

# Classifying Dimension Spectra

Alex Rutar (some joint w/ Ambar Banaji)

University of St Andrews

Dimension Spectra?

Given two notions of dimension

# Dimension Spectra?

Given two notions of dimension

e.g. Hausdorff + Box

e.g. Box + Assouad

define natural parametrized family  
of dimensions.

# Dimension Spectra?

Given two notions of dimension

e.g. Hausdorff + Box (intermediate dimensions)  
Falconer + Fraser + Kempton, 2020

e.g. Box + Assouad (Assouad spectrum)  
Fraser + Yu, 2018

define natural parametrized family  
of dimensions

# Intermediate Dimensions

$\overline{\dim}_B K = \inf \{ s \geq 0 : \text{for all } \delta \text{ suff. small,}$   
 $\exists \text{ cover } \{U_i\} \text{ of } K \text{ s.t.}$

- $\text{diam } U_i = \delta$
- $\sum_i (\text{diam } U_i)^s \leq 1 \}$

# Intermediate Dimensions

$\overline{\dim}_\Theta K = \inf \{ s \geq 0 : \text{for all } \delta \text{ suff. small,}$   
 $\exists$  cover  $\{U_i\}$  of  $K$  s.t.  
•  $\delta^{\frac{1}{\Theta}} \leq \text{diam } U_i \leq \delta$   
•  $\sum_i (\text{diam } U_i)^s \leq 1 \}$

(note: also "lower" version)

# Assouad Spectrum

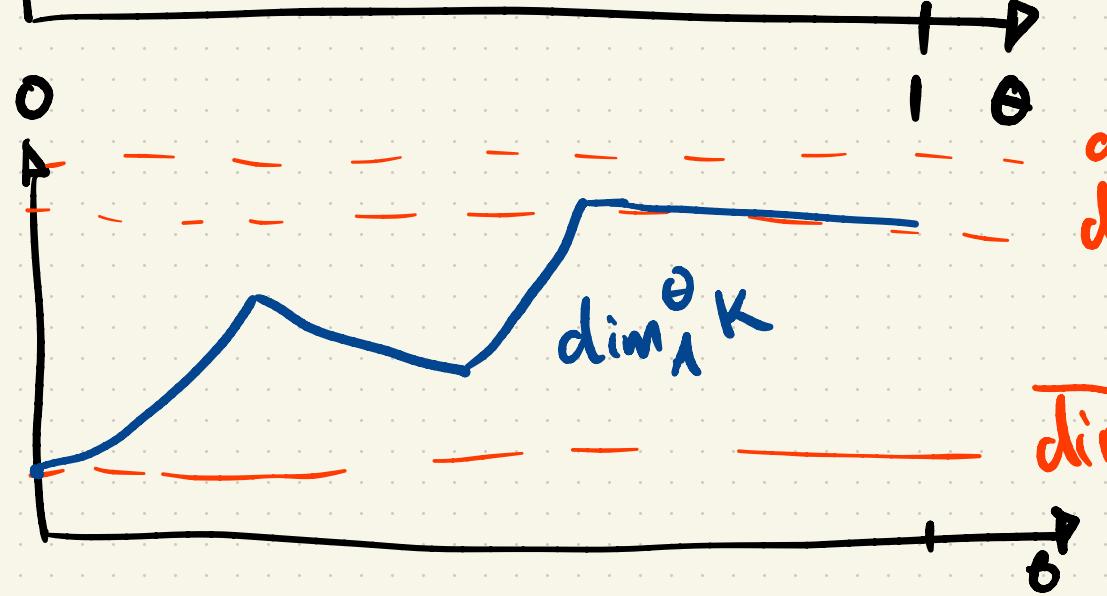
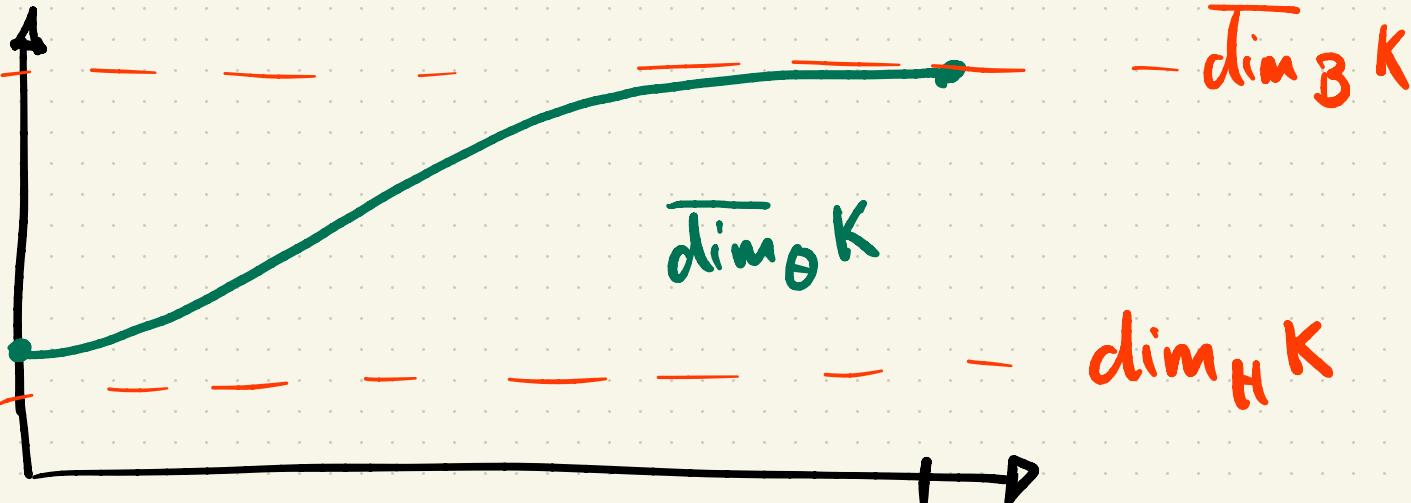
$$\dim_A K = \inf \left\{ d > 0 : \text{for all } 0 < r \leq R \leq 1, N_r(B(x, R) \cap K) \lesssim \left(\frac{R}{r}\right)^d \right\}$$

# Assouad Spectrum

$$\dim_A^\theta K = \inf \left\{ d > 0 : \text{for all } 0 < R \leq 1 \right.$$

[for  $\theta \in (0,1)$ ]

$$N_{R^{\theta}}(B(x,R) \cap K) \lesssim \left(\frac{R}{R^{\theta}}\right)^d \left\} \right.$$



Why Dimension Spectra?

# Why Dimension Spectra?

- natural fractal dimensions,  
(bi-Lipschitz invariant, finite stability, etc.)

# Why Dimension Spectra?

- natural fractal dimensions,  
(bi-Lipschitz invariant, finite stability, etc.)
- Assouad spectra: quasi conformal  
distortion (Tyson - Garitsis: sharp for  
polynomial spirals),  $L^p - L^q$  distortion  
bounds (Roos - Seeger)

- Intermediate dimensions: finer information for projections (Burrell + Faloutsos + Fraser), random images (Burrell)

- Intermediate dimensions: finer information for projections (Burrell + Faloutsos + Fraser), random images (Burrell)
- (and more to come!)

Natural Question :

What are possible forms of

$$\Theta \mapsto \overline{\dim}_\Theta K$$

$$\Theta \mapsto \dim_A^\Theta K$$

for arbitrary Borel  $K \subset \mathbb{R}^d$ ?

# Full Characterization for intermediate dimensions

Theorem (Banaji + AR) : T.F.A.E

$$\cdot \exists K \subset \mathbb{R}^d \text{ s.t. } \overline{\dim}_\theta K = h(\theta)$$

$$\cdot 0 \leq D^+ h(\theta) \leq \frac{h(\theta)(d - h(\theta))}{d\theta}$$

$$D^+ h(\theta) = \limsup_{\epsilon \downarrow 0} \frac{h(\theta + \epsilon) - h(\theta)}{\epsilon}$$

## Comments:

- if  $f: (0,1) \rightarrow \mathbb{R}$  is increasing + Lipschitz,  
 $\exists a > 0, b \in \mathbb{R}, K \in \mathbb{R}^d$   
 $\dim_{\Theta} K = a \cdot f(0) + b$

(very general!)

## Consequences:

- if  $f: (0,1) \rightarrow \mathbb{R}$  is increasing + Lipschitz,  
 $\exists a > 0, b \in \mathbb{R}, K \subset \mathbb{R}^d$

$$\dim_{\Theta} K = a \cdot f(0) + b$$

(very general!)

- more general form simultaneously characterizing upper + lower, and accounting for Assouad + lower dimensions

# Full Characterization for Assouad spectra

Theorem (AR) T.F. A.E.

•  $\exists K \in \mathbb{R}^d$  s.t.  $\dim_A^G = \varphi(\theta)$

• For all  $0 < \lambda < \theta < \lambda^{1/2} < 1$ ,

$$0 \leq (1-\lambda)\varphi(\lambda) - (1-\theta)\varphi(\theta) \leq (\theta-\lambda)\varphi\left(\frac{1}{\theta}\right)$$

$$0 \leq (1-\lambda)\varphi(\lambda) - (1-\theta)\varphi(\theta) \leq (\theta-\lambda)\varphi\left(\frac{1}{\theta}\right)$$

.  $\leq$  holds  $\iff 0^+ \varphi(\theta) \leq \frac{\varphi(\theta)}{1-\theta}$

. if  $\varphi$  increasing,  $\leq$  always holds

[N.B.  $\dim_1^\theta K$  not monotonic, in general]

$\Rightarrow \leq$  is an "oscillation" bound

Monotonicity?

Question (Fraser): does Assouad spectrum  
need to be monotonic in neighbourhood of  
1?

# Monotonicity?

Question (Fraser): does Assouad spectrum need to be monotonic in neighbourhood of 1?

Theorem (AR) NO. In fact, Assouad spectra which are non-monotonic on every open set are uniformly dense in all possible Assouad spectra.

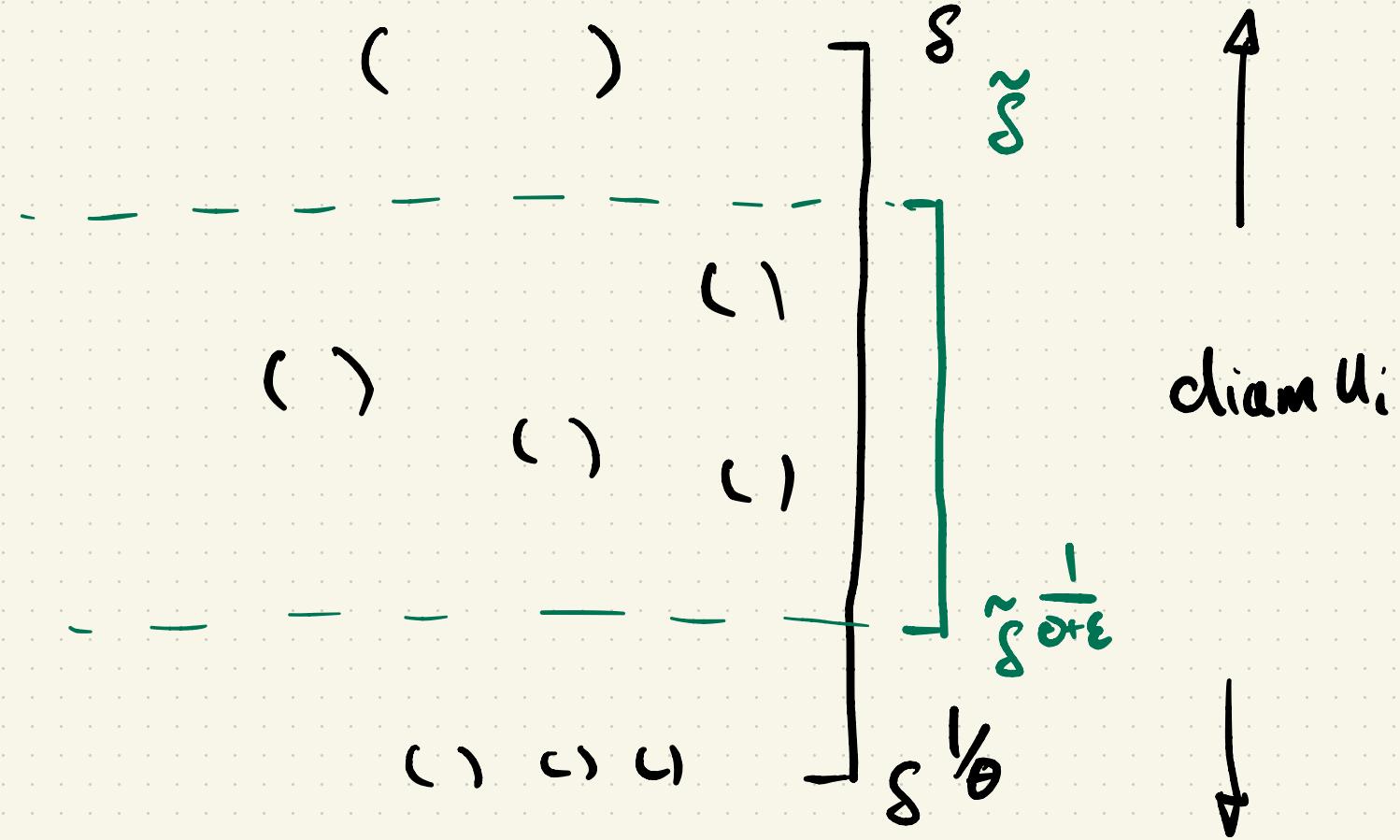
# Bounding Intermediate Dimensions

- Bound  $\dim_{\Theta+\epsilon} K$  in terms of  $\dim_\Theta K$ .

# Bounding Intermediate Dimensions

- Bound  $\dim_{\Theta+\epsilon} K$  in terms of  $\dim_\Theta K$ .  
↳ convert cover

$$\gamma^{\frac{1}{\theta}} \leq \text{diam } U_i \leq \gamma \quad \text{to} \quad \gamma^{\frac{1}{\Theta+\epsilon}} \leq \text{diam } U_i \leq \gamma$$



Cover using  
 $\dim_A K$



keep  
original

( )

( )

( )

diam  $U_i$

expand using  
 $\dim_L K$

( ) ( ) ( )  
↑ ↑ ↑

$$\tilde{s}^{\frac{1}{\theta+\epsilon}}$$

$$s^{\frac{1}{\theta}}$$

↓

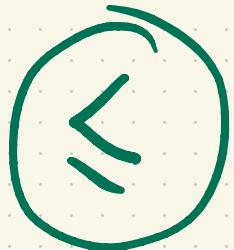
Optimize choice of  $\tilde{s}$ .

# Bounding Assouad spectra

$$0 \leq (1-\lambda)\varphi(\lambda) - (1-\theta)\varphi(\theta) \leq (\theta-\lambda)\varphi\left(\frac{1}{\theta}\right)$$

# Bounding Assouad spectra

$$0 \leq (1-\lambda)\varphi(\lambda) - (1-\theta)\varphi(\theta) \leq (\theta-\lambda)\varphi\left(\frac{1}{\theta}\right)$$

(  $B(x, \delta^{1/\theta}) \subseteq B(x, \delta^{1/\lambda})$  so

$$\sup_x N_\delta(B(x, \delta^{1/\theta}) \cap K) \leq \sup_x N_\delta(B(x, \delta^{1/\lambda}) \cap K)$$

+ algebra

# Bounding Assouad spectra

$$0 \leq (1-\lambda)\varphi(\lambda) - (1-\theta)\varphi(\theta) \leq (\theta-\lambda)\varphi\left(\frac{1}{\theta}\right)$$

 cover  $B(x, \delta^\lambda)$  w/ balls  $B(y, \delta^\theta)$

$$\sup_{x \in K} N_{\delta^\lambda}(B(x, \delta^\lambda) \cap K) \leq$$

+ algebra

$$\sup_{x \in K} N_{\delta^\theta}(B(x, \delta^\theta) \cap K)$$

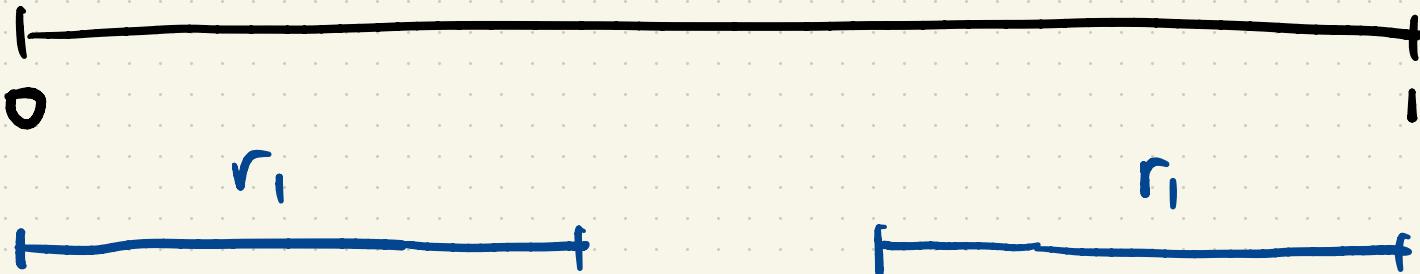
$$\sup_{x \in K} N_{\delta^\theta}(B(x, \delta^\theta) \cap K)$$

Moran Constructions

Fix sequence  $\{r_n\}_{n=1}^{\infty} \subset (0, \frac{1}{2}]$ .

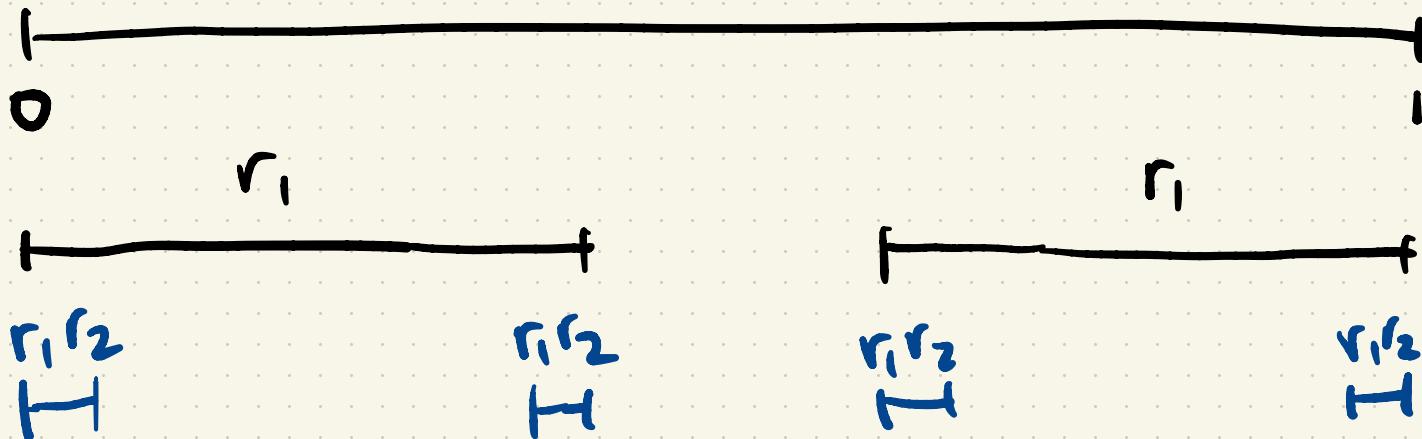
# Moran Constructions

Fix sequence  $\{r_n\}_{n=1}^{\infty} \subset (0, \frac{1}{2}]$ .



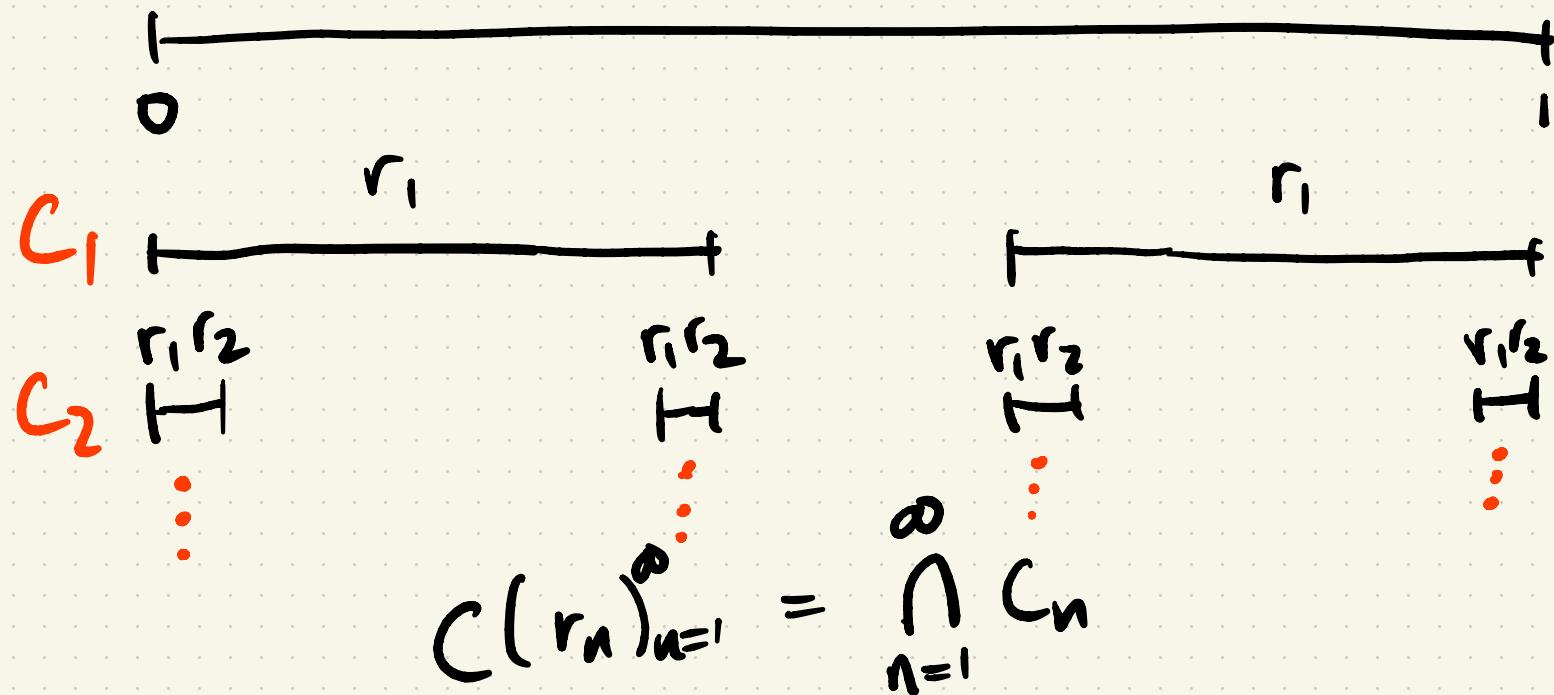
# Moran Constructions

Fix sequence  $\{r_n\}_{n=1}^{\infty} \subset (0, \frac{1}{2}]$ .



# Moran Constructions

Fix sequence  $\{r_n\}_{n=1}^{\infty} \subset (0, \frac{1}{2}]$ .



**Lemma (Banaji + AR)** Let  $g: (0, \infty) \rightarrow [0, d]$ .

s.t.  $D^+g(x) \in [-g(x), d - g(x)]$ .

Then there exists homogeneous Moran set so that

$$|s(\exp(-\exp(x))) - g(x)| \leq d \log^2 \exp(-x)$$

where  $s(\delta) = \frac{k(\delta) d \log 2}{-\log \delta}$  w/  $r_1 \cdots r_{k(\delta)} \leq \delta$   
 $< r_1 \cdots r_{k(\delta)-1}$

$$|s(\exp(-\exp(x))) - g(x)| \leq d \log 2 \exp(-x)$$

•  $s(f)$  = "box dimension at scale  $s$ "

(in fact  $\underline{\dim}_B C = \liminf_{s \rightarrow 0} s(f)$ )

$$\overline{\dim}_B C = \limsup_{s \rightarrow 0} s(f)$$

$$|s(\exp(-\exp(x))) - g(x)| \leq d \log 2 \exp(-x)$$

- $s(\delta)$  = "box dimension at scale  $\delta$ "

(in fact  $\underline{\dim}_B C = \liminf_{\delta \rightarrow 0} s(\delta)$ )

$$\overline{\dim}_B C = \limsup_{\delta \rightarrow 0} s(\delta)$$

- error  $d \log 2 \exp(-x)$  is sharp since  $s(\delta)$  has discontinuities of size  $d \log 2 \exp(-x)$ .

$$|s(\exp(-\exp(x))) - g(x)| \leq d \log 2 \exp(-x)$$

- $s(\delta)$  = "box dimension at scale  $\delta$ "

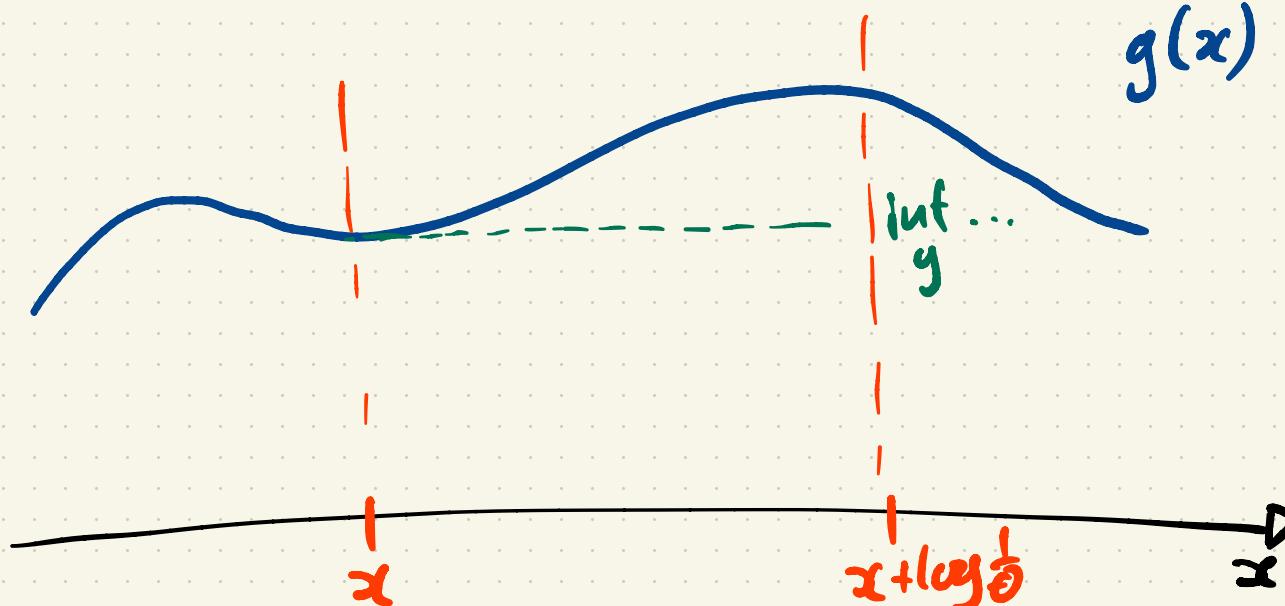
(in fact  $\underline{\dim}_B C = \liminf_{\delta \rightarrow 0} s(\delta)$ )

$\overline{\dim}_B C = \limsup_{\delta \rightarrow 0} s(\delta)$ )

- error  $d \log 2 \exp(-x)$  is sharp since  $s(\delta)$  has discontinuities of size  $d \log 2 \exp(-x)$ .
- double log rescaling:  $s^{\frac{1}{\theta}} \sim x + \log^{\frac{1}{\theta}}$ .

# Formulas for $\dim_{\Theta} C$ , $\dim_A^{\circ} C$

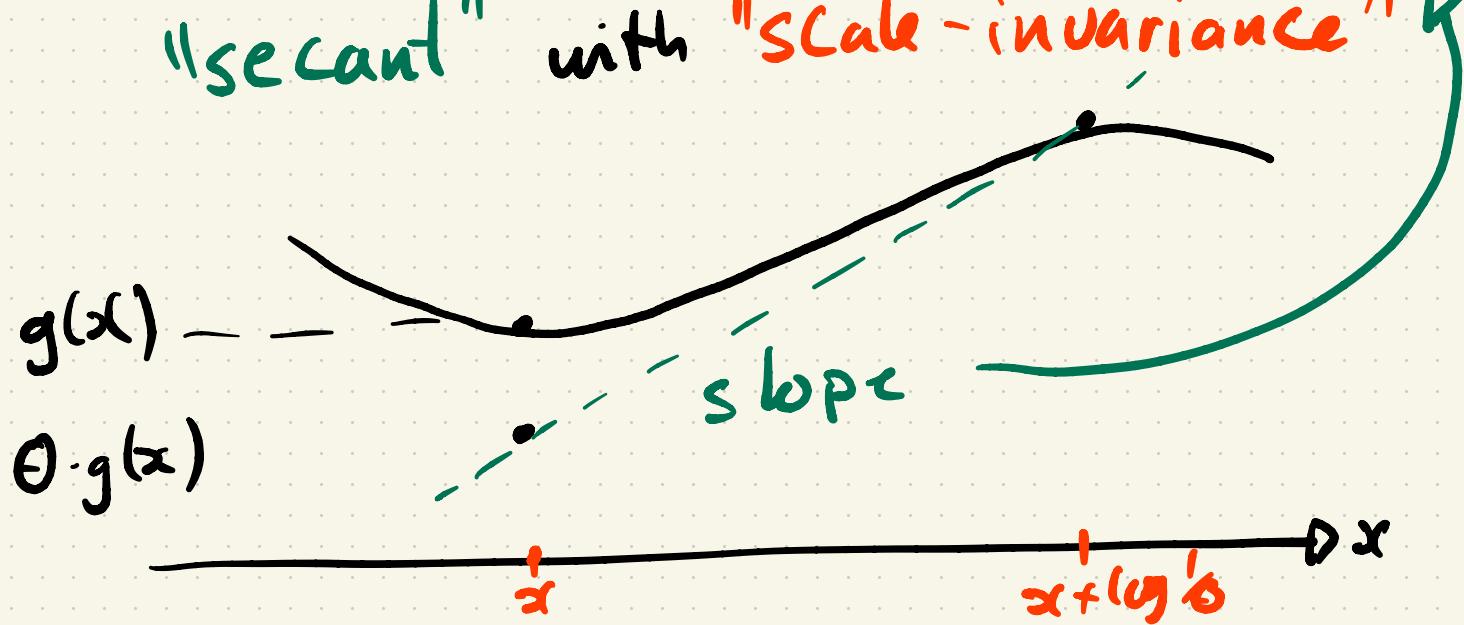
$$\cdot \dim_{\Theta} K = \limsup_{x \rightarrow \infty} \left[ \inf_{y \in [x, x + \log_{\Theta}^1]} g(x) \right]$$



# Formulas for $\dim_{\Theta} C$ , $\dim_A^{\Theta} C$

$$\cdot \dim_A^{\Theta} K = \limsup_{x \rightarrow \infty} \left[ \frac{g(x + \log \frac{1}{\theta}) - \Theta g(x)}{1 - \theta} \right]$$

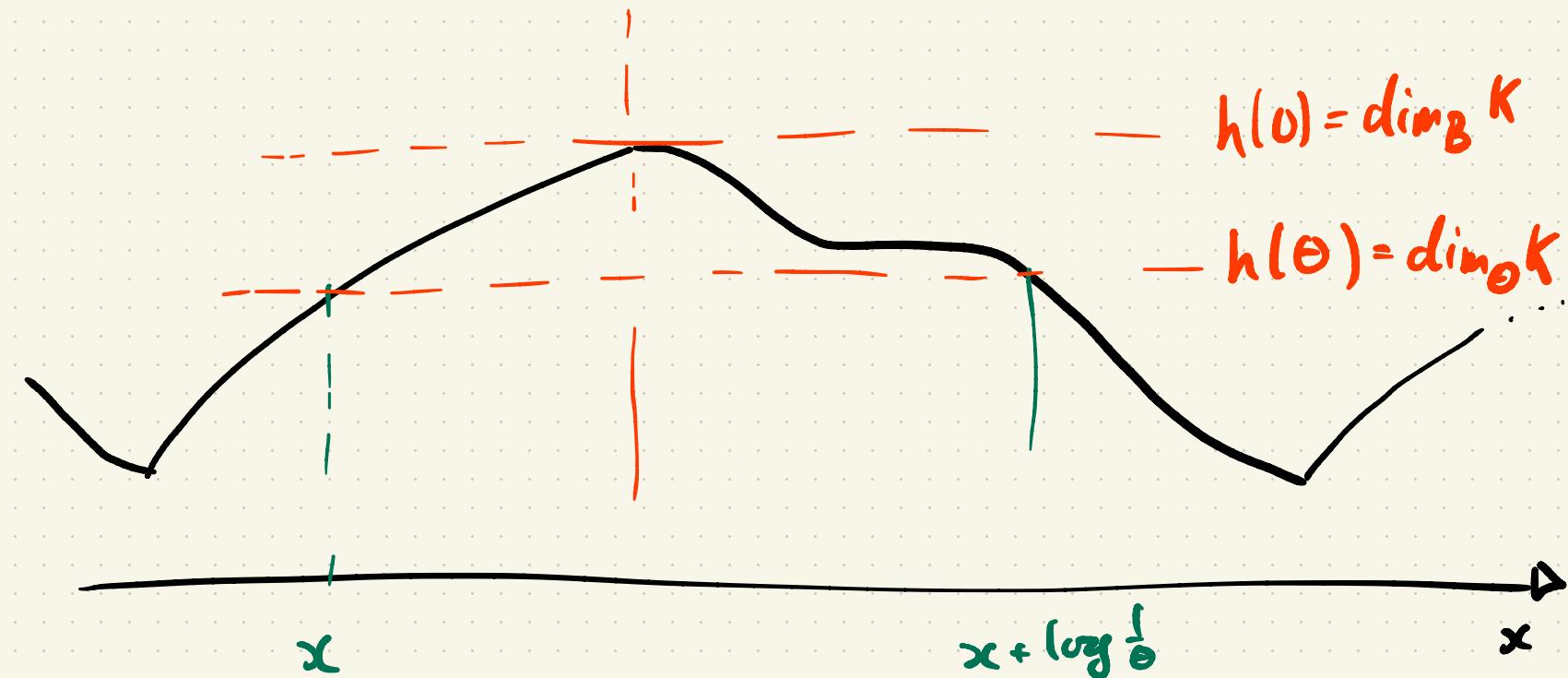
"secant" with "scale-invariance"



To prove sharpness of bounds:

Choose  $g(x)$  carefully.

"Bump Construction" for Int. Dims,



# Construction for Associated Spectrum.

slope =  $f(\theta) = \dim_K \Theta$   
 $\Theta g(x_n)$

$x_n$

$\theta$

max decay rate  
 $x_{n+1}$

