

**Problem 1 – Chapter 6 # 1)**

Let  $|\phi_n\rangle$  be the eigenstates of a Hamiltonian  $\hat{H}$  (a hermitian operator). Assume that the  $|\phi_n\rangle$  form a discrete orthonormal basis. Consider the operator  $\hat{U}(m, n)$  defined as

$$\hat{U}(m, n) = |\phi_m\rangle \langle \phi_n|. \quad (1)$$

- (a) Obtain the adjoint  $\hat{U}^\dagger(m, n)$ .
- (b) Evaluate the commutator  $[\hat{H}, \hat{U}(m, n)]$ .
- (c) Show that

$$\hat{U}(m, n)\hat{U}^\dagger(p, q) = \delta_{nq}\hat{U}(m, p). \quad (2)$$

- (d) Calculate the trace of  $\hat{U}(m, n)$ . The trace of an operator  $\hat{A}$  is defined as

$$\text{Tr}(\hat{A}) = \sum_n \langle \phi_n | \hat{A} | \phi_n \rangle. \quad (3)$$

- (e) If  $\hat{A}$  is an operator with matrix elements  $A_{mn} = \langle \phi_m | \hat{A} | \phi_n \rangle$ , show that

$$\hat{A} = \sum_{m,n} A_{mn} \hat{U}(m, n). \quad (4)$$

- (f) Show that

$$A_{pq} = \text{Tr}[\hat{A}\hat{U}^\dagger(p, q)]. \quad (5)$$

(a) The adjoint  $A^\dagger$  is defined such that  $\langle \psi | A^\dagger$  is dual to  $A | \psi \rangle$ . Notice that  $\hat{U}(m, n) | \psi \rangle = |\phi_m\rangle \langle \phi_n | \psi \rangle$  whose dual is  $\langle \psi | \hat{U}^\dagger = \langle \phi_n | \psi \rangle^* \langle \phi_m | = \langle \psi | \phi_n \rangle \langle \phi_m |$ . Thus,  $\hat{U}^\dagger(m, n) = |\phi_n\rangle \langle \phi_m| = \hat{U}(n, m)$ .

- (b) The commutator

$$\begin{aligned} [\hat{H}, \hat{U}(m, n)] &= \hat{H} |\phi_m\rangle \langle \phi_n| - |\phi_m\rangle \langle \phi_n| \hat{H} = E_m |\phi_m\rangle \langle \phi_n| - |\phi_m\rangle \langle \phi_n| E_n \\ &= (E_m - E_n) \hat{U}(m, n). \end{aligned} \quad (6)$$

- (c) Using the fact that  $\{|\phi_n\rangle\}$  is orthonormal

$$\hat{U}(m, n)\hat{U}^\dagger(p, q) = |\phi_m\rangle \langle \phi_n | \phi_q \rangle \langle \phi_p | = \delta_{nq} |\phi_m\rangle \langle \phi_p| = \delta_{nq} \hat{U}(m, p). \quad (7)$$

- (d) The trace of  $\hat{U}(m, n)$  is

$$\text{Tr}(\hat{U}(m, n)) = \sum_k \langle \phi_k | \phi_m \rangle \langle \phi_n | \phi_k \rangle = \sum_k \delta_{km} \delta_{nk} = \delta_{nm}. \quad (8)$$

(e) Since  $\{|\phi_n\rangle\}$  forms an orthonormal basis

$$\hat{A} = \hat{1} \hat{A} \hat{1} = \sum_{n,m} |\phi_m\rangle \underbrace{\langle \phi_m | \hat{A} | \phi_n \rangle}_{A_{mn}} \langle \phi_n | = \sum_{n,m} A_{mn} \hat{U}(m, n). \quad (9)$$

(f) Using the results above, we find

$$\hat{A} \hat{U}^\dagger(p, q) = \sum_{m,n} A_{mn} \hat{U}(m, n) \hat{U}^\dagger(p, q) = \sum_m A_{mq} \hat{U}(m, p) \quad (10)$$

and

$$\text{Tr}(\hat{A} \hat{U}^\dagger(p, q)) = \sum_m A_{mq} \delta_{mp} = A_{pq}. \quad (11)$$

### Problem 2 – Chapter 7 # 4)

Consider the Hamiltonian  $\hat{H}$  of a particle in a one-dimensional problem given by

$$\hat{H} = \frac{\hat{p}^2}{2m} + \hat{V}(\hat{x}), \quad (12)$$

where  $\hat{x}$  and  $\hat{p}$  are the position and momentum operators satisfying the standard commutation relations. Let  $|\phi_n\rangle$  be the eigenstates of  $\hat{H}$  with  $\hat{H} |\phi_n\rangle = E_n |\phi_n\rangle$ , where  $n$  is a discrete index.

(a) By considering the commutator  $[\hat{x}, \hat{H}]$ , show that

$$\langle \phi_m | \hat{p} | \phi_n \rangle = \alpha_{mn} \langle \phi_m | \hat{x} | \phi_n \rangle, \quad (13)$$

where the coefficient  $\alpha_{mn}$  depends on the energy difference  $E_m - E_n$ .

(b) Using the closure relation satisfied by the eigenstates of  $\hat{H}$  and the result above, deduce the following relation (sum rule)

$$\sum_n (E_m - E_n)^2 |\langle \phi_m | \hat{x} | \phi_n \rangle|^2 = \frac{\hbar^2}{m^2} \langle \phi_m | \hat{p}^2 | \phi_m \rangle. \quad (14)$$

(a) Consider the generic operators  $\hat{A}, \hat{B}, \hat{C}$ . The commutator

$$\begin{aligned} [\hat{A}, \hat{B}\hat{C}] &= \hat{A}\hat{B}\hat{C} - \hat{B}\hat{C}\hat{A} \\ &= \hat{A}\hat{B}\hat{C} - \hat{B}\hat{A}\hat{C} + \hat{B}\hat{A}\hat{C} - \hat{B}\hat{C}\hat{A} \\ &= [\hat{A}, \hat{B}]\hat{C} + \hat{B}[\hat{A}, \hat{C}]. \end{aligned} \quad (15)$$

We can use this and the assumption that  $[\hat{x}, \hat{p}] = i\hbar$  to find

$$[\hat{x}, \hat{p}^2] = [\hat{x}, \hat{p}]\hat{p} + \hat{p}[\hat{x}, \hat{p}] = 2i\hbar\hat{p}, \quad (16)$$

and therefore,

$$[\hat{x}, \hat{H}] = \frac{1}{2m}[\hat{x}, \hat{p}^2] + [\hat{x}, \hat{V}(\hat{x})] = \frac{i\hbar}{m}\hat{p}. \quad (17)$$

Thus, the matrix elements of the commutator

$$\langle \phi_m | [\hat{x}, \hat{H}] | \phi_n \rangle = (E_n - E_m) \langle \phi_m | \hat{x} | \phi_n \rangle = \frac{i\hbar}{m} \langle \phi_m | \hat{p} | \phi_n \rangle. \quad (18)$$

Rearranging, we find

$$\langle \phi_m | \hat{p} | \phi_n \rangle = \underbrace{\frac{im}{\hbar}(E_m - E_n)}_{\alpha_{mn}} \langle \phi_m | \hat{x} | \phi_n \rangle. \quad (19)$$

(b) Observe the following:

$$(E_m - E_n)^2 |\langle \phi_m | \hat{x} | \phi_n \rangle|^2 = \frac{\hbar^2}{m} |\langle \phi_m | \hat{p} | \phi_n \rangle|^2. \quad (20)$$

### Problem 3 – Chapter 6 # 13)

Consider a three-dimensional state space. If a certain set of orthonormal kets  $|\phi_1\rangle$ ,  $|\phi_2\rangle$ , and  $|\phi_3\rangle$  are used as the base kets, the operators  $\hat{A}$  and  $\hat{B}$  are represented by

$$A = \begin{pmatrix} a & 0 & 0 \\ 0 & -a & 0 \\ 0 & 0 & -a \end{pmatrix}, \quad B = \begin{pmatrix} b & 0 & 0 \\ 0 & 0 & -ib \\ 0 & ib & 0 \end{pmatrix}, \quad (21)$$

where  $a$  and  $b$  are real.

(a) It is obvious that  $\hat{A}$  has a degenerate spectrum. Is the spectrum of  $\hat{B}$  also degenerate?

(b) Show that  $\hat{A}$  and  $\hat{B}$  commute.

(c) Find a new set of orthonormal kets which are simultaneous eigenstates of both  $\hat{A}$  and  $\hat{B}$ . Specify the eigenvalues of  $\hat{A}$  and  $\hat{B}$  for each of these three eigenstates. Does specifying these eigenvalues uniquely identify the relative common eigenstate? That is, do  $\hat{A}$  and  $\hat{B}$  form a complete set of commuting observables?

(a) Let  $|\phi_1\rangle$  correspond to eigenvalue  $a$  of  $\hat{A}$  and  $|\phi_2\rangle, |\phi_3\rangle$  correspond to  $-a$ . Thus,  $|\phi_1\rangle$  also corresponds to eigenvalue  $b$  of  $\hat{B}$ . The other eigenvalues of  $\hat{B}$  will have eigenvectors which are linear combinations of  $|\phi_2\rangle, |\phi_3\rangle$ , meaning that we only have to diagonalize

$$\begin{pmatrix} 0 & -ib \\ ib & 0 \end{pmatrix}. \quad (22)$$

It should be clear that this matrix has characteristic equation  $\lambda^2 - b^2 = 0$ , which has roots  $\lambda = \pm b$ . Thus,  $\hat{B}$  also has a degenerate spectrum.

(b) Taking the matrix products explicitly, we find

$$AB - BA = \begin{pmatrix} ab & 0 & 0 \\ 0 & 0 & iab \\ 0 & -iab & 0 \end{pmatrix} - \begin{pmatrix} ba & 0 & 0 \\ 0 & 0 & iba \\ 0 & -iba & 0 \end{pmatrix} = 0. \quad (23)$$

Since the mapping between the matrix representation and hilbert space are bijective, the commutation holds for the operators  $\hat{A}$  and  $\hat{B}$  in the hilbert space.

(c) In part (a), we found the spectrum of  $\hat{B}$ . Now, we solve for the eigenvectors in the supspace spanned by  $|\phi_2\rangle$  and  $|\phi_3\rangle$ , which have the general form  $(\alpha_1 \ \alpha_2)^T$ :

$$-ib\alpha_2 = \pm b\alpha_1 \Rightarrow \alpha_2 = \pm i\alpha_1. \quad (24)$$

The eigenvector corresponding to eigenvalue  $\pm b$  is then

$$\frac{1}{\sqrt{2}} \left[ |\phi_2\rangle \pm i |\phi_3\rangle \right]. \quad (25)$$

It should be clear that these eigenvectors of  $\hat{B}$  are still eigenvectors of  $\hat{A}$  corresponding to eigenvalue  $-a$ . Furthermore, the correspondence between eigenvalues and eigenvectors is given by

$$\{a, b\} \leftrightarrow |\phi_1\rangle \quad (26)$$

$$\{-a, b\} \leftrightarrow (|\phi_2\rangle + i |\phi_3\rangle)/\sqrt{2} \quad (27)$$

$$\{-a, -b\} \leftrightarrow (|\phi_2\rangle - i |\phi_3\rangle)/\sqrt{2}. \quad (28)$$

It is obvious then that specifying the eigenvalues of  $\hat{A}$  and  $\hat{B}$  uniquely specifies the simultaneous corresponding eigenvector of  $\hat{A}$  and  $\hat{B}$ , meaning that  $\hat{A}$  and  $\hat{B}$  form a complete set of commuting observables.

#### Problem 4 – Chapter 6 # 14)

A molecule is composed of six identical atoms  $A_1, A_2, \dots, A_6$  which form a regular hexagon. Consider an electron which can be localized on each of the atoms. Denote with  $|\psi_n\rangle$  the state in which the electron is localized on the  $n^{\text{th}}$  atom ( $n = 1, 2, \dots, 6$ ). The electron states will be limited to the space spanned by the  $|\psi_n\rangle$ , assumed to be orthonormal  $\langle\psi_m|\psi_n\rangle = \delta_{mn}$ ; in other words, these six states form a basis.

(a) Define the operator  $\hat{R}$  by the following relations:

$$\hat{R}|\psi_1\rangle = |\psi_2\rangle, \quad \hat{R}|\psi_2\rangle = |\psi_3\rangle, \quad \dots, \quad \hat{R}|\psi_6\rangle = |\psi_1\rangle. \quad (29)$$

Find the eigenvalues and eigenstates of  $\hat{R}$ . Show that the eigenvectors form an orthonormal set (i.e. they form a basis).

(b) Show that the adjoint operator  $\hat{R}^\dagger$  gives

$$\hat{R}^\dagger|\psi_1\rangle = |\psi_6\rangle, \quad \hat{R}^\dagger|\psi_2\rangle = |\psi_1\rangle, \quad \dots, \quad \hat{R}^\dagger|\psi_6\rangle = |\psi_5\rangle. \quad (30)$$

Show that  $\hat{R}$  is unitary.

(c) When the probability of the electron jumping from one site to a contiguous one to the left or right is neglected, its energy is described by the Hamiltonian  $\hat{H}_0$ , whose eigenstates are the six states  $|\psi_n\rangle$ , all with the same eigenvalue  $E_0$ , namely  $\hat{H}_0 |\psi_n\rangle = E_0 |\psi_n\rangle$ . The possibility for the electron to jump from one site to another is modeled by adding the Hamiltonian  $\hat{H}_0$  a perturbation  $\hat{V}$  such that

$$\begin{aligned}\hat{V} |\psi_1\rangle &= -a |\psi_6\rangle - a |\psi_2\rangle, & \hat{V} |\psi_2\rangle &= -a |\psi_1\rangle - a |\psi_3\rangle, & \dots, \\ \hat{V} |\psi_6\rangle &= -a |\psi_5\rangle - a |\psi_1\rangle.\end{aligned}\tag{31}$$

Show that  $\hat{R}$  commutes with the total Hamiltonian  $\hat{H} = \hat{H}_0 + \hat{V}$ . From this deduce the eigenstates and eigenvalues of  $\hat{H}$ . In these eigenstates is the electron localized?

**Hint:** The  $N$  distinct complex roots of  $z^N = 1$  are given by  $z_n = e^{i(2\pi n/N)}$  for  $n = 1, 2, \dots, N$ , and the following identity holds:

$$\sum_{n=0}^N z^n = \frac{1 - z^{N+1}}{1 - z}\tag{32}$$

for complex  $z$ .

(a) The eigen-equation for  $\hat{R}$  is  $\hat{R} |\psi\rangle = r |\psi\rangle$ . It is clear from the definition of  $\hat{R}$  that  $\hat{R}^6 = \hat{1}$ , meaning that

$$\hat{R}^6 |\psi\rangle = \hat{1} |\psi\rangle = r^6 |\psi\rangle \Rightarrow r^6 = 1.\tag{33}$$

The eigenvalues of  $\hat{R}$  are then just the sixth roots of unity:  $\{e^{i\pi/3}, e^{i2\pi/3}, e^{i\pi}, e^{i4\pi/3}, e^{i5\pi/3}, 1\}$ .