# Word2Vec Skipgram Model

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#### 1 Introduction

Word embeddings are vector representation of words. They are shown to capture salient features of the words. Word embeddings are very popular as they are used in various as features in many downstream NLP tasks.

In this assignment, word embeddings are generated using Skip-gram model by Mikolov et al. (2013). The impact on results due to various hyperparameters such as window size, negative samples and embedding dimensions is analyzed. The claim that the word embeddings capture relationships between words is verified through analogical reasoning tasks. Finally, general observations and features of generated word embedding are presented.

The core of the skip-gram model is built using tensorflow and code can be found at https://github.com/rv-chittersu/word2vec

#### 2 Data

To train skip-gram model The Reuters Corpus available through NLTK is used. The corpus has 10,788 news documents totaling 1.3 million words. The corpus is divided into 7769 training documents and 3019 test documents. Training documents are further split to generate validation set.

### 3 Model

The objective of skip-gram model is predicting surrounding words given input word. It is achieved by maximizing the following equation for the given sequence of input words  $w_1, w_2...w_T$ .

$$\frac{1}{T} \sum_{t=1}^{T} \sum_{-c \le j \le c, j \ne 0} \log p(w_{t+j}|w_j)$$

Generally  $p(w_{t+i}|w_i)$  is estimated through

softmax probability. In this setup softmax is replaced by Noise Contrastive Estimation (NCE). so the  $p(w_i|w_i)$  is substituted by

$$\log \sigma(v'_{w_i} v_{w_j}^T) + \sum_{k=1}^k E_{w_k \sim p(w)} [\log \sigma(-v'_{w_k} v_{w_j}^T)]$$

So for each input token, label token (which is neighbor token present in the pre-defined window) and k negative samples we compute NCE and maximize it. In the following model d dimensional vectors  $\{v_i\}_{i=0}^N$  and  $\{v_j'\}_{j=0}^N$  are parameters that are to be estimated.

### 4 Experiment Setup

### 4.1 Pre Processing

Once training, validation and test documents are available the very next step is to generate vocabulary.

From training documents, the vocabulary is generated in the following steps.

- (1) Tokenize sentences with NLTK's sent\_tokenize
- (2) Split the sentences at nonalphabet characters(except periods to preserve tokens like U.S.A)
- (3) Trim the tokens(to remove trailing and preceding dots)
- (4) Convert tokens to lower case
- (3) Discard the tokens that have a length less than 2
- (4) Remove stop words.

The generated vocabulary will be used as a source vocabulary for the rest of the procedure. The vocabulary also holds the number of occurrences and unique index for each word.

#### 4.2 Training

During training in each epoch, training documents are sequentially processed to generate inputs to the model. At each step, the following values are passed through placeholders

- (1) input word
- (2) context word
- (3) list of negative samples
- (4) unigram probabilities of negative samples

From the inputs negative of Noise Contrastive Estimation (NCE) is computed as the loss. Tensorflow's GradientDescentOptimizer is used to minimize the loss.

For each epoch, validation loss is computed to track the learning. Once the training is over test loss is computed and the embedding layer is stored in a file.

The model is trained with multiple combinations of hyperparameters(window size(c), negative samples(k), embedding dimension(d)) as part of the experiment.

#### 4.3 Evaluation

The embeddings generated in the training set are used for evaluation of the model.

The correlation between the similarities scores produced by the model and SimLex-999 is used as a metric. The evaluation is done for Nouns, Verbs, and Adjectives separately.

### 5 Results

The embeddings generated with various hypeparameters are used to calculate the similarity score for words present in SimLex-999. The scores given by model and Simlex-999 are used to generate co-relation scores which are reported in tables below.

It can be seen that the rare words add a lot of noise to similarity score generated by the model which results in poor co-relation score.

Another set of co-relation scores are also reported by removing rare words(words which occurs less than 100 times).

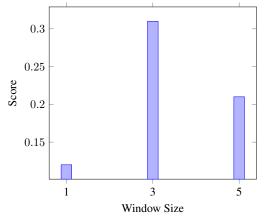
Further co-relation scores with respect to nouns, verbs, and adjectives are reported separately.

### 6 Analysis

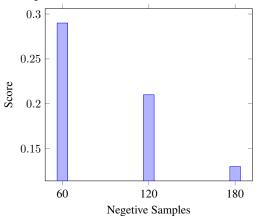
As it can be seen from [Table 1] that the corelation scores improve once rare words are filtered while testing. So the rest of the analysis will rely on the words that occur greater than a threshold(in this case 100).

In the experiment to study window size on the result embedding dimensions and negative samples are locked at 60 and 120 respectively. The

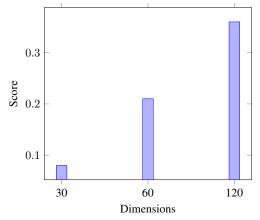
training is done with window sizes of 1, 3, and 5. From the graph, it can be seen that the best score was achieved at window size 3. probably the model over-fit at window size 5.



A similar test was made with negative samples which were tested with values of 60, 120 and 180 for a window of size 5 and embedding of 60 dimensions A decreasing trend was found with an increase in negative samples. The optimum negative samples count is 60.



The dimension of size 120 is found better than 30 and 60 with a window size of 5 and 120 negative samples.



Similar trends can be seen in case if we consider only nouns.

Table 1: Co-Relation Scores

| <b>Embedding Dimensions</b> | Negative Samples | Window size | Score          | Score             |
|-----------------------------|------------------|-------------|----------------|-------------------|
|                             |                  |             |                | (threshold = 100) |
| 60                          | 120              | 1           | 0.04711872114  | 0.1220493397      |
| 60                          | 120              | 3           | 0.0505206797   | 0.3105621417      |
| 60                          | 120              | 5           | 0.02206475059  | 0.2131087133      |
| 60                          | 60               | 5           | -0.01793491812 | 0.2931796434      |
| 60                          | 180              | 5           | 0.02312335723  | 0.135010061       |
| 30                          | 120              | 5           | 0.0383291462   | 0.08621740159     |
| 120                         | 120              | 5           | 0.04571867378  | 0.3661504363      |
| 120                         | 180              | 3           | 0.02075320559  | 0.1882198335      |

Table 2: Co-Relation Scores For Nouns

| <b>Embedding Dimensions</b> | Negative Samples | Window size | Noun Score    | Noun Score        |
|-----------------------------|------------------|-------------|---------------|-------------------|
|                             |                  |             |               | (threshold = 100) |
| 60                          | 120              | 1           | 0.04563564751 | 0.04563564751     |
| 60                          | 120              | 1           | 0.04563564751 | 0.04563564751     |
| 60                          | 120              | 3           | 0.1363865401  | 0.4282928711      |
| 60                          | 120              | 5           | 0.05134560941 | 0.3001244178      |
| 60                          | 60               | 5           | 0.02397852387 | 0.4015901274      |
| 60                          | 180              | 5           | 0.0682602615  | 0.4238850173      |
| 30                          | 120              | 5           | 0.1045081084  | 0.3012992204      |
| 120                         | 120              | 5           | 0.1441013864  | 0.3826956967      |
| 120                         | 180              | 3           | 0.1133083195  | 0.458975523       |

Table 3: Co-Relation Scores For Verbs

| <b>Embedding Dimensions</b> | <b>Negative Samples</b> | Window size | Verb Score     | Verb Score        |
|-----------------------------|-------------------------|-------------|----------------|-------------------|
|                             |                         |             |                | (threshold = 100) |
| 60                          | 120                     | 1           | 0.02475670118  | -0.02421592686    |
| 60                          | 120                     | 3           | -0.0407206503  | 0.07494772754     |
| 60                          | 120                     | 5           | -0.03550466133 | -0.06945869851    |
| 60                          | 60                      | 5           | -0.09172793869 | 0.02018972524     |
| 60                          | 180                     | 5           | -0.1097457637  | -0.5033712957     |
| 30                          | 120                     | 5           | -0.08523328442 | -0.3574116876     |
| 120                         | 120                     | 5           | -0.07784494849 | 0.1527943869      |
| 120                         | 180                     | 3           | -0.09808198607 | -0.4895402191     |

Table 4: Co-Relation Scores For Adjectives

| <b>Embedding Dimensions</b> | <b>Negative Samples</b> | Window size | Adjective Score |
|-----------------------------|-------------------------|-------------|-----------------|
| 60                          | 120                     | 1           | -0.0146127907   |
| 60                          | 120                     | 3           | -0.06573593351  |
| 60                          | 120                     | 5           | -0.007612853526 |
| 60                          | 60                      | 5           | -0.05354720563  |
| 60                          | 180                     | 5           | 0.07345874163   |
| 30                          | 120                     | 5           | -0.003055926895 |
| 120                         | 120                     | 5           | -0.08271468455  |
| 120                         | 180                     | 3           | -0.08531358037  |

| Task                        | Score  | Score           |
|-----------------------------|--------|-----------------|
|                             |        | threshold = 100 |
| capital-common-countries    | 0.024  | 0.118           |
| capital-world               | 0.006  | 0.161           |
| currency                    | 0.064  | 0.192           |
| city-in-state               | 0.007  | 0.266           |
| family                      | 0.0207 |                 |
| gram1-adjective-to-adverb   | -0.010 |                 |
| gram2-opposite              | 0.001  |                 |
| gram3-comparative           | 0.012  | 0.029           |
| gram4-superlative           | 0.021  | -0.018          |
| gram5-present-participle    | -0.005 | 0.007           |
| gram6-nationality-adjective | 0.009  | 0.025           |
| gram7-past-tense            | 0.001  | 0.032           |
| gram8-plural                | 0.026  |                 |
| gram9-plural-verbs          | 0.008  | 0.032           |

Table 5: Scores on analogical reasoning task.

No trends were seen in the case of adjectives and verbs. The results obtained with respect to adjectives and verbs are inconsistent as their frequency is very low.

## 7 Analogical Reasoning task

#### **7.1** Task

It was stated by Mikolov et al. (2013) that the word embeddings generated by Word2Vec can capture the relationship between words. The claim is verified using the Analogical reasoning task. In this task, the relationship between the embedding is captured as the difference vector. It is expected that the cosine similarity between the difference vectors is high if they have the same underlying relationship.

#### 7.2 Data

To accomplish this same dataset used by Mikolov et al. (2013) is used. The data has 14 relationship categories. In each category, there are multiple examples. Each example has 2 pairs of words and has mentioned relationship.

### 7.3 Evaluation

As mentioned the for each instance the difference between each pair is considered as relationship vector. Since the two pairs have the same relationship the two differences are expected to give high cosine similarity score.

So for each entry in a task the similarity scores are calculated and averaged. The results can be seen in [Table 5].

Similar to that of SimLex-999 evaluation the experiment is done after filtering out the rare

words(frequency less than 50). It can be seen that the results are improved after rare word removal.

The adjectives and verbs are seen to have low scores in analogical reasoning task also.

#### 8 conclusion

In this assignment it was shown that Word2Vec can group similar tokens and can also capture various analogies between tokens. Results of corelation with SimLex-999 and analogy tasks are provided to support the claim. The results for adjectives and verbs are poor in general because they aren't found frequently enough in the corpus. With larger dataset, better results are expected.

In the appendix a few examples of similar models and embeddings are visualized with PCA.

#### References

Tomas Mikolov, Ilya Sutskever, Kai Chen, Greg Corrado, and Jeffrey Dean. 2013. Distributed representations of words and phrases and their compositionality.

### **A** Appendices

This appendix provides qualitative results for word embeddings.

#### A.1 Similar Words

For a few example words list of few similar words ordered based on cosine similarity is presented.

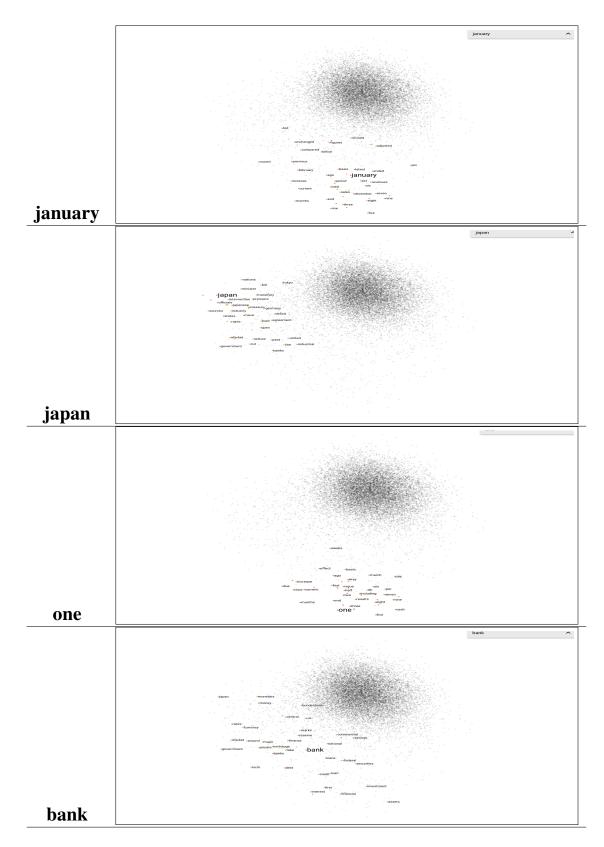
### A.2 Embeddings Visualization

The embeddings of the vocabulary generated are visualized on the 2D plane using PCA. This is done using Tensorflow's Embedding Projector.

In the results each word and it's corresponding similar words are visualized.

| one       | january  | bank       | rice      | japan    | minister   |
|-----------|----------|------------|-----------|----------|------------|
| two       | december | central    | crop      | japanese | told       |
| three     | february | england    | grain     | trade    | ministry   |
| four      | period   | banks      | cotton    | u.s      | reagen     |
| five      | previous | finance    | persist   | deficit  | industry   |
| seven     | november | money      | krenzler  | major    | washington |
| current   | three    | banking    | usda      | monetary | news       |
| months    | compared | savings    | prepare   | nations  | president  |
| including | year     | loan       | soybean   | tokyo    | house      |
| half      | ago      | liquidity  | acid      | must     | appleton   |
| six       | end      | foreign    | water     | britain  | reporters  |
| making    | eight    | regard     | bag       | france   | saying     |
| march     | nine     | bundesbank | cargo     | congress | congress   |
| time      | october  | federal    | program   | bill     | economic   |
| may       | ended    | france     | enquiries | timing   | press      |
| eight     | adjusted | campaign   | covered   | foreign  | officials  |

Similar Words - List of 15 words with the highest similarity score for a given word.



Embedding Visualization - PCA of the word embeddings with the word and its most similar words highlighted.