# Automatic Control Systems Lecture 9 Root Locus

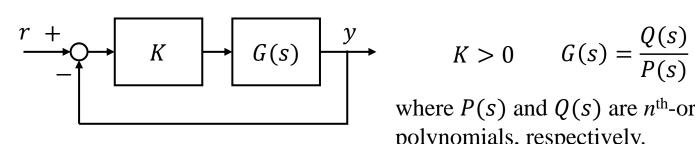
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### **Outline**

- Introduction
- Properties of Root Locus

### Closed-Loop Poles as a Function of the Controller's Gain



$$K > 0$$
  $G(s) = \frac{Q(s)}{P(s)}$ 

where P(s) and Q(s) are  $n^{th}$ -order and  $m^{th}$ -order polynomials, respectively.

Closed-loop Transfer Function:

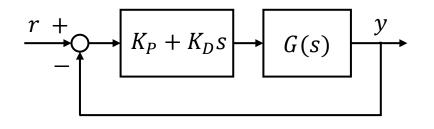
$$M(s) = \frac{Y(s)}{R(s)} = \frac{KG(s)}{1 + KG(s)}$$

$$p$$
 is a pole of  $M(s) \Leftrightarrow 1 + KG(p) = 0 \Leftrightarrow P(p) + KQ(p) = 0$ 

- For each value of K, we can find n closed-loop poles by solving the characteristic equation P(s) + KQ(s) = 0.
- The loci of these n closed-loop poles on the complex plane as K varies from 0 to  $\infty$  are called **root locus** of the closed-loop system.

## **Applying Root Locus Analysis to Various Systems**

PD Controller



Let 
$$C(s) = K_P + K_D s = K_P (1 + T_z s)$$

where  $T_Z = \frac{K_D}{K_P}$  is chosen in advance.

Then the characteristic equation is

$$1 + K_P(1 + T_z s)G(s) = 1 + K_P G_1(s)$$

where 
$$G_1(s) = (1 + T_z s)G(s)$$

Consider the following characteristic equation

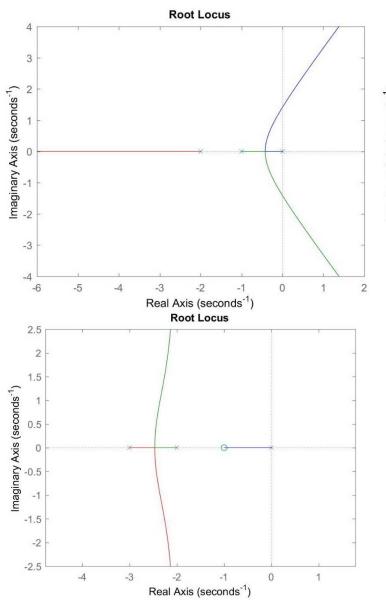
$$s(s+1)(s+2) + s^2 + (3+2K)s + 5 = 0$$

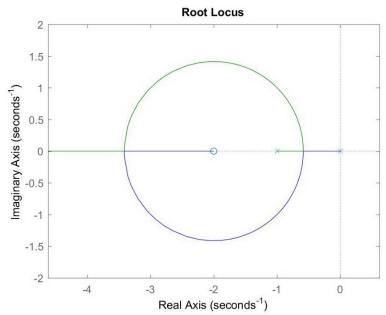
$$\Rightarrow s(s+1)(s+2) + s^2 + 3s + 5 + 2Ks = 0$$

$$\Rightarrow 1 + \frac{2Ks}{s(s+1)(s+2) + s^2 + 3s + 5} = 0$$

$$1 + KG(s) = 0$$
, where  $G(s) = \frac{2s}{s^3 + 4s^2 + 5s + 5}$ 

### **Example of Root Locus**





Upper Left: 
$$G(s) = \frac{1}{s(s+1)(s+2)}$$

Upper Right: 
$$G(s) = \frac{s+2}{s(s+1)}$$

Lower Left: 
$$G(s) = \frac{s+1}{s(s+2)(s+3)}$$

Matlab Instruction: rlocus

### Graphical Interpretation (I)

$$1 + KG(s) = 0 \iff G(s) = -\frac{1}{K}$$

$$\langle G(s) | = \frac{1}{K}$$

$$\angle G(s) = (2i+1)\pi, \quad i \in \mathbb{Z}$$

$$Let \quad G(s) = \frac{(s+z_1)(s+z_2)\cdots(s+z_m)}{(s+p_1)(s+p_2)\cdots(s+p_n)} \qquad Then (1) becomes$$

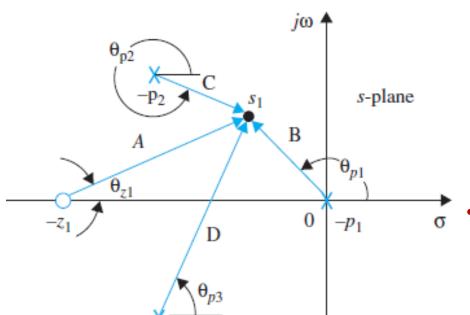
$$\begin{cases} |G(s)| = \frac{\prod_{j=1}^{m} |s+z_j|}{\prod_{k=1}^{n} |s+p_k|} = \frac{1}{K} \\ \angle G(s) = \sum_{j=1}^{m} \angle(s+z_j) - \sum_{k=1}^{n} \angle(s+p_k) = (2i+1)\pi \end{cases}$$

$$(2)$$

- s is a closed-loop pole if and only if the difference between the sums of the angles of the vectors drawn from the zeros and those from the poles of G(s) to s is an odd multiple of 180 degree (from (3)).
- If s is a closed-loop pole, then the corresponding K is determined from (2).

### Graphical Interpretation (II)

Example: 
$$G(s) = \frac{K(s + z_1)}{s(s + p_2)(s + p_3)}$$
  $K > 0$ 



• If any point  $s_1$  on the complex plane satisfies  $\angle(s_1 + z_1) - \angle s_1 - \angle(s + p_2) - \angle(s + p_3)$ 

$$= \theta_{z1} - \theta_{p1} - \theta_{p2} - \theta_{p3}$$
  
=  $(2i + 1) \times 180^{\circ}$ 

then  $s_1$  is a closed-loop pole.

If  $s_1$  is a closed-loop pole, then

$$K = \frac{|s_1||s_1 + p_2||s_1 + p_3|}{|s_1 + z_1|} = \frac{BCD}{A}$$

### **Properties of the Root Locus**

- Number of branches on the Root Loci
- Symmetry of the Root Loci
- Closed-loop poles for K = 0 and  $K = \infty$
- Root Locus on the Real Axis
- Asymptotes of the Root Locus as  $|s| \to \infty$
- Angles of Departure and Arrival
- Breakaway Points
- Intersection of the Root Locus with the Imaginary Axis

## Number of Branches and Symmetry

- Number of Branches
  - $\triangleright$  A branch of the root loci corresponds to the trajectory of a closed-loop pole w.r.t. *K* varying from 0 to ∞.
  - The number of branches of the root loci is equal to the order of the polynomial (i.e. the number of closed-loop poles).
- Symmetry
  - The root loci is symmetric about the real axis of the *s*-plane.

## Closed-Loop Poles for K = 0 and $K = \infty$

$$G(s) = \frac{Q(s)}{P(s)} \qquad \Box \Rightarrow \qquad 1 + KG(s) = 0 \Leftrightarrow P(s) + KQ(s) = 0 \Leftrightarrow \frac{1}{K}P(s) + Q(s) = 0$$

• K = 0

$$P(s) + KQ(s) = P(s) = 0$$

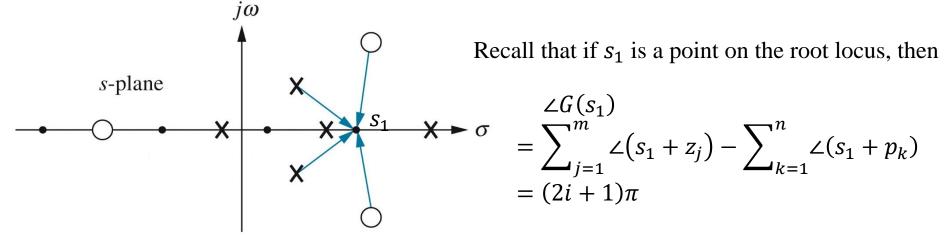
The closed-loop poles are identical to the **open-loop poles**.

- $K=\infty$ 
  - Case I:  $\frac{1}{K}P(s) + Q(s) = Q(s) = 0$

The closed-loop poles are identical to the **open-loop zeros**.

- Case II: If G(s) is strictly proper, then  $G(\infty) = 0 = -\frac{1}{K}$ The closed-loop poles approach  $s = \infty$  (zeros of G(s) at  $s = \infty$ ).
- The root locus starts from the open-loop poles and ends at the open-loop zeros (including the zero at  $s = \infty$ ) as K varies from 0 to  $\infty$ .

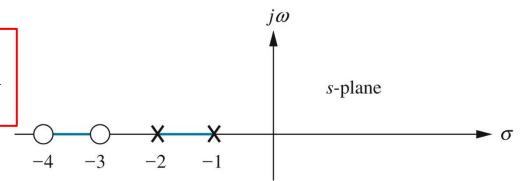
### Root Loci on the Real Axis

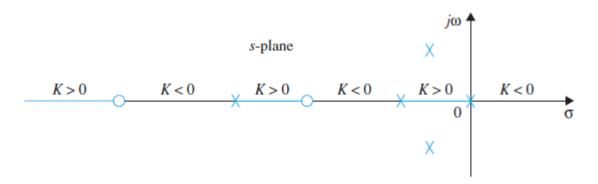


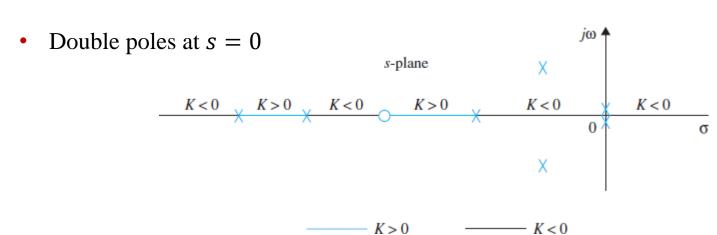
For any  $s_1$  on the **real-axis** 

- The angles from any **complex conjugate poles and zeros** of G(s) to  $s_1$  add up to zero.
- The angle contributed by any **real poles and zeros** of G(s) to the **left** of  $s_1$  is zero.
- Each real pole of G(s) to the **right** of  $s_1$  contributes -180 degrees.
- Each real zero of G(s) to the **right** of  $s_1$  contributes 180 degrees.

For  $s_1$  to be a point on the root locus, there must be an **odd** number of poles and zeros of G(s) to the **right** of  $s_1$ .







### Asymptotes of the Root Loci (I)

$$G(s) = \frac{Q(s)}{P(s)} \qquad Q(s) = b_m s^m + b_{m-1} s^{m-1} + \dots + b_1 s + b_0 \qquad b_m \neq 0$$
$$P(s) = s^n + a_{n-1} s^{n-1} + \dots + a_1 s + a_0$$

• Suppose r = n - m > 0. Then G(s) has r zeros at  $s = \infty$ , and there are r branches of the root loci that approach  $s = \infty$ .

$$KG(s) = Kb_m \frac{Q(s)/b_m}{P(s)} = K' \frac{s^m + b'_{m-1}s^{m-1} + \dots + b'_1s + b'_0}{s^n + a_{n-1}s^{n-1} + \dots + a_1s + a_0}$$

where 
$$K' = Kb_m$$
,  $b'_i = \frac{b_i}{b_m}$ ,  $i = 0,1,\dots, m-1$ .

Let  $-p_i$  be the poles of G(s),  $i = 1, 2, \dots, n$ 

$$P(s) = (s + p_1)(s + p_2) \cdots (s + p_n) = s^n + \left(\sum_{i=1}^n p_i\right) s + \cdots$$

$$\Rightarrow a_{n-1} = \sum_{i=1}^n p_i = -(\text{sum of poles of } G(s))$$

Similarly,  $b'_{m-1} = -(\text{sum of finite zeros of } G(s))$ 

### Asymptotes of the Root Loci (II)

$$KG(s) = K' \frac{s^m + b'_{m-1}s^{m-1} + \dots + b'_1s + b'_0}{s^n + a_{n-1}s^{n-1} + \dots + a_1s + a_0} = \frac{K'}{\frac{s^n + a_{n-1}s^{n-1} + \dots + a_1s + a_0}{s^m + b'_{m-1}s^{m-1} + \dots + b'_1s + b'_0}}$$

$$= \frac{K'}{s^{n-m} + (a_{n-1} - b'_{m-1})s^{n-m-1} + \dots} \approx \frac{K'}{s^r + (a_{n-1} - b'_{m-1})s^{r-1}}, \quad \text{as } s \to \infty$$

If s is a closed-loop pole, then KG(s) = -1  $\Rightarrow$   $s^r + (a_{n-1} - b'_{m-1})s^{r-1} = -K'$ 

Note:  $(1+x)^t \approx 1 + tx$  Taylor expansion around x = 0 when  $|x| \ll 1$ 

Then 
$$s\left(1 + \frac{a_{n-1} - b'_{m-1}}{s}\right)^{\frac{1}{r}} \approx s\left(1 + \frac{a_{n-1} - b'_{m-1}}{rs}\right) = s + \frac{a_{n-1} - b'_{m-1}}{r} = K'^{\frac{1}{r}}e^{j\theta_i}$$

### Asymptotes of the Root Loci (III)

$$s - \sigma_1 = K'^{\frac{1}{r}} e^{j\theta_i}$$
 where  $\sigma_1 = -\frac{a_{n-1} - b'_{m-1}}{r}$   $\theta_i = \frac{(2i+1)\pi}{r}$ ,  $i = 0,1,2,\cdots,r-1$ 

Let 
$$s = \sigma + j\omega$$
  $\Rightarrow$   $\sigma + j\omega - \sigma_1 = K'^{\frac{1}{r}}(\cos\theta_i + j\sin\theta_i)$  
$$\Rightarrow \begin{cases} \sigma - \sigma_1 = K'^{\frac{1}{r}}\cos\theta_i \\ \omega = K'^{\frac{1}{r}}\sin\theta_i \end{cases} \Rightarrow \frac{\omega}{\sigma - \sigma_1} = \tan\theta_i$$
 
$$\Rightarrow \omega = \tan\theta_i \cdot (\sigma - \sigma_1)$$

A straight line on the complex plane with slope  $\tan \theta_i$  and real-axis intercept  $\sigma_1$ 

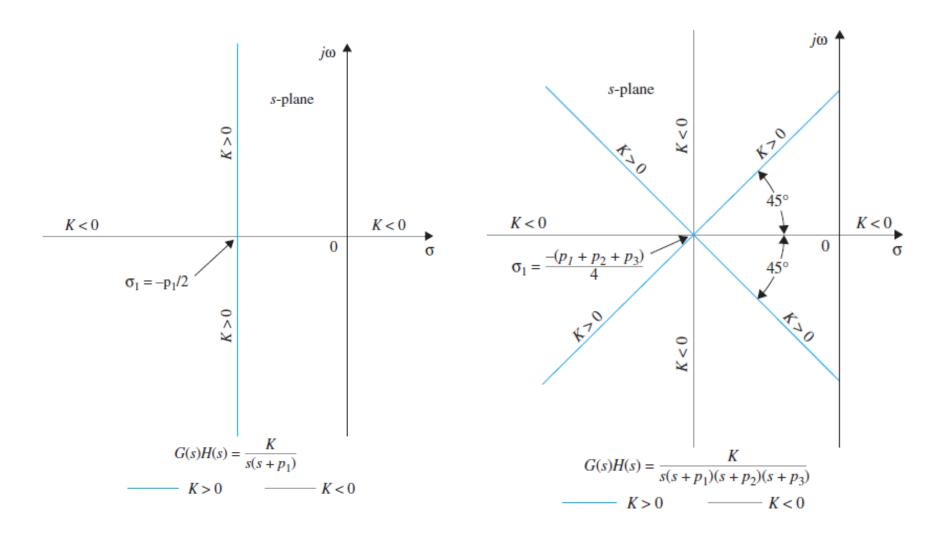
• When r > 0, there are r asymptotes of the root loci. These r asymptotes intersect the real-axis at

$$\sigma_1 = -\frac{a_{n-1} - b'_{m-1}}{r} = \frac{\text{\Sigma open loop poles} - \text{\Sigma finite open loop zeros}}{n-m}$$

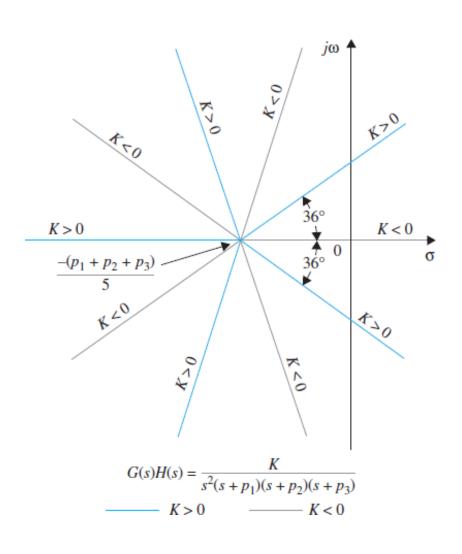
• The angles of asymptotes (w.r.t the real-axis) are

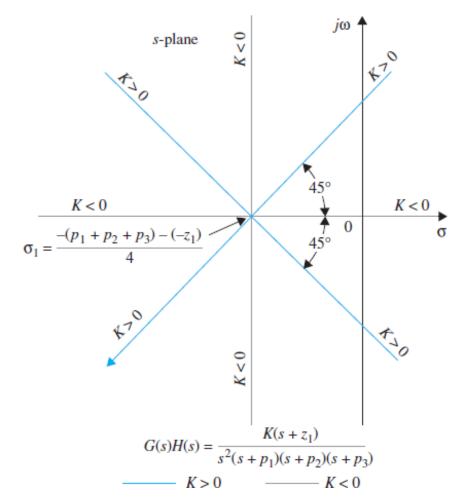
$$\theta_i = \frac{(2i+1)\pi}{r}, i = 0,1,2,\dots,r-1$$

### Example 2 (a)



### Example 2 (b)

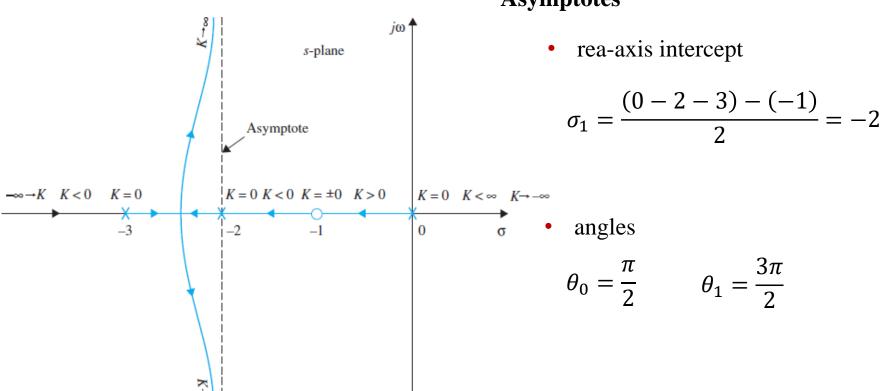




$$G(s) = \frac{s+1}{s(s+2)(s+3)}$$

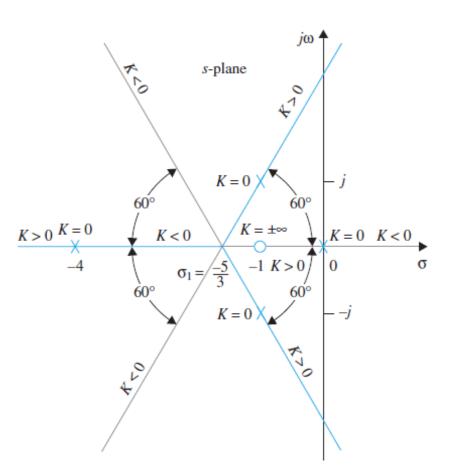
relative degree  $r = 2 \Rightarrow$  two asymptotes

#### **Asymptotes**



$$G(s) = \frac{s+1}{s(s+4)(s^2+2s+2)}$$

relative degree  $r = 3 \Rightarrow$  three asymptotes



#### **Asymptotes**

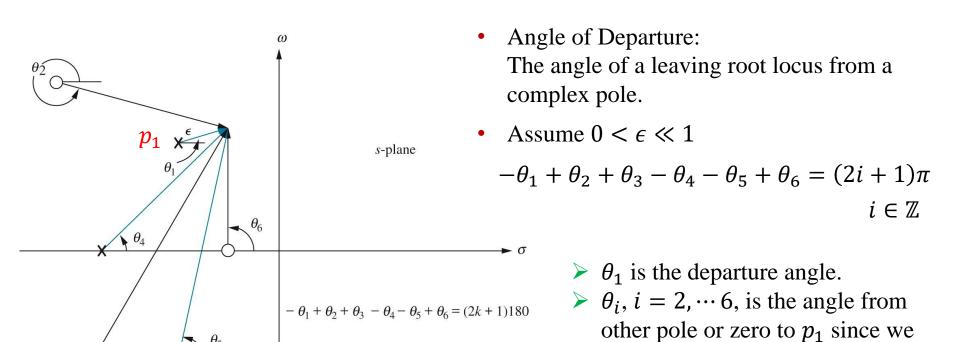
• rea-axis intercept

$$\sigma_1 = \frac{(0-4-1-1)-(-1)}{3} = -\frac{5}{3}$$

angles

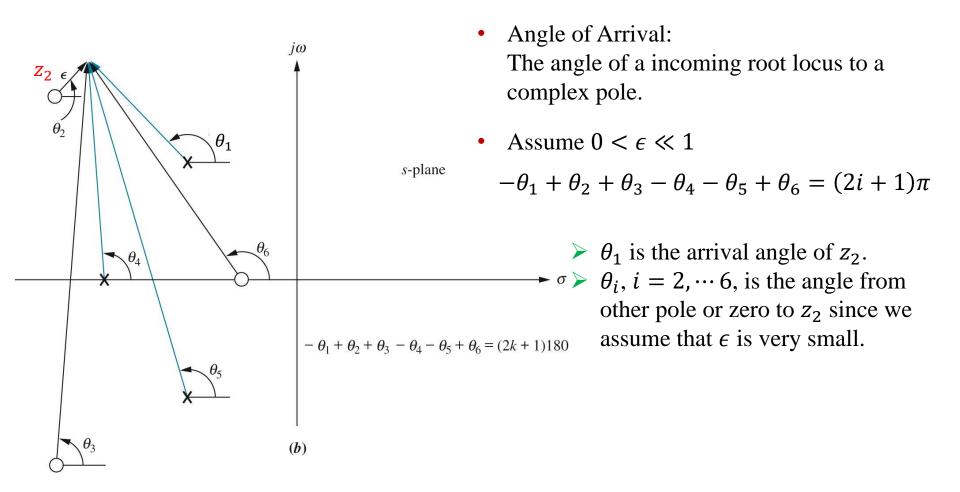
$$\theta_0 = \frac{\pi}{3} \qquad \theta_1 = \pi \qquad \theta_2 = \frac{5}{3}\pi$$

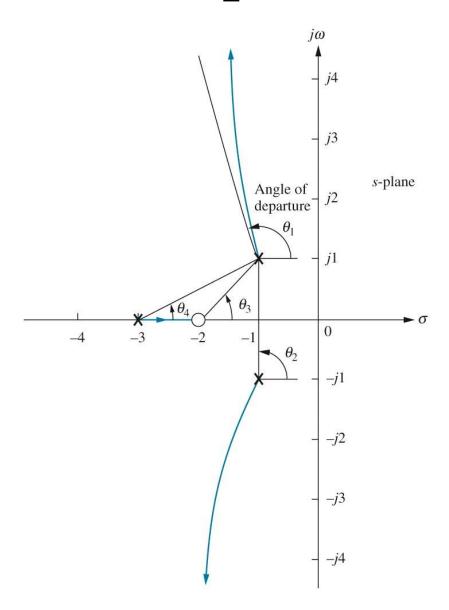
### **Angles of Departure**



assume that  $\epsilon$  is very small.

### **Angle of Arrival**





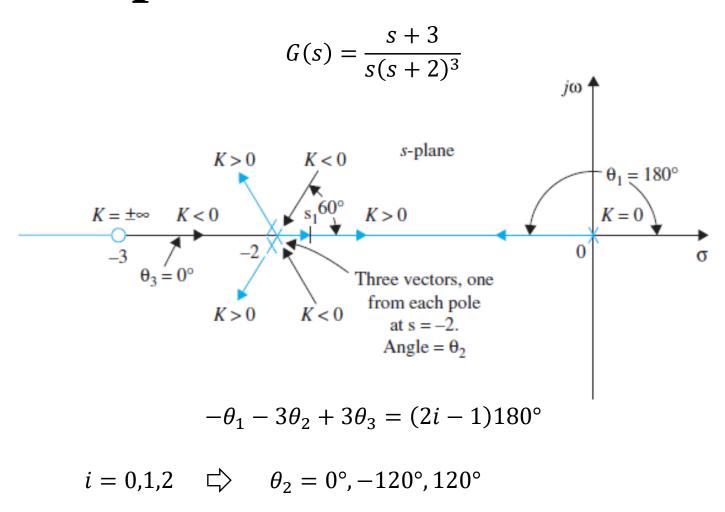
$$G(s) = \frac{s+2}{(s+3)(s^2+2s+2)}$$

- Open-loop poles: -3,  $-1 \pm j$
- Open-loop zeros: -2
- Angle of departure  $(\theta_1)$

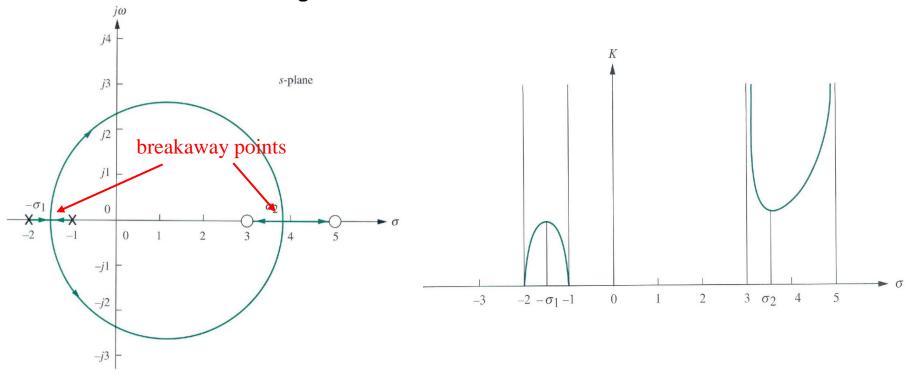
$$-\theta_1 - \theta_2 + \theta_3 - \theta_4$$

$$= -\theta_1 - 90 + \tan^{-1} \frac{1}{1} - \tan^{-1} \frac{1}{2} = 180$$

$$\theta_1 = -251.6^{\circ}$$
or  $\theta_1 = 108.4^{\circ}$ 



### Breakaway Points (I)



- Multiple branches meet at the breakaway point and depart in different directions.
- The breakaway point corresponds to multiple closed-loop poles.
- As K increases from zero, the root loci start from the open-loop poles (-1 and -2). Before the two branches meet at  $-\sigma_1$ , K keeps increasing. Therefore K reaches maximum at  $-\sigma_1$  along the root loci in the real-axis.
- After the two branches meet at  $\sigma_2$ , K keeps increasing until the two branches reach the open-loop zeros. Therefore K reaches minimum at  $\sigma_2$  along the root loci in the real-axis.

### Breakaway Points (II)

Along the root locus, we have  $G(s) = -\frac{1}{K}$ 

• A **necessary**, but **not sufficient**, **condition** for  $\sigma$  to be a breakaway point is

$$\left. \frac{d}{ds} \frac{1}{G(s)} \right|_{s=\sigma} = 0 \quad \text{or} \quad \left. \frac{dG(s)}{ds} \right|_{s=\sigma} = 0$$

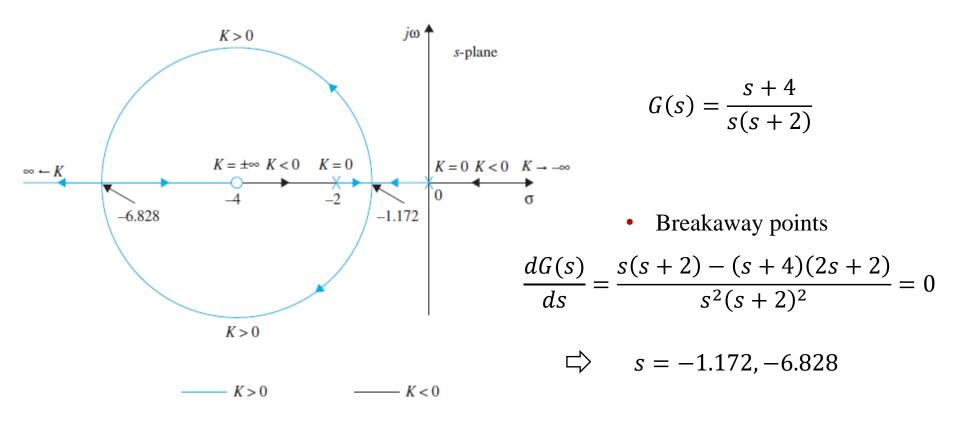
If  $\sigma$  is a breakaway point, there must exist K > 0 such that  $G(\sigma) = -\frac{1}{K}$ 

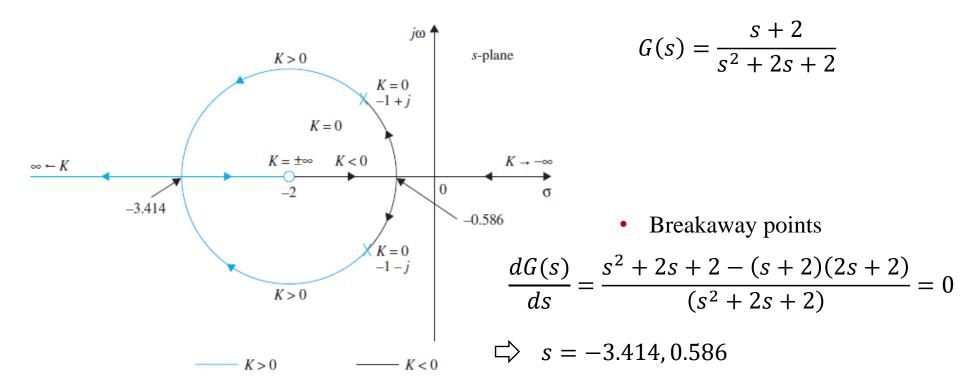
• Angle of departure and arrival at the breakaway point is  $\frac{(2i+1)180^{\circ}}{q}$ ,  $i=0,1,\cdots,q-1$ 

where q is the number of branches meeting at the breakaway point.

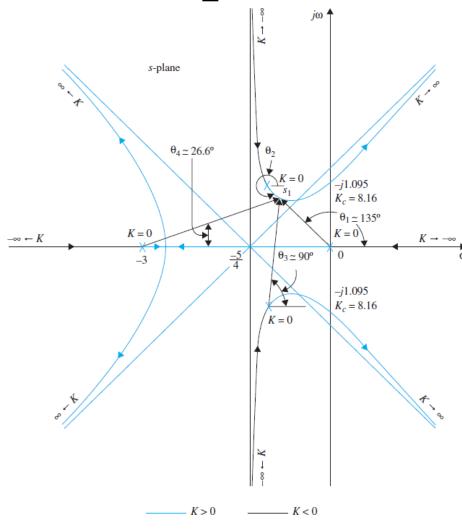
## Intersection of the Root Locus with the Imaginary Axis

• The points where the root locus intersect the imaginary axis of the *s*-plane, and the corresponding values of *K* may be determined by means of the Routh-Hurwitz criterion.





Since the breakaway point must be in the left of the zero s = -2, therefore s = -3.414 is the breakaway point.



$$G(s) = \frac{1}{s(s^2 + 2s + 2)(s + 3)}$$

- Open-loop poles:  $0, -1 \pm j, -3$
- Number of asymptotes: 4
- Intersection of asymptotes:

$$\sigma_1 = \frac{-1 - 1 - 3}{4} = \frac{-5}{4}$$

• Angles of asymptotes:

$$\theta_i = \frac{(2i+1)180^{\circ}}{4} = 45^{\circ}, 135^{\circ}, 225^{\circ}, 315^{\circ}$$

Breakaway point

$$\frac{d}{ds}\frac{1}{G(s)} = 4s^3 + 15s^2 + 16s + 6 = 0$$

 $\Rightarrow s = -2.2886, -0.7307 \pm 0.3486j$ 

$$K = 4.33 = \frac{-1}{G(s)} \Big|_{s = -2.2886} \text{ no } K > 0$$
for  $s = -0.7307 \pm 0.3486j$ 

 $\Rightarrow$  Breakaway point: s = -2.2886

• Angle of departure:  $-(\theta_1 + \theta_2 + \theta_3 + \theta_4) = -(135^\circ + \theta + 90^\circ + 26.6^\circ) = (2i + 1)180^\circ$  $\Rightarrow \theta_2 = -71.6^\circ \quad (i = -1)$ 

### Example 9 (Continued)

Intersection with the imaginary axis

 $s^0$  K 0 0

The characteristic equation is

$$s(s^{2} + 2s + 2)(s + 3) + K = s^{4} + 5s^{3} + 8s^{2} + 6s + K = 0$$
Routh Table 
$$s^{4} \quad 1 \quad 8 \quad K$$

$$s^{3} \quad 5 \quad 6 \quad 0$$

$$s^{2} \quad \frac{34}{5} \quad K \quad 0$$

$$s^{1} \quad \frac{204}{5} - 5K \quad 0 \quad 0$$

For the closed-loop poles to be on the  $j\omega$ -axis, the Routh table must have a row of all zeros.

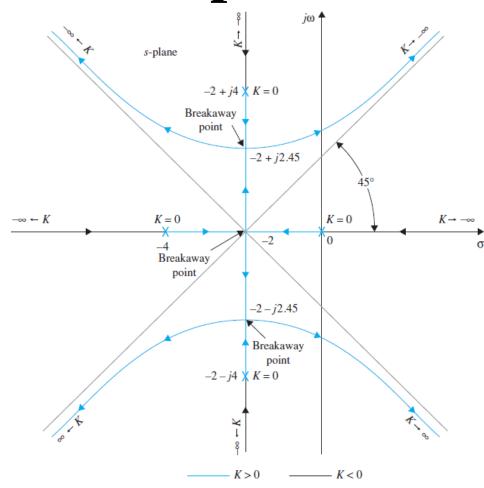
$$K = \frac{204}{25} = 8.16$$

• For K = 8.16, the characteristic polynomial contains a factor of an even polynomial

$$\frac{34}{5}s^2 + K = \frac{34}{5}s^2 + \frac{204}{25} = 0$$

The closed-loop poles are

$$s = \pm j \sqrt{\frac{6}{5}} = \pm 1.095j$$



$$G(s) = \frac{1}{s(s+4)(s^2+4s+20)}$$

- Open-loop poles:  $0, -2 \pm 4j, -4$
- Number of asymptotes: 4
- Intersection of asymptotes:

$$\sigma_1 = \frac{-2 - 2 - 4}{4} = -2$$

• Angles of asymptotes:

$$\theta_i = \frac{(2i+1)180^{\circ}}{4} = 45^{\circ}, 135^{\circ}, 225^{\circ}, 315^{\circ}$$

Breakaway point

$$\frac{d}{ds}\frac{1}{G(s)} = 4(s^3 + 6s^2 + 18s + 20) = 0$$

$$\Rightarrow s = -2, -2 \pm 2.45j$$

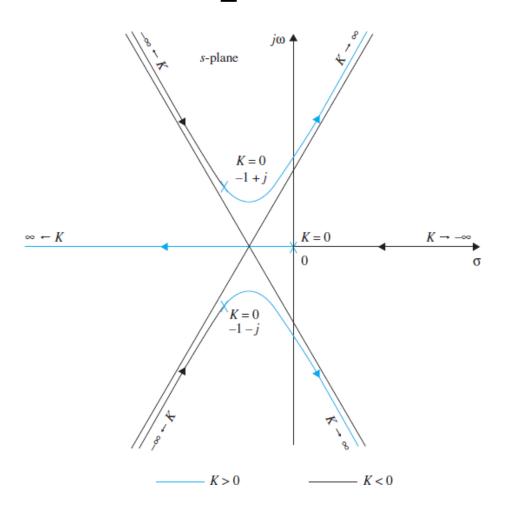
$$K = 64 = \frac{-1}{G(s)} \Big|_{s=-2},$$

$$K = 100 = \frac{-1}{G(s)} \Big|_{s=-2 \pm 2.45j}$$

(Complex breakaway point!)

• Angle of departure:

$$-(\theta + 90^{\circ} + (180^{\circ} - \tan^{-1} 2) + \tan^{-2} 2) = (2i + 1)180^{\circ} \iff \theta = -90^{\circ} \quad (i = -1)$$



$$G(s) = \frac{1}{s(s^2 + 2s + 2)}$$

- Open-loop poles:  $0, -1 \pm j$
- Number of asymptotes: 3
- Intersection of asymptotes:

$$\sigma_1 = \frac{-1-1}{3} = -\frac{2}{3}$$

• Angles of asymptotes:

$$\theta_i = \frac{(2i+1)180^\circ}{3} = 60^\circ, 180^\circ, 300^\circ$$

Breakaway point

$$\frac{d}{ds}\frac{1}{G(s)} = 3s^2 + 4s + 2 = 0$$

 $\Rightarrow s = -0.667 \pm 0.471$ 

No positive *K* for these points

No breakaway point

• Angle of departure:  $-(\theta + 90^{\circ} + 135^{\circ}) = (2i + 1)180^{\circ}$ 

$$\Rightarrow \theta = -45^{\circ} \qquad (i = -1)$$

$$G(s) = \frac{s+3}{s(s+5)(s+6)(s^2+2s+2)}$$

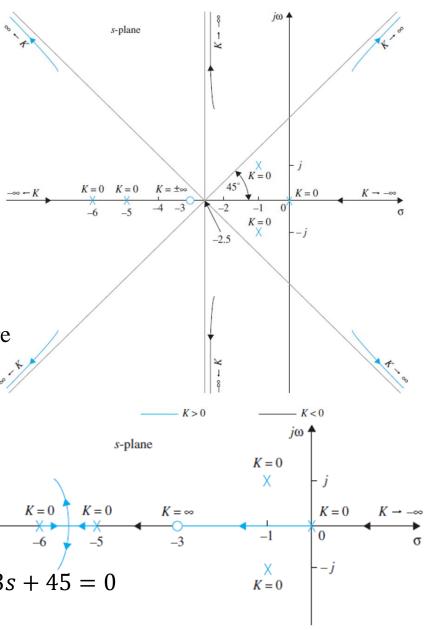
- Observation:
  - ► 5 branches start from 5 open-loop poles at  $s = 0, -5, -6, -1 \pm j$  and end at 1 one-loop zero at s = -3.
  - > 4 asymptotes.
- The intersection and angles of the 4 asymptotes are

$$\sigma_1 = \frac{-(5+6+1+1)+3}{4} = -2.5$$

$$\theta_i = \frac{(2i+1)180^\circ}{4} = 45^\circ, 135^\circ, 225^\circ, 315^\circ$$

- The real-axis segments [-3, 0], and [-6, -5] are parts of the root loci.
- $\frac{dG(s)}{ds} = 0 \Rightarrow s^5 + 13.5s^4 + 66s^3 + 142s^2 + 123s + 45 = 0$  $\Rightarrow s = -5.53, -3.33 \pm 1.204j, -0.656 \pm 0.468j$

Breakaway point: s = -5.53



### Example 12 (Continued)

Angle of Departure

• Angle of Departure 
$$-\theta - \angle s_1 - \angle (s_1 + 1 + j) + \angle (s_1 + 3)$$

$$-\angle (s_1 + 5) - \angle (s_1 + 6)$$

$$= -\theta - 135^\circ - 90^\circ + 26.6^\circ - 14^\circ - 11.4^\circ$$

$$= (2i + 1)180^\circ$$

$$\Rightarrow \theta = -43.8^\circ, \qquad (i = -1)$$

$$K=0$$

s-plane

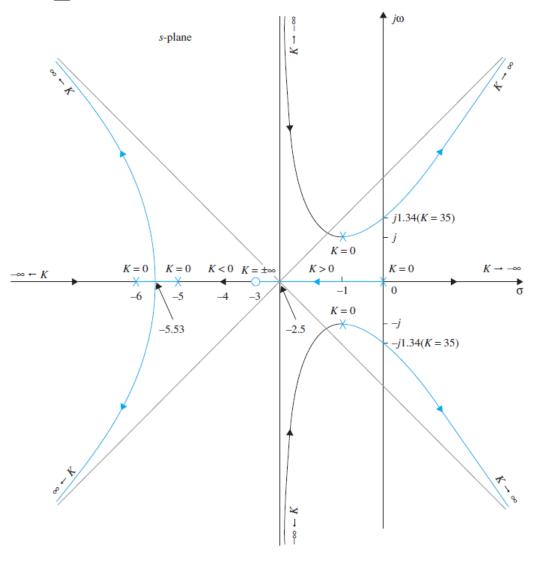
Intersection with the  $i\omega$ -axis Characteristic Equation:  $s^5 + 13s^4 + 54s^3 + 82s^2 + (60 + K)s + 3K = 0$ 

Routh Table:	$s^5$	1	54	60 + K
	$s^4$	13	82	3 <i>K</i>
	$s^3$	47.7	60 + 0.769K	0
	$s^2$	65.6 - 0.21K	3 <i>K</i>	0
	$\varsigma^1$	$3940 - 105K - 0.16K^2$	0	n
	S	65.6 - 0.21K	O	U
	$s^0$	3K	0	0

For the closed-loop poles to be on the  $j\omega$ -axis:  $3940 - 105K - 0.16K^2 = 0 \implies K = 35$ 

Even polynomial:  $(65.6 - 0.21K)s^2 + 3K = 0 \quad \Box > \quad s = \pm 1.34j$ 

### **Example 12 (Continued)**



K > 0

-K < 0