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M4GB Algorithm

Performance Comparison

Solving MG Challenges

M4GB: An Efficient Gröbner Basis Algorithm

Rusydi H. Makarim^{1,2} Marc Stevens²

¹Mathematics Institute, University Leiden

²Cryptology Group, Centrum Wiskunde en Informatica (CWI)

ALGANT-DOC Meeting, 15th May 2017

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Problem

 $\mathbb{F}[x_1,\ldots,x_n]$ - a polynomial ring over a field \mathbb{F} together with an admissible monomial ordering <.

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 $\mathbb{F}[x_1,\ldots,x_n]$ - a polynomial ring over a field \mathbb{F} together with an admissible monomial ordering <.

Problem (MQ-problem)

Let $n, m \in \mathbb{Z}_{>0}$. Given $f_1, \ldots, f_m \in \mathbb{F}[x_1, \ldots, x_n]$ with f_i be quadratic polynomials, find a $(a_1, \ldots, a_n) \in \mathbb{F}^n$ such that $f_i(a_1, \ldots, a_n) = 0$ for all $i = 1, \ldots, m$.

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Notations

$$f = -15x^2 + 8xy - 13z^2 - 4x + 11z \in \mathbb{F}_{31}[x, y, z]$$

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$$f = -15x^{2} + 8xy - 13z^{2} - 4x + 11z \in \mathbb{F}_{31}[x, y, z]$$

• $LM(f) = x^2$ (the leading monomial of f)

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Notations

$$f = -15x^2 + 8xy - 13z^2 - 4x + 11z \in \mathbb{F}_{31}[x, y, z]$$

- LM(f) = x^2 (the leading monomial of f)
- LC(f) = -15 (the leading coefficient of f)

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- LT(f) = $-15x^2$ (the leading term of f)

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$$f = -15x^2 + 8xy - 13z^2 - 4x + 11z \in \mathbb{F}_{31}[x, y, z]$$

- $LM(f) = x^2$ (the leading monomial of f)
- LC(f) = -15 (the leading coefficient of f)
- LT(f) = $-15x^2$ (the leading term of f)
- Tail $(f) = 8xy 13z^2 4x + 11z$ (the tail of f)

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Polynomial Reduction

Theorem

Let $G = (g_1, \ldots, g_t)$ be a nonempty ordered finite subset of $\mathbb{F}[x_1, \ldots, x_n]$. Then every polynomial $f \in \mathbb{F}[x_1, \ldots, x_n]$ can be written as

$$f = q_1g_1 + \ldots + q_tg_t + r,$$

where $q_1, \ldots, q_t, r \in \mathbb{F}[x_1, \ldots, x_n]$ and either r = 0 or none of terms of r is divisible by any of $\mathsf{LT}(g_1), \ldots, \mathsf{LT}(g_t)$.

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$$r \leftarrow \text{FullReduce}(f, G)$$

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Gröbner basis

Definition

Let $I \neq \{0\}$ be an ideal of $\mathbb{F}[x_1, \dots, x_n]$. A finite subset $G \subseteq I$ that generates I is a Gröbner basis of I if for all $f \in I$, there exists $g \in G$ such that $\mathsf{LT}(g) \mid \mathsf{LT}(f)$.

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S-polynomial

Definition

Let $f, g \in \mathbb{F}[x_1, \dots, x_n]$ be nonzero polynomials and let $x^{\gamma} = \mathsf{LCM}(\mathsf{LM}(f), \mathsf{LM}(g))$. The S-polynomial of f and g is defined as

$$\mathsf{Spoly}(f,g) = \frac{x^{\gamma}}{\mathsf{LT}(f)} \cdot f - \frac{x^{\gamma}}{\mathsf{LT}(g)} \cdot g.$$

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Buchberger's Algorithm

Input: A finite ordered subset $F \subseteq \mathbb{F}[x_1, \dots, x_n]$ **Result:** A Gröbner basis G such that $\langle G \rangle = \langle F \rangle$

- 1 $P \leftarrow \{\{p,q\} : \forall p,q \in F \text{ and } p \neq q\}$
- 2 $G \leftarrow F$
- 3 while $P \neq \{\}$ do

4
$$\{p,q\} \leftarrow \text{SELECT}(P)$$

- $P \leftarrow P \setminus \{\{p,q\}\}$
- 6 $r \leftarrow \text{FullReduce}(\mathsf{Spoly}(p,q),G)$
 - if $r \neq 0$ then

10 return G

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$$f = x_1^2 x_2^3 + x_1 x_2^3 x_4 + x_1 x_3^3 + x_1^3 x_4 + x_2 x_3^2 + x_4^2$$

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Example

$$f = x_1^2 x_2^3 + x_1 x_2^3 x_4 + x_1 x_3^3 + x_1^3 x_4 + x_2 x_3^2 + x_4^2 \qquad G = \{g_1, g_2, g_3 \}$$

$$g_1 = x_1^2 x_2^3 + x_1 x_3^3 + x_4^2$$

$$g_2 = x_2^3 x_4 + x_2 x_3 + x_3 + 1$$

$$g_3 = x_1 x_2 x_3 + x_1 x_3$$

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Example

$$f = x_1^2 x_2^3 + x_1 x_2^3 x_4 + x_1 x_3^3 + x_1^3 x_4 + x_2 x_3^2 + x_4^2 \qquad G = \{g_1, g_2, g_3 \}$$

$$g_1 = x_1^2 x_2^3 + x_1 x_3^3 + x_4^2$$

$$g_2 = x_2^3 x_4 + x_2 x_3 + x_3 + 1$$

$$g_3 = x_1 x_2 x_3 + x_1 x_3$$

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Example

$$f = x_1^2 x_2^3 + x_1 x_2^3 x_4 + x_1 x_3^3 + x_1^3 x_4 + x_2 x_3^2 + x_4^2 \qquad G = \{g_1, g_2, g_3 \}$$

$$f = f - g_1 = x_1 x_2^3 x_4 + x_1^3 x_4 + x_2 x_3^2 \qquad g_1 = x_1^2 x_2^3 + x_1 x_3^3 + x_4^2$$

$$g_2 = x_2^3 x_4 + x_2 x_3 + x_3 + 1$$

$$g_3 = x_1 x_2 x_3 + x_1 x_3$$

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Example

$$f = x_1^2 x_2^3 + x_1 x_2^3 x_4 + x_1 x_3^3 + x_1^3 x_4 + x_2 x_3^2 + x_4^2 \qquad G = \{g_1, g_2, g_3 \}$$

$$f = f - g_1 = x_1 x_2^3 x_4 + x_1^3 x_4 + x_2 x_3^2 \qquad g_1 = x_1^2 x_2^3 + x_1 x_3^3 + x_4^2$$

$$g_2 = x_2^3 x_4 + x_2 x_3 + x_3 + 1$$

$$g_3 = x_1 x_2 x_3 + x_1 x_3$$

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Example

 $\mathbb{F}_2[x_1, x_2, x_3, x_4]$ with degrevlex monomial ordering

$$f = x_1^2 x_2^3 + x_1 x_2^3 x_4 + x_1 x_3^3 + x_1^3 x_4 + x_2 x_3^2 + x_4^2 \qquad G = \{g_1, g_2, g_3 \}$$

$$f = f - g_1 = x_1 x_2^3 x_4 + x_1^3 x_4 + x_2 x_3^2 \qquad g_1 = x_1^2 x_2^3 + x_1 x_3^3 + x_4^2$$

$$g_2 = x_2^3 x_4 + x_2 x_3 + x_3 + 1$$

$$g_3 = x_1 x_2 x_3 + x_1 x_3$$

 $x_1g_2 = x_1x_2^3x_4 + x_1x_2x_3 + x_1x_3 + x_1$

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Example

$$f = x_1^2 x_2^3 + x_1 x_2^3 x_4 + x_1 x_3^3 + x_1^3 x_4 + x_2 x_3^2 + x_4^2 \qquad G = \{g_1, g_2, g_3 \}$$

$$f = f - g_1 = x_1 x_2^3 x_4 + x_1^3 x_4 + x_2 x_3^2 \qquad g_1 = x_1^2 x_2^3 + x_1 x_3^3 + x_4^2$$

$$g_2 = x_2^3 x_4 + x_2 x_3 + x_3 + 1$$

$$g_3 = x_1 x_2 x_3 + x_1 x_3$$

$$x_1g_2 = x_1x_2^3x_4 + x_1x_2x_3 + x_1x_3 + x_1$$

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$$f = f - g_1 = x_1 x_2^3 x_4 + x_1^3 x_4 + x_2 x_3^2 \qquad g_1 = x_1^2 x_2^3 + x_1 x_3^3 + x_4^2$$

$$g_2 = x_2^3 x_4 + x_2 x_3 + x_3 + 1$$

$$g_3 = x_1 x_2 x_3 + x_1 x_3$$

 $x_1g_2 = x_1x_2^3x_4 + x_1x_2x_3 + x_1x_3 + x_1$

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$$f = x_1^2 x_2^3 + x_1 x_2^3 x_4 + x_1 x_3^3 + x_1^3 x_4 + x_2 x_3^2 + x_4^2 \qquad G = \{g_1, g_2, g_3, g_4\}$$

$$f = f - g_1 = x_1 x_2^3 x_4 + x_1^3 x_4 + x_2 x_3^2 \qquad g_1 = x_1^2 x_2^3 + x_1 x_3^3 + x_4^2$$

$$g_2 = x_2^3 x_4 + x_2 x_3 + x_3 + 1$$

$$g_3 = x_1 x_2 x_3 + x_1 x_3$$

$$g_4 = x_1 g_2 - g_3 = x_1 x_2^3 x_4 + 1$$

$$x_1 g_2 = x_1 x_2^3 x_4 + x_1 x_2 x_3 + x_1 x$$

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$$f = f - g_1 = x_1 x_2^3 x_4 + x_1^3 x_4 + x_2 x_3^2 \qquad g_1 = x_1^2 x_2^3 + x_1 x_3^3 + x_4^2$$

$$g_2 = x_2^3 x_4 + x_2 x_3 + x_3 + 1$$

$$g_3 = x_1 x_2 x_3 + x_1 x_3$$

$$g_4 = x_1 g_2 - g_3 = x_1 x_2^3 x_4 + 1$$

$$x_1 g_2 = x_1 x_3^3 x_4 + x_1 x_2 x_3 + x_1 x_3 + x_1$$

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Example

$$\begin{split} f &= x_1^2 x_2^3 + x_1 x_2^3 x_4 + x_1 x_3^3 + x_1^3 x_4 + x_2 x_3^2 + x_4^2 & G = \{g_1, g_2, g_3, g_4\} \\ f &= f - g_1 = x_1 x_2^3 x_4 + x_1^3 x_4 + x_2 x_3^2 & g_1 = x_1^2 x_2^3 + x_1 x_3^3 + x_4^2 \\ f &= f - g_4 = x_1^3 x_4 + x_2 x_3^2 + 1 & g_2 = x_2^3 x_4 + x_2 x_3 + x_3 + 1 \\ & g_3 = x_1 x_2 x_3 + x_1 x_3 \\ & g_4 = x_1 g_2 - g_3 = x_1 x_2^3 x_4 + 1 \end{split}$$

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$$f = x_1^2 x_2^3 + x_1 x_2^3 x_4 + x_1 x_3^3 + x_1^3 x_4 + x_2 x_3^2 + x_4^2 \qquad G = \{g_1, g_2, g_3, g_4\}$$

$$f = f - g_1 = x_1 x_2^3 x_4 + x_1^3 x_4 + x_2 x_3^2 \qquad g_1 = x_1^2 x_2^3 + x_1 x_3^3 + x_4^2$$

$$f = f - g_4 = x_1^3 x_4 + x_2 x_3^2 + 1 \qquad g_2 = x_2^3 x_4 + x_2 x_3 + x_3 + 1$$

$$g_3 = x_1 x_2 x_3 + x_1 x_3$$

$$g_4 = x_1 g_2 - g_3 = x_1 x_2^3 x_4 + 1$$

$$x_1 g_2 = x_1 x_2^3 x_4 + x_1 x_2 x_3 + x_1 x_3 + x_1 x_3 + x_1 x_4 + x_1 x_2 x_3 + x_1 x_3 + x_1 x_4 + x_1 x_2 x_3 + x_1 x_3 + x_1 x_4 + x_1 x_2 x_3 + x_1 x_3 + x_1 x_4 + x_1 x_2 x_3 + x_1 x_3 + x_1 x_4 + x_1 x_2 x_3 + x_1 x_3 + x_1 x_4 + x_1 x_2 x_3 + x_1 x_3 + x_1 x_4 + x_1 x_2 x_3 + x_1 x_3 + x_1 x_4 + x_1 x_2 x_3 + x_1 x_3 + x_1 x_4 + x_1 x_2 x_3 + x_1 x_3 + x_1 x_4 + x_1 x_2 x_3 + x_1 x_3 + x_1 x_4 + x_1 x_2 x_3 + x_1 x_3 + x_1 x_4 + x_1 x_2 x_3 + x_1 x_3 + x_1 x_4 + x_1 x_2 x_3 + x_1 x_3 + x_1 x_4 + x_1 x_2 x_3 + x_1 x_3 + x_1 x_4 + x_1 x_2 x_3 + x_1 x_3 + x_1 x_4 + x_1 x_2 x_3 + x_1 x_3 + x_1 x_4 + x_1 x_2 x_3 + x_1 x_3 + x_1 x_3 + x_1 x_4 + x_1 x_2 x_3 + x_1 x_3 + x_1 x_2 x_3 + x_1 x_3 + x_1 x_3 + x_1 x_3 + x_1 x_1 x_2 + x_1 x_2 x_3 + x_1 x_3 + x_1 x_2 x_3 + x_1 x_3 + x_1 x_2 x_3 + x_1 x_3 + x_1 x_3 + x_1 x_2 x_3 + x_1 x_3 + x_1 x_3 + x_1 x_3 + x_1 x_2 x_3 + x_1 x_2 x_3 + x_1 x_3 +$$

$$r = x_1^3 x_4 + x_2 x_3^2 + 1$$

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- Maintain tail-reduced polynomials (during reduction and when a new element for the basis is found)
- Identify polynomial with their leading monomial (i.e. no two polynomials in G that have equal leading monomial)

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M4GB

Algorithm

M4GB Reduction

MulFullReduce(G, u, f)

```
1 r \leftarrow 0

2 forall t \in \operatorname{Term}(f) do

3 t' \leftarrow u \cdot t

4 if \exists g \in G : \operatorname{LT}(g) \mid t' then

5 (G,g) \leftarrow

GETREDUCTOR(G,t')

7 else

8 r \leftarrow r - (t'/\operatorname{LT}(g)) \cdot \operatorname{Tail}(g)

9 return (G,r)
```

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M4GB Reduction

MULFULLREDUCE(G, u, f)

GETREDUCTOR(G, t)

```
1 r \leftarrow 0
   forall t \in Term(f) do
          t' \leftarrow u \cdot t
          if \exists g \in G : \mathsf{LT}(g) \mid t' then
                 (G,g) \leftarrow
                   GETREDUCTOR(G, t')
                 r \leftarrow r - (t'/\mathsf{LT}(g)) \cdot \mathsf{Tail}(g)
           else
7
8
9 return (G, r)
```

```
1 if \exists g \in G : LM(g) = LM(t) then
return (G,g)
3 h \leftarrow \text{SELECTREDUCTOR}(G, t)
4 (G,h) \leftarrow
    MulFullReduce(G, t/LT(h), Tail(h))
5 g \leftarrow t + h
6 return (G \cup \{g\}, g)
```

```
M4GB: An
   Efficient
                                                           UPDATEREDUCE (G, f)
Gröbner Basis
  Algorithm
                   1 H \leftarrow \{\mathsf{LC}(f)^{-1} \cdot f\}
                   Q \leftarrow \mathsf{Mono}(\mathsf{Tail}(G \cup H)) \setminus \mathsf{LM}(H)
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                   3 while \exists u \in Q : LM(f) \mid u do
                             u \leftarrow \max\{\mathfrak{m} \in Q : \mathsf{LM}(f) \mid \mathfrak{m}\}\
M4GB
                        (G, h) \leftarrow \text{MulFullReduce}(G, u/\text{LT}(f), \text{Tail}(f))
Algorithm
                         H \leftarrow H \cup \{u+h\}
                        Q \leftarrow \mathsf{Mono}(\mathsf{Tail}(G \cup H)) \setminus \mathsf{LM}(H)
Solving MQ
                   8 while H \neq \{\} do
                             Select h \in H such that LM(h) = min LM(H)
                   g
                             H \leftarrow H \setminus \{h\}
                  10
                             H \leftarrow \{g - ch : g \in H, c \text{ is a coefficient of } LM(h) \text{ in } Tail(g)\}
                  11
                           G \leftarrow \{g - ch : g \in G, c \text{ is a coefficient of } \mathsf{LM}(h) \text{ in } \mathsf{Tail}(g)\}
                 12
                         G \leftarrow G \cup \{h\}
                 13
```

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Solving MQ Challenges • Implemented using C++11

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Solving MQ

- Implemented using C++11
- Comparison with existing implementations
 - 1 FGb C Interface Implementation by Jean Charles Faugere¹
 - 2 Magma v2.20-6
 - 3 OpenF4 v1.0.1 Open source implementation by Coladon, Vitse and Joux².

¹Available at http://www-polsys.lip6.fr/~jcf/FGb/C/index.html

²Available at https://github.com/nauotit/openf4

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- Comparison with existing implementations
 - 1 FGb C Interface Implementation by Jean Charles Faugere¹
 - 2 Magma v2.20-6
 - 3 OpenF4 v1.0.1 Open source implementation by Coladon, Vitse and Joux².
- Test cases
 - 1 Dense polynomials with coefficients in \mathbb{F}_{31}
 - **2** m = 2n and m = n + 1.

¹Available at http://www-polsys.lip6.fr/~jcf/FGb/C/index.html

²Available at https://github.com/nauotit/openf4

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Performance Comparison

Benchmark for m = 2n

		Total CPU time (sec)					
n	m	M4GB	OpenF4	Magma	FGb		
20	40	57	206	232	470		
21	42	170	472	500	1002		
22	44	424	1145	1617	3118		
23	46	1060	2274	3185	6849		
24	48	2556	10293	31168	64700		
25	50	5575	-	77679	151653		
26	52	15517	-	183629	360055		
27	5/	16518	_	400452	7675/13		

Memory (MB) M4GB **FGb** Magma OpenF4 n m

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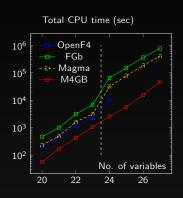
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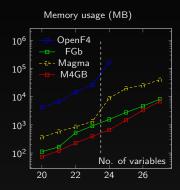
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Graph for m = 2n





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Benchmark for m = n + 1

		Total CPU time (sec)				
n	m	M4GB	OpenF4	Magma	FGb	
10	11	0.98	2.99	3.29	5	
11	12	2.6	8.73	11.172	21	
12	13	13.92	36.76	59.08	134	
13	14	58.18	172.49	286.4	642	
14	15	393.19	1258	2810.75	5850	
15	16	2424	7225	17265.5	36361	

		Memory (MB)			
n	m	M4GB	FGb	Magma	OpenF4
10	11	17	33	32	101
11	12	16	50	64	341
12	13	31	112	114	1463
13	14	74	323	281	7622
14	15	250	1098	1104	33460
15	16	837	4118	3320	117396

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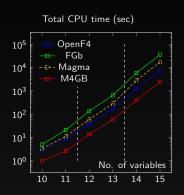
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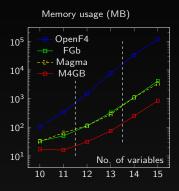
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Graph for m = n + 1





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- MQ-based public key and digital signature are candidates of post-quantum cryptography.
- Their security relies on the difficulty of finding a solution of an MQ problem.
- Need to understand its difficulty in practice

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- Started on 1st April 2015
- Six different type of challenges

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- Started on 1st April 2015
- Six different type of challenges
- Type I, II, and III are encryption-type parameter (m = 2n) and coefficients in F₂, F₂₈, F₃₁ respectively.

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- Six different type of challenges
- Type I, II, and III are encryption-type parameter (m = 2n) and coefficients in F₂, F₂₈, F₃₁ respectively.
- Type IV, V, and VI are signature-type parameter $(n \approx 1.5m)$ and coefficients in $\mathbb{F}_2, \mathbb{F}_{2^8}, \mathbb{F}_{31}$ respectively.

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- Type I, II, and III are encryption-type parameter (m=2n) and coefficients in $\mathbb{F}_2, \mathbb{F}_{2^8}, \mathbb{F}_{31}$ respectively.
- Type IV, V, and VI are signature-type parameter $(n \approx 1.5m)$ and coefficients in $\mathbb{F}_2, \mathbb{F}_{2^8}, \mathbb{F}_{31}$ respectively.
- Parameter Choice: Require at least one month for Magma 2.19-9 to solve using Four 6-cores Intel(R) Xeon(R) CPU E5-4617 @ 2.9GHz and 1TB of RAM.

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Fukuoka MQ Challenge

- Started on 1st April 2015
- Six different type of challenges
- Type I, II, and III are encryption-type parameter (m = 2n) and coefficients in F₂, F₂₈, F₃₁ respectively.
- Type IV, V, and VI are signature-type parameter $(n \approx 1.5m)$ and coefficients in $\mathbb{F}_2, \mathbb{F}_{2^8}, \mathbb{F}_{31}$ respectively.
- Parameter Choice: Require at least one month for Magma 2.19-9 to solve using Four 6-cores Intel(R) Xeon(R) CPU E5-4617 @ 2.9GHz and 1TB of RAM.

https://www.mqchallenge.org

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Solving Signature-type MQ Challenge

- Hybrid approach : trade-off between exhaustive search and computing Gröbner bases
- Idea :
 - 1 Select a random vector $(a_1, \ldots, a_{n-m}) \in \mathbb{F}_q^{n-m}$
 - 2 Construct a new system with n = m

$$\tilde{F} = \{f(x_1,\ldots,x_m,a_1,\ldots,a_{n-m}): \forall f \in F\}$$

- 3 Select $k \in \{1, ..., m\}$ and construct q^k subsystems from \tilde{F} by substituting k variables with all elements of \mathbb{F}_a^k .
- 4 Each subsystem generated can be solved in parallel.

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Computational Resources

A) Desktop machine with Intel(R) Core(TM) i7-2600K CPU @ $3.40 \, \text{GHz}$ and $16 \, \text{GB}$ RAM

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Computational Resources

- A) Desktop machine with Intel(R) Core(TM) i7-2600K CPU @ 3.40GHz and 16GB RAM
- B) NUMA machine with two nodes of Intel(R) Xeon(R) CPU E5-2650 v3 @ 2.30GHz and 128GB RAM each.

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Туре	n/m	Machine Used	# Node	Duration

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Туре	n/m	Machine Used	# Node	Duration
V	24/16			
V	25/17			
V	27/18			

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Туре	n/m	Machine Used	# Node	Duration
V	24/16	А	1	pprox 9.3 hours
V	25/17			
V	27/18			

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Туре	n/m	Machine Used	# Node	Duration
V	24/16	А	1	pprox 9.3 hours
V	25/17	В	1	pprox 46.33 hours
V	27/18	В	2	pprox 10.9 days

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Туре	n/m	Machine Used	# Node	Duration
V	24/16	А	1	pprox 9.3 hours
V	25/17	В	1	pprox 46.33 hours
V	27/18	В	2	pprox 10.9 days
VI	24/16			
VI	25/17			
VI	27/18			
VI	28/19			

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Туре	n/m	Machine Used	# Node	Duration
V	24/16	А	1	pprox 9.3 hours
V	25/17	В	1	pprox 46.33 hours
V	27/18	В	2	pprox 10.9 days
VI	24/16	А	1	pprox 1.2 hours
VI	25/17			
VI	27/18			
VI	28/19			

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Туре	n/m	Machine Used	# Node	Duration
V	24/16	А	1	pprox 9.3 hours
V	25/17	В	1	pprox 46.33 hours
V	27/18	В	2	pprox 10.9 days
VI	24/16	А	1	pprox 1.2 hours
VI	25/17	В	1	pprox 9.87 hours
VI	27/18	В	1	pprox 31.48 hours
VI	28/19	В	2	pprox 7.61 days

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Solving MQ Challenges https://github.com/cr-marcstevens/m4gb

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Solving MQ Challenges Question ?