# Type inference for PHP

## The value of annotations in a dynamic language

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November 28, 2015, 43 pages

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# Abstract

Dynamic language are generally hard to statically analyse because of run-time dependencies. Without running the program there are many things unknown. Because dynamic languages are PHP are widely used, the need for decent analysis tool grows. This research examines the value of adding annotations to PHP code to improve the analysability. In the results we see that annotations improve the analysability of software code (this is a guess). Here I should state something about the correctness of the annotations. And end with a general conclusion.

# Preface

In this section I will thank everyone who has helped me. Maybe also introduce some anecdote on how this research came to be.

## Chapter 1

## Introduction

#### 1.1 PHP

PHP¹is a server-side programming language created by Rasmus Lerdorf in 1995. The original name 'Personal Home Page' changed to 'PHP: Hypertext Preprocessor' in 1998. PHP source files are executed using the PHP Interpreter. The language is dynamically typed, the types of variables are examined during run-time. In statically typed languages all variable types are known at compile time. PHP supports duck-typing, which allows variables to change types during execution.

Evolution The programming language PHP evolved after its creation in 1995. In the year 2000 Object-Oriented (OO) language structures were added to the langue with the release of PHP 4.0. The 5th version of PHP was released in 2004 and provided an improved OO structure. Namespace were added in PHP 5.3 in 2009, to be able to resolve class naming conflicts between library and create better readable class names. Namespaces are comparable to packages in Java. OPcache extension is added was added in PHP 5.5 and speeds up the performance of including files on run-time by storing precompiled script byte-code in shared memory. The most recent stable version is 5.6 and includes more internal performance optimisations and introduces a new debugger.

**Popularity** According to the Tiobe Index<sup>2</sup> of December 2014, PHP is the 6th most popular language of all programming languages. The language has been in the top 10 since it's introduction in the Tiobe Index in 2001. More than 80 precent of the websites have a php backend<sup>3</sup>. The majority of these websites use PHP version 5, rather than version 4 or older versions. It is therefor wise to focus on PHP version from 5 and discard the older unused versions.

**Analysability** Although the popularity for more than a decade, there is still a lack of good PHP code analysis tools. Tools can help to reveal security vulnerabilities or find vulnerabilities or bugs in source code. The tools can also provide code completions or do automatic transformations which can be used to execute refactoring patterns. Source code analysis can be performed statically or dynamically or a combination of the two. More information on the analysability of php can be found in section 2.1.

#### 1.2 Position

In this research we investigate how we can improve the analysability of PHP programs. We will show that the use of annotated source code can help to improve the analysability. The correctness of the annotations can also be examined by checking the implementation of the code.

These annotations can help to improve the analysis. The results can be used to find security issues, and if they are highly reliable we can even make compiler optimisations.

<sup>&</sup>lt;sup>1</sup>http://php.net

<sup>&</sup>lt;sup>2</sup>http://www.tiobe.com/index.php/content/paperinfo/tpci/index.html, December 2014

<sup>&</sup>lt;sup>3</sup>http://w3techs.com/technologies/details/pl-php/all/all, December 2014

As far as we know, there is no constraint based type inference research like this one performed for PHP. That makes this research unique. There have been similar analysis for other dynamic languages, like smalltalk, ruby and javascript, but none like this.

### 1.3 Contribution

This research contains contributions to the static analysis research field. It contribues by:

- extending the  $M^3$  model with support for PHP
- constraint based type inference

The extension of the  $M^3$  model, a generic model which holds facts of a program, to support PHP programs can help researchers to compare PHP programs with programs written in other languages. Until now only Java was supported. More information about  $M^3$  can be found in section 4.1.

This paper also presents a *constrained based type inference* algorithm. These type inference results can help IDE tools and programmers by providing helpful tools. The algorithm can be found in section 4.3.

#### 1.4 Plan

The rest of this thesis is as follows: chapter 2 contains background and related work. Background exists of important language constructs, information about annotations in PHP, introduction to  $M^3$  and type systems. The last section of this chapter shows similar research and their relation to this research. Chapter 3, research context, describes the research approach and context. Chapter 4 describes the performed actions of this research. The analysis is presented in chapter 5, with the results in chapter 6. This thesis ends with the conclusions in chapter 8.

## Chapter 2

# Background and Related Work

Chapter 2 describes seven important language constructs which the reader needs to understand in order to understand the difficulties of analysing PHP in section 2.1. The second section, section 2.2, introduces the syntax and usage of annotations in PHP. Section 2.3 explains Rascal, the programming language used for the analysis. In this section we will explain  $M^3$ , a programming language independent meta model which holds various facts about programs, in more details. Section 2.4 introduces type systems and how type systems relate to this research. The last section of this chapter, section 2.5, describes the related work and how these researches are related to this thesis.

### 2.1 PHP Language Constructs

PHP has various language constructs which complicate statical analysis. This section presents language constructs and why these constructs are important for this research. Explanations of these constructs help you to understand the performed analysis. The discussed parts are scope, file includes, conditional classes and functions, dynamic features, late static binding, magic methods and dynamic class properties.

**Scope** In PHP, all classes and functions are globally accessible once they are declared. All classes and functions are implicitly public, inner classes are not allowed, and conditional functions (see paragraph about conditional classes and functions) will be available in the global scope. If a class or function is declared inside a namespace, their name is prefixed with the name of the namespace.

Variables have three scope levels: global-, function-, and method-scope. Under normal circumstances when a variable is declared inside a function or method, their scope is limited to this function or method. Variables declared outside function or methods are available in the global scope, but not in the method or function scope. There is an exception for some predefined global variables which are available everywhere. Examples are \$GLOBALS, \$\_POST, and \$\_GET. Variables inside a function or method can be aliased to a global variable by adding the keyword GLOBAL in front of the variable name. The variable are then linked to the global variable in the symbol table 1.

Closures (anonymous functions in PHP) have the same scoping rules as variables, but they can inherit variables from outside their scope by providing them in the use statement.

**Includes** PHP allows files to include other PHP-files during execution of the program. The content of these files will be loaded inline. This means that if you use an include in the middle of a file, the source code of this file will be inserted virtually at that place. In this research we will not perform an include analysis. Instead we will assume that all files in the project are included during execution.

According to the php coding standard<sup>2</sup>, function- and class-name classes should not appear when using namespaces and autoloading. When a class which is not already loaded in memory, the autoloading function will try to include a file and load the class. The structure of the autoloading is meant to include classes, interfaces, traits, and functions and should not have inline code executions which would lead to side-effects.

<sup>&</sup>lt;sup>1</sup>http://php.net/manual/en/language.variables.scope.php, July 2014

<sup>&</sup>lt;sup>2</sup>http://www.php-fig.org/psr/psr-0/, July 2014

Conditional classes and functions Once a file is included in the execution, all the classes and functions in the top scope are declared. All class and function declarations within condition statements or within a method or function scope are only declared when the code is executed.

An example of an conditional statement can be found in listing 2.1. If the class Foo or function bar to not exist before the statements is executed, then the class and function will not yet declared. When you try to use the class or function before the code is executed, the script will exit with an fatal error.

```
if (!class_exists("Foo"))
class Foo { /* ... */ }

if (!function_exists("bar"))
function bar() { /* ... */ }
```

Listing 2.1: Conditional class and function definitions

More examples of dynamic function and class loading is displayed in listing 2.2. If the first call is g() as you can see in line 8, the script will result in a fatal error because function g() will only be declared after function f() is executed. The class C will be declared once function g() is executed. As soon as the functions and classes are declared, they are available in the top scope, possibly prefixed with the name of the namespace they are declared within.

```
function f() {
    function g() {
3
       class C {}
4
    }
5
  }
6
7
  // Execution examples:
8
                       // will fail because 'g();' is not declared yet
  g();
9
  f(); g();
                                                    is declared when calling
                       // will work because 'g();'
10
  f(); new C();
                       // will fail because 'g();'
                                                    needs to be called first
  f(); g(); new C();
                       // will work because 'g();' is called and has declared
```

Listing 2.2: Conditional function declaration

**Dynamic features** PHP comes with dynamic features like: include dynamic variables, dynamic class instantiations, dynamic function calls, dynamic function creation, reflection, and eval. These features allow code to change during compile time. New functions and classes can be declared on the fly. Method calls, or even whole pieces of code, can be executed based on variable strings.

A previous study by Mark Hills[HKV13] has shown that most real applications make use of dynamic features. Dynamic features powerful, but can complicate the statical analysis. Additional analysis like constant propagation is needed to resolve most of these dynamic features. This is not in scope for this research.

Late static binding Late static binding<sup>3</sup> is implemented in PHP since version 5.3 by adding the keyword static to the language. It's usage is similar to the keyword self, which refers to the current class. The main difference is that self refers to the class where the code is located, while static refers to the actual instantiated class. The keyword self can be easily resolved while static can only be resolved on runtime. For the keyword self we will have to refer to the class it's declared in, plus all their descended classes.

Magic methods PHP allows calls and property access on methods and fields that don't exist on a class. Normally a call to a non-existing method or property would result in a fatal error, but with the use of magic methods you can specify the wanted behavior. Listing 2.3 shows an example of the \_\_call method. This method is triggered when a non-accessible or non-existing method is called. In this example the code will try to return the value of a private property based on the provided name. The

 $<sup>^3</sup> http://php.net/manual/en/language.oop5.late-static-bindings.php,\ July\ 2014$ 

full list of magic methods is \_\_construct, \_\_destruct, \_\_call, \_\_callStatic, \_\_get, \_\_set, \_\_isset, \_\_unset, \_\_wakeup, \_\_toString, \_\_invoke, \_\_set\_state, \_\_clone, and \_\_debugInfo.

```
class Car {
   private $maxSpeed = 210;
   function __get($name) { return $this->$name; }
}

var_dump((new Car)->maxSpeed); // 210
var_dump((new Car)->numberOfWheels); // NULL
```

Listing 2.3: Magic methods in PHP

Dynamic class properties Although it is a good practice to define your class properties, it is not required to do so in PHP. After instantiating a class it is possible to add properties to classes, even without the implementation of magic methods. In listing 2.4 you can see a code sample of adding a property after instantiation of a class. The access of the non-existing property nonExistingProperty will result in a warning, but code execution will continue and will just return NULL. The code on line 4 is where the property is written. The object \$c will have the nonExistingProperty publicly available now. But in a new class instantiation, like you can see on line 6, will not have the property there.

```
class C {}

c
```

Listing 2.4: Dynamic class property

#### 2.2 Annotations

Annotations are pieces of meta data, defined on class, method, function, or statement level. Despite the proposal for official support of annotations, PHP has still no native support them. But PHP has a getDocComment method in the ReflectionClass since version 5.1 in 2005. The getDocComment method returns the complete doc block of a certain element as a string. A doc block in php has the format /\*\*...\*/. Listing 2.5 shows an example of two doc blocks in PHP. The first doc block is defined above the class and contains information about the class. The second doc block is related to the method getSomething. The block contains a short description of the method, provides type hints for the parameter and the return type, and provides information which possible exceptions can be thrown by the method.

```
namespace Thesis;
2
3
4
   * Class Example
5
   * @package Thesis
6
   */
7
  class Example
8
  {
9
10
        * This is a description of the method getSomething
11
        * @param SomeTypeHint $someObject
12
13
        * @return string
        * @throws NoNameException
14
15
```

<sup>&</sup>lt;sup>4</sup>https://wiki.php.net/rfc/annotations-in-docblock

<sup>&</sup>lt;sup>5</sup>http://php.net/manual/en/reflectionclass.getdoccomment.php

```
public function getSomething(SomeTypeHint $someObject)
{
    if (null === $someObject->getName()) {
        throw new NoNameException();
    }
}
return $someObject->getName();
}
```

Listing 2.5: Examples of PHP DocBlocks

Annotations are mainly used for type hinting, documentation, and code execution. Software analysis tools and IDE's can use the type hints to aid understanding code and in finding bugs and security issues. Available tools can generate documentation based on the doc blocks. Programs like Symfony2, ZEND Framework, and Doctrine ORM use annotations for controller routing, templating information, ORM mappings, filters, and validation configuration.

This research focusses on the first type of annotations which can help developers and IDE's to better understand how code behaves within a program. For example a programmer can see what kind of input and output is expected for a method. Doc blocks with annotations can be placed on top of classes, methods, functions, and variables.

A standard on using annotations is not in the PHP Standard Recommendations (PSR) yet, but there is a proposal<sup>6</sup>. For this research we will only focus on the @param, @return, @var, and @inheritDoc annotations. The annotations @return and @param are only useful for functions, class methods, and closures. Type hints are described with @var and can be used on all structures, but mainly occur on variables and class fields.

There is no official standard for the use of annotations, but most projects follow the phpDocumentor<sup>7</sup> syntax. For this research the following annotations are considered:

$$\text{@return} = \Big\{ \text{@return } type, \quad \text{unconditionally read @return } type.$$
(2.1)

$$\operatorname{@var} = \begin{cases} \operatorname{@var} type \$var, & \text{if `@var} type \$var' \text{ occurs at least once.} \\ \operatorname{@var} \$var type, & \text{else if `@var $\$var type' occurs at least once.} \\ \operatorname{@var} type, & \text{otherwise try to match `@var type'.} \end{cases}$$

$$(2.3)$$

$$type = \begin{cases} type|type, & \text{if '|' in } type. \\ type, & \text{otherwise} \end{cases}$$
 (2.4)

### 2.3 Rascal

Rascal [KSV09] is a meta programming language developed by Centrum Wiskunde & Informatica (CWI). Rascal is designed to analyse, transform and visualise source code. The language is build on top of Java and implements various concepts of existing programming languages. In this research, Rascal is the main programming language. Rascal is used for gathering facts about the program and to solve constraints.

 $<sup>^6</sup>$ https://github.com/php-fig/fig-standards/pull/169/files, July 2014

<sup>&</sup>lt;sup>7</sup>http://www.phpdoc.org/

The facts are gathered by visiting AST tree representing the program and hold semantic information about the program. Constraints are generated based on the collected facts and these constraints are solved with an in Rascal created constraint solver. The only part that does not use Rascal is the PHP parser. Although this could be easily implemented in Rascal, there was an existing library written in PHP available.

 $M^3$ [Izm+13] is a model which holds various information of source code and is implemented in Rascal. This model is created to gain insights in the quality of open-source projects. For our research we use the  $M^3$ -model to store facts about the program in a structured way, so we can easily use it at a later stage. The core element of the  $M^3$ -model contains containment, declarations, documentation, modifiers, names, types, uses, messages. The declarations relation contains class, method, variable-information with their logical name and their real location. The type of the relation are locations and represent the logical name of the declaration and will be used in the rest of the  $M^3$ . The containment relation has information on what declarations are contained in each other. For example a package can contain a class; a class can contain fields and methods or an inner class; a method can contain variables. The documentation relation contains all comments from the source code and its source location. The modifiers relation has information on the modifiers of declarations. Modifiers are abstract, final, public, protected, or private. The names relation contains a simplified name of the full declarations. The types relation has information about the type of the source code elements. The uses relation describes what references use an object. For instance when a field of a class is used in some expression, the uses relation links the field in the expression to the declaration of the field in the class. And lastly, messages contains errors, warnings, and info statements.

### 2.4 Type systems

**Type systems** define how a set of rules are applied to types in their context. The system validates the type usage with **type checking**. The process of resolving types is called **type inference**. On one hand the system needs to determine the type of variables, on the other hand it will check the type of the variables.

Type checking Type checking is a mechanism which validates and/or enforces the constraints of a type in their specific context. There is a difference between static type checking and dynamic type checking. Static type checking is a process of checking the types based on the source code. The static type checker will ensure that a program is type safe before executing the program, which means that there will occur no type errors during runtime. Dynamic type checking performs the type checking during runtime. This means that the program needs to run to gain feedback on the usage of types. PHP is a dynamically typed language, which means that there are no types checked before actually running the program. There are languages, like JAVA, which have use a combination of static and dynamic type checking. The advantage of static type checking is that type errors can be caught early in the development process and allows for compiler optimisations. Dynamic type checking systems have more flexibility and allow dynamic loading of new code.

Type inference Type inference is the process of resolving types of variables and expressions. The inference process is a prerequisite to be able to perform type checking. Being able to infer the type before running the program enables you to optimise code execution by applying compiler optimisations. These optimisation allow performance improvements or can optimise memory usage. In dynamic languages like PHP it can be difficult to resolve the type of a variable or expression without running the program. In statically typed languages, type inference happens at compile time. In the next paragraph we will briefly explain some type inference systems.

The **Hindley-Milner**[Hin69] (HM) type system was found in 1969 by Roger J. Hinldey and almost 10 years later rediscovered [Mil78] by Robin Milner. The first implementation was created four years later by pHd student Luis Damas. Damas proved the soundness and completeness of the HM type system with **Algorithm W**[DM82] in the context of the programming language ML. The HM type system deduces the types of the variables to their most abstract type, based on their usage. Type declarations and hints are not necessarily to perform type inference. The type system is used for various functional languages.

Haskell for example uses the Hinley-Milner type system as a foundation for the Haskell type system. Control Flow Analysis [NNH99] (CFA) is concerned with resolving sound approximate run-time values at compile time. CFA is build on top of data flow analysis [ASU86] and tries to resolve the control-flow problem. One of the earlier CFA algorithms was Shivers' 0CFA algorithm [Shi88], a flow-sensitive constraint based algorithm. Shiver then defined k-CFA [Shi91], where the precision of the analysis is increased by taking the context of the expressions into account.

The Cartesian Product Algorithm [Age95] (CPA) is a type inference algorithm created by Ole Agesen in 1995. Agesens work was based on Palsberg and Schwartzbach' basic type inference algorithm [PS91]. This basic type inference algorithm derives a set of constraints based on trace graphs and solves the constraints using a fix-point algorithm. Agesen extended the basic algorithm with templates. These templates are based on control flow and have start and end nodes with their possible in- and outputs. The CPA calculates the possibles output types for each template by taking the cartesian product (the set of all possible ordered pairs) of the input types.

### 2.5 Related work

Due to the big growth of the internet in the last couple of years, with a big market share of PHP programs, there are numerous people who have analysed PHP programs. We will briefly describe a few of them.

Similar work has been presented by a student of Universiteit Utrecht[Cam07; CHH09]. He created a constraint-based type inference analysis for his master thesis. The inference algorithm combines possible results of the constraints and takes the union to define the types. To guarantee termination the algorithm uses widening, by replacing the current result with the result of the union, to make sure that there will be a fixed-point. Further work improved the implementation by adding object support[VH15].

Paul Biggar created an Ahead-Of-Time (AOT) compiler for PHP[Big10]. The main goal of this compiler is to improve the performance of PHP programs. The AOT compiler starts by parsing a PHP program into an AST. This AST is transformed into an High-level Intermediate Representation (HIR) to remove all redundant constructs and then transformed into a Medium-level Intermediate Representation (MIR). Using dataflow analysis, alias analysis, static single assignment (SSA), and type analysis the compiler performs optimisations on the MIR. After the optimisations, the compiler generates C code, which then can be executed to run the program.

PHANTM[KSK10a; KSK10b] (PHp ANalyzer for Type Mismatch) is an open source PHP analyser written in Scala. Because of PHP's dynamic nature, without compiler or interpreter type checking, it is easy to make typing errors that result in unexpected behaviour or in fatal errors. PHANTM performs a hybrid flow-sensitive analysis to find type errors in PHP5. The hybrid analysis combines static and dynamic analysis. A program can be annotated to start a static analysis at a specific point. The analyser collects run-time type information while running the program and then starts the static analysis. PHANTM uses data-flow analysis to infer types. Although PHANTM has proven to be able to find a decent number of type errors on scalar usages in three different programs, there is a lack of finding errors in object oriented structures.

Facebook improved the performance of PHP programs with a static compiler, called HipHop Virtual Machine [Zha+12] (HHVM). This static compiler extracts the program into an AST, traverses this AST to collect information, performs pre-optimisations, performs type inference, preforms post-optimisations, and lastly generates C++ code. During the pre-optimisations the compiler removes unneeded actions, for example constant inlining, logical-expression simplifications, and dead-code elimination. The type inference process is based on the Hindley-Milner constraint based algorithm [DM82], to infer types of constants, variables, functions parameters, and return types. These new inferred types are then used in the post-optimisation. In the last step the AST is traversed to generate C++ code. Although the compiler does not cover all functions of PHP, it does covers most of the features. The performance benefits on the other hand are significantly better, showing on average 5.5x more efficiency.

PHPLint<sup>8</sup> extends the PHP syntax with type hints where PHP lacks support for it, using custom inline comment blocks to add extra typing information. These doc blocks with type information can be used in the analysis, allowing more strict type checking. The used syntax for the type hints are /\*. .\*/, for example: /\*. string .\*/ \$s = null; which means that the variable \$s is of type string. PHPLint solves the lack of type hint support on scalar and array types. PHPLint can generate type hints based on information retrieved from simple type inference. Despite the good intentions, it seems like PHP7 will support scalar type hints as provided by this tool.

<sup>&</sup>lt;sup>8</sup>http://www.icosaedro.it/phplint, July 2015

## Chapter 3

## Research Context

This chapter describes our type system of PHP the research context. In section 3.1 we will explain more about the types we have defined. The relation between the types are described in 3.2. Section 3.3 explains in which context the research is executed.

### 3.1 Types

The basis types in PHP are integers, floats, booleans, strings, arrays, resources and null. PHP has a similar class inheritance structure and interface implementation as Java. The main difference is that in PHP all class are public and that inner classes are not allowed in PHP.

Because PHP has no explicit type system, we have defined our own type system for PHP. In the Rascal code below you can see our defined types, with a brief description below.

#### **Rascal 1** $M^3$ core definitions in Rascal

```
module lang::php::m3::TypeSymbol
data TypeSymbol
  = \any()^1
                                    // unknown, can be any of the types below
  | arrayType(TypeSymbol arrayType) // array of a type, can be nested
  | booleanType()
                                    // boolean values
  | classType(loc decl)
                                    // a specific class
                                    // float, double or real
  | floatType()
                                    // integer numbers
   integerType()
   interfaceType(loc decl)
                                    // a specific interface
  | numberType()
                                    // a float or integer
                                    // empty or undefined value
  | nullType()
  | objectType()
                                    // any class type
  | resourceType()
                                    // a build-in type
                                    // any number, string, resource or
  | scalarType()
                                    // text values
    stringType()
```

any As you can see in the comments in the code above, 1, any() represents the combination of all possible types. This type will be used for mixed and unknown types, for example when variables are used, but are never defined.

**arrayType** The type arrayType(TypeSymbol arrayType) is a recursive declaration. The argument of the type is the type of the array. For example, an array of strings is declared as arrayType(stringType())

and for an unknown array the type is arrayType(\any()).

**booleanType** The type booleanType() is the type for boolean values. Just like any other language the boolean values are true and false.

classType The type classType(loc decl) represents a specific class, or the generic type. The argument is the declaration, which represents the logical name of the class. An example of the Exception class is classType(|php+class:///exception|).

floatType Floating point numbers, also known as floats, reals, and doubles are defined by the floatType(). Example are 1.234, 1.2e3, and 7E-10.

**integerType** Integers are whole numbers in decimal, hexadecimal, octal or binary notation. The integerType values can be positive or negative.

**interfaceType** The interfaceType() represents a specific interface, or the parent interface. Interfaces can be provided as type hints.

**numberType** The type numberType() covers the integerType and floatType. Because of coercion, these types can be easily mixed.

nullType The type nullType() is used for the value null.

**objectType** The type objectType() is the parent type for all class types. This type represent the object type and could also been written as classType(|php+class:///object|).

**resourceType** The type resourceType() represents the build-in PHP resource type. Various function return the resourceType from build-in PHP functions.

scalarType The type scalarType() is the generic type for resourceType, booleanType, numberType,
and stringType.

**stringType** The type stringType represents strings, a sequence of characters.

## 3.2 Type hierarchie

The relation between the types is shown in figure 3.1. In this diagram the -Type postfix is omitted to save space. We speak of **subtypes** when the types are descendant of the given type. The subtypes of the root node any are scalarType, arrayType, and objectType.

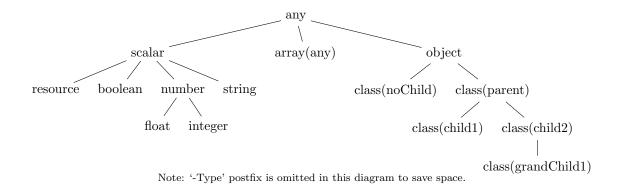


Figure 3.1: Type hierarchy

The scalar type is the super type for the non-complex types resourceType, booleanType, numberTypes, and stringType. These types can in practise be combined because of coercion. If they are used together, they will be classified as scalar types.

The array type in the subtype diagram is the most generic type of array, the array of any type. We have omitted the other array types because we of the scope in our research on arrays. In theory, this array type is a recursive type and can go to infinite depth. But in practise the generic array type is sufficient.

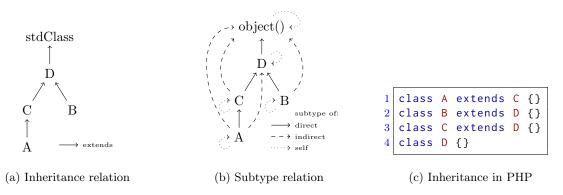


Figure 3.2: Relation of subtypes among classes

The *object type* is the most generic object type, which represents the stdClass in PHP. The class inheritance relation in PHP is a reflexive transitive closure relation. A class extension of class A on class C will define class A as a subtype of class C in our analysis, as you can see in figure 3.2. If a class does not extend another class, it will implicitly extend the stdClass class. You can see that this happens with class D in the example. The stdClass is represented as the type object() in our analysis.

#### 3.3 Research context

In order to let our research take place, we need to make sure that some environment variables are constant.

**Program correctness** In order to be able to execute this research we assume that the programs are correct and works as intended. This is needed to be able to say something about the programs we analyse.

**File includes** In this research we will assume that all file are included during runtime. When a PHP system is constructed of classes with namespaces, the files will be logically loaded using PHP's

autoloader. Because most recent systems use namespaces, we will assume that all files are included. For legacy systems, this can influence the results of this research.

**Register globals** Register globals allows variables to be magically be created from GET and POST values. Since it is discouraged to use this setting, we will assume that all software products have this setting disabled.

**PHP warnings** For this research we will ignore all warnings. Warnings do not alter the behaviour of the program. In a most production environment these warnings are suppressed and will not change the behaviour of the program.

**Sensitivity** Our analysis is flow-, control-, and context-insensitive. *Flow-insensitive* means that we do not look at messages between objects, and only look in the body of a method. *Control-insensitive* means that we ignore all control structures. Examples of control structures are if, else, and switch. *Context-insensitive* means that we do not look at the order of which code is executed.

## Chapter 4

## Research

The research is executed in 3 main steps. The first step creates an  $M^3$  model for a PHP program which contains various facts about the program. The creation of an  $M^3$  for PHP is explained in more details in section 4.1. Once the  $M^3$  model is constructed, the second step is to extract constraints from the program using the  $M^3$  model. How and which constraints are extracted is described in section 4.2. In the third step, in section 4.3, the constraints are solved and resolve the types of variables used in the program. The final section 4.4 of this chapter explains how annotations can be included in the process to gain more precise results.

### 4.1 $M^3$ for PHP

As explained in section 2.3,  $M^3$  is a language independent meta model which holds facts about programs. The model can be extended with language specific elements and will be used to query the system for facts about the system. An overview how an  $M^3$  for PHP is build is shown in figure 4.1. Independent  $M^3$  models are build each PHP file in the program.

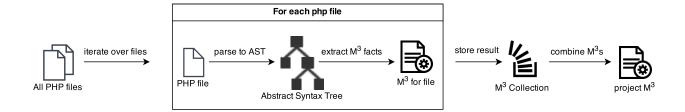


Figure 4.1:  $M^3$  Creation

All these individual  $M^3$ 's are in the end combined to collect all the facts about a program. This results in one  $M^3$  for the whole program.  $M^3$ 's are first created for each file is because it is not defined what the dependencies of a individual file are. There is no main file, and all files can load each other. In this research we assume that all files in a program are loaded when needed using autoloaders or manual includes.

#### 4.1.1 Core elements

The  $M^3$  model has the following core elements: declarations, containment, modifiers, uses, names, and documentation. The rascal code is displayed in Rascal 2. The characteristics of the elements are described in the paragraphs below.

**Declarations** Declarations defines the declarations of namespaces, classes, interfaces, traits, methods, functions, and variables and holds the relation between the logical name (which is used to refer to the

#### **Rascal 2** $M^3$ core definitions in Rascal

```
anno rel[loc name, loc src] M3@declarations; // maps declarations to file location. anno rel[loc from, loc to] M3@containment; // what is logically contained in what else anno rel[loc definition, Modifier modifier] M3@modifiers; // associated modifiers anno rel[loc src, loc name] M3@uses; // maps src locations of usages to the declarations anno rel[str simpleName, loc qualifiedName] M3@names; // end-user readable names anno rel[loc definition, loc comments] M3@documentation; // comments and doc-blocks
```

declaration) and the actual file location (which is the physical place in the file system. For example the logical name of a class can be |php+class://SomeNameSpace/ClassX| while the actual location might be |file:///project/SomeNameSpace/ClassX.php|.

Containment Containment holds information about what elements logically contain other elements. For example, a property or method is contained in a class and a class is contained in a package. When a function is declared in another function, they are both logically contained in the global namespace (the highest level) because all functions are declared as first class citizens in PHP.

Modifiers Modifiers element contains information about the modifiers of classes, fields, and methods. Classes can only be abstract, fields can be public, private, or protected, and methods can be all of them. Abstract methods can only be declared in abstract classes. Classes are implicitly public.

Uses Uses relation holds information about the usages of certain elements. It is the relation between the usage and the declaration. For example when you instantiate a class, in that case you 'use' that specific class.

Names Names contains the declaration and a simplified version of the name. The declared name can be long and unreadable. names contains human readable name which can be used for presenting the element in a GUI.

**Documentation** Documentation contains the link to the documentation related to the source code element. The link is mapped to a declaration.

#### 4.1.2 PHP specific elements

Because every programming language differs in syntax and semantics, the  $M^3$  model is extensible to provide language specific elements. The following php specific items are added: extends, implements, traitUses, parameters, constructors, aliases, and annotations. These PHP specific elements are described in more detail in the paragraphs below.

#### **Rascal 3** PHP specific $M^3$ element

```
anno rel[loc from, loc to] M3@extends;  // which class extends which class
anno rel[loc from, loc to] M3@implements;  // interface usages
anno rel[loc from, loc to] M3@traitUses;  // trait usages
anno rel[loc decl, PhpParams params] M3@parameters;  // formal functions/methods parameters
anno rel[loc decl, loc to] M3@constructors;  // constructor usages
anno rel[loc from, loc to] M3@aliases;  // class name aliases (new name -> old name)
anno rel[loc pos, Annotation annotation] M3@annotations;  // annotations from doc blocks
```

**Extends** Extends contains information about what classes and interface extend other classes or interfaces. Please note that we do not hold information about which class implements which interface, because that information is contained in the implements relation. Interface extensions work just like class extensions.

**Implements** Implements holds information on which class implements which interfaces. One class can implement no, one, or multiple interfaces. Because the information is a relation between the class and the interface, we can easily add multiple interfaces to one class in the model.

**TraitUses** TraitUses lists which traits are used by which class or trait. A traits is a collection of reusable functions, defined in the namespace scope. One class can have multiple trait usages. All the methods of a trait are on runtime imported in the class.

Parameters Parameters keeps track of the parameters of a method or function. PhpParams is a list relation and contains the optional typehint, if the parameter is required and if it is passed as reference. This information is stored to make it easier to resolve the call to a method or function.

Constructors Constructors lists the constructors for classes. This information is needed because it is not always clear what constructor is used, due to legacy PHP4 way of using class constructors. In PHP4 the constructor was defined as a method with the same name as the class. Since PHP5 the language is provided with a magic method \_\_construct(), which results in two ways to have constructors, but only one constructor will be called. The PHP4 constructors will be removed in PHP7.

Aliases Aliases has a relation between aliases and the actual implementation. For instance the function class\_alias defines a new name for the same class. This relation is also used to keep track of references.

Annotations Annotations contain a relation between a declaration and the annotations that are known. Annotations are defined in the raw doc blocks and are parsed using regular expressions (regex). Regex was in this case easier then parsing because there is no official grammar or standard defined. For this research we only use <code>@param</code>, <code>@var</code>, <code>@returns</code>.

#### 4.1.3 The algorithm

In order to get a better understanding of the creation of an  $M^3$  for PHP, the algorithm is provided in Algorithm 1.

#### **Algorithm 1:** PHP program to $M^3$

```
Input: PHP files of a program
  Output: M^3 for the PHP program
1 m3Collection = [];
2 forall the file \in program do
      ast = parseUsingPhpParserAndReturnRascalAST(file);
3
      m3 = createEmptyM3(ast);
4
      m3 = addDeclarations(m3, ast);
5
      ast = addScopeInformation(m3, ast);
6
      m3 = addContainment(m3, ast);
7
      m3 = addExtendsAndImplements(m3, ast);
8
      m3 = addModifiers(m3, ast);
      m3 = addRawDocBlocksAndAnnotations(m3, ast);
10
      m3 = calculateUsesFlowInsensitive(m3, ast);
11
      m3Collection += m3;
12
13 end
14 return projectM3 = composePhpM3(m3Collection);
```

The input of the algorithm is all the PHP files of a program, which are all files ending on .php. **The output** is an  $M^3$  with facts about the provided program. The algorithm starts with initialising an empty  $M^3$  collection in line 1 which with be filled with the result of each individual files. In the big loop on line 2 to 13 we iterate over all the PHP files of the program. The first thing that needs to be done is to create an Abstract Syntax Tree (AST) of the program using an external PHP parser<sup>1</sup> which returns an Rascal AST in parseUsingPhpParserAndReturnRascalAST on line 3. From this AST we create an empty  $M^3$  in createEmptyM3 on line 4. In order to be able to refer to any source code element, we need to have the declarations of the elements. These elements are extracted in addDeclarations on line 5 can be namespace, class, interface, trait, method, function, variable, field, or constant. For all functions we need to add scope information in case functions are declared inside another function or method. In line 6 we add the scope information to all nodes to the AST by visiting the AST. This is needed in order to extract the right facts about the logical containment which is done in line 7. The next three lines (8-10) extract facts about class inheritance and the interface implementations, modifiers, PHP doc blocks, and annotations. Once all the basic information is collected, calculateUsesFlowInsensitive on line 11 tries to find the declarations of the used objects, methods, functions and variables. This is flow and context insensitive and only tries to resolve non-dynamic language constructs. The last step of the iterator is to add the constructed  $M^3$  to the  $M^3$  collection. Finally when an  $M^3$  is constructed for all files, all facts of the individual  $M^3$ 's are merged into one  $M^3$  model in line 14.

#### 4.2 Constraint extraction

In the previous section 4.1 we've shown how an  $M^3$  model for PHP is created. In this section we present the constraint definitions and how they are extracted.

#### 4.2.1 Constraint definitions

The constraint definitions we use are based on the definition of Palsberg and Schwartzbach[PS94]. We have extended the definition to conform to the PHP language. A legend with all symbols is displayed in table 4.1, followed by the constraint definitions for PHP.

 $<sup>^{1} \</sup>rm https://github.com/ruudvanderweijde/PHP-Parser$ 

symbol		description	symbol		description
	=	equivalent expression	=	=	equivalent type
:=	=	assignment	C	=	a class
<:	=	(lhs) is subTypeOf (rhs)	$\rightarrow c$	=	a class constant
$E_k$	=	an expression	$\rightarrow p$	=	a class property
$\llbracket E_k  rbracket$	=	type of some expression	$\rightarrow m$	=	a class method
$\bar{f}$	=	a function	$\llbracket m  rbracket$	=	(return) type of a method call
$\llbracket f  rbracket$	=	(return) type of a function	$(A_n)$	=	the n'th actual argument
$\ddot{c}$	=	static property fetch	$(P_n)$	=	the n'th formal parameter
:: m	=	static method call	th	=	type hint
:: p	=	static property fetch	v	=	default value
Mfs	=	modifiers	$\Gamma$	=	the program
{}	=	set of types	instanceof	=	instance of class in parse tree

Table 4.1: Constraint definition legend

We write the definitions in the following form:

```
\frac{\text{an expression } E \text{ possibly in some context (premiss)}}{\text{constraint 1,}}
```

Above the horizontal line we write the premisses. In our case premisses are PHP expressions which be true or false possibly depending on the context. If the premiss is true for a PHP statement or expression we can define the constraints below the horizontal line.

#### 4.2.2 Scalars

Scalars

$$E \text{ instanceof String}$$

$$[\![E]\!] = stringType()$$

$$E \text{ instanceof Float}$$

$$[\![E]\!] = floatType()$$

$$E \text{ instanceof Integer}$$

$$[\![E]\!] = integerType()$$

Code example:

Listing 4.1: Scalars

Encapsulated strings

$$E, E$$
 is an encapsed string\*
$$[E] = string()$$

```
1 "$var"
```

Listing 4.2: Encapsulated strings

 $<sup>\ ^*</sup>$  When a string contains expression (/variables), it is processed as encapsed. Code example:

### 4.2.3 Expressions

For expressions Variable assignment

$$\frac{E \equiv (E_1 := E_2)}{[E_2] <: [E_1],}$$
$$[E_1] = [E]$$

Code example:

Listing 4.3: Variable assignment

Ternary operator

$$E \equiv (E_1 ? E_2 : E_3)$$

$$[E] <: [E_2] \lor [E] <: [E_3]$$

$$E \equiv (E_1 ? : E_3)$$

$$[E] <: [E_1] \lor [E] <: [E_3]$$

Code example:

```
$\frac{1}{\texpr ? \texpr ? \texpr
```

Listing 4.4: Ternary operator

Assignments with operators (1) always resulting in ints

$$E_1 \& = E_2$$
 $E_1 \mid = E_2$ 
 $E_1 \hat{} = E_2$ 
 $E_1 <<= E_2$ 
 $E_1 >>= E_2$ 
 $E_1 \% = E_2$ 
 $[E_1] = integer()$ 

Code example:

Listing 4.5: Assignments with operators (1)

Assignments with operators (2) string concat (. =)

$$\frac{E_1 := E_2}{[E_1] = string(),}$$

$$if([E_2] <: object()) => hasMethod([E_2]," tostring")$$

Code example:

```
$\ \begin{aligned}
\ \alpha \ .= \begin{aligned}
\ \begin{aligned}
\ \begin{aligned}
\ \alpha \ .= \begin{aligned}
\ \beg
```

Listing 4.6: Assignments with operators (2)

Assignments with operators (3) resulting in int where rhs is no array

$$E_1 /= E_2$$

$$E_1 -= E_2$$

$$[E_1] = integer(),$$

$$[E_2] \neq array(any())$$

Code example:

```
$\frac{1}{$a /= $b;  /* $a = integer() */
$\frac{2}{$a -= $b;  /* $a = integer() */
}
// An error occurs when $b is of type array() for /= and -=
// Fatal error: Unsupported operand types
```

Listing 4.7: Assignments with operators (3)

Assignments with operators (4) resulting in int or float

$$E_1 *= E_2 \ E_1 += E_2 \ \overline{[E_1] <: float()}$$

Code example:

```
$\ \tag{$a \times \text{$b;} /* when \text{$b = (boolean()|integer()|null()) \times / /* \text{$a = integer() \times / 2 \text{$a \times \text{$b;} /* when \text{$b != (boolean()|integer()|null()) \times / /* \text{$a = float() \times / 3 \text{$a += \text{$b;} /* when \text{$b == (boolean()|integer()|null()) \times / /* \text{$a = integer() \text{$x \text{$a \text{$b;} /* when \text{$b != (boolean()|integer()|null()) \text{$x / /* \text{$a = float() \text{$
```

Listing 4.8: Assignments with operators (4)

Unary operators

$$\frac{E \equiv (+E_1) \lor (-E_1)}{[E] <: float(),}$$
$$[E_1] \neq array(\backslash any())$$

$$\frac{E \equiv (!E_1)}{[E] = boolean()}$$

$$E \equiv (\sim E_1)$$

$$\overline{[E_1] = float() \vee [E_1] = integer() \vee [E_1] = string()},$$

$$E \equiv (E_1 + +) \vee (E_1 - -)$$

$$if([E_1] <: array(\backslash any()) => [E] <: array(\backslash any()),$$

$$if([E_1] = boolean()) => [E] = boolean(),$$

$$if([E_1] = float()) => [E] = float(),$$

$$if([E_1] = integer()) => [E] = integer(),$$

$$if([E_1] = null()) => [E] = integer() \vee [E] = null,$$

$$if([E_1] = null()) => [E] = integer() \vee [E] = null,$$

$$if([E_1] = resource()) => [E] = resource(),$$

$$if([E_1] = string()) => [E] = integer() \vee [E] = float() \vee [E] = string()$$

$$E \equiv (+ + E_1) \vee (- - E_1)$$

$$if([E_1] = string()) => [E] = integer() \vee [E] = float(),$$

$$if([E_1] = boolean()) => [E] = boolean(),$$

$$if([E_1] = float()) => [E] = float(),$$

$$if([E_1] = integer()) => [E] = integer(),$$

$$if([E_1] = null()) => [E] = null,$$

$$if([E_1] = resource()) => [E] = resource(),$$

$$if([E_1] = string()) => [E] = integer() \vee [E] = float() \vee [E] = string()$$

```
1 +$a // positive
2 -$a // negation
3 !$a // not
4 ~$a // bitwise not
5 $a++ // post increase
6 $a-- // post decrease
7 ++$a // pre increase
8 --$a // pre decrease
```

Listing 4.9: Unary operators

#### Binary operators

$$E \equiv (E_1 + E_2)$$

$$E \equiv (E_1 + E_2)$$

$$E = (E_1 + E_2) \times (E_1 < : array(\_) \times [E] < : float(),$$

$$if([E_1] < : array(\_) \times [E_2] < : array(\_)) => [E] < : array(\_),$$

$$if([E_1]! < : array(\_) \times [E_2]! < : array(\_)) => [E] < : float()$$

$$E \equiv (E_1 - E_2) \vee (E_1 * E_2) \vee (E_1 / E_2)$$

$$E = (E_1 - E_2) \vee (E_1 * E_2) \vee (E_1 / E_2)$$

$$E = (E_1 \% E_2) \vee (E_1 < E_2) \vee (E_1 >> E_2)$$

$$E \equiv (E_1 \% E_2) \vee (E_1 < E_2) \vee (E_1 >> E_2)$$

$$E \equiv (E_1 \% E_2) \vee (E_1 < E_2) \vee (E_1 >> E_2)$$

$$E \equiv (E_1 \% E_2) \vee (E_1 < E_2) \vee (E_1 >> E_2)$$

```
\frac{E \equiv (E_1 \& E_2) \lor (E_1 \mid E_2) \lor (E_1 \hat{E}_2)}{[E] = string() \lor [E] = integer(),}if([E_1] = string() \land [E_2] = string()) => [E] = string(),if([E_1] \neq string() \lor [E_2] \neq string()) => [E] = integer()
```

Listing 4.10: Binary operators

#### Comparison operators

$$E \equiv (E_1 == E_2)$$

$$E \equiv (E_1 == E_2)$$

$$E \equiv (E_1 != E_2)$$

$$E \equiv (E_1 <> E_2)$$

$$E \equiv (E_1 < E_2)$$

$$E \equiv (E_1 < E_2)$$

$$E \equiv (E_1 <= E_2)$$

$$E \equiv (E_1 <= E_2)$$

$$E \equiv (E_1 <= E_2)$$

$$E \equiv (E_1 >= E_2)$$

#### Code example:

Listing 4.11: Comparison operators

#### Logical operators

```
E \equiv (E_1 \text{ and } E_2)
E \equiv (E_1 \text{ or } E_2)
E \equiv (E_1 \text{ xor } E_2)
E \equiv (E_1 \&\& E_2)
E \equiv (E_1 || E_2)
E \equiv boolean()
```

Listing 4.12: Logical operators

#### 4.2.4 Array

Array value fetch

```
\begin{split} \underline{E &\equiv E_1[E_2]} \\ \hline [E_1] &\neq object(), \\ if([E_1] &= string()) => [E] = string(), \\ if([E_1] &= array(\{types\})) => [E] <: \{types\}, \\ if([E_1] &\neq string() \land [E_1] \neq array(\_)) => [E] = null() \end{split}
```

Code example:

Listing 4.13: Array value fetch

Array declaration

$$E'$$
, where  $E'$  is an array declaration  $[E'] <: array(any())$ 

Code example:

```
1 array(/*...*/); // typeOf() = array();
2 // Rascal: array(_) => array(\any())
```

Listing 4.14: Array declaration

### 4.2.5 Casts

Casts

Note: PHP Warnings are ignored

$$E \equiv (array)E_1$$

$$E = (bool)E_1 \lor (boolean)E_1$$

$$E = boolean()$$

$$E \equiv (float)E_1 \lor (double)E_1 \lor (real)E_1$$

$$[E] = float()$$

$$E \equiv (int)E_1 \lor (integer)E_1$$

$$[E] = integer()$$

$$E \equiv (object)E_1$$

$$[E] <: object()$$

$$E \equiv (string)E_1$$

$$[E] = string(),$$

$$if([E_1] <: object()) => hasMethod([E_1], `\__tostring')$$

$$E \equiv (unset)E_1$$

$$[E] = null()$$

```
(array)$a // <: array(\any())
(bool)$a // boolean()
(float)$a // float()
(int)$a // integer()
(object)$a // object()
(string)$a // string(), when $a == object() the object needs to have __toString()
(unset)$a // null()</pre>
```

Listing 4.15: Casts

#### 4.2.6 Clone

Clone

$$E \equiv clone(E_1)$$

$$[E] <: object(), [E_1] <: object()$$

Code example:

```
clone($a) // typeOf($a) = object, typeOf(clone($a)) = object
```

Listing 4.16: Clone

#### 4.2.7 Class

Class instantiation (1) matching the class name

$$E \equiv new \ C_1()$$
$$[E] = class(C.decl)$$

Code example:

```
1 new C;
```

Listing 4.17: Class instantiation (1)

Class instantiation (2) of an expression

$$\frac{E \equiv new \ E_1}{[E] <: object(),}$$
$$[E_1] <: object() \lor [E_1] = string()$$

Code example:

```
1  $c = "C";
new $c;
```

Listing 4.18: Class instantiation (2)

Special keywords self parent parent static

$$E \equiv \$this \in C$$

$$[E] <: object()$$

$$[E] = class(C) \lor [E] :> class(C)$$

$$\frac{E \equiv self \in C}{[E] <: object()}$$

$$[self] = class(C)$$

$$\frac{E \equiv parent \in C}{[E] <: object()}$$

$$[E] :> class(C)$$

$$\frac{E \equiv static \in C}{[E] <: object()}$$

$$([E] <: class(C) \lor [E] :> class(C))$$

Code example:

```
1
// $this can only be used within a class
2 $this // in class C -> class(C)
3 self // in class C -> class(C)
4 parent // in class C -> parentOf(class(C))
5 static // in class C -> class(C) or parentOf(class(C))
```

Listing 4.19: Special keywords

Class property fetch

\* Possible add fact that the field E is declared in class C, when it is on the left side of an assignment.

```
\begin{split} & \underbrace{ \$this \to E_1 \subseteq C_1 } \\ & [E_1] = C_1. \\ \text{hasProperty}(E_1. \\ \text{name, static} \notin \text{Mfs}) \lor \\ [E_1] = C_1. \\ \text{parent.hasProperty}(E_1. \\ \text{name, public|protected} \in \text{Mfs} \land \text{static} \notin \text{Mfs}) \lor \\ & [E_1] = C_1[. \\ \text{parent].hasMethod}("\_\_ \\ \text{get"}) \\ & \underbrace{ self :: E_1 \subseteq C_1 } \\ \hline [E_1] = C_1. \\ \text{hasProperty}(E_1. \\ \text{name, static} \in \text{Mfs}) \end{split}
```

$$\begin{aligned} & & & parent :: E_1 \subseteq m \\ \hline [E_1] &= & \text{C.parent.hasProperty}(E_1.\text{name, static} \in \text{Mfs} \ ) \\ & & & \\ \hline & & & \\ \hline E_1 \to E_2 \subseteq C_1 * \\ \hline & & \\ \hline [E_1] &= & C_1.\text{hasProperty}(E_2.\text{name, static} \notin \text{Mfs}) \ \lor \\ [E_1] &= & & C_1.\text{parent.hasProperty}(E_2.\text{name, public|protected} \in \text{Mfs} \land \text{static} \notin \text{Mfs}) \ \lor \\ [E_1] &= & & C.\text{hasProperty}(E_2.\text{name, public} \in \text{Mfs} \land \text{static} \notin \text{Mfs}) \end{aligned}$$

\*The same goes for static property fetches, except for the 'static ∉ Mfs' part: 'static ∈ Mfs'.

$$E_1 \to E_2 \not\subseteq C \subseteq \Gamma^*$$
 
$$[E_1] = \text{C.hasProperty}(E_2.\text{name, public} \in \text{Mfs} \land \text{static} \not\in \text{Mfs})$$

\*Property fetch outside a class scope, also for static properties. Code example:

```
$\fints -> prop // name = prop, vis = public|protected , !static || mm
self::\fintsprop // static property in class
parent::\fintsprop // static property in the parent(s)
$\fintsprop a-> prop // non-static property fetch
$\fintsprop a::\fintsprop // static property fetch
```

Listing 4.20: Class property fetch

Class property fetch variable

$$\frac{E \equiv E_1 \to E_2, E_2 \text{ is an expression}}{[E_1] <: \text{ object()}}$$

Code example:

```
1  $b = "b";
2  $a->$b
```

Listing 4.21: Class property fetch variable

Class method call

$$E \equiv \$this \rightarrow E_1 \subseteq C_1$$
 
$$[\$this] <: \text{ object}(), [\$this] = \text{ class}(C) \lor [E] :> \text{ class}(C),$$
 
$$[E_1] \text{ isMethod}(), [E_1] \text{ hasName}(E_1.name \lor "\_\_\text{call}"),$$
 
$$[E_1] = C_1.\text{hasMethod}(E_1.\text{name}, \text{ static} \notin \text{Mfs}) \lor \\ [E] <: [E_1]$$
 
$$\underline{E} \equiv self :: E_1 \subseteq C_1$$
 
$$\overline{[E_1] = C_1.\text{hasMethod}(E_1.\text{name}, \text{ static} \in \text{Mfs}) \lor }$$
 
$$[E_1] = C_1.\text{hasMethod}(E_1.\text{name}, \text{ public}|\text{protected} \in \text{Mfs} \land \text{ static} \in \text{Mfs}) \lor \\ [E_1] = C_1.\text{hasMethod}("\_\_\text{callStatic}")$$
 
$$\underline{E} \equiv parent :: E_1 \subseteq C_1$$
 
$$\overline{[E_1] = C_1.\text{parent.hasMethod}(E_1.\text{name}, \text{ public}|\text{protected} \in \text{Mfs}) \lor }$$
 
$$[E_1] = C_1.\text{parent.hasMethod}("\_\_\text{callStatic}")$$
 
$$\underline{E} \equiv E_1 \rightarrow E_2 \subseteq C_1 *$$
 
$$\overline{[E_1] = C_1.\text{hasMethod}(E_2.\text{name}, \text{ static} \notin \text{Mfs}) \lor }$$
 
$$[E_1] = C_1.\text{parent.hasMethod}(E_2.\text{name}, \text{ public}|\text{protected} \in \text{Mfs} \land \text{ static} \notin \text{Mfs}) \lor }$$
 
$$[E_1] = C.\text{hasMethod}(E_2.\text{name}, \text{ public}|\text{protected} \in \text{Mfs} \land \text{ static} \notin \text{Mfs}) \lor }$$

\*The same goes for static method calls, except for the 'static ∉ Mfs' part: 'static ∈ Mfs'.

$$E \equiv E_1 \to E_2 \not\subseteq C \subseteq \Gamma^*$$
 
$$[E_1] = \text{C.hasMethod}(E_2.\text{name, public} \in \text{Mfs} \land \text{static} \not\in \text{Mfs})$$

$$\begin{array}{c} \$this \rightarrow E_1 \subseteq C_1 \\ \hline [E_1] = C_1. \text{hasMethod}(E_1. \text{name, static} \notin \text{Mfs}) \vee \\ [E_1] = C_1. \text{parent.hasMethod}(E_1. \text{name, public}| \text{protected} \in \text{Mfs} \wedge \text{static} \notin \text{Mfs}) \vee \\ \hline [E_1] = C_1[. \text{parent}]. \text{hasMethod}("\_\_\text{call"}) \\ \hline \frac{self :: E_1 \subseteq C_1}{[E_1] = C_1. \text{hasMethod}(E_1. \text{name, static} \in \text{Mfs}) \vee \\ \hline [E_1] = C_1. \text{hasMethod}(E_1. \text{name, public}| \text{protected} \in \text{Mfs} \wedge \text{static} \in \text{Mfs}) \vee \\ \hline [E_1] = C_1. \text{hasMethod}("\_\_\text{callStatic"}) \\ \hline \frac{parent :: E_1 \subseteq C_1}{[E_1] = C_1. \text{parent.hasMethod}(E_1. \text{name, public}| \text{protected} \in \text{Mfs}) \vee \\ \hline [E_1] = C_1. \text{parent.hasMethod}("\_\_\text{callStatic"}) \\ \hline \frac{E_1 \rightarrow E_2 \subseteq C_1 *}{[E_1] = C_1. \text{hasMethod}(E_2. \text{name, static} \notin \text{Mfs}) \vee \\ \hline [E_1] = C_1. \text{parent.hasMethod}(E_2. \text{name, public}| \text{protected} \in \text{Mfs} \wedge \text{static} \notin \text{Mfs}) \vee \\ \hline [E_1] = C. \text{hasMethod}(E_2. \text{name, public}| \text{protected} \in \text{Mfs} \wedge \text{static} \notin \text{Mfs}) \vee \\ \hline [E_1] = C. \text{hasMethod}(E_2. \text{name, public}| \text{protected} \in \text{Mfs} \wedge \text{static} \notin \text{Mfs}) \vee \\ \hline [E_1] = C. \text{hasMethod}(E_2. \text{name, public}| \text{protected} \in \text{Mfs} \wedge \text{static} \notin \text{Mfs}) \vee \\ \hline [E_1] = C. \text{hasMethod}(E_2. \text{name, public}| \text{protected} \in \text{Mfs} \wedge \text{static} \notin \text{Mfs}) \vee \\ \hline [E_1] = C. \text{hasMethod}(E_2. \text{name, public}| \text{protected} \in \text{Mfs} \wedge \text{static} \notin \text{Mfs}) \vee \\ \hline [E_1] = C. \text{hasMethod}(E_2. \text{name, public}| \text{protected} \in \text{Mfs} \wedge \text{static} \notin \text{Mfs}) \vee \\ \hline [E_1] = C. \text{hasMethod}(E_2. \text{name, public}| \text{protected} \in \text{Mfs} \wedge \text{static} \notin \text{Mfs}) \vee \\ \hline [E_1] = C. \text{hasMethod}(E_2. \text{name, public}| \text{protected} \in \text{Mfs} \wedge \text{static} \notin \text{Mfs}) \vee \\ \hline [E_1] = C. \text{hasMethod}(E_2. \text{name, public}| \text{protected} \in \text{Mfs} \wedge \text{static} \notin \text{Mfs}) \vee \\ \hline [E_1] = C. \text{hasMethod}(E_2. \text{name, public}| \text{protected} \in \text{Mfs} \wedge \text{static} \notin \text{Mfs}) \vee \\ \hline [E_1] = C. \text{hasMethod}(E_2. \text{name, public}| \text{protected} \in \text{Mfs} \wedge \text{static} \notin \text{Mfs}) \vee \\ \hline [E_1] = C. \text{hasMethod}(E_2. \text{name, public}| \text{protected} \in \text{Mfs} \wedge \text{static} \notin \text{Mfs}) \vee \\ \hline [E_1] = C. \text{hasMethod}(E_2. \text{name, public}| \text{protected}$$

\*The same goes for static method calls, except for the 'static  $\notin$  Mfs' part: 'static  $\in$  Mfs'.

$$\frac{E_1 \to E_2 \not\subseteq C \subseteq \Gamma^*}{[E_1] = \text{C.hasMethod}(E_2.\text{name, public} \in \text{Mfs} \land \text{static} \not\in \text{Mfs})}$$

\*method call outside a class scope, also for static methods. Code example:

```
1 | $this->methodCall();
2 self::methodCall();
3 parent::methodCall();
4 \sa->methodCall();
5 $a::methodCall();
```

Listing 4.22: Class method call

Class method call variable

$$\frac{E \equiv E_1 \to E_2(), E_2 \text{ is an expression}}{[E_1] <: \text{ object()}}$$

Code example:

```
$a->$methodCall()
```

Listing 4.23: Class method call variable

Class constants (needs to be reviewed)

$$\frac{\text{self::}c_1\subseteq\Gamma}{[\text{self::}c_1]=C_1.\text{hasConstant}(E_2.\text{name})\vee}$$
 
$$[\text{self::}c_1]=C_1.\text{parent.hasConstant}(E_2.\text{name}, \text{public}|\text{protected}\in \text{Mfs})$$
 
$$\frac{\text{parent::}c_1\subseteq\Gamma}{[\text{self::}c_1]=C_1.\text{parent.hasConstant}(E_2.\text{name}, \text{public}|\text{protected}\in \text{Mfs})}$$
 
$$\frac{E_1::c_1\subseteq\Gamma}{[E_1]=\text{object}()}$$

Code example:

```
1 self::CONST
2 parent::CONST
3 SOMECLASS::CONST
```

Listing 4.24: Class constants (needs to be reviewed)

#### 4.2.8 Parameters

Parameters in class instantiation

\*These parameters are just examples for what happens if they have typeHints (th), default values(v) or none \*The constructor can be found in the m3 model (@constructors(loc classDecl, loc constructorMethodDecl))

Code example:

```
new C($foo);
```

Listing 4.25: Parameters in class instantiation

#### 4.2.9 Scope

Type of a certain variable within some scope this applies to global- class- function- and method- scope

$$\frac{E, E', E'', E''' \dots \ etc \subseteq f \qquad E \text{ is a variable}}{[E] = [E] \vee [E'] \vee [E''] \vee [E'''] \dots \ etc}$$

Code example:

Listing 4.26: Type of a certain variable within some scope

Return type of function or method (1) having no return statements or return;

$$return \not\subseteq f \lor return; \subseteq f$$
$$[f] = null()$$

Code example:

```
function f() {} // no return = null()
function f() { return; } // return; = null()
```

Listing 4.27: Return type of function or method (1)

Return type of function or method (2) every exit path ends with a return statement

$$\frac{(\text{return } E_1) \lor (\text{return } E_2) \lor \cdots \lor (\text{return } E_k) \subseteq f}{[f] <: [E_1] \lor [E_2] \lor \cdots \lor [E_k]}$$

```
function f() {
   if (rand(0,1))
   return $a;
else
   return $b;
}
// returns typeOf($a) or typeOf($b)
```

Listing 4.28: Return type of function or method (2)

Return type of function or method (3) possible no return value

$$(\text{return } E_1) \lor (\text{return } E_2) \lor \cdots \lor (\text{return } E_k) \lor (\neg \text{ return}) \subseteq f$$
$$[f] <: [E_1] \lor [E_2] \lor \cdots \lor [E_k] \lor null()$$

Code example:

```
function f() {
   if (rand(0,1))
    return $a;
   else if (rand(0,1))
    return $b;
}
// returns typeOf($a) or typeOf($b) or null()
```

Listing 4.29: Return type of function or method (3)

#### 4.2.10 Function calls

Function call

$$\frac{f() \subseteq \Gamma}{[f()] <: \text{ return of } [f]}$$

Code example:

```
function f() {}
f();
```

Listing 4.30: Function call

Function call variable

$$\begin{split} \underline{E \equiv E_1() \subseteq \Gamma} \\ \overline{[E] = any(),} \\ [E_1] <: object() \vee [E_1] = string(), \\ \text{if}([E_1] <: object()) => hasMethod("\__invoke") \end{split}$$

 ${\bf Code\ example:}$ 

```
function f() {}

$f = "f";

$f(); // unknown what function will be called
// [$f] <: object(with __invoke method) | [$f] = string()</pre>
```

Listing 4.31: Function call variable

How to resolve expressions:

- Find all expressions which are defined above and annotate them with @type.
- Annotate the rest of the expressions with @type = any(); (should only be for relevant expressions)

### 4.3 Constraint solving

When we have all the constraints from the source code as facts, we will solve the constraints until we can no longer solve any constraints. The result will be a list of possible types for each class, method, fields, functions, variable and expression. The first step is to initialise all type-able objects. In this initial phase the types of each object is of type any, except for the literal types which can be resolved already. When we solve the constraints step by step, we will be able to limit the number of possible types for a certain object. We do this by taking the intersection of the constraint result and the possible types we have. This way there should be less and less possible types for each variable.

When we take the intersection of none overlapping types, we have a type error. There is no possible type for this object, resulting in an empty set of possible types.

#### 4.3.1 The algorithm

In algorithm 2 we show the algorithm to solve the constraints.

#### **Algorithm 2:** Constraint solving algorithm

The algorithm input is a set of constraints and the output is a map of solutions. The set of constraints are the constraints defined in constraint extracting section, and the map of results is the location of all type-able objects with their possible solutions. The init function on line 1 adds all type-able objects to the set of possible solutions. The initial possible types of the objects will be the collection of all possible types, called Universe(). Only the literal types can be resolved already, like strings, numbers and boolean constants.

Line starts a loop until a fixed point is reached. In Rascal this method is called Solve() and will loop until there are no more changes in the given data. The methods of line 3-4 may alter the values of constraints or solutions.

The first function of the loop on line 3, deiveMore, visits all constraints and checks if there can be new constraints extracted based on the latest constraints and solutions. Example of these new constraints are conditional constraints, which could only be added if more information of the conditional was available.

Function propagate Solutions on line 4 propagates resolved estimates. Here we take the intersection of the known solutions with the given constraints.

#### 4.4 Annotations

After we gathers all the facts from the source code, we will add additional information which we read from the annotations. For this we use regex to match @return type and @param type var for methods and functions. We read @var type for variables and class attributes. In our first analysis we do not include the facts we gathered from the annotations. In the second analysis we do include the facts. This way we can compare the end results.

In order to gain some knowledge about the reliability of the annotations, we compare the result our or initial analysis with the provided provided annotation information. Here the implementation should comply to the used annotations.

## Chapter 5

# Analysis

In order to validate the performed research we have tested them on the most popular packages of Packagist<sup>1</sup>, which are listed in table 5.1. The statistics are generated using phploc<sup>2</sup>. All packages have between 2 and 6 million downloads. Packagist is a repository for Composer<sup>3</sup> projects. Composer is a dependency manager for PHP projects. All external plugins for PHP projects can be managed via a composer json file. You only need know the name and a version of the external package in the repository of your project.

	Product	F	iles	C	bjects			L	ines of code			
Vendor	Project*	Version	$D^1$	$F^2$	$C^3$	$\mathrm{I}^4$	$T^5$	Total ↑	Lo	gical	Glo	bal <sup>6</sup>
doctrine	lexer	v1.0	2	7	3	0	0	733	128	(17.46%)	13	(10.16%)
phpunit	php-timer	1.0.5	5	11	5	0	0	740	117	(15.81%)	17	(14.53%)
phpunit	php-text-template	1.2.2	5	11	5	0	0	768	125	(16.28%)	15	(12.00%)
doctrine	inflector	v1.0	2	7	3	0	0	853	130	(15.24%)	13	(10.00%)
psr-fig	log	1.0.0	3	15	8	2	2	1039	155	(14.92%)	22	(14.19%)
phpunit	php-file-iterator	1.3.4	5	13	7	0	0	1071	176	(16.43%)	15	(8.52%)
symfony	filesystem	v2.5.3	3	11	5	2	0	1 090	193	(17.71%)	19	(9.84%)
symfony	yaml	v2.5.3	3	16	11	1	0	2270	509	(22.42%)	28	(5.50%)
phpunit	php-token-stream	1.2.2	6	13	169	0	0	2360	377	(15.97%)	15	(3.98%)
doctrine	collections	v1.2	3	18	11	3	0	2504	394	(15.73%)	33	(8.38%)
symfony	process	v2.5.3	3	19	14	1	0	3198	604	(18.89%)	37	(6.13%)
symfony	finder	v2.5.3	8	43	36	3	0	4976	909	(18.27%)	80	(8.80%)
symfony	dom-crawler	v2.5.3	12	63	53	6	0	7825	1296	(16.56%)	157	(12.11%)
symfony	translation	v2.5.3	21	121	97	20	0	12345	2299	(18.62%)	257	(11.18%)
symfony	console	v2.5.3	17	84	66	13	2	13546	2556	(18.87%)	246	(9.62%)
symfony	http-foundation	v2.5.3	16	90	76	10	0	14179	2262	(15.95%)	154	(6.81%)
twig	twig	v1.16.0	18	172	148	19	0	14689	2630	(17.90%)	15	(0.57%)
symfony	event-dispatcher	v2.5.3	27	170	133	31	3	20230	3629	(17.94%)	418	(11.52%)
swiftmailer	swiftmailer	v5.2.1	37	238	170	52	0	28965	4645	(16.04%)	144	(3.10%)
phpunit	php-code-coverage	2.0.1	62	259	381	24	0	50371	6579	(13.06%)	87	(1.32%)
phpunit	phpunit	4.2.2	65	270	388	26	0	51516	6764	(13.13%)	129	(1.91%)
phpunit	phpunit-mock-objects	2.2.0	66	271	393	27	0	51735	6 801	(13.15%)	132	(1.94%)
doctrine	annotations	v1.2.0	69	306	423	28	0	57325	7718	(13.46%)	188	(2.44%)
doctrine	common	v2.4.2	76	337	440	45	0	62406	8 3 2 6	(13.34%)	298	(3.58%)
symfony	http-kernel	v2.5.3	96	565	471	90	3	79294	14169	(17.87%)	1449	(10.23%)
doctrine	cache	1.3.0	152	687	729	102	2	103024	16667	(16.18%)	1355	(8.13%
doctrine	dbal	v2.4.2	121	557	628	63	0	104 630	15234	(14.56%)	1 033	(6.78%)
guzzle	guzzle	v3.9.2	150	832	828	141	7	117699	19772	(16.80%)	1787	(9.04%)
doctrine	orm	v2.4.4	175	1007	875	119	2	158530	27932	(17.62%)	2866	(10.26%
monolog	monolog	1.10.0	350	1911	1904	135	2	288507	31415	(10.89%)	4221	(13.44%)
werkspot	old-Website	07-2014	928	6225	4907	224	0	1054686	167978	(15.93%)	22693	(13.51%)

<sup>\*</sup>This is a list of the 30 most popular packages of packagist ordered by total lines of code, in July 2014.  $^1$  = Directories,  $^2$  = Files,  $^3$  = Classes,  $^4$  = Interfaces,  $^5$  = Traits,  $^6$  = Not in class or function

Table 5.1: List of analysed projects.

To collect the source code for each project, we have executed the following steps:

1. git clone the github repo.

<sup>&</sup>lt;sup>1</sup>https://packagist.org/explore/popular, July 2014

<sup>&</sup>lt;sup>2</sup>https://github.com/sebastianbergmann/phploc, July 2014

 $<sup>^3</sup>$ https://getcomposer.org/, July 2014

- 2. Run composer install, and the source code including dependencies will be downloaded in the /vendor folder.
- 3. Remove the autoload.php and composer folder, as we don't need them.
- 4. Remove the test folders by removing all folders matching Tests or tests.

#### To measure the coverage:

- 1. git clone the github repo.
- 2. Run composer install, and the source code including dependencies will be downloaded in the /vendor folder.
- 3. Run the unit tests and use xdebug to resolve the types.
- 4. Compare the results.

# Chapter 6

# Results

The results below are based on our analysis on PhpStorm. The first table contains information about the source folder of the test projects. The second table includes vendor folders, which makes is harder to pin point the problems, but does give a better indication of the impact of using annotations. In either cases the vendor folders are used in the analysis to resolve types. The type resolution is based on the type inference implementation of PhpStorm.

#### 6.1 Results

		Ur	resolved typ	es	Resolved types							
					Ţ	Jnique types		Mι	ıltiple types			
Product	Total	w/o doc	with doc	$\Delta$	w/o doc	with doc	$\Delta$	w/o doc	with doc	$\Delta$		
php-timer	21	12	7	(-83%)	8	13	(77%)	1	1	(0%)		
php-code-coverage	1444	583	396	(-64%)	809	991	(37%)	52	57	(18%)		
phpunit-mock-objects	800	243	117	(-104%)	539	642	(32%)	18	41	(112%)		
Twig	3720	1 346	1 081	(-39%)	2 286	2458	(14%)	88	181	(103%)		
doctrine2	11452	5 3 2 0	2843	(-93%)	5 929	8 057	(53%)	203	552	(126%)		
php-text-template	41	13	8	(-77%)	27	32	(31%)	1	1	(0%)		
lexer	65	27	8	(-141%)	37	50	(52%)	1	7	(171%)		
php-token-stream	398	123	90	(-54%)	270	300	(20%)	5	8	(75%)		
inflector	39	25	6	(-152%)	10	29	(131%)	4	4	(0%)		
php-file-iterator	96	37	23	(-76%)	57	59	(7%)	2	14	(171%)		
dbal	7770	2993	1 848	(-77%)	4 658	5516	(31%)	119	406	(141%)		
monolog	2018	689	390	(-87%)	1 304	1536	(30%)	25	92	(146%)		
common	1583	736	316	(-114%)	826	1151	(56%)	21	116	(164%)		
collections	518	240	174	(-55%)	270	312	(27%)	8	32	(150%)		
cache	524	282	226	(-40%)	235	272	(27%)	7	26	(146%)		
phpunit	5010	2115	1065	(-99%)	2 798	3796	(53%)	97	149	(70%)		
annotations	709	286	171	(-80%)	416	520	(40%)	7	18	(122%)		
guzzle3	0	0	0	(0%)	0	0	(0%)	0	0	(0%)		
log	185	68	8	(-176%)	117	177	(68%)	0	0	(0%)		

Table 6.1: Results of type usage, source folder only

-		Ur	resolved typ	es	Resolved types						
	, , , , , , , , , , , , , , , , , , ,			Unique types Multiple types							
Product	Total	w/o doc	with doc	$\Delta$	w/o doc	with doc	$\Delta$	$\rm w/o~doc$	with doc	$\Delta$	
php-timer	13751	4671	2 998	(-72%)	8 615	10157	(30%)	465	596	(44%)	
php-code-coverage	12717	4174	2621	(-74%)	8 103	9536	(30%)	440	560	(43%)	
phpunit-mock-objects	13559	4633	2984	(-71%)	8 468	9989	(30%)	458	586	(44%)	
Twig	5518	1856	1570	(-31%)	3 533	3717	(10%)	129	231	(88%)	
doctrine2	63148	22830	15408	(-65%)	39 425	45307	(26%)	893	2433	(127%)	
php-text-template	162	65	39	(-80%)	91	108	(31%)	6	15	(120%)	
lexer	174	75	35	(-107%)	93	118	(42%)	6	21	(143%)	
php-token-stream	723	228	174	(-47%)	485	527	(16%)	10	22	(109%)	
inflector	177	83	43	(-96%)	85	116	(53%)	9	18	(100%)	
php-file-iterator	217	89	54	(-79%)	121	135	(21%)	7	28	(150%)	
dbal	31828	10901	6988	(-72%)	20 202	23397	(27%)	725	1443	(100%)	
common	17997	6280	3986	(-73%)	11 169	13153	(30%)	548	858	(72%)	
collections	866	343	255	(-51%)	510	565	(19%)	13	46	(143%)	
cache	36379	11970	7974	(-67%)	23 615	26830	(24%)	794	1575	$(99\%)^{\circ}$	
phpunit	13201	4452	2841	(-72%)	8 298	9783	(30%)	451	577	(44%)	
annotations	16341	5546	3641	(-69%)	10 234	11978	(29%)	561	722	(45%)	
log	294	116	35	(-140%)	173	245	(59%)	5	14	(129%)	

Table 6.2: Results of type usage, including vendor folder

## 6.2 Validation of the results

Say something about:

- Soundness (what we measured, is it correct?)
- Completeness (how much did we measure?)
- Accuracy (how precise are the results?)

### 6.3 Annotations

The results of the analysis when adding the annotations to the analysis. Compare the results with the results of the analysis without the annotation information.

# Chapter 7

# Case Study

Explain how the case study is performed.

This chapter will show the case study, but I just need to place this information somewhere. A list of the 40 most popular packages from packages.

- ullet create composer file
- composer install
- mkdir phploc
- $\bullet$  list all packages: find ./vendor/\* -maxdepth 1 -mindepth 1 -type d -exec ls -d ""
- $\bullet$  prefix the list with "phploc" and postfix with " > phploc/<file>.phploc"

## Chapter 8

## Conclusion

Summary of the whole work, with conclusions. T.B.A.

#### 8.1 Conclusion

#### 8.2 Future work

These items will not be covered by the analysis (maybe add this to threats/future work)

- Analysis is flow insensitive
- $\bullet$  Closure
- References
- Variable constructs (variable -variable, -method/function calls, -class instantiation, eval) :: todo: explain WHY not.
- Yields

Explain something about combining this analysis to other analysis (like dead code elimination, constant folding/propagation resolve, alias analysis, array analysis) to gain more precise results.

Something about performance optimisations... Explain what is already done to boost the performance and what still can be done.

Use a bigger corpus to gains better results of the analysis by doing analysis on more programs.

## 8.3 Threats to validity

When relying on annotations for analysis, the annotations need to be correct and sound. The hard part is that the annotations are not examined on runtime, and are therefor not easily validated.

# Glossary

#### AST

An abstract representation of the structure of the source code. .

#### PSR

PHP Standard Recommendation (PSR) is project which provides rules for commonalities between PHP projects. Autoloading, coding style guide, logging, and HTTP Message interface are the first few accepted standards. The PHPDoc standard describes how and what to use in doc blocks and is currently in draft phase. See http://www.php-fig.org/ for more information. .

#### Rascal

Rascal is a meta-programming language developed by SWAT (Software analyse and transformation) team at CWI in the Netherlands. See http://www.rascal-mpl.org/ for more information.

#### reflexive transitive closure

A relation is transitive if  $\langle a, b \rangle \in R$  then  $\langle b, a \rangle \in R$ .

A relation is reflexive if  $\langle a,b\rangle\in R$  and  $\langle b,c\rangle\in R$  then  $\langle a,c\rangle\in R$ .

A reflexive transitive closure can be established by creating direct paths for all indirect paths and adding self references, until a fixed point is reached.

#### stdClass

A predefined class in the PHP library. The class is the root of the class hierarchy. It is comparable to the Object class in Java.

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