CMPSC 465 Data Structures and Algorithms Spring 2022

Instructor: Chunhao Wang

Dynamic Programming

Dynamic Programming

Edit Distance (Textbook Section 6.3)

Running example

$$x = ACGTA$$
 and $y = ATCTG$

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$$A \qquad T \qquad C \qquad T \qquad G$$

А

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G

Т

Α

Running example

$$x = ACGTA$$
 and $y = ATCTG$

A T C T G
0 1 2 3 4 5

A 1 0 \rightarrow 1 2 3 4

C 2 1 1 1 1 2 3

G 3 2 2 2 2 2 2

T 4 3 2 3 3 3 3 3

def EDIT_DISTANCE(x, y):

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 $E(i, 0) = i$;

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| E(i, 0) = i;

| for j = 0, ..., n:
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def EDIT_DISTANCE(x, y): | for i = 0, ..., m: | E(i, 0) = i; | for j = 0, ..., n: | E(0, j) = j;

```
def EDIT_DISTANCE(x, y):
   for i = 0, ..., m:
   E(i,0)=i;
   for j = 0, ..., n:
   E(0,j)=j;
   for i = 1, ..., m:
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def EDIT_DISTANCE(x, y):
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   E(i,0)=i;
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    E(0,j)=j;
   for i = 1, ..., m:
      for j = 1, ..., n:
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def EDIT_DISTANCE(x, y):
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   for j = 0, ..., n:
   E(0,j)=j;
   for i = 1, ..., m:
      for j = 1, ..., n:
         E(i,j) =
           \min\{1+E(i-1,j),1+E(i,j-1),\dim(i,j)+E(i-1,j-1)\};
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   E(i,0)=i;
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Running time: O(mn)

We use an extra table prev to record where each entry of E(i,j) was coming from:

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;

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while i \ge 1 and j \ge 1:
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```
Set i = m, j = n;

while i \ge 1 and j \ge 1:

if \operatorname{prev}(i, j) = (i - 1, j - 1):

\operatorname{print\_back}_{X_i}^{(y_i)};

i = i - 1, j = j - 1;
```

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```
Set i = m, j = n;

while i \ge 1 and j \ge 1:

if \operatorname{prev}(i,j) = (i-1,j-1):

\operatorname{print\_back}\binom{y_i}{x_j};

i = i-1, j = j-1;

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```
Set i = m, i = n:
while i > 1 and j > 1:
    if prev(i, j) = (i - 1, j - 1):
   print_back\binom{y_i}{y_i};
    i = i - 1, j = j - 1;
    if prev(i, j) = (i - 1, j):
    if prev(i, j) = (i, j-):
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Set i = m, i = n:
while i > 1 and j > 1:
    if prev(i, j) = (i - 1, j - 1):
    print_back\binom{y_i}{y_i};
     i = i - 1, j = j - 1;
    if prev(i, j) = (i - 1, j):
       print_back\left(\frac{1}{2}\right);
     i = i - 1;
    if prev(i, j) = (i, j-):
```

Dynamic Programming

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0-1 Knapsack (Textbook Section 6.4)

0-1 Knapsack Problem

A Thief has a backpack with certain capacity. There is a set of items with certain weight and value. **Goal:** pack the backpack with the largest value

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- But it has the optimal substructure property:

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0-1 Knapsack Problem

A Thief has a backpack with certain capacity. There is a set of items with certain weight and value. **Goal:** pack the backpack with the largest value

- Doesn't have the greedy choice property
- But it has the optimal substructure property: Suppose the optimal packing has weight $\leq W$. If we remove item j from it, the remaining packing must be the optimal packing for capacity $W-w_j$ with items excluding j

■ **Subproblem**: K(w,j) — the maximum value achievable using a backpack of capacity w and items $1, \ldots, j$

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$$K(w,j) = \max\{K(w-w_j, j-1) + v_j, K(w, j-1)\}$$

- **Subproblem**: K(w,j) the maximum value achievable using a backpack of capacity w and items $1, \ldots, j$
- Optimal solution: K(W, n)
- Recurrence:

$$K(w,j) = \max\{K(w-w_j, j-1) + v_j, K(w, j-1)\}$$

■ Base case: K(0,j) = 0 for all j and K(w,0) = 0 for all w

def Knapsack(W, w, v):

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def KNAPSACK(W, w, v):
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   for j = 1, ..., n:
       for w = 1, ..., W:
```

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def KNAPSACK(W, w, v):
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       for w = 1, ..., W:
          if w_i > w:
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          K(w,j) = K(w,j-1);
          else:
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Running time: O(nW)

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Running time: O(nW)

Question: is this a polynomial-time algorithm?

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def Knapsack(W, w, v):
   Set K(0, j) = 0, K(w, 0) = 0 for all j, w;
   for j = 1, ..., n:
      for w = 1, ..., W:
         if w_i > w:
         K(w,j) = K(w,j-1);
         else:
          K(w,j) = \max\{K(w-w_j,j-1)+v_j,K(w,j-1)\};
   return K(W, n);
```

Running time: O(nW)

Question: is this a polynomial-time algorithm? No!

Dynamic Programming

Chain matrix multiplication (Textbook Section 6.5)

We have n matrices M_1, M_2, \ldots, M_n

We have n matrices M_1, M_2, \ldots, M_n Need to compute

$$M_1 \cdot M_2 \cdots M_n$$

We have n matrices M_1, M_2, \ldots, M_n

Need to compute

$$M_1 \cdot M_2 \cdot \cdot \cdot M_n$$

The dimensions of these matrices are:

$$M_1 \in \mathbb{R}^{m_0 \times m_1}, M_2 \in \mathbb{R}^{m_1 \times m_2}, \dots, M_n \in \mathbb{R}^{m_{n-1} \times m_n}$$

We have n matrices M_1, M_2, \ldots, M_n

Need to compute

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Recall if $A \in \mathbb{R}^{m \times n}$ and $B \in \mathbb{R}^{n \times p}$ then the cost for computing $A \cdot B$ is $m \cdot n \cdot p$

We have n matrices M_1, M_2, \ldots, M_n

Need to compute

$$M_1 \cdot M_2 \cdot \cdot \cdot M_n$$

The dimensions of these matrices are:

$$M_1 \in \mathbb{R}^{m_0 \times m_1}, M_2 \in \mathbb{R}^{m_1 \times m_2}, \dots, M_n \in \mathbb{R}^{m_{n-1} \times m_n}$$

Recall if $A \in \mathbb{R}^{m \times n}$ and $B \in \mathbb{R}^{n \times p}$ then the cost for computing $A \cdot B$ is $m \cdot n \cdot p$

Also, matrix multiplication is associative:

$$A \cdot B \cdot C = (A \cdot B) \cdot C = A \cdot (B \cdot C)$$

We have n matrices M_1, M_2, \ldots, M_n

Need to compute

$$M_1 \cdot M_2 \cdot \cdot \cdot M_n$$

The dimensions of these matrices are:

$$M_1 \in \mathbb{R}^{m_0 \times m_1}, M_2 \in \mathbb{R}^{m_1 \times m_2}, \dots, M_n \in \mathbb{R}^{m_{n-1} \times m_n}$$

Recall if $A \in \mathbb{R}^{m \times n}$ and $B \in \mathbb{R}^{n \times p}$ then the cost for computing $A \cdot B$ is $m \cdot n \cdot p$

Also, matrix multiplication is associative:

$$A \cdot B \cdot C = (A \cdot B) \cdot C = A \cdot (B \cdot C)$$

Question: what's the best way for computing $M_1 \cdot M_2 \cdot \cdot \cdot M_n$? i.e., where to put the parentheses?

Consider
$$M_1 \in \mathbb{R}^{50 \times 20}, M_2 \in \mathbb{R}^{20 \times 1}, M_3 \in \mathbb{R}^{1 \times 10}, M_4 = \mathbb{R}^{10 \times 100}$$

Consider
$$M_1 \in \mathbb{R}^{50 \times 20}$$
, $M_2 \in \mathbb{R}^{20 \times 1}$, $M_3 \in \mathbb{R}^{1 \times 10}$, $M_4 = \mathbb{R}^{10 \times 100}$

Consider
$$M_1 \in \mathbb{R}^{50 \times 20}, M_2 \in \mathbb{R}^{20 \times 1}, M_3 \in \mathbb{R}^{1 \times 10}, M_4 = \mathbb{R}^{10 \times 100}$$

There are many ways to do multiplication

 $\bullet M_1 \cdot ((M_2 \cdot M_3) \cdot M_4)$

Consider
$$M_1 \in \mathbb{R}^{50 \times 20}$$
, $M_2 \in \mathbb{R}^{20 \times 1}$, $M_3 \in \mathbb{R}^{1 \times 10}$, $M_4 = \mathbb{R}^{10 \times 100}$

•
$$M_1 \cdot ((M_2 \cdot M_3) \cdot M_4)$$

Cost: $20 \cdot 1 \cdot 10 + 20 \cdot 10 \cdot 100 + 50 \cdot 20 \cdot 100 = 10200$

Consider
$$M_1 \in \mathbb{R}^{50 \times 20}, M_2 \in \mathbb{R}^{20 \times 1}, M_3 \in \mathbb{R}^{1 \times 10}, M_4 = \mathbb{R}^{10 \times 100}$$

- $M_1 \cdot ((M_2 \cdot M_3) \cdot M_4)$ Cost: $20 \cdot 1 \cdot 10 + 20 \cdot 10 \cdot 100 + 50 \cdot 20 \cdot 100 = 10200$
- $\bullet (M_1 \cdot ((M_2 \cdot M_3)) \cdot M_4$

Consider
$$M_1 \in \mathbb{R}^{50 \times 20}, M_2 \in \mathbb{R}^{20 \times 1}, M_3 \in \mathbb{R}^{1 \times 10}, M_4 = \mathbb{R}^{10 \times 100}$$

- $M_1 \cdot ((M_2 \cdot M_3) \cdot M_4)$ Cost: $20 \cdot 1 \cdot 10 + 20 \cdot 10 \cdot 100 + 50 \cdot 20 \cdot 100 = 10200$
- $(M_1 \cdot ((M_2 \cdot M_3)) \cdot M_4$ Cost: $20 \cdot 1 \cdot 10 + 50 \cdot 20 \cdot 10 + 50 \cdot 10 \cdot 100 = 60200$

Consider
$$M_1 \in \mathbb{R}^{50 \times 20}, M_2 \in \mathbb{R}^{20 \times 1}, M_3 \in \mathbb{R}^{1 \times 10}, M_4 = \mathbb{R}^{10 \times 100}$$

- $M_1 \cdot ((M_2 \cdot M_3) \cdot M_4)$ Cost: $20 \cdot 1 \cdot 10 + 20 \cdot 10 \cdot 100 + 50 \cdot 20 \cdot 100 = 10200$
- $(M_1 \cdot ((M_2 \cdot M_3)) \cdot M_4$ Cost: $20 \cdot 1 \cdot 10 + 50 \cdot 20 \cdot 10 + 50 \cdot 10 \cdot 100 = 60200$
- $\bullet (M_1 \cdot M_2) \cdot (M_3 \cdot M_4)$

Consider
$$M_1 \in \mathbb{R}^{50 \times 20}, M_2 \in \mathbb{R}^{20 \times 1}, M_3 \in \mathbb{R}^{1 \times 10}, M_4 = \mathbb{R}^{10 \times 100}$$

- $M_1 \cdot ((M_2 \cdot M_3) \cdot M_4)$ Cost: $20 \cdot 1 \cdot 10 + 20 \cdot 10 \cdot 100 + 50 \cdot 20 \cdot 100 = 10200$
- $(M_1 \cdot ((M_2 \cdot M_3)) \cdot M_4$ Cost: $20 \cdot 1 \cdot 10 + 50 \cdot 20 \cdot 10 + 50 \cdot 10 \cdot 100 = 60200$
- $(M_1 \cdot M_2) \cdot (M_3 \cdot M_4)$ Cost: $50 \cdot 20 \cdot 1 \cdot 10 + 1 \cdot 10 \cdot 100 + 50 \cdot 1 \cdot 100 = 7000$

Consider
$$M_1 \in \mathbb{R}^{50 \times 20}, M_2 \in \mathbb{R}^{20 \times 1}, M_3 \in \mathbb{R}^{1 \times 10}, M_4 = \mathbb{R}^{10 \times 100}$$

There are many ways to do multiplication

- $M_1 \cdot ((M_2 \cdot M_3) \cdot M_4)$ Cost: $20 \cdot 1 \cdot 10 + 20 \cdot 10 \cdot 100 + 50 \cdot 20 \cdot 100 = 10200$
- $(M_1 \cdot ((M_2 \cdot M_3)) \cdot M_4$ Cost: $20 \cdot 1 \cdot 10 + 50 \cdot 20 \cdot 10 + 50 \cdot 10 \cdot 100 = 60200$
- $(M_1 \cdot M_2) \cdot (M_3 \cdot M_4)$ Cost: $50 \cdot 20 \cdot 1 \cdot 10 + 1 \cdot 10 \cdot 100 + 50 \cdot 1 \cdot 100 = 7000$

Goal: find a way to do multiplication with the minimum cost

Dynamic programming

Subproblem:

C(i,j) — the minimum cost for multiplying $M_i, M_{i+1}, \ldots, M_j$

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- Subproblem:
 - C(i,j) the minimum cost for multiplying M_i, M_{i+1}, \dots, M_j
- Recurrence:

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 - C(i,j) the minimum cost for multiplying $M_i, M_{i+1}, \ldots, M_j$
- Recurrence:

$$M_i M_{i+1} \cdots M_k \quad M_{k+1} M_{k+2} \cdots M_j$$

- Subproblem:
 - C(i,j) the minimum cost for multiplying $M_i, M_{i+1}, \ldots, M_j$
- Recurrence:

$$(M_i M_{i+1} \cdots M_k) (M_{k+1} M_{k+2} \cdots M_j)$$

- Subproblem:
 - C(i,j) the minimum cost for multiplying $M_i, M_{i+1}, \ldots, M_j$
- Recurrence:

$$m_{i-1} \times m_i$$
 $m_{k-1} \times m_k$

$$(M_i M_{i+1} \cdots M_k) (M_{k+1} M_{k+2} \cdots M_j)$$

- Subproblem:
 - C(i,j) the minimum cost for multiplying $M_i, M_{i+1}, \ldots, M_j$
- Recurrence:

$$m_{i-1} \times m_i \qquad m_{k-1} \times m_k \ / \ (\underbrace{M_i M_{i+1} \cdots M_k}_{m_{i-1} \times m_k}) (M_{k+1} M_{k+2} \cdots M_j)$$

Subproblem:

C(i,j) — the minimum cost for multiplying $M_i, M_{i+1}, \ldots, M_j$

Recurrence:

$$\underbrace{(\underbrace{M_i M_{i+1} \cdots M_k}_{m_{i-1} \times m_k}) (\underbrace{M_{k+1} M_{k+2} \cdots M_j}_{m_{k} \times m_j})}_{m_{k} \times m_j}$$

Subproblem:

C(i,j) — the minimum cost for multiplying $M_i, M_{i+1}, \ldots, M_j$

Recurrence:

$$\underbrace{\left(\underbrace{M_{i}M_{i+1} \cdots M_{k}}_{m_{i-1} \times m_{k}}\right)\left(\underbrace{M_{k+1}M_{k+2} \cdots M_{j}}_{m_{k} \times m_{j}}\right)}_{m_{k} \times m_{j}}$$

So,
$$C(i,j) = \min_{i \le k < j} \{C(i,k) + C(k+1,j) + m_{i-1} \cdot m_k \cdot m_j\}$$

Subproblem:

C(i,j) — the minimum cost for multiplying $M_i, M_{i+1}, \ldots, M_j$

Recurrence:

$$\begin{aligned} & \underset{i-1}{\underbrace{m_{i-1} \times m_i}} & \underset{m_{k-1} \times m_k}{\underbrace{m_{k-1} \times m_k}} \\ & \underbrace{\left(\underbrace{M_i M_{i+1} \cdots M_k}\right) \left(\underbrace{M_{k+1} M_{k+2} \cdots M_j}\right)}_{m_i \times m_j} \\ & \underbrace{So, \quad C(i,j) = \min_{\substack{i \leq k \leq i}} \left\{C(i,k) + C(k+1,j) + m_{i-1} \cdot m_k \cdot m_j\right\}}_{} \end{aligned}$$

• Base case: C(i, i) = 0

Subproblem:

C(i,j) — the minimum cost for multiplying $M_i, M_{i+1}, \ldots, M_j$

Recurrence:

$$\begin{aligned} & \underset{j < k < i}{\underbrace{m_{i-1} \times m_i}} & \underset{j < k < i}{\underbrace{m_{k-1} \times m_k}} \\ & \underbrace{\left(\underbrace{M_i M_{i+1} \cdots M_k}\right) \left(\underbrace{M_{k+1} M_{k+2} \cdots M_j}\right)}_{m_k \times m_j} \end{aligned}$$
 So,
$$& C(i,j) = \min_{j < k < i} \left\{ C(i,k) + C(k+1,j) + m_{i-1} \cdot m_k \cdot m_j \right\}$$

- Base case: C(i, i) = 0
- Optimal solution: C(1, n)

def Chain_Matrix(m):

```
def CHAIN_MATRIX(m):

for i = 1 \dots n:
```

```
def CHAIN_MATRIX(m):

for i = 1 ... n:

C(i, i) = 0;
```

```
def CHAIN_MATRIX(m):

for i = 1 ... n:

C(i, i) = 0;

for s = 1 ... n - 1:
```

```
def CHAIN_MATRIX(m):

| for i = 1 ... n:
| C(i, i) = 0;
| for s = 1 ... n - 1:
| for i = 1 ... n - s:
```

```
def CHAIN_MATRIX(m):
   for i = 1 ... n:
    C(i,i)=0;
   for s = 1 ... n - 1:
      for i = 1 ... n - s:
      j=i+s;
```

```
def CHAIN_MATRIX(m):

for i = 1 ... n:

C(i, i) = 0;

for s = 1 ... n - 1:

for <math>i = 1 ... n - s:

j = i + s;
C(i, j) = \min_{i \le k < j} \{C(i, k), C(k + 1, j) + m_{i-1} \cdot m_k \cdot m_j\};
```

```
def CHAIN_MATRIX(m):
    for i = 1 ... n:
       C(i,i)=0;
    for s = 1 ... n - 1:
        for i = 1 ... n - s:
       j = i + s;
C(i,j) = \min_{i \le k < j} \{ C(i,k), C(k+1,j) + m_{i-1} \cdot m_k \cdot m_j \};
    return C(1, n);
```

```
def CHAIN_MATRIX(m):
    for i = 1 ... n:
       C(i,i)=0;
    for s = 1 ... n - 1:
        for i = 1 ... n - s:
       j = i + s;
C(i,j) = \min_{i \le k < j} \{ C(i,k), C(k+1,j) + m_{i-1} \cdot m_k \cdot m_j \};
    return C(1, n);
```

Running time:

```
def CHAIN_MATRIX(m):
    for i = 1 ... n:
       C(i,i)=0;
    for s = 1 ... n - 1:
        for i = 1 ... n - s:
       j = i + s;
C(i,j) = \min_{i \le k < j} \{ C(i,k), C(k+1,j) + m_{i-1} \cdot m_k \cdot m_j \};
    return C(1, n);
```

Running time:

 $O(n^2)$ entries to fill; O(n) operations to fill in each entry

```
def CHAIN_MATRIX(m):
    for i = 1 ... n:
       C(i,i)=0;
    for s = 1 ... n - 1:
        for i = 1 ... n - s:
       j = i + s;
C(i,j) = \min_{i \le k < j} \{ C(i,k), C(k+1,j) + m_{i-1} \cdot m_k \cdot m_j \};
    return C(1, n);
```

Running time:

 $O(n^2)$ entries to fill; O(n) operations to fill in each entry

Total running time: $O(n^3)$