

# SLDH For NPP

## *Strong Low Degree Hardness for the Number Partitioning Problem*

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**Abstract.** Meow Lorem ipsum dolor sit amet, consectetur adipiscing elit, sed do eiusmod tempor incididunt ut labore et dolore magnam aliquam quaerat voluptatem. Ut enim aequale doleamus animo, cum corpore dolemus, fieri tamen permagna accessio potest, si aliquod aeternum et infinitum impendere malum nobis opinemur. Quod idem licet transferre in voluptatem, ut postea variari voluptas distinguere possit, augeri amplificarique non possit. At etiam Athenis, ut e patre audiebam facete et urbane Stoicos irridente, statua est in quo a nobis philosophia defensa et collaudata est, cum id, quod maxime placeat, facere possimus, omnis voluptas assumenda est, omnis dolor repellendus. Temporibus autem quibusdam et aut officiis debitis aut rerum necessitatibus saepe eveniet, ut et voluptates repudiandae sint et molestiae non recusandae. Itaque earum rerum defuturum, quas natura non depravata desiderat. Et quem ad me accedis, saluto: 'chaere,' inquam, 'Titel' lictores, turma omnis chorusque: 'chaere, Titel' hinc hostis mi Albucius, hinc inimicus. Sed iure Mucius. Ego autem mirari satis non queo unde hoc sit tam insolens domesticarum rerum fastidium. Non est omnino hic docendi locus; sed ita prorsus existimo, neque eum Torquatum, qui hoc primus cognomen invenerit, aut torquem illum hosti detraxisse, ut aliquam ex eo est consecutus? – Laudem et caritatem, quae sunt vitae.

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<sup>1</sup>Written under the joint supervision of Professor Mark Sellke and Professor Subhabrata Sen.

## Acknowledgments

Meow Lorem ipsum dolor sit amet, consectetur adipiscing elit, sed do eiusmod tempor incididunt ut labore et dolore magnam aliquam quaerat voluptatem. Ut enim aequale doleamus animo, cum corpore dolemus, fieri tamen permagna accessio potest, si aliquod aeternum et infinitum impendere malum nobis opinemur. Quod idem licet transferre in voluptatem, ut postea variari voluptas distinguique possit, augeri amplificarique non possit. At etiam Athenis, ut e patre audiebam facete et urbane Stoicos irridente, statua est in quo a nobis philosophia defensa et collaudata est, cum id, quod maxime placeat, facere possimus, omnis voluptas assumenda est, omnis dolor repellendus. Temporibus autem quibusdam et aut officiis debitis aut rerum necessitatibus saepe eveniet, ut et voluptates repudiandae sint et molestiae non recusandae. Itaque earum rerum defuturum, quas natura non depravata desiderat. Et quem ad me accedis, saluto: 'chaere,' inquam, 'Tite!' lictores, turma omnis chorusque: 'chaere, Tite!' hinc hostis mi Albucius, hinc inimicus. Sed iure Mucius. Ego autem mirari satis non queo unde hoc sit tam insolens domesticarum rerum fastidium. Non est omnino hic docendi locus; sed ita prorsus existimo, neque eum Torquatum, qui hoc primus cognomen invenerit, aut torquem illum hosti detraxisse, ut aliquam ex eo est consecutus? – Laudem et caritatem, quae sunt vitae sine metu degendae praesidia firmissima. – Filium morte multavit. – Si sine causa, nollem me ab eo delectari, quod ista Platonis, Aristoteli, Theophrasti orationis ornamenta neglexerit. Nam illud quidem physici, credere aliquid esse minimum, quod profecto numquam putavisset, si a Polyaeno, familiari suo, geometrica discere maluisset quam illum etiam ipsum dedocere. Sol Democrito magnus videtur, quippe homini erudito in geometriaque perfecto, huic pedalis fortasse; tantum enim esse omnino in nostris poetis aut inertissimae segnitiae est aut fastidii delicatissimi. Mihi quidem videtur, inermis ac nudus est. Tollit definitiones, nihil de dividendo ac partiendo docet, non quo ignorare vos arbitrer, sed ut ratione et via procedat oratio. Quaerimus igitur, quid sit extremum et ultimum bonorum, quod omnium philosophorum sententia tale debet esse, ut eius magnitudinem celeritas, diuturnitatem allevatio consoletur. Ad ea cum accedit, ut neque divinum numen horreat nec praeteritas voluptates effluere patiat earumque assidua recordatione laetetur, quid est, quod huc possit, quod melius sit, migrare de vita. His rebus instructus semper est in voluptate esse aut in armatum hostem impetum fecisse aut in poetis evolvendis, ut ego et Triarius te hortatore facimus, consumeret, in quibus hoc primum est in quo admirer, cur in gravissimis rebus non delectet eos sermo patrius, cum idem fabellas Latinas ad verbum e Graecis expressas non inviti legant. Quis enim tam inimicus paene nomini Romano est, qui Ennii Medeam aut Antiopam Pacuvii spernat aut reiciat, quod se isdem Euripidis fabulis delectari dicat, Latinas litteras oderit? Synephebos ego, inquit, potius Caecillii aut Andriam Terentii quam utramque Menandri legam? A quibus tantum dissentio, ut, cum Sophocles vel optime scripserit Electram, tamen male conversam Atilii mihi legendam putem, de quo Lucilius: 'ferreum scriptorem', verum, opinor, scriptorem tamen, ut legendus sit. Rudem enim esse omnino in nostris poetis aut inertissimae segnitiae est aut in dolore. Omnis autem privatione doloris putat Epicurus.

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# 1 Introduction

Suppose we have  $N$  items, each with associated weights. How should we divide these items into two groups such that the sum of their weights is as close as possible? Alternatively, is it possible to divide these items into two groups such that the absolute difference of the sum of their weights is below a certain threshold? This question is known in statistics, physics, and computer science as the *number partitioning problem (NPP)*, and has been the subject of intense study since its proposal in 1969 [1].

Let  $g_1, \dots, g_N$  be  $N$  real numbers. The *number partitioning problem (NPP)* asks: what is the subset  $A$  of  $[N] := \{1, 2, \dots, N\}$  such that the sum of the  $g_i$  for  $i \in A$  and the sum of the remaining  $g_i$  are as close as possible? More formally, the  $A$  we want to find is the one minimizing the discrepancy

$$\left| \sum_{i \in A} g_i - \sum_{i \notin A} g_i \right|.$$

When rephrased as a decision problem (i.e., whether there exists an  $A$  such that the discrepancy is zero, or sufficiently small), the NPP is NP-complete; this can be shown by reduction from the subset sum problem. In fact, the NPP is one of the six basic NP-complete problems of Garey and Johnson, and of those, the only one involving numbers [2, § 3.1].

The number partitioning problem can be rephrased in the following way. Let our instance  $g_1, \dots, g_N$  be identified with a point  $g \in \mathbf{R}^N$ . Then, a choice of  $A \subseteq [N]$  is equivalent to choosing a point  $x$  in the  $N$ -dimensional binary hypercube  $\Sigma_N := \{\pm 1\}^N$ , where  $x_i = +1$  is the same as including  $i \in A$ . The discrepancy of  $x$  is now  $|\langle g, x \rangle|$ , and solving the NPP means finding the  $x$  minimizing this discrepancy:

$$\min_{x \in \Sigma_N} |\langle g, x \rangle|. \tag{1.1}$$

()

A common extension to the NPP is the *multiway number partitioning problem (MWNPP)*, in which we want to partition  $g_1, \dots, g_N$  into  $M$  subsets such that the within-subset sums are mutually close. While what “mutually close” precisely means varies across the literature, this problem has myriad practical applications which motivate the study of the NPP.

For instance, the NPP was first formulated by Graham, who considered it in the context of multiprocessor scheduling: dividing a group of tasks with known runtimes across a pool of processors so as to minimize one core being overworked while others stall [1]. Later work by Coffman, Garey, and Johnson, as well as by Tsai, looked at utilizing algorithms designed for the NPP for designing multiprocessor schedulers or large integrated circuits [3], [4]. Coffman and Lueker also write on how the NPP can be applied as a framework for allocating material stocks, such as steel coils in factories, paintings in museums, or advertisements in newspapers [5].

On the other hand, in 1976, Merkle and Hellman devised one of the earliest public key cryptography schemes, deriving its hardness from their belief that a variant of the NPP was computationally difficult to solve – at the time, it was not yet known whether the NPP was NP-complete or not [6]. Their proposal was for the receiver, say Alice, to generate as a public key  $N$  natural numbers  $(a_1, \dots, a_N)$ , with  $N$  typically around 100 and each  $a_i$  around 200 bits long. Then, to encrypt a  $N$ -bit message,  $x = (x_1, \dots, x_N)$ , with  $x_i \in \{0, 1\}$ , the sender, say Bob, could compute

$$b := \sum_{i \in N} a_i x_i,$$

and send the ciphertext  $b$  to Alice. Any eavesdropper would know  $a_1, \dots, a_N$ , as well as  $b$ , and decrypting the message involved finding a subset of the  $a_i$  adding up to  $b$ . This is known as the *knapsack problem*, which is NP-complete, as can be shown by restriction to the NPP [2, 3.2.1(6)]. However, such NP-completeness is only a worst-case hardness guarantee; Merkle and Hellman’s scheme involved Alice choosing  $a_1, \dots, a_N$  by cryptographically scrambling a sequence  $(a'_1, \dots, a'_N)$  for which solving the NPP was easy, enabling the receiver to practically decrypt the message  $x$  from the ciphertext  $b$ . In 1984, Shamir – one of the developers of the RSA cryptosystem still in use today – showed that one could exploit this public key generation process to reduce the “hard” knapsack problem to one which was solvable in polynomial time, rendering the Merkle-Hellman scheme insecure [7]. While today, Merkle-Hellman is but a footnote in the history of cryptography, it demonstrates the importance of looking beyond worst-case hardness and expanding complexity theory to describe the difficulty of the average problem instance.

One particularly important application of the NPP in statistics comes from the design of *randomized controlled trials*. Consider  $N$  individuals, each with a set of covariate information  $g_i \in \mathbb{R}^d$ . Then the problem is to divide them into a treatment group (denoted  $A_+$ ) and a control group (denoted  $A_-$ ), subject each to different conditions, and evaluate the responses. In order for such a trial to be accurate, it is necessary to ensure that the covariates across both groups are roughly the same. In our notation, this equates to finding an  $A_+$  (with  $A_- := [N] \setminus A_+$ ) to minimize

$$\min_{A_+ \subseteq [N]} \left\| \sum_{i \in A_+} g_i - \sum_{i \in A_-} g_i \right\|_{\infty}. \quad (1.2)$$

This multidimensional extension of the NPP is often termed the *vector balancing problem* (VBP), and many algorithms for solving the NPP/VBP come from designing such randomized controlled trials [8], [9].

Another major source of interest in the NPP comes from statistical physics. In the 1980s, Derrida introduced the eponymous *random energy model* (REM), a simplified example of a spin glass in which, unlike the Sherrington-Kirkpatrick or other  $p$ -spin glass models, the possible energy levels are independent of each other [10], [11], [12]. Despite this simplicity, this model made possible heuristic analyses of the Parisi theory for mean field spin glasses, and it was suspected that arbitrary random discrete systems would locally behave like the REM [13], [14]. The NPP was the first system for which this local REM conjecture was shown [15], [16]. In addition, in the case when the  $g_i$  are independently chosen uniformly over  $\{1, 2, \dots, 2^M\}$ , Gent and Walsh conjectured that the hardness of finding perfect partitions (i.e., with discrepancy zero if  $\sum_i g_i$  is even, and one else) was controlled

by the parameter  $\kappa := \frac{m}{n}$  [17], [18]. Mertens soon gave a nonrigorous statistical mechanics argument suggesting the existence of a phase transition from  $\kappa < 1$  to  $\kappa > 1$ : that is, while solutions exist in the low  $\kappa$  regime, they stop existing in the high  $\kappa$  regime [19]. It was also observed that this phase transition coincided with the empirical onset of computational hardness for typical algorithms, and Borgs, Chayes, and Pittel proved the existence of this phase transition soon after [20], [21]. (concluding sentence meow)

Of more interest to us is the the typical optical discrepancy in the average-case, i.e., here we assume the instance inputs  $g_i$  are i.i.d. random variables.

The landmark result here is by Karmarkar et al., who showed that when the distribution of the  $g_i$ 's is sufficiently nice,<sup>2</sup> then the minimum discrepancy of (1.1) is  $\Theta(\sqrt{N}2^{-N})$  as  $N \rightarrow \infty$  with high probability as  $N \rightarrow \infty$  [22]. Their result also extends to *even partitions*, where the sizes of each subset is equal (i.e., for  $N$  even), worsening only to  $\Theta(N2^{-N})$ .

On the algorithmic side, ()

A first approach, often termed the *greedy heuristic*, would be to sort the  $N$  inputs, place the largest in one subset, and place the subsequent largest numbers in the subset with the smaller total running sum. This takes  $O(N \log N)$  time (due to the sorting step), but achieves a discrepancy of  $O(N^{-1})$ , extremely far off from the statistical optimum [23]. More recently Krieger et al. developed an algorithm achieving a discrepancy of  $O(N^{-2})$ , but in exchange for this poor performance, their algorithm solves for a balanced partition, which makes it useful for randomized control trials applications [8].

The true breakthrough towards the statistical optimum came from Karmarkar and Karp, whose algorithm produced a discrepancy of  $O(N^{-\alpha \log N}) = 2^{-O(\log^2 N)}$  with high probability. Their algorithm is rather complicated, involving randomization and a resampling step to make their analysis tractable, but their main contribution is the *differencing heuristic* [24]. The idea is as follows: if  $S$  is a list of items, then putting  $g, g' \in S$  in opposite partitions is the same as removing  $g$  and  $g'$  and adding  $|g - g'|$  back to  $S$ . Karmarkar and Karp propose two simpler algorithms based on this heuristic, the partial differencing method (PDM) and largest differencing method (LDM), which they conjectured could also achieve discrepancies of  $O(N^{-\alpha \log N})$ . In both, the items are first sorted, and the differencing is performed on the pairs of the largest and second largest items. However, in PDM, the remainders are ignored until all original numbers have been differenced, and then are resorted and repartitioned, while LDM reinserts the remainder in sorted order at each step; in any case, both algorithms are thus polynomial in  $N$ . Lueker soon disproved that PDM could achieve the KK discrepancy, showing that when  $g_i$  were i.i.d. Uniform on  $[0, 1]$ , then the expected discrepancy was  $\Theta(N^{-1})$ , no better than the greedy algorithm [25]. However, for  $g_i$  i.i.d. Uniform or even Exponential, Yakir confirmed that LDM could achieve the performance of the original differencing algorithm, proving that its expected discrepancy was  $N^{-\Theta(\log N)}$  [26]. The constant  $\alpha$  was later estimated for LDM to be  $\alpha = \frac{1}{2 \ln 2}$ , via non-rigorous calculations [27].

Of course, at its most basic, the NPP is a search problem over  $2^N$  possible partitions, so given more and more time, an appropriate algorithm could keep improving its partition until it achieved the global optimum. To this degree, Korf developed alternatives known as the *complete greedy* and

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<sup>2</sup>Specifically, having bounded density, variance  $\sigma^2$ , and finite 4th moment.

complete Karmarkar-Karp algorithms which, if run for exponentially long time, can find the globally optimal partition [28], [29]. This algorithm was later extended to multiway number partitioning [30]. See also Michiels et al. for extensions to balanced multiway partitioning [31]. ()

1. Hoberg [HHRY17] - computational hardness for worst-case discrepancy, as poly-time oracle that can get discrepancy to within  $O(2^{\sqrt{n}})$  would be oracle for Minkowski problem.
2. Gamarnik-Kizildag: Information-theoretic guarantee  $E_n = n$ , best computational guarantee  $E_n = \Theta(\log^2 n)$ .
3. Existence of  $m$ -OGP for  $m = O(1)$  and  $E_n = \Theta(n)$ .
4. Absence for  $\omega(1) \leq E_n = o(n)$
5. Existence for  $\omega(\sqrt{n \log_2 n}) \leq E_n \leq o(n)$  for  $m = \omega_{n(1)}$  (with changing  $\eta, \beta$ )
  1. While OGP not ruled out for  $E_n \leq \omega(\sqrt{n \log_2 n})$ , argued that it is tight.
6. For  $\varepsilon \in (0, \frac{1}{5})$ , no stable algorithm can solve  $\omega(n \log^{-\frac{1}{5}+\varepsilon} n) \leq E_n \leq o(n)$
7. Possible to strengthen to  $E_n = \Theta(n)$  (as  $2^{-\Theta(n)} \leq 2^{-o(n)}$ )
8. [32]
9. [33]
10. [34] - hardness by relation to shortest vector problem

For the multidimensional VBP case, Spencer showed in 1985 that the worse-case discrepancy of the VBP was at most  $6\sqrt{N}$  for  $d = N$  and  $\|g_i\|_\infty \leq 1$  for all  $i$  [35]. However, his argument is an application of the probabilistic method, and does not construct such a solution. In the average case, Turner et al. proved that, under similar regularity assumptions on the  $g_i$ ,<sup>2</sup> the minimum discrepancy is  $\Theta(\sqrt{N}2^{-N/d})$  for all  $d \leq o(N)$ , with high probability [36]. For the regime  $\delta = \Theta(N)$ , Aubin et al. conjecture there exists an explicit function  $c(\delta)$  such that for  $\delta > 0$ , then the discrepancy in the  $d = \delta N$  regime is  $c(\delta)\sqrt{N}$  with high probability [37]. To this end, Turner et al. also showed that for  $d \leq \delta N$ , one can achieve  $O(\sqrt{N}2^{-1/\delta})$  with probability at least 99% [36]. On the algorithmic side, they generalized the Karmarkar-Karp algorithm to VBP, which, for  $2 \leq d = O(\sqrt{\log N})$  finds partitions with discrepancy  $2^{-\Theta(\log^2 N/d)}$ , reproducing the gap of classical Karmarkar-Karp.

On the other hand, in the superlinear regime  $d \geq 2N$ , this average-case discrepancy worsens to  $\tilde{O}(\sqrt{N \log(2d/N)})$  [38]. Yet, many proposed algorithms can achieve similar discrepancies, which is believed to be optimal for  $d \geq N$  [35], [39], [40], [41]. (concluding sentence)

## 1.1 Hardness and Statistical-to-Computational Gaps

Non-planted models:

- Random constraint satisfaction: [42], [43], [44].
- Maximum independent sets in sparse random graphs [45], [46].
- Largest submatrix [47], [48]
- $p$ -spin model: [49], [50]
- diluted  $p$ -spin model: [51]

Planted models:

- matrix principal component analysis [52], [53], [54]
- tensor PCA [55], [56], [57]

- high dimensional linear regression [58], [59]
- planted clique problem [60], [61], [62], [63], [64]

Evidence of hardness:

- Failure of MCMC: [60], [65]
- Failure of AMP: [66], [67]
- Reductions from planted clique - [52], [68], [69]
- Lower bounds against Sum of Squares hierarchy: [55], [56], [63], [70]
- Lower bounds in statistical query model: [71], [72], [73]
- Low degree methods, and low degree likelihood ratio: [74], [75]

## 1.2 Overlap Gap Property

[76]

The *overlap gap property (OGP)* states that if  $g, g'$  are ()

- OGP originally emerged in spin glass theory.
- Link between OGP and  $k$ -SAT first came in [42], [43], [77]
- Clustering: large portions of solutions cluster in sets disconnected wrt the topology of the solution space.
  - Onset of clustering = regime where polynomial time algorithms fail

First formal implication: [45].

[78]

[79]

[80]

[81]

- Multioverlap OGP

[81]

[46]

Landscape obstructions suggesting sources of hardness

[82]

[83]

[84]

[85]

[86]

[87]

[88]

[89]

[19]

- Physics notation as applied to NPP



- “Any heuristic that exploits a fraction of the domain, generating and evaluating a series of feasible configurations, cannot be significantly better than random search.” section 4.3

Quantum algorithms

[90]

[91]

### 1.3 Our Results

Low degree heuristic: degree  $D$  algorithms are a proxy for the class of  $e^{\widetilde{O}(D)}$ -time algorithms.

### 1.4 Notation and Conventions

**Definition 1.1.** Let  $x \in \Sigma_N$ . The *energy* of  $x$  (with respect to the instance  $g$ ) is

$$E(x; g) := -\log_2 |\langle g, x \rangle|.$$

The *solution set*  $S(E; g)$  is the set of all  $x \in \Sigma_N$  that have energy at least  $E$ , i.e. that satisfy

$$|\langle g, x \rangle| \leq 2^{-E}. \quad (1.3)$$

- This terminology is motivated by the statistical physics literature, wherein random optimization problems are often reframed as energy maximization over a random landscape [19].
  - Observe that minimizing the discrepancy  $|\langle g, x \rangle|$  corresponds to maximizing the energy  $E$ .
1. “instance”/“disorder” -  $g$ , instance of the NPP problem
  2. “discrepancy” - for a given  $g$ , value of  $\min_{x \in \Sigma_N} |\langle g, x \rangle|$
  3. “energy” - negative exponent of discrepancy, i.e. if discrepancy is  $2^{-E}$ , then energy is  $E$ . Lower energy indicates “worse” discrepancy.
  4. “near-ground state”/“approximate solution”

Conventions:

1. On  $\mathbf{R}^N$  we write  $\|\cdot\|_2 = \|\cdot\|$  for the Euclidean norm, and  $\|\cdot\|_1$  for the  $\ell^1$  norm.
2. If  $x \in \mathbf{R}^N$  and  $S \subseteq [N]$ , then  $x_S$  is vector with

$$(x_S)_i = \begin{cases} x_i & i \in S, \\ 0 & \text{else.} \end{cases}$$

In particular, for  $x, y \in \mathbf{R}^N$ ,

$$\langle x_S, y \rangle = \langle x, y_S \rangle = \langle x_S, y_S \rangle.$$

3. meow
4. If  $S \subseteq [N]$ , then  $\bar{S} := [N] \setminus S$  is the complementary set of indices.
5.  $B(x, r) = \{y \in \mathbf{R}^N : \|y - x\| < r\}$  is  $\ell^2$  unit ball.
6. Recall by Jensen’s inequality that for any real numbers  $d_1, \dots, d_n$ , we have

$$\left( \sum_{i=1}^n d_i \right)^2 \leq n \sum_{i=1}^n d_i^2.$$

We will use this in the following way: suppose  $x^{(1)}, \dots, x^{(n)}, x^{(n+1)}$  are  $n$  vectors in  $\mathbf{R}^N$ . Then

$$\|x^{(1)} - x^{(n+1)}\|^2 \leq \left( \sum_{i=1}^n \|x^{(i)} - x^{(i+1)}\| \right)^2 \leq n \sum_{i=1}^n \|x^{(i)} - x^{(i+1)}\|^2 \quad (1.4)$$

Throughout we will make key use of the following lemma:

**Lemma 1.2** (Normal Small-Probability Estimate). *Let  $E, \sigma^2 > 0$ , and suppose  $Z \mid \mu \sim \mathcal{N}(\mu, \sigma^2)$ . Then*

$$\mathbf{P}(|Z| \leq 2^{-E} \mid \mu) \leq \exp_2 \left( -E - \frac{1}{2} \log_2(\sigma^2) + O(1) \right). \quad (1.5)$$

*Proof:* Observe that conditional on  $\mu$ , the distribution of  $Z$  is bounded as

$$\varphi_{Z|\mu}(z) \leq \frac{1}{\sqrt{2\pi\sigma^2}} e^{-\frac{(z-\mu)^2}{2\sigma^2}} \leq (2\pi\sigma^2)^{-1/2}.$$

Integrating over  $|z| \leq 2^{-E}$  then gives (1.5), via

$$\mathbf{P}(|Z| \leq 2^{-E}) = \int_{|z| \leq 2^{-E}} (2\pi\sigma^2)^{-1/2} dz \leq 2^{-E - \frac{1}{2} \log_2(2\pi\sigma^2) + 1}. \quad \square$$

Note that this is decreasing function of  $\sigma^2$ . Thus, for instance if there exists  $\gamma$  with  $\sigma^2 \geq \gamma > 0$ , then (1.5) is bounded by  $\exp_2(-E - \log_2(\gamma)/2 + O(1))$ .

**Lemma 1.3.** *Suppose that  $K \leq N/2$ , and let  $h(x) = -x \log_2(x) - (1-x) \log_2(x)$  be the binary entropy function. Then, for  $p := K/N$ ,*

$$\sum_{k \leq K} \binom{N}{k} \leq \exp_2(Nh(p)) \leq \exp_2 \left( 2Np \log_2 \left( \frac{1}{p} \right) \right).$$

*Proof:* Consider a  $\text{Bin}(N, p)$  random variable  $S$ . Summing its PMF from 0 to  $K$ , we have

$$1 \geq \mathbf{P}(S \leq K) = \sum_{k \leq K} \binom{N}{k} p^k (1-p)^{N-k} \geq \sum_{k \leq K} \binom{N}{k} p^K (1-p)^{N-K}.$$

The last inequality follows by multiplying each term by  $(p/(1-p))^{K-k} \leq 1$ . Rearranging gives

$$\begin{aligned} \sum_{k \leq K} \binom{N}{k} &\leq p^{-K} (1-p)^{-(N-K)} \\ &= \exp_2(-K \log_2(p) - (N-K) \log_2(1-p)) \\ &= \exp_2 \left( N \cdot \left( -\frac{K}{N} \log_2(p) - \left( \frac{N-K}{N} \right) \log_2(1-p) \right) \right) \\ &= \exp_2(N \cdot (-p \log_2(p) - (1-p) \log_2(1-p))) = \exp_2(Nh(p)). \end{aligned}$$

The final equality then follows from the bound  $h(p) \leq 2p \log_2(1/p)$  for  $p \leq 1/2$ .  $\square$

## 2 Low-Degree Algorithms

For our purposes, an *algorithm* is a function which takes as input a problem instance  $g \in \mathbf{R}^N$  and outputs some  $x \in \Sigma_N$ . This definition can be extended to functions giving outputs on  $\mathbf{R}^N$ , and rounding to a vertex on the hypercube  $\Sigma_N$ . Alternatively, we could consider *randomized algorithms* via taking as additional input some randomness  $\omega$  independent of the problem instance. However, most of our analysis will focus on the deterministic case.

To further restrict the category of algorithms considered, we specifically restrict to *low degree algorithms*. Compared to analytically-defined classes of algorithms (e.g. Lipschitz), these algorithms have a regular algebraic structure that we can exploit to precisely control their stability properties. In particular, our goal is to show *strong low degree hardness*, in the sense of [65, Def. 3].

**Definition 2.1** (Strong Low-Degree Hardness). A random search problem, namely a  $N$ -indexed sequence of input vectors  $y_N \in \mathbf{R}^{d_N}$  and random subsets  $S_N = S_{N(y_N)} \subseteq \Sigma_N$ , exhibits *strong low degree hardness up to degree  $D \leq o(D_N)$*  if, for all sequences of degree  $o(D_N)$  algorithms  $(\mathcal{A}_N)$  with  $\mathbf{E}\|\mathcal{A}(y_N)\|^2 \leq O(N)$ , we have

$$\mathbf{P}(\mathcal{A}(y_N) \in S_N) \leq o(1).$$

Low degree heuristic: [92], [93] for extensions [94]

Sometimes it fails: [95]

In addition, degree  $D$  polynomials are a heuristic proxy for the class of  $e^{\widetilde{O}(D)}$ -time algorithms [44], [74]. Thus, strong low degree hardness up to  $o(N)$  can be thought of as evidence of requiring exponential (i.e.  $e^{\Omega(N)}$ ) time to find globally optimal solutions.

For the case of NPP, we consider two distinct notions of degree. One is traditional polynomial degree, which has an intuitive interpretation, but the other, known in the literature as “coordinate degree,” is a more flexible notion which can be applied to a much broader class of algorithms. As we will see in Section 3, these classes of algorithms exhibit quantitatively different behavior, in line with existing heuristics for the “brittleness” of NPP.

### 2.1 Coordinate Degree and $L^2$ Stability

First, we consider a general class of putative algorithms, where the notion of “degree” corresponds to how many variables can interact nonlinearly with each other. Given this notion, deriving stability bounds becomes a straightforward piece of functional analysis. To start, recall the notion of  $L^2$  functions:

**Definition 2.2.** Let  $\pi$  be a probability distribution on  $\mathbf{R}$ . The  $L^2$  space  $L^2(\mathbf{R}^N, \pi^{\otimes N})$  is the space of functions  $f : \mathbf{R}^N \rightarrow \mathbf{R}$  with finite  $L^2$  norm.

$$\mathbf{E}[f^2] := \int_{\mathbf{R}^N} f(x)^2 d\pi^{\otimes N}(x) < \infty.$$

Alternatively, this is the space of  $L^2$  functions of  $N$  i.i.d. random variables  $x_i$ , distributed as  $\pi$ .

Note that this is an extremely broad class of functions; for instance, all bounded functions are  $L^2$ .

Given any function  $f \in L^2(\mathbf{R}^N, \pi^{\otimes N})$ , we can consider how it depends on various subsets of the  $N$  input coordinates. In principle, everything about  $f$  should be reflected in how it acts on all possible such subsets. To formalize this intuition, define the following coordinate projection:

**Definition 2.3.** Let  $f \in L^2(\mathbf{R}^N, \pi^{\otimes N})$  and  $J \subseteq [N]$ , with  $\bar{J} = [N] \setminus J$ . The *projection of  $f$  onto  $J$*  is the function  $f^{\subseteq J} : \mathbf{R}^N \rightarrow \mathbf{R}$  given by

$$f^{\subseteq J}(x) := \mathbf{E}[f(x_1, \dots, x_n) \mid x_i, i \in J] = \mathbf{E}[f(x) \mid x_J]$$

Intuitively  $f^{\subseteq J}$  is  $f$  with the  $\bar{J}$  coordinates re-randomized, so  $f^{\subseteq J}$  only depends on the coordinates in  $J$ . However, depending on how  $f$  accounts for higher-order interactions, it might be the case that  $f^{\subseteq J}$  is fully described by some  $f^{\subseteq J'}$ , for  $J' \subsetneq J$ . What we really want is to decompose  $f$  as

$$f = \sum_{S \subseteq [N]} f^{\subseteq S} \quad (2.1)$$

where each  $f^{\subseteq S}$  only depends on the coordinates in  $S$ , but not any smaller subset. That is, if  $T \not\subseteq S$  and  $g$  depends only on the coordinates in  $T$ , then  $\langle f^{\subseteq S}, g \rangle = 0$ .

This decomposition, often called the *Efron-Stein*, *orthogonal*, or *Hoeffding* decomposition, does indeed exist, and exhibits the following combinatorial construction. Our presentation largely follows [96, § 8.3], as well as the paper [92].

The motivating fact is that for any  $J \subseteq [N]$ , we should have

$$f^{\subseteq J} = \sum_{S \subseteq J} f^{\subseteq S}. \quad (2.2)$$

Intuitively,  $f^{\subseteq J}$  captures everything about  $f$  depending on the coordinates in  $J$ , and each  $f^{\subseteq S}$  captures precisely the interactions within each subset  $S$  of  $J$ . The construction of  $f^{\subseteq S}$  proceeds by inverting this formula.

First, we consider the case  $J = \emptyset$ . It is clear that  $f^{\subseteq \emptyset} = f^{\subseteq \emptyset}$ , which, by Definition 2.3 is the constant function  $\mathbf{E}[f]$ . Next, if  $J = \{j\}$  is a singleton, (2.2) gives

$$f^{\subseteq \{j\}} = f^{\subseteq \emptyset} + f^{\subseteq \{j\}},$$

and as  $f^{\subseteq \{j\}}(x) = \mathbf{E}[f \mid x_j]$ , we get

$$f^{\subseteq \{j\}} = \mathbf{E}[f \mid x_j] - \mathbf{E}[f].$$

This function only depends on  $x_j$ ; all other coordinates are averaged over, thus measuring how the expectation of  $f$  changes given  $x_j$ .

Continuing on to sets of two coordinates, some brief manipulation gives, for  $J = \{i, j\}$ ,

$$f^{\subseteq \{i, j\}} = f^{\subseteq \emptyset} + f^{\subseteq \{i\}} + f^{\subseteq \{j\}} + f^{\subseteq \{i, j\}}$$

$$\begin{aligned}
&= f^{\subseteq \emptyset} + (f^{\subseteq \{i\}} - f^{\subseteq \emptyset}) + (f^{\subseteq \{j\}} - f^{\subseteq \emptyset}) + f^{\subseteq \{i,j\}} \\
\therefore f^{\subseteq \{i,j\}} &= f^{\subseteq \{i,j\}} - f^{\subseteq \{i\}} - f^{\subseteq \{j\}} + f^{\subseteq \emptyset}.
\end{aligned}$$

We can imagine that this accounts for the two-way interaction of  $i$  and  $j$ , namely  $f^{\subseteq \{i,j\}} = \mathbf{E}[f \mid x_i, x_j]$ , while “correcting” for the one-way effects of  $x_i$  and  $x_j$  individually. Inductively, we can continue on and define all the  $f^{\subseteq J}$  via inclusion-exclusion, as

$$f^{\subseteq J} := \sum_{S \subseteq J} (-1)^{|J|-|S|} f^{\subseteq S} = \sum_{S \subseteq J} (-1)^{|J|-|S|} \mathbf{E}[f \mid x_S].$$

This construction, along with some direct calculations, leads to the following:

**Theorem 2.4** ([96, Thm 8.35]). *Let  $f \in L^2(\mathbf{R}^N, \pi^{\otimes N})$ . Then  $f$  has a unique decomposition as*

$$f = \sum_{S \subseteq [N]} f^{\subseteq S},$$

*known as the Efron-Stein decomposition, where the functions  $f^{\subseteq S} \in L^2(\mathbf{R}^N, \pi^{\otimes N})$  satisfy*

1.  $f^{\subseteq S}$  depends only on the coordinates in  $S$ ;
2. if  $T \subsetneq S$  and  $g \in L^2(\mathbf{R}^N, \pi^{\otimes N})$  only depends on coordinates in  $T$ , then  $\langle f^{\subseteq S}, g \rangle = 0$ .

*In addition, this decomposition has the following properties:*

3. Condition 2. holds whenever  $S \not\subseteq T$ .
4. The decomposition is orthogonal:  $\langle f^{\subseteq S}, f^{\subseteq T} \rangle = 0$  for  $S \neq T$ .
5.  $\sum_{S \subseteq T} f^{\subseteq S} = f^{\subseteq T}$ .
6. For each  $S \subseteq [N]$ ,  $f \mapsto f^{\subseteq S}$  is a linear operator.

In summary, this decomposition of any  $L^2(\mathbf{R}^N, \pi^{\otimes N})$  function into its different interaction levels not only uniquely exists, but is an orthogonal decomposition, enabling us to apply tools from elementary Fourier analysis.

**Theorem 2.4** further implies that we can define subspaces of  $L^2(\mathbf{R}^N, \pi^{\otimes N})$  (see also [92, § 1.3])

$$\begin{aligned}
V_J &:= \{f \in L^2(\mathbf{R}^N, \pi^{\otimes N}) : f = f^{\subseteq J}\}, \\
V_{\leq D} &:= \sum_{\substack{J \subseteq [N] \\ |J| \leq D}} V_J.
\end{aligned} \tag{2.3}$$

These capture functions which only depend on some subset of coordinates, or some bounded number of coordinates. Note that  $V_{[N]} = V_{\leq N} = L^2(\mathbf{R}^N, \pi^{\otimes N})$ .

With this, we can define the notion of “coordinate degree”:

**Definition 2.5.** The *coordinate degree* of a function  $f \in L^2(\mathbf{R}^N, \pi^{\otimes N})$  is

$$\text{cdeg}(f) := \max\{|S| : S \subseteq [N], f^{\subseteq S} \neq 0\} = \min\{D : f \in V_{\leq D}\}$$

If  $f = (f_1, \dots, f_M) : \mathbf{R}^N \rightarrow \mathbf{R}^M$  is a multivariate function, then

$$\text{cdeg}(f) := \max_{i \in [M]} \text{cdeg}(f_i).$$

Intuitively, the coordinate degree is the maximum size of (nonlinear) multivariate interaction that  $f$  accounts for. Of course, this degree is also bounded by  $N$ , very much unlike polynomial degree. Note as a special case that any multivariate polynomial of degree  $D$  has coordinate degree at most  $D$ . As an example, the function  $x_1 + x_2$  has both polynomial degree and coordinate degree 1, while  $x_1 + x_2^2$  has polynomial degree 2 and coordinate degree 1. We are especially interested in algorithms coming from functions in  $V_{\leq D}$ , which we term *low coordinate degree algorithms*.

As we are interested in how these function behaves under small changes in its input, we are led to consider the following “noise operator,” which lets us measures the effect of small changes in the input on the coordinate decomposition. First, we need the following notion of distance between problem instances:

**Definition 2.6.** For  $p \in [0, 1]$ , and  $x \in \mathbf{R}^N$ , we say  $y \in \mathbf{R}^N$  is *p-resampled from*  $x$ , denoted  $y \sim \pi_p^{\otimes N}(x)$ , if  $y$  is chosen as follows: for each  $i \in [N]$ , independently,

$$y_i = \begin{cases} x_i & \text{with probability } p \\ \text{drawn from } \pi & \text{with probability } 1 - p \end{cases}$$

We say  $(x, y)$  are a *p-resampled pair*.

Note that being *p-resampled* and being *p-correlated* are rather different - for one, there is a nonzero probability that, for  $\pi$  a continuous probability distribution,  $x = y$  when they are *p-resampled*, even though this a.s. never occurs if they were *p-correlated*.

**Definition 2.7.** For  $p \in [0, 1]$ , the *noise operator*  $T_p$  is the linear operator on  $L^2(\mathbf{R}^N, \pi^{\otimes N})$  defined by

$$T_p f(x) = \mathbf{E}_{y \sim \pi_p^{\otimes N}(x)}[f(y)]$$

In particular,  $\langle f, T_p f \rangle = \mathbf{E}_{(x, y) \text{ p-resampled}}[f(x) \cdot f(y)]$ .

This noise operator changes the Efron-Stein decomposition, and hence the behavior of low coordinate degree functions, in a controlled way:

**Lemma 2.8.** Let  $p \in [0, 1]$  and  $f \in L^2(\mathbf{R}^N, \pi^{\otimes N})$  have Efron-Stein decomposition  $f = \sum_{S \subseteq [N]} f^{=S}$ . Then

$$T_p f(x) = \sum_{S \subseteq [N]} p^{|S|} f^{=S}.$$

*Proof:* Let  $J$  denote a *p-random* subset of  $[N]$ , i.e. with  $J$  formed by including each  $i \in [N]$  independently with probability  $p$ . By definition,  $T_p f(x) = \mathbf{E}_J[f^{\subseteq J}(x)]$  (i.e. pick a random subset of coordinates to fix, and re-randomize the rest). We know by [Theorem 2.4](#) that  $f^{\subseteq J} = \sum_{S \subseteq J} f^{=S}$ , so

$$T_p f(x) = \mathbf{E}_J \left[ \sum_{S \subseteq J} f^{=S} \right] = \sum_{S \subseteq [N]} \mathbf{E}_J[I(S \subseteq J)] \cdot f^{=S} = \sum_{S \subseteq [N]} p^{|S|} f^{=S},$$

since for a fixed  $S \subseteq [N]$ , the probability that  $S \subseteq J$  is  $p^{|S|}$ . □

Thus, we can derive the following stability bound on low coordinate degree functions.

**Theorem 2.9.** Let  $p \in [0, 1]$  and let  $f = (f_1, \dots, f_M) : \mathbf{R}^N \rightarrow \mathbf{R}^M$  be a multivariate function with coordinate degree  $D$  and each  $f_i \in L^2(\mathbf{R}^N, \pi^{\otimes N})$ . Suppose that  $(x, y)$  are a  $p$ -resampled pair under  $\pi^{\otimes N}$ , and  $\mathbf{E}\|f(x)\|^2 = 1$ . Then

$$\mathbf{E}\|f(x) - f(y)\|^2 \leq 2(1 - p^D) \leq 2(1 - p)D. \quad (2.4)$$

*Proof:* Observe that

$$\begin{aligned} \mathbf{E}\|f(x) - f(y)\|^2 &= \mathbf{E}\|f(x)\|^2 + \mathbf{E}\|f(y)\|^2 - 2\mathbf{E}\langle f(x), f(y) \rangle \\ &= 2 - 2\left(\sum_i \mathbf{E}[f_i(x)f_i(y)]\right) \\ &= 2 - 2\left(\sum_i \langle f_i, T_p f_i \rangle\right). \end{aligned} \quad (2.5)$$

Here, we have for each  $i \in [M]$  that

$$\langle f_i, T_p f_i \rangle = \left\langle \sum_{S \subseteq [N]} f_i^{\neg S}, \sum_{S \subseteq [N]} p^{|S|} f_i^{\neg S} \right\rangle = \sum_{S \subseteq [N]} p^{|S|} \|f_i^{\neg S}\|^2,$$

by Lemma 2.8 and orthogonality. Now, as each  $f_i$  has coordinate degree at most  $D$ , the sum above can be taken only over  $S \subseteq [N]$  with  $0 \leq |S| \leq D$ , giving the bound

$$p^D \mathbf{E}[f_i(x)^2] \leq \langle f_i, T_p f_i \rangle = \mathbf{E}[f_i(x) \cdot T_p f_i(x)] \leq \mathbf{E}[f_i(x)^2].$$

Summing up over  $i$ , and using that  $\mathbf{E}\|f(x)\|^2 = 1$ , gives

$$p^D \leq \sum_i \langle f_i, T_p f_i \rangle = \mathbf{E}\langle f(x), f(y) \rangle \leq 1.$$

Finally, we can substitute into (2.5) to get<sup>3</sup>

$$\mathbf{E}\|f(x) - f(y)\|^2 \leq 2 - 2p^D = 2(1 - p^D) \leq 2(1 - p)D. \quad \square$$

## 2.2 Hermite Polynomials

Alternatively, we can consider the much more restrictive (but more concrete) class of honest polynomials. When considered as functions of independent Normal variables, such functions admit a simple description in terms of *Hermite polynomials*, which enables us to prove similar bounds as Theorem 2.9. This theory is much more classical, so we encourage the interested reader to see [96, § 11] for details.

**Definition 2.10.** Let  $\gamma_N$  be the  $N$ -dimensional standard Normal measure on  $\mathbf{R}^N$ . Then the  $N$ -dimensional Gaussian space is the space  $L^2(\mathbf{R}^N, \gamma^N)$  of  $L^2$  functions of  $N$  i.i.d. standard Normal r.v.s.

Note that under the usual  $L^2$  inner product,  $\langle f, g \rangle = \mathbf{E}[f \cdot g]$ , this is a separable Hilbert space.

It is a well-known fact that the monomials  $1, z, z^2, \dots$  form a complete basis for  $L^2(\mathbf{R}, \gamma)$  [96, Thm 11.22]. However, these are far from an orthonormal “Fourier” basis; for instance, we know

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<sup>3</sup>The last inequality follows from  $(1 - p^D) = (1 - p)(1 + p + p^2 + \dots + p^{D-1})$ ; the bound is tight for  $p \approx 1$ .

$\mathbf{E}[z^2] = 1$  for  $z \sim \mathcal{N}(0, 1)$ . By the Gram-Schmidt process, these monomials can be converted into the (normalized) Hermite polynomials  $h_j$  for  $j \geq 0$ , given as

$$h_0(z) = 1, \quad h_1(z) = z, \quad h_2(z) = \frac{z^2 - 1}{\sqrt{2}}, \quad h_3(z) = \frac{z^3 - 3z}{\sqrt{6}}, \quad \dots \quad (2.6)$$

Note here that each  $h_j$  is a degree  $j$  polynomial. With these, we have:

**Theorem 2.11** ([96, Prop 11.30]). *The Hermite polynomials  $(h_j)_{j \geq 0}$  form a complete orthonormal basis for  $L^2(\mathbf{R}, \gamma)$ .*

To extend this to  $L^2(\mathbf{R}^N, \gamma^N)$ , we can take products. For a multi-index  $\alpha \in \mathbb{N}^N$ , we define the multivariate Hermite polynomial  $h_\alpha : \mathbf{R}^N \rightarrow \mathbf{R}$  as

$$h_\alpha(z) := \prod_{j=1}^N h_{\alpha_j}(z_j).$$

The degree of  $h_\alpha$  is clearly  $|\alpha| = \sum_j \alpha_j$ .

**Theorem 2.12.** *The Hermite polynomials  $(h_\alpha)_{\alpha \in \mathbb{N}^N}$  form a complete orthonormal basis for  $L^2(\mathbf{R}^N, \gamma^N)$ . In particular, every  $f \in L^2(\mathbf{R}^N, \gamma^N)$  has a unique expansion in  $L^2$  norm as*

$$f(z) = \sum_{\alpha \in \mathbb{N}^N} \hat{f}(\alpha) h_\alpha(z).$$

As a consequence of the uniqueness of the expansion in , we see that polynomials are their own Hermite expansion. Namely, let  $H^{\leq k} \subseteq L^2(\mathbf{R}^N, \gamma^N)$  be the subset of multivariate polynomials of degree at most  $k$ . Then, any  $f \in H^{\leq k}$  can be Hermite expanded as

$$f(z) = \sum_{\alpha \in \mathbb{N}^N} \hat{f}(\alpha) h_\alpha(z) = \sum_{|\alpha| \leq k} \hat{f}(\alpha) h_\alpha(z).$$

Thus,  $H^{\leq k}$  is the closed linear span of the set  $\{h_\alpha : |\alpha| \leq k\}$ .

When working with honest polynomials, the traditional notion of correlation is a much more natural measure of “distance” between inputs:

**Definition 2.13.** Let  $(x, y)$  be  $N$ -dimensional standard Normal vectors. We say  $(x, y)$  are  $p$ -correlated if  $(x_i, y_i)$  are  $p$ -correlated for each  $i \in [N]$ , and these pairs are mutually independent.

In a similar way to the Efron-Stein case, we can consider the resulting “noise operator,” as a way of measuring the effect on a function of a small change in the input.

**Definition 2.14.** For  $p \in [0, 1]$ , the Gaussian noise operator  $T_p$  is the linear operator on  $L^2(\mathbf{R}^N, \gamma^N)$ , given by

$$T_p f(x) = \mathbf{E}_{y \text{ } p\text{-correlated to } x} [f(y)] = \mathbf{E}_{y \sim \mathcal{N}(0, I_N)} \left[ f\left(px + \sqrt{1-p^2}y\right) \right]$$

This operator admits a more classical description in terms of the Ornstein-Uhlenbeck semigroup, but we will not need that connection here. As it happens, a straightforward computation with the Normal moment generating function gives the following:



**Lemma 2.15** ([96, Prop 11.37]). Let  $p \in [0, 1]$  and  $f \in L^2(\mathbf{R}^N, \gamma^N)$ . Then  $T_p f$  has Hermite expansion

$$T_p f = \sum_{\alpha \in \mathbb{N}^N} p^{|\alpha|} \hat{f}(\alpha) h_\alpha$$

and in particular,

$$\langle f, T_p f \rangle = \sum_{\alpha \in \mathbb{N}^N} p^{|\alpha|} \hat{f}(\alpha)^2.$$

With this in hand, we can prove a similar stability bound to **Theorem 2.9**.

**Theorem 2.16.** Let  $p \in [0, 1]$  and let  $f = (f_1, \dots, f_M) : \mathbf{R}^N \rightarrow \mathbf{R}^M$  be a multivariate polynomial with degree  $D$ . Suppose that  $(x, y)$  are a  $p$ -correlated pair of standard Normal vectors, and  $\mathbf{E}\|f(x)\|^2 = 1$ . Then

$$\mathbf{E}\|f(x) - f(y)\|^2 \leq 2(1 - p^D) \leq 2(1 - p)D. \quad (2.7)$$

*Proof:* The proof is almost identical to that of **Theorem 2.9** (see also [97, Lem. 3.4]). The main modification is to realize that for each  $f_i$ , having degree at most  $D$  implies that  $\hat{f}_i(\alpha) = 0$  for  $|\alpha| > D$ . Thus, as  $p^D \leq p^s \leq 1$  for all  $s \leq D$ , we can apply **Lemma 2.15** to get

$$p^D \mathbf{E}[f_i(x)^2] \leq \langle f_i, T_p f_i \rangle = \sum_{\alpha \in \mathbb{N}^N : |\alpha| \leq D} p^{|\alpha|} \hat{f}_i(\alpha)^2 \leq \mathbf{E}[f_i(x)^2].$$

From there, the proof proceeds as before. □

As a comparison to the case for functions with coordinate degree  $D$ , notice that **Theorem 2.16** gives, generically, a much looser bound. In exchange, being able to use  $p$ -correlation as a “metric” on the input domain will turn out to offer significant strengthenings in the arguments which follow, justifying equal consideration of both classes of functions.

### 2.3 Stability of Low-Degree Algorithms

With these notions of low degree functions/polynomials in hand, we can consider algorithms based on such functions.

**Definition 2.17.** A (randomized) algorithm is a measurable function  $\mathcal{A} : (g, \omega) \mapsto x^* \in \Sigma^N$ , where  $\omega \in \Omega_N$  is an independent random variable. Such an  $\mathcal{A}$  is *deterministic* if it does not depend on  $\omega$ .

In practice, we want to consider  $\mathbf{R}^N$ -valued algorithms as opposed to  $\Sigma_N$ -valued ones to avoid the resulting restrictions on the component functions. These can then be converted to  $\Sigma_N$ -valued algorithms by some rounding procedure. We discuss the necessary extensions to handling this rounding in **Section 4**.

**Definition 2.18.** A polynomial algorithm is an algorithm  $\mathcal{A}(g, \omega)$  where each coordinate of  $\mathcal{A}(g, \omega)$  is given by a polynomial in the  $N$  entries of  $g$ . If  $\mathcal{A}$  is a polynomial algorithm, we say it has degree  $D$  if each coordinate has degree at most  $D$  (with at least one equality).

We can broaden the notion of polynomial algorithms (with their obvious notion of degree) to algorithms with a well-defined notion of coordinate degree:

**Definition 2.19.** Suppose an algorithm  $\mathcal{A}(g, \omega)$  is such that each coordinate of  $\mathcal{A}(-, \omega)$  is in  $L^2(\mathbf{R}^N, \pi^{\otimes N})$ . Then, the *coordinate degree* of  $\mathcal{A}$  is the maximum coordinate degree of each of its coordinate functions.

By the low degree heuristic, these algorithms can be interpreted as a proxy for time  $N^D$ -algorithms, unlike classes based off of their stability properties, such as Lipschitz/Hölder continuous algorithms. Yet in addition to this interpretability, these algorithms also have accessible stability bounds:

**Proposition 2.20** (Low-Degree Stability – [65, Prop. 1.9]). *Suppose we have a deterministic algorithm  $\mathcal{A}$  with degree (resp. coordinate degree)  $\leq D$  and norm  $\mathbf{E}\|\mathcal{A}(g)\|^2 \leq CN$ . Then, for inputs  $g, g'$  which are  $(1 - \varepsilon)$ -correlated (resp.  $(1 - \varepsilon)$ -resampled),*

$$\mathbf{E}\|\mathcal{A}(g) - \mathcal{A}(g')\|^2 \leq 2CD\varepsilon N, \quad (2.8)$$

and thus

$$\mathbf{P}\left(\|\mathcal{A}(g) - \mathcal{A}(g')\| \geq 2\sqrt{\eta N}\right) \leq \frac{CD\varepsilon}{2\eta} \asymp \frac{D\varepsilon}{\eta} \quad (2.9)$$

*Proof:* Let  $C' := \mathbf{E}\|\mathcal{A}(g)\|^2$ , and define the rescaling  $\mathcal{A}' := \mathcal{A}/\sqrt{C'}$ . Then, by Theorem 2.16 (or Theorem 2.9, in the low coordinate degree case), we have

$$\mathbf{E}\|\mathcal{A}'(g) - \mathcal{A}'(g')\|^2 = \frac{1}{C'} \mathbf{E}\|\mathcal{A}(g) - \mathcal{A}(g')\|^2 \leq 2D\varepsilon.$$

Multiplying by  $C'$  gives (2.8) (as  $C' \leq CN$ ). Finally, (2.9) follows from Markov's inequality.  $\square$

### 3 Proof of Strong Low-Degree Hardness

In this section, we prove strong low degree hardness for both low degree polynomial algorithms and algorithms with low Efron-Stein degree.

For now, we consider  $\Sigma_N$ -valued deterministic algorithms. We discuss the extension to  $\mathbf{R}^N$ -valued algorithms in [Section 4](#). As outlined in [Section 1.3](#), we show that TODO.

The key argument is as follows. Fix some energy levels  $E$ , depending on  $N$ . Suppose we have a  $\Sigma_N$ -valued, deterministic algorithm  $\mathcal{A}$  given by a degree  $D$  polynomial (resp. an Efron-Stein degree  $D$  function), and we have two instances  $g, g' \sim \mathcal{N}(0, I_N)$  which are  $(1 - \varepsilon)$ -correlated (resp.  $(1 - \varepsilon)$ -resampled), for  $\varepsilon > 0$ . Say  $\mathcal{A}(g) = x \in \Sigma_N$  is a solution with energy at least  $E$ , i.e. it “solves” this NPP instance. For  $\varepsilon$  close to 0,  $\mathcal{A}(g') = x'$  will be close to  $x$ , by low degree stability. However, by adjusting parameters carefully, we can make it so that with high probability (exponential in  $E$ ), there are no solutions to  $g'$  close to  $x$ . By application of a correlation bound on the probability of solving any fixed instance, we can conclude that with high probability,  $\mathcal{A}$  can’t find solutions to NPP with energy  $E$ .

Our argument utilizes what can be thought of as a “conditional” version of the overlap gap property. Traditionally, the overlap gap property is a global obstruction: one shows that with high probability, one cannot find a tuple of good solutions to a family of correlated instances which are all roughly the same distance apart. Here, however, we show a local obstruction - we condition on being able to solve a single instance, and show that after a small change to the instance, we cannot guarantee any solutions will exist close to the first one. This is an instance of the “brittleness,” so to speak, that makes NPP so frustrating to solve; even small changes in the instance break the landscape geometry, so that even if solutions exist, there’s no way to know where they’ll end up.

First moment details meow.

We start with some setup which will apply, with minor modifications depending on the nature of the algorithm in consideration, to all of the energy regimes in discussion. After proving some preliminary estimates, we establish the existence of our conditional landscape obstruction, which is of independent interest. Finally, we conclude by establishing low degree hardness in both the linear and sublinear energy regimes.

Explain more meow.

#### 3.1 Hardness for Low Degree Polynomial Algorithms

First, consider the case of  $\mathcal{A}$  being a polynomial algorithm with degree  $D$ .

Let  $g, g'$  be  $(1 - \varepsilon)$ -correlated standard Normal r.v.s, and let  $x \in \Sigma_N$  depend only on  $g$ . Furthermore, let  $\eta > 0$  be a parameter which will be chosen in a manner specified later. We define the following events:

$$S_{\text{solve}} = \{\mathcal{A}(g) \in S(E; g), \mathcal{A}(g') \in S(E; g')\} \quad (3.1)$$

$$\begin{aligned}
S_{\text{stable}} &= \{\|\mathcal{A}(g) - \mathcal{A}(g')\| \leq 2\sqrt{\eta N}\} \\
S_{\text{cond}}(x) &= \left\{ \nexists x' \in S(E; g') \text{ such that } \|x - x'\| \leq 2\sqrt{\eta N} \right\}
\end{aligned} \tag{3.1}$$

Intuitively, the first two events ask that the algorithm solves both instances and is stable, respectively. The last event, which depends on  $x$ , corresponds to the conditional landscape obstruction: for an  $x$  depending only on  $g$ , there is no solution to  $g'$  which is close to  $x$ .

**Lemma 3.1.** *We have, for  $x := \mathcal{A}(g)$ ,  $S_{\text{solve}} \cap S_{\text{stable}} \cap S_{\text{cond}}(x) = \emptyset$ .*

*Proof:* Suppose that  $S_{\text{solve}}$  and  $S_{\text{stable}}$  both occur. Letting  $x := \mathcal{A}(g)$  (which only depends on  $g$ ) and  $x' := \mathcal{A}(g')$ , we have that  $x' \in S(E; g')$  while also being within distance  $2\sqrt{\eta N}$  of  $x$ . This contradicts  $S_{\text{cond}}(x)$ , thus completing the proof.  $\square$

First, define  $p_{\text{solve}}^{\text{cor}}$  as the probability that the algorithm solves a single random instance:

$$p_{\text{solve}}^{\text{cor}} = \mathbf{P}(\mathcal{A}(g) \in S(E; g)). \tag{3.2}$$

Then, we have the following correlation bound, which allows us to avoid union bounding over instances:

**Lemma 3.2.** *For  $g, g'$  being  $(1 - \varepsilon)$ -correlated, we have*

$$\mathbf{P}(S_{\text{solve}}) = \mathbf{P}(\mathcal{A}(g) \in S(E; g), \mathcal{A}(g') \in S(E; g')) \geq (p_{\text{solve}}^{\text{cor}})^2$$

*Proof:* Let  $\tilde{g}, g^{(0)}, g^{(1)}$  be three i.i.d. copies of  $g$ , and observe that  $g, g'$  are jointly representable as

$$g = \sqrt{1 - \varepsilon} \tilde{g} + \sqrt{\varepsilon} g^{(0)}, \quad g' = \sqrt{1 - \varepsilon} \tilde{g} + \sqrt{\varepsilon} g^{(1)}.$$

Thus, since  $g, g'$  are conditionally independent given  $\tilde{g}$ , we have

$$\begin{aligned}
\mathbf{P}(\mathcal{A}(g) \in S(E; g), \mathcal{A}(g') \in S(E; g')) &= \mathbf{E}[\mathbf{P}(\mathcal{A}(g) \in S(E; g), \mathcal{A}(g') \in S(E; g') \mid \tilde{g})] \\
&= \mathbf{E}[\mathbf{P}(\mathcal{A}(g) \in S(E; g) \mid \tilde{g})^2] \\
&\geq \mathbf{E}[\mathbf{P}(\mathcal{A}(g) \in S(E; g) \mid \tilde{g})]^2 = (p_{\text{solve}}^{\text{cor}})^2,
\end{aligned}$$

where the last line follows by Jensen's inequality.  $\square$

Moreover, let us define  $p_{\text{unstable}}^{\text{cor}}$  and  $p_{\text{cond}}^{\text{cor}}(x)$  by

$$p_{\text{unstable}}^{\text{cor}} = 1 - \mathbf{P}(S_{\text{stable}}), \quad p_{\text{cond}}^{\text{cor}}(x) = 1 - \mathbf{P}(S_{\text{cond}}(x)).$$

In addition, define

$$p_{\text{cond}}^{\text{cor}} := \max_{x \in \Sigma_N} p_{\text{cond}}^{\text{cor}}(x). \tag{3.3}$$

By **Lemma 3.1**, we know that for  $x := \mathcal{A}(g)$

$$\mathbf{P}(S_{\text{solve}}) + \mathbf{P}(S_{\text{stable}}) + \mathbf{P}(S_{\text{cond}}(x)) \leq 2,$$

and rearranging, we get that

$$(p_{\text{solve}}^{\text{cor}})^2 \leq p_{\text{unstable}}^{\text{cor}} + p_{\text{cond}}^{\text{cor}} \tag{3.4}$$

Our proof follows by showing that, for appropriate choices of  $\varepsilon$  and  $\eta$ , depending on  $D$ ,  $E$ , and  $N$ , we have  $p_{\text{unstable}}^{\text{cor}}, p_{\text{cond}}^{\text{cor}} = o(1)$ .

To this end, we start by bounding the size of neighborhoods on  $\Sigma_N$ .

**Proposition 3.3** (Hypercube Neighborhood Size). *Fix  $x \in \Sigma_N$ , and let  $\eta \leq 1/2$ . Then the number of  $x'$  within distance  $2\sqrt{\eta N}$  of  $x$  is*

$$\left| \{x' \in \Sigma_N : \|x - x'\| \leq 2\eta\sqrt{N}\} \right| \leq \exp_2(2\eta \log_2(1/\eta)N)$$

*Proof:* Let  $k$  be the number of coordinates which differ between  $x$  and  $x'$  (i.e. the Hamming distance). We have  $\|x - x'\|^2 = 4k$ , so  $\|x - x'\| \leq 2\sqrt{\eta N}$  iff  $k \leq N\eta$ . Moreover, for  $\eta \leq \frac{1}{2}$ ,  $k \leq \frac{N}{2}$ . Thus, by [Lemma 1.3](#), we get

$$\sum_{k \leq N\eta} \binom{N}{k} \leq \exp_2(Nh(\eta)) \leq \exp_2(2\eta \log_2(1/\eta)N). \quad \square$$

This shows that within a small neighborhood of any  $x \in \Sigma_N$ , the number of nearby points is exponential in  $N$ , with a more nontrivial dependence on  $\eta$ . The question is how many of these are solutions to a correlated/resampled instance.

First, we consider the conditional probability of any fixed  $x \in \Sigma_N$  solving a  $(1 - \varepsilon)$ -correlated problem instance  $g'$ , given  $g$ :

Putting together these bounds, we conclude the following fundamental estimates of  $p_{\text{cond}}^{\text{cor}}$ , i.e. of the failure of our conditional landscape obstruction.

**Proposition 3.4** (Fundamental Estimate – Correlated Case). *Assume that  $(g, g')$  are  $(1 - \varepsilon)$ -correlated standard Normal vectors. Then, for any  $x$  only depending on  $g$ ,*

$$p_{\text{cond}}^{\text{cor}}(x) := \mathbf{P} \left( \exists x' \in S(E; g') \text{ such that } \|x - x'\| \leq 2\sqrt{\eta N} \right) \leq \exp_2 \left( -E - \frac{1}{2} \log_2(\varepsilon) + 2\eta \log_2 \left( \frac{1}{\eta} \right) N + O(\log_2 N) \right).$$

*Proof:* For each  $x'$  within distance  $2\sqrt{\eta N}$  of  $x$ , let

$$I_{x'} := I(x \in S(E; g')) = I(|\langle g', x' \rangle| \leq 2^{-E}),$$

so that

$$p_{\text{cond}}^{\text{cor}}(x) = \mathbf{E} \left[ \sum_{\|x - x'\| \leq 2\sqrt{\eta N}} \mathbf{E}[I_{x'} \mid g] \right] = \mathbf{E} \left[ \sum_{\|x - x'\| \leq 2\sqrt{\eta N}} \mathbf{P}(|\langle g', x' \rangle| \leq 2^{-E} \mid g) \right] \quad (3.5)$$

To bound the inner probability, let  $\tilde{g}$  be a Normal vector independent to  $g$  and set  $p = 1 - \varepsilon$ . Observe that  $g'$  can be represented as  $g' = pg + \sqrt{1 - p^2}\tilde{g}$ , so,  $\langle g', x' \rangle = p\langle g, x' \rangle + \sqrt{1 - p^2}\langle \tilde{g}, x' \rangle$ . We know  $\langle \tilde{g}, x' \rangle \sim \mathcal{N}(0, N)$ , so conditional on  $g$ , we have  $\langle g', x' \rangle \mid g \sim \mathcal{N}(p\langle g, x' \rangle, (1 - p^2)N)$ . Note that  $\langle g', x' \rangle$  is nondegenerate for  $(1 - p^2)N \geq \varepsilon N > 0$ ; thus by [Lemma 1.2](#), we get

$$\mathbf{P}(|\langle g', x' \rangle| \leq 2^{-E} \mid g) \leq \exp_2 \left( -E - \frac{1}{2} \log_2(\varepsilon) + O(\log_2 N) \right). \quad (3.6)$$

Finally, by [Proposition 3.3](#), the number of terms in the sum [\(3.5\)](#) is bounded by  $\exp_2(2\eta \log_2(1/\eta)N)$ , so given that [\(3.6\)](#) is independent of  $g$ , we conclude that

$$p_{\text{cond}}^{\text{cor}}(x) \leq \exp_2\left(-E + -\frac{1}{2}\log_2(\varepsilon) + 2\eta \log_2\left(\frac{1}{\eta}\right)N + O(\log_2 N)\right). \quad \square$$

Note for instance that  $\varepsilon$  can be exponentially small in  $E$  (e.g.  $\varepsilon = \exp_2(-E/10)$ ), which for the case  $E = \Theta(N)$  implies  $\varepsilon$  can be exponentially small in  $N$ .

Transition para meow.

Throughout this section, we let  $E = \delta N$  for some  $\delta > 0$ , and aim to rule out the existence of low degree algorithms achieving these energy levels. This corresponds to the statistically optimal regime, as per [\[22\]](#). These results roughly correspond to those in [\[32, Thm. 3.2\]](#), although their result applies to stable algorithms more generally, and does not show a low degree hardness-type result.

**Theorem 3.5.** *Let  $\delta > 0$  and  $E = \delta N$ , and let  $g, g'$  be  $(1 - \varepsilon)$ -correlated standard Normal r.v.s. Then, for any degree  $D \leq o(\exp_2(\delta N/2))$  polynomial algorithm  $\mathcal{A}$  (with  $\mathbf{E}\|\mathcal{A}(g)\|^2 \leq CN$ ), there exist  $\varepsilon, \eta > 0$  such that  $p_{\text{solve}}^{\text{cor}} = o(1)$ .*

*Proof:* Recall from [\(3.4\)](#) that it suffices to show that both  $p_{\text{cond}}^{\text{cor}}$  and  $p_{\text{unstable}}^{\text{cor}}$  go to zero. Further, by [\(3.3\)](#) and [Proposition 3.4](#), we have

$$p_{\text{cond}}^{\text{cor}} \leq \exp_2\left(-E - \frac{1}{2}\log_2(\varepsilon) + 2\eta \log_2\left(\frac{1}{\eta}\right)N + O(\log_2 N)\right)$$

Thus, first choose  $\eta$  sufficiently small, such that  $2\eta \log_2(1/\eta) < \delta/4$  – this results in  $\eta$  being independent of  $N$ . Next, choose  $\varepsilon = \exp_2(-\delta N/2)$ . This gives

$$p_{\text{cond}}^{\text{cor}} \leq \exp_2\left(-\delta N - \frac{1}{2}\left(-\frac{\delta N}{2}\right) + \frac{\delta N}{4} + O(\log_2 N)\right) = \exp_2\left(-\frac{\delta N}{2} + O(\log_2 N)\right) = o(1).$$

Moreover, for  $D \leq o(\exp_2(\delta N/2))$ , we get by [Proposition 2.20](#) that

$$p_{\text{unstable}}^{\text{cor}} \leq \frac{CD\varepsilon}{2\eta} \asymp \frac{D\varepsilon}{\eta} \asymp D \cdot \exp_2\left(-\frac{\delta N}{2}\right) \rightarrow 0.$$

By [\(3.4\)](#), we conclude that  $(p_{\text{solve}}^{\text{cor}})^2 \leq p_{\text{unstable}}^{\text{cor}} + p_{\text{cond}}^{\text{cor}} = o(1)$ , thus completing the proof.  $\square$

Remark that this implies poly algs are really bad, requiring double exponential time. meow.

Next, we let  $\omega(\log_2 N) \leq E \leq o(N)$ .

**Theorem 3.6.** *Let  $\omega(\log_2^2 N) \leq E \leq o(N)$ , and let  $g, g'$  be  $(1 - \varepsilon)$ -correlated standard Normal r.v.s. Then, for any polynomial algorithm  $\mathcal{A}$  with degree  $D \leq o(\exp_2(E/4))$  (and with  $\mathbf{E}\|\mathcal{A}(g)\|^2 \leq CN$ ), there exist  $\varepsilon, \eta > 0$  such that  $p_{\text{solve}}^{\text{cor}} = o(1)$ .*

*Proof:* As in [Theorem 3.5](#), it suffices to show that both  $p_{\text{cond}}^{\text{cor}}$  and  $p_{\text{unstable}}^{\text{cor}}$  go to zero. To do this, we choose

$$\varepsilon = \exp_2\left(-\frac{E}{2}\right), \quad \eta = \frac{E}{16N \log_2(N/E)}. \quad (3.7)$$

With this choice of  $\eta$ , some simple analysis shows that for  $\frac{E}{N} \ll 1$ , we have that

$$\frac{E}{4N} > 2\eta \log_2(1/\eta).$$

Thus, by [Proposition 3.4](#), we get

$$\begin{aligned} p_{\text{cond}}^{\text{cor}} &\leq \exp_2 \left( -E - \frac{1}{2} \log_2(\varepsilon) + 2\eta \log_2 \left( \frac{1}{\eta} \right) N + O(\log_2 N) \right) \\ &\leq \exp_2 \left( -E + \frac{E}{4} + \frac{E}{4} + O(\log_2 N) \right) = \exp_2 \left( -\frac{E}{2} + O(\log_2 N) \right) = o(1). \end{aligned}$$

where the last equality follows as  $E \gg \log_2 N$ . Then, by [Proposition 2.20](#), the choice of  $D = o(\exp_2(E/4))$  gives

$$\begin{aligned} p_{\text{unstable}}^{\text{cor}} &\leq \frac{CD\varepsilon}{2\eta} \asymp \frac{D\varepsilon N \log_2(N/E)}{E} \\ &= \frac{D \exp_2(-E/2) N \log_2(N/E)}{E} \leq \frac{D \exp_2(-E/2) N \log_2(N)}{E} \\ &\leq D \exp_2 \left( -\frac{E}{2} + \log_2(N) + \log_2 \log_2(N) - \log_2(E) \right) \\ &\leq \exp_2 \left( -\frac{E}{4} + \log_2(N) + \log_2 \log_2(N) - \log_2(E) \right) = o(1), \end{aligned}$$

again, as  $E \gg \log_2 N$ . Ergo, by [\(3.4\)](#),  $(p_{\text{solve}}^{\text{cor}})^2 \leq p_{\text{unstable}}^{\text{cor}} + p_{\text{cond}}^{\text{cor}} = o(1)$ , as desired.  $\square$

### 3.2 Proof for Low Coordinate-Degree Algorithms

Next, let  $\mathcal{A}$  have coordinate degree  $D$ . We now want  $g, g'$  to be  $(1 - \varepsilon)$ -resampled standard Normals. We define the following events.

$$\begin{aligned} S_{\text{diff}} &= \{g \neq g'\} \\ S_{\text{solve}} &= \{\mathcal{A}(g) \in S(E; g), \mathcal{A}(g') \in S(E; g')\} \\ S_{\text{stable}} &= \{\|\mathcal{A}(g) - \mathcal{A}(g')\| \leq 2\sqrt{\eta N}\} \\ S_{\text{cond}}(x) &= \left\{ \nexists x' \in S(E; g') \text{ such that } \|x - x'\| \leq 2\sqrt{\eta N} \right\} \end{aligned} \tag{3.8}$$

Note that these are the same events as [\(3.1\)](#), along with an event to ensure that  $g'$  is nontrivially resampled from  $g$ .

**Lemma 3.7.** *For  $g, g'$  being  $(1 - \varepsilon)$ -resampled,  $\mathbf{P}(S_{\text{diff}}) = 1 - (1 - \varepsilon)^N \leq \varepsilon N$ .*

*Proof:* Follows from calculation:

$$\mathbf{P}(g = g') = \prod_{i=1}^N \mathbf{P}(g_i = g_{i'}) = (1 - \varepsilon)^N \quad \square$$

**Lemma 3.8.** *We have, for  $x = \mathcal{A}(g)$ ,  $S_{\text{diff}} \cap S_{\text{solve}} \cap S_{\text{stable}} \cap S_{\text{cond}}(x) = \emptyset$ .*

*Proof:* This follows from [Lemma 3.1](#), noting that the proof did not use that  $g \neq g'$  almost surely.  $\square$

We should interpret this as saying  $S_{\text{solve}}, S_{\text{stable}}, S_{\text{cond}}$  are all mutually exclusive, conditional on  $g \neq g'$ .

The previous definition of  $p_{\text{solve}}^{\text{cor}}$  in [\(3.2\)](#), which we now term  $p_{\text{solve}}^{\text{res}}$ , remains valid. In particular, we have

**Lemma 3.9.** *For  $g, g'$  being  $(1 - \varepsilon)$ -resampled, we have*

$$\mathbf{P}(S_{\text{solve}}) = \mathbf{P}(\mathcal{A}(g) \in S(E; g), \mathcal{A}(g') \in S(E; g')) \geq (p_{\text{solve}}^{\text{res}})^2$$

*Proof:* Let  $\tilde{g}, g^{(0)}, g^{(1)}$  be three i.i.d. copies of  $g$ , and let  $J$  be a random subset of  $[N]$  where each coordinate is included with probability  $1 - \varepsilon$ . Then,  $g, g'$  are jointly representable as

$$g = \tilde{g}_J + g_{[N] \setminus J}^{(0)}, \quad g' = \tilde{g}_J + g_{[N] \setminus J}^{(1)}$$

where  $\tilde{g}_J$  denotes the vector with coordinates  $\tilde{g}_i$  if  $i \in J$  and 0 else. Thus  $g$  and  $g'$  are conditionally independent, given  $(\tilde{g}, J)$ , and the proof concludes as in [Lemma 3.2](#).  $\square$

Let us slightly redefine  $p_{\text{unstable}}^{\text{res}}$  and  $p_{\text{cond}}^{\text{res}}(x)$  by

$$p_{\text{unstable}}^{\text{res}} = 1 - \mathbf{P}(S_{\text{stable}} \mid S_{\text{diff}}), \quad p_{\text{cond}}^{\text{res}}(x) = 1 - \mathbf{P}(S_{\text{cond}}(x) \mid S_{\text{diff}}). \quad (3.9)$$

This is necessary as when  $g = g'$ ,  $S_{\text{stable}}$  always holds and  $S_{\text{cond}}(x)$  always fails. Note however that if we knew that  $\mathbf{P}(S_{\text{diff}}) = 1$ , which is always the case for  $g, g'$  being  $(1 - \varepsilon)$ -correlated, these definitions agree with what we had in [\(3.4\)](#). Again, we can define  $p_{\text{cond}}^{\text{res}}$  via [\(3.3\)](#), i.e. as the maximum of  $p_{\text{cond}}^{\text{res}}(x)$  over  $\Sigma_N$ .

Now, by [Lemma 3.8](#), we know that for  $x = \mathcal{A}(g)$ ,  $\mathbf{P}(S_{\text{solve}}, S_{\text{stable}}, S_{\text{cond}}(x) \mid S_{\text{diff}}) = 0$ , so

$$\mathbf{P}(S_{\text{solve}} \mid S_{\text{diff}}) + \mathbf{P}(S_{\text{stable}} \mid S_{\text{diff}}) + \mathbf{P}(S_{\text{cond}}(x) \mid S_{\text{diff}}) \leq 2.$$

Thus, rearranging and multiplying by  $\mathbf{P}(S_{\text{diff}})$  (so as to apply [Lemma 3.9](#)) gives

$$(p_{\text{solve}}^{\text{res}})^2 \leq \mathbf{P}(S_{\text{diff}}) \cdot (p_{\text{unstable}}^{\text{res}} + p_{\text{cond}}^{\text{res}}) \quad (3.10)$$

As before, our proof follows by showing that, for appropriate choices of  $\varepsilon$  and  $\eta$ , depending on  $D, E$ , and  $N$ , that  $p_{\text{unstable}}^{\text{res}}, p_{\text{cond}}^{\text{res}} = o(1)$ . However, this also requires us to choose  $\varepsilon \gg \frac{1}{N}$ , so as to ensure that  $g \neq g'$ , as otherwise  $p_{\text{unstable}}^{\text{res}}, p_{\text{cond}}^{\text{res}}$  would be too large. This restriction on  $\varepsilon$  effectively limits us from showing hardness for algorithms with degree larger than  $o(N)$ , as we will see shortly.

First, we bound the same probability of a fixed  $x$  solving a resampled instance. Here, we need to condition on the resampled instance being different, as otherwise the probability in question can be made to be 1 if  $x$  was chosen to solve  $g$ .

**Proposition 3.10** (Fundamental Estimate – Resampled Case). *Assume that  $(g, g')$  are  $(1 - \varepsilon)$ -resampled standard Normal vectors. Then, for any  $x$  only depending on  $g$ ,*

$$p_{\text{cond}}^{\text{res}}(x) = \mathbf{P}\left(\exists x' \in S(E; g') \text{ such that } \left\|x - x'\right\| \leq 2\sqrt{\eta N} \mid g \neq g'\right) \leq \exp_2\left(-E + 2\eta \log_2\left(\frac{1}{\eta}\right)N + O(1)\right).$$



*Proof:* We follow the setup of proof of [Proposition 3.4](#). For each  $x'$  within distance  $2\sqrt{\eta N}$  of  $x$ , let

$$I_{x'} := I(x \in S(E; g')) = I(|\langle g', x' \rangle| \leq 2^{-E}),$$

so that

$$\begin{aligned} p_{\text{cond}}^{\text{res}}(x) &= \mathbf{E} \left[ \sum_{\|x-x'\| \leq 2\sqrt{\eta N}} \mathbf{E}[I_{x'} \mid g, g \neq g'] \right] \\ &= \mathbf{E} \left[ \sum_{\|x-x'\| \leq 2\sqrt{\eta N}} \mathbf{P}(|\langle g', x' \rangle| \leq 2^{-E} \mid g, g \neq g') \mid g \neq g' \right] \end{aligned} \quad (3.11)$$

Again, to bound the inner probability, let  $\tilde{g}$  be a Normal vector independent to  $g$ . Let  $J \subseteq [N]$  be a random subset where each  $i \in J$  with probability  $1 - \varepsilon$ , independently, so  $g'$  can be represented as  $g' = g_J + \tilde{g}_{[N] \setminus J}$ . For a fixed  $x'$  and conditional on  $(g, J)$ , we know that  $\langle \tilde{g}_{[N] \setminus J}, x' \rangle$  is  $\mathcal{N}(0, N - |J|)$  and  $\langle g_J, x' \rangle$  is deterministic. That is,

$$\langle g', x' \rangle \mid (g, J) \sim \mathcal{N}(\langle g_J, x' \rangle, N - |J|).$$

Conditioning on  $g \neq g'$  is equivalent to conditioning on  $|J| < N$ , so  $N - |J| \geq 1$ . Thus, applying [Lemma 1.2](#) and integrating over all valid choices of  $J$  gives

$$\mathbf{P}(|\langle g', x' \rangle| \leq 2^{-E} \mid g, g \neq g') \leq \exp_2(-E + O(1)). \quad (3.12)$$

By [Proposition 3.3](#), the number of terms in the sum (3.11) is bounded by  $\exp_2(2\eta \log_2(1/\eta)N)$ , so summing (3.12) allows us to conclude that

$$p_{\text{cond}}^{\text{res}}(x) \leq \exp_2 \left( -E + 2\eta \log_2 \left( \frac{1}{\eta} \right) N + O(1) \right). \quad \square$$

Note that in contrast to [Proposition 3.4](#), this bound doesn't involve  $\varepsilon$  at all, but the condition  $g \neq g'$  requires  $\varepsilon = \omega(1/N)$  to hold almost surely, by [Lemma 3.7](#).

With this, we can show strong low degree hardness for low coordinate degree algorithms at energy levels  $E = \Theta(N)$ .

**Theorem 3.11.** *Let  $\delta > 0$  and  $E = \delta N$ , and let  $g, g'$  be  $(1 - \varepsilon)$ -resampled standard Normal r.v.s. Then, for any algorithm  $\mathcal{A}$  with coordinate degree  $D \leq o(N)$  and  $\mathbf{E}\|\mathcal{A}(g)\|^2 \leq CN$ , there exist  $\varepsilon, \eta > 0$  such that  $p_{\text{solve}}^{\text{res}} = o(1)$ .*

*Proof:* Recall from (3.10) that it suffices to show that both  $p_{\text{cond}}^{\text{res}}$  and  $p_{\text{unstable}}^{\text{res}}$  go to zero, while  $\mathbf{P}(S_{\text{diff}}) \approx 1$ . By [Lemma 3.7](#), the latter condition is satisfied for  $\varepsilon = \omega(1/N)$ . Thus, pick

$$\varepsilon = \frac{\log_2(N/D)}{N}. \quad (3.13)$$

Note that this satisfies  $N\varepsilon = \log_2(N/D) \gg 1$ , for  $D = o(N)$ . Next, choose  $\eta$  such that  $2\eta \log_2(1/\eta) < \delta/4$ —again, this results in  $\eta$  being independent of  $N$ . As the bound in [Proposition 3.10](#) is independent of  $x$ , we get

$$p_{\text{cond}}^{\text{res}} \leq \exp_2 \left( -\delta N + \frac{\delta N}{4} + O(1) \right) = o(1).$$

Moreover, for  $D \leq o(N)$ , **Proposition 2.20** now gives

$$p_{\text{unstable}}^{\text{res}} \leq \frac{CD\varepsilon}{2\eta} \asymp D \cdot \frac{\log_2(N/D)}{N} \rightarrow 0,$$

as  $x \log_2(1/x) \rightarrow 0$  for  $x \ll 1$ . By **(3.10)**, we conclude that  $(p_{\text{solve}}^{\text{res}})^2 \leq \mathbf{P}(S_{\text{diff}}) \cdot (p_{\text{unstable}}^{\text{res}} + p_{\text{cond}}^{\text{res}}) = o(1)$ , thus completing the proof.  $\square$

Sublinear case. We now consider sublinear energy levels, ranging from  $(\log_2 N)^2 \ll E \ll N$ . Note here that we have to increase our lower bound to  $(\log_2 N)^2$  as opposed to  $\log_2 N$  from **Theorem 3.6**, to address the requirement that  $\varepsilon = \omega(1/N)$ .

**Theorem 3.12.** *Let  $\omega((\log_2 N)^2) \leq E \leq o(N)$ , and let  $g, g'$  be  $(1 - \varepsilon)$ -resampled standard Normal r.v.s. Then, for any algorithm  $\mathcal{A}$  with coordinate degree  $D \leq o(E/(\log_2 N)^2)$  and  $\mathbf{E}\|\mathcal{A}(g)\|^2 \leq CN$ , there exist  $\varepsilon, \eta > 0$  such that  $p_{\text{solve}}^{\text{res}} = o(1)$ .*

*Proof:* As in **Theorem 3.11**, choose  $\varepsilon$  as in **(3.13)**, so that  $\varepsilon = \omega(1/N)$  and  $\mathbf{P}(S_{\text{diff}}) \approx 1$ . However, to account for  $E \leq o(N)$ , we need to adjust  $\eta$  as  $N \rightarrow \infty$ . Thus, choose  $\eta$  as in **(3.7)**: this ensures that  $\varepsilon = \omega(1/N)$  and that  $2\eta \log_2(1/\eta) < E/4N$  for  $E \ll N$ . By **Proposition 3.10**, this guarantees that

$$p_{\text{cond}}^{\text{res}} \leq \exp_2 \left( -E + 2\eta \log_2 \left( \frac{1}{\eta} \right) N + O(1) \right) \leq \exp_2 \left( -\frac{3E}{4} + O(1) \right) = o(1).$$

The low coordinate degree requirement  $D \leq o(E/(\log_2 N)^2)$  plus **Proposition 2.20** now gives

$$\begin{aligned} p_{\text{unstable}}^{\text{res}} &\leq \frac{CD\varepsilon}{2\eta} \asymp \frac{D\varepsilon N \log_2(N/E)}{E} \\ &= \frac{D \log_2(N/D) \log_2(N/E)}{E} \leq \frac{D(\log_2 N)^2}{E} = o(1). \end{aligned}$$

By **(3.10)**,  $(p_{\text{solve}}^{\text{res}})^2 \leq \mathbf{P}(S_{\text{diff}}) \cdot (p_{\text{unstable}}^{\text{res}} + p_{\text{cond}}^{\text{res}}) = o(1)$ , thus completing the proof.  $\square$

### 3.3 Summary of Parameters

Parameter	Meaning	Desired Direction	Intuition
$N$	Dimension	Large	Showing hardness <i>asymptotically</i> , want “bad behavior” to pop up in low dimensions.
$E$	Solution energy; want to find $x$ such that $ \langle g, x \rangle  \leq 2^{-E}$	Small	Smaller $E$ implies weaker solutions, and can consider full range of $1 \ll E \ll N$ . Know that $E > (\log^2 N)$ by [24]
$D$	Algorithm degree (in either Efron-Stein sense or usual polynomial sense.)	Large	Higher degree means more complexity. Want to show even complex algorithms fail.
$\varepsilon$	Complement of correlation/resample probability; $(g, g')$ are $(1 - \varepsilon)$ -correlated.	Small	$\varepsilon$ is “distance” between $g, g'$ . Want to show that small changes in disorder lead to “breaking” of landscape.
$\eta$	Algorithm instability; $\mathcal{A}$ is stable if $\ \mathcal{A}(g) - \mathcal{A}(g')\  \leq 2\sqrt{\eta N}$ , for $(g, g')$ close.	Large	Large $\eta$ indicates a more unstable algorithm; want to show that even weakly stable algorithms fail.

Table 1: Explanation of Parameters



## 4 Extensions to Real-Valued Algorithms

With [Section 3](#), we have established strong low degree hardness for both low degree polynomial algorithms and low coordinate degree algorithms. However, our stability analysis assumed that the algorithms in question were  $\Sigma_N$ -valued. In this section, we show that this assumption is not in fact as restrictive as it might appear.

Throughout, let  $\mathcal{A}$  denote an  $\mathbf{R}^N$ -valued algorithm. We want to show that

- I. No low degree  $\mathcal{A}$  can reliably output points *close* – within constant distance – to a solution,
- II. No  $\Sigma_N$ -valued algorithm  $\widetilde{\mathcal{A}}$  coming from randomly rounding the output of  $\mathcal{A}$ , which changes an  $\omega(1)$  number of coordinates, can find a solution with nonvanishing probability.

In principle, the first possibility fails via the same analysis as in [Section 3](#), while the second fails because the landscape of solutions to any given NPP instance is sparse.

Why are these the only two possibilities? For  $\mathcal{A}$  to provide a way to actually solve the NPP, we must be able to turn its outputs on  $\mathbf{R}^N$  into points on  $\Sigma_N$ . If  $\mathcal{A}$  could output points within an constant distance (independent of the instance) of a solution, then we could convert  $\mathcal{A}$  into a  $\Sigma_N$ -valued algorithm by manually computing the energy of all points close to its output and returning the energy-maximizing point.

However, the more common way to convert a  $\mathbf{R}^N$ -valued algorithm into a  $\Sigma_N$ -valued one is by rounding the outputs, as in [\[65\]](#). Doing this directly can lead to difficulties in performing the stability analysis. In our case, though, if we know no  $\mathcal{A}$  can reliably output points within constant distance of a solution, then any rounding scheme which only flips  $O(1)$  many coordinates will assuredly fail. Thus, the only rounding schemes worth considering are those which flip  $\omega(1)$  many coordinates.

We first describe a landscape obstruction to finding multiple solutions at the same energy level for a random NPP instance. Then, we show hardness in both of the aforementioned cases. meow.

### 4.1 Solutions repel meow

Introduce section meow.

No two adjacent points on  $\Sigma_N$  (or pairs within  $k = O(1)$  distance) which are both good solutions to the same problem.

**Proposition 4.1.** *Fix distinct points  $x, x' \in \Sigma_N$  and let  $g \sim \mathcal{N}(0, I_N)$  be a random instance. Then,*

$$\mathbf{P}(x, x' \in S(E; g)) \leq \exp_2(-E + O(1)) = \exp_2(-E + O(1)).$$

*Proof:* For  $x \neq x'$ , let  $J \subseteq [N]$  denote the subset of coordinates in which  $x, x'$  differ, i.e.  $x_J \neq x'_J$ . In particular, we can write

$$x = x_{[N] \setminus J} + x_J, \quad x' = x_{[N] \setminus J} - x_J.$$

Thus, for a fixed pair  $(x, x')$ , if  $-2^{-E} \leq \langle g, x \rangle, \langle g, x' \rangle \leq 2^{-E}$ , we can expand this into

$$\begin{aligned} -2^{-E} &\leq \langle g, x_{[N] \setminus J} \rangle + \langle g, x_J \rangle \leq 2^{-E}, \\ -2^{-E} &\leq \langle g, x_{[N] \setminus J} \rangle - \langle g, x_J \rangle \leq 2^{-E}. \end{aligned}$$

Multiplying the lower equation by  $-1$  and adding the resulting inequalities gives  $|\langle g, x_J \rangle| \leq 2^{-E}$ . Note that  $\langle g, x_J \rangle \sim \mathcal{N}(0, |J|)$  (and is nondegenerate, as  $|J| > 0$ ). By [Lemma 1.2](#) and the following remark, it follows that

$$\mathbf{P}(x, x' \in S(E; g)) \leq \mathbf{P}(|\langle g, x_J \rangle| \leq 2^{-E}) \leq \exp_2(-E + O(1)). \quad \square$$

Remarks on theorem below meow.

**Theorem 4.2** (Solutions Can't Be Close). *Consider any distances  $k = \Omega(1)$  and energy levels  $E \gg k \log_2 N$ . Then for any instance  $g$ , there are no pairs of distinct solutions  $x, x' \in S(E; g)$  with  $\|x - x'\| \leq 2\sqrt{k}$  (i.e. within  $k$  coordinate flips of each other) with high probability.*

*Proof:* Observe that by [Proposition 4.1](#), finding a pair of distinct solutions within distance  $2\sqrt{k}$  implies finding some subset of at most  $k$  coordinates  $J \subset [N]$  of  $g$  and  $|J|$  signs  $x_J$  such that  $|\langle g_J, x_J \rangle|$  is small. For any  $g$ , there are at most  $2^k$  choices of signs and, by [\[98, Exer. 0.0.5\]](#), there are

$$\sum_{1 \leq k' \leq k} \binom{N}{k'} \leq \left( \frac{eN}{k} \right)^k \leq (eN)^k = 2^{O(k \log_2 N)}$$

choices of such subsets. Union bounding [Proposition 4.1](#) over these  $\exp_2 O(k \log_2 N)$  choices, we get

$$\mathbf{P} \left( \begin{array}{l} \exists x, x' \text{ s.t.} \\ \text{(a) } \|x - x'\| \leq 2\sqrt{k}, \\ \text{(b) } x, x' \in S(E; g) \end{array} \right) \leq \mathbf{P} \left( \begin{array}{l} \exists J \subset [N], x_J \in \{\pm 1\}^{|J|} \text{ s.t.} \\ \text{(a) } |J| \leq k, \\ \text{(b) } |\langle g_J, x_J \rangle| \leq \exp_2(-E) \end{array} \right) \leq \exp_2(-E + O(k \log_2 N)) = o(1).$$

Note that the last equality holds as  $E \gg k \log_2 N$ .  $\square$

## 4.2 Proof of Hardness for Close Algorithms

Throughout this section, fix some distance  $r = O(1)$ . Consider the event that the  $\mathbf{R}^N$ -valued  $\mathcal{A}$  outputs a point close to a solution for an instance  $g$ :

$$S_{\text{close}}(r) = \left\{ \begin{array}{l} \exists \hat{x} \in S(E; g) \text{ s.t.} \\ \mathcal{A}(g) \in B(\hat{x}, r) \end{array} \right\} = \{B(\mathcal{A}(g), r) \cap S(E; g) \neq \emptyset\}$$

Note that as  $r$  is fixed (potentially depending on  $\mathcal{A}$ , but independent of  $N$  or  $g$ ), we can convert  $\mathcal{A}$  into a  $\Sigma_N$ -valued algorithm by considering the corners of  $\Sigma_N$  within constant distance of  $\mathcal{A}(g)$ .

**Definition 4.3.** Let  $r > 0$  and  $\mathcal{A}$  be an  $\mathbf{R}^N$ -valued algorithm. Define  $\widehat{\mathcal{A}}_r$  to be the  $\Sigma_N$ -valued algorithm defined by

$$\widehat{\mathcal{A}}_r(g) := \operatorname{argmin}_{x' \in B(\mathcal{A}(g), r) \cap \Sigma_N} |\langle g, x' \rangle|. \quad (4.2)$$

If  $B(\mathcal{A}(g), r) \cap \Sigma_N = \emptyset$ , then set  $\widehat{\mathcal{A}}_r(g) := (1/g_1, 0, \dots)$ , which always has energy 0.

Observe that  $S_{\text{close}(r)}$  occurring is the same as  $\widehat{\mathcal{A}}_r$  finding a solution for  $g$ . In addition, note that practically speaking, computing  $\widehat{\mathcal{A}}_r$  requires additionally computing the energy of  $O(1)$ -many points on  $\Sigma_N$ . This requires only an additional  $O(N)$  operations.

Recall from [Section 2.3](#) that if  $\mathcal{A}$  is low degree (or low coordinate degree) then we can derive useful stability bounds for its outputs. Luckily, this modification  $\widehat{\mathcal{A}}_r$  of  $\mathcal{A}$  also are stable, with slightly modified bounds.

**Lemma 4.4.** *Suppose that  $\mathbf{E}\|\mathcal{A}(g)\|^2 \leq CN$  and that  $\mathcal{A}$  has degree  $\leq D$  (resp. coordinate degree  $\leq D$ ), and let  $(g, g')$  be  $(1 - \varepsilon)$ -correlated (resp.  $(1 - \varepsilon)$ -resampled). Then  $\widehat{\mathcal{A}}_r$  as defined above has*

$$\mathbf{E}\|\widehat{\mathcal{A}}_r(g) - \widehat{\mathcal{A}}_r(g')\|^2 \leq 6CD\varepsilon N + 6r^2.$$

In particular, we have

$$\mathbf{P}\left(\|\widehat{\mathcal{A}}_r(g) - \widehat{\mathcal{A}}_r(g')\| \geq 2\sqrt{\eta N}\right) \leq \frac{3CD\varepsilon}{2\eta} + \frac{3r^2}{2\eta N}. \quad (4.3)$$

*Proof:* Observe by the triangle inequality, as per [\(1.4\)](#), that

$$\|\widehat{\mathcal{A}}_r(g) - \widehat{\mathcal{A}}_r(g')\|^2 \leq 3\left(\|\widehat{\mathcal{A}}_r(g) - \mathcal{A}(g)\|^2 + \|\mathcal{A}(g) - \mathcal{A}(g')\|^2 + \|\mathcal{A}(g') - \widehat{\mathcal{A}}_r(g')\|^2\right).$$

By [Proposition 2.20](#), we know  $\mathbf{E}\|\mathcal{A}(g) - \mathcal{A}(g')\|^2 \leq 6CD\varepsilon N$ . Moreover, we know that  $\|\widehat{\mathcal{A}}_r(g) - \mathcal{A}(g)\| \leq r$  by definition, so the remaining terms can be bounded by  $3r^2$  each deterministically. Finally, [\(4.2\)](#) follows from Markov's inequality.  $\square$

Of course, computing  $\widehat{\mathcal{A}}_r$  is certainly never polynomial, and does not preserve any low coordinate degree assumptions in a controllable way. Thus, we cannot directly hope for [Theorem 3.5](#), [Theorem 3.6](#), [Theorem 3.11](#), or [Theorem 3.12](#) to hold meow

We show for  $\mathcal{A}$  being a  $\mathbf{R}^N$ -valued, low coordinate degree algorithm and any  $r = O(1)$ , low degree hardness still holds for  $\widehat{\mathcal{A}}_r$ . Note that by a similar argument, we can show hardness in the case that  $\mathcal{A}$  is a low degree polynomial algorithm, but we omit the proof meow.

We recall the setup from [Section 3.2](#). Let  $g, g'$  be  $(1 - \varepsilon)$ -resampled standard Normal vectors. Define the following events:

$$\begin{aligned} S_{\text{diff}} &= \{g \neq g'\} \\ S_{\text{solve}} &= \{\widehat{\mathcal{A}}_r(g) \in S(E; g), \widehat{\mathcal{A}}_r(g') \in S(E; g')\} \\ S_{\text{stable}} &= \{\|\widehat{\mathcal{A}}_r(g) - \widehat{\mathcal{A}}_r(g')\| \leq 2\sqrt{\eta N}\} \\ S_{\text{cond}}(x) &= \left\{ \nexists x' \in S(E; g') \text{ such that } \|x - x'\| \leq 2\sqrt{\eta N} \right\} \end{aligned} \quad (4.4)$$

These are the same events as in [\(3.8\)](#), just adapted to  $\widehat{\mathcal{A}}_r$ . In particular, [Lemma 3.8](#) holds unchanged.

Moreover, we can define

$$\hat{p}_{\text{solve}}^{\text{cor}} = \mathbf{P}\left(\widehat{\mathcal{A}}_r(g) \in S(E; g)\right) = \mathbf{P}(S_{\text{close}}(r)), \quad (4.5)$$

as well as

$$\hat{p}_{\text{unstable}}^{\text{cor}} = 1 - \mathbf{P}(S_{\text{stable}} \mid S_{\text{diff}}), \quad \hat{p}_{\text{cond}}^{\text{cor}}(x) = 1 - \mathbf{P}(S_{\text{cond}}(x) \mid S_{\text{diff}}),$$

along with  $\hat{p}_{\text{cond}}^{\text{cor}} := \max_{x \in \Sigma_N} \hat{p}_{\text{cond}}^{\text{cor}}(x)$ , echoing (3.9).

Observe that as  $\hat{p}_{\text{cond}}^{\text{cor}}$  makes no reference to any algorithm, the bound in [Proposition 3.10](#) holds without change. Moreover, [Lemma 4.4](#) lets us control  $\hat{p}_{\text{unstable}}^{\text{cor}}$ . The final piece needed is an appropriate analog of [Lemma 3.9](#).

**Lemma 4.5.** *For  $g, g'$  being  $(1 - \varepsilon)$ -resampled, we have*

$$\mathbf{P}(S_{\text{solve}}) = \mathbf{P}(\widehat{\mathcal{A}}_r(g) \in S(E; g), \widehat{\mathcal{A}}_r(g') \in S(E; g')) \geq (\hat{p}_{\text{solve}}^{\text{cor}})^2$$

*Proof:* Observe that, letting  $+$  denote Minkowski sum, we have that

$$\{\widehat{\mathcal{A}}_r(g) \in S(E; g)\} = \{\mathcal{A}(g) \in S(E; g) + B(0, r)\}.$$

Expanding  $S(E; g)$ , the proof concludes as in [Lemma 3.9](#).  $\square$

**Theorem 4.6.** *Let  $\omega((\log_2 N)^2) \leq E \leq \Theta(N)$ , and let  $g, g'$  be  $(1 - \varepsilon)$ -resampled standard Normal r.v.s. Consider any  $r = O(1)$  and  $\mathbf{R}^N$ -valued  $\mathcal{A}$  with  $\mathbf{E}\|\mathcal{A}(g)\|^2 \leq CN$ , and assume in addition that*

- (a) *if  $E = \delta N = \Theta(N)$  for  $\delta > 0$ , then  $\mathcal{A}$  has coordinate degree  $D \leq o(N)$ ;*
- (b) *if  $(\log_2 N)^2 \ll E \ll N$ , then  $\mathcal{A}$  has coordinate degree  $D \leq o(E/(\log_2 N)^2)$ .*

*Let  $\widehat{\mathcal{A}}_r$  be defined as in [Definition 4.3](#). Then there exist  $\varepsilon, \eta > 0$  such that*

$$\hat{p}_{\text{solve}}^{\text{cor}} = \mathbf{P}(\widehat{\mathcal{A}}_r(g) \in S(E; g)) = o(1).$$

*Proof:* First, by [Lemma 3.8](#), the appropriate adjustment of (3.10) holds, namely that

$$(\hat{p}_{\text{solve}}^{\text{cor}})^2 \leq \mathbf{P}(S_{\text{diff}}) \cdot (\hat{p}_{\text{unstable}}^{\text{cor}} + \hat{p}_{\text{cond}}^{\text{cor}}). \quad (4.6)$$

To ensure  $\mathbf{P}(S_{\text{diff}}) \approx 1$ , we begin by following (3.13) and choosing  $\varepsilon = \log_2(N/D)/N$ . Moreover, following the proof of [Theorem 3.11](#) and [Theorem 3.12](#), we know that choosing

$$\eta = \begin{cases} O(1) \text{ s.t. } 2\eta \log_2(1/\eta) < \delta/4 & E = \delta N, \\ \frac{E}{16N \log_2(N/E)} & E = o(N) \end{cases}$$

in conjunction with [Proposition 3.10](#), guarantees that

$$\hat{p}_{\text{cond}}^{\text{cor}} \leq \exp_2\left(-\frac{3E}{4} + O(1)\right) = o(1).$$

Finally, note that in the linear case, when  $\eta = O(1)$ ,  $\frac{r^2}{\eta N} = o(1)$  trivially. In the sublinear case, for  $\eta = E/(16N \log_2(N/E))$ , we instead get

$$\eta N = \frac{E}{16 \log_2(N/E)} \geq \frac{E}{16 \log_2 N} = \omega(1),$$

as  $E \gg (\log_2 N)^2$ . Thus, applying the properly modified [Lemma 4.4](#) with these choices of  $\varepsilon, \eta$ , we see that  $\hat{p}_{\text{unstable}}^{\text{cor}} = o(1)$ . By (4.6), we conclude that  $\hat{p}_{\text{solve}}^{\text{cor}} = o(1)$ , as desired.  $\square$



Talk about implications meow.

### 4.3 Truly Random Rounding

At this point, one might wonder whether, while deterministic algorithms fail, perhaps a randomized rounding scheme could save us, maybe by assigning small values to coordinates which it was less confident in. However, this approach is blunted by the same brittleness of the NPP landscape that established the conditional obstruction of [Proposition 3.4](#) and [Proposition 3.10](#). In particular, by [Theorem 4.2](#), if you have a subcube of  $\Sigma_N$  nonconstant but bounded dimension, then with high probability at most one of those points will be a solution.

For this section, again let  $\mathcal{A}$  be a deterministic  $\mathbf{R}^N$ -valued algorithm. Moreover, assume we are searching for solutions with energy between  $(\log_2 N)^2 \ll E \leq N$ ; note that for lower values of  $E$ , algorithms like [\[24\]](#) already achieve discrepancies of  $N^{O(\log_2 N)}$  energy in polynomial time.

To start, for any  $x \in \mathbf{R}^N$ , we write  $x^*$  for the coordinate-wise signs of  $x$ , i.e.

$$x_i^* := \begin{cases} +1 & x_i > 0, \\ -1 & x_i \leq 0. \end{cases}$$

We can define the modified alg  $\mathcal{A}^*(g) := \mathcal{A}(g)^*$ .

**Remark 4.7.** meow  $\mathcal{A}^*$  fails and is still degree  $D$  lcdf, even if it stops being a polynomial. Bounds on  $D$  worsen, but only to what you'd expect. Note that if  $\mathcal{A}$  has coordinate degree  $D$ , then  $\mathcal{A}^*$  also has coordinate degree  $D$ . As a deterministic  $\Sigma_N$ -valued algorithm, strong low degree hardness as proved in the previous section applies.

In contrast to deterministically taking signs of the outputs of  $\mathcal{A}$  (which corresponds to deterministically rounding the outputs of  $\mathcal{A}$  to  $\Sigma_N$ ), we can consider passing the output of  $\mathcal{A}$  through a randomized rounding scheme. Let  $\text{round}(x, \omega) : \mathbf{R}^N \times \Omega \rightarrow \Sigma_N$  denote any randomized rounding function, with randomness  $\omega$  independent of the input. We will often suppress the  $\omega$  in the notation, and treat  $\text{round}(x)$  as a  $\Sigma_N$ -valued random variable. Given such a randomized rounding function, we can describe it in the following way. Let  $p_1(x), \dots, p_N(x)$  be defined by

$$p_i(x) := \max\left(\mathbf{P}(\text{round}(x)_i \neq x_i^*), \frac{1}{2}\right). \quad (4.7)$$

We need to guarantee that each  $p_i(x) \leq 1/2$  for the following alternative description of  $\text{round}(x)$ :

**Lemma 4.8.** Fix  $x \in \mathbf{R}^N$ , and draw  $N$  coin flips  $I_{x,i} \sim \text{Bern}(2p_i(x))$  and  $N$  signs  $S_i \sim \text{Unif}\{\pm 1\}$ , all mutually independent, and define the random variable  $\tilde{x} \in \Sigma_N$  by

$$\tilde{x}_i := S_i I_{x,i} + (1 - I_{x,i}) x_i^*.$$

Then  $\tilde{x} \sim \text{round}(x)$ .

*Proof:* Conditioning on  $I_{x,i}$ , we can check that

$$\mathbf{P}(\tilde{x}_i \neq x_i) = 2p_i(x) \cdot \mathbf{P}(\tilde{x}_i = x_i \mid I_{x,i} = 1) + (1 - 2p_i(x)) \cdot \mathbf{P}(\tilde{x}_i \neq x_i \mid I_{x,i} = 0) = p_i(x).$$

Thus,  $\mathbf{P}(\tilde{x}_i = x_i^*) = \mathbf{P}(\text{round}(x)_i = x_i^*)$ , as claimed.  $\square$

By [Lemma 4.8](#), we can redefine  $\text{round}(x)$  to be  $\tilde{x}$  as constructed without loss of generality.

It thus makes sense to define  $\tilde{\mathcal{A}}(g) := \text{round}(\mathcal{A}(g))$ , which is now (a)  $\Sigma_N$ -valued and (b) randomized only in the transition from  $\mathbf{R}^N$  to  $\Sigma_N$  (i.e., the rounding doesn't depend directly on  $g$ , only the output  $x = \mathcal{A}(g)$ ). We should expect that if  $\tilde{\mathcal{A}} = \mathcal{A}^*$  (e.g., if  $\mathcal{A}$  outputs values far outside the cube  $[-1, 1]^N$ ) with high probability, then low degree hardness will still apply, as  $\mathcal{A}^*$  is deterministic. However, in general, any  $\tilde{\mathcal{A}}$  which differs from  $\mathcal{A}^*$  will fail to solve  $g$  with high probability. This is independent of any assumptions on  $\mathcal{A}$ : any rounding scheme will introduce so much randomness that  $\tilde{x}$  will be effectively a random point, which has a vanishing probability of being a solution because of how sparse and disconnected the NPP landscape is.

To see this, we first show that any randomized rounding scheme as in [Lemma 4.8](#) which a.s. differs from picking the closest point deterministically will resample a diverging number of coordinates.

**Lemma 4.9.** *Fix  $x \in \mathbf{R}^N$ , and let  $p_1(x), \dots, p_N(x)$  be defined as in [\(4.7\)](#). Then  $\tilde{x} \neq x^*$  with high probability iff  $\sum_i p_i(x) = \omega(1)$ . Moreover, assuming that  $\sum_i p_i(x) = \omega(1)$ , the number of coordinates in which  $\tilde{x}$  is resampled diverges almost surely.*

*Proof:* Recall that for  $x \in [0, 1/2]$ ,  $\log_2(1 - x) = \Theta(x)$ . Thus, as each coordinate of  $x$  is rounded independently, we can compute

$$\mathbf{P}(\tilde{x} = x^*) = \prod_i (1 - p_i(x)) = \exp_2 \left( \sum_i \log_2(1 - p_i(x)) \right) \leq \exp_2 \left( -\Theta \left( \sum_i p_i(x) \right) \right).$$

Thus,  $\mathbf{P}(\tilde{x} = x^*) = o(1)$  iff  $\sum_i p_i(x) = \omega(1)$ , as claimed.

Next, following the construction of  $\tilde{x}$  in [Lemma 4.8](#), let  $E_i = \{I_{x,i} = 1\}$  be the event that  $\tilde{x}_i$  is resampled from  $\text{Unif}\{\pm 1\}$ , independently of  $x_i^*$ . The  $E_i$  are independent, so by Borel-Cantelli,  $\sum_i \mathbf{P}(E_i) = 2 \sum_i p_i(x) = \omega(1)$  implies  $\tilde{x}_i$  is resampled infinitely often with probability 1, thus completing the proof.  $\square$

With this, we can apply [Theorem 4.2](#), which shows that solutions resist clustering at a rate related to their energy level (i.e. higher energy solutions are pushed further apart), to conclude that any  $\tilde{\mathcal{A}}$  which is not equal to  $\mathcal{A}^*$  with high probability fails to find solutions.

**Theorem 4.10.** *Let  $x = \mathcal{A}(g)$ , and define  $x^*, \tilde{x}$ , etc., as previously. Moreover, assume that for any  $x$  in the possible outputs of  $\mathcal{A}$ , we have  $\sum_i p_i(x) = \omega(1)$ . Then, for any  $E \geq \omega((\log_2 N)^2)$ , we have*

$$\mathbf{P}(\tilde{\mathcal{A}}(g) \in S(E; g)) = \mathbf{P}(\tilde{x} \in S(E; g)) \leq o(1).$$

*Proof:* Following the characterization of  $\tilde{x}$  in [Lemma 4.8](#), let  $K := \max(\log_2 N, \sum_i I_{x,i})$ . By assumption on  $\sum_i p_i(x)$  and [Lemma 4.9](#), we know  $K$ , which is at least the number of coordinates which are resampled, is bounded as  $1 \ll K \leq \log_2 N$ , for any possible  $x = \mathcal{A}(g)$ . Now, let  $S \subseteq [N]$  denote the set of the first  $K$  coordinates to be resampled, so that  $K = |S|$ . Consider now

$$\mathbf{P}(\tilde{x} \in S(E; g) \mid \tilde{x}_{[N] \setminus S}),$$

where we fix the coordinates outside of  $S$  and let  $\tilde{x}$  be uniformly sampled from a  $K$ -dimensional subcube of  $\Sigma_N$ . All such  $\tilde{x}$  are within distance  $2\sqrt{K}$  of each other, so by **Theorem 4.2**, the probability there is more than one such  $\tilde{x} \in S(E; g)$  is bounded by

$$\exp_2(-E + O(K \log_2 N)) \leq \exp_2(-E + O((\log_2 N)^2)) = o(1),$$

by assumption on  $E$ . Thus, the probability that any of the  $\tilde{x}$  is in  $S(E; g)$  is bounded by  $2^{-K}$ , whence

$$\mathbf{P}(\tilde{x} \in S(E; g)) = \mathbf{E}[\mathbf{P}(\tilde{x} \in S(E; g) \mid \tilde{x}_{[N] \setminus S})] \leq 2^{-K} \leq o(1). \quad \square$$

meow discuss possible extensions of randomization schemes and whether you expect those to work instead.

## **5 Conclusion**

Meow

### **5.1 Future Work**

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