

SQL SUBQUERIES

Q1)

Write a SQL query to display maximum salary from Emp table

ANS :-

```
SELECT MAX(SALARY) FROM EMP;
```

Q2)

Write a SQL query to display Employee Name who is taking maximum salary?

```
[SELECT E-NAME FROM EMP WHERE SALARY =  
(SELECT MAX(SALARY) FROM EMP); ]
```

Q3)

Write a SQL query to display second highest salary from Emp table

```
SELECT MAX(SALARY) FROM EMP <> [SELECT  
MAX(SALARY) FROM EMP
```

Q4) Write a SQL query to display employee name who is having second highest salary

```
SELECT E-NAME FROM EMP WHERE  
SALARY != ((SELECT MAX(SALARY) FROM EMP) <>  
[SELECT MAX(SALARY) FROM EMP])
```

E-ID	E-NAME	DEPT	SALARY
1	RAM	HR	10000
2	AMRIT	MRKT	20000
3	RAVI	HR	30000
4	NITIN	MRKT	40000
5	Vayu	IT	50000

Q5) write a query to display all the dept names along with all emps working in it

Output :-

HR	2
MRKT	2
IT	1

HR - 2
MRKT - 2
IT - 1

SELECT DEPT FROM EMP GROUP BY DEPT;

SELECT DEPT, COUNT(DEPT) FROM EMP GROUP BY DEPT

SELECT E_NAME FROM EMP WHERE DEPT IN [
SELECT DEPT FROM EMP GROUP BY DEPT]
HAVING COUNT(DEPT) < 2 ;]]

Write a query to display highest salary department wise and name of emp who is taking that salary.

SELECT E_NAME FROM MAX(SALARY)
EMP WHERE [SELECT DEPT FROM EMP GROUP BY
SALAY IN DEPT]

$R(A \underline{B} C \underline{D} E)$

$F \cdot D = \{ A \rightarrow \underline{B}, BC \rightarrow \underline{D}, E \rightarrow \underline{C}, D \rightarrow \underline{A} \}$

$E = BDCA$

$E^+ = \{ EC \}$ $\therefore CK = \{ AE, BE, DE \}$

$(AE)^+ = \{ \underline{ABC} \underline{DE} \}$

$(BE)^+ = \{ \underline{EC}, DA \}$

$(CE)^+ = \{ EC \}$

FUNCTIONAL DEPENDENCIES

1st Normal Form	2nd Normal Form	3rd Normal Form	BCNF	4th Normal Form	5NF
No multi-valued attributes	should be in 1NF No partial dependencies A N.P.A cannot determine a N.P.A should be Full Depen on CK	should be in 2NF No transitivity No N.P.A should determine N.P.A	In 3rd N.F LHS must be CK or S.R	BCNF + No M.V. depend	4CNF + Lossless Decon

Equi Join

Join = cross product + condition

find the Emp name who worked in a department having location same as address.

E-No	E-Name	Address
1	Ram	Delhi
2	Vasun	Chd
3	Ravi	Chd
4	Amrit	Delhi

Emp

Dept No	Location	E-No
D1	Delhi	1
D2	Pune	2
D3	Patna	4

Dept

Select E-name from Emp, Dept where
Emp.E-no = Dept.e-no. and Emp.Address =
Dept.Location;

LEFT OUTER JOIN

Emp-NO	E-Name	Dept-NO
E1	Vasun	D1
E2	Amrit	D2
E3	Ravi	D1
E4	Nihin	-

Dept-No	D-Name	Loc
D1	IT	Delhi
D2	HR	Hyd
D3	Finance	Pune

Select emp-no, E-name, Dept.d-name, Loc
from emp left outer join dept
on (emp.dept-no = dept.dept-no)

JOINS

Natural Join

find the Emp Names who is working in a department

E-NO	E-Name	Address
1.	Ram	Delhi
2.	Varun	Chd
3.	Ravi	Chd
4.	Amrit	Delhi

$m \times n$ (EMP)

Dept No	Name	Eno
D1	HR	1
D2	IT	2
D3	MRKT	4

$m \times n$ (DEPT)

$m \times n$

Query: [SELECT FROM DEPT, EMP WHERE
EMP. E-NO = DEPT. E-NO.]

Self Join

find the student id who is enrolled in at least two course

2, 3, ∞

SELECT FROM STUDY

as T₁, STUDY as T₂

where T₁.S-id = T₂.sid

and T₁.c-id <> T₂.c-id

S-id	C-id	since
S1	C1	2016
S2	C2	2017
S1	C2	2017

Join = cross product

RIGHT OUTER JOIN

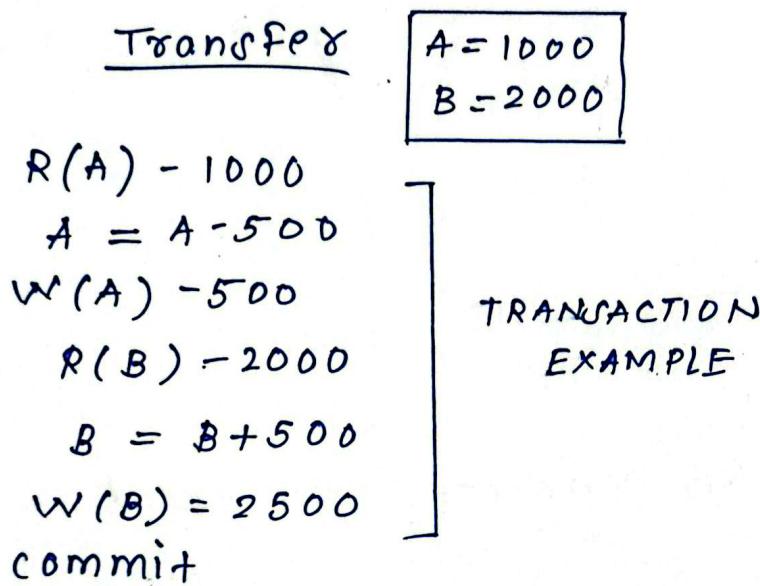
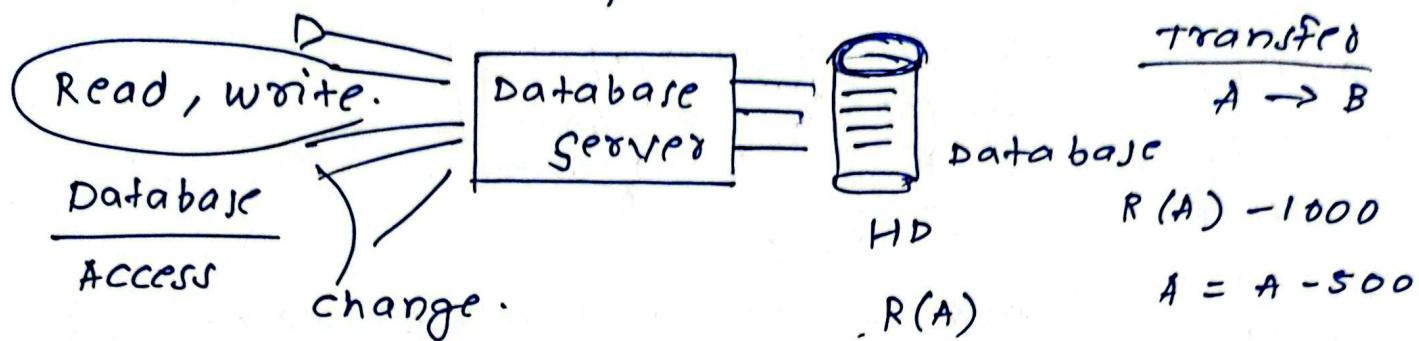
Emp			Dept		
Emp-No	E-Name	Dept-No	Dept-No	D-Name	Loc
E1	Varun	D1 ←	D1	IT	Delhi
E2	Amrit	D2 ←	D2	HR	Hyd
E3	Ravi	D3 ←	D3	Finance	Pune
			D4	Testing	Noida

Select Emp-no, E-name, Dept d-name, Loc
from Emp right outer join dept on
(emp.dept-no = dept.dept-no)

Transaction: It is a logical set of operation used to perform a logical unit of work

A transaction generally represent change in database.

work - withdraw money

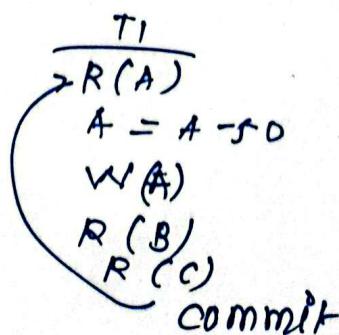


ACID properties

↓
Atomicity Consistency Isolation Durability

Either all or none

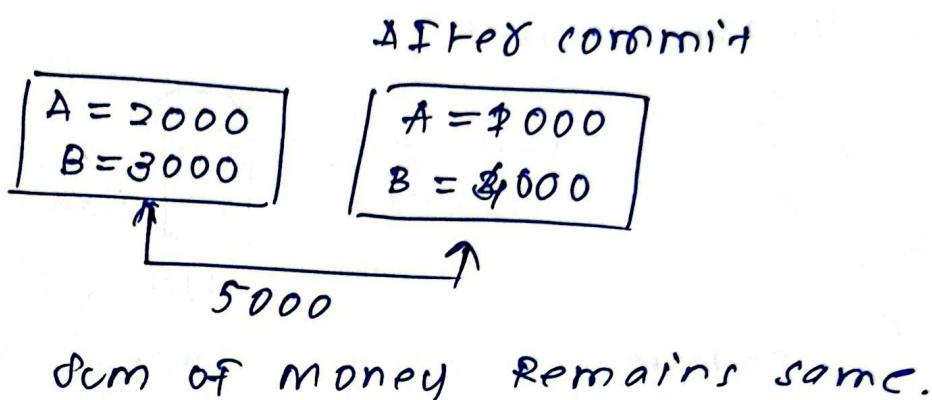
ROLL BACK



Consistency: After the transaction completed sum of money should be same.

$A \rightarrow 1000$ $A \rightarrow B$

T_1	
$R(A)$	2000
$A = A - 1000$	
$W(A)$	1000
$B = B + 1000$	
$W(B)$	4000
commit	



Isolation

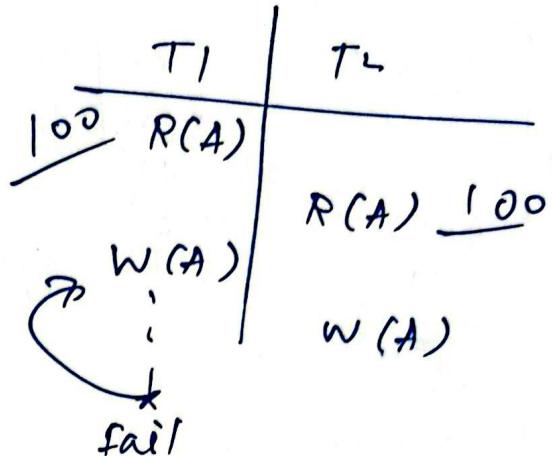
T_1	T_2	T_3
$R(A)$	$R(B)$	$R(A)$

parallel schedule can be converted to serial schedule

Durability After a transaction completes successfully, the changes it has made to the database persists, even if the system fails.

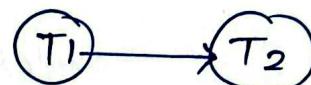
cascadeless-schedule

T_1	T_2	T_3
$R(A)$		
$W(A)$		
Jab tak	$R(A)$	$R(A)$
commit	X	X
Hojane ke		
baad	$R(A) \vee R(A)_C$	



Serializability $\xrightarrow{\text{conflict}}$ $\xrightarrow{\text{view}}$

S	
T_1	T_2
$R(A)$	
$W(A)$	
commit	$R(A)$
	$W(A)$



S	T_1	T_2
		$R(A)$
		$W(A)$
		commit
	$R(A)$	
	$W(A)$	
	commit	

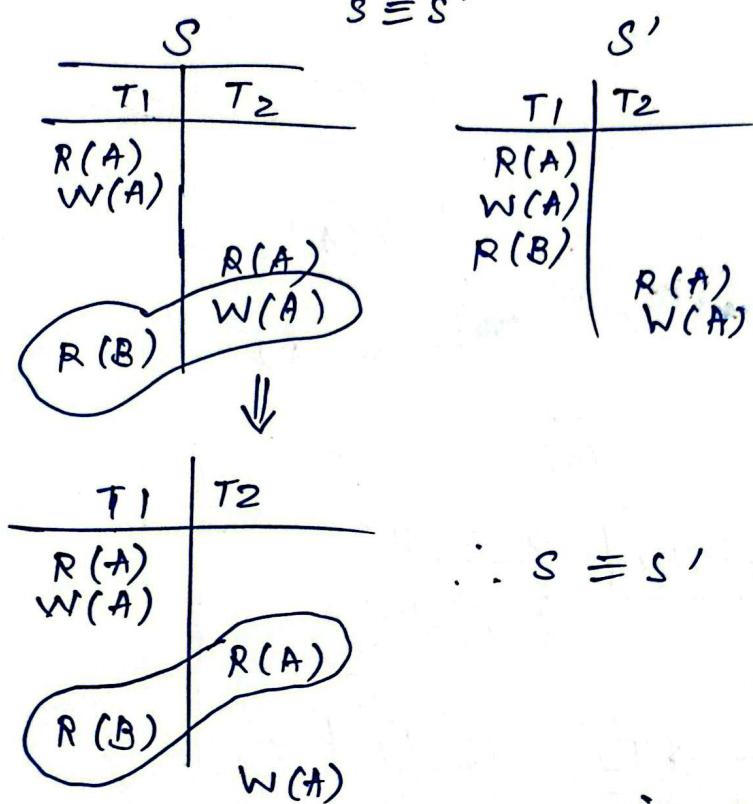
S	T_1	T_2	T_3
		$R(A)$	
		$R(A)$	$W(A)$
		$W(A)$	
	$R(B)$		
	$W(B)$		
			$W(B)$

$$g_1 = 3 \times 2 \times 1 = 6$$

- $T_1 \rightarrow T_2 \rightarrow T_3$
- $T_1 \rightarrow T_3 \rightarrow T_2$
- $T_2 \rightarrow T_3 \rightarrow T_1$
- $T_2 \rightarrow T_1 \rightarrow T_3$
- $T_3 \rightarrow T_1 \rightarrow T_2$
- $T_3 \rightarrow T_2 \rightarrow T_1$

Any one possibility should be true.

Conflict Equivalent



$R(A) \quad R(A)$ } Non conflict pairs

$R(A) \quad W$

$R(A) \quad W(A)$ } conflict pairs
 $W(A) \quad R(A)$
 $W(A) \quad W(A)$

$R(B) \quad R(A)$ } Non conflict pairs
 $W(B) \quad R(A)$
 $R(B) \quad W(A)$
 $W(A) \quad W(B)$

check conflict pairs
in o/r transaction and
draw edges

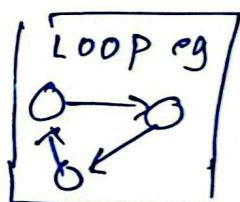
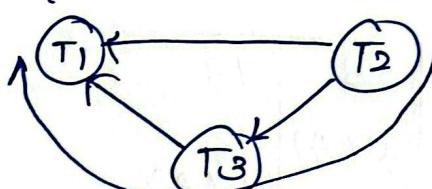
precedence Graph

T_1	T_2	T_3
$R(X)$		$R(Y)$ $R(X)$
	$R(Y)$ $R(Z)$	
$R(Z)$		$W(Y)$
$W(X)$ $W(Z)$		

~~$R(Z)$~~
 ~~$W(X)$~~
 ~~$W(Z)$~~

checked

$X \rightarrow$ NO LOOP



Loop / cycle?

NO loop / cycle

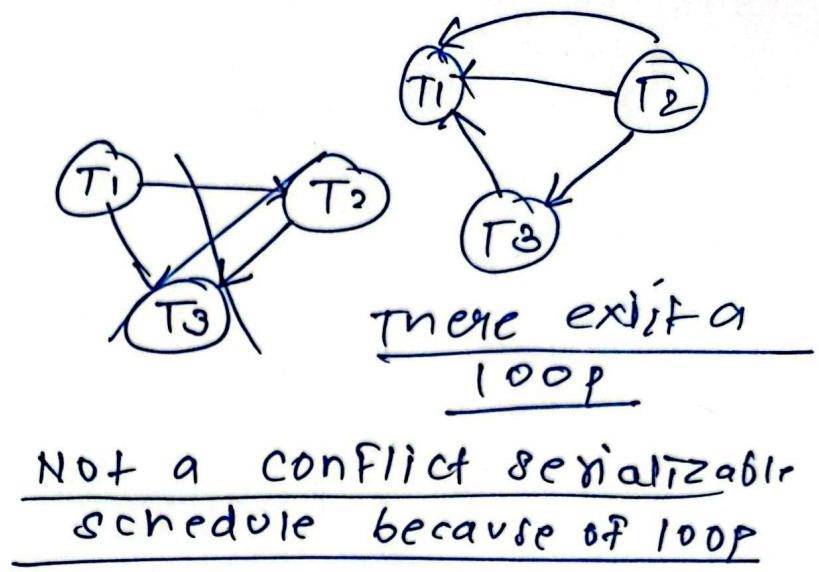
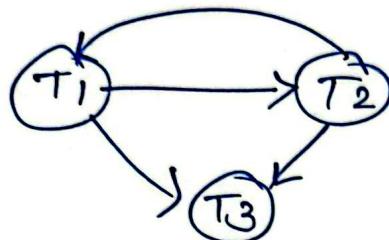
Conflict serializable

serializable

consistent

check whether schedule is conflict serializable or not.

T ₁	T ₂	T ₃
R(A)		
	W(A)	W(A)



Not a conflict serializable schedule because of loop

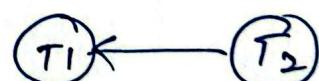
If the final outputs are same but are different then they are view equivalent

T ₁	T ₂	T ₃
R(A)		
A = A - 40	A = A - 40 W(A)	A = A - 20 W(A)

T ₁	T ₂	T ₃
R(A)		
W(A)		W(A)

previous year question

T ₁	T ₂
A = 100	
(B = 10)	R(A) 100
(B = 11)	
	R(A) 100
	R(B) A = A + 10
	R(B) B = B + 1
	W(A)
	110



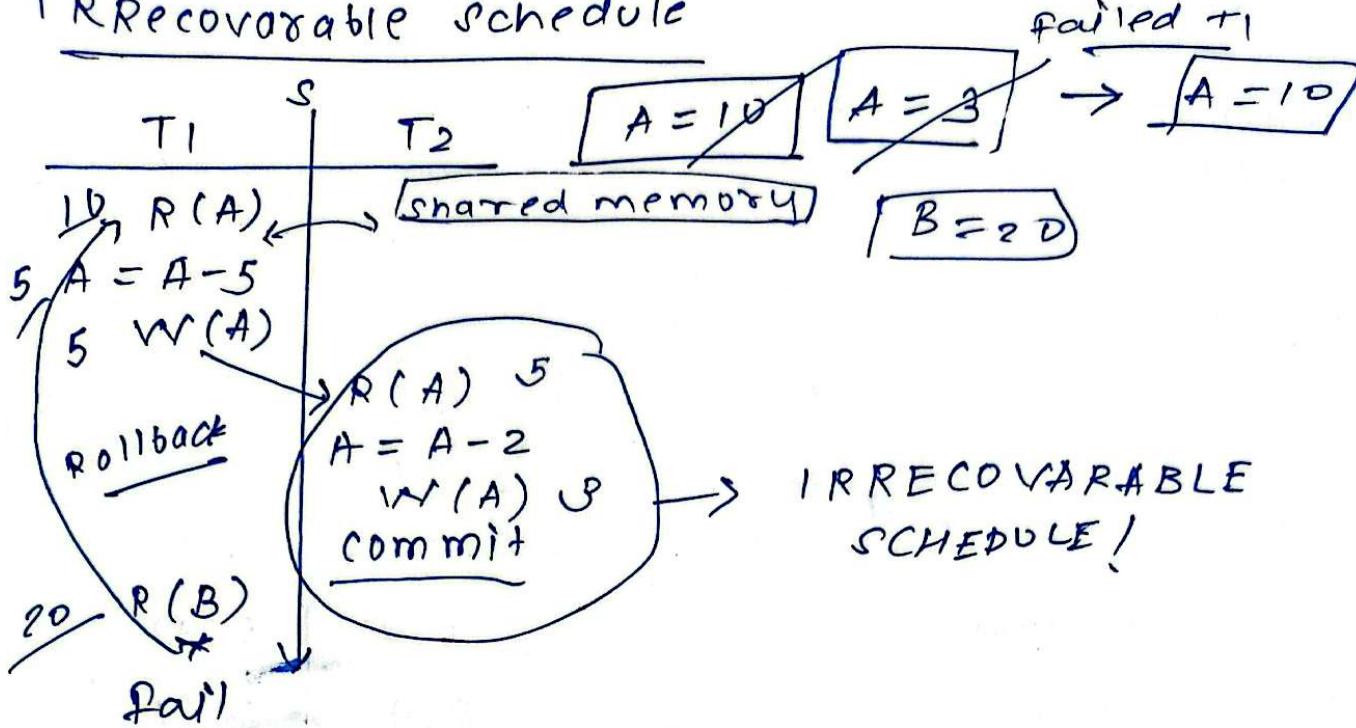
No loop or

cycle

∴ it's a conflict
serializable

Yes

IRRecoverable schedule



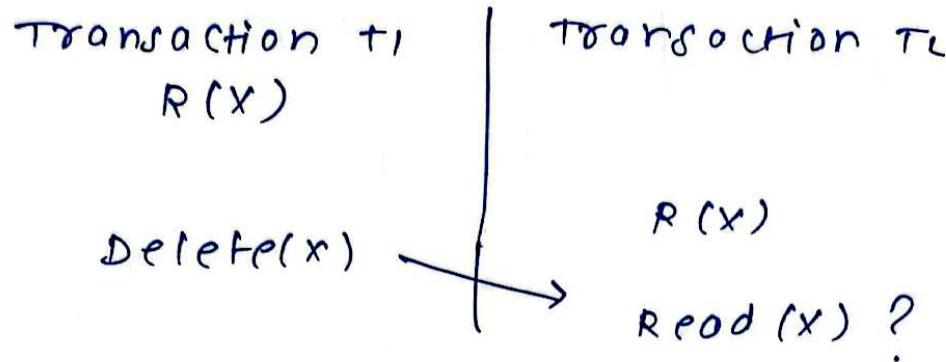
Cascading schedule

T_1	T_2	T_3	T_4
$R(A)$			
$A = A - 50$	✓		
$W(A)$	50		
*	$R(A)$	80	
	1	$R(A)$	50
*			$R(A)$

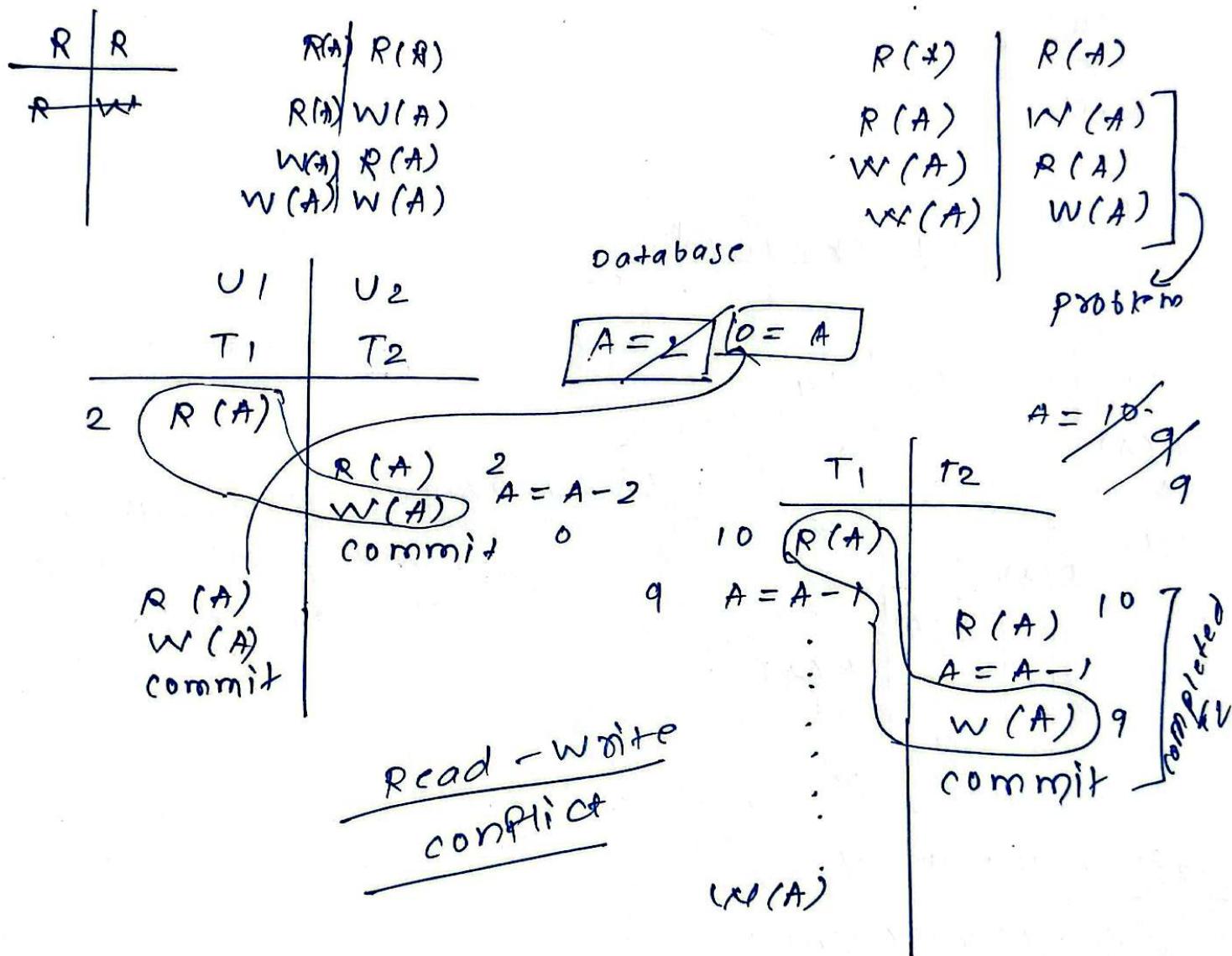
Fail Roll Back all Transactions!

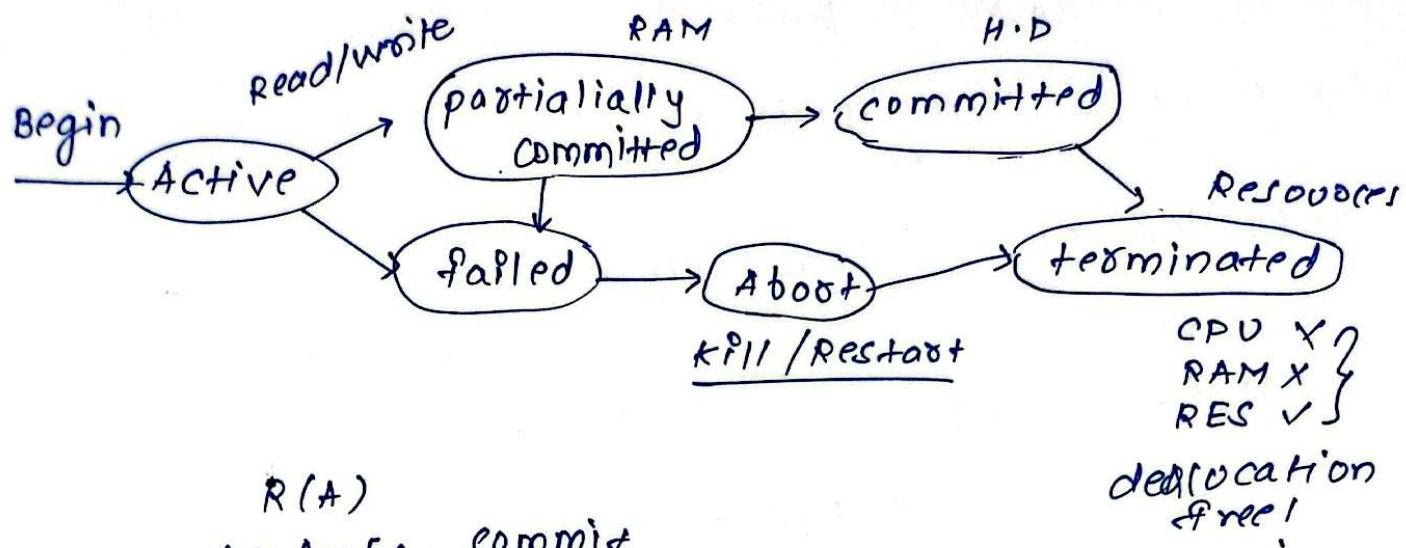
Disadvantage: CPU utilization is and performance is affected

Unrepeatable Read and phantom read



Read - write conflict or unrepeatable read



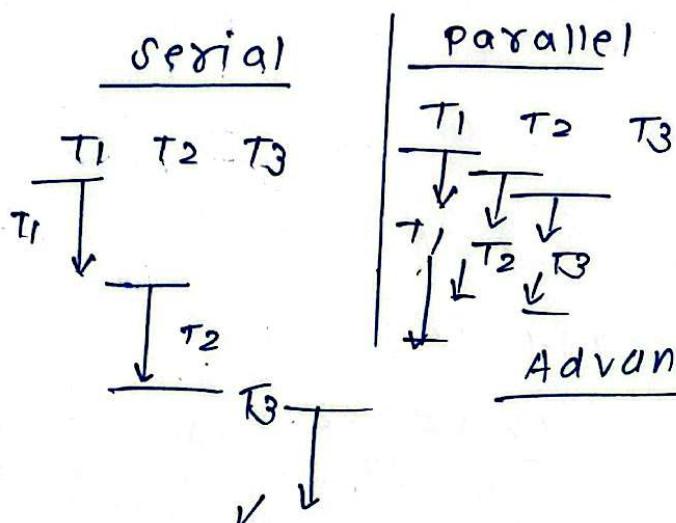


$R(A)$
 $A = A - 50$ commit
 $W(A)$
 $R(\dots)$

SCHEDULE

Schedule :- It is chronological execution sequence of multiple transactions.

$T_1 \quad T_2 \quad T_3 \quad \dots \quad T_n$



Advantage :- Less waiting period
faster performance of CPU

No other transaction
can interfere

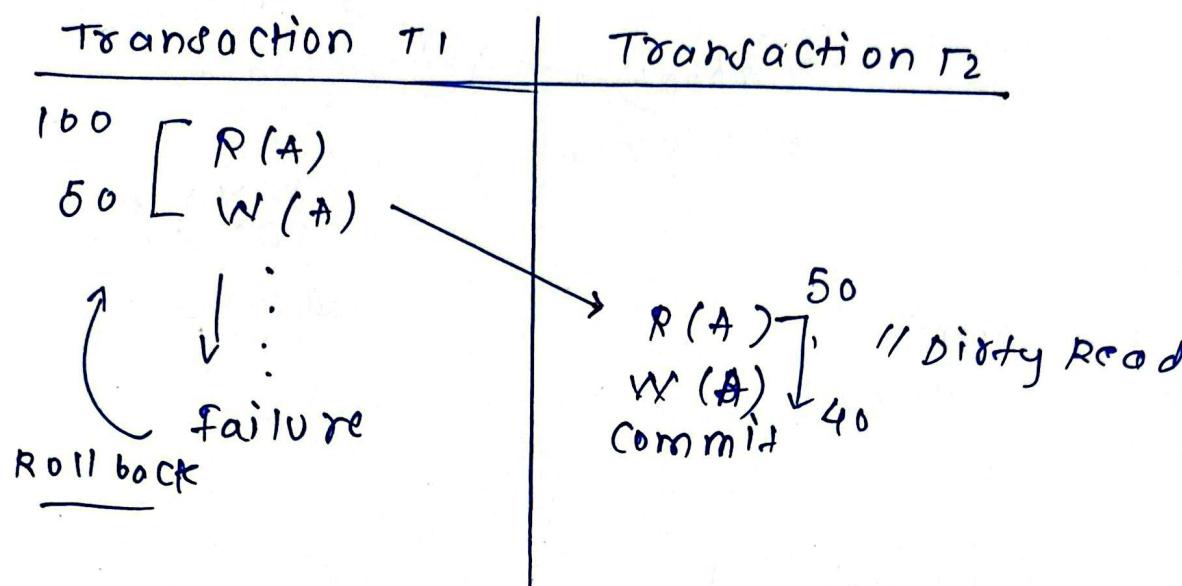
only one after another.

Advantage :- more consistent

Disadvantage :- waiting period / time period & performance

Types of problems in parallel schedule

Dirty Read or Uncommitted Read or RAW



Incorrect summary

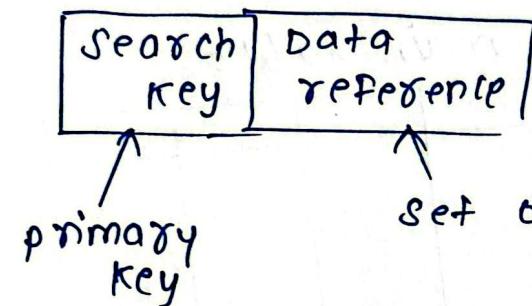
Transaction T ₁	Transaction T ₂
read(A) A := A - 50; write(A) <u>950</u>	read(A) sum = sum + A read(B) sum = sum + B ; { <u>agg. fn</u> avg = sum / 3 ; <u>avg = 988.3</u> X commit

read(B)
B := B + 50 ; } 1000
write(B) } 50
commit } 1050

Indexing In DBMS

- Just like index in Books
- Index will tell exact page Number. (LOCATION) of that page.
- Indexing is used to optimize performance of DB by minimizing the no. of disk access required when query is processed.

File size ↑ search time ↑



Set of pointers holding address of the disk block.

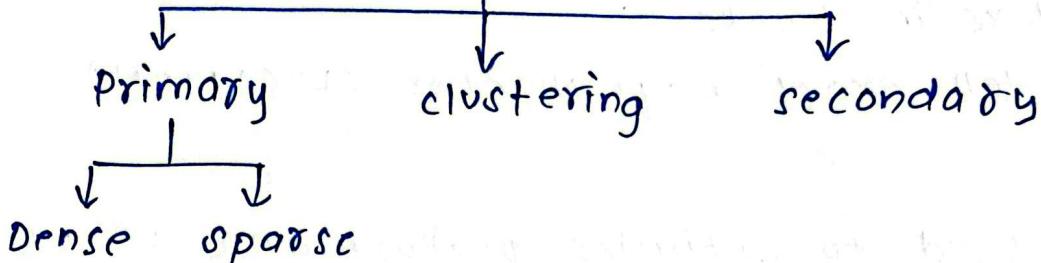
Index file

1	
2	
3	
4	
.	
.	
.	

1						B-1
2						
:						
10						
11						B-2
:						
20						
21						
:						
30						B-3

11	
21	
31	
38	

Types of Indexing



① Primary

Dense

→ No. of entries in index table is same as the no. of entries in main table.

main table

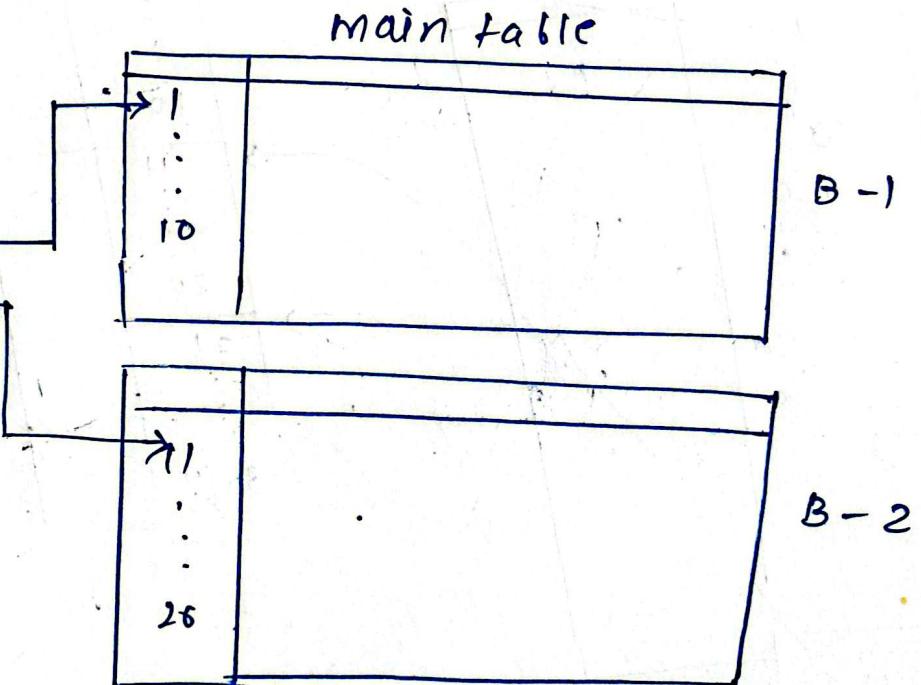
ROLL NO.	pointer
1	
2	
3	

ROLL NO.					
	→ 1				
	→ 2				
	→ 3				

main file can be unordered →

2) Sparse

ROLL	pointer
1	
11	
21	
31	



Condition : When Main file is ordered
(for sparse)

B+ trees in database

↳ used to implement database indexes

⇒ In B+ trees leaf nodes denotes actual data - pointers.

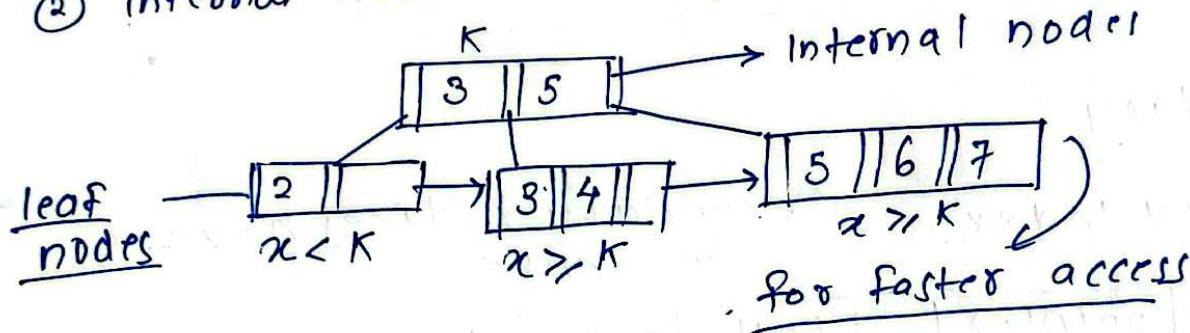
⇒ All leaf nodes remain at same height

⇒ leaf nodes are linked using linked-list.

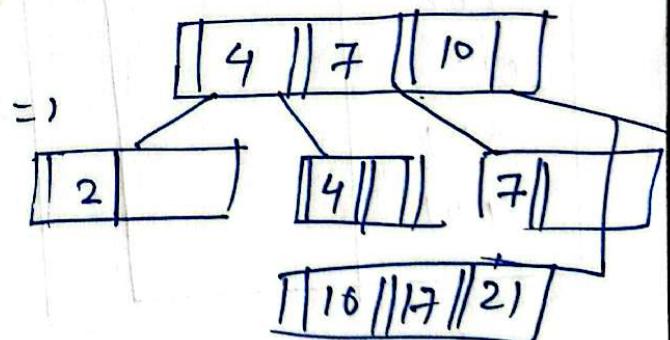
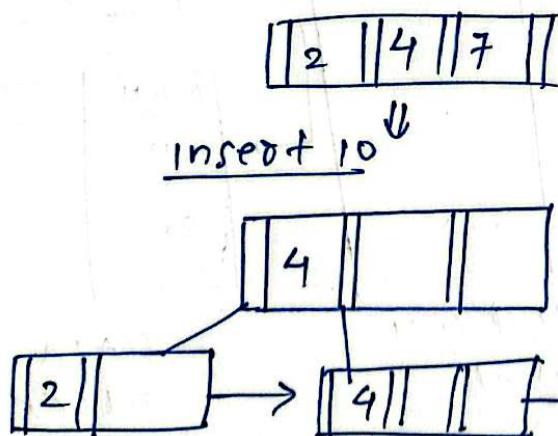
⇒ B+ trees occupy a little more space than B-trees -

characteristics

- ① Data-records are only stored in leaves
- ② Internal nodes stored just keys



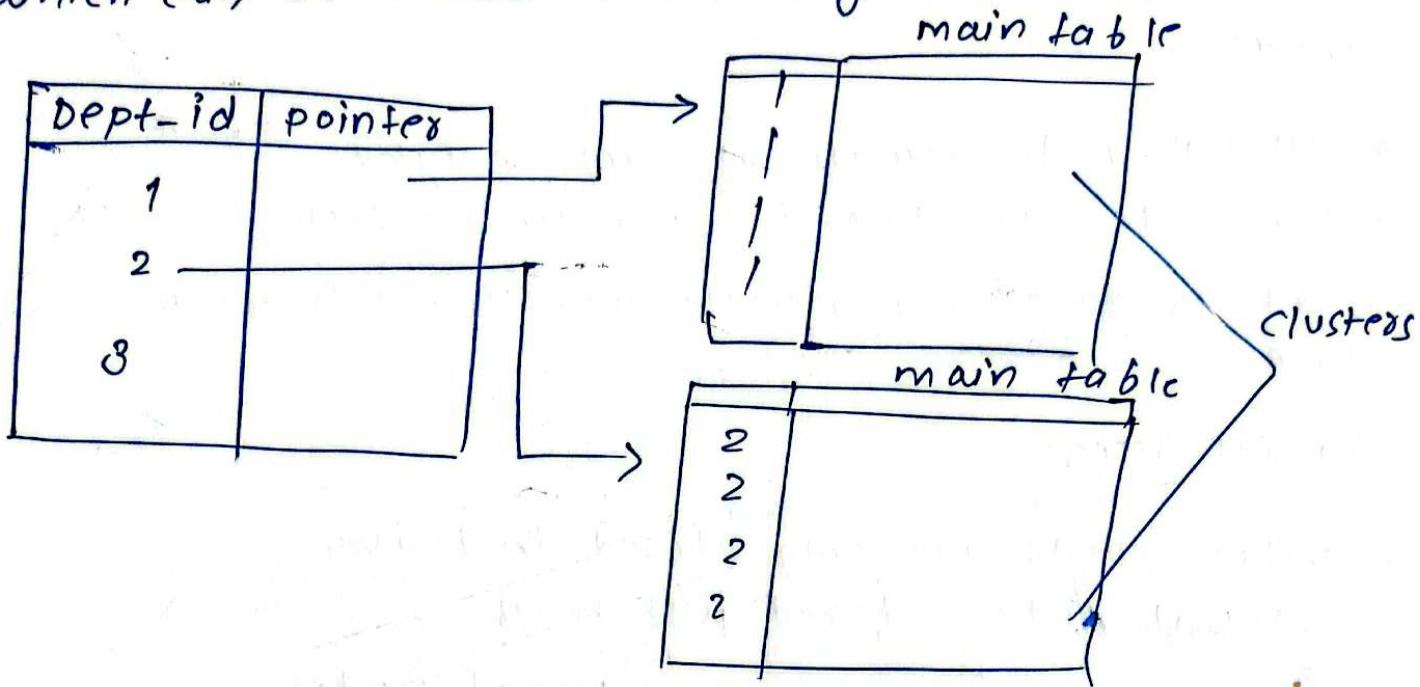
Example :- 2, 4, 7, 10, 17, 21, 28



Insert 21

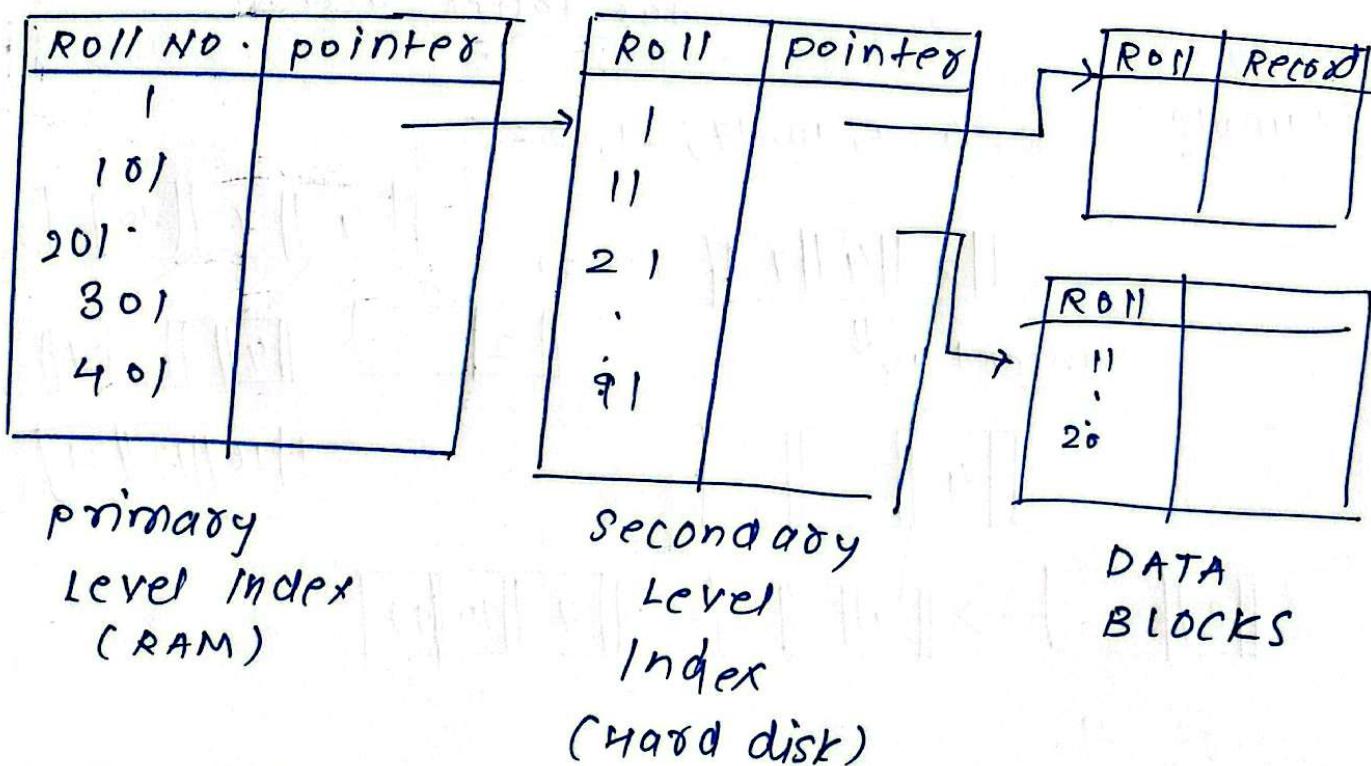
2) clustering

In case of a non-unique key such as dept-id
(which can be same for many students)



3) secondary

Two-level Index: Secondary indices have to be dense



Index points to a bucket that contains pointers to all the actual records with particular search key value

B+ trees

order of tree (m) = 4

maximum children = 4

$$\text{minimum children} = \frac{m}{2} = \frac{4}{2} = 2$$

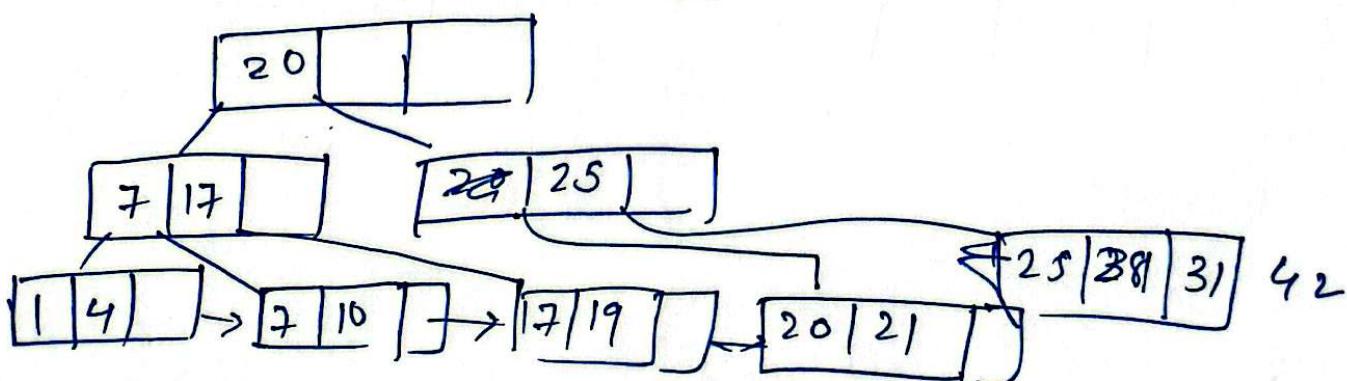
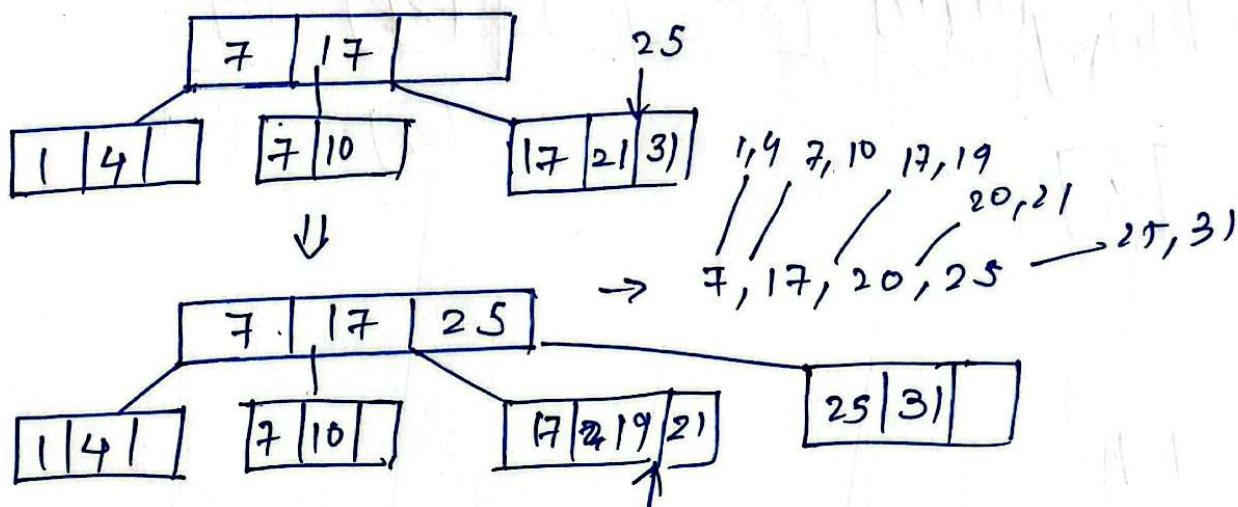
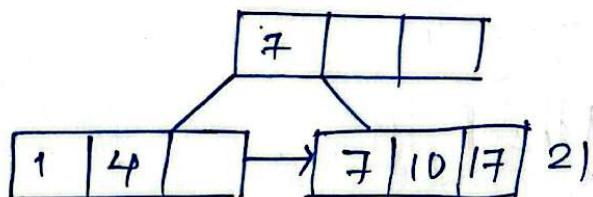
$$\text{max. keys} = (m-1) = 4-1 = 3$$

$$\text{min keys} = \left[\frac{m}{2} \right] - 1 = 1$$

1, 4, 7, 10, 17, 21, 25, 19, 20, 28, 42

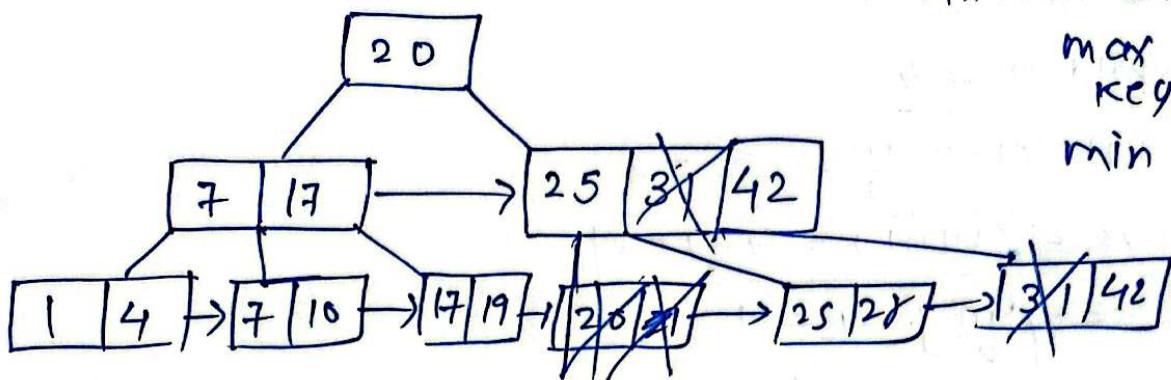
1	4	7	10
---	---	---	----

take 7 as min element
RBT

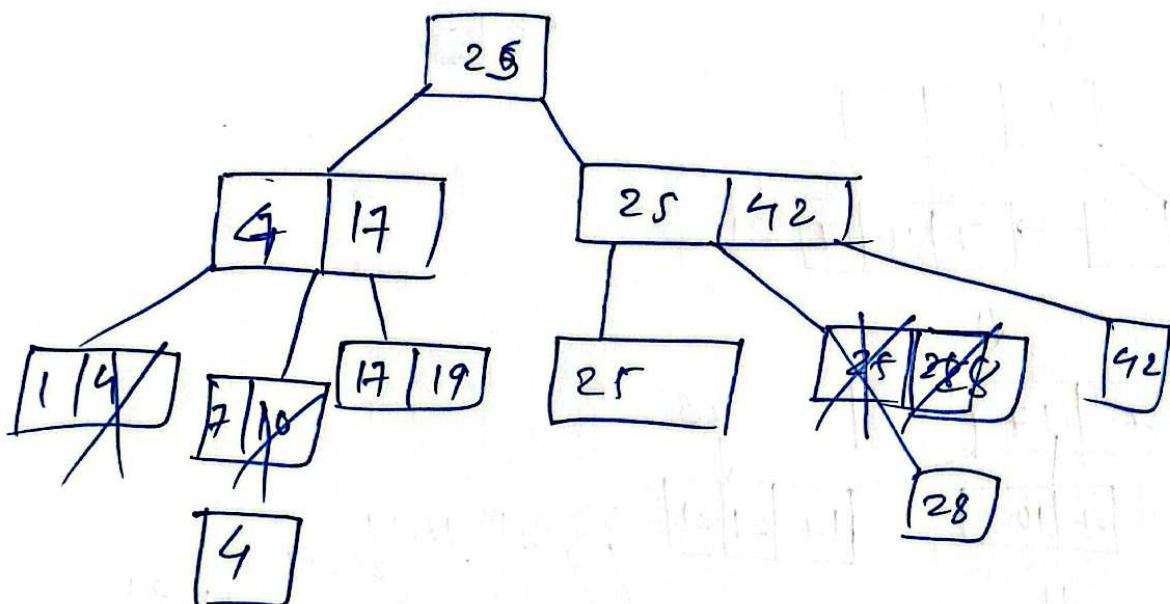


Deletion of B+ trees

max children (m) = 4
max min children = $\frac{m}{2} = 2$
max keys = 3
 $\min \text{keys} \left[\frac{m}{2} \right] - 1 = 1$

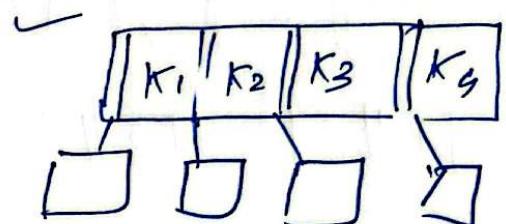


Delete 21, 31, 20, 10, 7, 25, 42



B - Tree

- balanced $\xrightarrow{\text{order}} m$ -way - tree
- Generalization of BST in which a node can have more than one key & more than 2-children.
- All leaf node must be at same level.
- B - tree of order m has following properties
 - Every node has max m children
 - min children : → leaf $\rightarrow 0$
root $\rightarrow 2$
 - internal nodes $\rightarrow \left[\frac{m}{2} \right]$
- Every node has max $(m-1)$ keys
 - min keys : root node $\rightarrow 1$
 - other nodes $\left(\frac{m}{2} \right) - 1$



internal nodes $\rightarrow \left[\frac{m}{2} \right]$

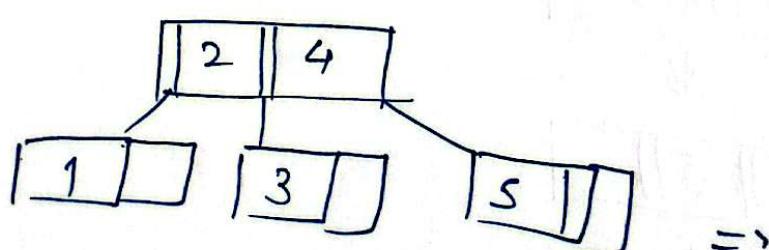
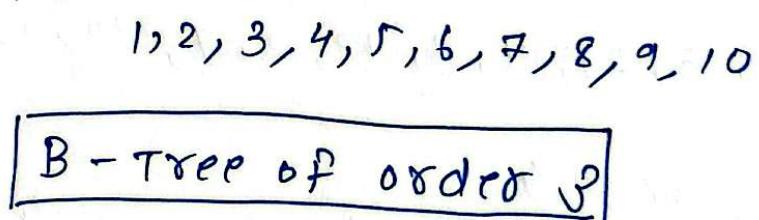
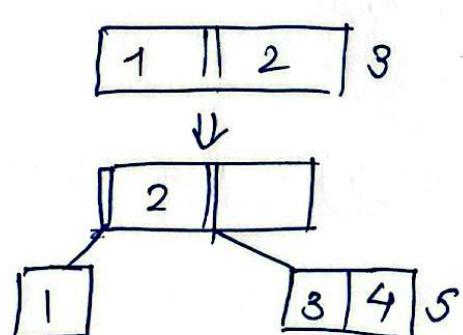
→ Every node has max $(m-1)$ keys

- min keys : root node $\rightarrow 1$
- other nodes $\left(\frac{m}{2} \right) - 1$

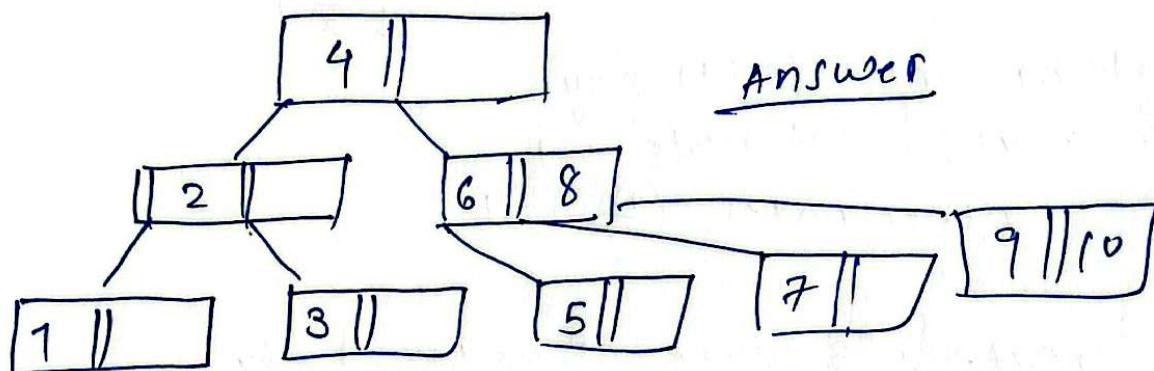
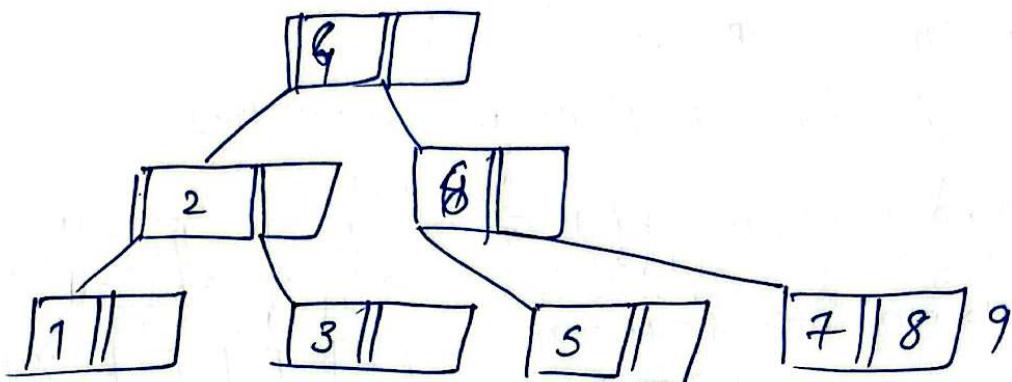
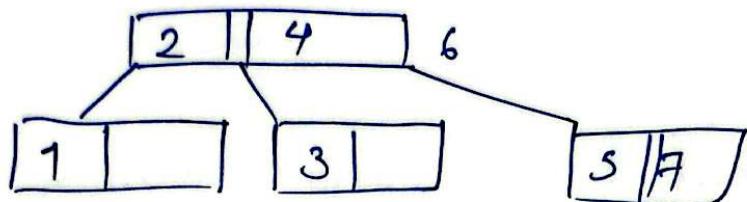
B - Tree :- Create a B - tree of order 3 by inserting values from 1 to 10

$$\max = 3$$

$$\text{Max Key} = (m-1) = 3-1 = 2$$



=>



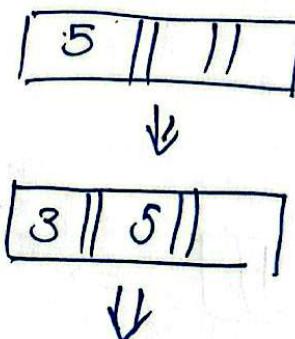
construct a B-tree of order 4 with following set of data.

5, 3, 21, 9, 1, 13, 2, 7, 10, 12, 4, 8

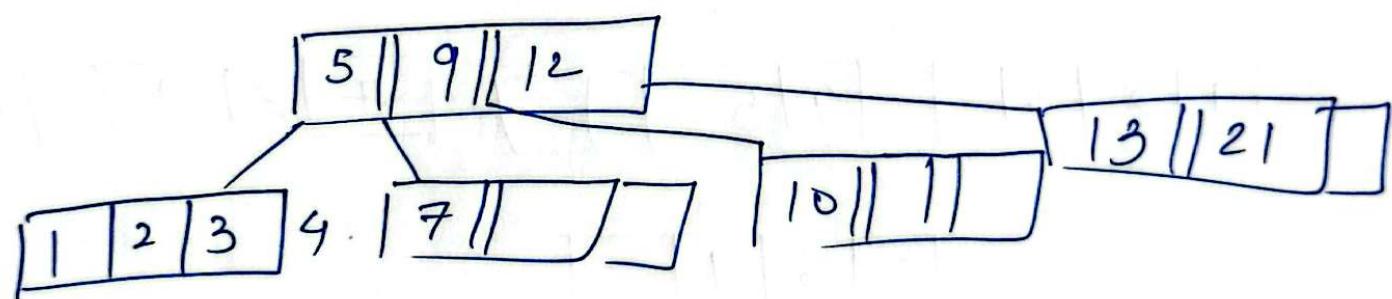
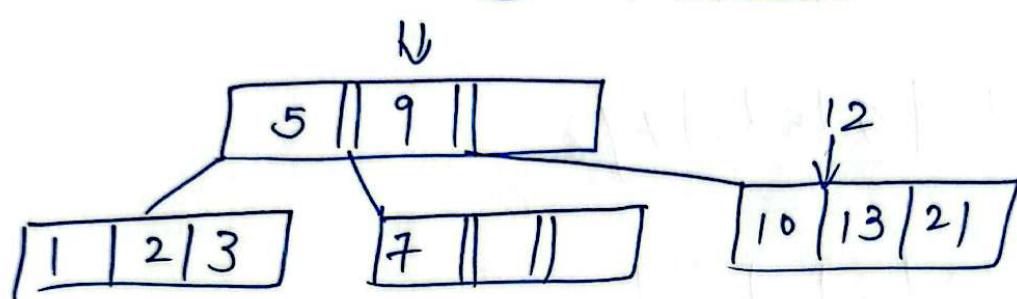
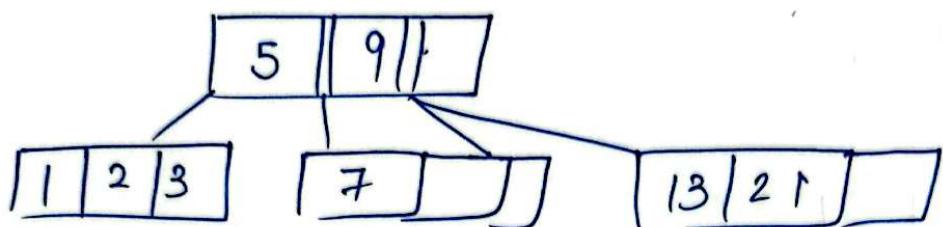
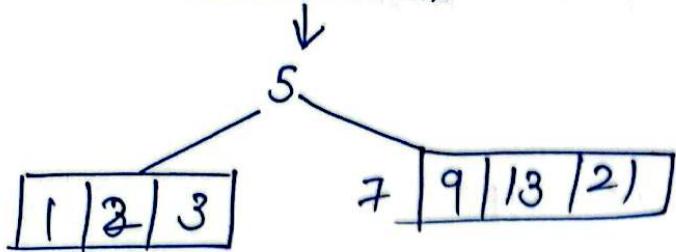
$$m = 4$$

$$\text{Keys} = \frac{(m-1)}{4} = \frac{3}{4} = 1 \quad \min = \frac{9}{4} - 1 = 2 - 1 = 1$$

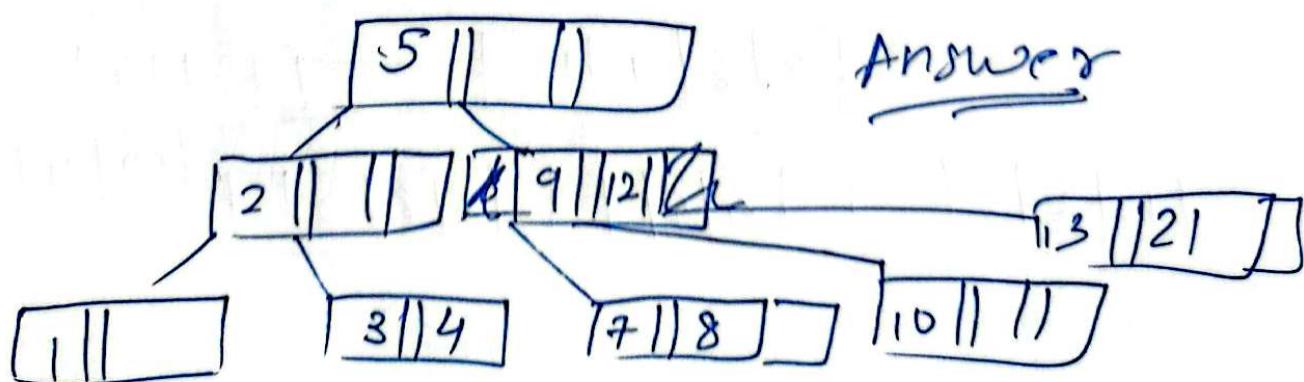
solution



8	5	21
---	---	----



2 \lceil 5 | | 9 | | 12

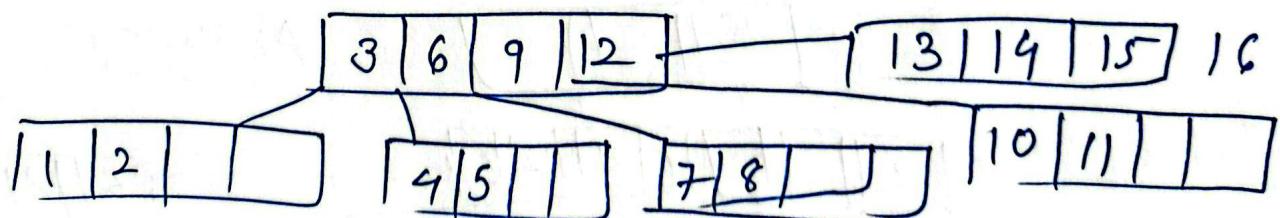
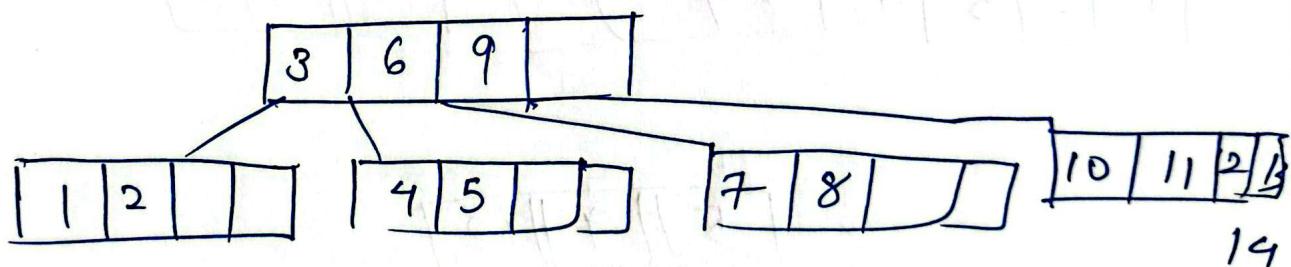
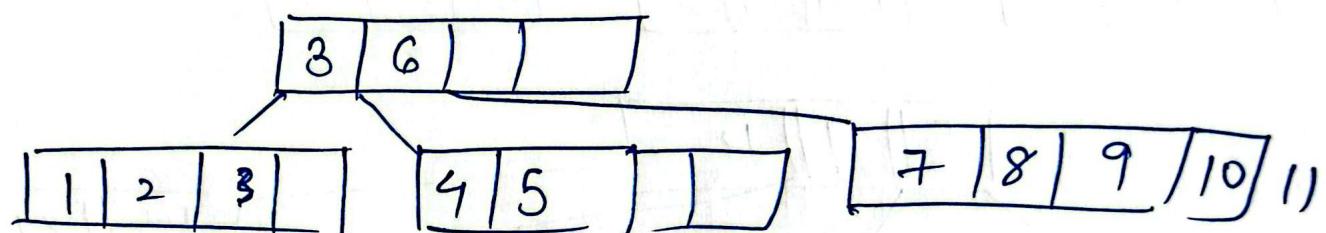
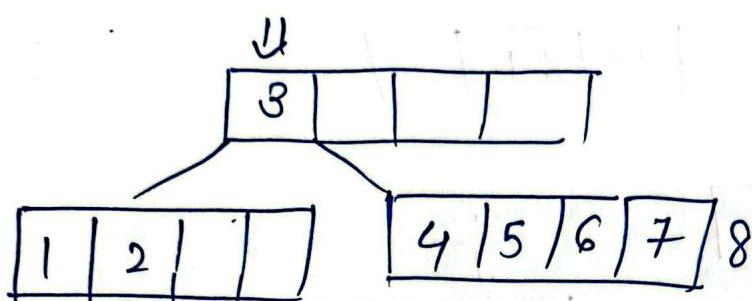
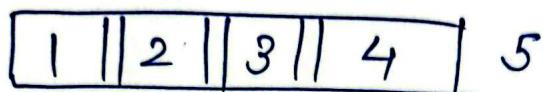


$1, 2, 3, 4, 5, 6, 7, 8, \dots, 20$

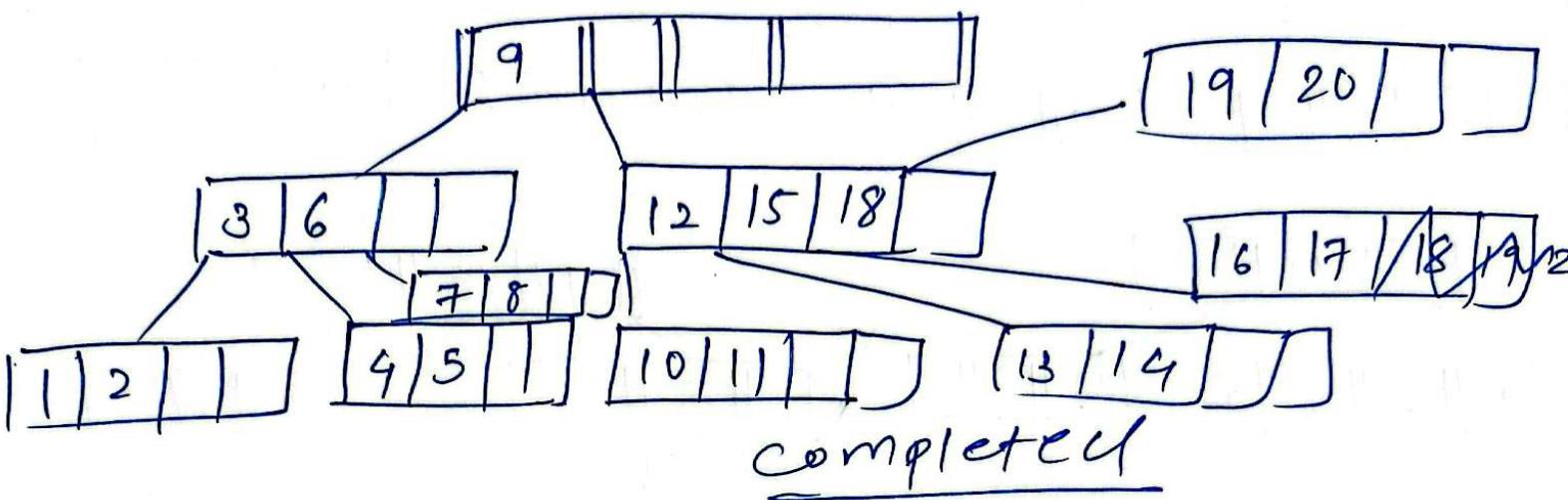
order 5 find B-tree

$m=5$ max children = 5

$m-1 = \text{max keys}$
4



3	6	9	12	15
---	---	---	----	----



NORMALIZATION

FIRST NORMAL FORM

→ Table should not contain any multivalued attributes

ROLL NO.	NAME	COURSE
1	Sai	C/C++
2	Harsh	Java
3	Onkar	C/DBMS

multivalued

ROLL NO.	NAME	COURSE
1	Sai	C
2	Sai	C++
2	Harsh	Java
3	Onkar	C
3	Onkar	DBMS

Let Primary Key be
ROLL NO.

ROLL NO.	NAME	COURSE 1	COURSE 2
1	Sai	C	C++
2	Harsh	Java	NULL
3	Onkar	C	DBMS

Another method

ROLL NO.	NAME
1	Sai
2	Harsh
3	Onkar

ROLL NO.	COURSE
1	C
1	C++
2	Java
3	C
3	DBMS

primary key

Roll No

foreign key

key

Roll No

Finding closure of functional dependencies.

Closure method

$R(ABCD)$

$$C.K = \{ \}$$

$$F.D \{ A \rightarrow B, B \rightarrow C, C \rightarrow D \}$$

$$A^+ = ABCD$$

$\therefore A$ is a candidate key

Non prime attribute
 $\{ BCD \}$

$$B^+ = BCD$$

$$(AB)^+ = ABCD$$

$$C^+ = CD$$

$$\begin{array}{l} (AB) = CK \times \\ \text{super key} \checkmark \end{array}$$

$$D^+ = D$$

$R(ABCD)$

$$F.D = \{ A \rightarrow B, B \rightarrow C, C \rightarrow D, D \rightarrow A \}$$

$$(A)^+ = ABCDA$$

$$CK = \{ A, B, C, D \}$$

$$(B)^+ = BCDA$$

prime attribute

$$(C)^+ = CPDAB$$

$$= \{ A, B, C, D \}$$

$$(D)^+ = ABCD$$

NON prime attribute

$$\{ \phi \}$$

SECOND NORMAL FORM (2nd NF)

- Table should be in 1st Normal Form
- Table or relation must be in 1NF
- All the non-prime attributes should be fully functional dependent on candidate key(s) or else

Candidate Key :

$CK = \{ \text{customer ID}, \text{store ID} \}$

prime attributes = {customer ID, store ID}

customer ID	store ID	Location
1	1	Delhi
1	3	Mumbai
2	1	Delhi
3	2	Bangalore
4	3	Mumbai

Non-prime attribute : {location}

2NF

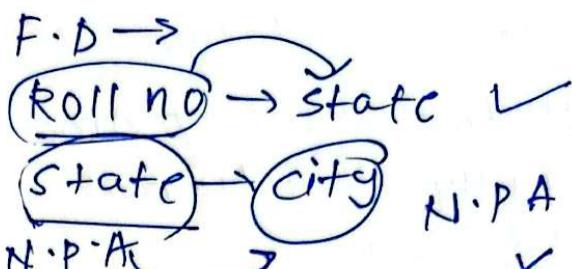
store ID	Location
1	Delhi
2	Bangalore
3	Mumbai

THIRD NORMAL FORM (3rd NF)

- Table should must be in second Normal Form
- There should to be no. transitive dependency in table.

<u>Roll No</u>	<u>state</u>	<u>city</u>
1.	Punjab	Mohali
2.	Haryana	Ambala
3.	Punjab	Mohali
4.	Haryana	Ambala
5	Bihar	Patna

$$C_K = \{ \text{ROLL NO} \}$$



$$C_K = \{ \text{ROLL no} \}$$

$$P.A = \{ \text{ROLL no} \}$$

$$N.P.A = \{ \text{state, city} \}$$

\therefore Table is not in 3CNF form

R (A B C D)

$$F.D \quad AB \rightarrow C \quad C \rightarrow D$$

R (A B C D)

$$C_K : (AB)^f = ABCD$$

$$\therefore C_K = \{ AB \} \quad \frac{P.A = \{ A, B \}}{N.P.A = \{ C, D \}}$$

$$AB \rightarrow C \quad \text{Valid} \checkmark$$

$$C \rightarrow D \quad \text{Not valid} \times$$

N.P.A N.P.A

Not in 3NF Form

R(ABCD)

F.D AB → CD D → A

$$(AB)^f = ABCD \quad (AD)^f = AD$$

$$\therefore CK = \{AB, BD\} \quad (BD)^f = \{ \underline{BDAC} \}$$

∴ prime Attributes = {A, B, D}
Non prime Attributes = {C}

$$\begin{array}{c} AB \rightarrow CD \\ \text{P.A} \end{array} \quad \begin{array}{c} \checkmark \\ \text{N.P.A} \end{array} \quad \therefore \underbrace{\text{in 3NF}}_{\text{P.A}} \quad \checkmark$$

$$D \rightarrow A \quad \begin{array}{c} \checkmark \\ \text{P.A} \end{array}$$

Note: LHS of all F.D is CK or S.K
or RHS is a prime Attribute

BCNF (Boyce Codd Normal Form)

Roll no.	Name	voter id	age
1.	Ravi	K0123	20
2	Vaishnav	M034	21
3.	Ravi	K786	23
4	Ranoli	D286	21

✓ BCNF

CK : { Roll no, voter id }

F.D = { }

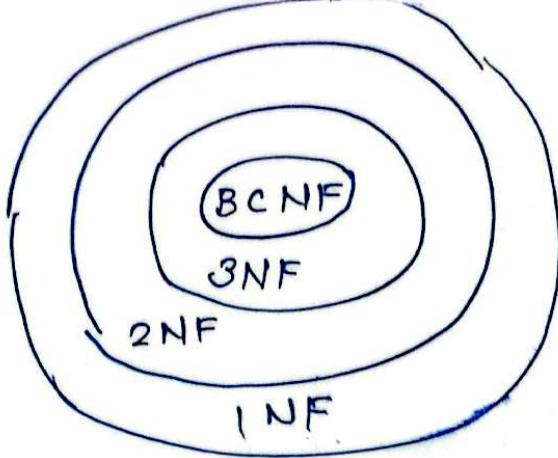
Roll no. → name

Roll no → voter id

voter id → age

voter id → Roll no.

L.H.S of each functional dependencies should be candidate key or a super key.



LOSSLESS/LOSSY JOIN DECOMPOSITION

R

A	B	C
1	2	1
2	2	2
3	3	2

$R_1(A B)$

A	B
1	2
2	2
3	3

$R_2(BC)$

B	C
2	1
2	2
3	2

Find the value of C if the value of A = 1

Select $R_2.C$ from R_2 Natural Join $R_1.A = '1'$
WHERE $R_1.A = 1$

A	B	C
1	2	1
1	2	2
2	2	1
2	2	2
3	3	2

common Attribute
should be CK or SK
of either R_1
or $\underline{R_2}$ or both

LOSSY Decomposition

For the functional dependencies, find correct
Minimal cover

$$\{A \rightarrow B, C \rightarrow B, D \rightarrow ABC, AC \rightarrow D\}$$

Step 1

$$A \rightarrow B$$

$$C \rightarrow B$$

$$D \rightarrow ABC$$

$$D \rightarrow B$$

$$D \rightarrow C, AC \rightarrow D$$

$$A^+ = A$$

$$C^+ = C$$

$$D^+ = \cancel{B} \cancel{B} DBC$$

Step 2

$$A \rightarrow B, C \rightarrow B, D \rightarrow A, D \rightarrow C, AC \rightarrow D$$

$$D \rightarrow B$$

Redundant

Step 3

$$A \rightarrow B, C \rightarrow B, \cancel{D \rightarrow A}, \cancel{D \rightarrow C}, AC \rightarrow D$$

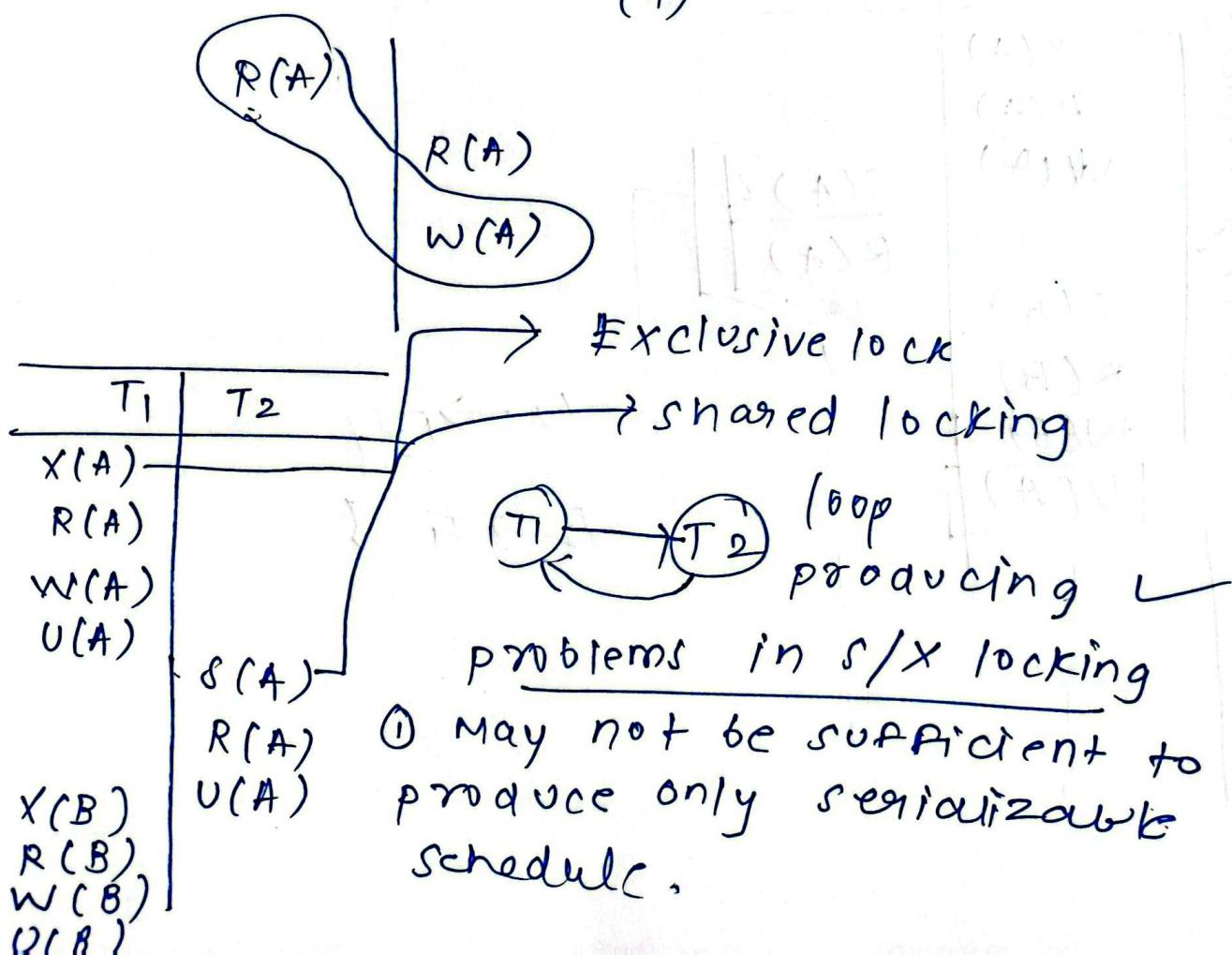
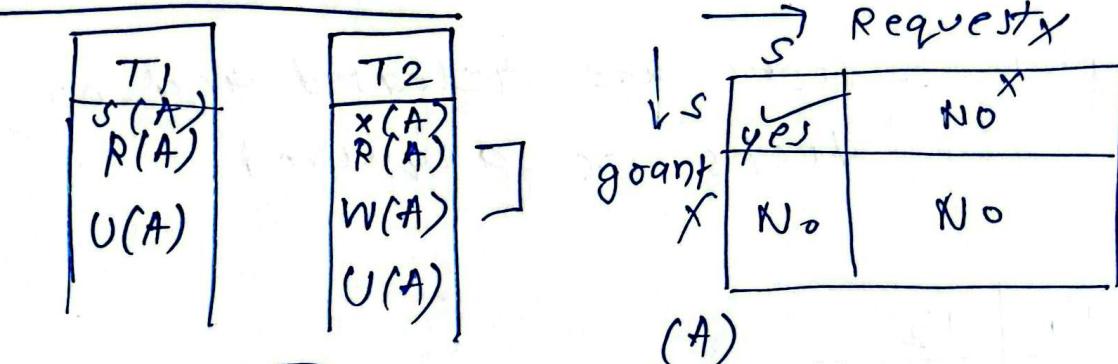
$$(C)^+ - C$$

concurrency control protocols.

Shared - Exclusive Locking

Shared Lock (S) :- If transaction locked data item in shared mode then allowed to read only

Exclusive Lock (X) :- If transaction data item in exclusive mode then allowed to read and write both

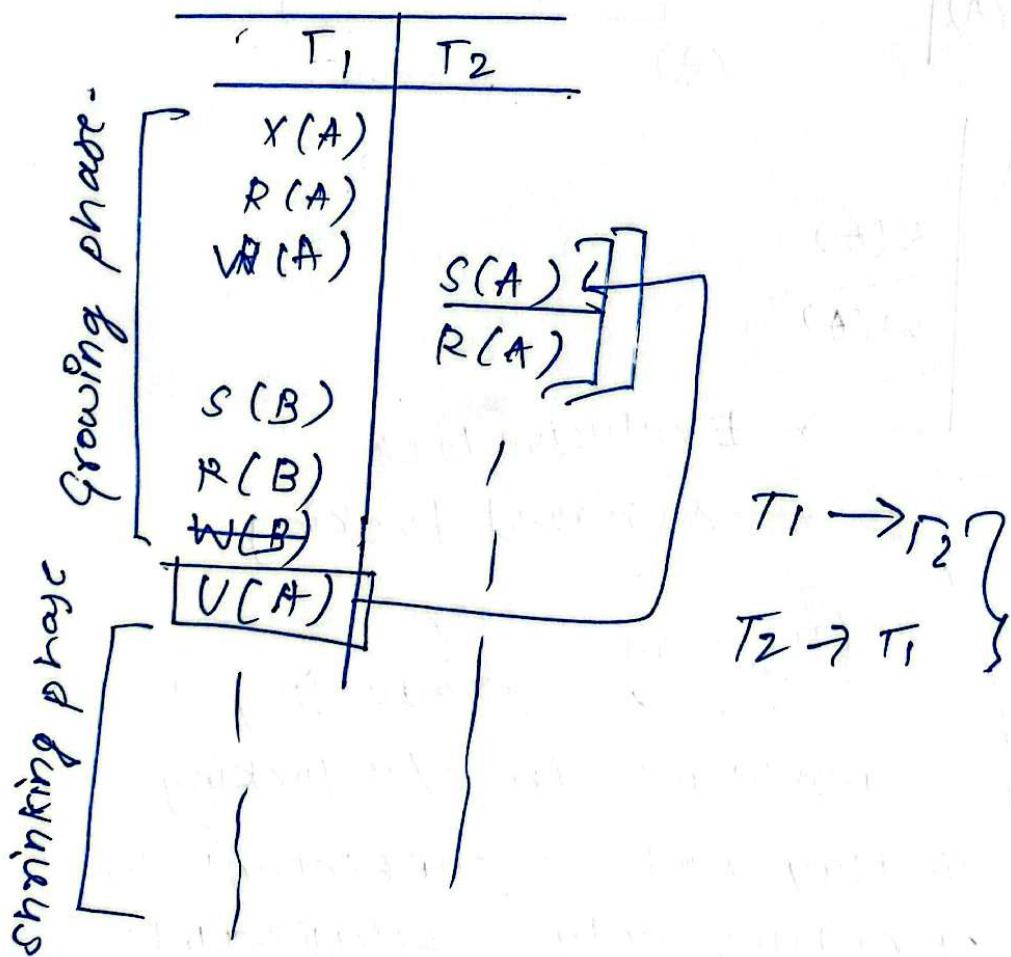


- 2) May Not be free from ~~irrecoverable~~ irrecoverable
- 3) May Not be free from dead lock
- 4) May not free from starvation

2-phase Locking (2PL)

Growing phase:- locks are acquired and no locks are released

Shrinking phase:- locks are released and no locks are acquired.



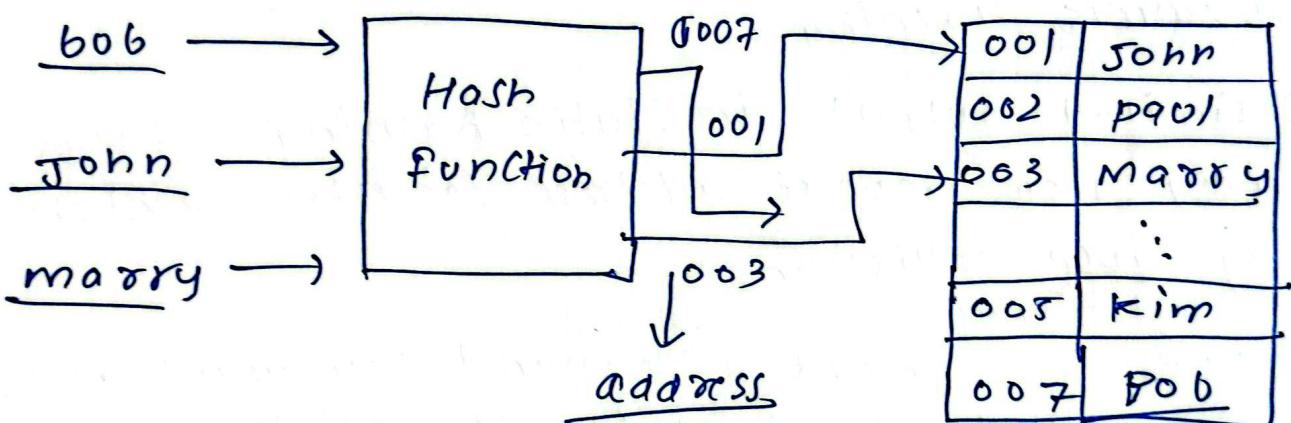
Hashing Techniques

Hashing:- Hashing is an effective technique to calculate the direct location of a data stored or data record on disk without using index structure.

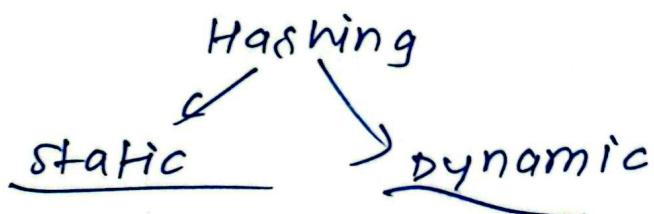
Hash organization:-

Bucket:- A Hash file stores data in ~~index~~ in bucket is considered a unit of storage

Hash function:- A hash function h is a mapping function that maps all the set of keys address where the actual records are placed.



Search key has only 1 address



i) Static Hashing :-

In static Hashing, when a search key value provided, the Hash Function always computes the same address.

$$\text{mod}(x) = 0 \rightarrow x-1$$

$$\text{mod}(5) = 0 \rightarrow 4$$

$$10/5 = 0$$

If we want to generate address for a key using $\text{mod}(5)$ hash function it always result in the same $0 \rightarrow 14$

No. of data buckets in the memory for static hashing remains constant

Dynammic Hashing

- i) Limited outputs in static hashing which may also repeat address location which may cause collision
- ii), Does not shrink or expand dynamically, as database expands or shrinks