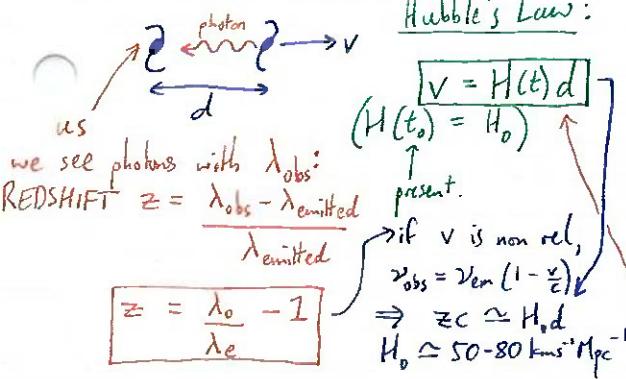


# G.A. and C. (1)

$$\text{let } v = \frac{d}{dt} = dH_0 \quad \text{age of universe}$$

## Main Features of Universe



So age of uni

$$\sim \frac{1}{H_0} = 12.5 \rightarrow 20 \text{ billion yrs.}$$

CMBR

v. good BBR

$$T = 2.74 \text{ K}$$

$\Rightarrow$  universe

at one time

must have

been in T.E.

## Application of Newtonian Gravity

Gauss  $\Rightarrow$  only consider mass inside sphere.

$$\text{mass } m \quad R \quad \text{in sphere } E = \frac{1}{2} mv^2 - \frac{GMm}{R}$$

$$\exists \text{ mass } M$$

$$M = \frac{4\pi R^3 p}{3}$$

$$\text{but } v = HR \text{ so}$$

$$E = \frac{1}{2} m R^2 H^2 \left( 1 - \frac{8\pi G p}{3H^2} \right)$$

$$\text{Also, using } HR = \dot{R} \text{ get } \frac{(\dot{R})^2}{R} - \frac{8\pi G p}{3mR^2} = \frac{2E}{R} \left( = -\frac{kc^2}{R^2} \text{ in GR} \right)$$

ie rescaling  $R$  means So let  $\frac{E}{m} = -kc^2$  and rescale  $\frac{R}{R}$

Newtonian "radial distance"

is not same as GR "scale factor".

Now  $k=+1$  ie  $\dot{R} > 1 \Rightarrow E < 0 \therefore$  univ bound

$k=0$  ie  $\dot{R}=0 \Rightarrow E=0 \therefore$  critical

$k=-1$  ie  $\dot{R} < 1 \Rightarrow E > 0 \therefore$  unbound

$$\text{Force eq'n: } \frac{GMm}{R^2} = -m\ddot{R} \Rightarrow \ddot{R} + \frac{4\pi G p}{R} = 0$$

$\Rightarrow$  static univ. not possible! also,  $\ddot{R}$  is  $-ve$  so expansion is slowing down.

## Olber's Paradox

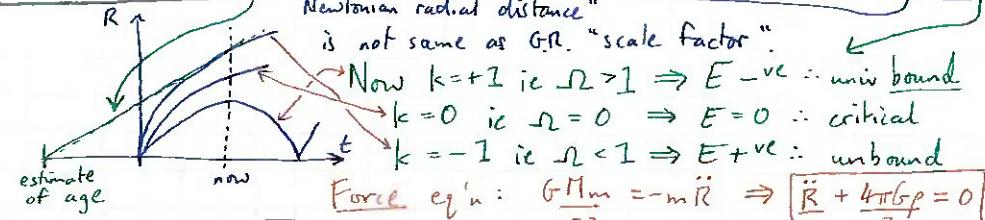
$$\text{each object: Luminosity } L$$

$$\therefore \text{So at centre of sphere get } \frac{L}{4\pi r^2} \times 4\pi r^2 dr$$

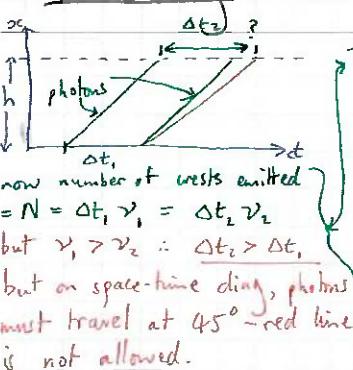
number of objects in shell

So total flux =  $\int L dr \rightarrow \infty$  in infinite universe

actually stars shield etc... so instead, every line of sight ends on star...  $\Rightarrow$  universe is expanding



## Gravity and Special Relativity



This is true no matter how gravity alters photon paths through spacetime because the pattern is time-invariant i.e.  $\gamma$  is invariant

So:

Incompatible

NB phase is the invariant

$$\text{ie } \frac{GMm}{r^2} = m \cdot \text{acc.} - \text{take } \frac{m}{mg} = 1 \text{ usually}$$

## Principles of Equivalence

**STRONG:** At any pt in grav. field in a free fall Lorentz frame all the laws of physics have their usual SR form.

**WEAK:** At any pt in grav field in a free fall Lorentz frame the laws of motion have their usual SR form

except grav-disappears  
of free test particles  
ie straight lines through space

these two are equivalent to this

- ① Galileo - tower of Pisa + inclined planes - 1 part in  $10^2$
- ② Eötvös 1922 - torsion balance - 1 p.p.  $10^9$  sub atomic particles.
- ③ 1964, 71 ... 3 arm torsion balance 1 p.p.  $10^{12}$  particles.

i.e. motion of body is indep. of composition - only dep on posn + vel...

can prove for  $e/m$  for tower using doppler shift

## Schwarzschild Metric - time part

$$z = \frac{T_0}{T_0} - 1 = \frac{\Delta\phi}{c^2} = \frac{GM}{Rc^2} \text{ from body mass } M \dots$$

$$\text{So } \left( \frac{ds}{dt} \right)^2 = \frac{1}{\left( 1 + \frac{GM}{Rc^2} \right)^2} \Rightarrow ds^2 = \left( 1 - \frac{2GM}{Rc^2} \right) dt^2$$

needed to approximate as  $z$  formula is only first order. tested using atomic clocks.  
(sit on BH stay young)

## Schwarzschild Metric - radial part

require ISOTROPY  $\Rightarrow$  angular part must be undistorted (flat).

Then use sym and work in the equatorial plane:  $ds^2 = f(r)dr^2 + r^2(d\theta^2 + \sin^2\theta d\phi^2)$  (so can use this result)

Use dimensions:  $K(r)$  only f'n of  $M, r, G, c$   $\Rightarrow$  then get!

guess  $\propto M$  then get  $K(r) = \alpha GM$

then use  $K = \frac{f'}{2f^2r}$   $\Rightarrow$  then get!

to get  $-\frac{1}{f} = \frac{2GM}{c^2r^2} + \text{const}$  (from GR or weak limit)

## Metric for Constant curvature

$$\text{2-D surface, } (r, \theta) \text{ metric: } g = \begin{pmatrix} f(r) & 0 \\ 0 & r^2 \end{pmatrix}$$

$$\text{Geodesics } S_{AB} = \int_A^B g(x^\mu, \dot{x}^\mu) ds$$

$$\Rightarrow \frac{d}{ds} \left( \frac{dx^\mu}{d\tau} \right) = \frac{\partial x^\mu}{\partial r}$$

$$\text{where } r = \sqrt{g_{rr} \sin^2\theta} = 1 \text{ by construction. constant!}$$

$$\text{THE GEODESIC EQUATION.}$$

Curvature must exist in space-time - there is only one geodesic through each point. i.e. different direction in space-time means different initial speed (eg cannon ball + tennis ball)

$$ds^2 = \left( 1 - \frac{2GM}{rc^2} \right) dt^2 - \frac{1}{c^2} \left( 1 - \frac{2GM}{rc^2} \right)^{-1} dr^2 - \frac{r^2}{c^2} d\theta^2 - r^2 \sin^2\theta d\phi^2$$

$$\text{SCHWARZSCHILD METRIC}$$

notice for  $r < \frac{2GM}{c^2}$ , a change is space-like and an r change is time-like - they swap roles.

## G.A. and C. (2)

### Schwarzschild Geodesics

Work in  $\theta = \frac{\pi}{2}$   
plane - OK. cos $\theta$   
const. ang. mom.

We have  $G^2 = \alpha t^2 - \frac{r^2}{c^2} - \frac{r^2 \dot{\phi}^2}{c^2}$

$$\alpha = \frac{(1-2GM)}{rc^2}$$

EULER-LAGRANGE EQ'NS

Factual just set  $G=1$  in here

$$r \ddot{\phi}^2 = c^2(k^2-1) - h^2 \left( \frac{1-2GM}{r^2} \right) + \frac{2GM}{r}$$

The substitution  
 $u = \frac{1}{r}$  changes  
ellipse eq'n to  
trig form.  
(diff. w.r.t.  $\theta$ )  
removes const

Light bending For photons  $d\tau = 0 \Rightarrow h = \infty$  (as  $r^2 \frac{d\phi}{d\tau} = h$ )

So there is no newtonian term

$$\frac{d^2u}{d\phi^2} + u = \frac{3GMu^2}{c^2}$$



now - hom. eq'n is satisfied by  $u = \frac{\sin \phi}{R}$  - the undisturbed track  
then for inhom. eq'n set  $u^2 = \frac{\sin^2 \phi}{R^2}$  to get approx. solution:

$$u = \frac{\sin \phi}{R} + \frac{3GM}{2c^2R^2} \left( 1 + \frac{1}{3} \cos 2\phi \right)$$

let  $r \rightarrow \infty$  i.e.  $u \rightarrow 0$ ,  $\phi$  small,  
 $\Rightarrow \frac{d\phi}{dr} = \frac{4GM}{c^2R^2}$

SHAPE OF ORBIT:

Want  $r(\phi)$ :  $\dot{r} = \frac{dr}{d\phi} = \frac{h}{r^2} \frac{dr}{d\phi}$

$$\Rightarrow \left( \frac{h}{r^2} \frac{dr}{d\phi} \right)^2 + \frac{h^2}{r^2} = c^2(k^2-1) + \frac{2GM}{r} + \frac{2GMh^2}{c^2r^3}$$

$$\Rightarrow \left( \frac{du}{d\phi} \right)^2 + u^2 = \frac{c^2(k^2-1)}{h^2} + \frac{2GMu}{h^2} + \frac{2GMu^3}{c^2} \quad (u = \frac{1}{r})$$

$$\Rightarrow \frac{d^2u}{d\phi^2} + u = \frac{GM}{h^2} + \frac{3GMu^2}{c^2} \quad \text{usual orbit eq'n}$$

NEWTON EINSTEIN

→ to get  $h$  then use energy eq'n with  $\dot{r} = 0$ .  
and  $r^2 \dot{\phi} = h$

### Circular Orbits

Need energy of circular orbit.  
expand for  $r \rightarrow \infty$  get  $E \approx mc^2 - \frac{GMm}{r} + \dots$

c.f. Newton:

$$E = \frac{1}{2}mv^2 - \frac{GMm}{r} = -\frac{GMm}{2r}$$

$$E = mc^2 \left( \frac{1-2GM}{rc^2} \right) \left( 1 - \frac{3GM}{rc^2} \right)^{1/2}$$

### Non-(circular) Orbits - Capture

Take energy eq'n - subst for  $\dot{\phi}$  then  
diff. w.r.t.  $\theta$  then elim  $\dot{r}$  using orig. equation.

$$\text{get: } \ddot{r} = -\frac{GM}{r^3} + \frac{h^2}{r^3} - \frac{3h^2GM}{c^2r^4}$$

For  $r = \frac{3GM}{c^2}$  and less,  $\frac{G^2R^2}{c^2}$  is > cent.  
centrifugal force  $\frac{h^2}{r^4}$  is > cent.  
term - unstable to collapse.

### FRW Metric

for each time.

Require spatial sections to be isotropic (and homogeneous)  
 $\Rightarrow ds^2 = f(r)dr^2 + r^2(d\theta^2 + \sin^2 \theta d\phi^2)$

must yield

constant curvature  $K$  Take  $\theta = \frac{\pi}{2}$  then  $f = \frac{1}{1-Kr^2}$

(OK coz can rotate)

3 cases:  $K=0$  - flat, euclidean space.

$$K>0 \quad ds = \int dr \quad ds = \int \frac{dr}{\sqrt{1-Kr^2}} = \frac{1}{\sqrt{1-Kr^2}} \sin^{-1}(r/R)$$

$$So \quad r = \frac{\sin(\alpha/\sqrt{K})}{\sqrt{K}} \quad \text{CLOSED UNIVERSE.}$$

$$\text{coord. dist. proper dist.} \quad K<0 \quad r = \frac{1}{\sqrt{-K}} \sinh(\alpha/\sqrt{-K})$$

$$So \quad r = \frac{1}{\sqrt{-K}} \sinh(\alpha/\sqrt{-K}) \quad \text{OPEN UNIVERSE.}$$

proper area of surface of a sphere  
with proper radius  $a/\sqrt{K}$  is  $4\pi r^2$

$$So \quad K>0, \quad \text{Area } A = \frac{4\pi}{K} \sin^2(a/\sqrt{K})$$

$$\text{Time part: } \Rightarrow \text{Only way to preserve hom./is is } K = [K(t)] = \frac{k}{R^2(t)} \quad k=-1,0,1$$

$$\text{let } r = \alpha R \quad \text{then } \frac{dr^2}{1-Kr^2} + r^2(d\theta^2 + \sin^2 \theta d\phi^2) \text{ becomes } R^2 \left[ \frac{d\theta^2}{1-kR^2} + \alpha^2(d\phi^2 + \sin^2 \theta d\phi^2) \right]$$

So the proper distance for overall scaling  
fixed  $\alpha$  increases with  $R(t)$

But: we want  $t$  such that in a certain global frame of rest, everyone can measure curvature (etc etc). Is there such a frame? Yes - objects with fixed  $\alpha$  are in it - called fundamental observers (eg galaxies with no peculiar motion f.o.'s have no CMBR dipole anisotropy. (or comoving obs.) Cosmic time is proper time measured by comoving observers.

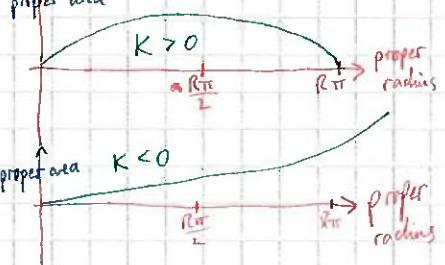
$$\text{and } ds^2 = dt^2 - \frac{R^2(t)}{c^2} \left[ \frac{d\theta^2}{1-kR^2} + \alpha^2(d\phi^2 + \sin^2 \theta d\phi^2) \right]$$

Comoving Observers follow Geodesics of this metric.

$$\text{proper area} \quad K>0 \quad A = \frac{4\pi}{K} \sinh^2(a/\sqrt{K})$$

i.e. do Euclidean Geometry with

coordinate variables then relate  
to actual measured (proper) variables  
using metric!



J. A. and C (S)

## Stellar Structure Equations

$$\text{Hydrostatic equilibrium} \rightarrow \frac{dp}{dr} = -\rho \frac{GM(r)}{r^2}$$

$$M(r) = \int_1^r \rho(r') 4\pi r'^2 dr' \quad (\text{def'n of } M, \rho)$$

Energy Conservation

$$\frac{dL}{dr} = 4\pi r^2 \rho \varepsilon$$

where  $\varepsilon$  is the rate of energy generation.

Energy Transfer

$$\frac{L}{4\pi r^2} = -\frac{4\pi c T^3}{3 \kappa \rho} \frac{dT}{dr}$$

flux  $\propto$  temp gradient.

because: for radiative transport,  $F = -D \frac{dl}{dr}$  but  $D = \frac{\lambda c}{3}$  energy density in radiation at  $r$  is  $\propto T^4$

K.E.

P.E.

$\sigma = \kappa \mu m$

## Virial Theorem

For a cluster of inverse square law point charges,  $\langle E_{kin} \rangle = -\frac{\langle E_{pot} \rangle}{2}$

K.E. must be  $<$  P.E. otherwise unbound!

Now  $E_{pot} = -\int_0^R \frac{G M(r)}{r} \rho 4\pi r^2 dr$

$$= \int_0^R \frac{dp}{dr} 4\pi r^3 dr = \left[ p \frac{4\pi r^3}{3} \right]_0^R - \int_0^R p dV$$

bring shell from  $\infty$

to  $M$  at  $T$

This is an equilibrium situation

Before eq. is reached, have gas cloud,

collapses: grav. energy  $\rightarrow$  high temp

$\Rightarrow$  fusion can occur. need  $T \sim 10^7 \text{ K}$

(N.B. QM tunneling process  $\rightarrow$  steep T dependence)

p-p chain:  $p + p \rightarrow d + e^+ + \nu_e$  energy released is

$He^3 + He^3 \rightarrow He^4 + 2p$   $\varepsilon \propto T^{15}$

In very massive stars, the CNO cycle is important

$\varepsilon \propto p T^{15}$

Now for gas  $\rho = n k T$ ,  $E_k = \frac{3}{2} n k T$  hence (for radiation, get  $E_k = -E_p$ )

True only for points! Generally  $E_k = \frac{n k T}{Y-1}$

$\Rightarrow \langle E_{kin} \rangle = -\frac{\langle E_{pot} \rangle}{3(Y-1)}$  So total  $E = E_p \frac{(3Y-4)}{3(Y-1)}$

So unbound for  $Y < \frac{4}{3}$  ( $Y = f + 2$ )

i.e. push in cloud photons  $f=6$

- if  $f=3$  pressure pushes out.

if  $f > 3$ , work done  $\rightarrow$  rot. etc no can push out so collapse (or explode).

## Stellar Evolution

Solve eq's ①  $\rightarrow$  ④ and an eq'n for  $K$  - opacity and all the scaling laws.

Hydrogen burning lasts  $\sim 10^{10} \text{ yrs}$  When use all H, core contracts until

hot enough for He burning whilst

outer part swells by  $\sim 100$  times or more  $\rightarrow$  red giant phase.

Massive stars: Radiation pressure dominates.  $\Rightarrow$  or,  $\frac{\partial M^2}{R^4} \sim a t^4 M^4$

$\Rightarrow$  so can get hant on  $M \approx 100 M_\odot$

Supernova explosion (Type II)

White Dwarfs

DEGENERACY PRESSURE:

Estimate via  $\Delta x \Delta p_z \approx \hbar$  use  $P_{deg} = E_{deg} N / V$ ,  $n = N/V$ ,  $\Delta x \sim (N)^{1/3}$

Non-rel.:  $E_{deg} = \frac{p^2}{2m}$   $\Rightarrow P_{deg} \approx \frac{\hbar^2}{2m} n^{5/3}$

Rel.:  $E_{deg} = pc$   $\Rightarrow P_{deg} \approx \frac{\hbar c}{4m} n^{4/3}$

c.f. ideal gas  $P \propto \rho$  so non rel. stable, rel. not.

$P_{deg} \propto \frac{1}{\text{mass of degenerate particle}}$   $\therefore$  electron effect  $\gg$  proton etc effect.

Objects supported by  $e^-$  deg. pressure are WHITE DWARFS

Total energy of a relativistic W.D. is  $hc \left( \frac{M}{m_p} \right)^{4/3} \frac{1}{R} - \frac{GM^2}{R}$

now  $\#$  a minimum:

for  $hc \left( \frac{M}{m_p} \right)^{4/3} > GM^2$  cross over point, get Chandrasekhar mass

$M_{Ch} = \left( \frac{hc}{G} \right)^{3/2} \frac{1}{m_p^{5/3}}$

ie mass where instability changes from explode

$\therefore$  efficient heat conduction to collapse  $\rightarrow$  B.H.

non deg. blanket - keeps heat in so cool in  $10^{10} \text{ yrs}$  - hot + white.

WD

deg. matter - mean free path is big

non deg. blanket - keeps heat in so cool in  $10^{10} \text{ yrs}$  - hot + white.

NON-relativistic case

G. A. and C. (4) Then can get inverse  $\beta$ -decay:  $e + p \rightleftharpoons n + \bar{\nu}$ . Occurs at  $\sim 10^{10} \text{ kg m}^{-3}$ . As  $p \uparrow$ , get neutron degeneracy. For W.D.  $M \propto 1 / R^3 \times (\text{mass of deg particle})^3$ . So can we to get  $R_{\text{ns}} \propto R_{\text{wd}} \left( \frac{m_e}{m_n} \right)^{1/3} \sim 10 \text{ km}$

Neutron Stars - Form when  $E_{\text{deg}} > (m_n - m_p)c^2$  ( $1.29 \text{ MeV}$ ) reverse process blocked by lack of free  $e^-$  states.

Supernovae For  $M > 8M_\odot$  (otherwise WD forms) Red Giant: fusion  $\rightarrow$  Iron. The collapse releases grav. energy. Uses up fuel then core collapses.

Then the core "bounces" - outer envelope is emitted at  $10^4 \text{ km s}^{-1}$ , captured by outer envelope making iron etc. Luminosity  $\sim 10^9 L_\odot$ .

core forms ns. or b.h. for  $M > 30M_\odot$

TYPE I Supernovae  $\rightarrow$  TYPE II Supernovae

WD. in binary sys. accretes s.t.  $M > M_{\text{ch}}$  then just blows up - there is no remaining compact object.

EXAMPLE: SN 1987A About 20  $\rightarrow$  were detected within about 20s - can get  $m_p$  limit

Interparticle separation:

$\Delta x \sim (N/V)^{1/3} \sim 10^{-15} \text{ m}$  - same as nucle. radius i.e. the nuclei are "touching" - it is one gigantic nucleus.

$R_{\text{ns}} \propto O(R_s)$  so need GR.  $0.1M_\odot < M < 3M_\odot$

or else  $\exists$  free  $e^-$  states so  $\beta$ -decay occurs  $\rightarrow$  WD.

$t_{\text{ff}} \rightarrow$  a few seconds (10s)

Neutrino luminosity  $\sim \frac{BE}{t_{\text{diff}}} \sim 10^{45} \text{ W}$

shocks Supernova Remnants In rest frame of outer shock, unshifted gas

swell up gas  $v, P_d$   $T_d$  downstream

$v, P_u$   $T_u = 0$  upstream

Mass cons:  $P_u V = P_d u$  Momentum:  $P_u V^2 = P_d + P_d u^2$

Energy:  $\sqrt{\frac{1}{2} P_u V^2}$

$-u \left( \frac{1}{2} P_d u^2 + \frac{3}{2} P_d \right) = u P_d$

Rearrange (elim  $P_d, v$ ) to get  $P_d = 4$  (or  $P_d = 1$ )

Ideal  $P_u = \frac{T_u}{P_d}$  gas law  $\Rightarrow kT_d = \frac{P_d}{P_d} \frac{u m u}{P_d}$

$\therefore T_d = \frac{3}{16} \frac{u m u^2}{k}$

Discovery of White Dwarfs Sirius A and B:

b is observed to be  $\approx 2a$ . Also, know  $M_A \approx 2M_\odot \Rightarrow M_B \approx M_\odot$

Also  $\Rightarrow P_B \sim 10^6 \text{ s}$  say  $10^9 \text{ kg m}^{-3}$

These facts ( $T, R, \rho$ )  $\Rightarrow$  Sirius B is a white dwarf.

Hertzsprung-Russell Diagram brightness

main sequence big red giants

lines of constant radius

WD temp

Pulsars also, radius is small

Newton Stars cool by  $\propto$  emission

$T_c : 10'' \rightarrow 10^8 \text{ K}$ ,  $T_{\text{surf}} \sim 10^6 \text{ K}$

Emission is in EKV band  $\therefore$  is absorbed by H in galaxy....

$\Rightarrow$  don't see neutron stars.

Pulsars were seen 1967 - flashes of radio waves with periods  $1.6 \text{ ms} \pm 5 \text{ s}$ , and  $\dot{P} > 0$

So  $\Omega^2 \approx \frac{GM}{R^3} \sim Gp$  (i.e.  $\Omega^2 = Gp$ )

i.e.  $p$  is high  $\Rightarrow$  NS.

Model as rotating magnetic dipole:

Power  $= \frac{\mu_0 I^2 p^2}{6\pi c^3}$

where  $m = \frac{B_{\text{ples}} R^3}{2} \left( \frac{4\pi}{M_0} \sin(\Omega t) \right)$

Can construct "braking index",  $n$ , s.t.  $\dot{I}^2 \propto -\dot{R}^2$  and  $\dot{P}_n = -\dot{I}^2 \Omega^2$

For magnetic dipole,  $n=3$ . For crab pulsar,  $n=2.5$ .

CRAZ PULSAR: nebula found to

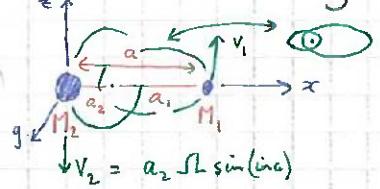
emit X-rays - needs injection of  $P \approx 5 \times 10^{31} \text{ W}$ . If use  $P, \dot{P}$  data,

assume  $M, R$  (i.e. I) then get  $P \approx 6 \times 10^{31} \text{ W}$ ! (also get  $B_p$  - not braking)

Few pulsars actually known to be associated with SN remnants - Type I or BH or beam misses earth etc.

5 M and L (5)

## Mass Transfer in Binary Systems



$$v_2 = a_2 \Omega \sin(i\alpha)$$

$$\text{Mass fn } f_1 = \frac{(M_2 \sin i)^3}{M^2} = \frac{V_1^3}{\Omega^2 G}$$

$$\text{So } \frac{f_1}{F_2} = \left(\frac{M_2}{M_1}\right)^3 = \left(\frac{V_1}{V_2}\right)^3 \quad M_2 v_2 = M_1 v_1$$

Algol Paradox:  $i = 90^\circ$

So:

MAIN SEQUENCE ie OLDER  
But more massive stars evolve  
quicker!  $\Rightarrow$  mass transfer

$$\text{grav/pot, } \phi = \frac{-GM_2}{(x^2+y^2+z^2)^{1/2}} - \frac{-GM_1}{((x-a)^2+y^2+z^2)^{1/2}}$$

If plot this, it looks like:

$$\begin{aligned} J &= M_1 a_1^2 \Omega + M_2 a_2^2 \Omega \\ &= \frac{M_1 M_2}{M_1 + M_2} a^2 \Omega \end{aligned}$$

First approx: assume conservative  
ie  $\dot{\phi} = 0$  and  $\dot{M}_{tot} = 0$ .

$$\text{Kepler } \Rightarrow \frac{\dot{a}}{a} = -\frac{2\dot{\Omega}}{3\Omega} \text{ subst into } \dot{\phi} = 0$$

$$\Rightarrow -\frac{\dot{a}}{\Omega} = \frac{\dot{p}}{p} = \frac{3\dot{\Omega}(M_1 - M_2)}{M_1 M_2}$$

If  $M_1$  loses mass ( $\dot{M}_1 < 0$ ) and  $M_1 < M_2$ , as in diag,  $\dot{a} > 0 \therefore$  less mass transfer occurs - stable. But if  $M_1 > M_2$

and  $M_1$  loses mass  $\dot{a} < 0$  and more mass transfer occurs - usually stabilizes when  $M_1 \approx M_2$ . If one star is WD and  $P = \text{few hrs}$ , get flare up by  $\times 100$  every few weeks as waves of matter are accreted. Sometimes accreted H fusions and see bright burst. If gains more than loses, get Type I Supernova known as a nova.

If have main seq. star + neutron star then have X-ray binary.  $L_{\text{grav}} = \frac{GM\dot{r}}{R} = \frac{4\pi R^2}{G} \sigma T_{\text{surface}}$ .

Get roughly 2 types: Low Mass XB companion is  $M < 1 M_\odot$

High Mass XB: companion is  $M \gtrsim 10 M_\odot$

For XB to form need supernova to occur in less massive star otherwise binary system becomes unbound: get runaway star + high speed X-ray binary.

## Accretion $\Rightarrow$ the star $\Rightarrow \frac{1}{2}mv^2 \leq \frac{GMm}{r}$

Matter must be bound to can express in terms of an accretion radius  $R_A = \frac{2GM}{v^2}$

Free fall density:

$$\rho_{ff} \approx \frac{M}{4\pi r^3 v_{ff}^2} \text{ where } v_{ff} = \sqrt{\frac{2GM}{r}}$$

Mass  $\rightarrow$  energy conversion efficiency:  $\epsilon$

$$\epsilon = \frac{R_s}{2R}$$

Minimum temp. of accreting gas is black body temp.  $T_{bb} = (\frac{GMm}{4\pi R^3 c})^{1/4}$  or  $L \gtrsim 1000 L_\odot$  get WD  $2 \times 10^5 K$  NS  $6 \times 10^6 K$ .

Maximum temp. of accreting gas is shock temp.:  $T_s = \frac{3}{16} \frac{GM_m}{kR}$  WD  $2 \times 10^8 K$  NS  $2 \times 10^{10} K$

If lots of matter present, can interact with photons and equilibrate - so  $\rightarrow$  black body spectrum.

X-ray bursts X-ray Flux: due to fusion burning of accreted matter: He burning. expect usual accretion flux =  $200 \text{ MeV} \sim 30$  - see 50-200 time av. burst flux  $\frac{6 \text{ MeV}}{6 \text{ MeV}}$  If know dist can use  $L = 4\pi R^2 \sigma T^4$  to get temp and radius.

Eddington Limit: is when radiation pressure balances pressure due to gravity. Any object lasting  $>$  a few dynamical times (ie not supernovae) should have  $L < L_{edd}$ .  $F_{\text{grav}} = \frac{GM}{R^2}$  (acting on proton)  $F_{\text{rad}} = \frac{L}{4\pi R^2 h\nu}$  (acting on photon)  $\Rightarrow L_{edd} = \frac{4\pi GMpc}{c}$   $= \sim 10^{31} W \text{ per } M_\odot$

## Accretion onto Magnetized NS. or WD.

$$\text{Assume sph. sym. flow, dipole field. Mag. field dominates flow in region } R_M \text{ where mag. pressure/stress } \rightarrow \text{pressure of flow.}$$

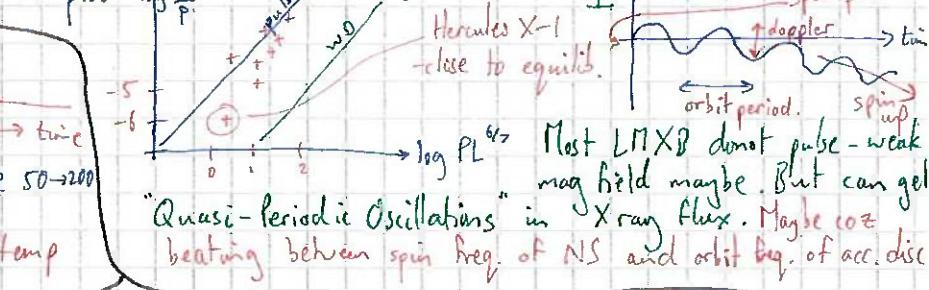
$$P_{\text{field}} = \frac{B^2}{2\mu_0} = \frac{M}{r^3}$$

$$P_{\text{flow}} = \rho v_{ff}^2 = \frac{M}{4\pi r^2 R_M^6} \sqrt{\frac{2GM}{R_M}}$$

$$P_{\text{field}} = P_{\text{flow}} \Rightarrow R_M \propto L^{-1/2}$$

If flow is not sph. sym and disc like, get  $R_M^{\text{disk}} \approx \frac{1}{2} R_M^{\text{pl}}$ . Objects accrete any. mom - they spin up until co-rotating with inner edge of disc. Can get pulses in HMXBs: NS. accretes matter onto pole coz mag. field funnels it down. Poles get hot + become a torch. Now  $J = \dot{\Omega}r + I\dot{\varphi} = M \sqrt{GM R_M}$   $\Rightarrow \dot{p} = -p^2 \dot{M} \sqrt{GM R_M}$

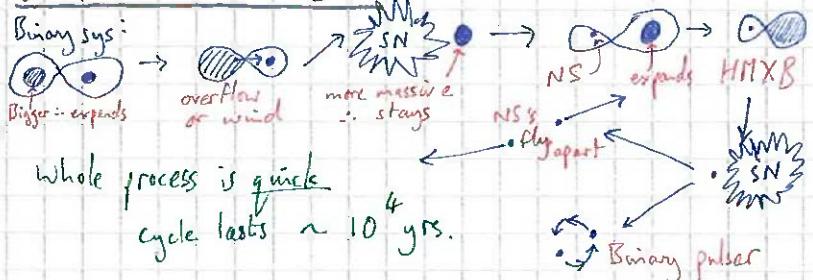
But can use this to get  $\frac{p}{P} \propto -PL$  So plot:  $\log \frac{p}{P}$  vs  $\log PL$



Most LMXB do not pulse - weak mag field maybe. But can get

"Quasi-periodic Oscillations" in X-ray flux. Maybe coz beating between spin freq. of NS and orbit freq. of acc. disc

## Evolution of HMXB's



## G. H. and L. (b)

### Evolution of LMXBs's

This less clear than HMXB.

Many exit in globular clusters

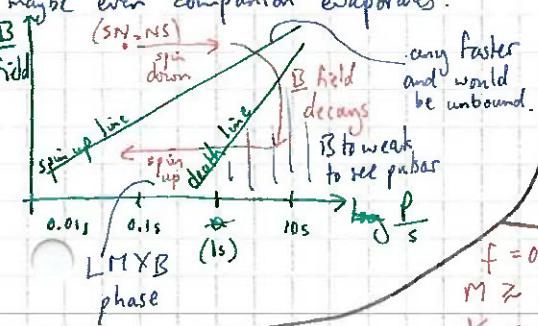
High star density  $\therefore$  dead NS can tidally capture main sequence star and spin up to  $\sim$  ms. Young

NS no can do as B is strong  $\therefore R_M$  large  $\therefore$  corotates very soon.

Old NS has  $R_M \approx R$   $\therefore$  corotates weak.

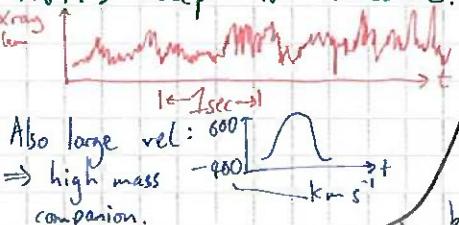
$\Rightarrow$  Accretion is v. long lived.

After spin up accretion decreases - maybe even companion evaporates.



### Accreting (Stellar Mass) Black Holes

e.g. Cygnus X-1 is prob. a black hole because: high mass (from spec. evidence) small size (timescales of variation  $\sim$  ms) shows chaotic flickering hard X-ray spectrum unlike NS X-ray binaries by very like AGN's except for timescale.



### Quasar Lifetimes

Time taken to double mass if radiating at Ed. limit:

$$\frac{M}{\dot{M}} = \frac{M_0}{L_{\text{Ed}}/\epsilon c^2} = \frac{c_0 T}{4\pi G M_0} = 4 \times 10^8 \epsilon \text{ yrs.}$$

Characteristic lifetime for Quasars is  $\sim \frac{1}{100}$  of Hubble time and they're seen in  $\sim 1/100$  galaxies so maybe every galaxy has one!

### Jets and Radio Sources

In some AGN's, mag. field + electrons are squirted into jets which emit radio waves (synchrotron radiation).

We know V - volume of jet source and P - total power. One e<sup>-</sup> emits synchrotron radiation at power  $P \propto \gamma^2 B^2$  at frequency  $\gamma^2 B$

### Binary Pulsars

10<sup>3</sup> stars with a few pc! Milky way has >100 orbiting it.

Can get mass f'n very accurately. Also can get doppler + grav. redshift to relate  $M_1, M_2, a, e$ . Also, precession of periastron,  $\omega$ , is  $4.2 \cdot 10^{-11} \omega = \frac{6\pi G M_2}{a^3 (1-e^2) c^2}$

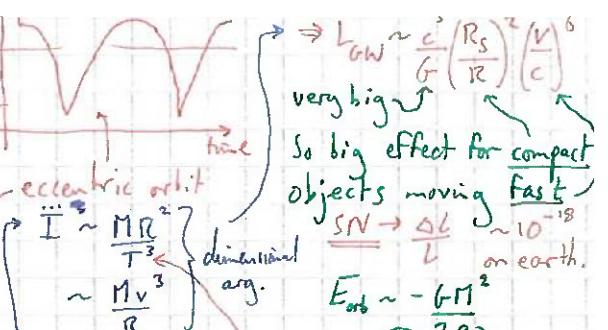
$\Rightarrow$  have closed solution to prob. - Tests GR:  $a < 0$  - orbit tightens at rate consistent with grav. waves.

Dipole emission forbidden by cons. of angular momentum

Quadrupole emission:

$$L_{\text{GW}} = \frac{G}{5c} \langle \ddot{I}^2 \rangle$$

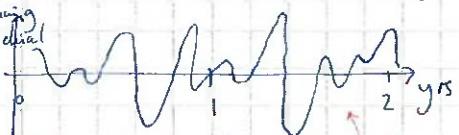
$I$  - rate of change of moment of inertia.



$$\text{Now: Kepler: } \frac{\dot{P}}{P} = \frac{3}{2} \frac{a}{a} = -\frac{3}{2} \frac{E}{E}$$

$$\frac{GMm}{R^2} = \frac{MmR\dot{a}^2}{a^3} \text{ subst for } T \text{ get } L_{\text{GW}} = \frac{G^4 M^5}{c^5 R^5} = \dot{E} \Rightarrow \text{find estimate for } \dot{P} \text{ fits with G.R.}$$

### Planets around Pulsars



$\Rightarrow$  3 planets orbiting this pulsar detect doppler shift due to walking speeds

### Active Galactic Nuclei

AGN is small centre of galaxy that outshines rest of gal ( $\sim 10^9$  stars)  $L = 10^{36} - 10^{40} W$ . (ie)  $\sim 10^{13} L_\odot$ . Milky Way is  $10^9 L_\odot$

Spectra  $\Rightarrow$  not ordinary stars. Variation timescale  $\Rightarrow$  small. High L AGN's: Quasars Low L AGN's: e.g. Seyfert Galaxies.

V. important for cosmology - very high redshift ( $\sim 5$ )

Very broad, strong spectral lines  $\Rightarrow$  v. high gas speeds close to AGN: the broad-line region.

If all grav. energy released,  $L = GM\dot{M}$  but if some sucked in,  $L = \epsilon M c^2$  typically  $\epsilon \ll 0.1$ . ( $0.1$  = "standard value")

Eddington limit  $\Rightarrow$  constraint on the mass of an AGN.

SPECTRA: Surface area of disk  $\propto M^2$   $\Rightarrow$  emission per m<sup>2</sup>  $\propto \frac{M}{R^2} \propto \frac{1}{M^2}$

but emission per m<sup>2</sup> =  $\propto T^4$  so temp  $\propto \frac{1}{M^{1/4}}$

So for high mass  $\Rightarrow$  primary emission is UV which can be absorbed by gas clouds and emitted as visible - fits with observations.

$\Rightarrow$  AGN flares like solar flares due to reconnection of B, acc'n of particles...

FURTHER EVIDENCE FOR BH: if occur anywhere, will be at centre of galaxy. Spectral lines in surrounding gas should show:



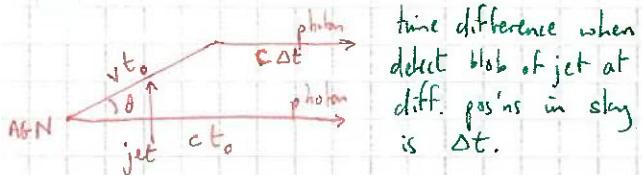
In some galaxies - have actually seen orbits at  $10^5 R_s$ , stars with high speeds ... but low luminosity  $\therefore$  central mass prob. not stellar material e.g. in Milky Way

Magnetic energy is  $B^2 V$

page 1 of last small writing lecture.

## Superluminal Motions and Doppler Beaming.

evidence that jets flow out at close to c.



time difference when detect blob of jet at diff. pos'sns in sky is  $\Delta t$ .

$$\Rightarrow v_{\text{app}} = \frac{v t_0 \sin \theta}{\Delta t} \text{ but } ct_0 = vt_0 \cos \theta + c\Delta t$$

$$\Rightarrow v_{\text{app}} = \frac{v \sin \theta}{1 - \frac{v}{c} \cos \theta}$$

maximum  $v_{\text{app}}$  at  $\sin \theta = \frac{1}{\gamma}$   
so big effect for small  $\Delta \theta$ ...

Intensity is increased (as well as blueshift). For Power  $\propto \frac{1}{\Delta \theta^2}$ ,  
 $I_{\text{observed}} \propto \frac{1}{[\gamma(1 - \frac{v}{c} \cos \theta)]^3 \Delta \theta}$ . So substantial fraction of radio jets display superluminal motions.

## Gamma-Ray Bursts.

- Bursts of  $\gamma$ -rays (photons of  $\sim 1$  MeV) lasting about 0.1 - 30 seconds. Flux high ~ same as Optical flux from Venus at its brightest. After glows lasting  $\sim$  months (optical + radio) show spectral lines with moderate redshift  $\Rightarrow$  bursts are "cosmological". Rate is:  $\sim 1$  per day ( $10^{-6}$  per yr per galaxy). Energy release is  $\sim 10^{45}$  J in a few seconds. Amount is no prob but time  $\uparrow$  is.

NG: rBH collisions in binary systems are poss. Then: energy released as neutrinos in core can collide in outer regions to give  $e^+e^-$  fireball, or magnetic fields (need strong  $10^9$  T) channel rotational energy into a strong outflow. Evidence  $\Rightarrow$  emitting region is relativistically expanding - see notes.

## Distance Definitions.

PROPER DISTANCE:  $d_{\text{prop}} = \int ds$  at const.  $t, \theta, \phi$   
ie  $d_{\text{prop}} = R(t_0) \chi$  ie lay ruler down at time  $t = \text{now}$ .

RADAR DISTANCE:  $d_{\text{radar}}(t_0) = \frac{1}{2} c(t_2 - t_1)$   $t_0 = \frac{t_1 + t_2}{2}$

ie send out light pulse, reflect off galaxy.

LUMINOSITY DISTANCE: In Euclidean universe,

can say  $d_L = \left(\frac{L}{4\pi F_{\text{Euc}}}\right)^{1/2}$ . But F is changed in 3 ways:  
①  $4\pi r_{\text{Euc}}^2 \neq 4\pi r_{\text{prop}}^2$ . Proper area =  $4\pi (R S(\chi))$   
 $\therefore = 4\pi [R_0 S(\chi_i)]^2$   $\chi$  coord of comoving galaxy.

② Photons are redshifted:  $\nu_{\text{obs}} = \nu_{\text{em}} / R(t_i) = \frac{\nu_{\text{em}}}{1+z}$   
Luminosity  $\propto \nu$  so L is reduced by  $\frac{1}{1+z}$ .

③ Rate of arrival is reduced - same redshift arg.  $\propto \frac{1}{1+z}$

$$\Rightarrow F(t_0) = \frac{L(t_i)}{4\pi (R_0 S(\chi_i))^2 (1+z)^2}$$

$$\text{now } d_L(t_0, \chi_i) = \left(\frac{L(t_i)}{4\pi F}\right)^{1/2} = R_0 S(\chi_i) (1+z)$$

- note - dep on history of universe through  $\chi$  because  $\chi = \int \frac{cdt}{R(t)}$ .

## Transforming FRW Metric

FRW metric is:  $ds^2 = dt^2 - R^2(t) \left[ \frac{d\theta^2}{c^2} + \sigma^2(d\phi^2 + \sin^2 \theta d\phi^2) \right]$   
We look out radially so let's put nasty bit into angular part: Let  $d\chi = d\phi$  then:

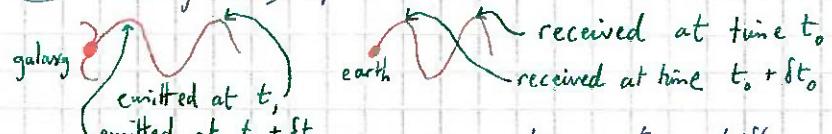
$$ds^2 = dt^2 - R^2(t) \left[ \frac{d\chi^2}{c^2} + S^2(\chi) (d\theta^2 + \sin^2 \theta d\phi^2) \right]$$

$$\text{where } \chi = \begin{cases} \sin^{-1} \sigma & \text{for } k=+1 \\ \sigma & \text{for } k=0 \\ \sinh^{-1} \sigma & \text{for } k=-1 \end{cases} \quad \begin{cases} \sin \chi & k=+1 \\ \chi & k=0 \\ \sinh \chi & k=-1 \end{cases}$$

## Redshift from FRW metric

Radial photon propagation gives us  $\frac{d\chi}{dt}$  as  $ds^2=0$ ,  $\theta, \phi$  const ie  $dt^2 - \frac{R^2}{c^2} d\chi^2 = 0$

$$\text{So } \chi(t_0) - \chi(t_1) = \int_{t_1}^{t_0} \frac{-cdt}{R} \quad \Rightarrow \quad \frac{d\chi}{dt} = -\frac{c}{R}$$



$$\text{Now } \chi_1 = \frac{t_0 - t_1}{R} cdt = \int_{t_1}^{t_0} \frac{t_0 + t_1 - t}{R} cdt = \int_{t_1}^{t_0} \frac{t_0}{R} cdt + \int_{t_1}^{t_0} \frac{t_1 - t}{R} cdt$$

time-translation invariance.  $t_1 + \delta t_1$  same  $t_0 + \delta t_0$  but not cancelled!

$$\Rightarrow \int_{t_1}^{t_0} \frac{cdt}{R} = \int_{t_0}^{t_0 + \delta t_0} \frac{cdt}{R} \Rightarrow \frac{\delta t_0}{R} = \frac{\delta t_1}{R(t_1)}$$

$$\text{So redshift, } z+1 = \frac{R_0}{R_1} \text{ ie scale factor when receive scale factor when emit.}$$

( $r = aR$ ) ANGULAR DIAMETER DISTANCE In  $E^3$ ,  $\Delta\theta = \frac{\theta}{D}$

now,  $d_\theta = \text{assumed proper size, } D$  measured angular diam,  $\Delta\theta$  From angular part

$$\text{ie: us } (t_1, \chi_1, \theta + \Delta\theta, \phi) \text{ of metric, } (t_0, \chi_0, \theta, \phi) \quad D = R_1 S(\chi_1) \Delta\theta$$

$$\text{So } d_\theta(t_0, \chi_1) = R_1 S(\chi_1) = \frac{R_0 S(\chi)}{1+z} \text{ as } \frac{R_1}{R_0} = \frac{1}{1+z}$$

$$\Rightarrow d_\theta = \frac{R_0 S(\chi)}{(1+z)}$$

## Overview of field eq's

Hom + isot. + expansion  $\Rightarrow$  FRW

Only free params are  $R(t)$  and  $k$ . Now relate to gravitating matter. Curvature of space-time is crucial.

G. H. and C. (8)

## The Cosmological Field Equations

We use metric when interval has units  $t^2 - [K] = \frac{1}{c^2}$

Take FLRW metric and let  $\theta, \phi = \text{const.}$   
Then have 2-D surface - use Gauss's theorem to find curvature: gives:

$$K(t) = -\frac{\ddot{R}}{R}$$

Newtonian limit:  $m\ddot{R}X = -G\frac{M}{(XR)^2}$  for  $M = \frac{4\pi R^3 \chi^3 p}{3}$

$\Rightarrow \frac{\ddot{R}}{R} = -\frac{4\pi G p}{3}$  But we have neglected pressure can estimate contribution using dimensions  $C$  ratio of strengths  $= \frac{p}{pc^2} \ll 1$  (non-relativistic systems, eg photons  $p = \frac{1}{3}U$  energy density  $\Rightarrow 3$  contribution).

Relation between  $\rho$  and  $p$  is equation of state:

$$\epsilon \frac{pc^2}{3} = p \text{ ie } \epsilon = \frac{p}{pc^2}$$

So  $\epsilon = 1$  if universe full of radiation,  $= 0$  if matter.

So if use this, get:  $\frac{\ddot{R}}{R} + 4\pi G p (1+\epsilon) = 0$

ALSO have assumed here that if  $p=0$ ,  $K=0$ ! ie flat Minkowski space time.

If not, let  $K \propto p + \text{const.}$

$$\Rightarrow \frac{\ddot{R}}{R} + \frac{4\pi G p (1+\epsilon)}{3} - \frac{\Lambda}{3} = 0 \quad \text{Force eq'n.}$$

Static Universe Assume dust filled,  $\epsilon = 0$

$$\ddot{R} = \dot{r} = 0 \text{ then } \Lambda = 4\pi G p$$

$$\text{and } -8\pi G p - \Lambda = -\frac{kc^2}{R^2}$$

$$\Rightarrow R = \sqrt{\frac{3}{4\pi G p}} \text{ only real solutions for } k = 1 \text{ i.e. not flat ...}$$

experimentally  $p(t_0) \approx 3 \times 10^{-28} \text{ kg m}^{-3}$   
 $\Rightarrow R \approx 6 \times 10^{26} \text{ m} = 20000 \text{ Mpc} \therefore \text{possible}$   
 $\dot{r} > \text{known universe. Also } p_0 \Rightarrow \Lambda = 2.5 \times 10^{-37} \text{ s}^{-2}$   
which evades current measurement ( $10^{-33}$ )

But: (1) unstable - small pert. lead to runaway expansion or collapse (2) the universe is observed to be expanding

$$\text{So set } \Lambda = 0$$

Define DECELERATION PARAMETER:  $q(t) = -\frac{\ddot{R}}{\dot{R}^2}$   
ie let  $\dot{R} \propto R^q$  [ $q_0 = 0.5 \Leftrightarrow \text{EdS}$ ]

$$\text{Can write } F = L H_0^2 \left(1 + (q_0 - 1)z + \dots\right)$$

Then: use standard candles to plot  $F$  vs  $z$  for different  $q_0$ . But high  $z$  galaxies are younger, more luminous  $\therefore$  no longer standard candles...

$$\text{Now, } H^2 = \frac{8\pi G p}{3}$$

so if have  $H$ ,  $\rightarrow p$  The numbers work out OK. The Einstein-de-Sitter density is called the critical density now

## ENERGY CONSERVATION:

If  $\epsilon = 0$ , ie matter dominated,  $M = 4\pi \chi^3 R^3 / 3$   
 $\Rightarrow p \propto \frac{1}{R^3}$  ie consider sphere expanding -  $K = \text{const.}$ ,  $M = \text{const.}$

If  $\epsilon = 1$  must take account work done during expansion:

$$p_{\text{new}} c^2 V_{\text{new}} = p_{\text{old}} c^2 V_{\text{old}} - pc dV$$

$$\text{ie } (p + dp)c^2(V + dV) = pc^2 V - pdV \quad \text{But } V = \frac{4\pi \chi^3 R^3}{3} \text{ and } p = \frac{pc^2}{3}$$

$$\Rightarrow p \propto R^{-4} \quad \text{Now, } p = \frac{N h \omega}{V} = nh \omega \propto \frac{1}{R^4}$$

$$\text{but } n \propto \frac{1}{R^3} \Rightarrow \omega \propto \frac{1}{R} \quad \text{Redshift law!}$$

$$\text{Also, } p = aT^4 \Rightarrow T \propto \frac{1}{R} \quad \text{early universe had hot CMBR!}$$

$$\text{Generally, } p \propto \frac{1}{R^{(3+\epsilon)}} \quad \text{but now need GR to get:}$$

$$\left(\frac{\dot{R}}{R}\right)^2 - \frac{8\pi G p}{3} - \frac{\Lambda}{3} = -\frac{kc^2}{R^2} \quad \begin{array}{l} \text{Energy} \\ \text{eq'n} \end{array}$$
  
$$= -1, 0 \text{ or } 1$$

Einstein-de-Sitter Universe + Friedmann models

EdS: Spatially Flat ie let  $k = 0$  then:

$$\left(\frac{\dot{R}}{R}\right)^2 = \frac{8\pi G p}{3} \quad \text{using this } \dot{R}^2 \propto R^{2-(3+\epsilon)}$$

So for matter dominated un.  $R \propto t^{2/3}$   
for radiation dominated un.  $R \propto t^{1/2}$

Current universe is closest to  $\Lambda = \epsilon = k = 0$ , the Einstein-de-Sitter universe, Euclidean spatial hypersurface

Define HUBBLE PARAMETER  $H(t) = \frac{\dot{R}}{R}$ . now  $d_{\text{Hubble}} = R$   
and for comov.  $d = Rz$

So  $d = H R z$ , ie the regular Hubble Law

$$\text{Now } z = \int_t^t \frac{cdt}{R(t)} \text{ but } \frac{dt}{R} = -\frac{dz}{R} \cdot \frac{R}{R_0} \cdot \frac{R}{H}$$

$$\text{So } z = \int_0^z \frac{cdz}{R_0 H(z)} \text{ have changed } \int \text{ over time to } \int \text{ over redshift.}$$

Define: DENSITY PARAMETER  $\Omega = \frac{\text{actual density}}{\text{critical density}}$

$$\text{ie } \Omega = \frac{8\pi G p(t)}{3H^2(t)} \text{ Then}$$

$$q = \frac{\Omega}{2} (1 + \epsilon)$$

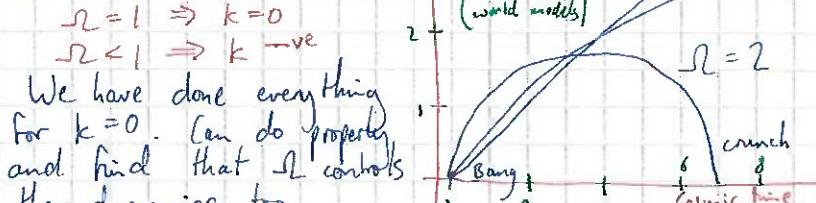
$$\text{so now, } q_0 = \frac{\Omega_0}{2}$$

$$\text{also, } \Omega - 1 = \frac{kc^2}{H^2 R^2}$$

$$\text{So } \Omega > 1 \Rightarrow k > 0$$

$$\Omega = 1 \Rightarrow k = 0$$

$$\Omega < 1 \Rightarrow k < 0$$



We have done everything for  $k=0$ . Can do properly and find that  $\Omega$  controls the dynamics too.

## V-T. and C.W.

### The C.M.B.

Know BBR (cos in TE.) when emitted but why is it still BBR now? Consider comoving volume i.e. proper volume  $\propto R^3$ . Let  $t_1$  be just after recomb. now: number in  $V_{t_1}$  = number in  $V_{t_0}$

$$\text{ie } n_{\nu_1}(t_1) d\nu_1(t_1) V(t_1) = n_{\nu_0}(t_0) d\nu_0(t_0) V(t_0)$$

now redshift  $\Rightarrow \gg \alpha \frac{1}{R}$  and  $d\nu \propto \frac{1}{R}$

$$\text{So } n_{\nu_0}(t_0) = n_{\nu_1}(t_1) \cdot \left(\frac{R_1}{R_0}\right)^2$$

So - has same form - diff. amount....

$$\text{but } \nu_1 = \frac{R_0}{R_1} \nu_0 \text{ so in exponent, } \frac{h\nu_1}{kT_1}$$

becomes  $\frac{h\nu_0}{kT_1 \frac{R_1}{R_0}} \Rightarrow T_0 = T_1 R_1 = \frac{T_1}{1+z}$

Photon to Baryon Ratio:

$$\text{Total no. of photons (per } m^{-3}) \text{ is } N = \int_0^\infty n \nu d\nu = \frac{4.2 \times 10^8 m^{-3}}{\text{for } T_0 = 2.76 \text{ K}}$$

But matter density is  $\sim 0.7 \text{ protons } m^{-3}$

$$\Rightarrow \frac{N_\gamma}{N_\text{mat}} \sim 10^9$$

Near

$$\text{Redshift and } \chi_p: \chi(z) = \int_0^z \frac{cdz}{R_0 H(z)}$$

but in EdS  $H(z) = H_0(1+z)^{3/2}$  so

$$\chi(z) = \frac{2c}{R_0 H_0} \left[ 1 - \frac{1}{\sqrt{1+z}} \right] \text{ but if } \chi = \chi_p \text{ (using this)}$$

Then  $\Rightarrow z = \infty$ . i.e. objects on  $\chi_p$  are at  $\infty$  redshift.

### The Age of the Universe

#### GLOBULAR CLUSTERS

Expect to be v. old as low metal content  $\sim 10^5$  stars born at same time from same cloud etc... so rel. pos'n on HR diag only dep on M. Turn off point gives lower bound on age of univ. HR

average over many diff. ages.

clusters  $\rightarrow 12.5 \pm 1.5 \times 10^9$  yrs.

RADIOACTIVE DATING eg Uranium in SN formed by rapid addition of neutrons gives  $\left[\frac{U^{235}}{U^{238}}\right]$  initial. We know

#### PHYSICAL METHODS - By pass distance ladder

S&B Sunyaev-Zel'dovich effect: CMB photons get and increase in mean energy when pass through hot intracluster gas  $\Rightarrow T \downarrow$  by 1mK in low freq. region of spec. :

Measure with radio telescope. (X-ray telescope gets gas details cos bremsstrahl.)  $\rightarrow 42 \pm 10$  - low. Uncert: depth / size of cluster....

Grav. lenses - get time delay from Quasar variability. If know mass etc of lens  $\rightarrow$  get  $H_0$  ( $\propto \frac{1}{H_0}$ ) but knowing mass is hard.

$$DDIC = \frac{c^3}{4\pi} \frac{energy}{d^2} \frac{d^2}{dt^2} \left( e^{\frac{hc}{kT}} - 1 \right)$$

$$\text{and intensity } I_\nu = \frac{c^2 \nu^2 h \nu}{4\pi}$$

Def'n of angular diameter distance:

$$d_A = \frac{D}{\Delta\theta} \text{ proper } \therefore \Delta\theta = \frac{D(1+z)}{R_0 S(z)}$$

$$\text{For a dust filled universe, } S = \frac{2c}{R_0 H_0 \Omega} \text{ (and if } z \gg 1 \text{) } H_0 \approx H_0 - 2Oz$$

$$\text{let's work out angle subtended by what is now a cluster of galaxies: } M = \frac{4\pi}{3} D^3 \rho(z) = \frac{4\pi}{3} D^3 \rho_0 (1+z)^3$$

$$\Rightarrow \Delta\theta \sim 10 \text{ minutes.}$$

i.e. progenitor of cluster subtends 10' at recomb.

### Particle Horizons Def'n:

A particle horizon is the  $x$  coord of the most distant object that can be seen at a given time,  $t$ , if  $x < \infty$   $\exists$  parts of universe not accessible at all given time.  $x$  is  $< \infty$  as shown: big bang:  $t=0$  just after,  $t=t_1$ , now  $x_p = \int_{t_1}^t \frac{cdt}{R(t)} \propto \int_{t_1}^t \frac{dt}{t^{2/3+\epsilon}}$ . This is finite if  $\frac{2}{3+\epsilon} < 1$ .

In EdS,  $\exists$  always a  $x_p$ .

valid  $\#$  Friedmann models not just  $k=0$

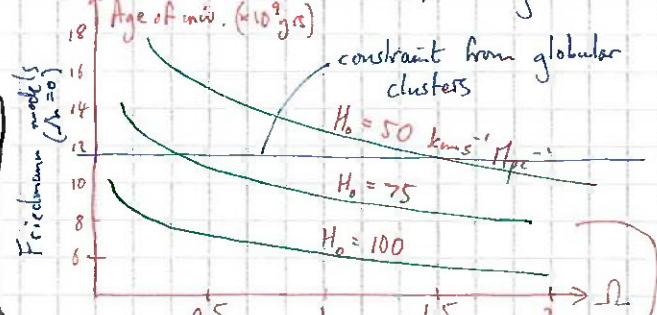
But Big Bang  $\Rightarrow$  all pts were one, once? if early enough

Yes but also curvature =  $\infty$  so  $\nexists$  a common Lorentz frame to compare speeds...

$$\text{Now } x_p \text{ at } t_0 = \int_{t_0}^t \frac{cdt}{R} = \frac{3ct_0}{R_0} \text{ for EdS}$$

$$\text{So D_particle (proper dist)} = 3ct_0 \text{ For EdS, } H_0 = \frac{2}{3t_0}$$

$$\text{so } x_p = \frac{2c}{R_0 H_0} \text{ Now, age of universe can restrict our models, using }$$



### The Hubble Constant

#### DISTANCE LADDER METHODS Use Cepheid Var's

$10^{4L}$ , massive. Atmospheres not in hydrostatic eq  $\Rightarrow$  pulsate - Satisfy period  $\propto$  Luminosity.  $\Rightarrow$  get distance if know const from parallel to Cepheid in own galaxy. Then can use to calibrate say SN then observe SN far away where can't see Cepheids etc etc... HST helps.

These methods give  $50 - 100 \text{ km s}^{-1} \text{ Mpc}^{-1}$ .  $\Rightarrow$  HST can see Cepheids at cosmologically interesting distances - gives  $80 \pm 17 \text{ km s}^{-1} \text{ Mpc}^{-1}$ . Uncertainty is in effect of cluster's gravity on light from Cepheids.

#### TYPE 1A SUPERNOVAE - good standard candles.

- currently being calibrated using HST - reach out to distances where Hubble flow  $\gg$  peculiar velocity! Results are less:  $57 \pm 3$  and  $65 \pm 6$ ! good

## G.A. and C. (10)

### Thermal History of Universe

$$h = \frac{H_0}{50 \text{ km s}^{-1} \text{ Mpc}^{-1}} = \frac{H_0}{1.62 \times 10^{-18} \text{ s}^{-1}}$$

Know: radiation:  $T \propto \frac{1}{R}$  (gas  $T \propto \frac{1}{R^2}$ )  
 Know  $\rho_{\text{rad}} \sim \frac{1}{R} = 4.7 \times 10^{-27} \times \Omega h^2$  (S.I)  
 current matter density

$\rho_{\text{rad}} \propto$  comes from CMB predominantly - equiv.  
 mass-energy density is  $\sim \rho_{\text{rad}}$ .  
 But  $\rho_{\text{rad}} \propto T^4$  so for  $R \propto T$ .  $\Rightarrow$  early times, important.

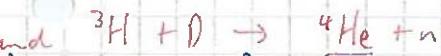
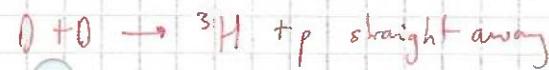
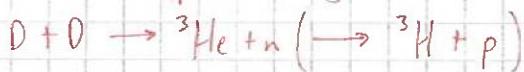
Important number:  $\Omega_{\text{baryon}} = 7 \times 10^{-9} \Omega_m h^2$

Helium and Deuterium Synthesis  
 These 3 processes convert  $n \rightleftharpoons p$ :  
 $\beta$  decay:  $n \rightarrow p + e^- + \bar{\nu}_e$   
 inverse  $\beta$  decay:  $p + e^- \rightarrow n + \nu_e$   
 and:  $p + \bar{\nu}_e \rightarrow n + e^+$  (small cross-sections)

$\Rightarrow$  time taken to establish  $\sim 2.5 T_{10}^{-5}$  secs.  
 But universe expands  $\sim T_{10}^{-2}$  secs. So expansion time scale is smaller than T.E. scale  $\Rightarrow$  density decreases too fast - reaching T.E. cannot happen...  $\frac{N_n}{N_p}$  freezes out:

Deuterium formation:  $n + p \rightleftharpoons D + \gamma$  But as photons outnumber Baryons must wait until few photons above the dissociation level (2.2 MeV) i.e.  $T \sim 10^9 \text{ K}$ .

When this happens, can get He formation:



provided  $\Omega \gtrsim 10^{-3}$ , all  $D \rightarrow \text{He}$ . Resultant He mass frac. is  $y = \frac{2N_n}{N_n + N_p}$

$y$  is dep on:  $\Omega$  ie density of universe through  $\Omega$  (i) No. of species (ii) neutron life  $\tau_N$

### Galaxies - Overview

orbits more random in ellipticals

nearest large neighbour is M31 - spiral.

largest ones are elliptical.

radii  $\sim 1 - 10 \text{ kpc}$  Mass to light  
 masses  $\sim 10^8 - 10^{12} M_\odot$  ratio  $\sim 1 - 10 \frac{M_\odot}{L_\odot}$   
 luminos.  $\sim 10^8 - 10^{11} L_\odot$   
 density of stars in gal is  $< 1\%$  closure density.

Galaxies cluster  $\sim 50\%$  are in groups of 1-10 large neighbours.

Correlation length for clusters is radius from one galaxy s.t. prob of finding another gal

now  $\rho_{\text{tot}} = \rho_{\text{mo}} \left(\frac{R_0}{R}\right)^3 + \rho_{\text{rad}} \left(\frac{R_0}{R}\right)^4$   
 So the epoch of equality occurs when scale fact is such that  $\rho_{\text{mo}} \left(\frac{R_0}{R}\right)^3 = \rho_{\text{rad}} \left(\frac{R_0}{R}\right)^4$   
 $\Rightarrow R_{\text{eq}} = R_0 \frac{\rho_{\text{mo}}}{\rho_{\text{rad}}} \Rightarrow$  redshift  $z_{\text{eq}} \sim 10^5 \Omega_m h^2$   
 So before, radiation dominant, after, matter dominant

### Solution of radiation dominated field equations

Early universe: can drop curvature term (using Robertson-Walker)  
 i.e.  $\dot{R}^2 + k c^2 = \frac{8\pi G}{3} \rho R^2$  but  $\rho \propto R^{-(3+1)}$

So have  $\left(\frac{\dot{R}}{R}\right)^2 = \frac{8\pi G \rho}{3}$ . If rad. dom,  $\rho = \frac{aT^4}{c^2}$  and  $T \propto \frac{1}{R}$   
 $\Rightarrow \frac{\dot{R}}{R} = -\frac{T}{T} \Rightarrow T = \sqrt{\frac{3c^2}{32\pi G a}} t^{1/4} \Rightarrow t = 2.3 \left(\frac{10^{10}}{T}\right)^{1/2}$

Let  $E = k_B T$  then can c.f. but energy scale  $10^{14} \text{ GeV}$   
 gives  $t_{\text{cur}} = 2 \times 10^{-34}$  seconds.

$t_{e^+e^- \text{ pair creation}} = 1 \text{ sec.}$   
 High energy  $\Rightarrow$  neglect mass then  $\rho c^2 = g \frac{4\pi}{3} \int E^3 dE$   
 So photons:  $\rho_c^2 = aT^4 \left(a = \frac{4\pi c}{3} = \frac{\pi^2 k^4}{c^3 t^3}\right)$   
 $e^- \frac{7}{8} aT^4 e^+ \frac{7}{8} aT^4$  etc. (depending on  $g$ )

### Effect on density of D / He synthesis

D is destroyed so amount measured now is  $\leq$  original amount.  
 $\Rightarrow \Omega_{\text{baryon}} \leq 0.08$ . But most of D goes  $\rightarrow$  He so if measure amount of He, get total ...  $\Rightarrow \Omega \gtrsim 0.04$   
 $\text{So } 0.04 \leq \Omega_b \leq 0.08$

So baryons cannot provide critical density!  
 and " " " dyn. inferred dark matter!

Also, standard cosmological model  $\Rightarrow$  number of species is  $\leq 4$  otherwise He abundance too high.

Also in primordial nucleosynthesis only have time for 2-body collisions  $\Rightarrow$  only trace amounts of heavy nuclei produced...

The CMBR again  $\chi_p \Rightarrow$  CMBR regions separated by  $> 1^\circ$  were never in causal contact. But we observe  $\Delta T \sim 10^{-5}$  on  $10^\circ$  scale. Inflation can get around this problem. It predicts T a scale invariant power spectrum. That is eg.  $10^\circ$  fluctuations create much larger scale structure than we see but OK coz hasn't had time to form yet. If universe made only from ordinary matter then amplitude of fluct. too small but if have dark matter, it can form pot. wells then ordinary matter falls in later! Measuring the Power Spectrum of CMB is the key!

is 2x prob if smoothly distributed. turns out to be  $\sim 5 \text{ Mpc}$ .  
 ~ 1% of bright gals occur in rich clusters  $> 30$  members.  
 Clusters have  $\sim 25\%$  of their mass as hot ( $10^{7-8} \text{ K}$ ) diffuse gas.  
 Bremsstrahlung radiates x-rays so can map it.

The speed of sound in the gas is similar to the speeds of the galaxies within the cluster i.e.  $\sim 500 - 1200 \text{ km s}^{-1}$   
 The temp agrees with the virial theorem.

Q.F.T. ①

Units + Dimensions.

$\rightarrow \hbar = c = 1$

$\downarrow$

[angmomentum]  $\Rightarrow$  identify length with inverse time.

$= ML^2 T^{-1}$

$\Rightarrow$  Identifying: length  $\equiv (time)^{-1} \equiv (mass)^{-1}$

i.e.  $L \equiv \frac{1}{T} \equiv \boxed{\frac{1}{M}}$

So units of energy + momentum are mass  
" of action are 1 (dimensionless)

$\downarrow$   
energy  $\times$  time

Lorentz Invariance

A Lor. Inv F'n as it is  $F(p^2)$

The "mass shell"  $f-f'_{\mu\nu}$  is  $\delta(p^2-m^2)$

Define  $f_+(p^2-m^2)$  which  $f(p^2) = 0$  for 2 values, only contributes from  $p = m$  of  $p$ ...  
not  $p = -m$

now  $p^2-m^2 = (E^2 - |\mathbf{p}|^2) - m^2$   $\xrightarrow{J=\det(L)} = 1$

where  $E_p = |\mathbf{p}|^2 + m^2$  (+ve root only)

Now  $\int_+ (p^2-m^2) d^4 p$  is a Lor Inv measure

Consider  $I = \int g(p^\mu, p) \int_+ (E^2 - |\mathbf{p}|^2 - m^2) dE d^3 p$

$\Rightarrow I = \int \frac{g(E_p, p)}{2E_p} d^3 p$  do the E integral

i.e.  $\frac{d^3 p}{2E_p}$  is a Lorentz Invariant vol. meas.

### Lorentz Invariance of K-G Action

Want Lor. Inv. Actn  $S = \int d^4 x L(x)$

Lor. Trans:  $x^i \rightarrow x'^i$  need  $\int d^4 x' L(x')$

$\phi(x') = \phi'(x)$   $\xrightarrow{L^{-1}} = \int d^4 x L(x) =$

Scalar terms obviously transform like a scalar.

Derivative terms:

$L'(x) = \frac{1}{2} g^{\mu\nu} \partial_\mu \phi' \partial_\nu \phi'$

$= (L^{-1})^{\mu}_{\nu} \partial_\mu \phi'(x) \partial_\nu \phi'(x)$

$= \frac{1}{2} g^{\mu\nu} (L^{-1})^{\mu}_{\nu} (L^{-1})^{\nu}_{\mu} \partial_\mu \phi'(x) \partial_\nu \phi'(x)$

$= L(x')$   $\rightarrow$  So  $L$  transforms like scalar.

For vector, trans. rule is  $A'^\mu(x) = L^{\mu}_{\nu} A^\nu(x)$

$\hookrightarrow$  Symmetry means that  $j^\mu = \frac{\partial L}{\partial (\partial_\mu \phi)} \partial_\mu \phi - X^\mu$

3 Conserved charge,

$Q = \int_{\text{space}} j^\mu d^3 x$ , which satisfies:  $\frac{dQ}{dt} = 0$

Simple Harmonic Oscillator. Lagrangian:  $L = \frac{1}{2} \dot{x}^2 - \frac{1}{2} \omega^2 x^2$

Canonical mom =  $\dot{x}$  Hamiltonian  $H = \frac{\dot{x}^2}{2} + \frac{1}{2} \omega^2 x^2$

Quantise by postulating:  $[x, p] = i$  Introduce ladder operators  $a = \frac{1}{\sqrt{2\omega}}(wx + ip)$   $a^\dagger = \frac{1}{\sqrt{2\omega}}(wx - ip)$

Then  $[a, a^\dagger] = 1$

also then  $H = (a^\dagger a + \frac{1}{2})\omega$   $\rightarrow a$  kills GS.  $\Rightarrow$  GS energy =  $\frac{1}{2}\omega$   
if have  $\infty$  osc then GS energy =  $\infty$

If only interested in energy differences can use normal ordered br for  $H$ :  $H = a^\dagger a \omega$ . Now, GS has zero energy

### Lorentz Transformations

$x^\mu \rightarrow x'^\mu = L^\mu_{\nu} x^\nu \leftarrow$  now line element is preserved

Consider infinitesimal L.T.:  $w^{\mu\nu} = -w^{\nu\mu}$

$L^\mu_{\nu} = \delta^\mu_{\nu} + w^\mu_{\nu}$   $\Rightarrow w^\mu_{\nu} = \begin{cases} \text{part is sym} \\ \text{part is anti-sym} \end{cases}$

time-space: Lorentz boosts  
space-space: Spatial Rotations.

3 6 indep components of  $w^\mu_{\nu}$ : write as linear comb of 6 generators

$(M^{\rho\sigma})^\mu_{\nu} = g^{\mu\rho} \delta^\sigma_{\nu} - g^{\sigma\mu} \delta^\rho_{\nu}$

which matrix  $\xrightarrow{\text{which entry}}$  which entry  $\xrightarrow{\text{6 matrices}}$

then,  $w^\mu_{\nu} = \frac{1}{2} (w_{\rho\sigma} M^{\rho\sigma})^\mu_{\nu}$

number  $\xrightarrow{\text{matrix}}$  matrix i.e.  $\cdot ( ) + \cdot ( ) + \cdot ( ) \dots 6$  times

if coeff of matrix

The generators span the group's Lie Algebra space:

$[M^{\rho\sigma}, M^{\tau\mu}] = g^{\sigma\tau} M^{\rho\mu} - g^{\rho\tau} M^{\sigma\mu} + g^{\rho\mu} M^{\sigma\tau} - g^{\sigma\mu} M^{\rho\tau}$

matrix mult. in out first lasts mat in last firsts

Finite Lor. Trans:  $L = \exp \left( \frac{1}{2} w_{\rho\sigma} M^{\rho\sigma} \right)$

### Noether's Theorem

In a Lagrangian Field Theory, to every infinitesimal symmetry, there corresponds a conserved current  $j^\mu$  s.t.  $\frac{d_\mu j^\mu}{dt} = 0$

Proof: let  $\phi(x) \rightarrow \phi'(x) = \phi(x) + \alpha \Delta \phi(x)$  Then  $L \rightarrow L' = L + \alpha \Delta \phi \frac{\partial L}{\partial \phi} + \alpha \Delta (\frac{\partial \phi}{\partial t}) \frac{\partial L}{\partial \dot{\phi}}$  (Taylor Series)

$\Rightarrow L' = L + \alpha \frac{\partial}{\partial \mu} \left( \Delta \phi \frac{\partial L}{\partial \phi} \right) \xrightarrow{\text{product rule}} = \alpha \frac{\partial}{\partial \mu} \left( \frac{\partial \phi}{\partial t} \frac{\partial L}{\partial \dot{\phi}} \right) - \alpha \Delta \phi \frac{\partial}{\partial \mu} \left( \frac{\partial L}{\partial \phi} \right)$

+  $\alpha \Delta \phi \left( \frac{\partial L}{\partial \phi} - \frac{\partial}{\partial \mu} \left( \frac{\partial L}{\partial \phi} \right) \right) \rightarrow 0$  if  $E-L$  satisfied.

So  $L' = L + \alpha \frac{\partial}{\partial \mu} \left( \Delta \phi \frac{\partial L}{\partial \phi} \right) + \frac{\partial}{\partial \mu} X^\mu$  any other dir term....

Q.T. 1. (2)

Applications of Noether's Theorem

Complex KG field  $\phi$  → infinitesimal translations  $x^\mu \rightarrow x'^\mu = x^\mu - a^\mu$  → So  $\phi(x) \rightarrow \phi'(x) = \phi(x+a)$

ie  $(x-a)$   $= \phi(x) + a^\nu \partial_\nu \phi$

So  $\partial_\mu \phi(x+a) = \partial_\mu \phi(x) + a^\nu \partial_\mu \partial_\nu \phi$

$L' = L + \partial_\mu \text{term} + \partial_\nu \text{term} + a^\nu \partial_\nu L(x)$

So current for each  $a^\nu$  is  $= a^\nu \partial_\mu (\delta^\mu_\nu L)$

### Classical Klein-Gordon Theory.

$$L = \frac{1}{2} \int d^3x \partial^\mu \phi \partial_\mu \phi - \frac{1}{2} m^2 \phi^* \phi$$

A solution is  $\phi = e^{-ip \cdot x}$  K-G eq'n

$$\Rightarrow E^2 = |\mathbf{p}|^2 + m^2 - \text{the dispersion relation for the waves}$$

Another approach is to say that:

$$\phi = a(t) e^{i p \cdot x}. \text{ KG eq'n} \Rightarrow \ddot{a} + (|\mathbf{p}|^2 + m^2) a = 0$$

$$\therefore a(t) = e^{\pm i E_p t} \text{ same result.}$$

So we have an  $\infty$  set of uncoupled oscillators.

General solution:

$$\phi(x) = \int d^3p \left[ f(p) e^{-ip \cdot x} + g(p) e^{ip \cdot x} \right]$$

only 3-mom integral because  $\int d^3p = \int d^3p / \text{volume}$

if  $\phi$  real then  $f^* = g$ .

$$H = \int d^3x \left[ \frac{1}{2} \pi^2 + \frac{1}{2} (\nabla \phi)^2 + \frac{1}{2} m^2 \phi^* \phi \right]$$

$$P = -\int \pi \nabla \phi d^3x$$

$$H = \int d^3p \frac{E_p}{(2\pi)^3} a_p^+ a_p$$

$$P = \int d^3p \frac{p}{(2\pi)^3} a_p^+ a_p$$

have normal ordered coz only energy diff. important.

Now  $[H, a_p^+] = E_p a_p^+$

$[H, a_p] = -E_p a_p$

Start from vacuum state:  $a_p |0\rangle = 0$

Similarly,  $P$  raises/lowers momentum by  $p$ .

Also  $[H, P] = 0$  so can have sim. e-states

Normalisation:  $\langle 0 | 0 \rangle = 1$

$$\text{define } |p\rangle \text{ s.t. } \langle q | p \rangle = 2E_p (2\pi)^3 \delta^{(3)}(q-p)$$

ie  $p \rightarrow p' q \rightarrow q'$  for loc. inv.

still have same normalisation.

$|p\rangle = \sqrt{2E_p} a^+ |0\rangle$  so  $H|p\rangle = E_p |p\rangle$ ,  $P|p\rangle = p |p\rangle$

The Energy-Momentum Tensor  $T_{\mu\nu} = \frac{\partial L}{\partial(\partial_\mu \phi)} \partial_\nu \phi - \frac{1}{2} g_{\mu\nu} L$

If  $\nu = 0$ , have time translation - get conserved energy:

$$E = \int T^{00} d^3x = \int \frac{\partial L}{\partial(\partial_0 \phi)} \partial_0 \phi - L d^3x = \int \frac{1}{2} \pi \dot{\phi} - L d^3x$$

If  $\nu = 1, 2, 3$ , have space translation - get conserved momentum:

$$P^\nu = \int T^{\nu 0} d^3x = \int \frac{\partial L}{\partial(\partial_\nu \phi)} \partial^\nu \phi d^3x$$

### Quantised Klein-Gordon Theory.

$$\text{Postulate } [\phi(x), \pi(x')] = i \delta^{(3)}(x-x') \quad [\phi(x), \phi(x')] = 0$$

#### EQUAL-TIME COMMUTATION RELATIONS

Express in terms of creation and annihilation operators:

$$\phi(x) = \int d^3p \frac{1}{(2\pi)^3 \sqrt{2E_p}} (a_p e^{ip \cdot x} + a_p^+ e^{-ip \cdot x})$$

$$\Rightarrow \pi(x) = \int d^3p \frac{(-i)\sqrt{E_p}}{(2\pi)^3} (a_p e^{ip \cdot x} - a_p^+ e^{-ip \cdot x})$$

$$\text{So: } [a_p, a_{p'}^+] = (2\pi)^3 \delta^{(3)}(p-p') \quad [a_p, a_{p'}] = 0$$

These are equivalent to  $[a_p^+, a_{p'}^+] = 0$

$$\text{Now: } \phi |0\rangle = \int d^3p \frac{1}{(2\pi)^3 2E_p} e^{-ip \cdot x} |p\rangle$$

So could interpret  $\phi$  "creating particle at  $x$ " - ie almost a "particle" at " $x$ " state (if no  $\frac{1}{2E_p}$ ) is a wave packet with Fourier coeffs to give  $\phi$ 's

But:  $\phi$  also contains annihilation operators: for e.g. consider  $\phi$  acting on a one-particle state  $|p\rangle$ : zero particle

$$\phi |p\rangle = \text{two particle piece } |p; p'\rangle + \text{coeff. } |0\rangle$$

coeff =  $\langle 0 | \phi | p \rangle = e^{ip \cdot x}$  projection of  $\phi(p)$  onto the vacuum.

$$\text{ie } \int d^3q \frac{1}{(2\pi)^3 2E_p} e^{iq \cdot x} \langle 0 | a_q | p \rangle \rightarrow \sqrt{2E_p} a^+ |0\rangle$$

$$\langle 0 | a_q a_p^+ | 0 \rangle = \langle 0 | a_p^+ a_q | 0 \rangle + \langle 0 | [a_q, a_p^+] | 0 \rangle$$

annihilation  $\langle 0 | [a_q, a_p^+] | 0 \rangle = (2\pi)^3 \delta(p-q)$

### Q.F.T. ③

### Heisenberg Picture

- Have used S.P. until now where the states themselves have a time dependence:  $e^{-iEt}$   
 In H.P. operators obey:  $i \frac{d\hat{\phi}(t)}{dt} = [\hat{\phi}(t), \hat{H}]$  total energy

Equivalently,  $\hat{\phi}(S.P.)$  is conjugated by  $e^{\pm iAt}$ :  $i\hat{A}t = e^{iAt} \hat{\phi}(t=0) e^{-iAt}$

NB - need to know  $A$  in order to get K.P. fields - tricky eg I.P.!

Consider K-G field:

we have

$$H_{\text{ap}} = \alpha_p (H - E_p)$$

Time translations:

$$P_{\text{ap}} = \alpha_p (P - p)$$

Space translations:

$$\downarrow$$

$$\text{So } \hat{\phi}(x) = e^{-ip \cdot x} \hat{\phi}(0) e^{ip \cdot x}$$

define  $e^{-iE_p t} = e^{-iH t}$  Hand P

So then can write both H and P conj's as

commute so OK

Again, need to know all e-states of P.

$$\Rightarrow H' \alpha_p = \alpha_p (H - E_p) \quad \text{So } \hat{\phi}(x) = e^{-ip \cdot x} \hat{\phi}(0) e^{ip \cdot x}$$

$$\Rightarrow e^{-iHt} \alpha_p e^{iHt} = \alpha_p e^{-iEpt}$$

Similarly for  $\alpha_p^+$ ...

So  $\hat{\phi}(x)$  becomes

$$\hat{\phi}(x) = \int d^3p \frac{1}{(2\pi)^3 \sqrt{2E_p}} (a_p e^{-ip \cdot x} + a_p^+ e^{ip \cdot x})$$

4-vectors

Now take v.e.v. of  $\hat{\phi}(x) \hat{\phi}(y)$ :

$$\langle 0 | \hat{\phi}(x) \hat{\phi}(y) | 0 \rangle = \langle 0 | [\hat{\phi}^+(x), \hat{\phi}^-(y)] | 0 \rangle$$

$$\text{Using } \hat{\phi}^+(0) = 0 = D(x-y) \langle 0 | 0 \rangle$$

$$\text{and } \langle 0 | \hat{\phi}^- = 0 \rangle = D(x-y)$$

So this is the amplitude for a particle to be created at y then to disappear at x ie to propagate from y  $\rightarrow$  x. But  $y > x$  or  $x > y$  .... let's use:

$$\text{Feynman prop: } D_F(x-y) = \langle 0 | T \hat{\phi}(x) \hat{\phi}(y) | 0 \rangle$$

$$\text{So } D_F(x-y) = \theta(x-y) D(x-y) + \theta(y-x) D(y-x)$$

Now also,

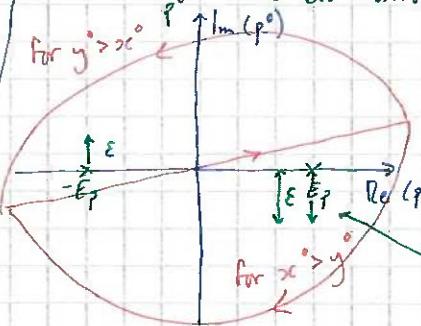
$$D_F(x-y) = \int d^4p \frac{i}{(2\pi)^4 p^2 - m^2} e^{-ip \cdot (x-y)}$$

$$\frac{1}{p^2 - m^2} = \frac{1}{(E_p^2 - E_p^2)}$$

So do contour integration with contours (others... ret/adv)

Note -  $D_F$  is G.F. for K-G operator and shorthand notation for contour shift is:

$$D_F = \int d^4p \frac{i}{(2\pi)^4 p^2 - m^2 + i\epsilon} e^{-ip \cdot (x-y)}$$



### Propagator for K-G field.

$$\text{Split up } \hat{\phi} \text{ as } \hat{\phi}^+(x) = \int \frac{d^3p}{(2\pi)^3 \sqrt{2E_p}} a_p e^{-ip \cdot x} \quad \left[ [\hat{\phi}^+, \hat{\phi}^+] = 0 \right]$$

$$\text{+ve freq, destruction} \quad \left[ \text{-ve freq, creation} \right] \quad \left[ \hat{\phi}^-, \hat{\phi}^- \right] = 0$$

$$\text{and } \hat{\phi}^-(x) = \int \frac{d^3p}{(2\pi)^3 \sqrt{2E_p}} a_p^+ e^{ip \cdot x}$$

$$\text{Now: } [\hat{\phi}(x), \hat{\phi}(y)] = [\hat{\phi}^+(x), \hat{\phi}^-(y)] + [\hat{\phi}^-(x), \hat{\phi}^+(y)]$$

$$\text{Lorentz invariant} = \int \frac{d^3p}{(2\pi)^3} \frac{1}{2E_p} e^{-ip \cdot (x-y)} - \int \frac{d^3p}{(2\pi)^3} \frac{1}{2E_p} e^{-ip \cdot (y-x)}$$

$$\text{f'n of } (x-y) = [D(x-y) - D(y-x)]$$

$$D(x-y) = D(y-x) \quad \text{can transform } x-y \text{ into } y-x \text{ if } x \text{ outside light cone}$$

$$= 0 \text{ if } x-y \text{ spacelike}$$

$$\text{or if inside - i.e. future}$$

$$\text{it. } \text{and past not "connected"....}$$

$$[\hat{\phi}(x), \hat{\phi}(y)] = 0 \Rightarrow \text{two fields are simultaneous}$$

$$\text{knowable if cannot talk to each other....}$$

### Classical Dirac Theory

$$\text{Dirac Equation: } [(i\gamma^\mu \partial_\mu - m) \psi(x)] = 0$$

Seems Lor inv cov space + time equiv. in it ie both first order. But Lor Inv  $\Rightarrow \gamma^\mu \partial_\mu$  is a matrix.... (0) should  $\Rightarrow$  K-G:

$$(i\gamma^\mu \partial_\mu + m) (i\gamma^\nu \partial_\nu - m) \psi(x) = 0$$

$$\Rightarrow (-\gamma^\nu \gamma^\mu \partial_\mu \partial_\nu - m^2) \psi(x) = 0$$

$$\text{So get K-G if } \frac{1}{2} (\gamma^\mu \gamma^\nu + \gamma^\nu \gamma^\mu) = g^{\mu\nu} I$$

$$(\text{Only sym. part}) \text{ ie } \{\gamma^\mu, \gamma^\nu\} = 2g^{\mu\nu}$$

Each of the 4 components of  $\psi$  satisfies K-G but (0) is stronger - misses the components up.

This is called the Dirac Algebra

Simplest rep of Dirac Algebra is with  $4 \times 4$  matrices (n x m w.o.) Choose them as:  $\gamma^0 = \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix}$ ,  $\gamma^i = \begin{pmatrix} 0 & \sigma^i \\ -\sigma^i & 0 \end{pmatrix}$ ,  $\sigma^1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$

Can also take any equivalent set by rotating basis spinors:  $\psi \rightarrow U\psi$  and  $\gamma^\mu \rightarrow U\gamma^\mu U^{-1}$

then this is still satisfied. for any const. invertible U

$$\text{Let } S^{\mu\nu} = \frac{1}{4} [\gamma^\mu, \gamma^\nu] \text{ then } S^{\mu\nu} \text{ gives a representation of the Lorentz algebra:}$$

$$[S^{10}, S^{20}] = g^{0\mu} S^{\mu\nu} \rho^\tau S^{0\tau} - g^{1\mu} S^{\mu\nu} - g^{2\mu} S^{\mu\nu} - g^{3\mu} S^{\mu\nu}$$

$M^{0\mu}$  and  $S^{\mu\nu}$  are both  $4 \times 4$  matrices but they are different, inequivalent representations of the Lorentz generators

This would be more clear if space time had a different dimension say 6 - then  $M^{0\mu}$  is  $6 \times 6$  but  $S^{\mu\nu}$  is  $8 \times 8$

Q.5.1. (7)

## Lorentz Invariance of Dirac Equation

Under commutation with  $S^{(0)}$ ,  $\gamma^\mu$  transforms like a Lorentz 4-vector:

$$[\gamma^\mu, S^{(0)}] = (M^{(0)})^\mu_\nu \gamma^\nu$$

This is a Lor. trans.

$$(1 - \frac{1}{2} \omega_{\rho\sigma} S^{(0)}) \gamma^\mu (1 + \frac{1}{2} \omega_{\rho\sigma} M^{(0)}) = (1 + \frac{1}{2} \omega_{\rho\sigma} M^{(0)}) \gamma^\mu \gamma^\nu$$

This is the int'l form of  
conj:  $S(L)^{-1} \gamma^\mu S(L)$

Now have Lorentz Trans.

$$L = \exp(\frac{1}{2} \omega_{\rho\sigma} M^{(0)})$$

and have spinor rep:

$$S(L) = \exp(\frac{1}{2} \omega_{\rho\sigma} S^{(0)})$$

## Dirac Lagrangian, Currents, Norms

$$S = \int d^4x (\bar{\psi}(x) (i \gamma^\mu \partial_\mu - m) \psi(x))$$

$$\text{Then } (D) \text{ is } \frac{\partial L}{\partial \bar{\psi}} = 0$$

$$\text{Conjugate eq'n } \frac{\partial L}{\partial \psi} = 0 \text{ gives: } i \bar{\psi} \gamma^\mu + m \bar{\psi} = 0$$

Now, the current  $j^\mu = \bar{\psi} \gamma^\mu \psi$  is conserved (we'll show this)

Can derive from Noether's theorem: sym is  $\psi \rightarrow e^{i\alpha} \psi$ .

$\exists$  a conserved charge, the Norm:

$$N = \int \bar{\psi} \gamma^0 \psi d^3x = \int \psi^\dagger \psi d^3x \geq 0$$

Chiral Spinors -evecs of  $\gamma^5$ :

$$\gamma^5 \begin{pmatrix} x \\ x \end{pmatrix} = +1 \begin{pmatrix} x \\ x \end{pmatrix} \text{ Right handed}$$

$$\gamma^5 \begin{pmatrix} x \\ -x \end{pmatrix} = -1 \begin{pmatrix} x \\ -x \end{pmatrix} \text{ Left handed}$$

When  $m=0$ , can get pure left or right handed solns to (D). But when  $m \neq 0$ , they are mixed.  
- have reduced spinor rep.

Similarly, -ve freq. solutions are:

$$\Psi_-(x) = \sum_s V_s(p) e^{ip \cdot x} = \sum_s \sqrt{E+m} \begin{pmatrix} 0 & f_K s \\ E+m & X_s \end{pmatrix} e^{ip \cdot x}$$

Postulate spinor trans. law:

$$\psi'_\alpha(x) = S(L) \gamma^\mu \psi_\beta(x')$$

where  $x' = L^{-1}x$  spinor indices

Now, does  $\psi'$  still obey the Dirac eq'n?

$$(i \gamma^\mu \partial_\mu - m) \psi'$$

$$= (i \gamma^\mu \partial_\mu - m) S(L) \psi(x')$$

$$= S(L) [i S(L)^{-1} \gamma^\mu S(L) \partial_\mu - m] \psi(x')$$

$$= S(L) [i L^\mu_\nu \gamma^\nu \partial_\mu - m] \psi(x')$$

$$= S(L) [i L^\mu_\nu \gamma^\nu (L^{-1})^\lambda_\mu \partial_\lambda - m] \psi(x')$$

$$= S(L) (i \gamma^\lambda \partial_\lambda - m) \psi(x')$$

as ordering of  $L, \gamma$  no matter - just numbers  $S$ .

$= 0$  So transformed field also is a solution of (D)

## Hermiticity Properties (Conjugation)

Dirac algebra  $\Rightarrow (\gamma^0)^2 = I, (\gamma^i)^2 = -I$

let  $\gamma^0$  be Herm.  $\gamma^i$  can be anti-herm.

$$(\gamma^\mu)^T = \gamma^0 \gamma^\mu (\gamma^0)^{-1} \text{ expresses the Herm. properties}$$

$$S_0 (S^{(0)})^T = -\gamma^0 S^{(0)} (\gamma^0)^{-1}$$

$$S_0 S(L)^T = \gamma^0 S(L)^{-1} (\gamma^0)^{-1}$$

ie bring conj. outside the exp.

$S(L)$  not a unitary rep as Lor. group not compact... but very close.

DIRAC CONJUGATE:  $\bar{\psi}(x) = \psi^\dagger(x) \gamma$

allows us to construct tensor densities:

$$\text{scalar } s(x) = \bar{\psi}(x) \psi(x)$$

$$\text{vector current } j^\mu(x) = \bar{\psi}(x) \gamma^\mu \psi(x)$$

$$\text{tensor density } t^{\mu\nu}(x) = \bar{\psi} S^{\mu\nu} \psi$$

Lor Trans:  $\psi \rightarrow S(L) \psi(x)$

$$\psi^\dagger \rightarrow \psi^\dagger(x) S(L)^T \Rightarrow$$

$$S_0 \bar{\psi} \rightarrow \psi^\dagger(x) \gamma^0 S(L)^{-1}$$

$\Rightarrow s(x) \rightarrow s(x')$  scal. density - transforms as a scalar field

$$\text{Now, } \gamma^5 = i \gamma^0 \gamma^1 \gamma^2 \gamma^3 = \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix}$$

Basic properties: ①  $\{\gamma^5, \gamma^\mu\} = 0$   
and ②  $(\gamma^5)^2 = I$  now  $[\gamma^5, S^{\mu\nu}] = 0$  So  $\gamma^5$  is Lorentz invariant.  
( $\gamma^5$  is parity odd....)  
Can construct more tensor densities:  $p(x) = \bar{\psi} \gamma^5 \psi$  pseudoscalar density.

Use as before to show that  $a^\mu(x) = \bar{\psi} \gamma^\mu \psi$  and  $a^\mu(x) = \bar{\psi} \gamma^\mu \gamma^5 \psi$   
- axial vector current.

$$d\mu a^\mu = 2im \bar{\psi} \gamma^5 \psi \text{ So a conserved in the massless case eg neutrinos - 2 currents.}$$

## Solutions of the Dirac Equation

Plane waves: try  $\psi(x) = u(p) e^{-ip \cdot x}$  +ve energy  $E = E_p$

-ve energy solns,  $E = -E_p$   $\psi(x) = v(p) e^{ip \cdot x}$

For  $E = E_p$ , let  $u(p) = \begin{pmatrix} x \\ 0 \end{pmatrix}$  then get  $u(p) = \sqrt{E+m} \begin{pmatrix} x \\ \frac{0+f_K}{E+m} \end{pmatrix}$  from const 2-spinor

A basis for  $\chi_s$  is  $\chi_s$  for  $s = -\frac{1}{2}, \frac{1}{2}$

where  $\chi_{\frac{1}{2}} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$  and  $\chi_{-\frac{1}{2}} = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$ . A complete set of +ve energy

solutions is:  $\{u_s(p) e^{-ip \cdot x} = \sqrt{E_p + m} \chi_s\} e^{-ip \cdot x}\}_{s=-\frac{1}{2}, \frac{1}{2}}$

If zero mass get  $u_s = \sqrt{m} \begin{pmatrix} \chi_s \\ 0 \end{pmatrix} e^{-imt}$   
then Lor. boost gives back this  $\begin{pmatrix} \chi_s \\ 0 \end{pmatrix}$  eq'n

Q.F.T. (5)

## Spin sums and Projection Operators

From the explicit forms of  $u_s(p)$ ,  $v_s(p)$  we have:

$$[u_s(p) u_{s'}(p)] = 2m \delta_{ss'} \quad [\bar{v}_s(p) v_{s'}(p)] = -2m \delta_{ss'}$$

and  $\bar{u}_s(p) v_{s'}(p) = 0$

From the momentum-Dirac eq's we have:

$$[(\gamma \cdot p + m) u_s(p)] = 2m u_s(p)$$

$$[(\gamma \cdot p - m) v_s(p)] = -2m v_s(p)$$

$$\Rightarrow \sum_s u_s(p) \bar{u}_s(p) = \gamma \cdot p + m \quad \begin{matrix} \text{to verify} \\ \text{act on } u_{s'}(p) \end{matrix}$$

$$\sum_s v_s(p) \bar{v}_s(p) = \gamma \cdot p - m \quad \begin{matrix} \text{or } v_{s'}(p) \\ \text{and use} \end{matrix}$$

$\frac{1}{2m} (\gamma \cdot p + m)$  is a projection op. onto  $+ve$  en. solns

$\frac{-1}{2m} (\gamma \cdot p - m)$  is a projection op. onto  $-ve$  energy solns.

→ Anticom. rels become:

$$\{a_p^s, a_{p'}^{s'+}\} = \{b_p^s, b_{p'}^{s'+}\} = (2\pi)^3 \delta^{(3)}(p-p') \delta^{ss'}$$

$$\text{and } \{a, a^\dagger\} = \{b, b^\dagger\} = \{a^\dagger, a^\dagger\} = \{b^\dagger, b^\dagger\} = 0.$$

$$\text{Hamiltonian becomes: } H = \int \frac{d^3 p}{(2\pi)^3} \sum_s E_p (a_p^{s\dagger} a_p^s - b_p^{s\dagger} b_p^s)$$

$$\text{now } Ha_p^{s\dagger} = a_p^{s\dagger} (H + E_p) \quad Ha_p^s = a_p^s (H - E_p)$$

$$\text{and } Hb_p^{s\dagger} = b_p^{s\dagger} (H + E_p) \quad Hb_p^s = b_p^s (H - E_p)$$

So  $a^\dagger, b^\dagger$  are creation operators for two different types of particle, but both with  $+ve$  energy  $E_p$ .

a one particle state is  $|p, s> = \sqrt{2E_p} a_p^{s\dagger} |0>$

a diff. one is  $|p, s'> = \sqrt{2E_p} b_p^{s'\dagger} |0>$

a two particle state is:  $|p_1, s_1, p_2, s_2>$

$$= \sqrt{4E_{p_1} E_{p_2}} a_{p_1}^{s_1\dagger} a_{p_2}^{s_2\dagger} = -|p_2, s_2, p_1, s_1>$$

hence Fermi-Dirac statistics.

$$\rightarrow \text{Also, } (i\gamma \cdot d_x - m) S_F(x-y) = i \int d^4 p e^{-ip \cdot (x-y)}$$

ie  $S_F(x-y)$  is G.F. for Dirac operator.

Formally we can write:

$$iS_F(x-y) = - \int \frac{d^4 p}{(2\pi)^4} \frac{e^{-ip \cdot (x-y)}}{(\gamma \cdot p - m + i\epsilon)}$$

The "momentum form" of the Dirac operator.

$\curvearrowright \bar{u}u$  is scalar,  $\bar{u}u$  is exterior product -  $4 \times 4$  matrix.

## Quantisation of the Dirac Field.

$$\mathcal{L} = \bar{\Psi} (i\gamma^\mu \partial_\mu - m) \Psi. \text{ Field conjugate to } \Psi,$$

$$\left( \frac{\partial \mathcal{L}}{\partial (\partial_\mu \Psi)} \right)_\alpha = i(\bar{\Psi} \gamma^\mu)_\alpha = i\Psi_\alpha^\dagger = \bar{\zeta}_\alpha$$

Postulate equal time canonical anticommutation relations:

$$\{ \Psi_\alpha(x), i\Psi_\beta^\dagger(x') \} = i \cancel{\delta_{\alpha\beta}} \delta^{(3)}(x-x') \times \gamma^0 \text{ if want to write using } \bar{\Psi}.$$

$$\text{and } \{ \Psi_\alpha(x), \Psi_\beta(x') \} = \{ \bar{\Psi}_\alpha(x), \bar{\Psi}_\beta(x') \} = 0.$$

$$\text{Hamiltonian } H = \int d^3 x (\bar{\zeta}_\alpha(x) \dot{\Psi}_\alpha(x) - \mathcal{L}) \quad \text{time dependence cancels out}$$

$$\Rightarrow H = \int d^3 x (i\bar{\Psi}(x) \gamma \cdot \nabla \Psi(x) + m \bar{\Psi}(x) \Psi(x))$$

In a plane wave basis, the Dirac field is: (SP)

$$\Psi(x) = \int \frac{d^3 p}{(2\pi)^3} \frac{1}{\sqrt{2E_p}} \sum_s (a_p^s u_s(p) e^{ip \cdot x} + b_p^{s\dagger} v_s(p) e^{-ip \cdot x})$$

The Dirac Conjugate field is:

$$\bar{\Psi}(x) = \int \frac{d^3 p}{(2\pi)^3} \frac{1}{\sqrt{2E_p}} \sum_s (a_p^{s\dagger} \bar{u}_s(p) e^{-ip \cdot x} + b_p^s \bar{v}_s(p) e^{ip \cdot x})$$

Write in normal ordered form  $\Rightarrow$  must discard an  $\infty$  constant - no prob. Const is  $-ve$  coz anticom rels!

Heisenberg Picture Dirac field:

$$\Psi(x) = \int \frac{d^3 p}{(2\pi)^3} \frac{1}{\sqrt{2E_p}} \sum_s (a_p^s u_s(p) e^{-ip \cdot x} + b_p^{s\dagger} v_s(p) e^{ip \cdot x})$$

$$\text{and it solves } i \frac{d\Psi}{dt} = [\Psi, H] \text{ (ie. (D) !)}$$

## Propagator for Dirac Field.

$$iS(x-y) = \{ \Psi(x), \bar{\Psi}(y) \} \text{ no sum on spinor indices}$$

$$\Rightarrow iS(x-y) = (i\gamma \cdot d_x + m) (D(x-y) - D(y-x))$$

$$\text{Now } \langle 0 | \Psi_\alpha(x) \bar{\Psi}_\beta(y) | 0 \rangle \text{ and } \langle 0 | \bar{\Psi}_\beta(y) \Psi_\alpha(x) | 0 \rangle$$

gives - ie only  $a$  and  $a^\dagger$  contribute

gives i.e. only  $b$  and  $b^\dagger$  contribute.

$$\text{Feynman Prop: } iS_F(x-y) = \langle 0 | T \Psi(x) \bar{\Psi}(y) | 0 \rangle \text{ where :}$$

$$-ve \text{ sign so continuous as } y^0 \text{ increases through } 2\pi^0 \quad T \Psi(x) \bar{\Psi}(y) = \Psi_\alpha(x) \bar{\Psi}_\beta(y)$$

$iS_F$  has the integral representation:

$$iS_F(x-y) = - \int \frac{d^4 p}{(2\pi)^4} \frac{e^{-ip \cdot (x-y)}}{p^2 - m^2 + i\epsilon} \frac{\gamma \cdot p + m}{p^2 - m^2 + i\epsilon}$$

$$= \bar{\Psi}_\beta(y) \Psi_\alpha(x) \quad \text{if } x^0 > y^0$$

$$= \bar{\Psi}_\beta(y) \Psi_\alpha(x) \quad \text{if } x^0 < y^0$$

W.F. 1. (1)

## Particles and Antiparticles

Remember in classical Dirac theory

$$N = \int \psi^+ \psi d^3x \text{ and } N=0.$$

When couple Dirac field is to  $e/m$  gauge potential,  $a_\mu$ , get term in lag. :  $e a_\mu j^\mu$ where  $j^\mu = \bar{\psi} \gamma^\mu \psi$ . The term  $e a_\mu j^\mu = e a_\mu \psi^+ \psi \Rightarrow e \psi^+ \psi$  is the electric charge density of the Dirac field.

$eN$  is then the charge operator  
Now  $eN = e \int \frac{d^3 p}{(2\pi)^3} \sum_s (a_p^{st} a_p^s - b_p^{st} b_p^s)$

number of  
for a type  
for b type

Now,  $eN|0\rangle = 0$   
and :

$a_p^{st}$  creates particles of charge  $e$

$b_p^{st}$  creates particles of charge  $-e$

(from a/com rels)

We have a theory of free electrons and positrons.

N counts (number of  $e^-$  - number of  $e^+$ ) $e^+, e^-$  same except charge + magnetic mom

### Dirac's Hole Interpretation

Orig. idea was theory of one particle type with  $+ve$  +  $-ve$  energy states, either occ. or empty.

Vacuum creation of  $e^+ e^-$  pair: Quite a high energy process =  $2m$

## Interacting Q.F.T. - Overview

Quadratic lag.  $\Rightarrow$  linear field eqns $\Rightarrow$  superposition  $\Leftrightarrow$  non-interaction.Interactions: higher order terms  $\rightarrow$  non linear equations.Eg: (1) Scalar  $\phi^4$  theory:

$$\mathcal{L} = \mathcal{L}_{K.G.} + \frac{m}{3!} \phi^3 + \frac{\lambda}{4!} \phi^4$$

(2) Yukawa Theory

$$\mathcal{L} = \mathcal{L}_{K.G.} + \mathcal{L}_{\text{Dirac}}$$

### The Interaction Picture

It is H.P. but using  $H_0$ ....ie let  $H = H_0 + H_{\text{int}}$ 

$$\text{then } \phi_{IP}(x) = e^{iH_0 t} \phi(x) e^{-iH_0 t}$$

Now S.P.: SE. is  $i\frac{d}{dt} |\psi\rangle = H|\psi\rangle$ let I.P. states be  $|\psi\rangle$  where  $|\psi\rangle = e^{iH_0 t} |\psi\rangle$ 

time dep. schr. states diff. time dep.

diff. dep. on t.

$\Rightarrow$  (3) Q.E.D.  $\mathcal{L} = \mathcal{L}_{\text{em}} + \mathcal{L}_{\text{Dirac}} + \mathcal{L}_{\text{int}}$  where  $\mathcal{L}_{\text{int}} = -e \bar{\psi} \gamma^\mu \psi a_\mu$ . Int. terms always at a point so causality OK.

Renormalisation: Dimensions of  $\mathcal{L}$  is  $[H]^4$ So can get dims. of coupling consts eg  $\lambda$  is dim'less. If  $-ve$  power

then coupling is non renormalisable...

because: loop terms create/dest. particles with arb. large mom. When integrate over mom  $\rightarrow$  diverge. So introduce momentum cut offor lattice spacing  $\frac{1}{\Lambda}$ . Integrals depend on  $\Lambda$  via  $\frac{m}{\Lambda}$  or  $\frac{\mu}{\Lambda}$  etc...Then can sometimes carefully take  $\lim \Lambda \rightarrow \infty$ .With non-renorm. couplings, results go as  $+ve$  powers of  $\Lambda \rightarrow$  probs.

### S-Matrix - time evolution

operator  
Can iteratively solve this equation  
 $i\frac{d}{dt} |\psi\rangle = H_I |\psi\rangle$ let  $U(t)$  be the time evolution operator, st.  $|\psi\rangle = U(t)|i\rangle$  then, sol'n is:

$$U(t) = 1 + (-i) \int_0^t dt' H_I(t') U(t')$$

$$\text{Now subst to get: } U(t) = 1 + (-i) \int_a^t dt' H_I(t') + (-i)^2 \int_a^t dt' \int_a^{t'} dt'' H_I(t') H_I(t'')$$

Now let  $S(t)$  be:

$$S(t) = \lim_{t \rightarrow \infty} U(t)$$

Using the T-product, can write as exp. series: ie must divide by  $n!$  if integrate n times

$$S = \sum_{n=0}^{\infty} \frac{(-i)^n}{n!} \int_{-\infty}^{\infty} dt_1 \dots dt_n T[H_I(t_1) \dots H_I(t_n)]$$

or in short hand,  $S = T e^{-i \int_{-\infty}^{\infty} H_I(t) dt}$ Wick's Theorem In S-matrix elements  $\exists$  T-products. To calc v.e.v.'s we need normal ordered products.

$$T \phi(x_1) \phi(x_2) \dots \phi(x_m) = : \phi \phi \dots \phi : + : \text{all contractions :}$$

To prove, split fields into  $\phi^+ + \phi^-$ ; commute past each other for each time ordering case eg  $x_i^+ > x_i^-$  or  $x_i^- < x_i^+$  etc...For 2 fields: let  $x^+ > y^+$  then  $T \phi_x \phi_y = \phi_x \phi_y$ 

$$= (\phi_x^+ + \phi_x^-)(\phi_y^+ + \phi_y^-)$$

this term swapped using

$$= \phi_x^+ \phi_y^+ + \phi_x^- \phi_y^+ + \phi_x^+ \phi_y^- + \phi_x^- \phi_y^- + [\phi_x^+, \phi_y^-]$$

already normal ordered ie  $\phi^+$  to right.Similarly if  $y^+ > x^+$ , get  $[\phi_y^+, \phi_x^-]$ 

$$\text{So } T \phi_x \phi_y = : \phi_x \phi_y : + D_F(x-y)$$

2 important theorems:

$$\textcircled{1} \langle 0 | T \phi_1 \dots \phi_m | 0 \rangle = 0 \text{ if } m \text{ is odd.}$$

$$\textcircled{2} \langle 0 | T \phi_1 \dots \phi_m | 0 \rangle = \sum_{\text{all pairs}} D_F(x_1, -x_2) (x_3, -x_4) \dots$$

Diagrammatically for  $\langle 0 | T \phi_1 \phi_2 \phi_3 \phi_4 | 0 \rangle$  get:

# Q.F.T. (7)

Wick's Theorem for terms like:  $\langle 0 | T(\phi_1 \dots \phi_m S) | 0 \rangle$  in scalar  $\phi^4$  theory

$$\text{eg: 4 external fields and 1st order S-matrix: } \frac{-i\lambda}{4!} \int d^4x_1 \dots d^4x_n \langle 0 | T(\phi_1 \phi_2 \phi_3 \phi_4) | 0 \rangle \times \phi^4(x_1) \dots \phi^4(x_n) = \phi_1 \phi_2 \phi_3 \phi_4 \phi^4(x_1) \dots \phi^4(x_n) + \text{no perms + sym perms}$$

of writing this.

$$\phi^4 \text{ theory} \Rightarrow S = \sum_n \left( -\frac{i\lambda}{4!} \right)^n \frac{1}{n!} \int M^4 [T[\phi^4(x_1) \dots \phi^4(x_n)]] dx_1 \dots dx_n$$

So the  $n$ th order term is

$$\frac{1}{n!} \left( \frac{-i\lambda}{4!} \right)^n \int \langle 0 | T(\phi_1 \dots \phi_m \phi_1 \dots \phi_n) | 0 \rangle dx_1 \dots d^4x_n = -\frac{i\lambda}{4!} D_F(x_1-x_2) D_F(x_2-x_3) D_F(x_3-x_4) D_F(x_4-x_1) d^4x_1 \dots d^4x_n + \text{no perms}$$

Wick  $\Rightarrow$  only terms where all fields are contracted survive after do:  $\langle 0 | \dots | 0 \rangle$ .

another eg: 4 "external" fields and 2nd order S-matrix  
take the completely connected terms (3 of them) like:

$$(-i\lambda)^2 \int D_F(x_1-x_2) D_F(x_2-x_3) D_F(x_3-x_4) D_F(x_4-x_1) D_F(x-y) D_F(x-y) D_F(x-y) D_F(x-y) d^4x d^4y$$

diagrammatically:  
 ie for each line we have propagator and for each vertex we have  $-i\lambda \int d^4x$   
 then don't forget symmetry factor 0

from 12 ways for 1,2 with x  
 12 ways for 3,4 with y  
 4 ways x with y  
 $12 \times 12 \times 2 \times 2 = \frac{1}{2}$   
 $4! \times 4! = \frac{1}{2}$

The symmetry permutations (combinations) work out to be sym. factors which we divide by eg 8 can exchange each loop end + loops themselves  $a \leftrightarrow b, c \leftrightarrow d, 1 \leftrightarrow 2, 3 \leftrightarrow 4$

But!  $D_F(x-y) = \frac{d^4p}{(2\pi)^4} \frac{i}{p^2 - m^2 + i\epsilon} e^{-ip \cdot (x-y)}$  then at say xc vertex, over xc-exponentials give SF's which are equivalent to imposing 4-momentum conservation.

So in momentum space, for each external point have e at each vertex, have  $(-i\lambda + \text{momentum conservation})$  and for each internal line, have  $\frac{i}{p^2 - m^2 + i\epsilon}$  and  $\int$  over internal momenta

Vacuum Bubbles Remarkably, the symm. factors work out such that:  $\langle 0 | S | 0 \rangle$  is... ( $= \langle 0 | \phi^4 \text{ terms} | 0 \rangle$ )

$$\langle 0 | S | 0 \rangle = \exp(\text{all distinct vac. bubbles}) \times \text{others are killed by normal ordering}$$

$$\text{eg } 8 \text{ } 8 \text{ } 0 \text{ etc ie } e^8 = 1 + 8 + \frac{88}{2!} + \dots$$

$$\text{Now } \langle 0 | \phi_1 \dots \phi_m S | 0 \rangle = \sum \text{ diagrams with } n \text{ external points}$$

$$= (\sum \text{ connected diagrams}) \times \exp(\text{all distinct vac. bubbles})$$

$$\text{eg } \langle 0 | T(\phi_1 \dots \phi_m S) | 0 \rangle = \langle 0 | S | 0 \rangle$$

it is not nec. tree vacuum of interacting theory S evolves non-int vacuum into (can leave S in if want.... true vacuum all poss.  $\langle 0 | \dots | 0 \rangle$  then doesn't evolve so  $S | 0 \rangle = | 0 \rangle$  gives correction)

If divide by correction, OK.  $\boxed{\text{N.B. } \langle 0 | 0 \rangle = 1}$

ie only keep connected diagrams

so rules:  
 external line  $e^{ip \cdot x}$   
 vertex  $-(-i\lambda) \int d^4x$   
 line-propagator  $D_F$

sym  
 ext line - 1  
 vertex -  $(-i\lambda) + \text{mass cons.}$   
 line -  $\frac{i}{p^2 - m^2 + i\epsilon} + \int d^4p$   
 overall mass/energy cons.

2 particle elastic scattering in scalar  $\phi^4$  theory ie  $\langle p_3, p_4 | S | p_1, p_2 \rangle$

need to contract  $\phi(x) | p_i \rangle = e^{-ip \cdot x}$  (see Q.F.T. ②)

now  $| p_1, p_2 \rangle$  is a state of the non-interacting theory Non-interacting vacuum was  $\rightarrow$  correct one by only using connected diags. Now, can change states of non-int theory into correct ones by ignoring diags with loops attached to external lines eg  $\times$  and  $\times$

So for each external line - an incoming particle for eg, the loops change the mass to the observed value - the particle interacts with the vac (BSZ prescription) taking it off mass shell -  $p^2 \neq m^2$ , now take for eg, a second order term:

$$\langle p_3, p_4 | \frac{(-i\lambda)^2}{(4!)^2} \int d^4x d^4y \phi_x \phi_y \phi_z \phi_w \phi_y \phi_z \phi_w | p_1, p_2 \rangle$$

$$= (-i\lambda)^2 \int d^4x d^4y \int d^4p \frac{D_F(x-y)}{(2\pi)^4} \frac{-i p \cdot (x-y)}{p^2 - m^2 + i\epsilon} \int d^4p' \frac{D_F(x-y)}{(2\pi)^4} \frac{-i p' \cdot (x-y)}{p'^2 - m^2 + i\epsilon} e^{-ip \cdot x} e^{-ip' \cdot y}$$

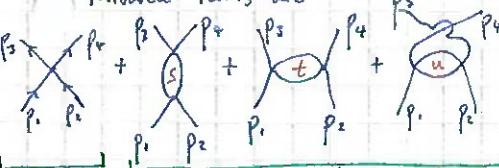
x and y integrals give  $\int^{(4)} (p_3 + p_4 - p - p') \int^{(4)} (p_1 + p_2 - p - p')$  then  $dp'$  integral sets  $p' = p + p - p$  say ...

$$= (-i\lambda)^2 \int d^4p \frac{i}{(2\pi)^4} \frac{i}{p^2 - m^2 + i\epsilon} \frac{1}{(p_3 + p_4 - p_1 - p_2)^2 - m^2 + i\epsilon} \times \delta^{(4)}(p_3 + p_4 - p_1 - p_2) = \times$$

## Q.F.T. ⑧

### 2 particle $\phi^4$ continued

Allowed terms are:



$$\text{1st order} \quad \begin{array}{c} s \text{ channel} \\ S = (p_1 + p_2)^2 \end{array} \quad \begin{array}{c} t \text{ channel} \\ T = (p_1 - p_2)^2 \end{array} \quad \begin{array}{c} u \text{ channel} \\ U = (p_1 - p_2)^2 \end{array}$$

$$-i\lambda \quad \begin{array}{c} (-i\lambda)^2 \int d^4 k \frac{i}{(2\pi)^4} \frac{i}{k^2 - m^2 + i\epsilon} \frac{i}{(k+p_1+p_2)^2 - m^2 + i\epsilon} \end{array}$$

$$\text{let } \langle F | S | i \rangle = 1 + i(2\pi)^4 \delta(\text{mom}) \langle F | T | i \rangle$$

then

$$T_F = -i\lambda + (-i\lambda)^2 i (V(s) + V(t) + V(u))$$

$$\text{where } \Phi = (-i\lambda)^2 i V(s)$$

Wicks theorem works just the same for fermions but with sign changes coz anti-comm... but same end result

$$\text{The contraction } \bar{\psi}(x) | p, s \rangle \text{ gives:}$$

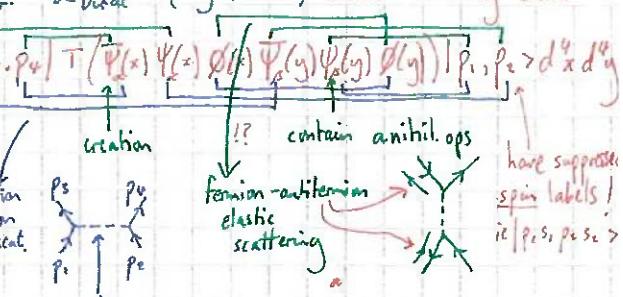
$$-i p \cdot x \bar{\psi}(p)$$

this conserves mom while this just stays.

$\bar{\psi}$  can contract with final state fermions.  $\psi$  can contract with final state antifermions and

$\bar{\psi}$  can contract with initial state antifermions, giving  $\bar{V}_S(p)$ .

There are no sym. factors here, coz - signs....



$$\text{prop: } \frac{i}{p^2 - m^2 + i\epsilon} \text{ as in } \phi^4.$$

$$\text{fermion prop: } i \frac{(p \cdot \gamma^\mu + \text{Mass})_{\alpha\beta}}{p^2 - M^2 + i\epsilon} \quad \text{must sum over spinor indices. Get trace on closed loop.}$$

Overall sign dep on order of multifermion state. Fermion number (charge...) is conserved.

So we have the rules: (momentum space)

(1) Propagators as above

(2) Vertices  $\rightarrow$  give  $(-ig)$

(3) External legs:

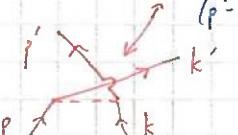
in scalar	$\rightarrow$	1
out scalar	$\rightarrow$	1
in fermion	$\rightarrow$	$\bar{u}_S(p)$
out fermion	$\rightarrow$	$\bar{u}_S(p)$
in a/ferm	$\rightarrow$	$V_S(k)$
out a/ferm	$\rightarrow$	$\bar{V}_S(k)$

(4) Get the sign right

(5) Integrate, cons. momentum etc.

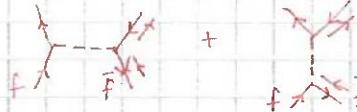
Egs 2 Fermion scattering

$$; T = (ig)^2 \left[ \bar{u}(p') u(p) \frac{1}{(p'-p)^2 - m^2} \bar{u}(k') u(k) - \bar{u}(p') u(k) \frac{1}{(p'-k)^2 - m^2} \bar{u}(k') u(p) \right] \quad \text{off shell so don't need } +i\epsilon$$



(may wish to sum over spin indices - they have been suppressed)

Fermion-Antifermion Scattering



### Classical Maxwell Theory

have gauge pot.  $a^\mu = (a_0, \vec{a})$

$$\Rightarrow a_\mu = (a_0, -\vec{a})$$

Then can construct e/m field tensor:

$$F_{\mu\nu} = \partial_\mu a_\nu - \partial_\nu a_\mu$$

then have: electric field

$$E_i = F_{0i} = \partial_0 - \partial_i$$

and magnetic field

$$B_i = -\frac{1}{2} \epsilon_{ijk} F_{jk}$$

construct Lagrangian density:

$$L_{em} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} = \frac{1}{2} |\vec{E}|^2 - \frac{1}{2} |\vec{B}|^2$$

ie  $\vec{E}$  represents dynamics of e/m.

Kinetic Part Potential Part

The variational eq'n is  $\frac{\partial}{\partial a} F^{\mu\nu} = 0$

i.e. the dynamical Maxwell eq'n's - those that are changed by presence of matter,  $\text{div } \vec{E} = 0$  and  $\vec{E} = \text{curl } \vec{B}$

Def'n of  $F_{\mu\nu} \Rightarrow$  Bianchi Identities:

$$\text{ie } \partial_\mu F_{\mu\nu} + \partial_\nu F_{\nu\mu} + \partial_\mu F_{\mu\nu} = 0$$

These are the other 2 of the Maxwell eq'n's,

$$\nabla \cdot \vec{B} = 0 \text{ and } \vec{B} = -\text{curl } \vec{E}$$

Now, there is no dep on  $a_0$  in  $L_{em}$  so  $a_0$  is not really dyn. var:  $\nabla^2 a_0 = -\nabla \cdot \vec{a}$

$$\text{Work in C.G.: } \nabla \cdot \vec{a} = 0 \Rightarrow \text{source} = 0 \Rightarrow a_0 = 0$$

$$\text{So } \vec{E} = -\vec{a} \text{ and get } \vec{a} = \nabla^2 \vec{a} \text{ ie } \partial^2 \vec{a} = 0$$

Wave solutions:

$$\vec{a} = \vec{e} \exp(-ik \cdot \vec{x}) \quad \frac{k^2 = 0}{c=1} \text{ and } \vec{k} \cdot \vec{e} = 0$$

(canonical mom. conjugate to  $\vec{a}$  is  $\vec{\Pi} = -\vec{E} (= \vec{a})$ )

$$\nabla \cdot \vec{\Pi} = 0 \text{ in C.G. so } \exists \text{ only 2 indep components.}$$

For each  $\vec{k}$ , introduce polarisation vectors:  $e_1 \leftarrow \vec{e}_2 \rightarrow \vec{k}$  then write:

$$a_k = a_{k,1} e_1 + a_{k,2} e_2$$

$$a_k^+ = a_{k,1}^+ e_1 + a_{k,2}^+ e_2$$

The commutation relations become  $[a_k, a_l] = 0$

These destroy and create photons of momentum  $\vec{k}$ , energy  $|k|$  and electric polarisation along  $e_i$ :

$$[a_{k,i}, a_{l,j}] = (2\pi)^3 \int^{(s)} (k-l)$$

If refer to sph. basis set, get  $\vec{k} \cdot a_k^i = 0 \times (\delta_{ij} - \frac{k_i k_j}{|k|^2})$

enforcing C.G.

$$A(x) = \int d^3 k \frac{1}{\sqrt{2|k|}} (a_k e^{ik \cdot x} + a_k^+ e^{-ik \cdot x})$$

$$\Pi(x) = \int d^3 k \frac{1}{(2\pi)^3} \sqrt{\frac{|k|}{2}} (a_k e^{ik \cdot x} - a_k^+ e^{-ik \cdot x})$$

Require  $\vec{k} \cdot a_k = 0$  and  $\vec{k} \cdot a_k^+ = 0$  so only have 2 operators for each  $\vec{k}$  not  $\vec{z}$ -component // to  $\vec{k}$  is absent.

# Q.F.T. (1)

## QED - Propagators

We have  $e/m$  part of QED prop. The H.P.  $e/m$  field is

$$A(x) = \int \frac{d^3k}{(2\pi)^3} \frac{1}{\sqrt{2|k|}} (\alpha_k e^{-ikx} + \alpha_{k\bar{}} e^{ikx})$$

then, in usual way, get  $\langle 0 | T A_i(x) A_j(y) | 0 \rangle$

$$= D_{ij}(x-y) = \int \frac{d^4k}{(2\pi)^4} \frac{i}{k^2 - i\epsilon} (\delta_{ij} - k_i k_j) \frac{e^{-ik(x-y)}}{|k|^2}$$

To get time part  $D_{00}(x-y)$  must look at QED L:

$$\mathcal{L}_{\text{QED}} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \bar{\Psi} (i\gamma^\mu D_\mu - m) \Psi$$

$$= \mathcal{L}_{\text{em}} + \mathcal{L}_{\text{Dirac}} + -e \bar{\Psi} \gamma^\mu \Psi A_\mu$$

$$\text{and } D_\mu = \partial_\mu + ie A_\mu$$

## Feynman Rules for Q.E.D.

We have fermion lines,  $\rightarrow$  and photon lines  $\sim$ .

Fermion rules are as in Yukawa theory  
(ie have  $u_s, \bar{u}_s, v_s, \bar{v}_s(p), \bar{v}_s(p)$ , fermion propagator sum over spin labels and spinor indices)

Now we have:

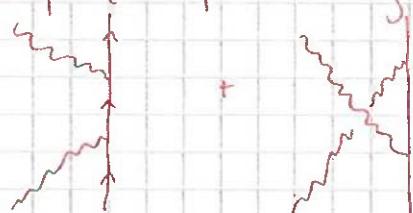
(i) Vertex  get  $-ie \gamma^\mu$  Contract.

(ii) Photon propagator  get  $\frac{-i}{k^2 + i\epsilon} g^{\mu\nu}$

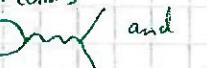
(iii) External photon lines  get  $E^\mu$  Pol vector where  $E_\mu = (E_0, \cos\theta \mathbf{e}_1 + \sin\theta \mathbf{e}_2)$  in C.G.

$E \cdot a_k^\dagger$  creates a photon with polarisation  $E$ .

(iv) Compton (electron-photon) Scattering  $e\gamma \rightarrow e\gamma$



3 Radiative corrections

eg  and 

(can get Photon-Photon Scattering:

$O(e^4)$  divergences vanish via current conservation ie. Gauge Invariance.  $P_1 e^-$

$iT = -e^2 \bar{u}_{s_1}(p_3) \gamma^\mu u_{s_1}(p_1) (-ig_{\mu\nu}) \bar{u}_{s_4}(p_4) \gamma^\nu u_{s_2}(p_2)$

For small  $|q|$  only get contrib.  $(p_3 - p_1)^2 \approx 2m^2$

from  $s_1 = s_3 = s$  and  $s_2 = s_4 = s'$  So  $iT \approx -e^2 (2m)^2 i$

$\gamma^\mu$  dominates and  $\approx 2m$

field eq's are  $\partial_\mu F^{\mu\nu} = e \bar{\Psi} \gamma^\nu \Psi$   
So  $e j^\mu$  electric current  $\rightarrow j^\mu$

and  $(i\gamma^\mu \partial_\mu - m) \Psi = 0$  w.r.t.  $\bar{\Psi}$ .

Now in C.G.  $\nabla \cdot A = 0$   
So zero bit of is  $\partial_\mu F^{\mu 0} = e j^0$

$\text{div } E = \frac{e}{c}$

$$\Rightarrow -\nabla^2 A_0 = e \bar{\Psi} \gamma^0 \Psi$$

$$\Rightarrow -\nabla^2 A_0 = e \frac{c}{\lambda}$$

$$A_0(x) = e \int \frac{\rho(z')}{4\pi |x-z'|} d^3z'$$

$$H_0 \text{ has solution:}$$

$$D_{00}(x-y) = \frac{1}{4\pi |x-y|} \delta(x^0 - y^0)$$

$$D_{00}(k) = \frac{i}{etc...}$$

$$D_{00}(k) = \frac{i}{k^2}$$

$$D_{00}(k) = -i \frac{g_{\mu\nu}}{k^2}$$

The Q.E.D. Hamiltonian is:  $H =$

$$\left[ \left( \frac{1}{2} \nabla \cdot \nabla + \frac{1}{2} \frac{B \cdot B}{c^2} - i \bar{\Psi} \gamma^\mu \nabla \Psi + m \bar{\Psi} \Psi \right) d^3x \right]$$

$$+ \frac{e^2}{2} \int \frac{\rho(z)}{4\pi |x-z|} d^3z d^3z' - e \int j \cdot A d^3x$$

instantaneous Coulomb interaction

prop. is  $D_{ij}^{\text{Coul}}(x-y)$  which is the transverse photon propagator  $D_{ij}^{\text{tr}}(x-y)$

So total propagator is  $D_{\mu\nu}(x-y)$

In momentum space,  $D_{ij}^{\text{Coul}}(k) = \frac{i}{etc...}$   $D_{00}^{\text{Coul}} = \frac{i}{k^2}$

Now,  $D_{\mu\nu}$  always appears as  $C_F D_{\mu\nu} ds = i \left( \frac{c \cdot d}{k^2} - \frac{k_0 c_0 d_0}{k^2} \frac{1}{k^2} \frac{1}{k^2} \right) \frac{1}{k^2}$

i.e.  $k \cdot n c^\mu = 0 = k_0 c^0 - k \cdot n \cdot c$  allows us to get this term

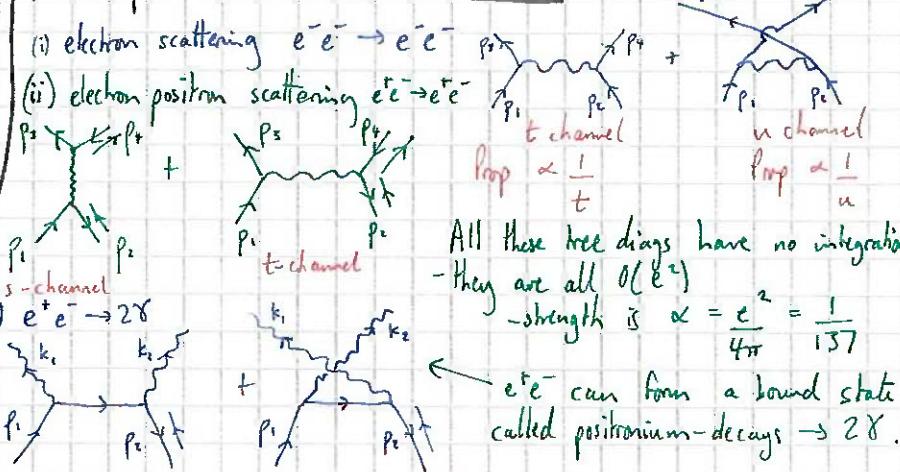
Gauge Inv. allows us to write  $D_{\mu\nu}(k) = -i \frac{g_{\mu\nu}}{k^2} (g_{\mu\nu} + \lambda \frac{k_\mu k_\nu}{k^2})$

$\lambda = 0$  - Feynman gauge prop.  $\lambda = -1$  - Landau " "

$D_{\mu\nu}(k) = -i \frac{g_{\mu\nu}}{k^2}$  due to current conservation

Lorentz Invariant Propagator.

## Examples of Q.E.D. Processes



All these tree diag. have no integrations - They are all  $O(\epsilon^2)$  - strength is  $\propto = \frac{e^2}{4\pi} = \frac{1}{137}$

$e^- e^-$  can form a bound state called positronium-decays  $\rightarrow 2\gamma$ .

Muons - same as Q.E.D. except  $m_\mu \approx 200 m_e$ . Within Q.E.D.  $\mu^-$  stable but actually decay  $\rightarrow e^-, \nu_e$  via Weak interaction,  $W^\pm$  bosons.

eg  $e^- \mu^-$  scattering  $e^+ e^- \rightarrow e^+ \mu^- \rightarrow \nu_e \mu^- \rightarrow \nu_e e^-$

only one diag for each  $t = (p_1 - p_3)^2$  as  $e^-, \mu^-$  distinguishable  $e^+$ ,  $e^+$

Now work out scattering amplitude in Born approx, using a potential - find that  $\rho_{tot}$  must  $= \frac{e^2}{4\pi r}$  because in Born ap.  $F.T. \left( \frac{e^2}{4\pi r} \right) = \frac{e^2}{(2r)^2} + ve \therefore$  repulsive

If do for  $e^- \mu^+$ , get  $-ve$  sign from ordering  $\rightarrow$  attractive.

Non-relativistic limit of  $e^- \mu^-$  scattering

Ampitude:

$iT = -e^2 \bar{u}_{s_1}(p_3) \gamma^\mu u_{s_1}(p_1) (-ig_{\mu\nu}) \bar{u}_{s_4}(p_4) \gamma^\nu u_{s_2}(p_2)$

For small  $|q|$  only get contrib.  $(p_3 - p_1)^2 \approx 2m^2$

from  $s_1 = s_3 = s$  and  $s_2 = s_4 = s'$  So  $iT \approx -e^2 (2m)^2 i$

$\gamma^\mu$  dominates and  $\approx 2m$

Q.F.T. (10)

## Non-Abelian Gauge Theory

In QED there is GInv:  
under  $\Psi(x) \rightarrow e^{ie\chi(x)} \Psi(x)$   
and  $A_\mu \rightarrow A_\mu - \partial_\mu \chi(x)$ .

Yang + Mills extended this:

let  $\Phi(x) \rightarrow g(x) \Phi(x)$   
↑  
n component  
multiplet of  
scalar or dirac  
fields  
some matrix  
 $g \in G$ , any  
compact Lie group  
of  $n \times n$  matrices.

Hence gauge covariant derivative:  
 $D_\mu \Psi \rightarrow e^{ie\chi} D_\mu \Psi$   
transforms like  $\Psi$ .

Now let  $\Psi(x)$  be a matrix ( $n \times n$ ) of fields (not vectors)  
and let  $\Psi(x) \in \text{Lie}(G)$ . Gauge trn:  $\Psi \rightarrow g\Psi g^{-1}$   
then the adjoint covariant derivative,  $D_\mu \Psi = D_\mu \Psi + [A_\mu, \Psi]$  transforms  
like  $\Psi$ :

$$D_\mu \Psi \rightarrow g(D_\mu \Psi)g^{-1}$$

In fact, there is a different covariant derivative  
for each rep of  $G$ . This was the  
fundamental rep. This was the adjoint  
rep.

$$\text{Now let } D_\mu \Phi = D_\mu \Phi + A_\mu \Phi$$

$$\text{require } D_\mu \Phi \rightarrow g D_\mu \Phi$$

$$\Rightarrow A_\mu \rightarrow g A_\mu g^{-1} - (e g) g^{-1}$$

$A_\mu$  is an  $n \times n$  matrix for each  $\mu$

$$A_\mu \in \text{Lie}(G)$$

! If  $G = \text{SU}(n)$   
get traceless antiherm.  
eg QED  $A_\mu = ie a_\mu$ !

We can introduce a coupling constant

$$\text{ie let } D_\mu \Phi = D_\mu \Phi + e A_\mu \Phi$$

$$\text{then } F_{\mu\nu} = D_\mu A_\nu - D_\nu A_\mu + e [A_\mu, A_\nu]$$

e is  
important  
here  
comes from  
now this bit  
is small  
in P.T. of quantized YM theories.

Can introduce basis set of matrices for  $\text{Lie}(G)$ ,

$$\{T^a\} \text{ for } 1 \leq a \leq \dim(\text{Lie}(G))$$

(orthonormal if  $\text{Tr}(T^a T^b) = \delta_{ab}$ )

the Lie algebra is closed under commutation:

$$[T^a, T^b] = f^{abc} T^c \text{ then: } A_\mu = A_\mu^a T^a$$

$$(eg \text{SU}(2), f^{abc} = \epsilon^{abc})$$

The components of  $A_\mu$

$$\text{then } D_\mu \Phi = D_\mu \Phi + A_\mu^a T^a \Phi \text{ w.r.t. Lie}(G)$$

$$\Rightarrow F_{\mu\nu} = (D_\mu A_\nu - D_\nu A_\mu + f^{bc a} A_\mu^b A_\nu^c) T_a$$

### Non Abelian Case

$$\text{Cov. deriv is } D_\mu \Phi = D_\mu \Phi + A_\mu \Phi \text{ now we get } \downarrow \text{non linear}$$

$$[D_\mu, D_\nu] \Phi = (D_\mu A_\nu - D_\nu A_\mu + [A_\mu, A_\nu]) \Phi$$

The Y-M field tensor,  $F_{\mu\nu}$ .

$F_{\mu\nu}$  is in  $\text{Lie}(G)$  coz  
- have  $n \times n$  matrix for each  $\mu, \nu$ .  
We can define the Y-M elec. and  
Y-M mag fields,  $E_i = F_{0i}$  and  
 $B_i = \frac{1}{2} \epsilon_{ijk} F_{jk}$  but they are matrices.  
Under a gauge trn,

$$F_{\mu\nu} \rightarrow g F_{\mu\nu} g^{-1}$$

Unlike el/m where  $F_{\mu\nu} \rightarrow F_{\mu\nu}$   
 $\Rightarrow E_i, B_i$  not observables.

Bianchi (Jacobi)  
applies here too.

$$F_{\mu\nu}^a$$

The field is a curvature - derive via the commutator of covariant derivatives.

Abelian Case (- QED)

Covariant deriv is

$$D_\mu \phi = \partial_\mu \phi + ie a_\mu \phi$$

$$\Rightarrow [D_\mu, D_\nu] \phi = ie f_{\mu\nu} \phi$$

$$\text{where } f_{\mu\nu} = \partial_\mu a_\nu - \partial_\nu a_\mu$$

### Yang - Mills Lagrangians

require to be a gauge invariant Lorentz scalar ...

Can construct various objects like this eg: For  $G = \text{U}(n)$

$$(1) \Phi^\dagger \Phi$$

$$(3) \text{For Dirac fields } \bar{\Psi} (i \gamma^\mu D_\mu) \Psi$$

$$(2) (D_\mu \Phi)^+ D_\mu \Phi$$

$$(4) \text{Tr}(\Phi^2) \text{ for adjoint } \Phi$$

$$\Rightarrow \text{scalar. } [\text{NB } \text{Tr } \Phi = 0 \text{ coz } \in \text{Lie}(G)]$$

$$(5) \text{Tr}(F_{\mu\nu} F^{\mu\nu})$$

This is  $\propto$  Y-M Lagrangian.

Example Q.C.D. Gauge group is  $\text{SU}(3)$ . Each quark field

$$q = \text{const. } \text{Tr}(F_{\mu\nu} F^{\mu\nu}) + \sum_{\text{6 quark types}} \bar{q} (i \gamma^\mu D_\mu - m_q) q$$

each type, u d c s t b has different mass

### The Classical Field Equations

Pure Y-M theory (i.e. no quarks)

gives:

$$D_\mu F^{\mu\nu} = 0 \text{ i.e. } \partial_\mu F^{\mu\nu} + [A_\mu, F^{\mu\nu}] = 0$$

With matter i.e. Dirac fields, get

$$D_\mu F^{\mu\nu} = j^\nu \text{ where } j^\nu \sim \bar{q} i \gamma^\nu q.$$

$$\text{In components, } (D_\mu F^{\mu\nu})^a = \bar{q} i \gamma^\nu T^a q$$

$$\text{also have } (i \gamma^\nu D_\mu - m_q) q = 0$$

i.e. Dirac eq'n for quarks.

Feynman rules involve gluon propagator

quark propagator

eeee q-q vertex

eeee (q A q) a

eeee 3 and 4 g vertices.

Renormalisation. Parameters in the models aren't the ones we measure eg QED - we found pot  $\beta \frac{e^2}{4\pi r}$

$$\text{but } (\text{exact})^2 = \frac{e^2 + O(e^4) + \dots}{4\pi r} \text{ so, reexpress } e \text{ as } e/\beta$$

In scalar  $\phi^4$  theory  $T = -\lambda - \lambda^2 (V(s) + V(t) + V(u))$   
for  $\lambda$  to 2nd order divergent integrals.

Use the physical quantity:  $-T(s=4m^2, t=0, u=0) = \lambda_R$  at rest, no scat., abs. renorm point

$$\Rightarrow \lambda_R = \lambda + \lambda^2 (V(4m^2) + V(t) + V(u))$$

$$\Rightarrow \lambda = \lambda_R - \lambda_R^2 (V(4m^2) + 2V_0) + O(\lambda_R^3)$$

These differences fall off rapidly at high loop momenta  $\therefore T$  remains finite - equiv. of mass cut-off.

TP 2

## WKB Method

Obtains asymptotic solution

$$\text{of } \psi'' + k^2(x) \psi = 0 \\ \text{if S.E. then } k^2 = \frac{2m}{\hbar^2} (E - V)$$

let  $\psi = A(x) e^{iS(x)}$  as a first approx: get from lin. part,  
get  $\frac{A'}{A} = -\frac{S''}{2S}$

$$S_0 A = \frac{a}{\sqrt{S'}} \cdot \text{Real part gives:}$$

Now  $k^2 \sim \frac{1}{\lambda^2}$  and  $\frac{A''}{A} \sim \frac{1}{L^2}$

$$(S')^2 = k^2 + \frac{A''}{A}$$

Now  $k^2$  i.e.  $V(x)$ , the potential varies on a length scale  $\lambda$

let  $L$  be the size of region of interest i.e. gradient of  $A \approx \frac{A}{L}$  (scale of variation of  $A$ )

$$S_0 \frac{1}{\lambda^2} + \frac{1}{L^2} \approx \frac{1}{\lambda^2}$$

if  $L \gg \lambda$

$$S_0 S'^2 \approx k^2$$

and  $\psi(x) = \frac{a_1}{\sqrt{k}} \exp\left(i \int_{x_0}^x k dx\right) + \frac{a_2}{\sqrt{k}} \exp\left(-i \int_{x_0}^x k dx\right)$  for real  $k$ .

## Asymptotic Series

$$\text{let } S_n = \sum_{k=0}^n \frac{a_k}{x^k}$$

then  $S_n$  is an asymptotic representation of  $f(x)$  if:

$$\lim_{x \rightarrow \infty} x^n (S_n(x) - f(x)) = 0$$

ie the difference  $\rightarrow 0$  faster than  $x^n \rightarrow \infty$ .

$$\text{now } S_0 = a_0 = f(\infty)$$

- can find  $a_1$  from def'n:

$$\propto (S_1 - f) = 0$$

$$\therefore x (\alpha_0 + \frac{a_1}{x} - f) = 0$$

$$\therefore a_1 = \lim_{x \rightarrow \infty} (f(x) - f(\infty))$$

## Method of Steepest Descents

$$\text{Consider the integral } J(x) = \int e^{x f(t)} dt$$

let  $t = t_0$  be a point where  $f(t)$  is maximum.  $J(x) = \int e^{x f(t_0)} dt$

$$\text{now expand } f(t) \approx f(t_0) + (t - t_0)f'(t_0) + \frac{(t - t_0)^2}{2} f''(t_0)$$

$$\text{and let } (t - t_0) = \delta e^{ix}$$

$$\text{then } J(x) = \int e^{x f(t_0)} e^{\frac{x f''(t_0)}{2} \delta^2 e^{2ix}} d\delta e^{ix}$$

$C$  (phase  $\alpha$ )

want integral to be Gaussian so  $x f''(t_0) e^{2ix}$  must be  $-ve$  real  $\Rightarrow$  determines phase,  $\alpha$ .

Orthogonality of irrep matrices:  $\sum_{\text{elements}} \Gamma_{ab}^{(u)} \Gamma_{cd}^{(u)}$

$\Rightarrow$  for characters,  $\sum_{\text{elements}} \chi_{\mu}(u) \chi_{\nu}(u^{-1}) = [G] \Gamma_{aa}^{(I)}$

or, if  $N_c$  is the number of elements in class  $C$  then

$$\sum_{\text{classes}} N_c \chi_{\mu}(C) \chi_{\nu}(C^{-1}) = [G] \delta_{\mu\nu}$$

now a character table is square because no. of irreps = no. of classes, so can run other way:

$$\sum_{\text{irreps}} N_c \chi_{\mu}(C) \chi_{\nu}(C^{-1}) = [G] \delta_{\mu\nu}$$

The summations also work over group elements.

So number of times irrep  $\beta$  occurs,  $a_{\beta}$  is:

$$a_{\beta} = \frac{1}{[G]} \sum_{\text{elements}} \chi_r(u) \chi_{\beta}(u^{-1})$$

if  $\Gamma = \Gamma_1 \otimes \Gamma_2$  then

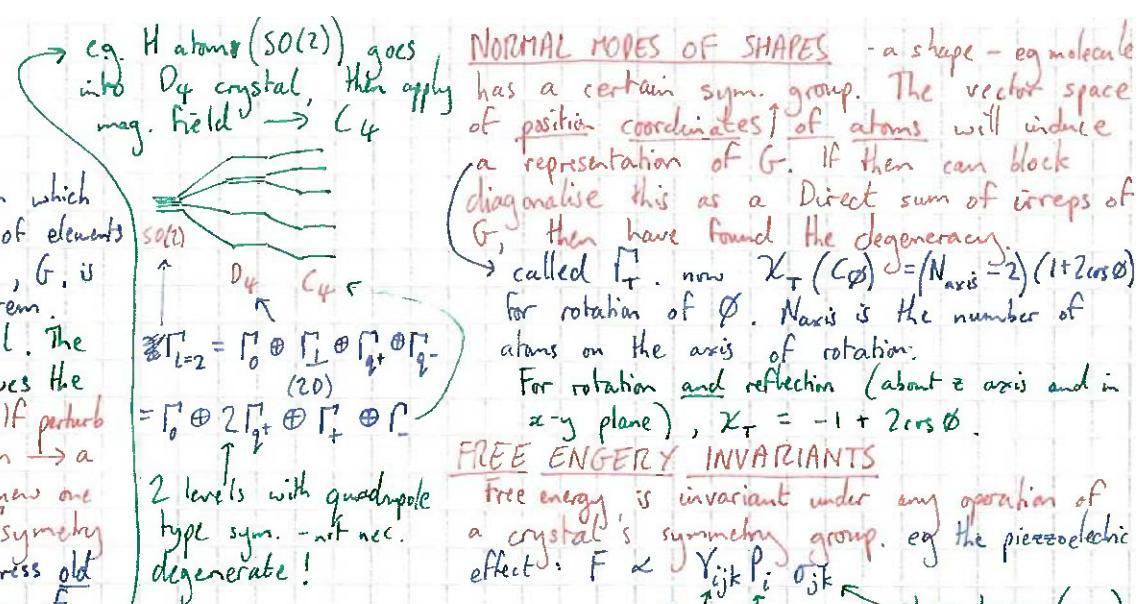
$$a_{\beta} = \frac{1}{[G]} \sum_{\text{elements}} \chi_1(u) \chi_2(u) \chi_{\beta}(u^{-1})$$

- a Clebsch-Gordan Coeff

# TP3 ③

## Application of Groups

QM - If have hamiltonian which is invariant under action of elements of a group then that group,  $G$ , is the sym. group for the system.  $\exists$  an irrep for each level. The dimension of each irrep gives the degeneracy of the level! If perturb the system so hamiltonian  $\rightarrow$  a new one, then if sym of new one is less than old one, new symmetry group is that of  $G$ . Express old irrep (now rep) in direct sum of new irreps. Dim. of new irreps gives new degeneracy.



NORMAL MODES OF SHAPES - a shape - eg molecule has a certain sym. group. The vector space of position coordinates of atoms will induce a representation of  $G$ . If then can block diagonalise this as a Direct sum of irreps of  $G$ , then have found the degeneracy, called  $\Gamma_T$ . now  $\chi_T(C_\phi) = [N_{\text{axis}}=2]/(1+2\cos\phi)$  for rotation of  $\phi$ . Naxis is the number of atoms on the axis of rotation. For rotation and reflection (about z axis and in xy plane),  $\chi_T = -1 + 2\cos\phi$ .

## FREE ENERGY INVARIANTS

Free energy is invariant under any operation of a crystal's symmetry group. eg the piezoelectric effect:  $F \propto \sum_i Y_{ijk} P_i \sigma_{jk}$

$$\text{Piez. Polarisation tensor} \quad \text{stress tensor (sym)}$$

This rep will decompose into irreps of the sym. group. The number of trivial irreps needed gives the no. of indep. compone. in  $Y_{ijk}$ .

Sym square:  $\chi_F(V^2) = \frac{1}{2} [\chi^2(u) + \chi(u^2)]$

anti-sym...

## Stochastic Physics - Basics

An OUTCOME:  $\underline{x} = (x_1, \dots, x_n)$  eg  $\underline{x} = (x, z)$  for particle A joint probability distribution function  $f$  is s.t. in one dimension or  $\underline{x} = (x, y, z)$  for random walk.

An average of  $y(\underline{x})$  is:

Moments are averages of products (of random variables)  $\bar{y}(\underline{x}) = \int y(\underline{x}) f(\underline{x}) d\underline{x}$

eg  $\bar{x} = \text{mean}$ ,  $\bar{x_i x_j} = \text{correlation}$

$\bar{x_i x_j} - \bar{x_i} \bar{x_j} = \text{covariance}$

CUMULANTS:  $\bar{x_i x_j} = (\bar{x_i} \bar{x_j}) + (\bar{x_i} \bar{x_j})_{\text{c}}$

$$\bar{x_i x_j x_k} = \dots + (\dots + \dots + \dots) + \lambda$$

Cumulants are last terms eg  $\lambda$

## Dependence vs. Correlation

Unconditional P.D.F.:  $f(x_i) = \int f(x_1, x_2) dx_2$

in  $x_2$  have integrated out  $x_2$ , i.e. add over all possible values of  $x_2$ .

Conditional P.D.F.:  $f(x_1 | x_2) = f(x_1, x_2)$

is constrain  $x_2$  to be a certain value - but must normalise

random variables are INDEPENDENT if can write:

$$f(\underline{x}) = f_1(x_1) f_2(x_2) \dots f_n(x_n)$$

Independence  $\Leftrightarrow$  all cumulants vanish.

Something v. important:

$$f_k = \sum_{m=0}^{\infty} \frac{(-ikx)^m}{m!} = \exp\left(\sum_{m=1}^{\infty} \frac{(-ikx)^m}{m!}\right)$$

Because  $f_k = \exp(-ikx)$  for any  $f(x)$ .

for a Gaussian P.D.F.,  $f_k = e^{-\frac{k^2 \sigma^2}{2}}$

$$f_k = \frac{1}{\sqrt{2\pi\sigma^2}} e^{-\frac{k^2 \sigma^2}{2}}$$

so  $\bar{x}^m = i^m \frac{d^m}{dk^m} f_k \Big|_{k=0}$

and  $\bar{x}_c^m = i^m \frac{d^m}{dk^m} (\ln f_k) \Big|_{k=0}$

for Gaussian P.D.F. cumulants vanish beyond second order.

## Independent Random Variables

If have  $f(\underline{x})$ , and  $y = x_1 + x_2 + \dots + x_n$  then what is p.d.f. for  $y$  ie  $g(y)$ ?

$$y = x_1 + x_2$$

this slice maps to:

$$y = x_1 + x_2$$

So by the convolution theorem:

$$g_k = f_{1k} f_{2k} \dots f_{nk}$$

$$\therefore g_k = f_{1k} f_{2k} \dots f_{nk}$$

$$g_k = \left(1 - \frac{k^2 \sigma_1^2}{2} - \dots - \frac{k^2 \sigma_n^2}{2}\right)^n = \left(1 - \frac{k^2 n \sigma^2}{2}\right)^n$$

$$\therefore g_k = e^{-\frac{k^2 n \sigma^2}{2}}$$

as  $n \rightarrow \infty$  is Gaussian, variance =  $n\sigma^2$

$$g(y) = \int f(x_1, y-x_1) dx_1$$

$$= \int f_1(x_1) f_2(y-x_1) dx_1$$

if INDEPENDENT !!

## CENTRAL LIMIT THEOREM:

$\rightarrow$  Gaussian as  $n \rightarrow \infty$ .

eg take  $f_1 = f_2$  etc then  $g_k = (f_k)^n$

$$g_k = \left(1 - \frac{k^2 \sigma^2}{2} - \dots - \frac{k^2 \sigma^2}{2}\right)^n = \left(1 - \frac{k^2 n \sigma^2}{2}\right)^n$$

$$\therefore g_k = e^{-\frac{k^2 n \sigma^2}{2}}$$

ITS ④

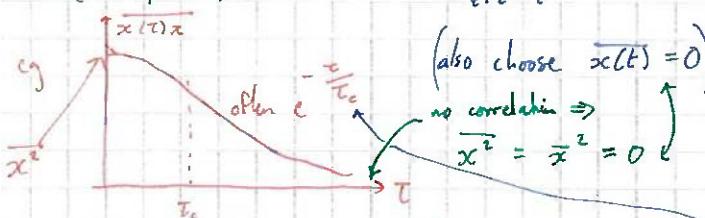
## Stochastic Processes

This is an outcome regarded as a path or a history  
eg particle position.

n-point joint PDF. is:

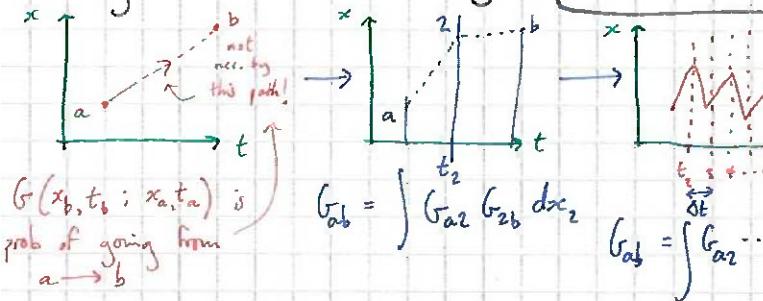
$$f_n(x_1, t_1; x_2, t_2; \dots; x_n, t_n)$$

=  $f(x(t))$  sampled at n times



Deterministic process have full memory eg SHM....  
Markov process: if know present, future is indep. of past.  
eg Random Walk. time  $T_c \rightarrow 0$ .

## Writing a Green f'n as Path Integral



## Wiener Process (1-D Random Walk)

sum of  $n (= \frac{t}{\Delta t})$  independent steps = total distance.

$$\text{ie. } V_i = \frac{l_i}{\Delta t} \rightarrow x(t) = l_1 + l_2 + \dots + l_n \left( \text{i.e. } \int_0^t \sqrt{v(t')} dt' = x \right)$$

Assume  $\overline{l_i} = 0$  (ie + or - equally likely)

and that  $\overline{l_i^2} = 2D\Delta t$ . Then because  $\sum \text{var} = \text{var} \sum$

$$\overline{x^2(t)} = 2Dt. \text{ Central limit theorem} \Rightarrow$$

$$f_2 \uparrow \text{as } t \rightarrow 0$$

$$f_2(x, t | 0, 0) = \frac{e^{-\frac{x^2}{2(2Dt)}}}{\sqrt{4\pi D t}} \quad \begin{array}{l} \text{2 pt.} \\ \text{joint PDF} \\ \text{condition} \\ \text{on } x(0) = 0 \end{array}$$

$$\rightarrow F.T. \rightarrow G_k(t; 0, 0) = e^{-Dt k^2}$$

So  $Dk^2$  is relaxation (decay) rate of the  $k$ th frequency in  $G(x, t; 0, 0)$ .

$$\text{Call } f_2 G(x, t; 0, 0)$$

it is the G.F. for:

$$\left[ \frac{d}{dt} - D \frac{\partial^2}{\partial x^2} \right] \leftarrow \text{Diffusion operator, } L_D$$

$$t > 0 \quad L_D G = 0$$

$$t = 0 \quad L_D G = \delta f'$$

$$G_{ab} = \int e^{\frac{-1}{4D\Delta t} \sum_{i=1}^{n-1} (x_{i+1} - x_i)^2} \frac{dx_2 \dots dx_{n-1}}{(4\pi D \Delta t)^{\frac{n-1}{2}}} \quad \begin{array}{l} \text{take limit and } \Delta t \rightarrow 0 \\ n \rightarrow \infty \end{array} \rightarrow P(x(t))$$

$$\Rightarrow G_{ab} = \int e^{\frac{1}{4D} \int_a^b x^2 dt} P(x(t))$$

$$\Rightarrow G_{ab} = \int e^{-A[x(t)]} P(x(t))$$

$$\text{where } A[x(t)] = \int_a^b L(x, \dot{x}) dt$$

## Ginzburg - Landau Model

FOURIER REP: expand random path as in interval  $0 \rightarrow t_0$

$$\overline{x(t)} = \int x(t) e^{-At} dt \quad \begin{array}{l} \text{looks like prob. of "path" so} \\ \text{etc for higher moments of paths} \end{array}$$

## WIENER - KHINCHINE THEOREM

$$x_{w+\omega} x_w = \frac{1}{t_0} \int d\tau dt \overline{x(\tau) x(e^{-i(w+\omega)(t+\tau)})} e^{i(w+\omega)(t+\tau)}$$

$$\text{from orthogonality, } \int_{t_0}^t x(t) e^{iwt} dt = 0 \quad \begin{array}{l} \text{etc for higher moments of paths} \\ \text{etc for higher moments of paths} \end{array}$$

$$= \int d\tau \overline{x(\tau) x(e^{-i\omega\tau})} e^{-i\omega\tau} \cdot \delta_{\omega, 0}$$

$$\text{Expand } A[x(t)] \text{ as even powers:}$$

So all "cross terms" are zero

$$A[x(t)] = \frac{1}{2} \int [a(t, t') x(t) x(t') dt dt' + \dots]$$

$$\text{get: } |\overline{x_\omega}|^2 = F.T. \overline{x(t) x}$$

$$= \frac{a}{2} \overline{x^2} + \frac{b}{4} \overline{x^4} + \dots$$

power spectrum is F.T. of auto corr. f'n

$$\text{continuous quadratic form.}$$

$$= \frac{a}{2} \overline{x^2} + \frac{b}{4} \overline{x^4} + \dots$$

$$\text{for stationary process, } \rightarrow a(t-t')$$

$$\text{now use}$$

$$\text{to get } A[x_\omega] = \sum_{\omega} \alpha_\omega |\overline{x_\omega}|^2 + \frac{b}{4t_0} \sum_{\omega_1, \omega_2, \omega_3, \omega_4} x_{\omega_1} x_{\omega_2} x_{\omega_3} x_{\omega_4}$$

$$\text{now let } \alpha_\omega = a + c\omega^2 \quad \text{s.t. sum} = 0 \quad + \dots$$

$$\text{and F.T. back to } t, \quad \text{valid for low freq only!}$$

$$\text{then } A[x(t)] = \frac{1}{2} \int (ax^2 + \frac{b}{2}x^4 + cx^2) dt$$

$$G-L \text{ Action.}$$

GAUSSIAN LIMIT  $\equiv b = 0$  i.e. no quadratic terms.

$$\text{from here can read off variance} = |\overline{x_\omega}|^2 = \frac{1}{a\omega}$$

$$\text{So } \overline{x(t)x} = \overline{x^2} e^{-\frac{1}{a\omega} t/t_0} \quad \begin{array}{l} \text{for } t \rightarrow 0 \quad \omega \rightarrow \infty \quad \text{need more terms} \\ \text{for } t_c = \sqrt{\frac{c}{a}} \end{array}$$

$$\text{Lorentzian}$$

$$\text{for } t \rightarrow 0 \quad \omega \rightarrow \infty \quad \text{need more terms}$$

$$\text{Lorentzian}$$

## TP3 (5)

### The Langevin Model

L-eq'n is eq'n for particle in liquid:

$$\kappa x + m\ddot{x} = f_{\text{iq}} + f_{\text{ext}}$$

$$= \eta - \gamma \dot{x} + f_{\text{ext}}$$

↑ random white power spectrum and zero mean

$\omega_w$

FT

→

$\overline{x_w} = \alpha_w f_w$

this kills  $\eta \leftrightarrow \bar{f} = 0$

for  $f_{\text{ext}} = 0$ ,

$x_0 = \alpha_w \eta_w \Rightarrow \overline{|\alpha_w|^2} = |\kappa \omega|^2 |\eta_w|^2$

Equipartition gives  $\frac{1}{2} \kappa \overline{x^2} = \frac{1}{2} kT$

$$\text{now } \overline{x^2} = \int \frac{\overline{f \alpha_w \omega_w^2}}{2\pi} dw \quad (\text{W-K})$$

$$\text{So } \overline{x^2} = \frac{|\eta_w|^2}{2\pi} \int_{-\infty}^{\infty} |\alpha_w|^2 dw$$

Can easily do integral  
and use to give:

$$|\eta_w|^2 = 2kT\gamma$$

Fluctuation-Dissipation Theorem.

### The Langevin Action

Action for random force  $\eta(t)$  is

$$A[\eta_w] = \frac{1}{2} \sum_w \frac{|\eta_w|^2}{|\omega_w|^2} = \frac{1}{48kT} \sum_w |\eta_w|^2$$

take I.F.T. to get:

$$A[\eta(t)] = \frac{1}{48kT} \int \eta^2(t) dt$$

use  $\int$ :

$$\therefore A[x(t)] = \frac{1}{48kT} \int (kx + \gamma \dot{x} + m\ddot{x})^2 dt$$

take F.T. to get:

$$A[x_w] = \frac{1}{48kT} \sum_w \frac{|\alpha_w|^2}{|\omega_w|^2} = \sum_w \frac{\alpha_w}{2} |\alpha_w|^2$$

with  $\alpha_w = \frac{1}{2\pi kT \omega_w}$

now get G-L action with  $m=0$

a Wiener process with restoring force  $\propto x dt = d(x^2/2)$  is boundary term.

$$\text{with } a = \frac{m^2}{2kT\gamma}, b=0, c = \frac{\gamma}{2kT}$$

With  $\kappa=0$ , have G-L action for  $v(t)$ :

$$A[v(t)] = \frac{1}{48kT\gamma} \int v^2 \dot{v}^2 + m^2 \dot{v}^2 dt$$

and the L.eq'n is now  $\gamma \dot{v} + m \ddot{v} = \eta(t)$   
or  $(\gamma - i\omega_m) v_w = \eta_w$

So from we get Lorentzian power spectrum,

$$\overline{|V_w|^2} = \frac{2kT\gamma}{\gamma^2 + m^2 \omega_w^2} \Rightarrow \overline{v(t)v} = \frac{1}{\sqrt{2}} e^{-\frac{|t|}{\tau_v}}$$

$$\overline{x^2} = \int_0^t dt' \int_0^t dt'' \frac{v(t'')v(t')}{\sqrt{t-t''}} \quad \text{where } \tau_v = \frac{m}{\gamma}$$

$$= \int_0^t dt' \int_{-t'}^{t-t'} d\tau \frac{v(\tau)v}{\sqrt{t-t'}} \quad t-t' = \tau$$

$$= \int_0^t d\tau \frac{v(\tau)v}{\sqrt{t-\tau}} + \int_0^t d\tau \frac{v(\tau)v}{\sqrt{t+\tau}} \quad \text{symmetry about } \tau=0$$

$$= 2 \int_0^t d\tau \frac{v(\tau)v}{\sqrt{t-\tau}} = 2\sqrt{t}\tau_v [t - \tau_v(1 - e^{-\frac{t}{\tau_v}})]$$

$$\approx \sqrt{t}^2 \quad \text{for } t \ll \tau_v$$

$$\approx 2\sqrt{\tau_v} t \quad \text{for } t \gg \tau_v$$

So in  $\lim T_v \rightarrow 0$   
ie  $m \rightarrow 0$ ,  
have Wiener process  
for  $v(t)$ .

### Equation of State and Cumulants

$$-B \left( \frac{k_0 x^2}{2} + \frac{g_0 x^4}{4} - xF \right)$$

$$Z = \int dx e^{-B \left( \frac{k_0 x^2}{2} + \frac{g_0 x^4}{4} - xF \right)}$$

P(x)

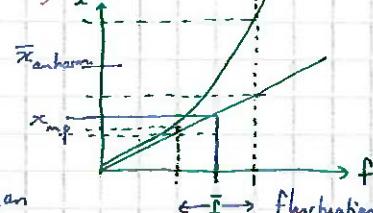
minimise exponent to give  $x_{\text{most probable}}$  - ie what we would get if ~~# fluctuations~~, randomness (in f?)

$$k_0 x_{\text{mp}} + g_0 x_{\text{mp}}^3 = f \quad \begin{matrix} \uparrow \text{harmonic oscillators} \\ \downarrow \text{anharmonic term} \end{matrix}$$

There is no difference between  $\overline{x}$  and  $x_{\text{most prob.}}$  for purely harmonic oscillator:

$$\text{Now, } \overline{x} = \frac{1}{B} \frac{d \ln Z}{dF}$$

let  $Z_0$  be partition function for  $F=0$  and  $\langle \rangle_0$  mean "average when  $F=0$ ".



$$\text{Then, } Z = Z_0 (e^{\beta F})_0 = Z_0 \sum_{n=0}^{\infty} \frac{(\beta F)^n}{n!} \overline{(x_c^n)_0} \quad \text{by def'n.}$$

$$\text{So: } \ln Z = \ln Z_0 + \sum_{n=0}^{\infty} \frac{(\beta F)^n}{n!} \overline{(x_c^n)_0} = Z_0 \exp \left( \sum_{n=0}^{\infty} \frac{(-\beta g_0)^n}{n!} \overline{(x_c^n)_0} \right)$$

$$\Rightarrow \overline{x} = \sum_{n=0}^{\infty} \frac{\beta^{-n-1}}{n!} n f^{n+1} \overline{(x_c^n)_0} \quad \begin{matrix} \text{Equation of State} \\ \text{expansion of } \overline{x} \text{ in} \\ \text{powers of } f - \text{coeffs} \\ \text{are cumulants!} \end{matrix}$$

### Perturbation Theory - Finding the Cumulants

We expand the cumulants as a power series in  $g_0$

Let  $\langle \rangle$  mean average w.r.t. Gaussian problem ic  $g_0 = f = 0$

then:

$$Z_0 = Z_0 \langle e^{-\frac{\beta g_0 x^4}{4}} \rangle = Z_0 \exp \left( \sum_{n=0}^{\infty} \frac{(-\beta g_0)^n}{n!} \langle u^n \rangle_c \right)$$

now from the form of  $Z_0$ , and eg

$$\langle x^{2n} \rangle_c = \left[ \frac{2}{\beta} \right]^n \frac{d \ln Z_0}{d k_0^n}$$

$$\langle u^n \rangle_c = \langle u^2 \rangle_c - \langle u^2 \rangle_c^2$$

$$= \langle x^2 \rangle_c - \langle x^4 \rangle_c^2$$

Gaussian problem so  $\langle x^{2m} \rangle = \frac{(2m)!}{2^m m!} \sigma^{2m}$

can read off  $\sigma^2 = \frac{1}{\beta k}$

so  $\overline{x}$  is an  $\infty$  series in  $f$  where each coefft is given in terms of an  $\infty$  series of  $\langle u^n \rangle_c$

which is given in terms of the cumulant expansion.

## Self Consistent Renormalisation

Imagine that a random force  $\eta(t)$  drives the fluctuations  $f(t) + \eta(t) = k_0 \bar{x}(t) + g_0 x^3(t)$ . Now average with  $P(x)$ :

$$f = k_0 \bar{x} + g_0 \bar{x^3}$$

$$\text{now } \bar{x^3} = \bar{x}^3 + 3\bar{x}\bar{x^2} + \bar{x^3}$$

$$\therefore \bar{x}^3 = 1.$$

can find cumulants as  $F^n$ s of  $\bar{x}$  which in turn is  $F^n$ s of  $f$ . Let:

$$f = k\bar{x} + g\bar{x}^3 + \dots$$

Then compare with to find self consistent expansions for  $k$  and  $g$ :

$$k = k_0 + 3\beta g_0 \bar{x} - 6\beta^2 g_0 \bar{x}^2$$

$$\circ g_0 \rightarrow 0$$

•  $g$  and iterate.

$$g = \circ + \circ \quad \text{etc} \rightarrow \infty.$$

## Perturbation Theory for Q.M.

Harmonic Oscillator is exactly solvable.

Otherwise - need P.T. - expand a perturbing potential  $V(x(t), t)$ :

$$\text{let } U(t) = \frac{V}{i\hbar} \quad (\lambda \text{ from})$$

$$\text{then } \frac{iS}{\hbar} \rightarrow \frac{iS}{\hbar} + \int_a^b U dt$$

(unperturbed motion - Gaussian -  $\frac{m\dot{x}^2}{2}$ )

$$\text{Now } G_{ab} = \int Dx(t) e^{\frac{iS}{\hbar}} \int_a^b U dt$$

$$\downarrow \quad 1 + \int U(t_2) dt_2 + \int U(t_2) U(t_3) dt_2 dt_3 + \dots$$

"free propagation" from  $a \rightarrow b$  ie no potential.

$$g_{ab} = \int g_{a2} g_{2b} dx_b$$

= etc etc

## Green Function for the Schrödinger Equation.

G.F. is solution of S.E. which is zero for  $t' > t$  and tends to  $\delta(x-x')$  as  $t' \rightarrow t$  from above.

So it propagates  $\Psi$  forward:  $\Psi(x, t) = \int G(x, t; x', t') \Psi(x', t') dx'$  (for  $t > t'$ )

Assume  $\Psi(x', t') = f(x'-y')$  then  $\Psi(x, t) = G(x, t; y', t')$  ie  $G$  is amplitude of  $g_0$  from  $y'$  at  $t' \rightarrow x$  at  $t$ .

## Comparison with Wiener Process

Schröd. eq'n is:  $i\hbar \frac{d\Psi}{dt} = -\frac{\hbar^2}{2m} \frac{d^2\Psi}{dx^2} + V(x)\Psi$

$$\text{In the limit } \lambda \rightarrow 0, \text{ the solution is } \frac{\partial\Psi}{\partial t} = \frac{i\hbar}{2m} \frac{\partial^2\Psi}{\partial x^2} - \frac{(-iV)}{\lambda} \Psi$$

$$G(\Delta x, \Delta t) = e^{-\frac{\Delta x^2}{4D\Delta t}} \quad \text{and F.T.} \Rightarrow G_g(\Delta t) = e^{-\frac{D\Delta t^2}{4}}$$

Solution to Diffusion equation.

In limit  $D \rightarrow 0$ ,  $G$  decays independently of pos'n. As before, can rewrite this as a path integral:

$$G_{ab} = \int_{ab} e^{\frac{i}{\hbar} \int_a^b \left( \frac{m\dot{x}^2}{2} - V(x) \right) dt} \frac{dx_2 \dots dx_{n-1}}{(4\pi D \Delta t)^{\frac{n-1}{2}}} \rightarrow \int e^{\frac{i}{\hbar} S[x(t)]} Dx(t)$$

This is prob. of going from  $a \rightarrow b$  via path  $x(t)$ .

$G_{ab}$  is a conditional relative amplitude ie prob.  $\propto |\Psi_b|^2$  where

In limit  $D \rightarrow 0$  ie  $\frac{i\hbar}{2m} \rightarrow 0$ , fluctuations  $\rightarrow 0$ .  $\Psi_b = \int G_{ab} \Psi_a dx_a$   $E-L$  eq'n's.

For  $D \neq 0$ , must sum over all paths  $\rightarrow$  interference  $\rightarrow$  Q.M.  $\exists$  maths prob in the complex case + spin (eg) not included.

can let  $t_3 > t_2$  or relax constraint BORN APPROXIMATION is stopping



the series after one interaction.

$$\begin{aligned} G_{ab} &= g_{ab} + \int g_{a2} U_2 g_{2b} dx_2 dt_2 + \frac{2!}{2!} \int g_{a2} U_2 g_{23} U_3 g_{3b} dx_2 dt_2 dx_3 dt_3 + \dots \\ a \rightarrow b &= \xrightarrow{a \rightarrow b} + \xrightarrow{a \rightarrow 2 \rightarrow b} + \xrightarrow{a \rightarrow 2 \rightarrow 3 \rightarrow b} \\ &= g_{ab} + \int g_{a2} U_2 G_{2b} dx_2 dt_2 \\ &= \xrightarrow{a \rightarrow b} + \xrightarrow{a \rightarrow 2 \rightarrow b} \end{aligned}$$

### TP3 ⑦

#### Moments and Q.M.

$$\text{In Q.M. } I = \int \Psi_b \Psi_b^* dx_b$$

$$\text{but } \Psi_b = \int G_{ab} \Psi_a dx_a$$

$$\Rightarrow \int G_{ab} \Psi_a \Psi_b^* dx_a dx_b = 1$$

$$\Rightarrow \int Dx(t) \Psi_a e^{\frac{i}{\hbar} S[x(t)]} \Psi_b^* = 1$$

all paths from  $a \rightarrow b$   
and all paths  $a \rightarrow b$ .  
Prob depends on  $\Psi(x_a, t_a)$  and  $\Psi(x_b, t_b)$

and the amp.  $\exp(\frac{i}{\hbar} S)$ .

#### FIRST MOMENT (at $t_2$ )

$x$

$$\overline{x(t_2)} = \int x(t_2) P[x(t)] Dx(t)$$

$$= \int \Psi_a G_{a2} x_2 \frac{F_{2b} \Psi_b^* dx_a dx_b}{(G_{b2} \Psi_b)^*} \text{ (advanced)}$$

$$= 3 \int \Psi_2 x_2 \Psi_2^* dx_2$$

$$= \langle x \rangle_{t_2} \text{ or } \langle x(t_2) \rangle$$

S.P. H.P.  
ie averaging over  $P[x(t)]$  is same as usual average.

the general case:

$n$ -point correlation function:

$$\overline{x(t_n) \dots x(t_1)} = \langle T(\hat{x}(t_n) \dots \hat{x}(t_1)) \rangle$$

#### SECOND MOMENT (at $t_2, t_3$ , $t_3 > t_2$ )

called 2 pt correlation function.



$$\overline{x(t_3) x(t_2)} = \int x(t_3) x(t_2) P[x(t)] Dx(t)$$

$$= \int \Psi_a G_{a2} x_2 G_{23} x_3 G_{3b} \Psi_b^* dx_a dx_2$$

$$= \int \Psi_2 x_2 G_{23} x_3 \Psi_3^* dx_2 dx_3$$

$$\text{cf. usual Q.M. } \langle x_3 x_2 \rangle = \sum \langle \psi_3 | \hat{x}_2 | \psi_2 \rangle$$

but time ordering is important because ops  $\hat{x}$  do not nec. commute:

$$\overline{x(t_3) x(t_2)} = \langle x_3 x_2 \rangle \text{ if } t_3 > t_2$$

$$= \langle x_2 x_3 \rangle \text{ if } t_2 > t_3.$$

#### Propagator and energy eigenstates

$$\text{We know } \Psi(x, t) = \int G(x, x', t) \Psi(x') dx'$$

$$\text{now expand } \Psi(x, t) = \sum_n c_n \phi_n(x) e^{-i\omega_n t}$$

$$\text{where } c_n = \langle n | \Psi \rangle$$

$$\text{ie } c_n = \int \phi_n^*(x') \Psi(x') dx'$$

So

$$\sum_n \int \phi_n^*(x') \Psi(x') dx' \phi_n(x) e^{-i\omega_n t} = \int G(x) dx'$$

$$\text{ie } G(x, x', t) = \sum_n \phi_n(x) \phi_n^*(x') e^{-i\omega_n t}$$

Involves all e-states for  $t > 0$

Can get density of states

$$\text{Tr } G = \int dx G(x, x, t) = \sum_n e^{-i\omega_n t}$$

now time F.T. of  $\text{Tr } G$

$$= \text{Tr of FT}(G)$$

$$\text{now F.T. } e^{-i\omega_n t} \text{ for } t > 0 \text{ is } i \int_0^\infty e^{i(\omega - \omega_n + i\epsilon)t} dt = \frac{-1}{\omega - \omega_n + i\epsilon} \text{ decay}$$

$$= \frac{-1}{\omega - \omega_n} + i\pi \delta(\omega - \omega_n)$$

$$\text{So } \frac{1}{\pi} \text{Im} [\text{Tr}(iG_\omega)] = \sum_n \delta(\omega - \omega_n)$$

$$\text{Then } Z = \int_{\text{all paths}} e^{-S_{\text{Euc}}[x(t)]} Dx(t) \text{ summing also over all possible values of the starting/ending pts } x.$$

#### Relation to Partition Function

$$\text{We have } \text{Tr } G = \sum_n e^{-i\omega_n t} \text{ now let } t = \frac{t_0}{i} = \frac{-i\hbar}{kT}$$

$$\text{then have } \text{Tr } G = \sum_n e^{-\frac{kT\omega_n}{i}} = Z$$

$$\text{ie } Z = \text{Tr } G(x, x, \frac{t_0}{i})$$

So can express  $Z$  as a path integral from position  $x$  at  $t=0$  to position  $x$  at  $t=t_0/i$ , summed over all  $x$



The action is now

$$S = \int_0^{t_0/i} dt \left( \frac{m}{2} \dot{x}^2 - V(x) \right)$$

$$\text{let } t = \frac{x}{i}, y(t) = x(t), \dot{y} = \frac{dx}{dt}$$

$$\text{then } \frac{i}{\hbar} S = -\frac{1}{\hbar} \int_0^{t_0} \left( \frac{m}{2} \dot{y}^2 + V(y) \right) dt$$

Euc. action

deg. factor.

$$\text{② Harmonic oscillator, } V = \frac{1}{2} m \omega^2 x^2$$

$$Z_{\text{she}} = \frac{1}{2 \sinh(\frac{\hbar\omega}{kT})} \text{ for high temp, } \Leftrightarrow \text{small } T_0, \text{ let } V(x(0)) = V_0$$

$$\text{then } Z = \sqrt{\frac{mkT}{2\pi\hbar^2}} \int_{-\infty}^{\infty} dx \exp\left(-\frac{V(x)}{kT}\right)$$

$$\text{then Q.M. correction } O(\frac{1}{T}) \text{ is } V(x) \rightarrow V(x) + \frac{\hbar^2}{24mkT} \frac{\partial^2 V(x)}{\partial x^2} \dots$$

## Connections For Riemannian Manifolds

Connections : Affine connections  $\rightarrow G.R.$   
 [Range connections  $\rightarrow$  elementary particles]

curve  $C$  created by parallel transporting  $v$  from point  $P$  - using the affine conn.  
 no - that  $\bar{v}$  is all wrong.

## Covariant Derivative

$$(\nabla_{\bar{u}} \bar{w})_P = \lim_{\epsilon \rightarrow 0} \frac{\bar{w}_{\lambda_0 + \epsilon}(\lambda_0) - \bar{w}(\lambda_0)}{\epsilon}$$

$\bar{w}(\lambda_0)$   $\bar{w}(\lambda_0 + \epsilon)$   $\bar{w}(\lambda_0 + \epsilon)$   $\bar{w}(\lambda_0 + \epsilon)$   
 $\lambda_0$   $\lambda_0 + \epsilon$   $\lambda$   
 evaluate this vector at  $\lambda_0$   
 then subtract  $\bar{w}$  already there!

have generated a vector by  $\nabla_{\bar{u}} \bar{w}(\lambda_0 + \epsilon) = 0$

curve:  $C$   
 $(\lambda_0 \text{ at } P)$   
 $\bar{u}(P) = \frac{d}{d\lambda}|_{\lambda_0}$   
 $\bar{v}(P)$   
 $\bar{w}(P)$   
 $\nabla_{\bar{u}} \bar{v} = 0$   
 PARALLEL TRANSPORT.

$\bar{u}$  is just the tangent vector to  $C$

Given  $\bar{v}(P)$ , can define vector field  $\bar{v}$  along curve by parallel transport.  
 Given another vector field  $\bar{w}$  in  $M$ , it will not nec. be parallel transported along  $C$ .

For scalar function:  
 parallel transport gives:  $f_{\lambda_0 + \epsilon}(\lambda) = f(\lambda_0 + \epsilon)$

$$\Rightarrow (\nabla_{\bar{u}} f)_P = \lim_{\epsilon \rightarrow 0} \frac{f(\lambda_0 + \epsilon) - f(\lambda_0)}{\epsilon} = \left. \frac{df}{d\lambda} \right|_{\lambda_0}$$

## Changing Parameter

Suppose we let  $\lambda \rightarrow \mu$  then  $\bar{u}' = \frac{d\lambda}{d\mu} \bar{u}$  (by chain rule)

parallel transport is by def'n indep. of choice of parameter

Product rules:

$$\nabla_{\bar{u}} (\bar{A} \otimes \bar{B}) = \nabla_{\bar{u}} \bar{A} \otimes \bar{B} + \bar{A} \otimes \nabla_{\bar{u}} \bar{B}$$

$$\nabla_{\bar{u}} \langle \bar{w}, \bar{A} \rangle = \langle \nabla_{\bar{u}} \bar{w}, \bar{A} \rangle + \langle \bar{w}, \nabla_{\bar{u}} \bar{A} \rangle$$

$$\text{also, } (\nabla_{\bar{u}} \bar{w})_P + (\nabla_{\bar{v}} \bar{w})_P = \nabla_{\bar{u} + \bar{v}} \bar{w}$$

## Components

Any tensor can be written as a sum of basis tensors

can be derived from vector basis  $\{\bar{e}_i\}$

In an  $n$  dimensional manifold, the  $n^3$   $\Gamma^{ij}_k$ 's completely determine the affine connection.

So, connection can be completely described by giving:

$$\nabla_{\bar{e}_i} \bar{e}_j = \Gamma^k_{ji} \bar{e}_k \quad (\nabla_{\bar{e}_i} \equiv \nabla_i)$$

### CHRISTOFFEL SYMBOLS

$$\text{So: } \nabla_{\bar{u}} \bar{v} = u^i \nabla_{\bar{e}_i} (v^j \bar{e}_j)$$

$$\begin{aligned} &= u^i (\nabla_i v^j) \bar{e}_j + u^i v^j \nabla_{\bar{e}_i} \bar{e}_j \\ &= \frac{d v^j}{d\lambda} \bar{e}_j + u^i v^j \Gamma^k_{ji} \bar{e}_k \\ &\quad \text{(chain product rule)} \\ &\quad \text{relative indices.} \end{aligned}$$

$$\text{So together: } \nabla_{\bar{u}} w = f \nabla_{\bar{u}} w + g \nabla_{\bar{v}} w$$

So  $\nabla_{\bar{u}}$  behaves like  $\nabla$  of vector calculus.

## Geodesics

- is a curve that parallel transports its own tangent vector:

$$\nabla_{\bar{u}} \bar{u} = 0$$

$$\text{ie } \frac{du^i}{d\lambda} + \Gamma^i_{jk} u^j u^k = 0$$

$$\text{Then with } u^i = \frac{dx^i}{d\lambda}$$

$$\Rightarrow \frac{d^2 x^i}{d\lambda^2} + \Gamma^i_{jk} \frac{dx^j}{d\lambda} \frac{dx^k}{d\lambda} = 0$$

a quasi-linear set of differential equations for  $x^i(\lambda)$  - the curve.

- if change parameter to one that leaves the form of eq'n invariant then the old + new parameters are both affine params.  $\lambda \rightarrow \mu = a\lambda + b$  does the trick,  $a, b$  const.

## Riemann Tensor

$$[\nabla_{\bar{u}}, \nabla_{\bar{v}}] - \nabla_{[\bar{u}, \bar{v}]} \equiv R(\bar{u}, \bar{v})$$

is a multiplicative operator taking a vector  $\rightarrow$  vector,  $\therefore R(\bar{u}, \bar{v})$  is a  $(1)$  tensor. Regarding  $\bar{u}$  and  $\bar{v}$  as

variable arguments,  $R$  is then a  $(1, 3)$  tensor, with components:

$$R^i_{kij} \bar{e}_i = [\nabla_i, \nabla_j] \bar{e}_k - \nabla_{[\bar{e}_i, \bar{e}_j]} \bar{e}_k$$

$$\Rightarrow \text{In a coord. basis } (\bar{e}_i = e_i) \quad R^i_{kij} = \Gamma^i_{kj,i} - \Gamma^i_{ki,j} + \Gamma^m_{kj} \Gamma^i_{mi} - \Gamma^m_{ki} \Gamma^i_{mj}$$

in a coord. basis, these  $R$  are equivalent to the BIANCHI IDENT.

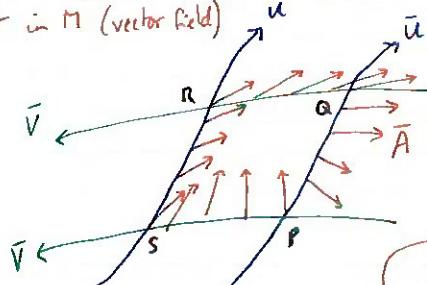
and  $R^i_{k[ij]} = 0$  - antisym in  $i, j$ .  
 also,  $R^i_{[kij]} = 0$  and  $R^i_{k[ij;m]} = 0$   
 in a coord. basis, these  $R$  are equivalent to the JACOBI IDENT.

## Connections for Riemannian Manifolds (cont'd)

### Geometric Interpretation of Riemann Tensor

$\bar{u}, \bar{v}$  are congruences,  $[\bar{u}, \bar{v}] = 0$   
So  $RQPS$  is a closed loop.

i.e.  $\bar{A}$  exist in  $M$  (vector field)



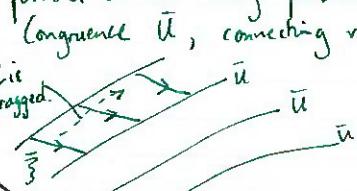
- go round loop  $PQRSRSP$  as shown.  
Change in  $\bar{A}$  is:

$$\delta A^i = \lambda \mu R^i_{jkl} A^j U^k V^l$$

"area"

### GEODESIC DEVIATION:

- the measure of how geodesics started parallel do not stay parallel:



can change  
all commas  
to semicolons.

because:  
 $U^i_{;k} U^k = 0$

$$(\bar{z}^i_{;j} U^j)_{;k} U^k = R^i_{jkl} U^j U^k \bar{z}^l$$

$\Rightarrow \bar{z}^i_{;j;kl} U^j U^k = R^i_{jkl} U^j U^k \bar{z}^l$

EQUATION OF GEODESIC DEVIATION

### Flat Spaces

From eq'n of geod. deviation, can see that parallel lines remain so when extended iff  $R=0$

So  $R(-; -, -)$  measures curvature of a space with a connection - don't need metric! (In a flat space  $M$ ,  $T_p$  is  $M$ !) On a flat space, coords exist. where Christoffel symbols vanish however -  $\exists$  coords where  $\Gamma^i_{jk}$  don't all vanish - e.g. sph. polar.

### Metric Connections

- If have affine connection + metric on  $M$ , then in order to guarantee compatibility, the inner prod. of two vectors must be invariant under parallel transport:  $\Rightarrow \nabla g_{ij} = 0$

This in turn implies that:

$$\Gamma^i_{jk} = \frac{1}{2} g^{il} (g_{lj,k} + g_{ik,j} - g_{jk,l})$$

So a metric determines connection uniquely.

$$\text{Also, } (\bar{f}_V g_{ij})_{;j} = \nabla_i V_j + \nabla_j V_i$$

So a Killing Vector obeys Killing's Eq'n:  $\nabla_i V_j + \nabla_j V_i = 0$

Ricci tensor is obtained through contraction of  $\Gamma^i_{kjl}$ :

$$R_{kl} = R^i_{kil}$$

$$\text{Ricci Scalar is: } R = g^{kl} R_{kl}$$

symmetric Then define Einstein Tensor by:

$$G^{ij} \equiv R^{ij} - \frac{1}{2} R g^{ij}$$

If contract the Bianchi Identities, i.e.  $R^i_{j[il;m]} = 0$  and  $g^{il} R^i_{j[il;m]} = 0$

$$\text{Then get: } G^{ij}_{;j} = 0$$

Define Weyl Tensor: (for some reason...)

$$C^{ij}_{kl} = R^{ij}_{kl} - 2\delta^{[i}_{[k} R^{j]}_{l]} + \frac{1}{3} \delta^{[i}_{[k} \delta^{j]}_{l]} R$$

need to parallel transport  $A$  say from  $Q \rightarrow P$ :

$$\begin{aligned} \bar{A}(\text{at } P \text{ from } Q) &= \bar{A}(P) + \lambda \nabla_{\bar{u}} \bar{A}(P) + \frac{1}{2} \lambda^2 \nabla_{\bar{u}} \nabla_{\bar{v}} \bar{A}(P) \\ &= e^{\lambda \nabla_{\bar{u}}} \bar{A}|_P \end{aligned}$$

call difference:  $\delta \bar{A} = [e^{\lambda \nabla_{\bar{u}}}, e^{\lambda \nabla_{\bar{v}}}] \bar{A}$

$$= \lambda \mu [\nabla_{\bar{u}}, \nabla_{\bar{v}}] \bar{A} + O(\text{higher order terms})$$

Because  $[\nabla_{\bar{u}}, \nabla_{\bar{v}}]$  is Riemann tensor when  $[\bar{u}, \bar{v}] = 0$ . get

So Riemann Tensor measures change in vector when transported round closed loop.

Let  $\bar{u}$  be a geodesic congruence.

$$\text{know: } \nabla_{\bar{u}} \bar{u} = 0 \text{ and } \bar{L}_{\bar{u}} \bar{z} = 0$$

Now  $\nabla_{\bar{u}} \bar{z}$  contains info about if unit parallel second deriv. tells us about how  $\bar{z}$  or not changes as it is lie dragged:  $\nabla_{\bar{u}} \nabla_{\bar{u}} \bar{z}$

$$(\bar{L}_{\bar{u}} \bar{z})_{;i} = w_{i;j} U^j + w_{j;i} U^j$$

$$= \nabla_{\bar{u}} (\bar{L}_{\bar{u}} \bar{z} + \nabla_{\bar{z}} \bar{u})$$

$$= \nabla_{\bar{u}} \nabla_{\bar{z}} \bar{u}$$

$$= [\nabla_{\bar{u}}, \nabla_{\bar{z}}] \bar{u} + \nabla_{\bar{z}} \nabla_{\bar{u}} \bar{u}$$

$$R(\bar{u}, \bar{z}) \bar{u}$$

$$= R^i_{jkl} U^j U^k \bar{z}^l$$

$$\Rightarrow \bar{z}^i_{;j;kl} U^j U^k = R^i_{jkl} U^j U^k \bar{z}^l$$

$$\Rightarrow \text{EQUATION OF GEODESIC DEVIATION}$$

if in normal coords (i.e.  $\Gamma^i_{jk}$ ) at  $P$ , then at  $P$

$$g_{lm,n} = 0$$

So cov sym of metric,

$$R_{ijkl} = R_{klij}$$

This constraint reduces number of independent equations from 10 to 6 thus meaning that the solution,  $g_{ij}$ , which also has 10 indep. components, is only determined up to the 4 functional degrees of freedom represented by the coordinate transformations of  $g_{ij}$ .

### Principle of Equivalence

It is natural to assume that the laws of Physics have same equations in curved space as flat - they involve  $\Gamma^i_{jk}$  but not the Riemann Tensor. This the principle of minimal coupling (of fields to curvature) or strong equivalence principle. It is just a result of flat space being no more or less basic/fundamental than curved space - they both have connection and a metric.

# Scattering Theory

## Spherically symmetric Square Well:

So for  $r \leq a$  particle is described by  $\Psi(r)$  where:

$$\left(-\frac{\hbar^2}{2m} \nabla^2 + V(r)\right) \Psi(r) = E \Psi(r)$$

Now: if  $V=0$

free particle case.

Only Bessel f'ng are regular at origin and asymptotic limit is

$$j_L(kr) = \frac{\sin(kr - \frac{\pi}{2})}{kr}$$

COMPARE ↗

to find that  $\delta_L(k)$  is a PHASE SHIFT

## Spherical Neuman and Bessel Functions

Subt  $k = \left(\frac{2m(E-V)}{\hbar^2}\right)^{1/2}$  and  $\rho = kr$  and  $\Psi = R_L Y_{lm}$   
to get  $\left(\frac{d^2}{d\rho^2} + \frac{2}{\rho} \frac{d}{d\rho} - l(l+1)\right) R_L(\rho) = 0$  } Solutions are:

Spherical symmetry  $\Rightarrow$  use sep. of variables:  
 $\Psi(r) = R(r) Y_{lm}(\theta, \phi)$   
 $A_j j_L(kr) + B_n n_L(kr)$  Spherical Harmonics  
 because  $R(r)$  obeys spherical Bessel f'n  $\left[\frac{d^2}{d\rho^2} + \frac{2}{\rho} \frac{d}{d\rho} - l(l+1)\right] R_L(\rho) = 0$   
 whose sol'n's are  $j_L$  and  $n_L$   
 when  $k = \sqrt{\frac{2m(E-V)}{\hbar^2}}$  and  $\rho = kr$   
 Get  $A, B, C$  from B.C.'s (continuity)  
 and so asymptotic form is:  
 $R_L(r) \approx \frac{B}{kr} \left[ \sin(kr - \frac{l\pi}{2}) - \frac{C}{B} \cos(kr - \frac{l\pi}{2}) \right]$

Then can put  $R_L(r)$  into different form by putting  $\frac{C}{B} = \tan \delta_L(k)$   
 to get  $R_L(r) \rightarrow \frac{B}{crs \delta_L(k)} \cdot \frac{\sin(kr - \frac{l\pi}{2} + \delta_L(k))}{kr}$

$$j_L(\rho) = (-\rho)^L \left( \frac{1}{\rho} \frac{d}{d\rho} \right)^L \left( \frac{\sin \rho}{\rho} \right)$$

$$n_L(\rho) = -(-\rho)^L \left( \frac{1}{\rho} \frac{d}{d\rho} \right)^L \left( \frac{\cos \rho}{\rho} \right)$$

(can both be expressed as power series in  $\rho$ .)

The SPHERICAL HANKEL f'ns are:

$$h_L^{(1)}(\rho) = j_L(\rho) + i n_L(\rho)$$

$$h_L^{(2)}(\rho) = j_L(\rho) - i n_L(\rho)$$

EXPLICIT FORMS:

$j_0 = \frac{\sin \rho}{\rho}$	$n_0 = -\frac{\cos \rho}{\rho}$	$h_0^{(1)} = \frac{e^{i\rho}}{i\rho}$
$j_1 = \frac{\sin \rho - \cos \rho}{\rho^2}$	$n_1 = -\frac{\cos \rho - \sin \rho}{\rho^2}$	$h_1^{(1)} = -\frac{e^{i\rho}}{\rho^2} (1+i)$

NB:  $j_L$  is regular at origin,  $n_L$  is singular at origin.

recursion formulae for  $j, n, h$ .  
 and  $j_L$  has an integral representation:

$$j_L(\rho) = \frac{(-i)^L}{2} \int dz P_L(z) e^{ipz}$$

Asymptotic Behaviour  
 Small arguments ( $\rho \ll 1$ )

$$j_L(\rho) \rightarrow \frac{\rho}{(2L+1)}$$

$$n_L(\rho) \rightarrow -\frac{(2L-1)}{\rho^{L+1}}$$

Large arguments ( $\rho \gg 1$ )

$$j_L(\rho) \rightarrow \frac{1}{\rho} \sin\left(\rho - \frac{l\pi}{2}\right)$$

$$n_L(\rho) \rightarrow -\frac{1}{\rho} \cos\left(\rho - \frac{l\pi}{2}\right)$$

$$h_L^{(1)}(\rho) \rightarrow \frac{-i}{\rho} e^{i(\rho - \frac{l\pi}{2})}$$

Expanding plane waves in sph. harmonics  
 The  $\Psi_{lm}$  are a complete set and sph. Bessel f'ns  
 $\therefore$  can expand  $e^{ik \cdot r}$  in terms of  $\Psi_{lm}$  ( $= R_L Y_{lm}$ ) with coeffs  $c_{lm}(k)$ :

$$e^{ik \cdot r} = \sum_{l=0}^{\infty} \sum_{m=-l}^l c_{lm}(k) j_L(kr) Y_{lm}(\theta, \phi)$$

Use azimuthal Symmetry:  $k \cdot r = krcos\theta$  in sph. polars  
 $\therefore c_{lm} \rightarrow A_l(m)$   $Y_{lm} \rightarrow P_l(\cos\theta)$  with suitable norm:  $\left(\frac{2L+1}{4\pi}\right)^{1/2}$

Use orthonormality of Legendre Pol. to get  $A_l$ :

$$A_l j_L(kr) = \frac{1}{2} \sqrt{4\pi(2L+1)} \int_{-1}^1 dz P_l(z) e^{ikrz}$$

Compare to realise that

$$A_l = i^l \sqrt{4\pi(2L+1)} \quad \text{So we have it!} \quad e^{ik \cdot r} = \sum_{l=0}^{\infty} i^l (2L+1) j_L(kr) P_l(\cos\theta)$$

Now, can rewrite so as to generalise for arbitrary  $k$  using:

$$P_l(\cos\theta) = \frac{4\pi}{2L+1} \sum_{m=-l}^l Y_{lm}^*(\Omega_k) Y_{lm}(\Omega_k) \quad \text{ADDITION THEOREM FOR SPHERICAL HARMONICS}$$

So:

$$e^{ik \cdot r} = 4\pi \sum_{l=0}^{\infty} \sum_{m=-l}^l i^l j_L(kr) Y_{lm}^*(\Omega_k) Y_{lm}(\Omega_k)$$

# Scattering Theory Cont'd...

Setting up the problem

Differential Cross Section:

$$\frac{d\sigma}{d\Omega} \cdot d\Omega = \text{no. of particles scattered into } d\Omega \text{ per sec.}$$

incident flux.

$$= r^2 d\Omega \frac{|j_{\text{scatt}}|^2}{|j_{\text{inc}}|^2}$$

total cross section:

$$\sigma_{\text{tot}} = \int_{\text{unit sphere}} \frac{d\sigma}{d\Omega} d\Omega$$

Schrodinger Eq'n can be written as:  $(\nabla^2 + k^2 - U(r))\Psi = 0$

$$\text{where } U = \frac{2mV}{\hbar^2} \quad k^2 = \frac{2mE}{\hbar^2}$$

$$\Psi(r) \rightarrow e^{ikr} + f(\theta) e^{-ikr}$$

where  $f(\theta) = \frac{1}{2k} \sum_{l=0}^{\infty} (2l+1) [S_l(k) - 1] P_l(\cos\theta)$

(SCATTERING AMPLITUDE)

NOW: let  $S_l(k) = e^{2i\delta_l(k)}$  ie write complex S in terms of real phase shift.

$$\text{then } f(\theta) = \frac{1}{k} \sum_{l=0}^{\infty} (2l+1) e^{i\delta_l(k)} \sin \delta_l(k) P_l(\cos\theta)$$

Resonance total x-section,  $\sigma_{\text{tot}} = \int \frac{d\sigma}{d\Omega} d\Omega$

$$= \frac{4\pi}{k^2} \sum_{l=0}^{\infty} (2l+1) \sin^2 \delta_l$$

$$= \sum_l \sigma_l \text{ where } \sigma_l = \frac{4\pi}{k^2} (2l+1) \sin^2 \delta_l$$

$$\text{So, } \sigma_l \leq \frac{4\pi}{k^2} (2l+1), \text{ equal when } \delta_l = (n+\frac{l}{2})\pi$$

elastic scattering  $\Rightarrow \delta_l$  real

UNITARY BOUND

CONDITION FOR RESONANCE

Integral Form of S.E. NB Q contains  $\Psi$ !

Can write S.E. as the Helmholtz Eq. if  $k = \sqrt{\frac{2mE}{\hbar^2}}$  and  $Q(r) = \frac{2mV(r)}{\hbar^2}\Psi(r)$

$$\text{Then : } (\nabla^2 + k^2)\Psi(r) = Q(r)$$

INHOMOGENEOUS SOURCE TERM

Now, find Green Function solution of H.E. ie if  $(\nabla^2 + k^2)G(r-r') = \delta(r-r')$

$$\text{then } \Psi(r) = \int G(r-r') Q(r') d^3 r' \quad \text{because } \int G(r-r') \delta(r-r') d^3 r' = 1$$

$$\text{bear in mind } \delta(r-r') = \frac{1}{(2\pi)^3} \int_{\text{all space}} e^{i\frac{r-r'}{\lambda}} d^3 \frac{r'}{\lambda}$$

If scattering problem has incoming p. wave + outgoing sph. wave

$$\Psi(r) \rightarrow e^{ikr} + f(\theta, \phi) e^{-ikr}$$

$$\text{Then } f = \text{scattering amplitude}$$

and  $\frac{d\sigma}{d\Omega} = |f|^2$

P.W.A. O.K. for when incident particle energy has low energy compared with scattering pot. in fact any energy will do - just need many partial waves

assume pot. is short ranged  $\int r^2 V(r) dr < \infty$

but NOT NECESSARILY WEAK!

where  $R_l$  satisfies radial eq'n:

$$\left( \frac{d^2}{dr^2} + \frac{2}{r} \frac{d}{dr} - \frac{(l(l+1)}{r^2} + k^2 - U \right) R_l(r) = 0$$

Partial Wave Analysis

let  $\Psi(r) = \sum_{l=0}^{\infty} i^l (2l+1) R_l(r) P_l(\cos\theta)$

but for small r, R must  $< \infty$

$$\therefore \text{take limit } r \rightarrow 0 \text{ get } R_l = A_l r^l$$

INGOING

Anyway, incoming beam is  $e^{ikr}$

spherical waves

$$= \sum_{l=0}^{\infty} i^l (2l+1) j_l(kr) P_l(\cos\theta)$$

OUTGOING

$$= \sum_{l=0}^{\infty} i^l (2l+1) \frac{1}{2} (h_l^{(1)}(kr) + h_l^{(2)}(kr)) P_l(\cos\theta)$$

replace with asymptotic form to get

$$\Psi(r) \rightarrow \sum_{l=0}^{\infty} i^l (2l+1) \frac{1}{2} (h_l^{(1)}(kr) + S_l(k) h_l^{(2)}(kr)) P_l(\cos\theta)$$

effect of potential.

Optical Theorem (NB  $S_l = e^{2i\delta_l}$ )

Consider Imaginary Part

if  $f(\theta)$ :

$$\text{Im}[f(\theta)] = \frac{1}{2k} \sum_{l=0}^{\infty} (2l+1) [2 \sin^2 \delta_l] P_l(\cos\theta)$$

$$\text{and } P_l(1) = 1 \Rightarrow \text{Im}[f(\theta)] = \frac{4\pi}{k} \text{ total x-section}$$

$$\text{ie } \sigma_{\text{tot}} = \frac{4\pi}{k} \text{Im}[f(\theta)]$$

OPTICAL THEOREM

but what is G? write as a Fourier Transform

$$\text{ie } G(r-r') = \frac{1}{(2\pi)^3} \int_{\text{all space}} e^{i\frac{r-r'}{\lambda}} g(s) d^3 s$$

and then subst into the Helmholtz Equation:

$$(\nabla^2 + k^2)G(r) = \frac{1}{(2\pi)^3} \int_{\text{all space}} (k^2 - s^2) e^{i\frac{r-s}{\lambda}} g(s) d^3 s$$

$$= \delta(r)$$

Now, integrands must be equal:  $g(s) = \frac{1}{(2\pi)^3} \frac{1}{(k^2 - s^2)}$

$$\text{So } G = -\frac{e}{4\pi r}$$

$$\Rightarrow \Psi(r) = \Psi_0(r) - \frac{m}{2\pi k^2} \int_{\text{all space}} \frac{e^{i\frac{r-r'}{\lambda}}}{|r-r'|} V(r') \Psi(r') d^3 r'$$

INTEGRAL FORM OF S.E.

where  $\Psi_0(r)$  satisfies homogenous eq'n if it is the complementary function.

# Scattering Theory Cont'd...

## Born Approximation

Scattering Potential must be:

WEAK

LOCALISED about  $r' = 0$

So that it can be treated as a perturbation

So certain integrals converge.

Now say: Potential Weak  $\Rightarrow e$  is not altered much

$$\Rightarrow \Psi(\vec{r}') \approx \Psi_0(\vec{r}') \quad (= e^{ik\vec{z}'} = e^{ik'\cdot\vec{r}'}) \text{ where } k' = k\hat{z}$$

BORN APPROX

$$f(\theta, \phi) = f(k, k') = \frac{-m}{2\pi\hbar^2} \int_{\text{all space}} e^{i(k'-k)\cdot\vec{r}'} V(\vec{r}') d^3 r'$$

NB  $k'$  points along incident beam,  $k$  points to detector.  
ie  $f(\theta, \phi)$  is just Fourier Transform of  $V$ !

(consider S.E. (Integral form) (in asymptotic limit))

$$\Psi(\vec{r}) = \Psi_0(\vec{r}) - \frac{m}{2\pi\hbar^2} \int_{\text{all space}} e^{ik\vec{r}\cdot\vec{r}'} V(\vec{r}') \Psi(\vec{r}') d^3 r'$$

$$e^{ik(r-\hat{z}\cdot\vec{r})} = e^{ikr} e^{-ik\hat{z}\cdot\vec{r}}$$

$$|r-r'| \approx r - \hat{z}\cdot\vec{r}$$

$$\approx r$$

$$\Psi(\vec{r}) \rightarrow e^{ikr} \left[ -\frac{m}{2\pi\hbar^2} \int_{\text{all space}} e^{-ik\cdot\vec{r}'} V(\vec{r}') \Psi(\vec{r}') d^3 r' \right]$$

Scattering amplitude  $\rightarrow f(\theta)$  (exact expression)

Simplifications: For spherically symmetric potential  $V(\vec{r}) \equiv V(r)$

$$f(\theta) \approx -\frac{2m}{q\hbar^2} \int_0^\infty r V(r) \sin(qr) dr$$

where  $q = k' - k = 2k \sin(\frac{\theta}{2})$  and  $q \cdot \vec{r}' = qr' \cos\theta'$

For low energy scattering  $i\epsilon' \ll k' \parallel k'$  (approx)

$$f(\theta, \phi) \approx -\frac{m}{2\pi\hbar^2} \int_{\text{all space}} V(\vec{r}') d^3 r'$$

Lippmann-Schwinger Eqn free particle state

Let Ham  $\hat{H} = \hat{H}_0 + \hat{V}$  where  $\hat{H}_0 |\phi\rangle = \frac{p^2}{2m} |\phi\rangle$

Scattering Potential For ELASTIC scattering,

eigenstate of  $\hat{H}$  has same energy as

that of  $\hat{H}_0$ . ie if  $\hat{H}_0 |\phi\rangle = E |\phi\rangle$

$$\text{then } [\hat{H} |\psi\rangle = E |\psi\rangle]$$

but what is  $|\psi\rangle$ ? We know  $|\psi\rangle \rightarrow |\phi\rangle$  as  $\hat{V} \rightarrow \hat{0}$

$$\text{Solution: } |\psi\rangle = |\phi\rangle + \frac{1}{(E - \hat{H}_0 + i\epsilon)} \hat{V} |\phi\rangle \quad \text{LIPPMANN-SCHWINGER EQUATION}$$

In the position representation:

$$\langle \vec{r} | \psi \rangle = \langle \vec{r} | \phi \rangle + \int d^3 r' \langle \vec{r} | \frac{1}{(E - \hat{H}_0 + i\epsilon)} \hat{V} | \vec{r}' \rangle \langle \vec{r}' | \psi \rangle$$

compare

$$\text{so } G(\vec{r}, \vec{r}') = \frac{\hbar^2}{2m} \langle \vec{r} | \frac{1}{(E - \hat{H}_0 + i\epsilon)} | \vec{r}' \rangle \quad \text{compare}$$

$$\text{and in assymp. limit, get } f(k, k') = -\frac{m}{2\pi\hbar^2} \langle \vec{k}' | \hat{V} | \vec{k} \rangle$$

## Born Series - Transition Operator

Define  $\hat{T}$  (transition op.) such that  $\hat{V} |\psi\rangle = \hat{T} |\phi\rangle$

can write  $f(k', k) = -\frac{m}{2\pi\hbar^2} \langle \vec{k}' | T | \vec{k} \rangle$

So L-S. eq'n gives:  $\hat{T} |\phi\rangle = \hat{V} |\phi\rangle + \hat{V} \frac{1}{(E - \hat{H}_0 + i\epsilon)} T |\phi\rangle$

So if subst for  $T$ , get:

$$f(k', k) = -\frac{m}{2\pi\hbar^2} \langle \vec{k}' | \hat{V} | \vec{k} \rangle - \frac{m}{2\pi\hbar^2} \langle \vec{k}' | \hat{V} \frac{1}{(E - \hat{H}_0 + i\epsilon)} \hat{V} | \vec{k} \rangle + \dots$$

1st Born approx      2nd Born approx

BORN SERIES ...

Can substitute  $\hat{T} \rightarrow \hat{T}^*$  to get iterative sol'n:

$$\text{ie } f = \sum_{n=1}^{\infty} f^{(n)} \quad (\text{n-th Born approximation})$$

$$\hat{T} = \hat{V} + \left[ \hat{V} \frac{1}{(E - \hat{H}_0 + i\epsilon)} \hat{V} \right] + \left[ \hat{V} \frac{1}{(E - \hat{H}_0 + i\epsilon)} \left[ \hat{V} \frac{1}{(E - \hat{H}_0 + i\epsilon)} \hat{V} \right] \hat{V} \right] + \dots$$

Interpretation:  $f^{(n)}$  is incident wave ( $\vec{k}$ )

It tells us how a disturbance propagates from one interaction to the next

Feynman Diagrams.

under going  $n$  sequential interactions before being scattered into direction  $\vec{k}'$ . Green  $f^{(n)}$  is called the Propagator

# Geometrical Methods I

## Def'n of MANIFOLD:

A set  $M$  is a manifold if each pt of  $M$  has an open neighbourhood which has a continuous 1-1 map onto an open set of  $\mathbb{R}^n$  for some  $n$ .

$$\rightarrow \text{distance function (not distance!)}$$

$$:= d(x, y) = [(x_1 - y_1)^2 + (x_2 - y_2)^2 + \dots + (x_n - y_n)^2]^{1/2}$$

$$x, y \in \mathbb{R}^n$$

A neighbourhood is the points inside  $d(x, y)$  of  $x$  for eg..

A set is OPEN if every point in it have a neighbourhood entirely in it!  
eg.  $x$  s.t.  $a < x < b$ :  
 $\begin{array}{c} a \quad t \quad b \\ \downarrow \quad \uparrow \\ x = a + tE \end{array}$

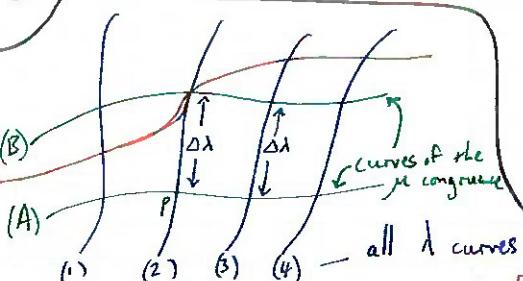
**MAPPINGS**  
A map  $f: M \rightarrow N$  associates a unique  $f(x) = y \in N$  with an  $x \in M$ . (or  $f: x \mapsto y$ )  
The set of  $f(x)$ 's is the IMAGE of the  $x$ 's. If a unique inverse image (it set exists) then the map is 1-1.  
If  $f: M \rightarrow N$  is from all of  $M$  then it is INTO  $N$ . If all of  $N$  has (an) image(s)  $\rightarrow$  ONTO  $N$

The set of all  $n$ -tuples:  $[x_1, x_2, \dots, x_n]$  where  $x_i \in \mathbb{R}$ ,  $i = 1, \dots, n$ .

## Lie Derivatives:

### Lie Dragging a vector field:

$A'$  has been dragged from  $A$   
ie if Lie drag curve  $A$ , get  $A'$   
Then if  $A' = B$  in all of manifold,  
we have Lie dragged the vector field  $\left(\frac{d}{dx}\right)$  using  $\left(\frac{d}{da}\right)$   
ie  $\left(\frac{d}{dx}\right)_\text{new} = \left(\frac{d}{dx}\right)_\text{old}$



A map  $f: M \rightarrow N$  if only one is continuous at  $x \in M$   $\rightarrow$  1-1  
if any open set of  $N$  containing  $f(x)$ , contains the image of an open set of  $M$  containing  $x$ .  
 $\begin{array}{c} M \\ \downarrow f \\ N \end{array}$

### Lie Derivative of a scalar function:

of a vector field  $\bar{U} = \frac{d}{dx}$ :

if take  $f(\lambda + \Delta\lambda)$ , drag back to  $\lambda_0$ , minus  $f(\lambda_0)$ :  
 $\mathcal{L}_{\bar{V}} f = \lim_{\Delta\lambda \rightarrow 0} \frac{f(\lambda_0 + \Delta\lambda) - f(\lambda_0)}{\Delta\lambda}$

Using arbitrary  $f'$ ,  $f$ : no

$$\text{Result is: } \mathcal{L}_{\bar{V}} \bar{U} = [\bar{V}, \bar{U}]$$

$$\left[ \frac{d}{dx}, \frac{d}{dx} \right] = 0$$

if put vector field  $\bar{W}$  get function!

$$\mathcal{L}_{\bar{V}} [\bar{w}(\bar{w})] = (\mathcal{L}_{\bar{V}} \bar{w}) \bar{w} + \bar{w} (\mathcal{L}_{\bar{V}} \bar{w})$$

(Liebniz Product Rule)

- coord. independent form of Partial derivative.  
ie if  $\bar{V} = \frac{\partial}{\partial x^i}$  (coord basis) for eg.

$$\text{then } (\mathcal{L}_{\bar{V}} \bar{w})^i = \frac{\partial w^i}{\partial x^i}$$

if Killing Vector is s.t.  $\mathcal{L}_{\bar{V}} g_{ij} = 0$   
If  $\bar{V} = \frac{\partial}{\partial x^i}$  is a coord basis vector,

then  $\frac{\partial}{\partial x^i} g_{ij} = 0$  - have got a Killing Vector!

Conversely, if  $g$  is indep of  $x^i$   
then  $\frac{\partial}{\partial x^i}$  is a K-V.

## Invariance

A Tensor field is invariant if  $\mathcal{L}_{\bar{V}} T = 0$

These vector fields if  $T$  is the metric are called:  
KILLING VECTORS

In particle dynamics - symm in pot  $\rightarrow$  invariance but not for all symmetries. Reason: motion (with respect to which pot. is symmetric) must be along Killing Vector Field: eq'n of motion  $m\ddot{x} =$  (vector gradient of  $\phi$ )  
 $= g^{ij} \frac{\partial}{\partial x^i} \phi$  METRIC IS INVOLVED.

When it operates on  $f(r, \theta) e^{im\theta}$  get  $-m^2$  instead of  $\frac{\partial^2}{\partial \theta^2}$

- This is  $L_m$  (not involving  $\frac{\partial}{\partial x^i}$ 's)

$\Psi$  has axial eigenvalue  $m$  if  $\mathcal{L}_{\bar{e}_\theta} \Psi = im\Psi$

This was OK. for scalar  $\Psi$ . But if dealing with eg. A (e/mag) then need vector axial harmonics ( $e^{im\theta}$ ). Form basis for all space by Lie dragging  $\bar{e}_\theta$  and  $\bar{e}_j$  around  $\bar{e}_\theta$ :  
 $\mathcal{L}_{\bar{e}_\theta} \bar{e}_j = 0$

Lie Drag. condition.

So a basis with axial e-val  $m$  is  $\{\bar{e}_{lm}; \bar{e}_j e^{im\theta}, \bar{e}_{lm\theta} = \bar{e}_\theta e^{im\theta}\}$

So now any vector that is an axial e-function of  $\mathcal{L}_{\bar{e}_\theta}$  with eigenvalue  $m$

can be written as a lin. combination:  
 $\sum_i V^i \bar{e}_j e^{im\theta} + V^\theta \bar{e}_\theta e^{im\theta}$

$L_m(\Psi_m) = 0$  where  $L_m$  is given by:

$$\text{For eg: } e^{-im\theta} L_m(L_m e^{im\theta}) = 0$$

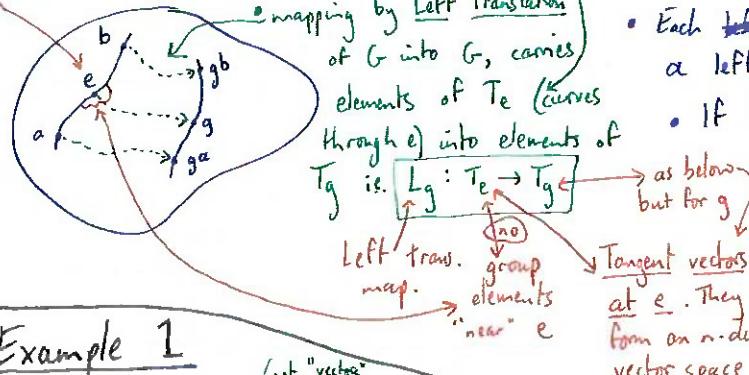
$$\nabla^2 = \frac{1}{r^2} \frac{\partial}{\partial r} r^2 \frac{\partial}{\partial r} + \frac{1}{r^2 \sin \theta} \frac{\partial}{\partial \theta} \sin \theta \frac{\partial}{\partial \theta} + \frac{1}{r^2 \sin^2 \theta} \frac{\partial^2}{\partial \phi^2}$$

This is const when  $\phi \rightarrow \phi + \text{const.}$

## Lie Groups:

### Abstract Lie Groups

A Lie Group is a  $C^\infty$  manifold,  $G$ .



$$L_g: G \rightarrow G$$

- Left Invariant Vector Field on  $G$  is one such that  $L_g: \bar{V}(e) \rightarrow \bar{V}(g)(\bar{V}g)$

- can express Lie Algebra using the structure constants (components of the structure tensor):

$$[\bar{V}_{(i)}, \bar{V}_{(j)}] = c_{ijk} V_{(k)}$$

- Each  $\bar{V}$  - vector in  $T_e$  defines a left invariant vector field.

- If  $\bar{V}$  and  $\bar{W}$  are l.i.v.f's then so is  $[\bar{V}, \bar{W}]$

- Consider integral curve (whose tangent vector (left inv.) is  $\bar{V}$ ) at  $e$ :

$$e \rightarrow \bar{V}(e)$$

- Can parametrise so that  $t=0$  corresponds to  $e$ :  $\bar{V}(t=0) = \bar{V}(e)$

- Then - any point on curve (elements of  $G$ ) can be generated by exponentiation of  $\bar{V}$ :

$$g\bar{V}_e(t) = e^{t\bar{V}}|_e \text{ ie } g\bar{v}(at) \dots \text{ no.}$$

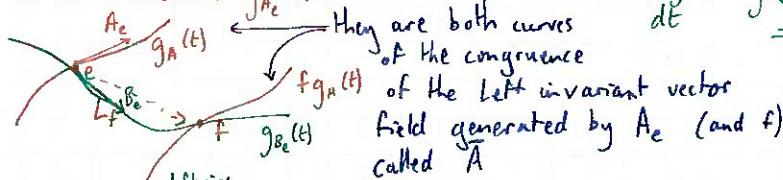
### Example 1

$$GL(n, \mathbb{R})$$

(not "vector" actually matrices...)

General linear group in  $n$  real dimensions. remember: one param. subgroup is the curve through  $e$  with tangent vector  $A_e$  (ie. Any matrix  $A_e$  is a member of  $T_e$  and can therefore generate a one parameter subgroup) the element of a left invariant field and a left invariant vector field at  $e$ ). So exponentiation of  $A_e$  generates the curve  $g_{A_e}(t_0 + t)$ :  $(t_0, \text{at } e)$

Given:  $\bar{A}_e, g_{A_e}(t), f$  (in  $G$ ). Can use  $f$  to left-translate  $g_{A_e}(t)$ :



$$g_{A_e}(t_0 + t) = g_{A_e}(t_0) g_{A_e}(t)$$

$$\Rightarrow \frac{d}{dt} g_{A_e} = g_{A_e}(t_0) A_e \Rightarrow g_{A_e} = e^{tA_e}$$

- If have same path but diff. parameters,  $g_{A_e}(t_1) g_{A_e}(t_2) = e^{(t_1 + t_2)\bar{V}}|_e$

$$= g_{A_e}(t_1 + t_2)$$

- So have a one parameter subgroup iff - it is abelian always (think)

- The "one param. subgroups of  $G$ " and the "Lie Algebra Elements" are in 1-1 correspondence. (isomorphic)

- Can put  $A$  into BLOCK DIAGONAL or CANONICAL form (like diagonalisation) by  $A \rightarrow B^{-1}AB$ . This also puts  $e^{tB^{-1}AB}$  into canonical form.
- Not every element of  $GL(n, \mathbb{R})$  is in a one-parameter subgroup - it is a disconnected grp.

Now - have two vector fields  $\bar{A}$  and  $\bar{B}$  generated by  $A_e$  and  $B_e$ . They are tangents to the integral curves  $g_A$  and  $g_B$ . The Lie bracket of  $\bar{A}$  and  $\bar{B}$  is [by using  $g_A = \exp(tA_e)$ ] equal to the commutator of the generators  $A_e$  and  $B_e$ .

$\bar{A}$  and  $\bar{B}$ , and thus  $[\bar{A}_e, \bar{B}_e]t = g_{[A_e, B_e]}(t)$  are all elements of the Lie Algebra of  $G$ .

left invariant vector fields:

$\Rightarrow O(n)$  is a subgroup of  $GL(n, \mathbb{R})$

$$\text{a basis is: } L_1 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & 0 & 0 \end{pmatrix} \quad L_2 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$$

$$\text{They are! } [L_i, L_j] = \epsilon_{ijk} L_k$$

$$L_3 = \begin{pmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

structure constants.

### Lie Algebras

Formal Definition: A Lie Algebra is a real vector space on which  $\exists$  a bilinear rule of combination  $[ , ]$  which obeys:

- ①  $[\bar{A}, \bar{B}] = -[\bar{B}, \bar{A}]$
- ② Jacobi Identity.

Ordinary Euclidean vector product is such a rule and  $\bar{a}_i \times \bar{a}_j = \epsilon_{ijk} \bar{a}_k$   
Very Important Theorem:

- Every Lie Alg. is the Lie Algebra of one and only one simply-connected Lie Group.

And • any other Lie Group with the same Lie Algebra is covered by the simply connected one.

To see if a group is simply connected need to find a diffeomorphism (or not, map) onto a simply connected manifold. eg  $SU(2)$  is diffeomorphic onto the 3-sphere. NOT our normal sphere (2-sphere).

### Example 3 $SU(n)$ - A subgroup of $GL(n, \mathbb{C})$

Analogous to  $SO(n)$  from above:

replace Orthogonal with Unitary

Antisymmetric with Antihermitian

- its Lie Algebra is with zero trace.

A basis for  $T_e$  is:  $J_i = \frac{1}{2} \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix} \quad J_2 = \frac{1}{2} \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \quad J_3 = \frac{1}{2} \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix}$

$$\text{and } [J_i, J_j] = \epsilon_{ijk} J_k$$

Same structure tensor = same Lie Algebra

⇒ connection between  $SO(3)$ ,  $SU(2)$ .

Actually it is a Homomorphism....

## Lie Groups (cont'd)

### Lie Algebras (cont'd)

**Covering:** A manifold  $M$  covers another  $N$  if there is a map  $\pi$  of  $M$  onto  $N$  such that the inverse image of  $P$  (in  $N$ )'s neighbourhood  $V$  is a disjoint union of open neighbourhoods of the points  $\pi^{-1}(P)$  in  $M$ . In pictures:

Example:  $SU(2)$  covers  $SO(3)$  multiply.

To find  $\pi$ , construct the one-parameter subgroups of  $J_i$  (in  $SU(2)$ ) and  $L_i$  (in  $SO(3)$ ) through exponentiation of  $J_1, L_1$  (generators)

$$e^{tJ_1} = \begin{pmatrix} \cos(t) & i\sin(t) \\ i\sin(t) & \cos(t) \end{pmatrix} \quad e^{tL_1} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos t & -\sin t \\ 0 & \sin t & \cos t \end{pmatrix}$$

A map could be:  $\pi: e^{tJ_1} \mapsto e^{tL_1}$  A homomorphism of the two one-parameter subgroups.  
Now:  $(t+4n\pi)$  for  $n \in \mathbb{Z}$  in  $SU(2)$  maps to the same element of  $SO(3)$

and  $t+4n\pi$  is two points only of  $SU(2)$

If generalise to whole basis then:

$$\pi: e^{(t_1 J_1 + t_2 J_2 + t_3 J_3)} \mapsto e^{(t_1 L_1 + t_2 L_2 + t_3 L_3)}$$

is a double covering of  $SO(3)$  by  $SU(2)$

- A HOMOMORPHISM.

So a single covering is an isomorphism...

## Realizations and Representations

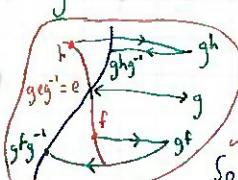
If we associate with each element  $g \in G$  a transformation  $T(g)$  of some space  $M$  that preserves the group properties, then we have made a realization of the group.

If  $M$  is a VECTOR space and every  $T(g)$  is a linear trans. (i.e. a (1-) tensor) then the realization is called a representation. If 1-1, faithful.

Have actually introduced  $SO(3)$  as matrices but having done so, should back up and regard as abstract elements ( obeying axioms...). Then may find other useful representations of the same group.

The adjoint representation of any group is as linear transformations of the vector space of its own Lie Algebra! Consider  $I_g: h \mapsto ghg^{-1}$ .

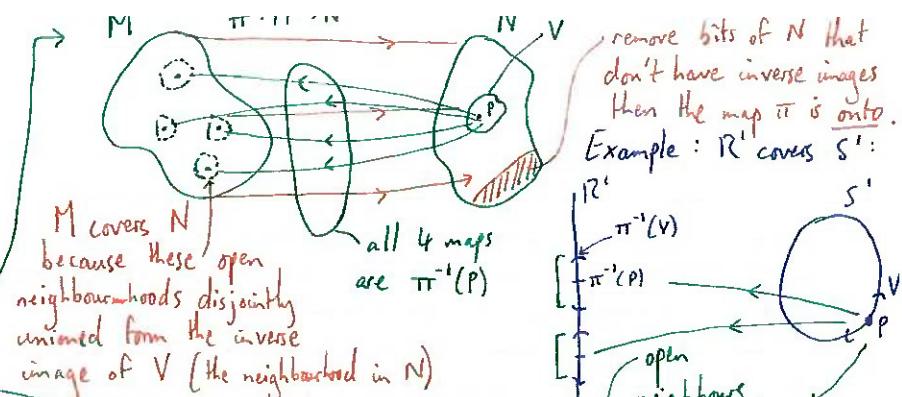
This maps one-param subgroups into other one-param. subgroups and tangent vectors in  $T_e$  into other tangent vectors in  $T_{gh}$ :



Let red one-param. subgroup be  $e^{tX}$ . The adjoint-transformation of  $X$  into the blue curve's tangent at  $g$  is written as  $\text{Ad}_g: \bar{X} \mapsto (\text{blue } X)$ . So:  $I_g[e^{tX}] = e^{t\text{Ad}_g(\bar{X})}$

If  $g$  itself is a one-parameter subgroup say  $e^{tS}$  then  $\text{Ad}_g(X) = e^{(tS)^{-1}} \bar{X}$

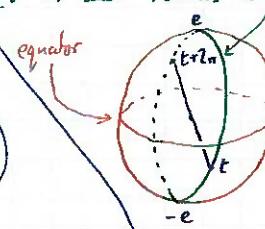
Study very carefully



## GLOBAL TOPOLOGY:

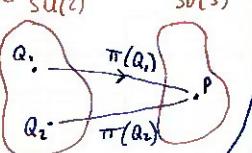
Know that  $SU(2)$  has global topology of the 3-sphere - can deduce that of  $SO(3)$ .

A 2-sphere is a 2 slice of  $S^3$ . It can contain the one-parameter subgroup  $e^{tJ_1}$  as a great circle - begins at  $e^{t=0}$  ( $t=0$ ) and returns to  $e^{t=4\pi}$  ( $t=4\pi$ ):



To make both pts shown ( $t$  and  $t+2\pi$ ) into the same pt. in  $SO(3)$ , we identify the top half of the sphere with the parameter space of  $SO(3)$ . Then identify equatorial pts sep. by a diameter with each other.

So a curve involving any point on the equator cannot be shrunk to a point as the diameter is fixed!  $\Rightarrow$  not simply connected!



Also:  $R^3$  is covered by  $SU(2)$  [same Lie Algebra] but is more common to associate vectors in  $R^3$  to rotations (elements of  $SO(3)$ ) ie  $\theta L_i$  assoc. with  $e^\theta$ .

However,  $R^3$  and  $SO(3)$  having an equal number of dimensions is purely coincidental. Eg  $SO(4)$  is 6-d but it acts in  $R^4$  which is 4-d. So:

- can associate vector in  $R^3$  with element of  $SU(2)$  equally well!  $\therefore$  can associate spin of  $e^\theta$  with vector even though "spin" does not exist in the tangent space of  $R^3$

csp:  $T(g_2) \circ T(g_1) = T(g_2 g_1)$  Group Closure Property.

## Representations of $SO(3)$

an irrep

When elements of  $SO(3)$  act on  $E^3$ , can write them as the rotation matrices. But  $L^2(S^2)$  is another vector space and  $SO(3)$  has another representation in it - as differential operators.  $L^2(S^2)$  is the space of all complex square-integrable functions on the 2-sphere  $\mathbb{C}$  with coords  $(\theta, \phi)$ .  $L^2(S^2)$  has no dimension. So given any old basis,  $R(g)[a^i f_i] = b^j f_j$ ,

$$\{f_i\}_{i=1}^{\infty} \text{ rep of rot but } \int f_i R(g) f_j d\sigma_{S^2} a^i = b^j$$

$$\text{So: } \begin{pmatrix} R_{11} & R_{12} & \dots \\ R_{21} & R_{22} & \dots \\ \vdots & \vdots & \ddots \\ R_{n1} & R_{n2} & \dots \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \\ \vdots \\ a_n \end{pmatrix} = \begin{pmatrix} b_1 \\ b_2 \\ \vdots \\ b_n \end{pmatrix}$$

matrix element

P.T.O. very quickly.

## Lie groups (cont'd)

### Representations of $SO(3)$ (cont'd)

If choose a cunning basis,  $Y_{lm}$   
then matrix equation (and the  
vector space  $L^2(S^2)$ ) splits  
into invariant vector subspaces:

Each  $V^l$  is associated with  
an irreducible representation of  
 $SO(3)$ , with matrix elements  $m_l$ .  
For  $l=1$  (ie  $V^1$ ), the  
 $- \infty < l \leq \infty$  invariant vector subspace  
 $-l \leq m \leq l$  has the same dimension as  
so together,  
 $g_o - \infty \rightarrow \infty$   
but the  $z$ -axis rotation  
matrix  $\begin{pmatrix} \cos\phi & -\sin\phi & 0 \\ \sin\phi & \cos\phi & 0 \\ 0 & 0 & 1 \end{pmatrix}$  except

$\therefore$  spans all of  $L^2(S^2)$   
 $\therefore$  refers to the spherical harmonics set  $Y_{l-1}, Y_{l0}, Y_{l1}, \dots$   
instead of  $x, y$  and  $z$  !!

$$\boxed{Y_{l-1} \propto x + iy} \quad \boxed{Y_{l0} \propto z} \quad \boxed{Y_{l1} \propto x - iy}$$

and

$$\begin{aligned} R_{\theta,0} &= 0 & (V^1) \\ 0 & \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix} & l=1 \\ 0 & \begin{pmatrix} 2 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1/2 \end{pmatrix} & l=2 \end{aligned}$$

$$\begin{aligned} & \begin{pmatrix} (Y_{l0}) \\ (Y_{l-1}) \\ (Y_{l+1}) \\ \vdots \end{pmatrix} = \\ & \begin{pmatrix} (Y_{l0}) \\ (Y_{l-1}) \\ (Y_{l+1}) \\ \vdots \end{pmatrix} \end{aligned}$$