Higher-ranked Exception Types

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1 The λ^{\cup} -calculus

Types

$$\tau \in \textbf{Ty} \hspace{1cm} ::= \hspace{1cm} \mathcal{P} \hspace{1cm} \text{(base type)} \\ \hspace{1cm} \mid \hspace{1cm} \tau_1 \to \tau_2 \hspace{1cm} \text{(function type)}$$

Terms

$$t \in \mathbf{Tm} \hspace{1cm} ::= \hspace{1cm} x, y, \dots \hspace{1cm} \text{(variable)}$$

$$\mid \hspace{1cm} \lambda x : \tau.t \hspace{1cm} \text{(abstraction)}$$

$$\mid \hspace{1cm} t_1 \hspace{1cm} t_2 \hspace{1cm} \text{(application)}$$

$$\mid \hspace{1cm} \emptyset \hspace{1cm} \text{(empty)}$$

$$\mid \hspace{1cm} \{c\} \hspace{1cm} \text{(singleton)}$$

$$\mid \hspace{1cm} t_1 \cup t_2 \hspace{1cm} \text{(union)}$$

Values Values v are terms of the form

$$\lambda x_1 : \tau_1 \cdots \lambda x_i : \tau_i \cdot \{c_1\} \cup (\cdots \cup (\{c_i\} \cup (x_1 \ v_{11} \cdots v_{1m} \cup (\cdots \cup x_k \ v_{k1} \cdots v_{kn}))))$$

Environments

$$\Gamma \in \mathbf{Env} ::= \cdot \mid \Gamma, x : \tau$$

1.1 Typing relation

$$\frac{\Gamma, x: \tau_1 \vdash t: \tau_2}{\Gamma, x: \tau \vdash x: \tau} \text{ [T-Var]} \quad \frac{\Gamma, x: \tau_1 \vdash t: \tau_2}{\Gamma \vdash \lambda x: \tau_1.t: \tau_1 \to \tau_2} \text{ [T-Abs]} \quad \frac{\Gamma \vdash t_1: \tau_1 \to \tau_2 \quad \Gamma \vdash t_2: \tau_1}{\Gamma \vdash t_1 \ t_2: \tau_2} \text{ [T-App]}$$

$$\frac{}{\Gamma \vdash \varnothing : \mathcal{P}} \text{ [T-Empty]} \quad \frac{}{\Gamma \vdash \{c\} : \mathcal{P}} \text{ [T-Con]} \quad \frac{\Gamma \vdash t_1 : \tau \quad \Gamma \vdash t_2 : \tau}{\Gamma \vdash t_1 \cup t_2 : \tau} \text{ [T-Union]}$$

1.2 Semantics

1.3 Reduction relation

Definition 1. *Let* \prec *be a strict total order on* $\mathbf{Con} \cup \mathbf{Var}$, *with* $c \prec x$ *for all* $c \in \mathbf{Con}$ *and* $x \in \mathbf{Var}$.

$$(\lambda x : \tau.t_1) \ t_2 \longrightarrow t_1[t_2/x] \qquad (\beta\text{-reduction})$$

$$(t_1 \cup t_2) \ t_3 \longrightarrow t_1 \ t_3 \cup t_2 \ t_3$$

$$(\lambda x : \tau.t_1) \cup (\lambda x : \tau.t_2) \longrightarrow \lambda x : \tau. (t_1 \cup t_2) \qquad (\text{congruences})$$

$$x \ t_1 \cdots t_n \cup x' \ t'_1 \cdots t'_n \longrightarrow x \ (t_1 \cup t'_1) \cdots (t_n \cup t'_n)$$

$$(t_1 \cup t_2) \cup t_3 \longrightarrow t_1 \cup (t_2 \cup t_3) \qquad (\text{associativity})$$

$$\emptyset \cup t \longrightarrow t \qquad (\text{unit})$$

$$t \cup \emptyset \longrightarrow t \qquad (\text{unit})$$

$$x \cup x \longrightarrow x$$

$$x \cup (x \cup t) \longrightarrow x \cup t \qquad (\text{idempotence})$$

$$\{c\} \cup \{c\} \longrightarrow \{c\} \cup t$$

$$x \ t_1 \cdots t_n \cup \{c\} \longrightarrow \{c\} \cup x \ t_1 \cdots t_n \qquad (1)$$

$$x \ t_1 \cdots t_n \cup (\{c\} \cup t) \longrightarrow \{c\} \cup (x \ t_1 \cdots t_n \cup t) \qquad (2)$$

$$x \ t_1 \cdots t_n \cup x' \ t'_1 \cdots t'_n \longrightarrow x' \ t'_1 \cdots t'_n \cup x \ t_1 \cdots t_n \qquad \text{if} \ x' \prec x \qquad (3)$$

$$x \ t_1 \cdots t_n \cup (x' \ t'_1 \cdots t'_n \cup t) \longrightarrow x' \ t'_1 \cdots t'_n \cup (x \ t_1 \cdots t_n \cup t) \qquad \text{if} \ x' \prec x \qquad (4)$$

$$\{c\} \cup \{c'\} \longrightarrow \{c'\} \cup \{c\} \cup t\} \qquad \text{if} \ c' \prec c \qquad (5)$$

$$\{c\} \cup (\{c'\} \cup t) \longrightarrow \{c'\} \cup (\{c\} \cup t) \qquad \text{if} \ c' \prec c \qquad (6)$$

Conjecture 1. *The reduction relation* \longrightarrow *preserves meaning.*

Conjecture 2. The reduction relation \longrightarrow is strongly normalizing.

Conjecture 3. *The reduction relation* \longrightarrow *is locally confluent.*

Corollary 1. *The reduction relation* \longrightarrow *is confluent.*

Proof. Follows from SN, LC and Newman's Lemma.

Corollary 2. The λ^{\cup} -calculus has unique normal forms.

Corollary 3. Equality of λ^{\cup} -terms can be decided by normalization.

2 Completion

$$\kappa \in \mathbf{Kind}$$
 ::= EXN (exception)
 $\mid \kappa_1 \Rightarrow \kappa_2$ (exception operator)

$$\varphi \in \mathbf{Exn} \qquad ::= e \qquad \qquad \text{(exception variables)}$$

$$\mid \lambda e : \kappa. \varphi \qquad \qquad \text{(exception abstraction)}$$

$$\widehat{\tau} \in \mathbf{ExnTy} \qquad ::= \forall e :: \kappa. \widehat{\tau} \qquad \text{(exception quantification)}$$

$$\mid b\widehat{\text{ool}} \qquad \qquad \text{(boolean type)}$$

$$\mid [\widehat{\tau}\langle \varphi \rangle] \qquad \qquad \text{(list type)}$$

$$\mid \widehat{\tau}_1\langle \varphi_1 \rangle \to \widehat{\tau}_2\langle \varphi_2 \rangle \qquad \qquad \text{(function type)}$$

The completion procedure as a set of inference rules:

$$\begin{split} & \frac{\overline{e_i :: \kappa_i} \vdash \mathbf{bool} : \widehat{bool} \ \& \ e \ \overline{e_i} \triangleright e :: \overline{\kappa_i} \Longrightarrow_{\mathsf{EXN}} [\mathsf{C-Bool}] \\ & \frac{\overline{e_i :: \kappa_i} \vdash \tau : \widehat{\tau} \ \& \ \varphi \triangleright \overline{e_j :: \kappa_j}}{\overline{e_i :: \kappa_i} \vdash [\tau] : [\widehat{\tau} \langle \varphi \rangle] \ \& \ e \ \overline{e_i} \triangleright e :: \overline{\kappa_i} \Longrightarrow_{\mathsf{EXN}}, \overline{e_j :: \kappa_j}} \ [\mathsf{C-List}] \\ & \frac{\vdash \tau_1 : \widehat{\tau}_1 \ \& \ \varphi_1 \triangleright \overline{e_j :: \kappa_j} \quad \overline{e_i :: \kappa_i}, \overline{e_j :: \kappa_j} \vdash \tau_2 : \widehat{\tau}_2 \ \& \ \varphi_2 \triangleright \overline{e_j :: \kappa_j}}{\overline{e_i :: \kappa_i} \vdash \tau_1 \to \tau_2 : \forall \overline{e_j :: \kappa_j}. (\widehat{\tau}_1 \langle \varphi_1 \rangle \to \widehat{\tau}_2 \langle \varphi_2 \rangle) \ \& \ e \ \overline{e_i} \triangleright e :: \overline{\kappa_j} \Longrightarrow_{\mathsf{EXN}}, \overline{e_k :: \kappa_k}} \ [\mathsf{C-Arr}] \end{split}$$

Figure 1: Type completion $(\Gamma \vdash \tau : \widehat{\tau} \& \varphi \triangleright \Gamma')$

The completion procedure as an algorithm:

complete ::
$$\mathbf{Env} \times \mathbf{Ty} \to \mathbf{ExnTy} \times \mathbf{Exn} \times \mathbf{Env}$$

complete $\overline{e_i :: \kappa_i} \ \mathbf{bool} =$
 $\mathbf{let} \ e \ be \ fresh$
 $\mathbf{in} \ \langle \mathbf{bool}; e \ \overline{e_i}; e :: \overline{\kappa_i} \Rightarrow \mathbf{EXN} \rangle$

3 Type system

3.1 Terms

3.2 Underlying type system

$$\begin{array}{ll} \overline{\Gamma,x:\tau\vdash x:\tau} & [\text{T-Var}] & \overline{\Gamma\vdash c_\tau:\tau} & [\text{T-Con}] & \overline{\Gamma\vdash t_\ell^\ell\tau:\tau} & [\text{T-Crash}] \\ \hline \frac{\Gamma,x:\tau_1\vdash t:\tau_2}{\Gamma\vdash \lambda x:\tau_1.t:\tau_1\to\tau_2} & [\text{T-Abs}] & \frac{\Gamma\vdash t_1:\tau_2\to\tau & \Gamma\vdash t_2:\tau_2}{\Gamma\vdash t_1\:t_2:\tau} & [\text{T-App}] \\ \hline & \frac{\Gamma\vdash t:\tau\to\tau}{\Gamma\vdash \text{fix}\:t:\tau} & [\text{T-Fix}] \\ \hline \\ \frac{\Gamma\vdash t_1:\text{int} & \Gamma\vdash t_2:\text{int}}{\Gamma\vdash t_1\oplus t_2:\text{bool}} & [\text{T-Op}] & \frac{\Gamma\vdash t_1:\tau_1 & \Gamma\vdash t_2:\tau_2}{\Gamma\vdash t_1\:\text{seq}\:t_2:\tau_2} & [\text{T-Seq}] \\ \hline \\ \frac{\Gamma\vdash t_1:\text{bool} & \Gamma\vdash t_2:\tau & \Gamma\vdash t_3:\tau}{\Gamma\vdash \text{if}\:t_1\:\text{then}\:t_2\:\text{else}\:t_3:\tau} & [\text{T-If}] \\ \hline \\ \frac{\Gamma\vdash []_\tau:[\tau]}{\Gamma\vdash []_\tau:[\tau]} & \frac{\Gamma\vdash t_1:\tau & \Gamma\vdash t_2:[\tau]}{\Gamma\vdash t_1:t_2:[\tau]} & [\text{T-Cons}] \\ \hline \\ \frac{\Gamma\vdash t_1:[\tau_1] & \Gamma\vdash t_2:\tau & \Gamma,x_1:\tau_1,x_2:[\tau_1]\vdash t_3:\tau}{\Gamma\vdash \text{case}\:t_1\:\text{of}\:\{[]\mapsto t_2;x_1:x_2\mapsto t_3\}:\tau} & [\text{T-Case}] \\ \hline \end{array}$$

Figure 2: Underlying type system ($\Gamma \vdash t : \tau$)

3.3 Declarative exception type system

- In T-Abs and T-AnnAbs, should the term-level term-abstraction also have an explicit effect annotation?
- In T-AnnAbs, might need a side condition stating that e is not free in Δ .
- In T-App, note the double occurrence of φ when typing t_1 . Is subeffecting sufficient here? Also note that we do *not* expect an exception variable in the left-hand side annotation of the function space constructor.
- In T-AnnApp, note the substitution. We will need a substitution lemma for annotations.
- In T-Fix, the might be some universal quantifiers in our way. Do annotation applications in *t* take care of this, already? Perhaps we do need to change fix *t* into a binding construct to resolve this? Also, there is some implicit subeffecting going on between the annotations and effect.
- In T-Case, note the use of explicit subeffecting. Can this be done using implicit subeffecting?
- For T-Sub, should we introduce a term-level coercion, as in Dussart– Henglein–Mossin? We now do shape-conformant subtyping, is subeffecting sufficient?
- Do we need additional kinding judgements in some of the rules? Can we merge the kinding judgement with the subtyping and/or -effecting judgement? Kind-preserving substitutions.

3.4 Type elaboration system

• For T-Fix: how would a binding fixpoint construct work?

3.5 Type inference algorithm

- In R-App and R-Fix: check that the fresh variables generated by \mathcal{I} are substituted away by the substitution θ created by \mathcal{M} . Also, we don't need those variables in the algorithm if we don't generate the elaborated term.
- In R-Fix we could get rid of the auxillary underlying type function if the fixpoint construct was replaced with a binding variant with an explicit type annotation.
- For R-Fix, make sure the way we handle fixpoints of exceptional value in a manner that is sound w.r.t. to the operational semantics we are going to give to this.
- Note that we do not construct the elaborated term, as it is not useful other than for metatheoretic purposes.
- Lemma: The algorithm maintains the invariant that exception types and exceptions are in normal form.

3.6 Subtyping

• Possibly useful lemma: $\hat{\tau}_1 = \hat{\tau}_2 \iff \hat{\tau}_1 \leqslant \hat{\tau}_2 \land \hat{\tau}_2 \leqslant \hat{\tau}_1$.

4 Operational semantics

4.1 Evaluation

- The reduction relation is non-deterministic.
- We do not have a Haskell-style imprecise exception semantics (e.g. E-IF).
- We either need to omit the type annotations on ξ^{ℓ}_{τ} , or add them to if then else and case of $\{[] \mapsto ; :: \mapsto \}$.

5 Interesting observations

• Exception types are not invariant under η -reduction.

6 Metatheory

Lemma 1 (Annotation substitution).

- 1. If $\Delta, e : \kappa' \vdash \varphi : \kappa$ and $\Delta \vdash \varphi' : \kappa'$ then $\Delta \vdash \varphi[\varphi'/e] : \kappa$.
- 2. If $\Delta, e : \kappa' \vdash \varphi_1 \leqslant \varphi_2$ and $\Delta \vdash \varphi' : \kappa'$ then $\Delta \vdash \varphi_1[\varphi'/e] \leqslant \varphi_2[\varphi'/e]$.
- 3. If $\Delta, e : \kappa' \vdash \widehat{\tau}_1 \leqslant \widehat{\tau}_2$ and $\Delta \vdash \varphi' : \kappa'$ then $\Delta \vdash \widehat{\tau}_1[\varphi'/e] \leqslant \widehat{\tau}_2[\varphi'/e]$.
- 4. If Γ ; Δ , $e : \kappa' \vdash t : \widehat{\tau} \& \varphi$ and $\Delta \vdash \varphi' : \kappa'$ then Γ ; $\Delta \vdash t[\varphi'/e] : \widehat{\tau} \& \varphi$.

Proof. 1. By induction on the derivation of Δ , $e:\kappa' \vdash \varphi:\kappa$. The cases A-Var, A-Abs and A-App are analogous to the respective cases in the proof of term substitution below. In the case A-Con one can strengthen the assumption Δ , $e:\kappa' \vdash \{\ell\}: \text{exn to } \Delta \vdash \{\ell\}: \text{exn as } e \notin \text{fv}(\{\ell\})$, the result is then immediate; similarly for A-Empty. The case A-Union goes analogous to A-App.

- 2. To Do.
- 3. To Do.
- 4. By induction on the derivation of Γ ; Δ , e : κ' \vdash t : $\widehat{\tau}$ & φ . Most cases can be discarded by a straightforward application of the induction hypothesis; we show only the interesting case.

Case T-AnnApp: To do.

To Do.

Lemma 2 (Term substitution). *If* Γ , $x : \widehat{\tau}' \& \varphi$; $\Delta \vdash t : \widehat{\tau} \& \varphi$ *and* Γ ; $\Delta \vdash t' : \widehat{\tau}' \& \varphi'$ *then* Γ ; $\Delta \vdash t[t'/x] : \widehat{\tau} \& \varphi$.

Proof. By induction on the derivation of Γ , $x : \widehat{\tau}' \& \varphi$; $\Delta \vdash t : \widehat{\tau} \& \varphi$.

Case T-Var: We either have t=x or t=x' with $x\neq x'$. In the first case we need to show that $\Gamma; \Delta \vdash x[t'/x] : \widehat{\tau} \& \varphi$, which by definition of substitution is equal to $\Gamma; \Delta \vdash x : \widehat{\tau} \& \varphi$, but this is one of our assumptions. In the second case we need to show that $\Gamma, x' : \widehat{\tau} \& \varphi; \Delta \vdash x'[t/x] : \widehat{\tau} \& \varphi$, which by definition of substitution is equal to $\Gamma, x' : \widehat{\tau} \& \varphi; \Delta \vdash x' : \widehat{\tau} \& \varphi$. This follows immediately from T-Var.

Case T-ABS: Our assumptions are

$$\Gamma, x : \widehat{\tau}' \& \varphi', y : \widehat{\tau}_1 \& \varphi_1; \Delta \vdash t : \widehat{\tau}_2 \& \varphi_2 \tag{7}$$

$$\Gamma; \Delta \vdash t' : \widehat{\tau}' \& \varphi'. \tag{8}$$

By the Barendregt convention we may assume that $y \neq x$ and $y \notin \text{fv}(t')$. We need to show that $\Gamma; \Delta \vdash (\lambda y : \widehat{\tau}_1 \& \varphi_1.t)[t'/x] : \widehat{\tau}_1 \langle \varphi_1 \rangle \to \widehat{\tau}_2 \langle \varphi_2 \rangle \& \emptyset$, which by definition of substitution is equal to

$$\Gamma; \Delta \vdash \lambda y : \widehat{\tau}_1 \& \varphi_1.t[t'/x] : \widehat{\tau}_1 \langle \varphi_1 \rangle \to \widehat{\tau}_2 \langle \varphi_2 \rangle \& \emptyset.$$
 (9)

We weaken (8) to Γ , y : $\widehat{\tau}_1$ & φ_1 ; $\Delta \vdash t'$: $\widehat{\tau}'$ & φ' and apply the induction hypothesis on this and (7) to obtain

$$\Gamma, y : \widehat{\tau}_1 \& \varphi_1; \Delta \vdash t[t'/x] : \widehat{\tau}_2 \& \varphi_2. \tag{10}$$

The desired result (9) can be constructed from (10) using T-ABS.

Case T-AnnAbs: Our assumptions are $\Gamma, x : \widehat{\tau}' \& \varphi'; \Delta, e : \kappa \vdash t : \widehat{\tau} \& \varphi$ and $\Gamma; \Delta \vdash t' : \widehat{\tau}' \& \varphi'$. By the Barendregt convention we may assume that $e \notin fv(t')$. We need to show that $\Gamma; \Delta \vdash (\Delta e : \kappa.t) [t'/x] : \widehat{\tau} \& \varphi$, which is equal to $\Gamma; \Delta \vdash \Delta e : \kappa.t[t'/\kappa] : \widehat{\tau} \& \varphi$ by definition of substitution. By applying the induction hypothesis we obtain $\Gamma; \Delta, e : \kappa \vdash t[t'/x] : \widehat{\tau} \& \varphi$. The desired result can be constructed using T-AnnAbs.

Case T-App: Our assumptions are

$$\Gamma, x : \widehat{\tau}' \& \varphi'; \Delta \vdash t_1 : \widehat{\tau}_2 \langle \varphi_2 \rangle \to \widehat{\tau} \langle \varphi \rangle \& \varphi$$
 (11)

$$\Gamma, x : \widehat{\tau}' \& \varphi'; \Delta \vdash t_2 : \widehat{\tau}_2 \& \varphi_2. \tag{12}$$

We need to show that Γ ; $\Delta \vdash (t_1 \ t_2)[t'/x] : \widehat{\tau} \& \varphi$, which by definition of substitution is equal to

$$\Gamma; \Delta \vdash (t_1[t'/x]) \ (t_2[t'/x]) : \widehat{\tau} \& \varphi.$$
 (13)

By applying the induction hypothesis to (11) respectively (12) we obtain

$$\Gamma; \Delta \vdash t_1[t'/x] : \widehat{\tau}_2\langle \varphi_2 \rangle \to \widehat{\tau}\langle \varphi \rangle \& \varphi$$
 (14)

$$\Gamma; \Delta \vdash t_2[t'/x] : \widehat{\tau}_2 \& \varphi_2. \tag{15}$$

The desired result (13) can be constructed by applying T-APP to (14) and (15). All other cases are either immediate or analogous to the case of T-APP. \Box

$$\overline{\Gamma, x : \widehat{\tau} \& \varphi; \Delta \vdash x : \widehat{\tau} \& \varphi} \begin{bmatrix} \text{T-Var} \end{bmatrix}$$

$$\overline{\Gamma; \Delta \vdash c_\tau : \bot_\tau \& \varnothing} \begin{bmatrix} \text{T-Con} \end{bmatrix} \quad \overline{\Gamma; \Delta \vdash \frac{t}{\ell_\tau} : \bot_\tau \& \{\ell\}} \begin{bmatrix} \text{T-Crash} \end{bmatrix}$$

$$\overline{\Gamma; \Delta \vdash c_\tau : \bot_\tau \& \varphi} \begin{bmatrix} \text{T-Crash} \end{bmatrix} \quad \overline{\Gamma; \Delta \vdash \frac{t}{\ell_\tau} : \bot_\tau \& \{\ell\}} \begin{bmatrix} \text{T-Crash} \end{bmatrix}$$

$$\overline{\Gamma; \Delta \vdash \lambda x : \widehat{\tau}_1 \& \varphi_1, t : \widehat{\tau}_1 \langle \varphi_1 \rangle \rightarrow \widehat{\tau}_2 \langle \varphi_2 \rangle \& \varnothing} \begin{bmatrix} \text{T-Abs} \end{bmatrix}$$

$$\overline{\Gamma; \Delta \vdash \lambda x : \widehat{\tau}_1 \& \varphi_1, t : \widehat{\tau}_2 \& \varphi} \quad [\text{T-AnnAbs}]$$

$$\overline{\Gamma; \Delta \vdash \lambda x : \widehat{\tau}_2 \langle \varphi_2 \rangle \rightarrow \widehat{\tau} \langle \varphi \rangle \& \varphi} \quad \Gamma; \Delta \vdash t_2 : \widehat{\tau}_2 \& \varphi_2} \quad [\text{T-App}]$$

$$\overline{\Gamma; \Delta \vdash t_1 : \widehat{\tau}_2 \langle \varphi_2 \rangle \rightarrow \widehat{\tau} \langle \varphi' \rangle \& \varphi} \quad \Gamma; \Delta \vdash t_2 : \widehat{\tau}_2 \& \varphi} \quad [\text{T-AnnApp}]$$

$$\overline{\Gamma; \Delta \vdash t_1 : \overline{\tau}_2 \langle \varphi_2 \rangle \rightarrow \widehat{\tau} \langle \varphi' \rangle \& \varphi'' \quad \Delta \vdash \varphi' \leqslant \varphi \quad \Delta \vdash \varphi'' \leqslant \varphi} \quad [\text{T-Fix}]$$

$$\overline{\Gamma; \Delta \vdash t_1 : \widehat{\tau}_1 \& \varphi} \quad \Gamma; \Delta \vdash t_2 : \widehat{\tau}_1 \& \varphi} \quad [\text{T-Fix}]$$

$$\overline{\Gamma; \Delta \vdash t_1 : \widehat{\tau}_1 \& \varphi} \quad \Gamma; \Delta \vdash t_2 : \widehat{\tau}_1 \& \varphi} \quad [\text{T-Op}]$$

$$\overline{\Gamma; \Delta \vdash t_1 : \widehat{\tau}_1 \& \varphi} \quad \Gamma; \Delta \vdash t_2 : \widehat{\tau}_2 \& \varphi} \quad [\text{T-Op}]$$

$$\overline{\Gamma; \Delta \vdash t_1 : \widehat{\tau}_1 \& \varphi} \quad \Gamma; \Delta \vdash t_2 : \widehat{\tau}_2 \& \varphi} \quad [\text{T-SeQ}]$$

$$\overline{\Gamma; \Delta \vdash t_1 : \widehat{\tau}_1 \& \varphi} \quad \Gamma; \Delta \vdash t_2 : \widehat{\tau}_2 \& \varphi} \quad [\text{T-NiL}]$$

$$\overline{\Gamma; \Delta \vdash t_1 : \widehat{\tau}_1 \& \varphi} \quad \Gamma; \Delta \vdash t_2 : \widehat{\tau}_2 \& \varphi} \quad [\text{T-NiL}]$$

$$\overline{\Gamma; \Delta \vdash t_1 : \widehat{\tau}_1 \& \varphi} \quad \Gamma; \Delta \vdash t_2 : \widehat{\tau}_2 \& \varphi} \quad [\text{T-Cons}]$$

$$\overline{\Gamma; \Delta \vdash t_1 : \widehat{\tau}_1 \& \varphi} \quad \Gamma; \Delta \vdash t_2 : \widehat{\tau}_1 \& \varphi} \quad [\text{T-NiL}]$$

$$\overline{\Gamma; \Delta \vdash t_1 : \widehat{\tau}_1 \& \varphi} \quad \Gamma; \Delta \vdash t_2 : \widehat{\tau}_1 \& \varphi} \quad [\text{T-Cons}]$$

$$\overline{\Gamma; \Delta \vdash t_1 : \widehat{\tau}_1 \& \varphi} \quad \Gamma; \Delta \vdash t_2 : \widehat{\tau}_1 \& \varphi} \quad [\text{T-Cons}]$$

$$\overline{\Gamma; \Delta \vdash t_1 : \widehat{\tau}_1 \& \varphi} \quad \Delta \vdash \varphi' \leqslant \varphi \quad \Gamma; \Delta \vdash t_2 : \widehat{\tau}_2 \& \varphi} \quad [\text{T-Case}]$$

$$\overline{\Gamma; \Delta \vdash t_1 : \widehat{\tau}_1 \& \varphi} \quad \Delta \vdash \varphi' \leqslant \varphi} \quad [\text{T-Case}]$$

$$\overline{\Gamma; \Delta \vdash t_1 : \widehat{\tau}_1 \& \varphi} \quad \Delta \vdash \varphi' \leqslant \varphi} \quad [\text{T-Case}]$$

$$\overline{\Gamma; \Delta \vdash t_1 : \widehat{\tau}_1 \& \varphi} \quad \Delta \vdash \varphi' \leqslant \varphi'} \quad [\text{T-Case}]$$

$$\overline{\Gamma; \Delta \vdash t_1 : \widehat{\tau}_1 \& \varphi} \quad \Delta \vdash \varphi' \leqslant \varphi'} \quad [\text{T-Case}]$$

Figure 3: Declarative type system $(\Gamma; \Delta \vdash t : \hat{\tau} \& \varphi)$

$$\overline{\Gamma, x : \widehat{\tau} \& \varphi; \Delta \vdash x \hookrightarrow x : \widehat{\tau} \& \varphi} \begin{bmatrix} \text{T-Var} \end{bmatrix}$$

$$\overline{\Gamma; \Delta \vdash c_{\tau} \hookrightarrow c_{\tau} : \tau \& \varphi} \begin{bmatrix} \text{T-Con} \end{bmatrix} \qquad \overline{\Gamma; \Delta \vdash \zeta_{\tau}^{\ell} \hookrightarrow \zeta_{\tau}^{\ell} : \bot_{\tau} \& \{\ell\}} \begin{bmatrix} \text{T-Crash} \end{bmatrix}$$

$$\Delta, \overline{e_{i} : \kappa_{i}} \vdash \widehat{\tau}_{1} \rhd \tau_{1} \qquad \Delta, \overline{e_{i} : \kappa_{i}} \vdash \varphi_{1} : \text{Exn}}$$

$$\Gamma, x : \widehat{\tau}_{1} \& \varphi_{1}; \Delta, \overline{e_{i} : \kappa_{i}} \vdash t \hookrightarrow t' : \widehat{\tau}_{2} \& \varphi_{2}$$

$$\overline{\Gamma; \Delta \vdash \lambda x : \tau_{1}.t} \hookrightarrow \Delta \overline{e_{i} : \kappa_{i}}, \lambda x : \widehat{\tau}_{1} \& \varphi_{1}.t' : \forall \overline{e_{i} : \kappa_{i}}, \widehat{\tau}_{1} \langle \varphi_{1} \rangle \rightarrow \widehat{\tau}_{2} \langle \varphi_{2} \rangle \& \varnothing} \begin{bmatrix} \text{T-Abs} \end{bmatrix}$$

$$\Delta \vdash \widehat{\tau}_{2} \leqslant \widehat{\tau}[\overline{\varphi_{i}}/\overline{e_{i}}] \qquad \Delta \vdash \varphi_{2} \leqslant \varphi[\overline{\varphi_{i}}/\overline{e_{i}}] \qquad \overline{\Delta \vdash \varphi_{i} : \kappa_{i}}$$

$$\Gamma; \Delta \vdash t_{1} \hookrightarrow t'_{1} : \forall \overline{e_{i} : \kappa_{i}}, \widehat{\tau}_{1} \langle \varphi_{1} \rangle \rightarrow \widehat{\tau}_{1} \langle \varphi_{1} \rangle \Leftrightarrow \varphi' \qquad \Gamma; \Delta \vdash t_{2} \hookrightarrow t'_{2} : \widehat{\tau}_{2} \& \varphi_{2}$$

$$\Gamma; \Delta \vdash t_{1} \vdash t_{2} \hookrightarrow t'_{1} \langle \overline{\varphi_{i}} \rangle t'_{2} : \widehat{\tau}[\overline{\varphi_{i}}/\overline{e_{i}}] \& \varphi[\overline{\varphi_{i}}/\overline{e_{i}}] \cup \varphi'$$

$$\Gamma; \Delta \vdash t \hookrightarrow t' : \forall \overline{e_{i} : \kappa_{i}}, \widehat{\tau}_{1} \langle \varphi \rangle \rightarrow \widehat{\tau}' \langle \varphi' \rangle \& \varphi''$$

$$\frac{\Delta \vdash \widehat{\tau}'[\overline{\varphi_{i}}/\overline{e_{i}}] \leqslant \widehat{\tau}[\overline{\varphi_{i}}/\overline{e_{i}}] \qquad \Delta \vdash \varphi'[\overline{\varphi_{i}}/\overline{e_{i}}] \leqslant \varphi[\overline{\varphi_{i}}/\overline{e_{i}}] \cup \varphi'}{\Gamma; \Delta \vdash t_{1} \hookrightarrow t'_{1} : \widehat{\mathbf{int}} \& \varphi_{1} \qquad \Gamma; \Delta \vdash t_{2} \hookrightarrow t'_{2} : \widehat{\mathbf{int}} \& \varphi_{2}} \qquad [\text{T-Apr}]}$$

$$\frac{\Delta \vdash \widehat{\tau}'[\overline{\varphi_{i}}/\overline{e_{i}}] \leqslant \widehat{\tau}[\overline{\varphi_{i}}/\overline{e_{i}}] \qquad \Delta \vdash \varphi'[\overline{\varphi_{i}}/\overline{e_{i}}] \Leftrightarrow \varphi[\overline{\varphi_{i}}/\overline{e_{i}}] \cup \varphi'}{\Gamma; \Delta \vdash t_{1} \hookrightarrow t'_{1} : \widehat{\mathbf{int}} \& \varphi_{1} \qquad \Gamma; \Delta \vdash t_{2} \hookrightarrow t'_{2} : \widehat{\mathbf{int}} \& \varphi_{2}} \qquad [\text{T-Fix}]}$$

$$\frac{\Gamma; \Delta \vdash t_{1} \hookrightarrow t'_{1} : \widehat{\mathbf{int}} \& \varphi_{1} \qquad \Gamma; \Delta \vdash t_{2} \hookrightarrow t'_{2} : \widehat{\mathbf{int}} \& \varphi_{2}}{\Gamma; \Delta \vdash t_{1} \hookrightarrow t'_{1} : \widehat{\mathbf{int}} \& \varphi_{1} \qquad \Gamma; \Delta \vdash t_{2} \hookrightarrow t'_{2} : \widehat{\mathbf{int}} \& \varphi_{2}} \qquad [\text{T-Or}]}$$

$$\frac{\Gamma; \Delta \vdash t_{1} \hookrightarrow t'_{1} : \widehat{\mathbf{int}} \& \varphi_{1} \qquad \Gamma; \Delta \vdash t_{2} \hookrightarrow t'_{2} : \widehat{\mathbf{int}} \& \varphi_{1} \qquad \varphi_{2}}{\Gamma; \Delta \vdash t_{1} \hookrightarrow t'_{1} : \widehat{\mathbf{int}} \& \varphi_{1} \qquad \Gamma; \Delta \vdash t_{2} \hookrightarrow t'_{2} : \widehat{\mathbf{int}} \& \varphi_{1}} \qquad [\text{T-Fix}]}$$

$$\frac{\Gamma; \Delta \vdash t_{1} \hookrightarrow t'_{1} : \widehat{\mathbf{int}} \& \varphi_{1} \qquad \Gamma; \Delta \vdash t_{2} \hookrightarrow t'_{2} : \widehat{\mathbf{int}} \& \varphi_{2}}{\Gamma; \Delta \vdash t_{1} \hookrightarrow t'_{1} : \widehat{\mathbf{int}} \& \varphi_{1}} \qquad \Gamma; \Delta \vdash t_{2} \hookrightarrow t'_{2} : \widehat{\mathbf{int}} \& \varphi_{2}} \qquad [\text{T-Cons}]}$$

$$\frac{\Gamma; \Delta \vdash t_{1} \hookrightarrow t'_{1} : \widehat{\mathbf{int}} \Leftrightarrow \varphi_{1} \qquad \Gamma; \Delta \vdash t_{2} \hookrightarrow t'_{2} : \widehat{\mathbf{int}} \Leftrightarrow \varphi_{2}}{\Gamma; \Delta \vdash t_{1} \hookrightarrow t'_{1} : \widehat{\mathbf{int}} \Leftrightarrow \varphi_{1}} \qquad [\text$$

Figure 4: Syntax-directed type elaboration system $(\Gamma; \Delta \vdash t \hookrightarrow t' : \hat{\tau} \& \varphi)$

```
\mathcal{R}: TyEnv \times KiEnv \times Tm \rightarrow ExnTy \times Exn
\mathcal{R} \Gamma \Delta x
                                                           =\Gamma_x
                                                           =\langle \perp_{\tau}; \emptyset \rangle
\mathcal{R} \Gamma \Delta c_{\tau}
\mathcal{R} \Gamma \Delta \mathcal{I}_{\tau}^{\ell}
                                                           =\langle \perp_{\tau}; \{\ell\} \rangle
\mathcal{R} \Gamma \Delta (\lambda x : \tau . t) = \mathbf{let} \langle \widehat{\tau}_1 ; e_1 ; \overline{e_i : \kappa_i} \rangle = \mathcal{C} \varnothing \tau
                                                                                  \langle \widehat{\tau}_2; \varphi_2 \rangle = \mathcal{R} (\Gamma, x : \widehat{\tau}_1 \& e_1) (\Delta, \overline{e_i : \kappa_i}) t
                                                                    in \langle \forall \overline{e_i : \kappa_i}.\widehat{\tau}_1 \langle e_1 \rangle \rightarrow \widehat{\tau}_2 \langle \varphi_2 \rangle; \emptyset \rangle
                                                           = let \langle \widehat{\tau}_1; \varphi_1 \rangle
\mathcal{R} \Gamma \Delta (t_1 t_2)
                                                                                                                                                                        = \mathcal{R} \Gamma \Delta t_1
                                                                                                                                                                       = \mathcal{R} \Gamma \Delta t_2
                                                                                 (\widehat{\tau_{2}'}\langle e_{2}' \rangle \rightarrow \widehat{\tau}' \langle \varphi' \rangle; \overline{e_{i} : \kappa_{i}}) = \mathcal{I} \widehat{\tau_{1}}
\theta = [e_{2}' \mapsto \varphi_{2}] \circ \mathcal{M} \oslash \widehat{\tau_{2}} \widehat{\tau_{2}'}
                                                                    in \langle \|\theta \widehat{\tau}'\|_{\Delta}; \|\theta \varphi' \cup \varphi_1\|_{\Delta} \rangle
\mathcal{R} \Gamma \Delta (\mathbf{fix} \ t)
                                                           = let \langle \hat{\tau}; \varphi \rangle
                                                                                                                                                                          = \mathcal{R} \Gamma \Delta t
                                                                                  \langle \widehat{\tau}' \langle e' \rangle \rightarrow \widehat{\tau}'' \langle \varphi'' \rangle; \overline{e_i : \kappa_i} \rangle = \mathcal{I} \ \widehat{\tau}
                                                                    in \langle \widehat{\tau}_0; \varphi_0; i \rangle \leftarrow \langle \bot_{|\widehat{\tau}'|}; \varnothing; 0 \rangle
                                                                                                                                        \leftarrow [e' \mapsto \varphi_i] \circ \mathcal{M} \oslash \widehat{\tau}_i \ \widehat{\tau}'
                                                                                             \langle \widehat{\tau}_{i+1}; \varphi_{i+1}; i \rangle \leftarrow \langle \llbracket \theta \widehat{\tau}'' \rrbracket_{\Delta}; \llbracket \theta \varphi'' \rrbracket_{\Delta}; i+1 \rangle
                                                                                 until \langle \widehat{\tau}_i; \varphi_i \rangle \equiv \langle \widehat{\tau}_{i-1}; \varphi_{i-1} \rangle
                                                                                 return \langle \widehat{\tau}_i ; || \varphi \cup \varphi_i ||_{\Delta} \rangle
\mathcal{R} \Gamma \Delta (t_1 \oplus t_2) = \mathbf{let} \langle \mathbf{i} \hat{\mathbf{n}} \mathbf{t}; \varphi_1 \rangle = \mathcal{R} \Gamma \Delta t_1
                                                                                  \langle \hat{\mathbf{int}}; \varphi_2 \rangle = \mathcal{R} \Gamma \Delta t_2
                                                                    in \langle \mathbf{bool}; \| \varphi_1 \cup \varphi_2 \|_{\Delta} \rangle
\mathcal{R} \Gamma \Delta (t_1 \operatorname{\mathbf{seq}} t_2)
                                                            = let \langle \hat{\tau}_1; \varphi_1 \rangle = \mathcal{R} \Gamma \Delta t_1
                                                                                  \langle \hat{\tau}_2; \varphi_2 \rangle = \mathcal{R} \Gamma \Delta t_2
                                                                    in \langle \widehat{\tau}_2; \| \varphi_1 \cup \varphi_2 \|_{\Delta} \rangle
\mathcal{R} \Gamma \Delta (if t_1 then t_2 else t_3)
                                                           = let \langle \mathbf{b}\widehat{\mathbf{ool}}; \varphi_1 \rangle = \mathcal{R} \; \Gamma \; \Delta \; t_1
                                                                                  \langle \widehat{\tau}_2; \varphi_2 \rangle = \mathcal{R} \Gamma \Delta t_2
                                                                                  \langle \widehat{\tau}_3; \varphi_3 \rangle = \mathcal{R} \Gamma \Delta t_3
                                                                    in \langle \|\widehat{\tau}_2 \sqcup \widehat{\tau}_3\|_{\Delta}; \|\varphi_1 \cup \varphi_2 \cup \varphi_3\|_{\Delta} \rangle
\mathcal{R} \Gamma \Delta []_{\tau}
                                                           =\langle [\perp_{\tau}\langle\emptyset\rangle];\emptyset\rangle
\mathcal{R} \Gamma \Delta (t_1 :: t_2) = \mathbf{let} \langle \widehat{\tau}_1; \varphi_1 \rangle
                                                                                                                              = \mathcal{R} \Gamma \Delta t_1
                                                                                  \langle [\widehat{\tau}_2 \langle \varphi_2' \rangle]; \varphi_2 \rangle = \mathcal{R} \Gamma \Delta t_2
                                                                    in \langle \|[(\widehat{\tau}_1 \sqcup \widehat{\tau}_2) \langle \varphi_1 \cup \varphi_2' \rangle]\|_{\Delta}; \varphi_2 \rangle
\mathcal{R} \Gamma \Delta  (case t_1 of \{[] \mapsto t_2; x_1 :: x_2 \mapsto t_3\})
                                                            = let \langle [\widehat{\tau}_1 \langle \varphi_1' \rangle] ; \varphi_1 \rangle
                                                                                                                                                              = \mathcal{R} \Gamma \Delta t_1
                                                                                  \langle \widehat{\tau}_2; \varphi_2 \rangle = \mathcal{R} \left( \Gamma, x_1 : \widehat{\tau}_1 \& \varphi'_1, x_2 : \left[ \widehat{\tau}_1 \langle \varphi'_1 \rangle \right] \& \varphi_1 \right) \Delta t_2
                                                                                  \langle \widehat{\tau}_3; \varphi_3 \rangle = \mathcal{R} \Gamma \Delta t_3
                                                                    in \langle \| \widehat{\tau}_2 \sqcup \widehat{\tau}_3 \|_{\Delta}; \| \varphi_1 \cup \varphi_2 \cup \varphi_3 \|_{\Delta} \rangle
```

Figure 5: Type inference algorithm

$$\begin{split} & \frac{}{\Delta \vdash \mathbf{b}\widehat{\mathbf{ool}}} \leqslant \mathbf{b}\widehat{\mathbf{ool}}} \text{ [S-Bool]} \quad \frac{}{\Delta \vdash \mathbf{i}\widehat{\mathbf{n}}\mathbf{t}} \leqslant \mathbf{i}\widehat{\mathbf{n}}\mathbf{t}} \text{ [S-Int]} \\ & \frac{\Delta \vdash \widehat{\tau}_1' \leqslant \widehat{\tau}_1 \quad \Delta \vdash \varphi_1' \leqslant \varphi_1 \quad \Delta \vdash \widehat{\tau}_2 \leqslant \widehat{\tau}_2' \quad \Delta \vdash \varphi_2 \leqslant \varphi_2'}{\Delta \vdash \widehat{\tau}_1 \langle \varphi_1 \rangle \rightarrow \widehat{\tau}_2 \langle \varphi_2 \rangle \leqslant \widehat{\tau}_1' \langle \varphi_1' \rangle \rightarrow \widehat{\tau}_2' \langle \varphi_2' \rangle} \text{ [S-Arr]} \\ & \frac{\Delta \vdash \widehat{\tau} \leqslant \widehat{\tau}' \quad \Delta \vdash \varphi \leqslant \varphi'}{\Delta \vdash [\widehat{\tau} \langle \varphi \rangle] \leqslant [\widehat{\tau}' \langle \varphi' \rangle]} \text{ [S-List]} \quad \frac{\Delta, e : \kappa \vdash \widehat{\tau}_1 \leqslant \widehat{\tau}_2}{\Delta \vdash \forall e : \kappa. \widehat{\tau}_1 \leqslant \forall e : \kappa. \widehat{\tau}_2} \text{ [S-Forall]} \end{split}$$

Figure 6: Subtyping

$$\frac{t \longrightarrow t'}{t \ \langle \varphi \rangle \longrightarrow t' \ \langle \varphi \rangle} \text{ [E-AnnApp]} \qquad \frac{t_1 \longrightarrow t'_1}{t_1 \ t_2 \longrightarrow t'_1 \ t_2} \text{ [E-App]} \qquad \frac{t_1 \longrightarrow t'_1}{(\lambda x : \tau.t) \ t_2 \longrightarrow t_1 [t_2/x]} \text{ [E-AppAbs]}$$

$$\frac{t_1 \longrightarrow t'_1}{\text{fix } t \longrightarrow \text{fix } t'} \text{ [E-Fix]} \qquad \frac{t_1 \longrightarrow t'_1}{\text{fix } (\lambda x : \tau.t) \longrightarrow t [\text{fix } (\lambda x : \tau.t)/x]} \text{ [E-FixAbs]}$$

$$\frac{t}{i^{\ell} \ t_2 \longrightarrow i^{\ell}} \text{ [E-AppExn]} \qquad \frac{t_1 \longrightarrow t'_1}{t_1 \oplus t_2 \longrightarrow t'_1 \oplus t_2} \text{ [E-Op_1]} \qquad \frac{t_2 \longrightarrow t'_2}{t_1 \oplus t_2 \longrightarrow t_1 \oplus t'_2} \text{ [E-Op_2]}$$

$$\frac{t_1 \longrightarrow t'_1}{t_1 \oplus t_2 \longrightarrow i^{\ell}} \text{ [E-OpExn_1]} \qquad \frac{t_2 \longrightarrow t'_2}{t_1 \oplus t_2 \longrightarrow t_1 \oplus t'_2} \text{ [E-Op_2]}$$

$$\frac{t_1 \longrightarrow t'_1}{t_1 \sec t_2 \longrightarrow i^{\ell}} \text{ [E-Seq_1]} \qquad \frac{t_1 \oplus i^{\ell}}{v_1 \sec t_2 \longrightarrow t_2} \text{ [E-Seq_2]}$$

$$\frac{t_1 \longrightarrow t'_1}{t_1 \sec t_2 \longrightarrow i^{\ell}} \text{ [E-SeqExn]}$$

$$\frac{t_1 \longrightarrow t'_1}{\text{ if } t_1 \text{ then } t_2 \text{ else } t_3 \longrightarrow t_1} \text{ [E-IFTRUE]}$$

$$\frac{t_1 \longrightarrow t'_1}{\text{ if } tue \text{ then } t_2 \text{ else } t_3 \longrightarrow t_2} \text{ [E-IFFALSE]}$$

$$\frac{t_1 \longrightarrow t'_1}{\cos t_1 \longrightarrow t'_1} \text{ [E-IFExn]}$$

$$\frac{t_1 \longrightarrow t'_1}{\cos t_1 \longrightarrow t'_1} \text{ [E-GaseNil]}$$

$$\frac{t_1 \longrightarrow t'_1}{\cos t_1 \longrightarrow t'_1} \text{ [E-CaseNil]}$$

$$\frac{t_1 \longrightarrow t'_1}{\cos t_1 \longrightarrow t'_1} \text{ [E-CaseNil]}$$

$$\frac{t_1 \longrightarrow t'_1}{\cos t_1 \longrightarrow t'_1} \text{ [E-CaseNil]}$$

Figure 7: Operational semantics $(t_1 \longrightarrow t_2)$

$$e[\varphi/e] \equiv \varphi$$

$$e'[\varphi/e] \equiv e'$$

$$\{\ell\}[\varphi/e] \equiv \{\ell\}$$

$$\varnothing[\varphi/e] \equiv \varnothing$$

$$(\lambda e' : \kappa \cdot \varphi') [\varphi/e] \equiv \lambda e' : \kappa \cdot \varphi'[\varphi/e]$$

$$(e_1 e_2) [\varphi/e] \equiv (e_1[\varphi/e]) (e_2[\varphi/e])$$

$$(e_1 \cup e_2) [\varphi/e] \equiv e_1[\varphi/e] \cup e_2[\varphi/e]$$

Figure 8: Annotation substitution

$$x[t/x] \equiv t$$

$$x'[t/x] \equiv x' \qquad \text{if } x \neq x'$$

$$c_{\tau}[t/x] \equiv c_{\tau}$$

$$(\lambda x' : \widehat{\tau}.t') [t/x] \equiv \lambda x' : \widehat{\tau}.t'[t/x] \qquad \text{if } x \neq x' \text{ and } x' \notin \text{fv}(t)$$
...

Figure 9: Term substitution