### M4GB: An Efficient Gröbner Basis Algorithm

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ALGANT-DOC Meeting, 15th May 2017

Introduction

- M4GB Algorithm
- Performance Comparison
- Solving MQ Challenges

#### Table of Contents

- Introduction
- M4GB Algorithm
- Performance Comparisor
- 4 Solving MQ Challenges

- F A Field
- n number of variables
- *m* number of equations

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Problem (Multivariate Quadratic(MQ) problem)

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- n number of variables
- m number of equations

#### Problem (Multivariate Quadratic(MQ) problem)

- Given:  $f_1, \ldots, f_m \in \mathbb{F}[x_1, \ldots, x_n], \deg(f_i) = 2$
- Problem : Find a  $(v_1, \ldots, v_n) \in \mathbb{F}^n$  such that

$$f_1(v_1,\ldots,v_n)=0$$
:

$$f_m(v_1,\ldots,v_n)=0$$

• MQ-problem is NP-complete

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- Candidate for post-quantum public-key and digital-signature scheme

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### Open Public Challenge - MQChallenge

- Initiated at 2015
- Random and dense system
- Various parameters

	$\mathbb{F}_2$	$\mathbb{F}_{2^8}$	$\mathbb{F}_{31}$
m=2n			
111 — 211			
$m \approx 2/3n$			
$  m \approx 2/3n$			

	$\mathbb{F}_2$	$\mathbb{F}_{2^8}$	$\mathbb{F}_{31}$
m=2n		II	III
111 — 211			
$m \approx 2/3n$			
$  m \sim 2/3n$			

	$\mathbb{F}_2$	$\mathbb{F}_{2^8}$	$\mathbb{F}_{31}$
m=2n		l II	
	<i>n</i> ≥ 55	<i>n</i> ≥ 35	<i>n</i> ≥ 34
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#### Parameter Choice

Require at least one month for Magma 2.19-9 to solve using Four 6-cores Intel(R) Xeon(R) CPU E5-4617 @ 2.9GHz and 1TB of RAM.

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https://www.mqchallenge.org

$$f = -15x^2 + 8xy - 13z^2 - 4x + 11z \in \mathbb{F}_{31}[x, y, z]$$

#### Example

$$f = -15x^{2} + 8xy - 13z^{2} - 4x + 11z \in \mathbb{F}_{31}[x, y, z]$$

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- LT(f) =  $-15x^2$  (the leading term of f)

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- $LM(f) = x^2$  (the leading monomial of f)
- LC(f) = -15 (the leading coefficient of f)
- LT(f) =  $-15x^2$  (the leading term of f)
- Tail $(f) = 8xy 13z^2 4x + 11z$  (the tail of f)

## Polynomial Reduction

#### **Theorem**

Let  $G = (g_1, \ldots, g_t)$  be a nonempty ordered finite subset of  $\mathbb{F}[x_1, \ldots, x_n]$ . Then every polynomial  $f \in \mathbb{F}[x_1, \ldots, x_n]$  can be written as

$$f=q_1g_1+\ldots+q_tg_t+r,$$

where  $q_1, \ldots, q_t, r \in \mathbb{F}[x_1, \ldots, x_n]$  and either r = 0 or none of terms of r is divisible by any of  $LT(g_1), \ldots, LT(g_t)$ .

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$$r \leftarrow \text{FULLReduce}(f, G)$$

### Gröbner basis

#### Definition

Let  $I \neq \{0\}$  be an ideal of  $\mathbb{F}[x_1, \dots, x_n]$ . A finite subset  $G \subseteq I$  that generates I is a Gröbner basis of I if for all  $f \in I$ , there exists  $g \in G$  such that  $LT(g) \mid LT(f)$ .

## S-polynomial

#### Definition

Let  $f, g \in \mathbb{F}[x_1, \dots, x_n]$  be nonzero polynomials and let  $x^{\gamma} = LCM(LM(f), LM(g))$ . The S-polynomial of f and g is defined as

$$\mathsf{Spoly}(f,g) = \frac{x^{\gamma}}{\mathsf{LT}(f)} \cdot f - \frac{x^{\gamma}}{\mathsf{LT}(g)} \cdot g.$$

## Buchberger's Algorithm

```
Input: A finite ordered subset F \subseteq \mathbb{F}[x_1, \dots, x_n]
   Result: A Gröbner basis G such that \langle G \rangle = \langle F \rangle
1 P \leftarrow \{\{p, q\} : \forall p, q \in F \text{ and } p \neq q\}
2 G \leftarrow F
3 while P \neq \{\} do
4 \{p,q\} \leftarrow \text{SELECT}(P)
5 \mid P \leftarrow P \setminus \{\{p,q\}\}\}
6 r \leftarrow \text{FullReduce}(\text{Spoly}(p, q), G)
7 if r \neq 0 then
8 | P \leftarrow P \cup \{\{r,g\} : \forall g \in G\}
9 | G \leftarrow G \cup \{r\}
```

o return G

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$$f = x_1^2 x_2^3 + x_1 x_2^3 x_4 + x_1 x_3^3 + x_1^3 x_4 + x_2 x_3^2 + x_4^2$$

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$$g_1 = x_1^2 x_2^3 + x_1 x_3^3 + x_4^2$$

$$g_2 = x_2^3 x_4 + x_2 x_3 + x_3 + 1$$

$$g_3 = x_1 x_2 x_3 + x_1 x_3$$

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$$f = f - g_1 = x_1 x_2^3 x_4 + x_1^3 x_4 + x_2 x_3^2 \qquad g_1 = x_1^2 x_2^3 + x_1 x_3^3 + x_4^2$$

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 $\mathbb{F}_2[x_1, x_2, x_3, x_4]$  with degrevlex monomial ordering

$$f = x_1^2 x_2^3 + x_1 x_2^3 x_4 + x_1 x_3^3 + x_1^3 x_4 + x_2 x_3^2 + x_4^2 \qquad G = \{g_1, g_2, g_3 \}$$

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$$r = x_1^3 x_4 + x_2 x_2^2 + 1$$

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- Maintain tail-reduced polynomials (during reduction and when a new element for the basis is found)
- ② Identify polynomial with their leading monomial (i.e. no two polynomials in *G* that have equal leading monomial)

#### M4GB Reduction

```
for t \leftarrow 0
for all t \in Term(f) do
t' \leftarrow u \cdot t
f = \exists g \in G : LT(g) \mid t' \text{ then}
f = (G, g) \leftarrow GetReductor(G, t')
f \leftarrow r - (t'/LT(g)) \cdot Tail(g)
for else
f = f \leftarrow r + t'
for return (G, r)
```

MulFullReduce(G, u, f)

#### M4GB Reduction

```
for t \leftarrow 0
for all t \in \text{Term}(f) do
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f = \exists g \in G : \text{LT}(g) \mid t' \text{ then}
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r \leftarrow r - (t'/\text{LT}(g)) \cdot \text{Tail}(g)
else
r \leftarrow r + t'
```

return (G, r)

MULFULLREDUCE(G, u, f)

```
GetReductor(G, t)
```

```
1 if \exists g \in G : \mathsf{LM}(g) = \mathsf{LM}(t) then

2 \[ \text{return } (G,g) \]
3 h \leftarrow \mathsf{SELECTREDUCTOR}(G,t)
4 (G,h) \leftarrow \mathsf{MULFULLREDUCE}(G,t/\mathsf{LT}(h),\mathsf{Tail}(h))
5 g \leftarrow t + h
6 \mathsf{return} \ (G \cup \{g\},g)
```

#### UPDATEREDUCE (G, f)

```
1 H \leftarrow \{\mathsf{LC}(f)^{-1} \cdot f\}
Q \leftarrow \mathsf{Mono}(\mathsf{Tail}(G \cup H)) \setminus \mathsf{LM}(H)
3 while \exists u \in Q : LM(f) | u do
       u \leftarrow \max\{\mathfrak{m} \in Q : \mathsf{LM}(f) \mid \mathfrak{m}\}\
5 (G, h) \leftarrow \text{MULFULLREDUCE}(G, u/\text{LT}(f), \text{Tail}(f))
6 H \leftarrow H \cup \{u+h\}
7 Q \leftarrow \mathsf{Mono}(\mathsf{Tail}(G \cup H)) \setminus \mathsf{LM}(H)
8 while H \neq \{\} do
          Select h \in H such that LM(h) = min LM(H)
          H \leftarrow H \setminus \{h\}
          H \leftarrow \{g - ch : g \in H, c \text{ is a coefficient of } LM(h) \text{ in } Tail(g)\}
G \leftarrow \{g - ch : g \in G, c \text{ is a coefficient of } \mathsf{LM}(h) \text{ in } \mathsf{Tail}(g)\}
13 G \leftarrow G \cup \{h\}
```

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  - FGb C Interface Implementation by Jean Charles Faugere<sup>1</sup>
  - Magma v2.20-6
  - OpenF4 v1.0.1 Open source implementation by Coladon, Vitse and Joux<sup>2</sup>.

<sup>&</sup>lt;sup>1</sup>Available at http://www-polsys.lip6.fr/~jcf/FGb/C/index.html

<sup>&</sup>lt;sup>2</sup>Available at https://github.com/nauotit/openf4

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- Test cases
  - **1** Dense polynomials with coefficients in  $\mathbb{F}_{31}$
  - ② m = 2n and m = n + 1.

<sup>&</sup>lt;sup>1</sup>Available at http://www-polsys.lip6.fr/~jcf/FGb/C/index.html

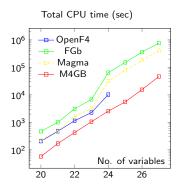
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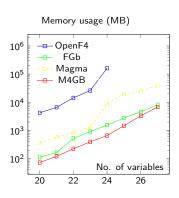
### Benchmark for m = 2n

Total CPU time (sec)					)
n	m	M4GB	OpenF4	Magma	FGb
20	40	57	206	232	470
21	42	170	472	500	1002
22	44	424	1145	1617	3118
23	46	1060	2274	3185	6849
24	48	2556	10293	31168	64700
25	50	5575	-	77679	151653
26	52	15517	-	183629	360055
27	54	46548	-	409452	767543

Memory (MB)					
n	m	M4GB	FGb	Magma	OpenF4
20	40	73	112	362	4240
21	42	121	165	577	6640
22	44	226	525	859	14368
23	46	395	918	1324	26135
24	48	663	1561	8873	161945
25	50	1471	2765	19719	-
26	52	3328	4607	25197	-
27	54	6799	8180	39845	_

### Graph for m = 2n



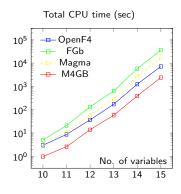


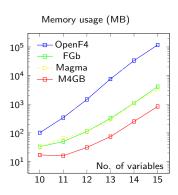
### Benchmark for m = n + 1

	Total CPU time (sec)				
n	m	M4GB	OpenF4	Magma	FGb
10	11	0.98	2.99	3.29	5
11	12	2.6	8.73	11.172	21
12	13	13.92	36.76	59.08	134
13	14	58.18	172.49	286.4	642
14	15	393.19	1258	2810.75	5850
15	16	2424	7225	17265.5	36361

		Memory (MB)				
n	m	M4GB	FGb	Magma	OpenF4	
10	11	17	33	32	101	
11	12	16	50	64	341	
12	13	31	112	114	1463	
13	14	74	323	281	7622	
14	15	250	1098	1104	33460	
15	16	837	4118	3320	117396	

### Graph for m = n + 1





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# Solving Type V and VI of MQ Challenge

- Hybrid approach: trade-off between exhaustive search and computing Gröbner bases
- Idea :
  - **1** Select a random vector  $(a_1, \ldots, a_{n-m}) \in \mathbb{F}_q^{n-m}$
  - 2 Construct a new system with n = m

$$\tilde{F} = \{f(x_1,\ldots,x_m,a_1,\ldots,a_{n-m}) : \forall f \in F\}$$

- **3** Select  $k \in \{1, ..., m\}$  and construct  $q^k$  subsystems from  $\tilde{F}$  by substituting k variables with all elements of  $\mathbb{F}_q^k$ .
- Each subsystem generated can be solved in parallel.

### Computational Resources

 A) Desktop machine with Intel(R) Core(TM) i7-2600K CPU @ 3.40GHz and 16GB RAM

## Computational Resources

- A) Desktop machine with Intel(R) Core(TM) i7-2600K CPU @ 3.40GHz and 16GB RAM
- B) NUMA machine with two nodes of Intel(R) Xeon(R) CPU E5-2650 v3 @ 2.30GHz and 128GB RAM each.

Туре	n/m	Machine Used	# Node	Duration

Type	n/m	Machine Used	# Node	Duration
V	24/16			
V	25/17			
V	27/18			

Type	n/m	Machine Used	# Node	Duration
V	24/16	A	1	pprox 9.3 hours
V	25/17			
V	27/18			

Туре	n/m	Machine Used	# Node	Duration
V	24/16	А	1	pprox 9.3 hours
V	25/17	В	1	pprox 46.33 hours
V	27/18	В	2	pprox 10.9 days

Type	n/m	Machine Used	# Node	Duration
V	24/16	А	1	pprox 9.3 hours
V	25/17	В	1	pprox 46.33 hours
V	27/18	В	2	pprox 10.9 days
VI	24/16			
VI	25/17			
VI	27/18			
VI	28/19			

Type	n/m	Machine Used	# Node	Duration
V	24/16	А	1	pprox 9.3 hours
V	25/17	В	1	pprox 46.33 hours
V	27/18	В	2	pprox 10.9 days
VI	24/16	А	1	pprox 1.2 hours
VI	25/17			
VI	27/18			
VI	28/19			

Туре	n/m	Machine Used	# Node	Duration
V	24/16	Α	1	pprox 9.3 hours
V	25/17	В	1	pprox 46.33 hours
V	27/18	В	2	pprox 10.9 days
VI	24/16	А	1	pprox 1.2 hours
VI	25/17	В	1	pprox 9.87 hours
VI	27/18	В	1	pprox 31.48 hours
VI	28/19	В	2	pprox 7.61 days

https://github.com/cr-marcstevens/m4gb

Question?