqrt{\eta N} \end{array} \right\| \middle| g \neq g' \right) \leq \exp_2\left(-E + 2\eta \log_2\left(\frac{1}{\eta}\right)N + O(1)\right).$$

*Proof:* We follow the setup of proof of Proposition 3.4. For each  $x'$  within distance  $2\sqrt{\eta N}$  of  $x$ , let

$$I_{x'} := I(x \in S(E; g')) = I(|\langle g', x' \rangle| \leq 2^{-E}),$$

so that

$$\begin{aligned} p_{\text{cond}}(x) &= \mathbf{E}\left[\sum_{\|x-x'\| \leq 2\sqrt{\eta N}} \mathbf{E}[I_{x'} | g, g \neq g']\right] \\ &= \mathbf{E}\left[\sum_{\|x-x'\| \leq 2\sqrt{\eta N}} \mathbf{P}(|\langle g', x' \rangle| \leq 2^{-E} | g, g \neq g') \middle| g \neq g'\right] \end{aligned} \quad (3.10)$$

Again, to bound the inner probability, let  $\tilde{g}$  be a Normal vector independent to  $g$ . Let  $J \subseteq [N]$  be a random subset where each  $i \in J$  with probability  $1 - \varepsilon$ , independently, so  $g'$  can be represented as  $g' = g_J + \tilde{g}_{[N] \setminus J}$ . For a fixed  $x'$  and conditional on  $(g, J)$ , we know that  $\langle \tilde{g}_{[N] \setminus J}, x' \rangle$  is  $\mathcal{N}(0, N - |J|)$  and  $\langle g_J, x' \rangle$  is deterministic. That is,

$$\langle g', x' \rangle | (g, J) \sim \mathcal{N}(\langle g_J, x' \rangle, N - |J|).$$



Conditioning on  $g \neq g'$  is equivalent to conditioning on  $|J| < N$ , so  $N - |J| \geq 1$ . Thus, applying [Lemma 1.2](#) and integrating over all valid choices of  $J$  gives

$$\mathbf{P}(|\langle g', x' \rangle| \leq 2^{-E} \mid g, g \neq g') \leq \exp_2(-E + O(1)). \quad (3.11)$$

By [Proposition 3.3](#), the number of terms in the sum [\(3.10\)](#) is bounded by  $\exp_2(2\eta \log_2(1/\eta)N)$ , so summing [\(3.11\)](#) allows us to conclude that

$$p_{\text{cond}}(x) \leq \exp_2\left(-E + 2\eta \log_2\left(\frac{1}{\eta}\right)N + O(1)\right). \quad \square$$

Note that in contrast to [Proposition 3.4](#), this bound doesn't involve  $\varepsilon$  at all, but the condition  $g \neq g'$  requires  $\varepsilon = \omega(1/N)$  to hold almost surely, by [Lemma 3.7](#).

With this, we can show strong low degree hardness for low coordinate degree algorithms at energy levels  $E = \Theta(N)$ .

**Theorem 3.11.** *Let  $\delta > 0$  and  $E = \delta N$ , and let  $g, g'$  be  $(1 - \varepsilon)$ -resampled standard Normal r.v.s. Then, for any algorithm  $\mathcal{A}$  with coordinate degree  $D \leq o(N)$  and  $\mathbf{E}\|\mathcal{A}(g)\|^2 \leq CN$ , there exist  $\varepsilon, \eta > 0$  such that  $p_{\text{solve}} = o(1)$ .*

*Proof:* Recall from [\(3.9\)](#) that it suffices to show that both  $p_{\text{cond}}$  and  $p_{\text{unstable}}$  go to zero, while  $\mathbf{P}(S_{\text{diff}}) \approx 1$ . By [Lemma 3.7](#), the latter condition is satisfied for  $\varepsilon = \omega(1/N)$ . Thus, pick

$$\varepsilon = \frac{\log_2(N/D)}{N}. \quad (3.12)$$

Note that this satisfies  $N\varepsilon = \log_2(N/D) \gg 1$ , for  $D = o(N)$ . Next, choose  $\eta$  such that  $2\eta \log_2(1/\eta) < \delta/4$  – again, this results in  $\eta$  being independent of  $N$ . As the bound in [Proposition 3.10](#) is independent of  $x$ , we get

$$p_{\text{cond}} \leq \exp_2\left(-\delta N + \frac{\delta N}{4} + O(1)\right) = o(1).$$

Moreover, for  $D \leq o(N)$ , [Proposition 2.20](#) now gives

$$p_{\text{unstable}} \leq \frac{CD\varepsilon}{2\eta} \asymp D \cdot \frac{\log_2(N/D)}{N} \rightarrow 0,$$

as  $x \log_2(1/x) \rightarrow 0$  for  $x \ll 1$ . By [\(3.9\)](#), we conclude that  $p_{\text{solve}}^2 \leq \mathbf{P}(S_{\text{diff}}) \cdot (p_{\text{unstable}} + p_{\text{cond}}) = o(1)$ , thus completing the proof.  $\square$

**Sublinear case.** We now consider sublinear energy levels, ranging from  $(\log_2 N)^2 \ll E \ll N$ . Note here that we have to increase our lower bound to  $(\log_2 N)^2$  as opposed to  $\log_2 N$  from [Theorem 3.6](#), to address the requirement that  $\varepsilon = \omega(1/N)$ .

**Theorem 3.12.** *Let  $(\log_2 N)^2 \leq E \leq o(N)$ , and let  $g, g'$  be  $(1 - \varepsilon)$ -resampled standard Normal r.v.s. Then, for any algorithm  $\mathcal{A}$  with coordinate degree  $D \leq o(E/(\log_2 N)^2)$  and  $\mathbf{E}\|\mathcal{A}(g)\|^2 \leq CN$ , there exist  $\varepsilon, \eta > 0$  such that  $p_{\text{solve}} = o(1)$ .*

*Proof:* As in [Theorem 3.11](#), choose  $\varepsilon$  as in [\(3.12\)](#), so that  $\varepsilon = \omega(1/N)$  and  $\mathbf{P}(S_{\text{diff}}) \approx 1$ . However, to account for  $E \leq o(N)$ , we need to adjust  $\eta$  as  $N \rightarrow \infty$ . Thus, choose  $\eta$  as in [\(3.7\)](#): this ensures that  $\varepsilon = \omega(1/N)$  and that  $2\eta \log_2(1/\eta) < E/4N$  for  $E \ll N$ . By [Proposition 3.10](#), this guarantees that

$$p_{\text{cond}} \leq \exp_2\left(-E + 2\eta \log_2\left(\frac{1}{\eta}\right)N + O(1)\right) \leq \exp_2\left(-\frac{3E}{4} + O(1)\right) = o(1).$$

The low coordinate degree requirement  $D \leq o(E/(\log_2 N)^2)$  plus [Proposition 2.20](#) now gives

$$\begin{aligned} p_{\text{unstable}} &\leq \frac{CD\varepsilon}{2\eta} \asymp \frac{D\varepsilon N \log_2(N/E)}{E} \\ &= \frac{D \log_2(N/D) \log_2(N/E)}{E} \leq \frac{D(\log_2 N)^2}{E} = o(1). \end{aligned}$$

By [\(3.9\)](#),  $p_{\text{solve}}^2 \leq \mathbf{P}(S_{\text{diff}}) \cdot (p_{\text{unstable}} + p_{\text{cond}}) = o(1)$ , thus completing the proof.  $\square$

### 3.3. Summary of Parameters

Parameter	Meaning	Desired Direction	Intuition
$N$	Dimension	Large	Showing hardness <i>asymptotically</i> , want “bad behavior” to pop up in low dimensions.
$E$	Solution energy; want to find $x$ such that $ \langle g, x \rangle  \leq 2^{-E}$	Small	Smaller $E$ implies weaker solutions, and can consider full range of $1 \ll E \ll N$ . Know that $E > (\log^2 N)$ by <a href="#">[KK83]</a>
$D$	Algorithm degree (in either Efron-Stein sense or usual polynomial sense.)	Large	Higher degree means more complexity. Want to show even complex algorithms fail.
$\varepsilon$	Complement of correlation/resample probability; $(g, g')$ are $(1 - \varepsilon)$ -correlated.	Small	$\varepsilon$ is “distance” between $g, g'$ . Want to show that small changes in disorder lead to “breaking” of landscape.
$\eta$	Algorithm instability; $\mathcal{A}$ is stable if $\ \mathcal{A}(g) - \mathcal{A}(g')\  \leq 2\sqrt{\eta N}$ , for $(g, g')$ close.	Large	Large $\eta$ indicates a more unstable algorithm; want to show that even weakly stable algorithms fail.

Table 1: Explanation of Parameters

## 4. Randomized Rounding Things

### 4.1. Solutions repel

Claim: no two adjacent points on  $\Sigma_N$  (or pairs within  $k = O(1)$  distance) which are both good solutions to the same problem. The reason is that this would require a subset of  $k$  signed coordinates  $\pm g_{\{i_1\}}, \dots, \pm g_{\{i_k\}}$  to have small sum, and there are only  $2^k \binom{N}{k} = O(N^k)$  possibilities, each of which is centered Gaussian with variance at least 1, so the smallest is typically of order  $\Omega(N^{\{-k\}})$ .

**Proposition 4.1.** *Fix distinct points  $x, x' \in \Sigma_N$  with  $\|x - x'\| \leq 2\sqrt{k}$  (i.e.  $x, x'$  differ by  $k$  sign flips), for  $k = O(1)$ , and let  $g$  be any instance. Then,*

$$\mathbf{P}(x \in S(E; g) \text{ and } x' \in S(E; g)) \leq \exp(-E + O(1)).$$

*Proof:* For  $x \neq x'$ , let  $J \subseteq [N]$  denote the subset of coordinates in which  $x, x'$  differ, i.e.  $x_J \neq x'_J$ ; by assumption,  $|J| \leq k$ . In particular, we can write

$$x = x_{[N] \setminus J} + x_J, \quad x' = x_{[N] \setminus J} - x_J.$$

Thus, for a fixed  $x, x'$ , if

$$-2^{-E} \leq \langle g, x \rangle, \langle g, x' \rangle \leq 2^{-E},$$

we can expand this into

$$-2^{-E} \leq \langle g, x_{[N] \setminus J} \rangle + \langle g, x_J \rangle \leq 2^{-E},$$

$$-2^{-E} \leq \langle g, x_{[N] \setminus J} \rangle - \langle g, x_J \rangle \leq 2^{-E}.$$

Multiplying the lower equation by  $-1$  and adding the resulting inequalities gives

$$|\langle g, x_J \rangle| \leq 2^{-E},$$

$$\mathbf{P}(x \in S(E; g) \text{ and } x' \in S(E; g)) \leq \mathbf{P}(|\langle g, x_J \rangle| \leq 2^{-E}) \leq \exp(-E + O(1)). \quad \square$$

**Theorem 4.2** (Solutions Can't Be Close). *Let  $k = O(1)$  and  $E \gg \log N$ . Then for any instance  $g$ , with high probability there are no pairs of distinct solutions  $x, x' \in S(E; g)$  with  $\|x - x'\| \leq 2\sqrt{k}$ .*

*Proof:* Observe that by [Proposition 4.1](#), finding a pair of distinct solutions within distance  $2\sqrt{k}$  implies finding some subset of at most  $k$  coordinates  $J \subset [N]$  of  $g$  and  $|J|$  signs  $x_J$  such that  $|\langle g_J, x_J \rangle|$  is small. For any  $g$ , there are at most  $2^k = O(1)$  choices of signs and, by [\[Ver18, Exer. 0.0.5\]](#),

$$\sum_{1 \leq k' \leq k} \binom{N}{k'} \leq \left(\frac{eN}{k}\right)^k = O(N^k)$$

choices of such subsets. Union bounding [Proposition 4.1](#) over these  $O(N^k)$  choices, we get that

$$\mathbf{P} \left( \begin{array}{l} \exists x, x' \text{ s.t.} \\ \text{(I) } \|x - x'\| \leq 2\sqrt{k}, \\ \text{(II) } x, x' \in S(E; g) \end{array} \right) \leq \mathbf{P} \left( \begin{array}{l} \exists J \subset [N], x_J \in \{\pm 1\}^k \text{ s.t.} \\ \text{(I) } |J| \leq k, \\ \text{(II) } |\langle g_J, x_J \rangle| \leq \exp(-E) \end{array} \right) \leq \exp(-E + O(\log N)) = o(1). \quad \square$$

## 4.2. Proof of Randomized Hardness

Fix some  $k = O(1)$ . Let the event that the  $\mathbf{R}^N$ -valued  $\mathcal{A}$  succeeds on a random instance  $g$  be

$$S_{\text{close}} = \left\{ \begin{array}{l} \exists \hat{x} \in S(E; g) \text{ s.t.} \\ \mathcal{A}(g) \in B_{L^1}(\hat{x}, k) \end{array} \right\}$$

That is, we ask that  $\mathcal{A}$  output a point which is  $O(1)$ -close to a solution in  $L^1$ . For  $k$  fixed in advance, this implies we can convert  $\mathcal{A}$  into a  $\Sigma_N$ -valued algorithm by computing the energy of the  $O(1)$  corners near the output of  $\mathcal{A}(g)$  and minimizing over this set, which only takes  $O(N)$  additional operations.

#### 4.2.1. Solve case - rounding might help us

For this section, let  $\mathcal{A}$  be an  $\mathbf{R}^N$ -valued algorithm with coordinate degree  $D$ . For a constant  $k$  fixed in advance, we can consider the partially-defined algorithm  $\hat{\mathcal{A}}_k$  given by

$$\hat{\mathcal{A}}_k(g) := \underset{\substack{x' \in \Sigma_N \\ \|x' - \mathcal{A}(g)\| \leq 2\sqrt{k}}}{\operatorname{argmin}} |\langle g, x' \rangle| \quad (4.1)$$

Observe that  $S_{\text{close}}$ , as defined above, implies that  $\hat{\mathcal{A}}_k$  finds a solution for  $g$ .

Let  $g, g'$  be  $(1 - \varepsilon)$ -resampled standard Normal vectors. Define the following events:

$$\begin{aligned} S_{\text{diff}} &= \{g \neq g'\} \\ S_{\text{solve}} &= \{\hat{\mathcal{A}}_k(g) \in S(E; g), \hat{\mathcal{A}}_k(g') \in S(E; g')\} \\ S_{\text{stable}} &= \{\|\mathcal{A}(g) - \mathcal{A}(g')\| \leq 2\sqrt{\eta N}\} \\ S_{\text{cond}} &= \left\{ \nexists x' \in S(E; g') \text{ such that } \right. \\ &\quad \left. \|x - x'\| \leq 2\sqrt{\eta N} \right\} \end{aligned} \quad (4.2)$$

We can consider the partially defined algorithm  $\hat{\mathcal{A}}$  which, given an instance  $g$  such that  $S_{\text{close}}$  holds, sets  $\hat{\mathcal{A}}(g) := \hat{x} \in S(E; g)$  to be the (unique) nearby good solution. This function is unique as our process for choosing  $\hat{x}$  implies taking the one which maximizes energy, and two solutions have the same energy with low probability.

Stability analysis: for  $g, g'$  being  $(1 - \varepsilon)$ -resampled/correlated, it still holds that, conditional on  $\hat{\mathcal{A}}(g)$  and  $\hat{\mathcal{A}}(g')$  being defined, then

$$\mathbf{E} \|\hat{\mathcal{A}}(g) - \hat{\mathcal{A}}(g')\|^2 \leq \mathbf{E} 2 \|\hat{\mathcal{A}}(g) - \mathcal{A}(g)\|^2 + \mathbf{E} \|\mathcal{A}(g) - \mathcal{A}(g')\|^2 \leq 2O(1)^2 + 2C\varepsilon DN + O(1)$$

Thus,

$$p_{\text{unstable}} = \mathbf{P}(\|\hat{\mathcal{A}}(g) - \hat{\mathcal{A}}(g')\| \geq 2\sqrt{\eta N}) \leq \frac{C\varepsilon D}{4} + \frac{O(1)}{\eta N}$$

#### 4.3. No solve case – rounding is truly random.

$\langle g, x \rangle \sim \mathcal{N}(0, N)$

$$\mathbf{P}(|\langle g, x \rangle| \leq 2^{-E}) \leq \frac{2^{-E+1}}{\sqrt{2\pi N}} = \exp\left(-E - \frac{1}{2} \log(N) + O(1)\right)$$

Follows by [Lemma 1.2](#). i.e., for  $E \gg \log N$ , any fixed  $x$  is not solution to random instance whp. By conditioning, this implies that if  $x$  is random and independent from  $g$ , then it's a solution with  $o(1)$  probability. Thus, if you truly had a random point, then it's almost certainly not a solution; that is, if your randomized rounding destroys your algorithms output, then whp you fail to find a solution.

Note: we should assume  $\log^2 N \ll E \leq N$ . Also, getting algorithms for polynomial discrepancy ( $n^{-1}$ , etc.) is basically trivial.

Let  $x := \mathcal{A}(g)$ . We write  $x^*$  for the coordinate-wise signs of  $x$ , i.e.

$$x_i^* := \begin{cases} +1 & x_i > 0, \\ -1 & x_i \leq 0. \end{cases}$$

Let  $\text{round}(x, \omega) : \mathbf{R}^N \times \Omega \rightarrow \Sigma_N$  denote any randomized rounding function, with randomness  $\omega$  independent of the input. We will often suppress the  $\omega$  in the notation, and treat  $\text{round}(x)$  as a  $\Sigma_N$ -valued random variable.

**Remark 4.3.** Meow  $\mathcal{A}^*$  fails and is still degree  $D$  lcdf, even if it stops being a polynomial. Bounds on  $D$  worsen, but only to what you'd expect.

Given such a randomized rounding function, we can describe it in the following way. Let  $p_1, \dots, p_N$  be the probabilities of  $\text{round}(x)_i \neq (x^*)_i$ . We assume without loss of generality that each  $p_i \leq \frac{1}{2}$ .

**Lemma 4.4.** Draw  $N$  coin flips  $I_i \sim \text{Bern}(2p_i)$  and  $NNN$  signs  $S_i \sim \text{Unif}\{\pm 1\}$ , all mutually independent, and define the random variable  $\tilde{x} \in \Sigma_N$  by

$$\tilde{x}_i := S_i I_i + (1 - I_i) x_i^*.$$

Then  $\tilde{x} \sim \text{round}(x)$ .

*Proof:* Conditioning on  $I_i$ , we can check that

$$\begin{aligned} \mathbf{P}(\tilde{x}_i \neq x_i) &= 2p_i \cdot \mathbf{P}(\tilde{x}_i = x_i \mid I_i = 1) + (1 - 2p_i) \cdot \mathbf{P}(\tilde{x}_i \neq x_i \mid I_i = 0) \\ &= 2p_i \cdot \frac{1}{2} + 0 = p_i. \end{aligned}$$

Thus,  $\tilde{x}$  has the same probability of equalling  $x^*$  in each coordinate as  $\text{round}(x)$  does, as claimed.  $\square$

By [Lemma 4.4](#), we can redefine  $\text{round}(x)$  to be  $\tilde{x}$  as constructed without loss of generality.

By [Lemma 4.4](#), it makes sense to define  $\tilde{\mathcal{A}}(g) := \text{round}(\mathcal{A}(g))$ , which is now (a)  $\Sigma_N$ -valued and (b) randomized only in the transition from  $\mathbf{R}^N$  to  $\Sigma_N$  (i.e., the rounding doesn't depend directly on  $g$ , only the output  $x = \mathcal{A}(g)$ ).

TODO: explain why we want to consider  $\tilde{\mathcal{A}}(g) = \text{round}(\mathcal{A}(g))$

**Definition 4.5.** Given  $\mathcal{A}$ , we can define two  $\Sigma_N$ -valued algorithms. Let  $x := \mathcal{A}(g)$ . Then

$$\mathcal{A}^*(g)_i := 2I(x_i > 0) - 1 \quad \text{and} \quad \tilde{\mathcal{A}}(g) := \text{round}(\mathcal{A}(g)).$$

Note that if  $\mathcal{A}$  has coordinate degree  $D$ , then  $\mathcal{A}^*$  also has coordinate degree  $D$ . As a deterministic  $\Sigma_N$ -valued algorithm, strong low degree hardness as proved in the previous section applies.

However, we still want to show that  $\tilde{x} := \tilde{\mathcal{A}}(g)$  fails to solve  $g$  with high probability. Intuitively, the landscape of solutions is so fractured that any rounding procedure which produces results different from  $x^*$  will effectively be selecting a random point, and because any fixed point has such a low probability of being a solution, hardness still follows.

**Lemma 4.6.** Suppose  $p_1, \dots, p_N$  are the probabilities of  $\tilde{x}$  and  $x^*$  differing in each coordinate. Assume  $\sum_i p_i = \omega(1)$ . Then  $\tilde{x} \neq x^*$  with high probability.

*Proof:* Observe that as each coordinate is rounded independently, we can compute

$$\mathbf{P}(\tilde{x} = x^*) = \prod_i (1 - p_i) = \exp\left(\sum_i \log(1 - p_i)\right) \leq \exp\left(-\sum_i p_i\right).$$

For  $\sum_i p_i = \omega(1)$ , we get  $\mathbf{P}(\tilde{x} = x^*) \leq e^{-\omega(1)} = o(1)$ , as claimed.  $\square$

- Flip coin with prob  $2p_i$
- If heads, randomize  $\tilde{x}$  with probability  $\frac{1}{2}$ ; if tails keep coord.
- Then,

$$\mathbf{P}(\tilde{x}_i = x_i^*) = 2p_i * \frac{1}{2} + (1 - 2p_i) * 0 = p_i.$$

Let  $K$  be a large constant, and let  $S \subseteq [N]$  denote the coordinates of the first  $K$  coordinates to be randomized. Then, we can condition on  $x_{[N] \setminus S}$ , given which  $\tilde{x}$  is a uniformly random point within a  $K$ -dimensional subcube of  $\Sigma_N$ . By [Theorem 4.2](#), at most one of these points is in  $S(E; g)$ , so the probability of  $\tilde{x}$  being a solution is at most  $2^{-K}$ .

$$\mathbf{P}(|\langle g, \tilde{x} \rangle| \leq 2^{-E} \mid g, x_{[N] \setminus S}) \leq \exp_2\left(-E - \frac{1}{2} \log |S| + O(1)\right).$$

First, assume  $\neg S_{\text{solve}}$ . In that case,  $x := \mathcal{A}(g)$  is far from any solution, and randomized rounding fails with high probability. That is,  $\mathbf{P}(\tilde{x} \in S(E; g)) = o(1)$

To see this, let  $x^*$  be the point on  $\Sigma_N$  closest to  $x$  (in principle, this is the vector which is coordinatewise  $\pm 1$  depending on whether each coordinate of  $x$  is positive or negative).

Let  $p_1, \dots, p_N$  be the probability of  $\tilde{x}$  disagreeing with  $x_*$  on each coordinate.

- Require that no  $p_i = 0$  (i.e. all coordinates have a chance to disagree)
- Then, for  $x \in [0, 1)$ , exists universal constant  $C$  such that  $-\log(1 - x) \leq Cx$ .
- Probability that  $\tilde{x} = x_*$  is

$$\prod (1 - p_i) = \exp\left(\sum \log(1 - p_i)\right) \leq \exp\left(-C \sum p_i\right).$$

- If we assume that randomized rounding changes solution, then that requires this probability to go to zero, i.e.  $\sum p_i = \omega(1)$ .

In this case, consider following construction. For each  $1 \leq i \leq N$ , flip an independent coin  $H_i$  which lands heads with probability  $2p_i$ , and keep all the heads.

- By Second Borel-Cantelli lemma,  $E_i = \{H_i \text{ heads}\}$ , the  $E_i$  are independent, and

$$\sum_{i \geq 0} \mathbf{P}(E_i) = \sum 2p_i = \infty,$$

so  $\mathbf{P}(\limsup E_i) = 1$ , i.e., get heads infinitely often.

- That is, number of heads is  $\omega(1)$ .
- For every coin with a head, round  $x^*$  by changing coord with probability  $\frac{1}{2}$ ; if tails keep coord.
- That is, randomized rounding done by choosing random set of  $\omega(1)$  coordinates and resampling them as iid Uniform in  $\{-1, 1\}$ .

Because number of coordinates being changed is  $\omega(1)$ , can pick large constant  $K$  such that whp there are at least  $K$  coordinates being changed.

- Only randomize first  $K$  heads, condition on the others. Thus,  $\tilde{x}$  has  $K$  i.i.d., random coordinates.
- $\tilde{x}$  is random point in  $K$ -dimensional subcube, but by [Proposition 4.1](#), only one out of the  $2^K$  such points is a good solution.

Thus, probability for rounding to give a good solution is

- Randomized rounding in artificially difficult way. (I.e. this multistage procedure accomplishes the same thing as randomized rounding.)
- Now, randomized rounding is done by choosing a random set of  $\omega(1)$  coordinates, and making those iid Uniform in  $\{-1, 1\}$ .
- Pick a large constant (e.g. 100), and only randomize the first 100 heads, and condition on the others (i.e. choose the others arbitrarily). Note that since  $100 \geq \omega(1)$ , there are at least 100 heads whp.
- Now rounded point is random point in 100 dimensional subcube, but at most one of them is a good solution by the claim at the top of the page.
- Combining, the probability for rounding to give a good solution is at most  $o(1) + 2^{\{-100\}}$ . Since 100 is arbitrary, this is  $o(1)$  by sending parameters to 0 and/or infinity in the right order.

Let  $\tilde{x}$  be the point on  $\Sigma_N$  after randomized rounding.

Moreover, let  $\tilde{x}$  be the point  
consider the case where

What could go wrong? It could be that all deterministic  $\Sigma_N$  algorithms fail, but an algorithm which is allowed to output a continuous point and then round it (potentially in a randomized way) could succeed. Such an algorithm would have to do more than just deterministically round, because

Let  $p_{\text{solve}}$  be probability that  $\mathcal{A}$  outputs a point  $x$  which is  $k$  close in  $L^1$  to a vertex and a good solution  $x^*$  exists in nbhd of that corner. Because solutions repel, such  $x^*$  is unique, so only hope is that  $x$  gets rounded to  $x^*$  with reasonable probability.

(Weaker than traditional solution case).

Then, either  $\tilde{\mathcal{A}}$  finds this good solution with reasonable probability, or

Argument:

- Algorithm  $\mathcal{A}$  which is deterministic  $\mathbf{R}^N \rightarrow \mathbf{R}^N$ . Suppose  $\tilde{\mathcal{A}} : \mathbf{R}^N \rightarrow \Sigma^N$  is  $\mathcal{A}$  passed through any nontrivial rounding procedure.
- Say  $\mathcal{A}(g) = x$ . Let  $x^* \in \Sigma_N$  be closest point to  $x$ , and  $\tilde{x} = \tilde{\mathcal{A}}(g)$  be the rounding of  $x$ .
- If  $x^* = \tilde{x}$ , we're done.
- Else, we know that only one of  $x^*$  and  $\tilde{g}$  are a good solution, by [Theorem 4.2](#). It's  $x^*$  with probability  $p_{\text{solve}}$ .
  - Here, we're assuming randomized rounding changes at most some  $O(1)$  amount of coordinates.
- 

Thus, rounding would destroy the solution.

## 5. Literature Review

### 5.1. Applications of NPP

[\[Tsa92\]](#)

- Application of NPP to process scheduling

[\[KAK19\]](#)

- NPP for randomized control testing

## 5.2. Algorithms for solving real-world cases

[Joh+89]

- Overview of simulated annealing

[Joh+91]

- Failure of sim annealing for NPP

[SFD96]

- Several order of magnitude improvement over annealing, but with greater computation time, by modifying differencing heuristic.

[Koj10]

- Using linear programming solver for NPP.

[SBD21]

- Memetic algebraic diffeq for NPP
- Evolutionary algorithm
- Experimental calculation

### 5.2.1. Quantum algorithms

[Asp+20]

- Quantum hardware for solving NPP.

[Wen+23]

- Experimental solution using quantum computing.

## 5.3. Algorithms for average time case

[Kor95]

- Initial work on CKK

[Kor98]

- Extend KK to complete algorithm; will get better

[Lue87]

- PDM heuristic fails

[Yak96]

- Showed LDM achieves  $n^{\log(n)}$  performance despite being a simple heuristic, for uniform instance.

## 5.4. Statistical to Computational Gaps

## 5.5. OGP Examples

### 5.5.1. Hardness Examples

[Jer92]

- MCMC can't find cliques; algorithm failure

[ZK16]

- Inference using algorithms - overview of pedagogy using statistical physics framework.

## 5.6. Low-Degree Heuristic

[KWB19]



- Kunisky, Wein, Banderia - discussion on low degree heuristic for hypothesis testing.

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[AC08]

- Phase transitions for random constraint satisfaction
- S2C gap for random constraint satisfaction

[AR06]

- Random constraint satisfaction

[Add+17]

- Local algorithms for SK.

[Ali+05]

- NPP as unconstrained quadratic binary problem, and efficient metaheuristic algorithm.

[AFG96]

- Randomized differencing heuristic for NPP; computational simulations.

[APZ19]

- OGP for SBPs.

[BPW18]

- Computational gaps in terms of signal-to-noise and S2C for Bayesian inference.

[Ban10]

- Generalized version of NPP with multiple sets

[Bar+16]

- Sum of squares bound

[BFM04]

- REM approach to NPP (Derrida model)

[BGT13]

- S2C for random graphs

[BR13]

- S2C for sparse PCA

[Bis+24]

- Generalization of NPP allowing some numbers to be split up

[BM08]

- Fix constant  $\alpha$  in KK algorithm discrepancy

[BCP01]

- Phase transitions for integral NPP

[BB19]

- Strong hardness for sparse PCA

[BBH19]

- S2C in sparse problems via planted clique
- Spiritually similar to conditional landscape obstructions, in that you fix one instance and study how it changes??

[CV13]

- Random polytopes

[Che+19]

- Local algorithms fail for max-cut

[CGJ78]

- Motivation for bin packing application to multiprocessor scheduling

[CL91]

- Book summarizing results of Karmarkar-Karp

[CE15]

- Independent sets in random graphs

[COY19]

- Evolutionary algorithms for NP hard NPP

[DM15]

- Sum of squares bounds

[DKS17]

- Estimation of Gaussian mixtures

[Fel+16]

- Planted clique detection

[FF98]

- Physics perspective for uniform instances

[GK21]

- prove OGP and stable hardness for NPP

[Gam+22]

- Barriers in Symmetric Binary Perceptron

[GK21]

- Average hardness of computing SK partition function

[GJW22]

- GJW22, low degree poly algorithms for Boolean circuits
- Lemma 3.4!

[GZ19]

- Phase transition in high-dim regression with binary coeffs

[GZ19]

- Planted clique: OGP for dense subgraphs

[GS13]

- Original OGP paper with Gamarnik-Sudan

[GJ19]

- OGP and AMP

[Gam21]

- Overview/summary of OGP

[GJS21]

- Principal submatrix recovery

[GS17]

- Local algorithms for NAE-k-SAT

[GZ19]

- Local search for sparse high-dim regression

[GJ79]

- Garey-Johnson book on NP hardness

[GW98]

- Phase transitions for NPP: performance of algorithms depends on their constrainedness.
- i.e. number of their solutions, e.g. if on state space of  $2^N$  states, this parameter is  $> 1$ , you're screwed

$$\kappa := 1 - \frac{\log(\# \text{ of solns})}{N}$$

[GW00]

- Phase transitions in simulated annealing

[Har+23]

- Application of NPP to randomized control testing

[HLS14]

- Local-global study of sparse graphs

[Hob+16]

- Hardness of number balancing (diff from NPP) by reduction to Minkowski/shortest vector.

[Hop+17]

- Signal recovery using sum-of-squares semidefinite programming
- Early suggestion of low degree heuristic

[Hop18]

- Hopkins thesis - introduced low degree hypothesis

[HSS15]

- Tensor PCA via sum of squares

[HS25]

- SLDH paper

[Kar+86]

- original analysis of hardness

[KK83]

- KK algorithm - time  $O(N \log N)$

[Kea98]

- Classification and learning in presence of noise

[Kiz23]

- Planted version of NPP, with explicit analysis + hardness results

[Kor09]

- CKK for larger sets

[Kot+17]

- Sum of squares for constraint satisfaction.

[KKS14]

- Heuristics for multidimensional NPP

[LW07]

- Independent sets in regular graphs of girth

[LRR17]

- Discrepancy coloring - poly time algorithm

[LM12]

- Constructive proof of discrepancy minimizing coloring

[MPW15]

- Sum of squares in planted case

[MH78]

- Using NPP for cryptography

[Mer03]

- Phase transition and overview of NPP

[Mer01]

- Physics notation as applied to NPP
- “Any heuristic that exploits a fraction of the domain, generating and evaluating a series of feasible configurations, cannot be significantly better than random search.” section 4.3

[MMZ05]

- Random k-SAT/CSP clustering

[Mic+03]

- Worst case performance of KK algorithm when attempting balanced Number Partitioning

[O'D21]

- Textbook on Boolean functions

[RSS19]

- High dimensional estimation for SoS - more SoS stuff

[RV17]

- Failure of local algorithm for independent sets in graphs

[Rot16]

- Partial coloring of sets (discrepancy min)

[TMR20]

- Multidimensional NPP - poly time algorithm achieving  $e^{-\Omega(\log^2 \frac{N}{m})}$ , for  $m = O(\sqrt{\log n})$ .

[VV25]

- Assuming hardness of shortest vector on lattice, derived polynomial-time hardness for NPP;
- Prove KK is tight; no poly time algorithm achieves energy of  $\Omega(\log^3 N)$

[Wei20]

- Low degree polynomial hardness for max independent set.

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