

COMP3506 Homework 1

Weighting: 15%

Due date: 21st August 2020, 11:55 pm

Questions

1. Consider the following algorithm, COOLALGORITHM, which takes a **positive** integer n and outputs another integer. Recall that '&' indicates the bitwise AND operation and ' $a \gg b$ ' indicates the binary representation of a shifted to the right b times.

```
1: procedure COOLALGORITHM(int n)
2:    $sum \leftarrow 0$ 
3:   if  $n \% 2 == 0$  then
4:     for  $i = 0$  to  $n$  do
5:       for  $j = i$  to  $n^2$  do
6:          $sum \leftarrow sum + i + j$ 
7:       end for
8:     end for
9:   else
10:    while  $n > 0$  do
11:       $sum \leftarrow sum + (n \& 1)$ 
12:       $n \leftarrow (n \gg 1)$ 
13:    end while
14:  end if
15:  return  $sum$ 
16: end procedure
```

Note that the runtime of the above algorithm depends not only on the size of the input n , but also on a numerical property of n . For all of the following questions, you must assume that n is a positive integer.

- (a) (3 marks) Represent the running time (i.e. the number of primitive operations) of the algorithm when the input n is **odd**, as a mathematical function called $T_{\text{odd}}(n)$. State all assumptions made and explain all your reasoning.

Solution:

In the above pseudocode for an odd n , a while loop is run for n iterations. Within the loop 3 primitive operations (a bitwise AND, an addition and a single bitshift) are all performed. This means that each of the 3 primitive operations are run n and hence $T_{\text{odd}}(n) = 3n$ for both the tight and worse-case bounds.

- (b) (2 marks) Find a function $g(n)$ such that $T_{\text{odd}}(n) \in O(g(n))$. Your $g(n)$ should be such that the Big-O bound is as tight as possible (e.g. no constants or lower order terms). Using the formal definition of Big-O, prove this bound and explain all your reasoning.

(Hint: you need to find values of c and n_0 to prove the Big-O bound you gave is valid).

Solution:

Using the definition of Big-O: $f(n)$ is $O(g(n))$ if there exists a real number $c > 0$ and real number $n_0 > 0$ such that $f(n) \leq cg(n)$, for $n \geq n_0$.

The constants $c = 3$ and $n_0 = 1$ can be substituted to show that $T_{\text{odd}}(n) = 3n$ is $O(n)$ and thus $g(n) = n$. $f(n) = 3n$ and $cg(n) = 3n$ as well, so for all $n \geq 1$, $f(n)$ and $cg(n)$ must all be equal. This satisfies the conditions of the Big-O definition and hence it is proven that $g(n) = n$.

- (c) (2 marks) Similarly, find the tightest Big- Ω bound of $T_{\text{odd}}(n)$ and use the formal definition of Big- Ω to prove the bound is correct. Does a Big- Θ bound for $T_{\text{odd}}(n)$ exist? If so, give it. If not, explain why it doesn't exist.

Solution:

Using the definition of Big- Ω : $f(n)$ is $\Omega(g(n))$ if there exist positive constants c and n_0 such that $f(n) \geq cg(n)$ for all $n \geq n_0$.

Once again, the constants $c = 3$ and $n_0 = 1$ can be substituted to show that $T_{\text{odd}}(n) = 3n$ is $\Omega(n)$ and thus $g(n) = n$. Taking, $f(n) = 3n$ and $cg(n) = 3n$, it is clear that for all $n \geq 1$, $f(n) = cg(n)$ and hence the definition is satisfied.

A Big- Θ bound does exist. Using the definition of Big- Θ : $f(n)$ is $\Theta(g(n))$ if there exist positive constants c_1 , c_2 and n_0 such that $c_1g(n) \leq f(n) \leq c_2g(n)$ for all $n \geq n_0$.

Since the Big- O and Big- Θ bounds are both n , the constants $c_1 = c_2 = 3$ and $n_0 = 1$ can be used. This would result in $c_1g(n) = c_2g(n) = 3n$ which is also equal to $f(n)$ for all $n \geq 1$. Thus the above definition is satisfied and the Big- Θ bound must be $\Theta(n)$.

- (d) (3 marks) Represent the running time (as you did in part (a)) for the algorithm when the input n is **even**, as a function called $T_{\text{even}}(n)$. State all assumptions made and explain all your reasoning. Also give a tight Big- O and Big- Ω bound on $T_{\text{even}}(n)$. You do **not** need to formally prove these bounds.

Solution:

If n is even, there is a loop that loops n times with a nested loop that operates n^2 times. Within the nested loop there are two add operations and hence two primitive operations. Thus the running time $T_{\text{even}}(n) = n \times n^2 \times 2 = 2n^3$.

The Big- O bound must thus be $O(n^3)$ and the Big- Θ is also $\Theta(n^3)$. These are both the tightest bounds.

- (e) (2 marks) The running time for the algorithm has a best case and worst case, and which case occurs for a given input n to the algorithm depends on the parity of n .

Give a Big- O bound on the **best case** running time of the algorithm, and a Big- Ω bound on the **worst case** running time of the algorithm (and state which parity of the input corresponds with which case).

Solution:

The best case running time of the algorithm occurs when the input n is odd. This is because the running time for odd n is $3n$ whereas the running time for even n is $2n^3$. The Big- O bound for the odd case is $O(n)$.

Conversely, the worst case must be for the even case or when the running time is $2n^3$. The Big- Θ for this case is $O(n^3)$.

Both of these bounds have been proven in previous sections.

- (f) (2 marks) We can represent the runtime of the entire algorithm, say $T(n)$, as

$$T(n) = \begin{cases} T_{\text{even}}(n) & \text{if } n \text{ is even} \\ T_{\text{odd}}(n) & \text{if } n \text{ is odd} \end{cases}$$

Give a Big- Ω and Big- O bound on $T(n)$ using your previous results. If a Big- Θ bound for the entire algorithm exists, describe it. If not, explain why it doesn't exist.

Solution: The Big- O bound for $T(n)$ is $O(n^3)$ and the Big- Ω bound for $T(n)$ is $\Omega(n)$. This is because only n^3 is the tightest bound that is greater than or equal to $T(n)$ for any $n > 1$ and n is the tightest bound that is less than or equal to $T(n)$ for any $n > 1$.

There is no Big- Θ bound for this algorithm as there is no $g(n)$ that could be less than $T(n)$ when multiplied by one constant while being greater than $T(n)$ when multiplied by another constant. This is because $T_{\text{even}}(n)$ is a third degree polynomial and $T_{\text{odd}}(n)$ is a linear function. Thus any $g(n)$ would cross through either of subfunctions of $T(n)$. So, the requisites of Big- Θ bound are not met and so there is no Big- Θ bound.

- (g) (2 marks) Your classmate tells you that Big-O represents the worst case runtime of an algorithm, and similarly that Big- Ω represents the best case runtime. Is your classmate correct? Explain why/why not. Your answers for (e) and (f) *may* be useful for answering this.

Solution:

While this can be true in some cases, it can not be generalised. This is because the Big-O of an algorithm is the the tightest bound of the algorithm from above, and thus the worst case, while Big- Ω is the tightest bound from below and thus the best case. If the best and worst case runtime of the algorithm are both equal, then the classmate's statement is correct.

- (h) (1 mark) Prove that an algorithm runs in $\Theta(g(n))$ time if and only if its worst-case running time is $O(g(n))$ and its best-case running time is $\Omega(g(n))$.

Solution:

2. (a) (4 marks) Devise a **recursive** algorithm that takes a sorted array A of length n , containing distinct (not necessarily positive) integers, and determines whether or not there is a position i (where $0 \leq i < n$) such that $A[i] = i$.
- Write your algorithm in pseudocode (as a procedure called `FINDPOSITION` that takes an input array A and returns a boolean).
 - Your algorithm should be as efficient as possible (in terms of time complexity) for full marks.
 - You will not receive any marks for an iterative solution for this question.
 - You are permitted (and even encouraged) to write helper functions in your solution.

Solution:

```

1: procedure FINDPOSITION(int[] A)
2:   return FindPositionHelper(A, 0, length(A))
3: end procedure

```

```

1: procedure FINDPOSITIONHELPER(int[] A, int n, int length)
2:    $middle \leftarrow n + length/2$ 
3:   if  $A[middle] = middle$  then
4:     return true
5:   end if
6:
7:   if  $length = 1$  then
8:     return false
9:   end if
10:
11:  if  $A[middle] > middle$  then
12:    return FindPositionHelper(A, 0, length/2)
13:  end if
14:
15:  if  $A[middle] < middle$  then
16:    return FindPositionHelper(A, length/2, length/2)
17:  end if
18: end procedure

```

- (b) (1 mark) Show and explain all the steps taken by your algorithm (e.g. show all the recursive calls, if conditions, etc) for the following input array: $[-1, 0, 2, 3, 10, 11, 23, 24, 102]$.

Solution:

```

FindPosition([-1, 0, 2, 3, 10, 11, 23, 24, 102])
  return FindPositionHelper([-1, 0, 2, 3, 10, 11, 23, 24, 102], 0, 9)
FindPositionHelper([-1, 0, 2, 3, 10, 11, 23, 24, 102], 0, 9)
   $middle = 0 + \frac{9}{2} = 4$ 
  if  $A[4] = 4 \rightarrow$  false
  if  $length = 1 \rightarrow$  false
  if  $A[4] > 4 \rightarrow$  true
    return FindPositionHelper([-1, 0, 2, 3, 10, 11, 23, 24, 102], 0, 4)
FindPositionHelper([-1, 0, 2, 3, 10, 11, 23, 24, 102], 0, 4)
   $middle = 0 + \frac{4}{2} = 2$ 
  if  $A[2] = 2 \rightarrow$  true
    return true

```

- (c) (3 marks) Express the worst-case running time of your algorithm as a mathematical recurrence, $T(n)$, and explain your reasoning. Then calculate a Big-O (or Big- Θ) bound for this recurrence and show all working used to find this bound (Note: using the Master Theorem below for this question will not give you any marks for this question).

Solution: The worst case scenario occurs when there is no value where $A[i] = i$ and all $A[i] < i$. In this scenario, there are 11 primitive operations inside each of the function calls as the final if statement is the only one evaluated to true each time. Each time the function is recursively called, however, the length of the array is halved. The final call of the helper function (where $n = 1$) takes 7 primitive operations as it reaches the false base case. As such the recurrence for the running time can be presented as:

$$T(n) = \begin{cases} T(\frac{n}{2}) + 11 & \text{if } n > 1 \\ 7 & \text{if } n = 1 \end{cases}$$

Where n is the length of the array inputted into FindPosition.

Taking the case where $n > 1$:

$$\begin{aligned} T(n) &= T(\frac{n}{2}) + 11 \\ &= T(\frac{n}{4}) + 22 \\ &= T(\frac{n}{8}) + 33 \\ &= T(\frac{n}{16}) + 44 \\ &= T(\frac{n}{2^k}) + \sqrt{2^k} \times 11 \end{aligned}$$

In order to reach the $T(1)$ case of the recurrence relation, k can be taken as $k = \log(n)$ and substituted into $T(n)$:

$$\begin{aligned} T(n) &= T(\frac{n}{2^k}) + \sqrt{2^k} \times 11 \\ &= T(\frac{n}{2^{\log(n)}}) + \sqrt{2^{\log(n)}} \times 11 \\ &= T(\frac{n}{n}) + \sqrt{n} \times 11 \\ &= T(1) + \sqrt{n} \times 11 \\ &= 7 + \sqrt{n} \times 11 \end{aligned}$$

Therefore, $T(n)$ is $O(\sqrt{n})$ in the worst case. This can be proven by taking $c = 12$ and $n_0 = 49$ resulting in $T(n) \leq 12\sqrt{n}$ for all $n \geq 49$ which satisfies the definition of Big-O.

- (d) The master theorem is a powerful theorem that can be used to quickly calculate a tight asymptotic bound on a mathematical recurrence. A simplified version is stated as follows: Let $T(n)$ be a non-negative function that satisfies

$$T(n) = \begin{cases} aT(\frac{n}{b}) + g(n) & \text{for } n > k \\ c & \text{for } n = k \end{cases}$$

where k is a non-negative integer, $a \geq 1$, $b \geq 2$, $c > 0$, and $g(n) \in \Theta(n^d)$ for $d \geq 0$. Then,

$$T(n) \in \begin{cases} \Theta(n^d) & \text{if } a < b^d \\ \Theta(n^d \log n) & \text{if } a = b^d \\ \Theta(n^{\log_b a}) & \text{if } a > b^d \end{cases}$$

- i. (1 mark) Use the master theorem, as stated above, to find a Big- Θ bound (and confirm your already found Big-O) for the recurrence you gave in (b). Show all your working.

Solution:

- ii. (1 mark) Use the master theorem to find a Big- Θ bound for the recurrence defined by

$$T(n) = 5 \cdot T\left(\frac{n}{3}\right) + n^2 + 2n$$

and $T(1) = 100$. Show all working.

Solution:

- iii. (1 mark) Use the master theorem to find a Big- Θ bound for the recurrence defined by

$$T(n) = 8 \cdot T\left(\frac{n}{4}\right) + 5n + 2 \log n + \frac{1}{n}$$

and $T(1) = 1$. Show all working.

Solution:

- (e) (2 marks) Rewrite (in pseudocode) the algorithm you devised in part (a), but this time **iteratively**. Your algorithm should have the same runtime complexity of your recursive algorithm. Briefly explain how you determined the runtime complexity of your iterative solution.

Solution:

- (f) (2 marks) While both your algorithms have the same runtime complexity, one of them will usually be faster in practice (especially with large inputs) when implemented in a procedural programming language (such as Java, Python or C). Explain which version of the algorithm you would implement in Java - and why - if speed was the most important factor to you. You may need to do external research on how Java method calls work in order to answer this question in full detail. Cite any sources you used to come up with your answer.

In addition, explain and compare the space complexity of your both your recursive solution and your iterative solution (also assuming execution in a Java-like language).

Solution:

3. In the support files for this homework on Blackboard, we have provided an interface called `CartesianPlane` which describes a 2D plane which can hold elements at (x, y) coordinator pairs, where x and y could potentially be negative.

- (a) (5 marks) In the file `ArrayCartesianPlane.java`, you should implement the methods in the interface `CartesianPlane` using a multidimensional array as the underlying data structure.

Before starting, ensure you read and understand the following:

- Your solution will be marked with an automated test suite.
 - Your code will be compiled using Java 11.
 - Marks may be deducted for poor coding style. You should follow the CSSE2002 style guide, which can be found on Blackboard.
 - A sample test suite has been provided in `CartesianPlaneTest.java`. This test suite is not comprehensive and there is no guarantee that passing these will ensure passing the tests used during marking. It is recommended, but not required, that you write your own tests for your solution.
 - You may not use anything from the Java Collections Framework (e.g. ArrayLists or HashMaps). If unsure about whether you can use a certain import, ask on Piazza.
 - Do not add or use any static member variables. Do not add any **public** variables or methods.
 - Do not modify the interface (or `CartesianPlane.java` at all), or any method signatures in your implementation.
- (b) (1 mark) State (using Big-O notation) the memory complexity of your implementation, ensuring you define all variables you use. Briefly explain how you came up with this bound.

Solution:

$O(m \times n)$ where m is the width of the plane and n is the height of the plane.

This is the memory complexity as my implementation represents any empty grid space with a null value. As such, the entire width by height 2D-array is stored in memory. The actual size of the object being stored on the plane isn't necessary as big-O simply defines the growth of the memory-usage.

- (c) (1 mark) Using the bound found above, evaluate the overall memory efficiency of your implementation. You should especially consider the case where your plane is very large but has very few elements.

Solution:

This solution is very inefficient in terms of the overall memory usage. Take a plane with minimumX = -n, maximumX = n, minimumY = -n and maximumY = n so that width = 2n and height = 2n where n is the number of cells. Such a plane may not contain any elements yet it would still use $4n^2$ memory where a more efficient solution would be able to use no memory for such a plane. The current implementation would in fact use $4n^2$ for any amount of cells, whereas a more efficient implementation would only use $4n^2$ when storing $4n^2$ values.

- (d) (3 marks) State (using Big-O notation) the time complexity of the following methods:

- add
- get
- remove
- resize
- clear

Ensure you define all variables used in your bounds, and briefly explain how you came up with the bounds. State any assumptions you made in determining your answers. You should simplify your bounds as much as possible.

Solution:

- add

The "add" method takes 4 operations to check that the input x and y is within the maximum and minimum x and y . As well as this, the subtraction performed on both x and y takes 1 operation each and each retrieval from the array is another 1 operation. The return also takes 1 operation. So, the overall running time is $f(n) = 9$ where n is the size of the inputted x and y .

Choosing $c = 10$, $n_0 = 1$ and $g(n) = 1$, it is clear that $f(n) \leq cg(n)$. Hence the Big-O time complexity is $O(1)$.

- **get**

The "get" method is similar to the "add" method. It takes a constant 8 operations to execute no matter the input. Hence, $f(n) = 9$ and get is $O(1)$ as well.

- **remove**

Similar to "get" and "add", "remove" also takes 4 operations to check the input. In the worst case, the cell on which the "remove" is being performed is not null, thus it takes 5 operations to check that. After this, a further 5 operations are performed to set the cell as null before 1 operation for returning true. The overall time complexity for the worst case is $f(n) = 15$.

Choosing $c = 16$, $n_0 = 1$ and $g(n) = 1$, it is clear that $f(n) \leq cg(n)$. So, "remove" is $O(1)$.

- **resize**

- **clear**

The "clear" method takes $f(m, n) = 5mn$ operations where m is the width of the plane and n is the height of the plane.

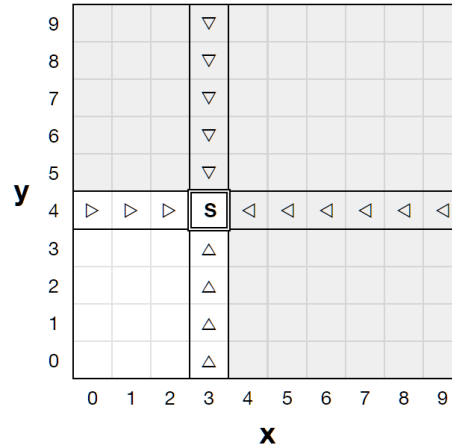
Hence, "clear" is $O(mn)$ as $f(m, n) \leq cg(m, n)$ when $c = 6$, $m_0, n_0 = 1$ and $g(m, n) = mn$.

4. The UQ water well company has marked out an $n \times n$ grid on a plot of land, in which their hydrologists know exactly one square has a suitable water source for a water well. They have access to a drill, which uses drill bits and can test one square at a time. Now, all they need is a strategy to find this water source.

Let the square containing the water source be (s_x, s_y) . After drilling in a square (x, y) , certain things can happen depending on where you drilled.

- If $x > s_x$ or $y > s_y$, then the drill bit breaks and must be replaced.
- If $x = s_x$ or $y = s_y$, the hydrologists can determine which direction the water source is in.

Note that both the above events can happen at the same time. Below is an example with $n = 10$ and $(s_x, s_y) = (3, 4)$. The water source is marked with **S**. Drilling in a shaded square will break the drill bit, and drilling in a square with a triangle will reveal the direction.



- (a) (3 marks) The UQ water well company have decided to hire you - an algorithms expert - to devise a algorithm to find the water source as efficiently as possible.

Describe (you may do this in words, but with sufficient detail) an algorithm to solve the problem of finding the water source, assuming you can break as many drill bits as you want. Provide a Big-O bound on the number of holes you need to drill to find it with your algorithm. Your algorithm should be as efficient as possible for full marks.

You may consult the hydrologists after any drill (and with a constant time complexity cost to do so) to see if the source is in the drilled row or column, and if so which direction the water source is in.

(Hint: A linear time algorithm is not efficient enough for full marks.)

Solution:

It is possible to use a divide and conquer approach with two parts to identify the water source.

The first part of the algorithm can be called as a helper function that takes integers m and n - the range currently being searched. This helper searches for the column and/or row the source is in by scanning diagonally across the grid using a similar approach to binary search. It will start at the square $(n/2, n/2)$ if n is even or $((n-1)/2, (n-1)/2)$ if n is odd. This square is drilled and the hydrologists consulted. If the square drilled is in the same row or column as the water source then the square's coordinates are returned.

Otherwise, if the square is not in the same row or column as the water source and if the drill bit is broken by the drilling, the function is recursively called with $(0, n/2)$ or $(0, (n-1)/2)$ for even and odd values respectively. If the drill bit is not broken by the drill then the function is recursively called with $((n-1)/2, n)$.

The second part of the algorithm occurs after the helper function of the first part has returned a coordinate. In the second part of the algorithm a helper function uses the hydrologists consultation to binary search along the row or column identified in the first helper. The helper function takes two values First the hydrologists are consulted to see which direction the water source is in.

This algorithm drills $\log(n)$ holes for the first helper and $\log(n)$ holes for the second helper where n is the height and width as defined in the question and also the length of the diagonal (since $\sqrt{n^2} = n$). This is because both sub-algorithms halve the potential search space on each of their recursive calls. Hence, the overall number of holes drilled can be said to be $2\log(n) + a$ where n is as defined in the question and a is a constant representing any primitive operations called as initialisation and between the two helpers. As such, it can be said that this algorithm is $O(\log(n))$.

- (b) (5 marks) The company, impressed with the drilling efficiency of your algorithm, assigns you to another $n \times n$ grid, which also has a water source you need to help find. However, due to budget cuts, this time you can only break 2 drill bits (at most) before finding the source. (Note that you are able to use a 3rd drill bit, but are not allowed to ever break it).

Write **pseudocode** for an algorithm to find the source while breaking at most 2 drill bits, and give a tight Big-O bound on the number of squares drilled (in the worst case). If you use external function calls (e.g. to consult the hydrologist, or to see if the cell you drilled is the source) you should define these, their parameters, and their return values.

Your algorithm's time complexity should be as efficient as possible in order to receive marks. (Hint: A linear time algorithm is not efficient enough for full marks.)

Solution: