Higher-ranked Exception Types

Ruud Koot

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1 The λ^{\cup} -calculus

Types

$$au\in \mathbf{Ty}$$
 ::= \mathcal{P} (base type)
$$\mid \ au_1 o au_2$$
 (function type)

Terms

$$t \in \mathbf{Tm}$$
 ::= $x, y, ...$ (variable)
$$\begin{vmatrix} \lambda x : \tau . t & \text{(abstraction)} \\ t_1 t_2 & \text{(application)} \\ 0 & \text{(empty)} \\ c_1 & c_2 & \text{(union)} \end{vmatrix}$$

Values Values v are terms of the form

$$\lambda x_1 : \tau_1 \cdots \lambda x_i : \tau_i \cdot \{c_1\} \cup (\cdots \cup (\{c_i\} \cup (x_1 \ v_{11} \cdots v_{1m} \cup (\cdots \cup x_k \ v_{k1} \cdots v_{kn}))))$$

Environments

$$\Gamma \in \mathbf{Env} ::= \cdot \mid \Gamma, x : \tau$$

1.1 Typing relation

$$\frac{\Gamma, x : \tau \vdash x : \tau}{\Gamma, x : \tau \vdash x : \tau} \text{ [T-VAR]} \quad \frac{\Gamma, x : \tau_1 \vdash t : \tau_2}{\Gamma \vdash \lambda x : \tau_1 . t : \tau_1 \to \tau_2} \text{ [T-Abs]} \quad \frac{\Gamma \vdash t_1 : \tau_1 \to \tau_2 \quad \Gamma \vdash t_2 : \tau_1}{\Gamma \vdash t_1 \ t_2 : \tau_2} \text{ [T-App]}$$

$$\frac{}{\Gamma \vdash \varnothing : \mathcal{P}} \text{ [T-Empty]} \quad \frac{}{\Gamma \vdash \{c\} : \mathcal{P}} \text{ [T-Con]} \quad \frac{\Gamma \vdash t_1 : \tau \quad \Gamma \vdash t_2 : \tau}{\Gamma \vdash t_1 \cup t_2 : \tau} \text{ [T-Union]}$$

1.2 Semantics

1.3 Reduction relation

Definition 1. Let \prec be a strict total order on $\mathbf{Con} \cup \mathbf{Var}$, with $c \prec x$ for all $c \in \mathbf{Con}$ and $x \in \mathbf{Var}$.

$$(\lambda x : \tau . t_1) \ t_2 \longrightarrow t_1[t_2/x] \qquad (\beta \text{-reduction})$$

$$(t_1 \cup t_2) \ t_3 \longrightarrow t_1 \ t_3 \cup t_2 \ t_3$$

$$(\lambda x : \tau . t_1) \cup (\lambda x : \tau . t_2) \longrightarrow \lambda x : \tau . (t_1 \cup t_2) \qquad (\text{congruences})$$

$$x \ t_1 \cdots t_n \cup x' \ t'_1 \cdots t'_n \longrightarrow x \ (t_1 \cup t'_1) \cdots (t_n \cup t'_n)$$

$$(t_1 \cup t_2) \cup t_3 \longrightarrow t_1 \cup (t_2 \cup t_3) \qquad (\text{associativity})$$

$$\emptyset \cup t \longrightarrow t \qquad (\text{unit})$$

$$t \cup \emptyset \longrightarrow t \qquad (\text{unit})$$

$$x \cup x \longrightarrow x$$

$$x \cup (x \cup t) \longrightarrow x \cup t \qquad (\text{idempotence})$$

$$\{c\} \cup \{c\} \longrightarrow \{c\} \cup t \qquad (t_1 \cdots t_n \cup t) \qquad (t_1 \cdots t_n \cup t) \qquad (t_1 \cdots t_n \cup t) \qquad (t_2 \cdots t_n \cup t)$$

$$x \ t_1 \cdots t_n \cup (t_1 \cup t'_1 \cdots t'_n \longrightarrow t'_1 \cup t'_1 \cdots t'_n \cup t \qquad (t_1 \cup t'_1 \cup t'_1 \cdots t'_n \cup t) \qquad (t_2 \cup t'_1 \cdots t'_n \cup t'_1 \cup t'_1 \cdots t'_n \cup t'_1 \cdots t'_n \cup t'_1 \cdots t'_n \cup t'_1 \cup t'_1 \cdots t'_n \cup t'_1 \cdots t'_n \cup t'_1 \cup t'$$

Conjecture 1. *The reduction relation* \longrightarrow *preserves meaning.*

Conjecture 2. The reduction relation \longrightarrow is strongly normalizing.

Conjecture 3. *The reduction relation* \longrightarrow *is locally confluent.*

Corollary 1. The reduction relation \longrightarrow is confluent.

Proof. Follows from SN, LC and Newman's Lemma.

Corollary 2. The λ^{\cup} -calculus has unique normal forms.

Corollary 3. Equality of λ^{\cup} -terms can be decided by normalization.

2 Completion

$$\kappa \in \mathbf{Kind} \qquad ::= \ \mathbf{EXN} \qquad \qquad \text{(exception)} \\ \mid \ \kappa_1 \Rightarrow \kappa_2 \qquad \qquad \text{(exception operator)} \\ \varphi \in \mathbf{EXN} \qquad ::= \ e \qquad \qquad \text{(exception variables)} \\ \mid \ \lambda e : \kappa. \varphi \qquad \qquad \text{(exception abstraction)} \\ \widehat{\tau} \in \mathbf{EXNTy} \qquad ::= \ \forall e :: \kappa. \widehat{\tau} \qquad \text{(exception quantification)} \\ \mid \ b\widehat{\mathrm{ool}} \qquad \qquad \mid \ b\widehat{\mathrm{ool}} \qquad \qquad \text{(boolean type)} \\ \mid \ [\widehat{\tau} \langle \varphi \rangle] \qquad \qquad \text{(list type)} \\ \mid \ \widehat{\tau}_1 \langle \varphi_1 \rangle \rightarrow \widehat{\tau}_2 \langle \varphi_2 \rangle \qquad \qquad \text{(function type)} \\ \end{cases}$$

The completion procedure as a set of inference rules:

Figure 1: Type completion $(\Gamma \vdash \tau : \hat{\tau} \& \varphi \triangleright \Gamma')$

The completion procedure as an algorithm:

complete ::
$$\mathbf{Env} \times \mathbf{Ty} \to \mathbf{ExnTy} \times \mathbf{Exn} \times \mathbf{Env}$$

complete $\overline{e_i :: \kappa_i} \ \mathbf{bool} =$
let $e \ be \ fresh$
in $\langle \widehat{\mathbf{bool}}; e \ \overline{e_i}; e :: \overline{\kappa_i} \Rightarrow \mathbf{EXN} \rangle$

3 Type system

3.1 Terms

```
t \in \mathbf{Tm}
                                                                            (term variable)
                    |c_{\tau}|
                                                                           (term constant)
                    \lambda x : \tau . t
                                                                        (term abstraction)
                    | t_1 t_2
                                                                       (term application)
                    t_1 \oplus t_2
                                                                                  (operator)
                    | if t_1 then t_2 else t_3
                                                                              (conditional)
                                                                     (exception constant)
                    | t_1 \operatorname{seq} t_2 |
                                                                                    (forcing)
                    \int \mathbf{fix} \ t
                                                                   (anonymous fixpoint)
                    [ ]_{\tau}
                                                                          (nil constructor)
                    | t_1 :: t_2
                                                                       (cons constructor)
                    | case t_1 of \{[] \mapsto t_2; x_1 :: x_2 \mapsto t_3\}
                                                                           (list eliminator)
```

3.2 Underlying type system

3.3 Declarative exception type system

- In T-Abs and T-AnnAbs, should the term-level term-abstraction also have an explicit effect annotation?
- In T-AnnAbs, might need a side condition stating that e is not free in Δ .
- In T-App, note the double occurrence of φ when typing t_1 . Is subeffecting sufficient here? Also note that we do *not* expect an exception variable in the left-hand side annotation of the function space constructor.
- In T-AnnApp, note the substitution. We will need a substitution lemma for annotations.
- In T-Fix, the might be some universal quantifiers in our way. Do annotation applications in *t* take care of this, already? Perhaps we do need to

Figure 2: Underlying type system ($\Gamma \vdash t : \tau$)

change **fix** *t* into a binding construct to resolve this? Also, there is some implicit subeffecting going on between the annotations and effect.

- In T-Case, note the use of explicit subeffecting. Can this be done using implicit subeffecting?
- For T-Sub, should we introduce a term-level coercion, as in Dussart– Henglein–Mossin? We now do shape-conformant subtyping, is subeffecting sufficient?
- Do we need additional kinding judgements in some of the rules? Can
 we merge the kinding judgement with the subtyping and/or -effecting
 judgement? Kind-preserving substitutions.

3.4 Type elaboration system

• For T-Fix: how would a binding fixpoint construct work?

3.5 Type inference algorithm

- In R-App and R-Fix: check that the fresh variables generated by \mathcal{I} are substituted away by the substitution θ created by \mathcal{M} . Also, we don't need those variables in the algorithm if we don't generate the elaborated term.
- In R-Fix we could get rid of the auxiliary underlying type function if the fixpoint construct was replaced with a binding variant with an explicit type annotation.
- For R-Fix, make sure the way we handle fixpoints of exceptional value in a manner that is sound w.r.t. to the operational semantics we are going to give to this.
- Note that we do not construct the elaborated term, as it is not useful other than for metatheoretic purposes.
- Lemma: The algorithm maintains the invariant that exception types and exceptions are in normal form.

3.6 Subtyping

• Possibly useful lemma: $\hat{\tau}_1 = \hat{\tau}_2 \iff \hat{\tau}_1 \leqslant \hat{\tau}_2 \land \hat{\tau}_2 \leqslant \hat{\tau}_1$.

4 Operational semantics

4.1 Evaluation

- The reduction relation is non-deterministic.
- We do not have a Haskell-style imprecise exception semantics (e.g. E-I_F).
- We either need to omit the type annotations on ξ_{τ}^{ℓ} , or add them to if then else and case of $\{[] \mapsto ; :: \mapsto \}$.

 We do not have a rule E-AnnAppExn. Check that the canonical forms lemma gives us that terms of universally quantified type cannot be exceptional values.

5 Interesting observations

• Exception types are not invariant under η -reduction.

6 Metatheory

Lemma 1 (Canonical forms).

- 1. If \hat{v} is a possibly exceptional value of type $b\hat{ool}$, then \hat{v} is either true, false, or $\frac{1}{2}\ell$.
- 2. If \hat{v} is a possibly exceptional value of type $\hat{\mathbf{int}}$, then \hat{v} is either some integer n, or an exceptional value \mathcal{L}^{ℓ} .
- 3. If \widehat{v} is a possibly exceptional value of type $[\widehat{\tau}\langle \varphi \rangle]$, then \widehat{v} is either [], t :: t', or f^{ℓ} .
- 4. If \widehat{v} is a possibly exceptional value of type $\widehat{\tau}_1\langle\varphi_1\rangle \to \widehat{\tau}_2\langle\varphi_2\rangle$, then \widehat{v} is either $\lambda x:\widehat{\tau}_1 \& \varphi_1.t'$ or et^{ℓ} .
- 5. If \hat{v} is a possibly exceptional value of type $\forall e : \kappa.\hat{\tau}$, then \hat{v} is $\Lambda e : \kappa.t$

Proof. For each part, inspect all forms of \widehat{v} and discard the unwanted cases by inversion of the typing relation. Note that \bot_{τ} cannot give us a type of the form $\forall e : \kappa.\widehat{\tau}$.

To Do.: Say something about T-Suв?

Theorem 1 (Progress). If Γ ; $\Delta \vdash t : \hat{\tau} \& \varphi$ with t a closed term, then t is either a possibly exceptional value \hat{v} or there is a closed term t' such that $t \longrightarrow t'$.

Proof. By induction on the typing derivation Γ ; $\Delta \vdash t : \hat{\tau} \& \varphi$.

The case T-Var can be discarded, as a variable is not a closed term. The cases T-Con, T-Crash, T-Abs, T-AnnAbs, T-Nil and T-Cons are immediate as they are values.

Case T-App: We can immediately apply the induction hypothesis to Γ ; $\Delta \vdash t_1: \widehat{\tau}_2 \langle \varphi_2 \rangle \to \widehat{\tau} \langle \varphi \rangle$ & φ , giving us either a t_1' such that $t_1 \longrightarrow t_1'$ or that $t_1 = \widehat{v}$. In the former case we can make progress using E-App. In the latter case the canonical forms lemma tells us that either $t_1 = \lambda x: \widehat{\tau}_2$ & $\varphi_2.t_1'$ or $t_1 = \xi^\ell$, in which case we can make progress using E-AppAbs or E-AppExn, respectively.

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The remaining cases follow by analogous reasoning.

Lemma 2 (Annotation substitution).

- 1. If Δ , $e : \kappa' \vdash \varphi : \kappa$ and $\Delta \vdash \varphi' : \kappa'$ then $\Delta \vdash \varphi[\varphi'/e] : \kappa$.
- 2. If $\Delta, e : \kappa' \vdash \varphi_1 \leqslant \varphi_2$ and $\Delta \vdash \varphi' : \kappa'$ then $\Delta \vdash \varphi_1[\varphi'/e] \leqslant \varphi_2[\varphi'/e]$.
- 3. If $\Delta, e : \kappa' \vdash \widehat{\tau}_1 \leqslant \widehat{\tau}_2$ and $\Delta \vdash \varphi' : \kappa'$ then $\Delta \vdash \widehat{\tau}_1[\varphi'/e] \leqslant \widehat{\tau}_2[\varphi'/e]$.
- 4. If Γ ; Δ , $e : \kappa' \vdash t : \widehat{\tau} \& \varphi$ and $\Delta \vdash \varphi' : \kappa'$ then Γ ; $\Delta \vdash t[\varphi'/e] : \widehat{\tau} \& \varphi$.

Proof. 1. By induction on the derivation of Δ , $e:\kappa' \vdash \varphi:\kappa$. The cases A-Var, A-Abs and A-App are analogous to the respective cases in the proof of term substitution below. In the case A-Con one can strengthen the assumption Δ , $e:\kappa' \vdash \{\ell\}: \text{exn to } \Delta \vdash \{\ell\}: \text{exn as } e \notin \text{fv}(\{\ell\})$, the result is then immediate; similarly for A-Empty. The case A-Union goes analogous to A-App.

- 2. To Do.
- 3. To Do.
- 4. By induction on the derivation of Γ ; Δ , $e:\kappa' \vdash t:\widehat{\tau} \& \varphi$. Most cases can be discarded by a straightforward application of the induction hypothesis; we show only the interesting case.

Case T-AnnApp: To do.

То ро.

Lemma 3 (Term substitution). *If* Γ , $x : \widehat{\tau}' \& \varphi$; $\Delta \vdash t : \widehat{\tau} \& \varphi$ *and* Γ ; $\Delta \vdash t' : \widehat{\tau}' \& \varphi'$ *then* Γ ; $\Delta \vdash t[t'/x] : \widehat{\tau} \& \varphi$.

Proof. By induction on the derivation of Γ , $x : \widehat{\tau}' \& \varphi$; $\Delta \vdash t : \widehat{\tau} \& \varphi$.

Case T-Var: We either have t = x or t = x' with $x \neq x'$. In the first case we need to show that Γ ; $\Delta \vdash x[t'/x] : \widehat{\tau} \& \varphi$, which by definition of substitution is equal to Γ ; $\Delta \vdash x : \widehat{\tau} \& \varphi$, but this is one of our assumptions. In the second case

we need to show that $\Gamma, x' : \widehat{\tau} \& \varphi; \Delta \vdash x'[t/x] : \widehat{\tau} \& \varphi$, which by definition of substitution is equal to $\Gamma, x' : \widehat{\tau} \& \varphi; \Delta \vdash x' : \widehat{\tau} \& \varphi$. This follows immediately from T-VAR.

Case T-ABS: Our assumptions are

$$\Gamma, x : \widehat{\tau}' \& \varphi', y : \widehat{\tau}_1 \& \varphi_1; \Delta \vdash t : \widehat{\tau}_2 \& \varphi_2 \tag{7}$$

$$\Gamma; \Delta \vdash t' : \widehat{\tau}' \& \varphi'.$$
 (8)

By the Barendregt convention we may assume that $y \neq x$ and $y \notin \text{fv}(t')$. We need to show that $\Gamma; \Delta \vdash (\lambda y : \widehat{\tau}_1 \& \varphi_1.t)[t'/x] : \widehat{\tau}_1 \langle \varphi_1 \rangle \to \widehat{\tau}_2 \langle \varphi_2 \rangle \& \emptyset$, which by definition of substitution is equal to

$$\Gamma; \Delta \vdash \lambda y : \widehat{\tau}_1 \& \varphi_1.t[t'/x] : \widehat{\tau}_1 \langle \varphi_1 \rangle \to \widehat{\tau}_2 \langle \varphi_2 \rangle \& \emptyset.$$
 (9)

We weaken (8) to Γ , y : $\hat{\tau}_1$ & φ_1 ; $\Delta \vdash t'$: $\hat{\tau}'$ & φ' and apply the induction hypothesis on this and (7) to obtain

$$\Gamma, y : \widehat{\tau}_1 \& \varphi_1; \Delta \vdash t[t'/x] : \widehat{\tau}_2 \& \varphi_2.$$
 (10)

The desired result (9) can be constructed from (10) using T-ABS.

Case T-AnnAbs: Our assumptions are $\Gamma, x: \widehat{\tau}' \& \varphi'; \Delta, e: \kappa \vdash t: \widehat{\tau} \& \varphi$ and $\Gamma; \Delta \vdash t': \widehat{\tau}' \& \varphi'$. By the Barendregt convention we may assume that $e \notin \mathrm{fv}(t')$. We need to show that $\Gamma; \Delta \vdash (\Delta e: \kappa.t) [t'/x]: \widehat{\tau} \& \varphi$, which is equal to $\Gamma; \Delta \vdash \Delta e: \kappa.t[t'/\kappa]: \widehat{\tau} \& \varphi$ by definition of substitution. By applying the induction hypothesis we obtain $\Gamma; \Delta, e: \kappa \vdash t[t'/x]: \widehat{\tau} \& \varphi$. The desired result can be constructed using T-AnnAbs.

Case T-App: Our assumptions are

$$\Gamma, x : \widehat{\tau}' \& \varphi'; \Delta \vdash t_1 : \widehat{\tau}_2 \langle \varphi_2 \rangle \to \widehat{\tau} \langle \varphi \rangle \& \varphi$$
 (11)

$$\Gamma, x : \widehat{\tau}' \& \varphi'; \Delta \vdash t_2 : \widehat{\tau}_2 \& \varphi_2. \tag{12}$$

We need to show that Γ ; $\Delta \vdash (t_1 \ t_2)[t'/x] : \widehat{\tau} \& \varphi$, which by definition of substitution is equal to

$$\Gamma; \Delta \vdash (t_1[t'/x]) \ (t_2[t'/x]) : \widehat{\tau} \& \varphi.$$
 (13)

By applying the induction hypothesis to (11) respectively (12) we obtain

$$\Gamma; \Delta \vdash t_1[t'/x] : \widehat{\tau}_2\langle \varphi_2 \rangle \to \widehat{\tau}\langle \varphi \rangle \& \varphi$$
 (14)

$$\Gamma; \Delta \vdash t_2[t'/x] : \widehat{\tau}_2 \& \varphi_2. \tag{15}$$

The desired result (13) can be constructed by applying T-App to (14) and (15). All other cases are either immediate or analogous to the case of T-App. \Box

$$\overline{\Gamma,x:\widehat{\tau}\&\varphi;\Delta\vdash x:\widehat{\tau}\&\varphi} \begin{array}{c} [\text{T-Var}] \\ \hline \overline{\Gamma;\Delta\vdash c_\tau:\bot_\tau\&\oslash} \end{array} [\text{T-Con}] \quad \overline{\Gamma;\Delta\vdash t_\tau^\ell:\bot_\tau\&\{\ell\}} \\ \hline \Gamma,x:\widehat{\tau}_1\&\varphi_1;\Delta\vdash t:\widehat{\tau}_2\&\varphi_2 \\ \hline \Gamma;\Delta\vdash\lambda x:\widehat{\tau}_1\&\varphi_1,\Delta\vdash t:\widehat{\tau}_2\&\varphi_2 \\ \hline \Gamma;\Delta\vdash\lambda x:\widehat{\tau}_1\&\varphi_1 \\ \hline \Gamma;\Delta\vdash\lambda x:\widehat{\tau}_1\&\varphi_1 \\ \hline \Gamma;\Delta\vdash\lambda x:\widehat{\tau}_2\&\varphi_2 \\ \hline \Gamma;\Delta\vdash\lambda x:\widehat{\tau}_2&\varphi_2 \\ \hline \Gamma;\Delta\vdash\lambda x:\widehat{\tau}_2&\varphi_2 \\ \hline \Gamma;\Delta\vdash\lambda x:\widehat{\tau}_1&\varphi_2 \\ \hline \Gamma;\Delta\vdash\lambda x:\widehat{\tau}_2&\varphi_2 \\ \hline \Gamma;\Delta\vdash\lambda x:\widehat{\tau}_1&\varphi_2 \\ \hline \Gamma;\Delta\vdash\lambda x:\widehat{\tau}_1&\varphi_1 \\ \hline$$

Figure 3: Declarative type system $(\Gamma; \Delta \vdash t : \hat{\tau} \& \varphi)$

$$\overline{\Gamma, x : \widehat{\tau} \& \varphi; \Delta \vdash x \hookrightarrow x : \widehat{\tau} \& \varphi} \begin{bmatrix} \text{T-Var} \end{bmatrix}$$

$$\overline{\Gamma; \Delta \vdash c_{\tau} \hookrightarrow c_{\tau} : \tau \& \varphi} \begin{bmatrix} \text{T-Con} \end{bmatrix} \qquad \overline{\Gamma; \Delta \vdash t_{\tau}^{\uparrow} \hookrightarrow t_{\tau}^{\downarrow} : \bot_{\tau} \& \{\ell\}} \begin{bmatrix} \text{T-Crash} \end{bmatrix}$$

$$\Delta, \overline{e_{i} : \kappa_{i}} \vdash \widehat{\tau}_{1} \triangleright \tau_{1} \qquad \Delta, \overline{e_{i} : \kappa_{i}} \vdash \varphi_{1} : \texttt{Exn}$$

$$\Gamma, x : \widehat{\tau}_{1} \& \varphi_{1}; \Delta, \overline{e_{i} : \kappa_{i}} \vdash t \hookrightarrow t' : \widehat{\tau}_{2} \& \varphi_{2}$$

$$\overline{\Gamma; \Delta \vdash \lambda x : \tau_{1}.t} \hookrightarrow \Delta \overline{e_{i} : \kappa_{i}}.\lambda x : \widehat{\tau}_{1} \& \varphi_{1}.t' : \forall \overline{e_{i} : \kappa_{i}}.\widehat{\tau}_{1}(\varphi_{1}) \rightarrow \widehat{\tau}_{2}(\varphi_{2}) \& \varnothing} \begin{bmatrix} \text{T-Abs} \end{bmatrix}$$

$$\Delta \vdash \widehat{\tau}_{2} \leqslant \widehat{\tau}[\overline{\varphi_{i}}/\overline{e_{i}}] \qquad \Delta \vdash \varphi_{2} \leqslant \varphi[\overline{\varphi_{i}}/\overline{e_{i}}] \qquad \Delta \vdash \varphi_{i} : \kappa_{i}}$$

$$\Gamma; \Delta \vdash t_{1} \hookrightarrow t'_{1} : \forall \overline{e_{i} : \kappa_{i}}.\widehat{\tau}_{1}(\varphi_{1}) \rightarrow \widehat{\tau}(\varphi) \& \varphi' \qquad \Gamma; \Delta \vdash t_{2} \hookrightarrow t'_{2} : \widehat{\tau}_{2} \& \varphi_{2}$$

$$\Gamma; \Delta \vdash t_{1} t_{2} \hookrightarrow t'_{1}(\overline{\varphi_{i}}) \qquad L \vdash \varphi' \Leftrightarrow \varphi'[\overline{\varphi_{i}}/\overline{e_{i}}] \& \varphi[\overline{\varphi_{i}}/\overline{e_{i}}] \cup \varphi'$$

$$\Gamma; \Delta \vdash t_{1} t_{2} \hookrightarrow t'_{1}(\overline{\varphi_{i}}) \qquad L \vdash \varphi'[\overline{\varphi_{i}}/\overline{e_{i}}] \& \varphi[\overline{\varphi_{i}}/\overline{e_{i}}] \cup \varphi'$$

$$\Gamma; \Delta \vdash t_{1} t_{2} \hookrightarrow t'_{1}(\overline{\varphi_{i}}) \qquad L \vdash \varphi'[\overline{\varphi_{i}}/\overline{e_{i}}] \& \varphi[\overline{\varphi_{i}}/\overline{e_{i}}] \cup \varphi'$$

$$\Gamma; \Delta \vdash t_{1} t_{2} \hookrightarrow t'_{1}(\overline{\varphi_{i}}) \qquad L \vdash \varphi'[\overline{\varphi_{i}}/\overline{e_{i}}] \& \varphi[\overline{\varphi_{i}}/\overline{e_{i}}] \cup \varphi'$$

$$\Gamma; \Delta \vdash t_{1} \hookrightarrow t'_{1} : \inf \& \varphi_{1} \qquad \Gamma; \Delta \vdash t_{2} \hookrightarrow t'_{2} : \inf \& \varphi_{2}$$

$$\Gamma; \Delta \vdash t_{1} \hookrightarrow t'_{1} : \inf \& \varphi_{1} \qquad \Gamma; \Delta \vdash t_{2} \hookrightarrow t'_{2} : \inf \& \varphi_{2}$$

$$\Gamma; \Delta \vdash t_{1} \hookrightarrow t'_{1} : \inf \& \varphi_{1} \qquad \Gamma; \Delta \vdash t_{2} \hookrightarrow t'_{2} : \widehat{\tau}_{2} \& \varphi_{2}$$

$$\Gamma; \Delta \vdash t_{1} \hookrightarrow t'_{1} : \widehat{\tau}_{1} \& \varphi_{1} \qquad \Gamma; \Delta \vdash t_{2} \hookrightarrow t'_{2} : \widehat{\tau}_{2} \& \varphi_{2}$$

$$\Gamma; \Delta \vdash t_{1} \hookrightarrow t'_{1} : \widehat{\tau}_{1} \& \varphi_{1} \qquad \Gamma; \Delta \vdash t_{2} \hookrightarrow t'_{2} : \widehat{\tau}_{2} \& \varphi_{2} \qquad \Gamma; \Delta \vdash t_{3} \hookrightarrow t'_{3} : \widehat{\tau}_{3} \& \varphi_{3}$$

$$\Gamma; \Delta \vdash t_{1} \hookrightarrow t'_{1} : \widehat{\tau}_{1} \& \varphi_{1} \qquad \Gamma; \Delta \vdash t_{2} \hookrightarrow t'_{2} : \widehat{\tau}_{2} \& \varphi_{2} \qquad \Gamma; \Delta \vdash t_{3} \hookrightarrow \psi'_{3} : \widehat{\tau}_{3} \& \varphi_{3}$$

$$\Gamma; \Delta \vdash t_{1} \hookrightarrow t'_{1} : \widehat{\tau}_{1} \& \varphi_{1} \qquad \Gamma; \Delta \vdash t_{2} \hookrightarrow t'_{2} : \widehat{\tau}_{1} \Leftrightarrow \varphi_{2}$$

$$\Gamma; \Delta \vdash t_{1} \hookrightarrow t'_{1} : \widehat{\tau}_{1} \& \varphi_{1} \qquad \Gamma; \Delta \vdash t_{2} \hookrightarrow t'_{2} : \widehat{\tau}_{2} \& \varphi_{2}$$

$$\Gamma; \Delta \vdash t_{1} \hookrightarrow t'_{1} : \widehat{\tau}_{1} \& \varphi_{1} \qquad \Gamma; \Delta \vdash t_{2} \hookrightarrow t'_{2} : \widehat{\tau}_{2} \& \varphi_{2}$$

$$\Gamma; \Delta \vdash t_{1} \hookrightarrow t'_{1} : \widehat{\tau}_{1} \& \varphi_{1} \qquad \Gamma; \Delta \vdash t_{2} \hookrightarrow t'_{2} : \widehat{\tau}_{2} \& \varphi_{2}$$

$$\Gamma; \Delta \vdash t_{1} \hookrightarrow t'_{1} : \widehat{\tau}_{1} \& \varphi_{1} \Rightarrow \varphi'_{1} \Rightarrow \varphi'_{1} \Rightarrow$$

Figure 4: Syntax-directed type elaboration system $(\Gamma; \Delta \vdash t \hookrightarrow t' : \hat{\tau} \& \varphi)$

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\mathcal{R}: TyEnv \times KiEnv \times Tm \rightarrow ExnTy \times Exn
\mathcal{R} \Gamma \Delta x
\mathcal{R} \Gamma \Delta c_{\tau}
                                                       =\langle \perp_{\tau}; \emptyset \rangle
\mathcal{R} \Gamma \Delta \mathcal{I}_{\tau}^{\ell}
                                                   =\langle \perp_{\tau}; \{\ell\} \rangle
\mathcal{R} \Gamma \Delta (\lambda x : \tau . t) = \mathbf{let} \langle \widehat{\tau}_1; e_1; \overline{e_i : \kappa_i} \rangle = \mathcal{C} \varnothing \tau
                                                                              \langle \widehat{\tau}_2; \varphi_2 \rangle = \mathcal{R} (\Gamma, x : \widehat{\tau}_1 \& e_1) (\Delta, \overline{e_i : \kappa_i}) t
                                                                  in \langle \forall \overline{e_i : \kappa_i} . \widehat{\tau}_1 \langle e_1 \rangle \rightarrow \widehat{\tau}_2 \langle \varphi_2 \rangle; \emptyset \rangle
                                                         = let \langle \widehat{\tau}_1; \varphi_1 \rangle
\mathcal{R} \Gamma \Delta (t_1 t_2)
                                                                                                                                                                   = \mathcal{R} \Gamma \Delta t_1
                                                                                                                                                                = \mathcal{R} \Gamma \Delta t_2
                                                                               \langle \widehat{\tau}_2' \langle e_2' \rangle \rightarrow \widehat{\tau}' \langle \varphi' \rangle; \overline{e_i : \kappa_i} \rangle = \mathcal{I} \ \widehat{\tau}_1
                                                                                                                                                                = [e_2' \mapsto \varphi_2] \circ \mathcal{M} \varnothing \widehat{\tau}_2 \widehat{\tau}_2'
                                                                  in \langle \|\theta \hat{\tau}'\|_{\Delta}; \|\theta \varphi' \cup \varphi_1\|_{\Delta} \rangle
\mathcal{R} \Gamma \Delta (\mathbf{fix} \ t)
                                                          = let \langle \hat{\tau}; \varphi \rangle
                                                                                                                                                                  = \mathcal{R} \Gamma \Delta t
                                                                               \langle \widehat{\tau}' \langle e' \rangle \rightarrow \widehat{\tau}'' \langle \varphi'' \rangle; \overline{e_i : \kappa_i} \rangle = \mathcal{I} \widehat{\tau}
                                                                   in \langle \widehat{\tau}_0; \varphi_0; i \rangle \leftarrow \langle \bot_{|\widehat{\tau}'|}; \emptyset; 0 \rangle
                                                                               do \theta \leftarrow [e' \mapsto \varphi_i] \circ \mathcal{M} \oslash \widehat{\tau}_i \widehat{\tau}'
                                                                                           \langle \widehat{\tau}_{i+1}; \varphi_{i+1}; i \rangle \leftarrow \langle \|\theta \widehat{\tau}''\|_{\Delta}; \|\theta \varphi''\|_{\Delta}; i+1 \rangle
                                                                               until \langle \widehat{\tau}_i; \varphi_i \rangle \equiv \langle \widehat{\tau}_{i-1}; \varphi_{i-1} \rangle
                                                                               return \langle \hat{\tau}_i ; \| \varphi \cup \varphi_i \|_{\Delta} \rangle
\mathcal{R} \Gamma \Delta (t_1 \oplus t_2) = \mathbf{let} \langle \mathbf{i} \hat{\mathbf{n}} \mathbf{t}; \varphi_1 \rangle = \mathcal{R} \Gamma \Delta t_1
                                                                               \langle \mathbf{i} \hat{\mathbf{n}} \mathbf{t}; \varphi_2 \rangle = \mathcal{R} \Gamma \Delta t_2
                                                                   in \langle \mathbf{bool}; \| \varphi_1 \cup \varphi_2 \|_{\Delta} \rangle
\mathcal{R} \Gamma \Delta (t_1 \operatorname{seq} t_2)
                                                          = let \langle \widehat{\tau}_1; \varphi_1 \rangle = \mathcal{R} \Gamma \Delta t_1
                                                                               \langle \widehat{\tau}_2; \varphi_2 \rangle = \mathcal{R} \Gamma \Delta t_2
                                                                  in \langle \widehat{\tau}_2; \| \varphi_1 \cup \varphi_2 \|_{\Delta} \rangle
\mathcal{R} \Gamma \Delta (if t_1 then t_2 else t_3)
                                                          = let \langle \mathbf{b} \widehat{\mathbf{oo}} \mathbf{l}; \varphi_1 \rangle = \mathcal{R} \Gamma \Delta t_1
                                                                               \langle \widehat{\tau}_2; \varphi_2 \rangle = \mathcal{R} \Gamma \Delta t_2
                                                                               \langle \widehat{\tau}_3; \varphi_3 \rangle = \mathcal{R} \Gamma \Delta t_3
                                                                  in \langle \| \widehat{\tau}_2 \sqcup \widehat{\tau}_3 \|_{\Delta}; \| \varphi_1 \cup \varphi_2 \cup \varphi_3 \|_{\Delta} \rangle
\mathcal{R} \Gamma \Delta \mid_{\tau}
                                                         =\langle [\perp_{\tau}\langle\emptyset\rangle];\emptyset\rangle
\mathcal{R} \Gamma \Delta (t_1 :: t_2) = \mathbf{let} \langle \widehat{\tau}_1; \varphi_1 \rangle = \mathcal{R} \Gamma \Delta t_1
                                                                               \langle [\widehat{\tau}_2 \langle \varphi_2' \rangle]; \varphi_2 \rangle = \mathcal{R} \; \Gamma \; \Delta \; t_2
                                                                  in \langle \| [(\widehat{\tau}_1 \sqcup \widehat{\tau}_2) \langle \varphi_1 \cup \varphi_2' \rangle] \|_{\Lambda}; \varphi_2 \rangle
\mathcal{R} \Gamma \Delta \text{ (case } t_1 \text{ of } \{[] \mapsto t_2; x_1 :: x_4 \mapsto t_3\})
                                                          = let \langle [\widehat{\tau}_1 \langle \varphi_1' \rangle] ; \varphi_1 \rangle
                                                                                                                                               = \mathcal{R} \Gamma \Delta t_1
                                                                               \langle \hat{\tau}_2; \varphi_2 \rangle = \mathcal{R} \left( \Gamma, x_1 : \hat{\tau}_1 \& \varphi'_1, x_2 : \left[ \hat{\tau}_1 \langle \varphi'_1 \rangle \right] \& \varphi_1 \right) \Delta t_2
                                                                               \langle \hat{\tau}_3; \varphi_3 \rangle = \mathcal{R} \Gamma \Delta t_3
                                                                   in \langle \| \widehat{\tau}_2 \sqcup \widehat{\tau}_3 \|_{\Delta}; \| \varphi_1 \cup \varphi_2 \cup \varphi_3 \|_{\Delta} \rangle
```

Figure 5: Type inference algorithm

$$\begin{split} & \frac{}{\Delta \vdash \mathbf{b}\widehat{\mathbf{ool}}} \leqslant \mathbf{b}\widehat{\mathbf{ool}}} \ \ [\text{S-Bool}] \quad \frac{}{\Delta \vdash \mathbf{i}\widehat{\mathbf{n}}\mathbf{t}} \leqslant \mathbf{i}\widehat{\mathbf{n}}\mathbf{t}} \ \ [\text{S-Int}] \\ & \frac{\Delta \vdash \widehat{\tau}_1' \leqslant \widehat{\tau}_1 \quad \Delta \vdash \varphi_1' \leqslant \varphi_1 \quad \Delta \vdash \widehat{\tau}_2 \leqslant \widehat{\tau}_2' \quad \Delta \vdash \varphi_2 \leqslant \varphi_2'}{\Delta \vdash \widehat{\tau}_1 \langle \varphi_1 \rangle \rightarrow \widehat{\tau}_2 \langle \varphi_2 \rangle \leqslant \widehat{\tau}_1' \langle \varphi_1' \rangle \rightarrow \widehat{\tau}_2' \langle \varphi_2' \rangle} \ \ [\text{S-Arr}] \\ & \frac{\Delta \vdash \widehat{\tau} \leqslant \widehat{\tau}' \quad \Delta \vdash \varphi \leqslant \varphi'}{\Delta \vdash [\widehat{\tau} \langle \varphi \rangle] \leqslant [\widehat{\tau}' \langle \varphi' \rangle]} \ \ [\text{S-List}] \quad \frac{\Delta, e : \kappa \vdash \widehat{\tau}_1 \leqslant \widehat{\tau}_2}{\Delta \vdash \forall e : \kappa. \widehat{\tau}_1 \leqslant \forall e : \kappa. \widehat{\tau}_2} \ \ [\text{S-Forall}] \end{split}$$

Figure 6: Subtyping

$$\frac{t_1 \rightarrow t_1'}{t_1 t_2 \rightarrow t_1' t_2} \text{ [E-APP]} \quad \overline{(\lambda x : \tau. t) t_2 \rightarrow t_1[t_2/x]} \text{ [E-APPABS]}$$

$$\frac{t \rightarrow t'}{t (\varphi) \rightarrow t' (\varphi)} \text{ [E-ANNAPP]} \quad \overline{(\Lambda e : \kappa. t) (\varphi) \rightarrow t[\varphi/e]} \text{ [E-ANNABSABS]}$$

$$\frac{t \rightarrow t'}{\text{fix } t \rightarrow \text{fix } t'} \text{ [E-FIX]} \quad \overline{\text{fix } (\lambda x : \tau. t) \rightarrow t[\text{fix } (\lambda x : \tau. t)/x]} \text{ [E-FIXABS]}$$

$$\frac{t \rightarrow t'}{\text{fix } t \rightarrow \text{fix } t'} \text{ [E-FIX]} \quad \overline{\text{fix } (\lambda x : \tau. t) \rightarrow t[\text{fix } (\lambda x : \tau. t)/x]} \text{ [E-FIXABS]}$$

$$\frac{t_1 \rightarrow t_1'}{t_1 \rightarrow t_2 \rightarrow t_1' \oplus t_2} \text{ [E-OP]} \quad \frac{t_2 \rightarrow t_2'}{t_1 \oplus t_2 \rightarrow t_1 \oplus t_2'} \text{ [E-OP_2]}$$

$$\frac{t_1 \rightarrow t_1'}{t_1 \text{ seq } t_2 \rightarrow t_1' \oplus t_2} \text{ [E-OP_2]} \quad \overline{t_1 \oplus t_2' \rightarrow t_1'} \text{ [E-OP_2]}$$

$$\frac{t_1 \rightarrow t_1'}{t_1 \text{ seq } t_2 \rightarrow t_1' \text{ seq } t_2} \text{ [E-SeQ_1]} \quad \overline{t_1 \oplus t_2' \rightarrow t_2} \text{ [E-SeQ_2]}$$

$$\frac{t_1 \rightarrow t_1'}{t_1 \text{ seq } t_2 \rightarrow t_1' \text{ seq } t_2} \text{ [E-IF_2]}$$

$$\frac{t_1 \rightarrow t_1'}{\text{ if } t_1 \text{ then } t_2 \text{ else } t_3 \rightarrow \text{ if } t_1' \text{ then } t_2 \text{ else } t_3} \text{ [E-IF]}$$

$$\frac{t_1 \rightarrow t_1'}{\text{ if } \text{ false } \text{ then } t_2 \text{ else } t_3 \rightarrow t_2} \text{ [E-IF_2]}$$

$$\frac{t_1 \rightarrow t_1'}{\text{ if } \text{ false } \text{ then } t_2 \text{ else } t_3 \rightarrow t_2} \text{ [E-IF_2]}$$

$$\frac{t_1 \rightarrow t_1'}{\text{ case } t_1 \text{ of } \{[] \mapsto t_2; x_1 :: x_2 \mapsto t_3\} \rightarrow t_2} \text{ [E-CASENIL]}$$

$$\frac{t_1 \rightarrow t_1'}{\text{ case } [] \text{ of } \{[] \mapsto t_2; x_1 :: x_2 \mapsto t_3\} \rightarrow t_2} \text{ [E-CASENIL]}$$

$$\frac{t_1 \rightarrow t_1'}{\text{ case } t_1 \text{ of } \{[] \mapsto t_2; x_1 :: x_2 \mapsto t_3\} \rightarrow t_2} \text{ [E-CASENIL]}$$

Figure 7: Operational semantics $(t_1 \longrightarrow t_2)$

$$e[\varphi/e] \equiv \varphi$$

$$e'[\varphi/e] \equiv e' \qquad \text{if } e \neq e'$$

$$\{\ell\}[\varphi/e] \equiv \{\ell\}$$

$$\varnothing[\varphi/e] \equiv \varnothing$$

$$(\lambda e' : \kappa \cdot \varphi') [\varphi/e] \equiv \lambda e' : \kappa \cdot \varphi'[\varphi/e] \qquad \text{if } e \neq e' \text{ and } e' \notin \text{fv}(\varphi)$$

$$(e_1 e_2) [\varphi/e] \equiv (e_1[\varphi/e]) (e_2[\varphi/e])$$

$$(e_1 \cup e_2) [\varphi/e] \equiv e_1[\varphi/e] \cup e_2[\varphi/e]$$

Figure 8: Annotation substitution

$$x[t/x] \equiv t$$

$$x'[t/x] \equiv x'$$

$$c_{\tau}[t/x] \equiv c_{\tau}$$

$$(\lambda x' : \widehat{\tau}.t') [t/x] \equiv \lambda x' : \widehat{\tau}.t'[t/x]$$
if $x \neq x'$ and $x' \notin fv(t)$

Figure 9: Term substitution