

Protecting and improving the nation's health

Technical summary

Public Health England data series on deaths in people with COVID-19

Updated 1 June 2020 for the incorporation of pillar 2 testing data

Updated 23 June 2020 to update data linkage procedure (Section 4.4)

Updated 12 August 2020 for the changes to measures (Section 5)

About Public Health England

Public Health England exists to protect and improve the nation's health and wellbeing and reduce health inequalities. We do this through world-leading science, research, knowledge and intelligence, advocacy, partnerships and the delivery of specialist public health services. We are an executive agency of the Department of Health and Social Care, and a distinct delivery organisation with operational autonomy. We provide government, local government, the NHS, Parliament, industry and the public with evidence-based professional, scientific and delivery expertise and support.

Public Health England Wellington House 133-155 Waterloo Road London SE1 8UG

Tel: 020 7654 8000 www.gov.uk/phe Twitter: @PHE uk

Facebook: www.facebook.com/PublicHealthEngland

Prepared by: COVID-19 EpiCell

For gueries relating to this document, please contact: phe.enguiries@phe.gov.uk



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1. Summary

- a. Monitoring the number of deaths in people with COVID-19 is a vital part of tracking the pandemic. Public Health England (PHE) has developed a data series that collates reports from multiple sources to give a daily number of deaths in people with a positive COVID-19 test (polymerase chain-reaction, or PCR, detecting the Severe Acute Respiratory Syndrome Coronavirus 2, or SARS-CoV-2) in England, regardless of where they died.
- b. There are 2 definitions of a death in a person with COVID-19 in England, one broader measure and one measure reflecting current trends:
 - 1) A death in a person with a laboratory-confirmed positive COVID-19 test **and** either died within 60 days of the first specimen date **or** died more than 60 days after the first specimen date, only if COVID-19 is mentioned on the death certificate
 - 2) A death in a person with a laboratory-confirmed positive COVID-19 test **and** died within (equal to or less than) 28 days of the first positive specimen date
- c. PHE combines data from 4 different sources on a daily basis:
 - i. Deaths occurring in hospitals, notified to NHS England by NHS trusts
 - ii. Deaths notified to local PHE Health Protection Teams in the course of outbreak management
 - iii. Laboratory reports where a person has had a laboratory confirmed COVID-19 test linked to death reports from electronic hospital records; from 1 June this includes laboratory reports from both Pillar 1 and Pillar 2 testing:
 - i. Pillar 1: swab testing in PHE labs and NHS hospitals for those with a clinical need, and health and care workers
 - ii. Pillar 2: swab testing for the wider population, as set out in government guidance.
 - Office for National Statistics (ONS) death registrations which can be linked to laboratory confirmed COVID-19 tests.
- d. The PHE data series includes deaths in anyone with laboratory confirmed COVID-19, including those who die outside of hospital settings. It aims to be a timely and complete measure by combining information from multiple sources.
- e. Death data are checked for errors and a semi-automated program is run to match records and ensure as far as possible a person who died is not counted twice across different reporting systems.
- f. On 29 April 2020, PHE commenced daily reporting of deaths in people with COVID-19, including people who died at any point following a positive test because the duration and sequelae of this new and emerging disease was poorly

understood, and any other approach risked producing an underestimate of COVID-19 deaths. PHE has since undertaken an assessment of different time limits following the positive test result on the mortality series which has undergone external statistical peer review.

g. The PHE data series is not designed to provide definitive information on the causal role of COVID-19 in relation to individual deaths. The weekly publication of deaths statistical bulletin from the Office of National Statistics includes all deaths where COVID-19 is recorded on the death certificate, regardless of whether a laboratory result is available or not.

2. Background

Monitoring the number of deaths due to COVID-19 is a vital part of tracking the pandemic. It is critical to ensure death data are as accurate, comprehensive and timely as possible.

Public Health England (PHE) has developed a methodology that links data from 4 sources to provide broad coverage of deaths among people with a confirmed COVID-19 laboratory test, whether they occurred in hospitals, care homes or the wider community. The purpose of this reporting is to fulfil a need to rapidly report numbers of deaths each day, balancing the need for:

- understanding the overall burden and societal impact of COVID-19 and characterising clinical outcomes and demographics of those infected
- real-time surveillance of immediate trends in mortality and underlying transmission

3. Aims

This paper explains the process for reporting deaths and describes the advantages and limitations of the reporting method. It provides an explanation of how to interpret the PHE COVID-19 death data series, explains changes made to the data series since reporting first commenced, and sets out answers to frequently asked questions.

4. Outline of PHE data series

or

4.1 Definition of COVID-19 related deaths

There are 2 definitions of a death in a person with COVID-19 in England, one broader measure and one measure reflecting current trends:

1) A death in a person with a laboratory-confirmed positive COVID-19 and either: died within (equal to or less than) 60 days of the first specimen date

died more than 60 days after the first specimen date, only if COVID-19 is mentioned on the death certificate

2) A death in a person with a laboratory-confirmed positive COVID-19 test **and** died within (equal to or less than) 28 days of the first positive specimen date.

The daily number represents new deaths reported to PHE in the 24 hours up to 5pm the previous day. Report date does not necessarily equate to date of death as it may take up to a week for deaths to be reported to PHE.

The PHE data series does not include deaths where COVID-19 is mentioned on the death certificate but a laboratory test was not carried out or failed. All deaths with a positive specimen (including at post-mortem) are counted regardless of the cause of death, and then restricted based on the time frames listed above. This aims to provide the most comprehensive measure of mortality burden possible.

4.2 Data sources and processing

Public Health England receives reports of death from 4 sources:

- Deaths occurring in hospitals, notified to NHS England by NHS trusts using the COVID-19 Patient Notification System (CPNS) (previously the source of daily COVID-19 deaths in England before 29 April 2020)
- b. Deaths with a confirmed COVID-19 test, notified to PHE Health Protection Teams during outbreak management (primarily in non-hospital settings) and recorded in an electronic reporting system
- c. All people with a laboratory confirmed COVID-19 test reported to PHE via the Second Generation Surveillance System (SGSS) (a centralised repository of laboratory results). This list is submitted on a daily basis to the Demographic Batch Service (DBS) to check NHS patient records for reports of individuals who died in the previous 24 hours in any setting. From 1 June this has included laboratory reports from both Pillar 1 and Pillar 2 testing:
 - i. Pillar 1: swab testing in PHE labs and NHS hospitals for those with a clinical need, and health and care workers
 - ii. Pillar 2: swab testing for the wider population, as set out in government guidance.
- d. Office for National Statistics (ONS) death registrations which can be linked to a laboratory confirmed COVID-19 test. Reported on an 10-day lag.

Data from each source are collected and automated programmes check for errors and avoid deaths being counted twice.

4.3 Quality assurance

Quality assurance is undertaken by PHE using semi-automated programmes, with manual checking before and after processing. This involves sense checking data in relation to key information (for example age at death, date of birth, hospital admission, death report). Data from each source are merged and duplicate reports are removed.

4.4 Data linkage

Multiple records for a single individual are linked principally on NHS number. Records without NHS numbers are linked on a combination of other patient identifying information (PII) such as first name, surname, date of birth and postcode.

On 23 June 2020, an update was made to the data linkage process for laboratory records whereby previously only the PII returned after DBS tracing was used to link records; this was revised to also link on PII that had been sent along with the laboratory specimen. This resulted in an improved linkage rate and 109 historic deaths reported by ONS with COVID-19 listed on the death certificate were then confirmed with a positive laboratory result and reported in the national totals.

4.5 Advantages of the PHE data series

The PHE data series has the following advantages:

- broad coverage by including deaths in anyone diagnosed with COVID-19, including those outside of hospital settings
- timely reporting of deaths: there is on average 3 day time lag between the date of death and the date reported to PHE. Using multiple overlapping data sources, this delay is reduced by approximately 1-2 days
- optimises completeness of hospital reporting by combining information from multiple sources, making it less likely that deaths are missed
- ensures England COVID-19 death reporting is consistent with how deaths are reported in the rest of the UK.

4.6 Limitations of the PHE data series

The PHE data series does not include deaths in people where COVID-19 is suspected but not confirmed by testing (SARS-CoV-2 PCR either negative or not done). Furthermore, the PHE data series does not report cause of death, and as such represents *deaths in people with COVID-19* and not necessarily caused by COVID-19.

5. Including a time limit to the PHE data series

5.1 Background

In April 2020, as the duration and sequelae of this emerging disease was poorly understood, all deaths in people with a positive test at any point were reported to avoid underestimating the impact of COVID-19. This methodology was agreed with the Department of Health and Social Care and is supported by a statement from the World Health Organization (WHO) Regional Office for Europe on 4 June 2020 that "WHO has no defined time-limitation for COVID-19 related deaths. This should be a clinical decision." As time went on, it became necessary to add a time frame from date of positive specimen in order to avoid over-counting background deaths as COVID-19 related.

5.2 Assessment of time limit on the PHE data series

PHE undertook an assessment of the impact of different time limits from the first positive COVID-19 specimen and date of death (with and without mention of COVID-19 on the death certificate) on the mortality series.

Of the 41,598 COVID-19 deaths reported for England up to 3 August 2020, the vast majority (88%) occurred within 28 days of the first positive specimen date; and of these 95% had a mention of COVID-19 on the death certificate. The proportion of deaths that mention COVID-19 on the death certificate decreases with a longer interval between the first positive specimen and date of death: 73.4% for those with an interval of 29-42 days and 48.1% for those with an interval of 43-60 days (Table 1)

Table 1: Proportion of deaths with COVID-19 listed on the death registration by time elapsed between first positive specimen date and death date, England as 3 August 2020

Elapsed time from fist positive specimen date and death date	Total deaths	COVID-19 listed on death registration	Deaths where death registration information available**	% with COVID-19 on the death certificate, where information is available
≤28 days*	36,596	34,182	35,874	95.3%
29-42 days	1,968	1,378	1,878	73.4%
43-60 days	1,246	551	1,145	48.1%
>60 days	1,721	366	1,196	30.6%
Unknown lag	67	16	67	23.9%
Total	41,598	36,493	40,160	90.9%

^{*}Including post-mortem samples

Based on the PHE assessment, it was decided that from 12 August, the PHE data series would be revised to report 2 measures: (1) deaths within 60 days **or** if the death occurred after 60 days, COVID-19 is listed on the death registration and (2) deaths in laboratory-confirmed positive individuals where the death occurred within 28 days. Both measures will be published daily on the GOV.UK dashboard and weekly in the PHE surveillance report.

5.3 Impact of applying a time/clinical restriction to the PHE data series

When the new definition went live on 12 August 2020¹, the number of deaths in people with laboratory-confirmed COVID-19 without a time limit was 42,072. Applying a time limit of 60 days or COVID-19 on the death registration results in in a total of 40,404 deaths being

^{**}These figures exclude 1,438 deaths not linked to a death registration. Over half of these occurred in the previous 3 weeks and therefore are likely to be received after registration delay.

¹ This reflects data reported to PHE as of 17:00 11 August 2020, which was processed for use for announcements on 12 August 2020.

reported; a reduction of 1,668 (4%). Applying a 28-day time limit results in 36,695 deaths being reported; a reduction of 5,377 (12.8%).

Figure 1 and 2 show the change in deaths under the different measures. It is important to note that the deaths excluded under the new measures disproportionately affect more recent weeks (since June 2020) and this change does not significantly affect the overall epidemic curve and trends in death, particularly when COVID-19 deaths were highest in April 2020.

Figure 1. Cumulative deaths by date of report using current methodology (no time limit) and the new measures: time limit of ≤28 days and time limit of ≤60 days or mention of COVID-19 on the death certificate, as 12 August 2020

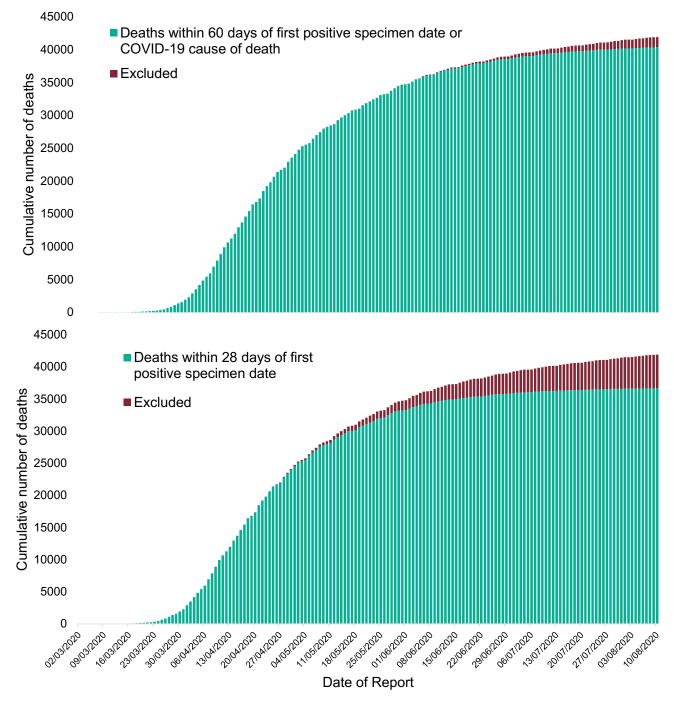
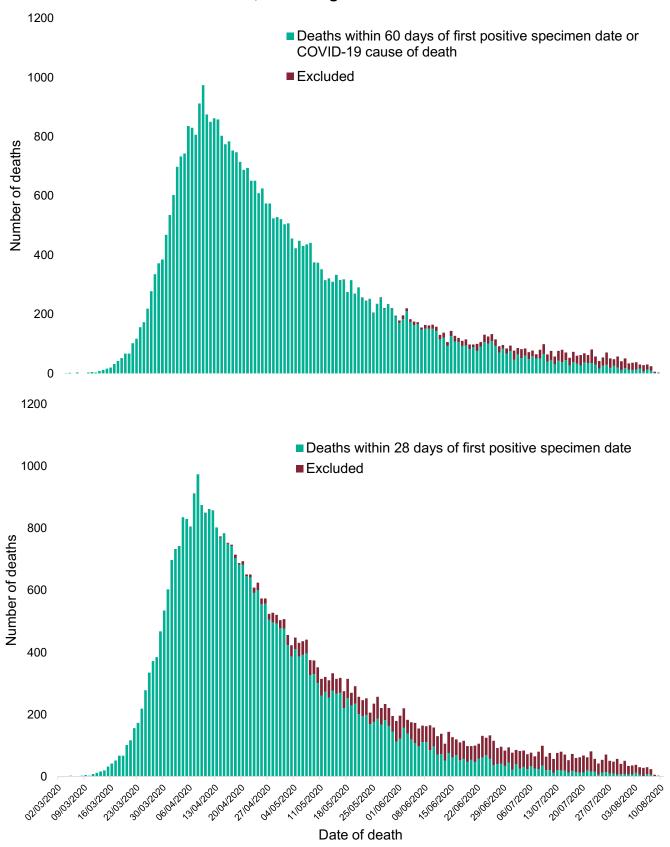


Figure 2. Number of deaths by date of death using current methodology (no time limit) and the new measures: time limit of ≤28 days and time limit of ≤60 days or mention of COVID-19 on the death certificate, as 12 August 2020



5.5 How does the PHE data series compare to the ONS death registrations?

The PHE data series is used to count daily deaths in people with a confirmed COVID-19 test in England. ONS provides a weekly count of all deaths in England and Wales where COVID-19 is recorded on the death certificate (including deaths where COVID-19 was suspected based on symptoms and/or linked to an outbreak, and not limited to laboratory confirmed cases); these are reported on a 10-day lag due to registration delay. ONS death registrations which can be linked to laboratory confirmed COVID-19 tests are included in the PHE data series, but ONS death registrations without laboratory confirmation are not.

Table 2 compares the ratio of weekly deaths reported by the PHE data series under various measures to those published by ONS. The ratio, representing the magnitude of difference between the reported totals, is closest to 1.0 when comparing PHE deaths within 60 days or COVID-19 cause of death. However, the ratio of the 28 days measure to ONS deaths is consistently less than 1.0 each week, indicating a less comprehensive measure but a more timely reflection of current trends.

Table 2: Comparison of reported weekly COVID-19 deaths from PHE and ONS data series, England (ONS data published to 28 July, PHE data published to 3 August)

	ONS deaths (by registration date)	PHE old measure – no time limit (by report date)		60 days + COVID- 19 cause of death (by report date)		Within 28 days (by report date)	
	# of deaths	# of deaths	Ratio	# of deaths	Ratio	# of deaths	Ratio
Week 24 (6-12 June)	1,057	1,135	1.07	1,067	1.01	742	0.70
Week 25 (13-19 June)	744	917	1.23	787	1.06	472	0.63
Week 26 (20-26 June)	574	802	1.4	803	1.40	539	0.94
Week 27 (27 June -3 July)	497	679	1.37	531	1.07	280	0.56
Week 28 (4-10 July)	344	499	1.45	344	1.00	177	0.51
Week 29 (11-17 July)	284	574	2.02	369	1.30	195	0.69
Week 30 (18-24 July)	207	442	2.02	237	1.14	111	0.54

6. Frequently asked questions

1. Where does the mortality data come from and who is included?

These data are collected and combined from data sources: hospitals, local Health Protection Teams and automated laboratory systems. It means we include deaths in anyone with a confirmed COVID-19 test which occur in any setting, including hospitals and care homes. Using multiple sources means it is less likely to underestimate deaths. Data are checked to ensure there is no duplicate counting of a death. This data series only includes people with a confirmed COVID-19 test (SARS-CoV-2 PCR); it does not include deaths in people who had suspected COVID-19 but were not tested by PCR. These deaths will be identified over time through ONS death registrations.

2. Why was the time limit to 28 days changed now?

This is a novel virus and our understanding of it is constantly developing. The period for which those infected are ill appears to be extremely variable, so a cautious approach at the beginning of the pandemic was appropriate. This is also recognised by the World Health Organization (WHO) who have not published any official time limits on defining COVID-19 deaths. PHE has tracked the time between infection and death throughout the epidemic, and there is now improved information to support the new definition.

3. How many deaths have you counted so far that are not COVID-related?

It is vital to make sure that deaths related to COVID-19 are accurately counted so the full burden of the disease can be better understood. A shorter time limit could have caused an underestimation in the number of deaths.

As of 3 August 2020, there were 41,598 deaths identified of which 39,810 (96%) died within 60 days of positive specimen date or had a COVID-19 on their death registration. Of the remainder, some may be related to COVID-19 as it will not include a number of deaths for whom death registration information was not available at the time of reporting.

4. What are the implications of this change?

The number of people counted as having died from COVID-19 will be lower as a result of this review, and the total fatalities will be updated retrospectively to reflect this. It is important to note that this does not significantly affect the trends that have been established. The number of fatalities continues to fall at a similar rate to that established by the previous methodology.

5. Does this mean that deaths have been over-reported up to now?

The way deaths were counted was based on the best available evidence at each stage of the epidemic. A time limit could only be recommended once enough data were available to analyse the time between positive test result and death, cross checking against death registration forms. Implementation of a shorter time limit would have resulted in a substantial

underestimation of deaths. This inaccuracy is probably less consequential than that caused by the relatively small proportion of deaths estimated not to be related to COVID-19.

6. What was the initial justification for not including a time limit?

The World Health Organization (WHO) Regional Office for Europe stated on 4 June 2020, "WHO has no defined time-limitation for COVID-19 related deaths. This should be a clinical decision." In April 2020, when the PHE reporting methodology was agreed with the Department of Health and Social Care, the decision was taken to report all deaths in people with a positive test at any point, because the duration and sequelae of this new and emerging disease was not clearly understood, and any other approach risked underestimating COVID-19 deaths.

Over the last 3 months, PHE has actively monitored the time between a positive COVID-19 specimen and death. In parallel, questions were raised on recovery figures for England, accelerating discussions on the methodologies used for assigning COVID-19 deaths in England, compared to other countries.

7. Why is this methodology no longer suitable?

PHE has monitored the time between positive test and death throughout the epidemic and has planned to revise the definition to include a time limit. In parallel, questions were raised on recovery figures for England, accelerating discussions on the methodologies used for assigning COVID-19 deaths in England, compared to other countries.

8. Does this methodology not mean that deaths will be undercounted from now on?

We are conscious that due to the complex nature of the disease, applying a 28-day time limit could potentially undercount COVID-19 deaths, hence we also report a second measure which includes all deaths who have laboratory-confirmed COVID and died within 60-days or where COVID-19 is mentioned on their death registration regardless of their time to death.

9. Will this be the same methodology used in the devolved administrations? If not, why not?

PHE has been working with our colleagues in the devolved administrations (Northern Ireland, Scotland and Wales) to aim to achieve a unified approach for reporting and monitoring deaths consistently across the UK, and all countries will report the 28 day measure.

10. The number of deaths reported by PHE is already different to that of the ONS. To what extent will this exacerbate the discrepancy?

PHE counts deaths in persons with laboratory-confirmed infections using multiple sources of death to ensure deaths are reported promptly and to minimise any under-counting. ONS uses a different definition – those where the registered medical practitioner has mentioned COVID-19 on the death certificate. These registrations do not require cases to be confirmed by laboratory testing and can be made on clinical suspicion. While there is certainly overlap between the 2

data series, the difference in definitions means they do not definitively measure the same thing and the numbers will always vary. Table 2 in the main text indicates that the 60 day plus COVID-19 cause of death measure is the measure most comparable to the ONS death data series.

Annex 1: Details of data sources included in PHE data series

Data source	Description
NHS England line listing of deaths reported by NHS	This data contains information on deaths of patients who have died in hospitals in England and had tested positive
trusts in the COVID-19	for COVID-19 at the time of death
Patient Notification System	
(CPNS)	Data are reported to NHS England by individual NHS
	Trusts via a web-based reporting system.
Health protection teams	These are deaths reported to Health Protection Teams
(HPTs) reporting deaths	as part of their outbreak management. These are
notified to them (primarily non-hospital settings)	primarily from non-hospital settings such as care homes but can also include other settings.
non-nospital settings)	but can also molder settings.
NHS Demographic Batch	These are reports of deaths among individuals who have
Service tracing of patients	a laboratory confirmed diagnosis of COVID-19, as
with a laboratory confirmed COVID-19 test	recorded in the SGSS dataset (national dataset extracted directly from laboratories). From 1 June this includes
	laboratory reports from both pillar 1 and pillar 2 testing
	i.Pillar 1: swab testing in NHS hospitals for those with a
	clinical need, and health and care workers
	ii.Pillar 2: swab testing for the wider population, as set out in government guidance.
	in government guidance.
	These data are submitted daily to the Demographic
	Batch Service (DBS) to check NHS patient records for
	reports that individuals who died in the previous 24 hours.
	nours.
	These deaths are not limited to specific places of death.
Office for National Statistics	These are deaths where COVID-19 is mentioned on the
(ONS) death registrations	death registration which could be linked to a laboratory
which can be linked to laboratory confirmed COVID-	confirmed COVID-19 test
19 tests.	These deaths are not limited to specific places of death.