# Programming Assignment 1: Learning Distributed Word Representations

Version: 1.1

#### **Changes by Version:**

- (v1.1)
  - 1. (Part 1) Update calculate\_log\_co\_occurence() to include the count for the 4th word in the sentence for diagonal entries. Remove text on needing to add 1 as it is already done in the code
  - 2. (1.5) Removed the line defining unnecessary loss variable
  - 3. (1.5) We added a gradient checker function using finite difference called check\_GloVe\_gradients(). You can run the specified cell in the notebook to check your gradient implementation for both the symmetric and asymmetric models before moving forward.
  - 4. (Part 3) Fixed error with evaluate() function when calling
     compute\_loss()

Version Release Date: 2022-01-30

Due Date: Friday, Feb. 4, at 11:59pm

Based on an assignment by George Dahl

For CSC413/2516 in Winter 2022 with Professor Jimmy Ba and Professor Bo Wang

**Submission:** You must submit two files through MarkUs:

- A PDF file containing your writeup, titled a1-writeup.pdf, which will be the PDF export of this notebook (i.e., by printing this notebook webpage as PDF). Your writeup must be typed. There will be sections in the notebook for you to write your responses. Make sure that the relevant outputs (e.g. print\_gradients() outputs, plots, etc.) are included and clearly visible.
- 2. This a1-code.ipynb iPython Notebook.

The programming assignments are individual work. See the Course Syllabus for detailed policies.

You should attempt all questions for this assignment. Most of them can be answered at least partially even if you were unable to finish earlier questions. If you think your computational results are incorrect, please say so; that may help you get partial credit.

The teaching assistants for this assignment are Harris Chan and Caroline Malin-Mayor. Send your email with subject "[CSC413] PA1" to mailto: csc413-2022-01-tas@cs.toronto.edu or post on Piazza with the tag pa1.

### Introduction

In this assignment we will learn about word embeddings and make neural networks learn about words. We could try to match statistics about the words, or we could train a network that takes a sequence of words as input and learns to predict the word that comes next.

This assignment will ask you to implement a linear embedding and then the backpropagation computations for a neural language model and then run some experiments to analyze the learned representation. The amount of code you have to write is very short but each line will require you to think very carefully. You will need to derive the updates mathematically, and then implement them using matrix and vector operations in NumPy.

### Starter code and data

First, perform the required imports for your code:

```
import collections
import pickle
import numpy as np
import os
from tqdm import tqdm
import pylab
from six.moves.urllib.request import urlretrieve
```

```
import tarfile
import sys
import itertools

TINY = 1e-30
EPS = 1e-4
nax = np.newaxis
```

If you're using colaboratory, this following script creates a folder - here we used 'CSC413/A1' - in order to download and store the data. If you're not using colaboratory, then set the path to wherever you want the contents to be stored at locally.

You can also manually download and unzip the data from [http://www.cs.toronto.edu/~jba/a1\_data.tar.gz] and put them in the same folder as where you store this notebook.

Feel free to use a different way to access the files data.pk, partially\_trained.pk, and raw\_sentences.txt.

The file *raw\_sentences.txt* contains the sentences that we will be using for this assignment. These sentences are fairly simple ones and cover a vocabulary of only 250 words (+ 1 special <code>[MASK]</code> token word).

```
# Setup working directory
# Change this to a local path if running locally
%mkdir -p /content/CSC413/A1/
%cd /content/CSC413/A1
# Helper functions for loading data
# adapted from
# https://github.com/fchollet/keras/blob/master/keras/datasets/cifar10.py
def get_file(fname,
       origin,
       untar=False,
       extract=False,
       archive_format='auto',
```

```
cache_dir='data'):
   datadir = os.path.join(cache_dir)
   if not os.path.exists(datadir):
        os.makedirs(datadir)
   if untar:
       untar_fpath = os.path.join(datadir, fname)
       fpath = untar_fpath + '.tar.gz'
   else:
       fpath = os.path.join(datadir, fname)
   print('File path: %s' % fpath)
   if not os.path.exists(fpath):
        print('Downloading data from', origin)
        error_msg = 'URL fetch failure on {}: {} -- {}'
       try:
            try:
                urlretrieve(origin, fpath)
            except URLError as e:
                raise Exception(error_msg.format(origin, e.errno, e.reason))
            except HTTPError as e:
                raise Exception(error_msg.format(origin, e.code, e.msg))
        except (Exception, KeyboardInterrupt) as e:
            if os.path.exists(fpath):
                os.remove(fpath)
            raise
   if untar:
        if not os.path.exists(untar_fpath):
            print('Extracting file.')
            with tarfile.open(fpath) as archive:
                archive.extractall(datadir)
        return untar_fpath
   if extract:
       _extract_archive(fpath, datadir, archive_format)
   return fpath
     /content/CSC413/A1
# Download the dataset and partially pre-trained model
get_file(fname='a1_data',
```

We have already extracted the 4-grams from this dataset and divided them into training, validation, and test sets. To inspect this data, run the following:

```
data = pickle.load(open(data_location, 'rb'))
print(data['vocab'][0]) # First word in vocab is [MASK]
print(data['vocab'][1])
print(len(data['vocab'])) # Number of words in vocab
print(data['vocab']) # All the words in vocab
print(data['train_inputs'][:10]) # 10 example training instances
     [MASK]
    all
    251
     ['[MASK]', 'all', 'set', 'just', 'show', 'being', 'money', 'over', 'both
     [[ 28 26 90 144]
     [184 44 249 117]
     [183 32 76 122]
     [117 247 201 186]
     [223 190 249
     [ 42 74 26 32]
     [242 32 223 32]
     [223 32 158 144]
     [ 74 32 221 32]
      [ 42 192 91 68]]
```

Now data is a Python dict which contains the vocabulary, as well as the inputs and targets for all three splits of the data. data['vocab'] is a list of the 251 words in the dictionary; data['vocab'][0] is the word with index 0, and so on. data['train\_inputs'] is a 372,500 x 4 matrix where each row gives the indices of the 4 consecutive context words for one of the 372,500 training cases. The validation and test sets are handled analogously.

Even though you only have to modify two specific locations in the code, you may want to read through this code before starting the assignment.

## Part 1: GloVe Word Representations (3pts)

In this section we will be implementing a simplified version of GloVe. Given a corpus with V distinct words, we define the co-occurrence matrix  $X \in \mathbb{N}^{V \times V}$  with entries  $X_{ij}$  representing the frequency of the i-th word and j-th word in the corpus appearing in the same context - in our case the adjacent words. The co-occurrence matrix can be symmetric (i.e.,  $X_{ij} = X_{ji}$ ) if the order of the words do not matter, or asymmetric (i.e.,  $X_{ij} \neq X_{ji}$ ) if we wish to distinguish the counts for when i-th word appears before j-th word. GloVe aims to find a d-dimensional embedding of the words that preserves properties of the co-occurrence matrix by representing the i-th word with two d-dimensional vectors  $\mathbf{w}_i$ ,  $\tilde{\mathbf{w}}_i \in \mathbb{R}^d$ , as well as two scalar biases  $b_i$ ,  $\tilde{b}_i \in \mathbb{R}$ . Typically we have the dimension of the embedding d much smaller than the number of words V. This objective can be written as:

$$L(\{\mathbf{w}_i, ilde{\mathbf{w}}_i, b_i, ilde{b}_i\}_{i=1}^V) = \sum_{i,j=1}^V (\mathbf{w}_i^ op ilde{\mathbf{w}}_j + b_i + ilde{b}_j - \log X_{ij})^2$$

Note that each word is represented by two d-dimensional embedding vectors  $\mathbf{w}_i$ ,  $\tilde{\mathbf{w}}_i$  and two scalar biases  $b_i$ ,  $\tilde{b}_i$ . When the bias terms are omitted and we tie the two embedding vectors  $\mathbf{w}_i = \tilde{\mathbf{w}}_i$ , then GloVe corresponds to finding a rank-d symmetric factorization of the co-occurrence matrix.

Answer the following questions:

## 1.1. GloVe Parameter Count [0pt]

Given the vocabulary size V and embedding dimensionality d, how many parameters does the GloVe model have? Note that each word in the vocabulary is associated with 2 embedding vectors and 2 biases.

#### 1.1 Answer: \*\*TODO: Write Part 1.1 answer here\*\*

## ▼ 1.2 Expression for the Vectorized Loss function [0.5pt]

In practice, we concatenate the V embedding vectors into matrices  $\mathbf{W}, \tilde{\mathbf{W}} \in \mathbb{R}^{V \times d}$  and bias (column) vectors  $\mathbf{b}, \tilde{\mathbf{b}} \in \mathbb{R}^{V}$ , where V denotes the number of distinct words as described in the introduction. Rewrite the loss function L (Eq. 1) in a vectorized format in terms of  $\mathbf{W}, \tilde{\mathbf{W}}, \mathbf{b}, \tilde{\mathbf{b}}, X$ . You are allowed to use elementwise operations such as addition and subtraction as well as matrix operations such as the Frobenius norm and/or trace operator in your answer.

Hint: Use the all-ones column vector  $\mathbf{1}=[1\dots 1]^T\in\mathbb{R}^V$ . You can assume the bias vectors are column vectors, i.e. implicitly a matrix with V rows and 1 column:  $\mathbf{b}, \tilde{\mathbf{b}}\in\mathbb{R}^{V imes 1}$ 

#### 1.2 Answer:

$$egin{aligned} L(\{\mathbf{w}_i, ilde{\mathbf{w}}_i, b_i, ilde{b}_i\}_{i=1}^V) &= \sum_{i,j=1}^V (\mathbf{w}_i^ op ilde{\mathbf{w}}_j + b_i + ilde{b}_j - \log X_{ij})^2 \ &= ||\mathbf{W} ilde{\mathbf{W}}^T + b\mathbf{1}^T + \mathbf{1} ilde{b}^T - log(X)||_F^2 \end{aligned}$$

## **▼** 1.3. Expression for gradient $\frac{\partial L}{\partial \mathbf{W}}$ [0.5pt]

Write the vectorized expression for  $\frac{\partial L}{\partial \mathbf{W}}$ , the gradient of the loss function L with respect to the embedding matrix  $\mathbf{W}$ . The gradient should be a function of  $\mathbf{W}$ ,  $\tilde{\mathbf{W}}$ ,  $\mathbf{b}$ ,  $\tilde{\mathbf{b}}$ , X.

Hint: Make sure that the shape of the gradient is equivalent to the shape of the matrix. You can use the all-ones vector as in the previous question.

Recall that 
$$L=||\mathbf{W}\tilde{\mathbf{W}}^T+b\mathbf{1}^T+\mathbf{1}\tilde{b}^T-log(X)||_F^2$$
 from 1.2. And from Question 2.2.1 in hw1 we know that  $||A||_F^2=trace(A^TA)$ . Define  $A=\mathbf{W}\tilde{\mathbf{W}}^T+b\mathbf{1}^T+\mathbf{1}\tilde{b}^T-log(X)$ 

Then, we have  $L=\left|\left|A\right|\right|_F^2=trace(A^TA)$  Then,

$$egin{aligned} 
abla_W L &= rac{\partial}{\partial \mathbf{W}} trace(A^T A) \\ &= rac{\partial}{\partial \mathbf{W}} trace(AA^T) \end{aligned} \qquad \text{cyclic peoperty of trace: } \operatorname{tr}(AB) = \operatorname{tr}(BA) \end{aligned}$$

From matrix cookbook line 119, we know that

$$rac{\partial}{\partial \mathbf{W}}Tr[(MWB+C)(MWB+C)^T]=2M^T(MWB+C)B^T$$
 . Now let M be identity,  $B= ilde{\mathbf{W}}^T$  and  $C=b1^T+1 ilde{b}^T-log(X)$  Then,

$$egin{aligned} rac{\partial}{\partial \mathbf{W}} trace(AA^T) &= rac{\partial}{\partial \mathbf{W}} Tr[(MWB+C)(MWB+C)^T] \ &= 2M^T(MWB+C)B^T \ &= 2[\mathbf{W} ilde{\mathbf{W}}^T + b\mathbf{1}^T + \mathbf{1} ilde{b}^T - log(X)] ilde{\mathbf{W}} \end{aligned}$$

## 1.4 Implement Vectorized Loss Function [1pt]

Implement the loss\_GloVe() function of GloVe.

See YOUR CODE HERE Comment below for where to complete the code

Note that you need to implement both the loss for an asymmetric model (from your answer in question 1.2) and the loss for a symmetric model which uses the same embedding matrix  $\mathbf{W}$  and bias vector  $\mathbf{b}$  for the first and second word in the cooccurrence, i.e.  $\tilde{\mathbf{W}} = \mathbf{W}$  and  $\tilde{\mathbf{b}} = \mathbf{b}$  in the original loss.

Hint: You may take advantage of NumPy's broadcasting feature for the bias vectors: <a href="https://numpy.org/doc/stable/user/basics.broadcasting.html">https://numpy.org/doc/stable/user/basics.broadcasting.html</a>

We have provided a few functions for training the embedding:

- calculate\_log\_co\_occurence computes the log co-occurrence matrix of a given corpus
- train\_GloVe runs momentum gradient descent to optimize the embedding
- loss\_GloVe: TO BE IMPLEMENTED.
  - INPUT

- $V \times d$  matrix W (collection of V embedding vectors, each d-dimensional)
- V x d matrix W\_tilde
- $V \times 1$  vector b (collection of V bias terms)
- V x 1 vector b\_tilde
- V x V log co-occurrence matrix.

#### OUTPUT

- loss of the GloVe objective
- grad\_GloVe: TO BE IMPLEMENTED.
  - INPUT:
    - V x d matrix w (collection of V embedding vectors, each d-dimensional), embedding for first word;
    - V x d matrix W\_tilde, embedding for second word;
    - V x 1 vector b (collection of V bias terms);
    - V x 1 vector b\_tilde, bias for second word;
    - V x V log co-occurrence matrix.

#### OUTPUT:

- V x d matrix grad\_W containing the gradient of the loss function w.r.t. w;
- V x d matrix grad\_W\_tilde containing the gradient of the loss function w.r.t. W\_tilde;
- V x 1 vector grad\_b which is the gradient of the loss function w.r.t.
   b.
- V x 1 vector grad\_b\_tilde which is the gradient of the loss function w.r.t. b\_tilde.

Run the code to compute the co-occurence matrix.

```
def calculate_log_co_occurence(word_data, symmetric=False):
  "Compute the log-co-occurence matrix for our data."
  log_co_occurence = np.zeros((vocab_size, vocab_size))
 for input in word data:
   # Note: the co-occurence matrix may not be symmetric
   log_co_occurence[input[0], input[1]] += 1
   log_co_occurence[input[1], input[2]] += 1
   log_co_occurence[input[2], input[3]] += 1
   # Diagonal entries are just the frequency of the word
   log_co_occurence[input[0], input[0]] += 1
   log co occurence[input[1], input[1]] += 1
   log_co_occurence[input[2], input[2]] += 1
   log co_occurence[input[3], input[3]] += 1
   # If we want symmetric co-occurence can also increment for these.
   if symmetric:
      log_co_occurence[input[1], input[0]] += 1
      log_co_occurence[input[2], input[1]] += 1
      log_co_occurence[input[3], input[2]] += 1
 delta_smoothing = 0.5 # A hyperparameter. You can play with this if you wa
 log_co_occurence += delta_smoothing # Add delta so log doesn't break on 0's
 log co occurence = np.log(log co occurence)
 return log_co_occurence
asym_log_co_occurence_train = calculate_log_co_occurence(data['train_inputs'],
asym_log_co_occurence_valid = calculate_log_co_occurence(data['valid_inputs'],
   • TO BE IMPLEMENTED: Implement the loss function. You should vectorize
     the computation, i.e. not loop over every word.
def loss_GloVe(W, W_tilde, b, b_tilde, log_co_occurence):
  """ Compute the GloVe loss given the parameters of the model. When W_tilde
 and b_tilde are not given, then the model is symmetric (i.e. W_tilde = W,
 b tilde = b).
 Args:
   W: word embedding matrix, dimension V x d where V is vocab size and d
      is the embedding dimension
   W_tilde: for asymmetric GloVe model, a second word embedding matrix, with
     dimensions V \times d
   b: bias vector, dimension V.
   b_tilde: for asymmetric GloVe model, a second bias vector, dimension V
   log_co_occurence: V x V log co-occurrence matrix (log X)
```

```
Returns:
 loss: a scalar (float) for GloVe loss
n,_ = log_co_occurence.shape
# Symmetric Case, no W tilde and b tilde
if W_tilde is None and b_tilde is None:
 # Symmetric model
 V= b.shape[0]
 ones = np.ones((V,1))
 A= W @ W.T + b @ ones.T + ones @ b.T - log_co_occurence
 loss = np.trace(A.T @ A)
 else:
 # Asymmetric model
 V= b.shape[0]
 ones = np.ones((V,1))
 A= W @ W_tilde.T + b @ ones.T + ones @ b_tilde.T - log_co_occurence
 loss = np.trace(A.T @ A)
 return loss
```

## ▼ 1.5. Implement the gradient update of GloVe. [1pt]

Implement the grad\_GloVe() function which computes the gradient of GloVe.

See YOUR CODE HERE Comment below for where to complete the code

Again, note that you need to implement the gradient for both the symmetric and asymmetric models.

• TO BE IMPLEMENTED: Calculate the gradient of the loss function w.r.t. the parameters W,  $\tilde{W}$ ,  $\mathbf{b}$ , and  $\mathbf{b}$ . You should vectorize the computation, i.e. not loop over every word.

```
def grad_GloVe(W, W_tilde, b, b_tilde, log_co_occurence):
    """Return the gradient of GloVe objective w.r.t its parameters
    Args:
```

```
W: word embedding matrix, dimension V x d where V is vocab size and d
        is the embedding dimension
    W tilde: for asymmetric GloVe model, a second word embedding matrix, with
         dimensions V x d
    b: bias vector, dimension V.
    b tilde: for asymmetric GloVe model, a second bias vector, dimension V
    log_co_occurence: V x V log co-occurrence matrix (log X)
Returns:
    grad_W: gradient of the loss wrt W, dimension V x d
    grad W tilde: gradient of the loss wrt W tilde, dimension V x d. Return
        None if W_tilde is None.
    grad b: gradient of the loss wrt b, dimension V x 1
    grad_b_tilde: gradient of the loss wrt b, dimension V x 1. Return
        None if b_tilde is None.
n,_ = log_co_occurence.shape
if W_tilde is None and b_tilde is None:
    # Symmmetric case
    V= b.shape[0]
    ones = np.ones((V,1))
    A = (W @ W.T + b @ ones.T + ones @ b.T - 0.5*(log co occurrence + log co occurrence 
    grad_W = 4 * (W.T @ A).T
    grad_b = 4 * A.T @ ones
    grad W tilde = None
    grad b tilde = None
    # Asymmetric case
    V= b.shape[0]
    ones = np.ones((V,1))
    A= (W @ W_tilde.T + b @ ones.T + ones @ b_tilde.T - log_co_occurence)
    B= (W_tilde @ W.T + ones @ b.T + b_tilde @ ones.T - log_co_occurence.T)
    grad_W = 2 * A @ W_tilde
    grad_W_tilde = 2 * B @ W
    grad b = 2 * A @ ones
    grad b tilde = 2 * B @ ones
    return grad_W, grad_W_tilde, grad_b, grad_b_tilde
```

To help you debug your GloVe gradient computation, we have included a finite-

```
def relative_error(a, b):
   return np.abs(a - b) / (np.abs(a) + np.abs(b))
def check_GloVe_gradients(W, W_tilde, b, b_tilde, log_co_occurence):
   """Check the computed gradients using finite differences."""
   np.random.seed(0)
   np.seterr(all='ignore') # suppress a warning which is harmless
   # Obtain the analytical gradient
   grad_W, grad_W_tilde, grad_b, grad_b_tilde = grad_GloVe(W, W_tilde, b, b_t
   grads_dict = {"W":grad_W, "W_tilde": grad_W_tilde,
                     "b": grad b, "b tilde": grad b tilde}
   params_dict = {"W":W, "W_tilde":W_tilde, "b":b, "b_tilde":b_tilde}
   # Check that the shapes of the parameters and gradients match
   for name in params_dict:
     if params_dict[name] is None:
       continue
     dims = params_dict[name].shape
     is_matrix = (len(dims) == 2)
     if not is_matrix:
       print()
     if params_dict[name].shape != grads_dict[name].shape:
       print('The gradient for {} should be size {} but is actually {}.'.form
           name, params_dict[name].shape, grads_dict[name].shape))
       return
     # Run finite difference for that param
     for count in range(1000):
       if is_matrix:
           slc = np.random.randint(0, dims[0]), np.random.randint(0, dims[1])
       else:
           slc = np.random.randint(dims[0])
       params_dict_plus = params_dict.copy()
       params_dict_plus[name] = params_dict[name].copy()
       params_dict_plus[name][slc] += EPS
       obj_plus = loss_GloVe(params_dict_plus["W"],
                             params dict plus["W tilde"],
                             params_dict_plus["b"],
                             params_dict_plus["b_tilde"],
```

```
log_co_occurence)
 params_dict_minus = params_dict.copy()
 params_dict_minus[name] = params_dict[name].copy()
 params_dict_minus[name][slc] -= EPS
 obj_minus = loss_GloVe(params_dict_minus["W"],
                        params_dict_minus["W_tilde"],
                        params_dict_minus["b"],
                        params_dict_minus["b_tilde"],
                        log_co_occurence)
 empirical = (obj_plus - obj_minus) / (2. * EPS)
 exact = grads_dict[name][slc]
 rel = relative_error(empirical, exact)
 if rel > 5e-4:
   print('The loss derivative has a relative error of {}, which is too
   return False
print('The gradient for {} looks OK.'.format(name))
```

Run the cell below to check if your <code>grad\_Glove</code> function passes the checker. The function will check for both the symmetric and asymmetric loss, for each of the parameter variables whether its gradient computation looks ok. The expected output is:

```
Checking asymmetric loss gradient...
The gradient for W looks OK.
The gradient for W_tilde looks OK.
The gradient for b looks OK.
The gradient for b_tilde looks OK.

Checking symmetric loss gradient...
The gradient for W looks OK.
The gradient for b looks OK.
```

Note: If you update the grad\_GloVe cell while debugging, make sure to run the grad\_GloVe cell again before re-running the cell below to check the gradient.

• **TODO**: Run this cell below to check the gradient implementation

```
np.random.seed(0)
# Store the final losses for graphing
init variance = 0.05 # A hyperparameter. You can play with this if you want.
embedding dim = 16
W = init_variance * np.random.normal(size=(vocab_size, embedding_dim))
W_tilde = init_variance * np.random.normal(size=(vocab_size, embedding_dim))
b = init_variance * np.random.normal(size=(vocab_size, 1))
b_tilde = init_variance * np.random.normal(size=(vocab_size, 1))
print("Checking asymmetric loss gradient...")
check_GloVe_gradients(W, W_tilde, b, b_tilde, asym_log_co_occurence_train)
print("\nChecking symmetric loss gradient...")
check_GloVe_gradients(W, None, b, None, asym_log_co_occurence_train)
     Checking asymmetric loss gradient...
     The gradient for W looks OK.
     The gradient for W tilde looks OK.
     The gradient for b looks OK.
     The gradient for b tilde looks OK.
     Checking symmetric loss gradient...
     The gradient for W looks OK.
     The gradient for b looks OK.
```

Now that you have checked taht the gradient is correct, we define the training function for the model given the initial weights and ground truth log co-occurence matrix:

```
def train_GloVe(W, W_tilde, b, b_tilde, log_co_occurence_train, log_co_occuren
   "Traing W and b according to GloVe objective."
   n,_ = log_co_occurence_train.shape
   learning_rate = 0.05 / n  # A hyperparameter. You can play with this if you
   train_loss_list = np.zeros(n_epochs)
   valid_loss_list = np.zeros(n_epochs)
   vocab_size = log_co_occurence_train.shape[0]

for epoch in range(n_epochs):
   grad_W, grad_W_tilde, grad_b, grad_b_tilde = grad_GloVe(W, W_tilde, b, b_t
   W = W - learning_rate * grad_W
   b = b - learning_rate * grad_b
   if not grad_W_tilde is None and not grad_b_tilde is None:
```

```
W_tilde = W_tilde - learning_rate * grad_W_tilde
    b_tilde = b_tilde - learning_rate * grad_b_tilde
    train_loss, valid_loss = loss_GloVe(W, W_tilde, b, b_tilde, log_co_occuren
    if do_print:
        print(f"Average Train Loss: {train_loss / vocab_size}, Average valid los
        train_loss_list[epoch] = train_loss / vocab_size
    valid_loss_list[epoch] = valid_loss / vocab_size

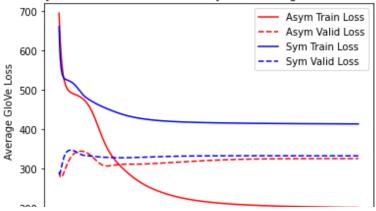
return W, W_tilde, b, b_tilde, train_loss_list, valid_loss_list
```

• **TODO**: Run this cell below to run an experiment training GloVe model

```
### TODO: Run this cell ###
np.random.seed(1)
n_epochs = 500 # A hyperparameter. You can play with this if you want.
# Store the final losses for graphing
do print = False # If you want to see diagnostic information during training
init_variance = 0.1 # A hyperparameter. You can play with this if you want.
embedding_dim = 16
W = init_variance * np.random.normal(size=(vocab_size, embedding_dim))
W_tilde = init_variance * np.random.normal(size=(vocab_size, embedding_dim))
b = init_variance * np.random.normal(size=(vocab_size, 1))
b_tilde = init_variance * np.random.normal(size=(vocab_size, 1))
# Run the training for the asymmetric and symmetric GloVe model
Asym W final, Asym W tilde final, Asym b final, Asym b tilde final, Asym train
Sym_W_final, Sym_W_tilde_final, Sym_b_final, Sym_b_tilde_final, Sym_train_loss
# Plot the resulting training curve
pylab.plot(Asym_train_loss_list, label="Asym Train Loss", color='red')
pylab.plot(Asym_valid_loss_list, label="Asym Valid Loss", color='red', linesty
pylab.plot(Sym_train_loss_list, label="Sym Train Loss", color='blue')
pylab.plot(Sym_valid_loss_list, label="Sym Valid Loss", color='blue', linestyl
pylab.xlabel("Iterations")
pylab.ylabel("Average GloVe Loss")
pylab.title("Asymmetric and Symmetric GloVe Model on Asymmetric Log Co-Occurre
pylab.legend()
```

<matplotlib.legend.Legend at 0x7f74d8a16f90>

Asymmetric and Symmetric GloVe Model on Asymmetric Log Co-Occurrence (Emb Dim=16)



## ▼ 1.6 Effects of a buggy implementation [0pt]

Suppose that during the implementation, you initialized the weight embedding matrix  ${f W}$  and  $\tilde{{f W}}$  with the same initial values (i.e.,  ${f W}=\tilde{{f W}}={f W}_0$ ).

What will happen to the values of  $\mathbf{W}$  and  $\tilde{\mathbf{W}}$  over the course of training. Will they stay equal to each other, or diverge from each other? Explain your answer briefly.

Hint: Consider the gradient  $\frac{\partial L}{\partial \mathbf{W}}$  versus  $\frac{\partial L}{\partial \tilde{\mathbf{W}}}$ 

1.6 Answer: \*\*TODO: Write Part 1.6 answer here \*\*

## ullet 1.7. Effect of embedding dimension d [0pt]

Train the both the symmetric and asymmetric GLoVe model with varying dimensionality d by running the cell below. Comment on:

- 1. Which d leads to optimal validation performance for the asymmetric and symmetric models?
- 2. Why does / doesn't larger d always lead to better validation error?
- 3. Which model is performing better, and why?

#### 1.7 Answer: \*\*TODO: Write Part 1.7 answer here\*\*

#### Train the GloVe model for a range of embedding dimensions

```
np.random.seed(1)
n epochs = 500 # A hyperparameter. You can play with this if you want.
embedding_dims = np.array([1, 2, 10, 128, 256]) # Play with this
# Store the final losses for graphing
asymModel_asymCoOc_final_train_losses, asymModel_asymCoOc_final_val_losses = [
symModel_asymCoOc_final_train_losses, symModel_asymCoOc_final_val_losses = [],
Asym_W_final_2d, Asym_b_final_2d, Asym_W_tilde_final_2d, Asym_b_tilde_final_2d
W_final_2d, b_final_2d = None, None
do print = False # If you want to see diagnostic information during training
for embedding_dim in tqdm(embedding_dims):
 init variance = 0.1 # A hyperparameter. You can play with this if you want
 W = init_variance * np.random.normal(size=(vocab_size, embedding_dim))
 W_tilde = init_variance * np.random.normal(size=(vocab_size, embedding_dim))
 b = init_variance * np.random.normal(size=(vocab_size, 1))
 b_tilde = init_variance * np.random.normal(size=(vocab_size, 1))
 if do print:
   print(f"Training for embedding dimension: {embedding_dim}")
 # Train Asym model on Asym Co-Oc matrix
 Asym W final, Asym W tilde final, Asym b final, Asym b tilde final, train lo
 if embedding_dim == 2:
   # Save a parameter copy if we are training 2d embedding for visualization
   Asym W final 2d = Asym W final
   Asym_W_tilde_final_2d = Asym_W_tilde_final
   Asym_b_final_2d = Asym_b_final
   Asym_b_tilde_final_2d = Asym_b_tilde_final
  asymModel_asymCoOc_final_train_losses += [train_loss_list[-1]]
  asymModel_asymCoOc_final_val_losses += [valid_loss_list[-1]]
  if do print:
   print(f"Final validation loss: {valid_loss}")
 # Train Sym model on Asym Co-Oc matrix
 W_final, W_tilde_final, b_final, b_tilde_final, train_loss_list, valid loss
 if embedding dim == 2:
   # Save a parameter copy if we are training 2d embedding for visualization
   W_final_2d = W_final
   b_final_2d = b_final
  symModel asymCoOc final train losses += [train loss list[-1]]
  symModel_asymCoOc_final_val_losses += [valid_loss_list[-1]]
```

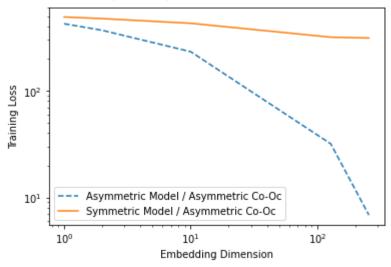
```
if do_print:
   print(f"Final validation loss: {valid_loss}")

100%| 5/5 [00:42<00:00, 8.51s/it]</pre>
```

Plot the training and validation losses against the embedding dimension.

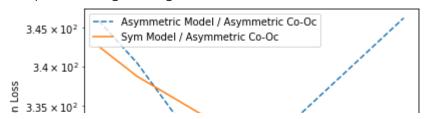
```
pylab.loglog(embedding_dims, asymModel_asymCoOc_final_train_losses, label="Asy
pylab.loglog(embedding_dims, symModel_asymCoOc_final_train_losses, label="Sym
pylab.xlabel("Embedding Dimension")
pylab.ylabel("Training Loss")
pylab.legend()
```

<matplotlib.legend.Legend at 0x7f74d84c3a50>



```
pylab.loglog(embedding_dims, asymModel_asymCoOc_final_val_losses, label="Asymm
pylab.loglog(embedding_dims, symModel_asymCoOc_final_val_losses , label="Sym M
pylab.xlabel("Embedding Dimension")
pylab.ylabel("Validation Loss")
pylab.legend(loc="upper left")
```

<matplotlib.legend.Legend at 0x7f74d7987350>



## Part 2: Network Architecture (1pts)

See the handout for the written questions in this part.

### Answer the following questions

## 2.1. Number of parameters in neural network model [0.5pt]

The trainable parameters of the model consist of 3 weight matrices and 2 sets of biases. What is the total number of trainable parameters in the model, as a function of V, N, D, H?

In the diagram given, which part of the model (i.e., word\_embbeding\_weights, embed\_to\_hid\_weights, hid\_to\_output\_weights, hid\_bias, or output\_bias) has the largest number of trainable parameters if we have the constraint that  $V\gg H>D>N$ ? Note: The symbol  $\gg$  means ``much greater than" Explain your reasoning.

#### 2.1 Answer:

As mentioned in the handouts, the network consists of an input layer, embedding layer, hidden layer and output layer.

• What the \*word\_embedding\_weights\* matrix i.e.  $\mathbf{W}^{(1)}$  does is that it maps each word from the input layer to its corresponding vector representation. Note that there are N words in the input layer, where the embedding

- dimension of each of these words is D. Therefore, there are  $N \ast D$  trainable parameters for \*word\_embedding\_weights\*.
- Notice that the embedding layer is fully connected to the hidden layer with H units. And we have N weight matrices with D-dimensional word embedding. Thus, clearly, there are HND trainable parameters for embed\_to\_hid\_weights matrix i.e.  $\mathbf{W}^{(2)}$ .
- Now consider hid\_to\_output\_weights i.e.  $\mathbf{W}^{(3)}$ . The hidden layer is connect to the logits output layer, which has N\*V units. Thus, there are HV trainable parameters
- For the two bias terms, hid\_bias  $b^{(1)}$  has a dimension of H and output\_bias  $b^{(1)}$  has a dimension of V

Therefore, the total number of trainable parameters

$$=ND+HND+HV+H+V=ND(1+H)+H+V(H+1)$$
 Recall that  $V\gg H>D>N$ , thus  $HV>NV>ND>H$  and  $HV>V$ . and  $HV>HND$ . Thus,  ${f W}^{(3)}$  has the largest number of trainable parameters.

## ullet 2.2 Number of parameters in n-gram model [0.5pt]

Another method for predicting the next words is an n-gram model, which was mentioned in Lecture 3. If we wanted to use an n-gram model with the same context length N-1 as our network (since we mask 1 of the N words in our input), we'd need to store the counts of all possible N-grams. If we stored all the counts explicitly and suppose that we have V words in the dictionary, how many entries would this table have?

2.2 Answer: There will be  ${\cal V}^{\,N}$  entires in the table.

## 2.3. Comparing neural network and n-gram model scaling [0pt]

How do the parameters in the neural network model scale with the number of context words N versus how the number of entries in the n-gram model scale with N? [0pt]

2.3 Answer: \*\*TODO: Write Part 2.3 answer here\*\*

## → Part 3: Training the model (3pts)

$$C = -\sum_{i}^{B} \sum_{n}^{N} \sum_{v}^{V} m_{n}^{(i)} (t_{v+nV}^{(i)} \log y_{v+nV}^{(i)})$$

Where:

•  $y_{v+nV}^{(i)}$  denotes the output probability prediction from the neural network for the i-th training example for the word v in the n-th output word. Denoting z as the logits output, we define the output probability y as a softmax on z over contiguous chunks of V units (see also Figure 1):

$$y_{v+nV}^{(i)} = rac{e^{z_{v+nV}^{(i)}}}{\sum_{l}^{V} e^{z_{l+nV}^{(i)}}}$$

- $t_{v+nV}^{(i)} \in \{0,1\}$  is 1 if for the i-th training example, the word v is the n-th word in context
- $m_n^{(i)} \in \{0,1\}$  is a mask that is set to 1 if we are predicting the n-th word position for the i-th example (because we had masked that word in the input), and 0 otherwise

There are three classes defined in this part: Params, Activations, Model. You will make changes to Model, but it may help to read through Params and Activations first.

```
class Params(object):
   """A class representing the trainable parameters of the model. This class
           word_embedding_weights, a matrix of size V x D, where V is the numb
                   and D is the embedding dimension.
           embed to hid weights, a matrix of size H x ND, where H is the numbe
                   columns represent connections from the embedding of the fir
                   for the second context word, and so on. There are N context
           hid_bias, a vector of length H
           hid to output weights, a matrix of size NV x H
           output bias, a vector of length NV"""
   def __init__(self, word_embedding_weights, embed_to_hid_weights, hid_to_ou
                 hid_bias, output_bias):
        self.word embedding weights = word embedding weights
        self.embed to hid weights = embed to hid weights
        self.hid_to_output_weights = hid_to_output_weights
        self.hid bias = hid bias
        self.output_bias = output_bias
   def copy(self):
        return self.__class__(self.word_embedding_weights.copy(), self.embed_t
                              self.hid_to_output_weights.copy(), self.hid_bias
   @classmethod
   def zeros(cls, vocab_size, context_len, embedding_dim, num_hid):
        """A constructor which initializes all weights and biases to 0."""
       word embedding weights = np.zeros((vocab size, embedding dim))
        embed_to_hid_weights = np.zeros((num_hid, context_len * embedding_dim)
```

```
hid to output_weights = np.zeros((vocab_size * context_len, num_hid))
       hid_bias = np.zeros(num_hid)
        output bias = np.zeros(vocab size * context len)
        return cls(word_embedding_weights, embed_to_hid_weights, hid_to_output
                   hid_bias, output_bias)
   @classmethod
   def random init(cls, init wt, vocab size, context len, embedding dim, num
        """A constructor which initializes weights to small random values and
       word_embedding_weights = np.random.normal(0., init_wt, size=(vocab_siz
        embed to hid weights = np.random.normal(0., init wt, size=(num hid, co
       hid_to_output_weights = np.random.normal(0., init_wt, size=(vocab_size
       hid bias = np.zeros(num hid)
       output_bias = np.zeros(vocab_size * context_len)
        return cls(word_embedding_weights, embed_to_hid_weights, hid_to_output
                   hid bias, output bias)
   ###### The functions below are Python's somewhat oddball way of overloadin
   ###### we can do arithmetic on Params instances. You don't need to underst
   def __mul__(self, a):
        return self.__class__(a * self.word_embedding_weights,
                             a * self.embed to hid weights,
                             a * self.hid_to_output_weights,
                              a * self.hid_bias,
                              a * self.output bias)
   def rmul (self, a):
       return self * a
   def __add__(self, other):
        return self.__class__(self.word_embedding_weights + other.word_embeddi
                              self.embed to hid weights + other.embed to hid w
                              self.hid_to_output_weights + other.hid_to_output
                              self.hid bias + other.hid bias,
                              self.output_bias + other.output_bias)
   def __sub__(self, other):
        return self + -1. * other
class Activations(object):
    """A class representing the activations of the units in the network. This
        embedding_layer, a matrix of B x ND matrix (where B is the batch size,
```

```
and N is the number of input context words), representing the
                layer on all the cases in a batch. The first D columns represe
                first context word, and so on.
        hidden layer, a B x H matrix representing the hidden layer activations
        output_layer, a B x V matrix representing the output layer activations
   def __init__(self, embedding_layer, hidden_layer, output_layer):
        self.embedding layer = embedding layer
        self.hidden_layer = hidden_layer
        self.output_layer = output_layer
def get_batches(inputs, batch_size, shuffle=True):
    """Divide a dataset (usually the training set) into mini-batches of a give
    'generator', i.e. something you can use in a for loop. You don't need to u
   works to do the assignment."""
   if inputs.shape[0] % batch_size != 0:
        raise RuntimeError('The number of data points must be a multiple of th
   num_batches = inputs.shape[0] // batch_size
   if shuffle:
        idxs = np.random.permutation(inputs.shape[0])
        inputs = inputs[idxs, :]
   for m in range(num_batches):
       yield inputs[m * batch_size:(m + 1) * batch_size, :]
```

In this part of the assignment, you implement a method which computes the gradient using backpropagation. To start you out, the *Model* class contains several important methods used in training:

- compute\_activations computes the activations of all units on a given input batch
- compute\_loss\_derivative computes the gradient with respect to the output logits  $\frac{\partial C}{\partial z}$
- evaluate computes the average cross-entropy loss for a given set of inputs and targets

You will need to complete the implementation of two additional methods to complete the training, and print the outputs of the gradients.

## 3.1 Implement gradient with respect to output layer inputs [1pt]

Implement a vectorized <code>compute\_loss</code> function, which computes the total crossentropy loss on a mini-batch according to Eq. 2. Look for the <code>## YOUR CODE HERE ## comment</code> for where to complete the code. The docstring provides a description of the inputs to the function.

## 3.2 Implement gradient with respect to parameters [1pt]

back\_propagate is the function which computes the gradient of the loss with respect to model parameters using backpropagation. It uses the derivatives computed by *compute\_loss\_derivative*. Some parts are already filled in for you, but you need to compute the matrices of derivatives for <code>embed\_to\_hid\_weights</code>, <code>hid\_bias</code>, <code>hid\_to\_output\_weights</code>, and <code>output\_bias</code>. These matrices have the same sizes as the parameter matrices (see previous section). These matrices have the same sizes as the parameter matrices. Look for the <code>## YOUR CODE HERE ## comment</code> for where to complete the code.

In order to implement backpropagation efficiently, you need to express the computations in terms of matrix operations, rather than *for* loops. You should first work through the derivatives on pencil and paper. First, apply the chain rule to compute the derivatives with respect to individual units, weights, and biases. Next, take the formulas you've derived, and express them in matrix form. You should be able to express all of the required computations using only matrix multiplication, matrix transpose, and elementwise operations — no *for* loops! If you want inspiration, read through the code for *Model.compute\_activations* and try to understand how the matrix operations correspond to the computations performed by all the units in the network.

Hint: Your implementations should also be similar to hid\_to\_output\_weights\_grad, hid\_bias\_grad in the same function call

```
class Model(object):
    """A class representing the language model itself. This class contains var
   the model and visualizing the learned representations. It has two fields:
        params, a Params instance which contains the model parameters
        vocab, a list containing all the words in the dictionary; vocab[0] is
               0, and so on."""
   def __init__(self, params, vocab):
        self.params = params
        self.vocab = vocab
        self.vocab size = len(vocab)
        self.embedding_dim = self.params.word_embedding_weights.shape[1]
        self.embedding_layer_dim = self.params.embed_to_hid_weights.shape[1]
        self.context len = self.embedding layer dim // self.embedding dim
        self.num_hid = self.params.embed_to_hid_weights.shape[0]
   def copy(self):
        return self.__class__(self.params.copy(), self.vocab[:])
   @classmethod
   def random_init(cls, init_wt, vocab, context_len, embedding_dim, num_hid):
        """Constructor which randomly initializes the weights to Gaussians wit
        and initializes the biases to all zeros."""
        params = Params.random_init(init_wt, len(vocab), context_len, embeddin
        return Model(params, vocab)
   def indicator_matrix(self, targets, mask_zero_index=True):
        """Construct a matrix where the (v + n*V)th entry of row i is 1 if the
        for example i is v, and all other entries are 0.
         Note: if the n-th target word index is 0, this corresponds to the [MA
               and we set the entry to be 0.
        batch_size, context_len = targets.shape
        expanded_targets = np.zeros((batch_size, context_len * len(self.vocab)
        offset = np.repeat((np.arange(context_len) * len(self.vocab))[np.newax
        targets_offset = targets + offset
        for c in range(context_len):
          expanded_targets[np.arange(batch_size), targets_offset[:,c]] = 1.
          if mask zero index:
            # Note: Set the targets with index 0, V, 2V to be zero since it co
            expanded_targets[np.arange(batch_size), offset[:,c]] = 0.
```

return expanded\_targets

def compute\_loss\_derivative(self, output\_activations, expanded\_target\_batc
 """Compute the gradient of cross-entropy loss wrt output logits z

For example:

$$[y_{0} \dots y_{V-1}] [y_{V}, \dots, y_{2*V-1}] [y_{2*V} \dots y_{i,3*V-1}]$$

Where for column v + n\*V,

$$y_{v + n*V} = e^{z_{v + n*V}} / sum_{m=0}^{V-1} e^{z_{m + n*V}},$$

This function should return a dC / dz matrix of size [batch\_size x (vo where each row i in dC / dz has columns 0 to V-1 containing the gradie context word from i-th training example, then columns vocab\_size to 2\* output context word of the i-th training example, etc.

C is the loss function summed acrossed all examples as well:

$$C = -\sum_{i,j,n} \max_{i,j,n} (t_{i,j} + n*V) \log y_{i,j} + n*V),$$

where  $mask_{i,n} = 1$  if the i-th training example has n-th context wor otherwise  $mask_{i,n} = 0$ .

#### Args:

output\_activations: A [batch\_size x (context\_len \* vocab\_size)] matr
for the activations of the output layer, i.e. the y j's.

expanded\_target\_batch: A [batch\_size x (context\_len \* vocab\_size)] m where expanded\_target\_batch[i,n\*V:(n+1)\*V] is the indicator vect the n-th context target word position, i.e. the (i, j + n\*V) ent i'th example, the context word at position n is j, and 0 otherwitarget\_mask: A [batch\_size x context\_len x 1] tensor, where target\_m if for the i'th example the n-th context word is a target positi

#### Outputs:

loss\_derivative: A [batch\_size x (context\_len \* vocab\_size)] matrix,
 where loss\_derivative[i,0:vocab\_size] contains the gradient
 dC / dz\_0 for the i-th training example gradient for 1st output
 context word, and loss\_derivative[i,vocab\_size:2\*vocab\_size] for
 the 2nd output context word of the i-th training example, etc.
"""

# Reshape output\_activations and expanded\_target\_batch and use broadca
output\_activations\_reshape = output\_activations.reshape(-1, self.conte
expanded\_target\_batch\_reshape = expanded\_target\_batch.reshape(-1, self.

```
gradient_masked_reshape = target_mask * (output_activations_reshape -
   gradient_masked = gradient_masked_reshape.reshape(-1, self.context_len
   return gradient masked
def compute_loss(self, output_activations, expanded_target_batch, target_m
    """Compute the total cross entropy loss over a mini-batch.
   Args:
     output activations: [batch size x (context len * vocab size)] matrix
           for the activations of the output layer, i.e. the y_j's.
     expanded_target_batch: [batch_size (context_len * vocab_size)] matri
           where expanded_target_batch[i,n*V:(n+1)*V] is the indicator ve
           the n-th context target word position, i.e. the (i, j + n*V) e
           i'th example, the context word at position n is j, and 0 other
     target_mask: A [batch_size x context_len x 1] tensor, where target_m
           if for the i'th example the n-th context word is a target posi
   Returns:
     loss: a scalar for the total cross entropy loss over the batch,
           defined in Part 3
    .. .. ..
   N = self.context len
   V = self.vocab_size
   T = expanded_target_batch.reshape(-1, N, V)
   Y = output activations.reshape(-1, N, V)
   L = target_mask * (T * np.log(Y))
   loss = -L.reshape(-1, N * V).sum()
   return loss
def compute_activations(self, inputs):
    """Compute the activations on a batch given the inputs. Returns an Act
   You should try to read and understand this function, since this will g
   how to implement back_propagate."""
   batch_size = inputs.shape[0]
   if inputs.shape[1] != self.context len:
       raise RuntimeError('Dimension of the input vectors should be {}, b
           self.context_len, inputs.shape[1]))
   # Embedding layer
   # Look up the input word indices in the word embedding weights matrix
   embedding_layer_state = self.params.word_embedding_weights[inputs.resh
```

```
# Hidden laver
    inputs_to_hid = np.dot(embedding_layer_state, self.params.embed_to_hid
                    self.params.hid bias
    # Apply logistic activation function
    hidden_layer_state = 1. / (1. + np.exp(-inputs_to_hid))
    # Output layer
    inputs_to_softmax = np.dot(hidden_layer_state, self.params.hid_to_outp
                        self.params.output_bias
    # Subtract maximum.
    # Remember that adding or subtracting the same constant from each inpu
    # softmax unit does not affect the outputs. So subtract the maximum to
    # make all inputs <= 0. This prevents overflows when computing their e
    inputs_to_softmax -= inputs_to_softmax.max(1).reshape((-1, 1))
    # Take softmax along each V chunks in the output layer
    output layer state = np.exp(inputs to softmax)
    output_layer_state_shape = output_layer_state.shape
    output_layer_state = output_layer_state.reshape((-1, self.context_len,
    output layer state /= output layer state.sum(axis=-1, keepdims=True) #
    output_layer_state = output_layer_state.reshape(output_layer_state_sha
    return Activations(embedding_layer_state, hidden_layer_state, output_l
def back propagate(self, input batch, activations, loss derivative):
    """Compute the gradient of the loss function with respect to the train
    of the model.
    Part of this function is already completed, but you need to fill in th
    computations for hid_to_output_weights_grad, output_bias_grad, embed_t
    and hid_bias_grad. See the documentation for the Params class for a de
    these matrices represent.
   Args:
      input_batch: A [batch_size x context_length] matrix containing the
          indices of the context words
      activations: an Activations object representing the output of
          Model.compute_activations
      loss derivative: A [batch size x (context len * vocab size)] matrix
          where loss_derivative[i,0:vocab_size] contains the gradient
          dC / dz_0 for the i-th training example gradient for 1st output
          context word, and loss derivative[i,vocab size:2*vocab size] for
          the 2nd output context word of the i-th training example, etc.
```

Obtained from calling compute\_loss\_derivative()

```
Params object containing the gradient for word embedding weights gra
         embed to hid weights grad, hid to output weights grad,
         hid_bias_grad, output_bias_grad
    .. .. ..
   # The matrix with values dC / dz_j, where dz_j is the input to the jth
   # i.e. h j = 1 / (1 + e^{-z} j)
   hid_deriv = np.dot(loss_derivative, self.params.hid_to_output_weights)
               * activations.hidden_layer * (1. - activations.hidden_laye
   hid_to_output_weights_grad = np.dot(loss_derivative.T, activations.hid
                               ##############################
   output_bias_grad = loss_derivative.sum(0)
   embed to hid weights grad = hid deriv.T @ activations.embedding layer
   hid bias grad = hid deriv.sum(0)
   # The matrix of derivatives for the embedding layer
   embed_deriv = np.dot(hid_deriv, self.params.embed_to_hid_weights)
   # Word Embedding Weights gradient
   word_embedding_weights_grad = np.dot(self.indicator_matrix(input_batch
                                          embed deriv.reshape([-1, self
   return Params(word_embedding_weights_grad, embed_to_hid_weights_grad,
                 hid bias grad, output bias grad)
def sample input mask(self, batch size):
   """Samples a binary mask for the inputs of size batch_size x context_1
   For each row, at most one element will be 1.
   .....
   mask_idx = np.random.randint(self.context_len, size=(batch_size,))
   mask = np.zeros((batch size, self.context len), dtype=np.int)# Convert
   mask[np.arange(batch_size), mask_idx] = 1
   return mask
def evaluate(self, inputs, batch_size=100):
    """Compute the average cross-entropy over a dataset.
       inputs: matrix of shape D x N"""
```

Returns:

```
ndata = inputs.shape[0]
    total = 0.
    for input_batch in get_batches(inputs, batch_size):
        mask = self.sample input mask(batch size)
        input_batch_masked = input_batch * (1 - mask)
        activations = self.compute activations(input batch masked)
        expanded_target_batch = self.indicator_matrix(input_batch)
        target_mask = np.expand_dims(mask, axis=2)
        cross_entropy = self.compute_loss(activations.output_layer, expand
        total += cross_entropy
    return total / float(ndata)
def display nearest words(self, word, k=10):
    """List the k words nearest to a given word, along with their distance
    if word not in self.vocab:
        print('Word "{}" not in vocabulary.'.format(word))
        return
    # Compute distance to every other word.
    idx = self.vocab.index(word)
    word_rep = self.params.word_embedding_weights[idx, :]
    diff = self.params.word embedding weights - word rep.reshape((1, -1))
    distance = np.sqrt(np.sum(diff ** 2, axis=1))
    # Sort by distance.
    order = np.argsort(distance)
    order = order[1:1 + k] # The nearest word is the query word itself, s
    for i in order:
        print('{}: {}'.format(self.vocab[i], distance[i]))
def word distance(self, word1, word2):
    """Compute the distance between the vector representations of two word
    if word1 not in self.vocab:
        raise RuntimeError('Word "{}" not in vocabulary.'.format(word1))
    if word2 not in self.vocab:
        raise RuntimeError('Word "{}" not in vocabulary.'.format(word2))
    idx1, idx2 = self.vocab.index(word1), self.vocab.index(word2)
    word_rep1 = self.params.word_embedding_weights[idx1, :]
    word_rep2 = self.params.word_embedding_weights[idx2, :]
```

```
diff = word_rep1 - word_rep2
return np.sqrt(np.sum(diff ** 2))
```

## → 3.3 Print the gradients [1pt]

To make your life easier, we have provided the routine <code>check\_gradients</code>, which checks your gradients using finite differences. You should make sure this check passes before continuing with the assignment. Once <code>check\_gradients()</code> passes, call <code>print gradients()</code> and include its output in your write-up.

```
def relative_error(a, b):
   return np.abs(a - b) / (np.abs(a) + np.abs(b))
def check_output_derivatives(model, input_batch, target_batch, mask):
   def softmax(z):
       z = z.copy()
       z -= z.max(-1, keepdims=True)
       y = np.exp(z)
       y /= y.sum(-1, keepdims=True)
        return y
   batch_size = input_batch.shape[0]
   z = np.random.normal(size=(batch_size, model.context_len, model.vocab_size
   y = softmax(z).reshape((batch_size, model.context_len * model.vocab_size))
   z = z.reshape((batch_size, model.context_len * model.vocab_size))
   expanded_target_batch = model.indicator_matrix(target_batch)
   target_mask = np.expand_dims(mask, axis=2)
   loss_derivative = model.compute_loss_derivative(y, expanded_target_batch,
   if loss_derivative is None:
        print('Loss derivative not implemented yet.')
        return False
   if loss_derivative.shape != (batch_size, model.vocab_size * model.context_
        print('Loss derivative should be size {} but is actually {}.'.format(
            (batch_size, model.vocab_size), loss_derivative.shape))
        return False
   def obj(z):
        z = z.reshape((-1, model.context_len, model.vocab_size))
```

```
y = softmax(z).reshape((batch_size, model.context_len * model.vocab_si
        return model.compute_loss(y, expanded_target_batch, target_mask)
   for count in range(1000):
        i, j = np.random.randint(0, loss_derivative.shape[0]), np.random.randi
        z_plus = z.copy()
        z_plus[i, j] += EPS
       obj_plus = obj(z_plus)
        z minus = z.copy()
        z_minus[i, j] -= EPS
        obj_minus = obj(z_minus)
        empirical = (obj_plus - obj_minus) / (2. * EPS)
        rel = relative_error(empirical, loss_derivative[i, j])
        if rel > 1e-4:
            print('The loss derivative has a relative error of {}, which is to
           return False
   print('The loss derivative looks OK.')
   return True
def check_param_gradient(model, param_name, input_batch, target_batch, mask):
   activations = model.compute activations(input batch)
   expanded_target_batch = model.indicator_matrix(target_batch)
   target mask = np.expand dims(mask, axis=2)
   loss_derivative = model.compute_loss_derivative(activations.output_layer,
   param_gradient = model.back_propagate(input_batch, activations, loss_deriv
   def obj(model):
        activations = model.compute activations(input batch)
        return model.compute_loss(activations.output_layer, expanded_target_ba
   dims = getattr(model.params, param_name).shape
   is_matrix = (len(dims) == 2)
   if getattr(param_gradient, param_name).shape != dims:
        print('The gradient for {} should be size {} but is actually {}.'.form
            param_name, dims, getattr(param_gradient, param_name).shape))
        return
   for count in range(1000):
        if is matrix:
```

```
slc = np.random.randint(0, dims[0]), np.random.randint(0, dims[1])
       else:
           slc = np.random.randint(dims[0])
        model_plus = model.copy()
        getattr(model_plus.params, param_name)[slc] += EPS
        obj_plus = obj(model_plus)
        model_minus = model.copy()
        getattr(model_minus.params, param_name)[slc] -= EPS
        obj minus = obj(model minus)
        empirical = (obj_plus - obj_minus) / (2. * EPS)
       exact = getattr(param_gradient, param_name)[slc]
        rel = relative_error(empirical, exact)
        if rel > 5e-4:
            print('The loss derivative has a relative error of {}, which is to
           return False
   print('The gradient for {} looks OK.'.format(param_name))
def load_partially_trained_model():
   obj = pickle.load(open(PARTIALLY_TRAINED_MODEL, 'rb'))
   params = Params(obj['word_embedding_weights'], obj['embed_to_hid_weights']
                                   obj['hid_to_output_weights'], obj['hid_bias
                                   obj['output_bias'])
   vocab = obj['vocab']
   return Model(params, vocab)
def check_gradients():
    """Check the computed gradients using finite differences."""
   np.random.seed(0)
   np.seterr(all='ignore') # suppress a warning which is harmless
   model = load partially trained model()
   data_obj = pickle.load(open(data_location, 'rb'))
   train_inputs = data_obj['train_inputs']
   input_batch = train_inputs[:100, :]
   mask = model.sample_input_mask(input_batch.shape[0])
   input batch masked = input batch * (1 - mask)
   if not check_output_derivatives(model, input_batch_masked, input_batch, ma
```

```
for param name in ['word embedding weights', 'embed to hid weights', 'hid
                       'hid_bias', 'output_bias']:
       check_param_gradient(model, param_name, input_batch_masked, input_batc
def print_gradients():
    """Print out certain derivatives for grading."""
   np.random.seed(0)
   model = load_partially_trained_model()
   data obj = pickle.load(open(data location, 'rb'))
   train_inputs = data_obj['train_inputs']
   input_batch = train_inputs[:100, :]
   mask = model.sample_input_mask(input_batch.shape[0])
   input batch masked = input batch * (1 - mask)
   activations = model.compute_activations(input_batch_masked)
   expanded_target_batch = model.indicator_matrix(input_batch)
   target mask = np.expand dims(mask, axis=2)
   loss_derivative = model.compute_loss_derivative(activations.output_layer,
   param gradient = model.back propagate(input batch, activations, loss deriv
   print('loss_derivative[46, 785]', loss_derivative[46, 785])
   print('loss_derivative[46, 766]', loss_derivative[46, 766])
   print('loss_derivative[5, 42]', loss_derivative[5, 42])
   print('loss_derivative[5, 31]', loss_derivative[5, 31])
   print('param_gradient.word_embedding_weights[27, 2]', param_gradient.word_
   print('param_gradient.word_embedding_weights[43, 3]', param_gradient.word_
   print('param_gradient.word_embedding_weights[22, 4]', param_gradient.word_
   print('param_gradient.word_embedding_weights[2, 5]', param_gradient.word_e
   print()
   print('param_gradient.embed_to_hid_weights[10, 2]', param_gradient.embed_t
   print('param_gradient.embed_to_hid_weights[15, 3]', param_gradient.embed_t
   print('param_gradient.embed_to_hid_weights[30, 9]', param_gradient.embed_t
   print('param gradient.embed to hid weights[35, 21]', param gradient.embed
   print()
   print('param_gradient.hid_bias[10]', param_gradient.hid_bias[10])
   print('param_gradient.hid_bias[20]', param_gradient.hid_bias[20])
   print()
   print('param gradient.output bias[0]', param gradient.output bias[0])
   print('param_gradient.output_bias[1]', param_gradient.output_bias[1])
   print('param_gradient.output_bias[2]', param_gradient.output_bias[2])
```

```
print('param_gradient.output_bias[3]', param_gradient.output_bias[3])
# Run this to check if your implement gradients matches the finite difference
# Note: this may take a few minutes to go through all the checks
check gradients()
     The loss derivative looks OK.
     The gradient for word embedding weights looks OK.
     The gradient for embed to hid weights looks OK.
     The gradient for hid to output weights looks OK.
     The gradient for hid_bias looks OK.
     The gradient for output_bias looks OK.
# Run this to print out the gradients
print_gradients()
     loss_derivative[46, 785] 0.7137561447745507
     loss derivative[46, 766] -0.9661570033238931
     loss_derivative[5, 42] -0.0
     loss_derivative[5, 31] 0.0
     param_gradient.word_embedding_weights[27, 2] 0.0
     param_gradient.word_embedding_weights[43, 3] 0.011596892511489458
     param_gradient.word_embedding_weights[22, 4] -0.0222670623817297
     param gradient.word_embedding_weights[2, 5] 0.0
     param gradient.embed to hid weights[10, 2] 0.3793257091930164
     param gradient.embed to hid weights[15, 3] 0.01604516132110917
     param gradient.embed_to_hid_weights[30, 9] -0.4312854367997419
     param_gradient.embed_to_hid_weights[35, 21] 0.06679896665436337
     param_gradient.hid_bias[10] 0.023428803123345148
     param_gradient.hid_bias[20] -0.024370452378874197
     param_gradient.output_bias[0] 0.000970106146902794
     param_gradient.output_bias[1] 0.16868946274763222
     param_gradient.output_bias[2] 0.0051664774143909235
     param_gradient.output_bias[3] 0.15096226471814364
```

# 3.4 Run model training [0pt]

Once you've implemented the gradient computation, you'll need to train the model. The function *train* implements the main training procedure. It takes two arguments:

- embedding\_dim: The number of dimensions in the distributed representation.
- num hid: The number of hidden units

As the model trains, the script prints out some numbers that tell you how well the training is going. It shows:

- The cross entropy on the last 100 mini-batches of the training set. This is shown after every 100 mini-batches.
- The cross entropy on the entire validation set every 1000 mini-batches of training.

At the end of training, this function shows the cross entropies on the training, validation and test sets. It will return a *Model* instance.

```
_train_inputs = None
_train_targets = None
_vocab = None
DEFAULT_TRAINING_CONFIG = {'batch_size': 100, # the size of a mini-batch
                           'learning_rate': 0.1, # the learning rate
                           'momentum': 0.9, # the decay parameter for the mom
                           'epochs': 50, # the maximum number of epochs to ru
                           'init_wt': 0.01, # the standard deviation of the i
                           'context_len': 4, # the number of context words us
                           'show training CE after': 100, # measure training
                           'show_validation_CE_after': 1000, # measure valida
                          }
def find occurrences(word1, word2, word3):
    """Lists all the words that followed a given tri-gram in the training set
   times each one followed it."""
   # cache the data so we don't keep reloading
   global _train_inputs, _train_targets, _vocab
    if train inputs is None:
        data_obj = pickle.load(open(data_location, 'rb'))
       _vocab = data_obj['vocab']
       _train_inputs, _train_targets = data_obj['train_inputs'], data_obj['tr
    if word1 not in vocab:
        raise RuntimeError('Word "{}" not in vocabulary.'.format(word1))
    if word2 not in _vocab:
```

```
raise RuntimeError('Word "{}" not in vocabulary.'.format(word2))
   if word3 not in vocab:
        raise RuntimeError('Word "{}" not in vocabulary.'.format(word3))
   idx1, idx2, idx3 = _vocab.index(word1), _vocab.index(word2), _vocab.index(
   idxs = np.array([idx1, idx2, idx3])
   matches = np.all(_train_inputs == idxs.reshape((1, -1)), 1)
   if np.any(matches):
        counts = collections.defaultdict(int)
        for m in np.where(matches)[0]:
            counts[_vocab[_train_targets[m]]] += 1
       word_counts = sorted(list(counts.items()), key=lambda t: t[1], reverse
       print('The tri-gram "{} {} {}" was followed by the following words in
           word1, word2, word3))
        for word, count in word_counts:
            if count > 1:
                           {} ({} times)'.format(word, count))
                print('
           else:
                          {} (1 time)'.format(word))
                print('
   else:
        print('The tri-gram "{} {} {}" did not occur in the training set.'.for
def train(embedding_dim, num_hid, config=DEFAULT_TRAINING_CONFIG):
   """This is the main training routine for the language model. It takes two
        embedding_dim, the dimension of the embedding space
        num_hid, the number of hidden units."""
   # For reproducibility
   np.random.seed(123)
   # Load the data
   data_obj = pickle.load(open(data_location, 'rb'))
   vocab = data_obj['vocab']
   train_inputs = data_obj['train_inputs']
   valid_inputs = data_obj['valid_inputs']
   test_inputs = data_obj['test_inputs']
   # Randomly initialize the trainable parameters
   model = Model.random_init(config['init_wt'], vocab, config['context_len'],
   # Variables used for early stopping
```

```
pest_valia_CE = np.intty
end_training = False
# Initialize the momentum vector to all zeros
delta = Params.zeros(len(vocab), config['context_len'], embedding_dim, num
this_chunk_CE = 0.
batch count = 0
for epoch in range(1, config['epochs'] + 1):
    if end_training:
        break
    print()
    print('Epoch', epoch)
    for m, (input_batch) in enumerate(get_batches(train_inputs, config['ba
        batch_count += 1
        # For each example (row in input_batch), select one word to mask o
        mask = model.sample_input_mask(config['batch_size'])
        input_batch_masked = input_batch * (1 - mask) # We only zero out o
        # Forward propagate
        activations = model.compute_activations(input_batch_masked)
        # Compute loss derivative
        expanded_target_batch = model.indicator_matrix(input_batch)
        loss derivative = model.compute loss derivative(activations.output
        loss_derivative /= config['batch_size']
        # Measure loss function
        cross_entropy = model.compute_loss(activations.output_layer, expan
        this chunk CE += cross entropy
        if batch_count % config['show_training_CE_after'] == 0:
            print('Batch {} Train CE {:1.3f}'.format(
                batch_count, this_chunk_CE / config['show_training_CE_afte
            this_chunk_CE = 0.
        # Backpropagate
        loss_gradient = model.back_propagate(input_batch, activations, los
        # Update the momentum vector and model parameters
        delta = config['momentum'] * delta + loss gradient
        model.params -= config['learning_rate'] * delta
        # Validate
```

```
if batch_count % config['show_validation_CE_after'] == 0:
                print('Running validation...')
                cross_entropy = model.evaluate(valid_inputs)
                print('Validation cross-entropy: {:1.3f}'.format(cross_entropy
                if cross_entropy > best_valid_CE:
                    print('Validation error increasing! Training stopped.')
                    end_training = True
                    break
                best_valid_CE = cross_entropy
   print()
   train_CE = model.evaluate(train_inputs)
   print('Final training cross-entropy: {:1.3f}'.format(train_CE))
   valid_CE = model.evaluate(valid_inputs)
   print('Final validation cross-entropy: {:1.3f}'.format(valid_CE))
   test_CE = model.evaluate(test_inputs)
   print('Final test cross-entropy: {:1.3f}'.format(test_CE))
   return model
Run the training.
embedding dim = 16
num hid = 128
trained_model = train(embedding_dim, num_hid)
```

To convince us that you have correctly implemented the gradient computations, please include the following with your assignment submission:

- You will submit a1-code.ipynb through MarkUs. You do not need to modify any of the code except the parts we asked you to implement.
- In your writeup, include the output of the function <code>print\_gradients</code>. This prints out part of the gradients for a partially trained network which we have provided, and we will check them against the correct outputs. **Important:** make sure to give the output of <code>print\_gradients</code>, **not** <code>check\_gradients</code>.

# Part 4: Bias in Word Embeddings (2pts)

Unfortunately, stereotypes and prejudices are often reflected in the outputs of natural language processing algorithms. For example, Google Translate is more likely to translate a non-English sentence to "He is a doctor" than "She is a doctor when the sentence is ambiguous. In this section, you will explore how bias enters natural language processing algorithms by implementing and analyzing a popular method for measuring bias in word embeddings.

Note: In AI and machine learning, **bias** generally refers to prior information, a necessary prerequisite for intelligent action. However, bias can be problematic when it is derived from aspects of human culture known to lead to harmful behaviour, such as stereotypes and prejudices.

# 4.1 WEAT method for detecting bias [1pt]

Word embedding models such as GloVe attempt to learn a vector space where semantically similar words are clustered close together. However, they have been shown to learn problematic associations, e.g. by embedding "man" more closely to "doctor" than "woman" (and vice versa for "nurse"). To detect such biases in word embeddings, "Semantics derived automatically from language corpora contain human-like biases" introduced the Word Embedding Association Test (WEAT). The WEAT test measures whether two target word sets (e.g., {programmer, engineer, scientist, ...} and {nurse, teacher, librarian, ...}) have the same relative association to two attribute word sets (e.g., man, male, ... and woman, female ...).

There is an excellent blog on bias in word embeddings and the WEAT test <u>here</u>.

In the following section, you will run a WEAT test for a given set of target and attribute words. Specifically, you must implement the function weat\_association\_score and then run the remaining cells to compute the p-value and effect size. Before you begin, make sure you understand the formal definition of the WEAT test given in section 4.1 of the handout.

Run the following cell to download pretrained GloVe embeddings.

Before proceeding, you should familiarize yourself with the similarity method, which computes the cosine similarity between two words. You will need this method to implement weat\_association\_score. Some examples are given below.

Can you spot the gender bias between occupations in the examples below?

Below, we define our target words (occupations) and attribute words (A and B). Our target words consist of occupations, and our attribute words are gendered. We will use the WEAT test to determine if the word embeddings contain gender biases for certain occupations.

```
# Target words (occupations)
occupations = ["programmer", "engineer", "scientist", "nurse", "teacher", "lib
```

```
# Two sets of gendered attribute words, A and B
A = ["man", "male", "he", "boyish"]
B = ["woman", "female", "she", "girlish"]
```

• **TODO**: Implement the following function, weat\_association\_score which computes the association of a word *w* with the attribute:

$$s(w, A, B) = \operatorname{mean}_{a \in A} \cos(w, a) - \operatorname{mean}_{b \in B} \cos(w, b)$$

Use the following code to check your implementation:

```
np.isclose(weat_association_score("programmer", A, B, glove), 0.019615129)
True
```

Now, compute the WEAT association score for each element of occupations and the attribute sets A and B. Include the printed out association scores in your pdf.

programmer: 0.019615129 engineer: 0.053647354 scientist: 0.06795815 nurse: -0.09486914 teacher: -0.018930316

# 4.2 Reasons for bias in word embeddings [0pt]

Based on these WEAT association scores, do the pretrained word embeddings associate certain occuptations with one gender more than another? What might cause word embedding models to learn certain stereotypes and prejudices? How might this be a problem in downstream applications?

4.2 Answer: \*\*TODO: Write Part 4.2 answer here\*\*

# 4.3 Analyzing WEAT [1pt]

While WEAT makes intuitive sense by asserting that closeness in the embedding space indicates greater similarity, more recent work (Ethayarajh et al. [2019]) has further analyzed the mathematical assertions and found some flaws with this method. Analyzing edge cases is a good way to find logical inconsistencies with any algorithm, and WEAT in particular can behave strangely when A and B contain just one word each.

#### ▼ 4.3.1 1-word subsets [0.5 pts]

Find 1-word subsets of the original A and B that reverse the sign of the association score for at least some of the occupations

```
"he",
    "boyish"
D = ["woman",
    "female",
    "she",
    "girlish"
# TODO: Print out the weat association score for each word in occupations, wit
scores = [weat_association_score(job, A, B, glove) for job in occupations]
reversed = {}
for c in C:
 for d in D:
   new_scores = [weat_association_score(job, [c], [d], glove) for job
                in occupations]
   print("Gender words: " + c + ", " + d)
   print("Occupations that reverses the associations are (if any): ")
   for i in range(len(scores)):
          if (scores[i] > 0 and new_scores[i] < 0) or (scores[i] > 0 and new
              print(occupations[i])
   print('\n')
```

#### 

```
engineer scientist

Gender words: male, she Occupations that reverses the associations are (if any): programmer engineer scientist

Gender words: male, girlish Occupations that reverses the associations are (if any):

Gender words: he, woman Occupations that reverses the associations are (if any):
```

```
Gender words: he, female
Occupations that reverses the associations are (if any):
programmer
Gender words: he, she
Occupations that reverses the associations are (if any):
Gender words: he, girlish
Occupations that reverses the associations are (if any):
Gender words: boyish, woman
Occupations that reverses the associations are (if any):
programmer
engineer
scientist
Gender words: boyish, female
Occupations that reverses the associations are (if any):
programmer
engineer
scientist
Gender words: boyish, she
Occupations that reverses the associations are (if any):
programmer
engineer
scientist
Gender words: boyish, girlish
Occupations that reverses the associations are (if any):
```

### ▼ 4.3.2 How word frequency affects embedding similarity [0.5 pts]

Consider the fact that the squared norm of a word embedding is linear in the log probability of the word in the training corpus. In other words, the more common a word is in the training corpus, the larger the norm of its word embedding. (See handout for more thorough description)

Briefly explain how this fact might contribute to the results from the previous section when using different attribute words. Provide your answers in no more than three sentences.

Hint 2: The paper cited above is a great resource if you are stuck.

4.3 Answer: When j is more frequent than k,  $\frac{logX_{ij}}{\sqrt{logX_{jj}}} > \frac{logX_{ik}}{\sqrt{logX_{kk}}}$ , meaning that s will be positive. However, the opposite i.e. k being more frequent, will let s become negative. Different choices of  $w_j$  and  $w_k$  can also lend to this situation.

### ▼ 4.3.3 Relative association between two sets of target words [0 pts]

In the original WEAT paper, the authors do not examine the association of individual words with attributes, but rather compare the relative association of two sets of target words. For example, are insect words more associated with positive attributes or negative attributes than flower words.

Formally, let X and Y be two sets of target words of equal size. The WEAT test statistic is given by:

$$s(X,Y,A,B) = \sum_{x \in X} s(x,A,B) - \sum_{y \in Y} s(y,A,B)$$

Will the same technique from the previous section work to manipulate this test statistic as well? Provide your answer in no more than 3 sentences.

4.3.3 Answer: TODO: Write 4.3.3 answer here

# What you have to submit

Refer to the handout for the checklist

✓ 0s completed at 2:33 PM

×