

**Instructions:**

- Please log into  
<https://psu.zoom.us/j/95679319901?pwd=aW41MGpIMTdXUUVRSnlkMXMxblNGUT09>  
using your PSU credential.
- If you have a question during the exam, you may ask the Instructor privately via Zoom chat.
- Instructor will announce any major corrections vocally over Zoom.
- Write your solutions by hand. Typed solutions will not be accepted. You may handwrite on a tablet as well.
- **At 2:10pm, you must put your pens down. You have until 2:20 to upload your solutions to Gradescope.**
- You must use a scanning app and not just take pictures.

1. (15+15=30 pts.) Let  $G = (V, E)$  be a graph whose edge weights are positive. A minimum spanning tree of  $G$  is  $T = (V, E')$ ; assume  $G$  and  $T$  are given as adjacency lists. Now, the weight of a particular edge  $e^* \in E$  is modified from  $w(e^*)$  to a new value  $\hat{w}(e^*)$ . We wish to quickly update the minimum spanning tree  $T$  to reflect this change without recomputing the entire tree from scratch. Consider the following two cases. In each case, give a description of an algorithm for updating  $T$ , a proof of correctness, and a runtime analysis for the algorithm. Note that for some of the cases these may be quite brief.

- (a)  $e^* \in E'$  and  $\hat{w}(e^*) < w(e^*)$
- (b)  $e^* \in E'$  and  $\hat{w}(e^*) > w(e^*)$

**Solution**

- (a) **Main Idea:** Do nothing.

**Correctness:**  $T$ 's weight decreases by  $w(e) - \hat{w}(e)$ , and any other spanning tree's weight either stays the same or also decreases by this much, so  $T$  must still be an MST.

**Runtime:** Doing nothing takes  $O(1)$  time.

- (b) **Main Idea:** Delete  $e$  from  $T$ . Now  $T$  has two components,  $A$  and  $B$ . Find the lightest edge with one endpoint in each of  $A$  and  $B$ , and add this edge to  $T$ .

**Correctness:** Every edge besides  $e$  in the MST is a lightest edge in some cut prior to changing  $e$ 's weight, and increasing  $e$ 's weight cannot affect this property. So all edges besides  $e$  are safe to keep in the MST. Then, whatever edge we add is also the lightest edge in the cut  $(A, B)$  and is thus also safe to include in the MST.

**Runtime:** This takes  $O(|V| + |E|)$  time since it might be the case that almost all edges in the graph might one endpoint in both  $A$  and  $B$  and thus almost all edges will be looked at.

2. (5+5+10+5+5 pts.) Suppose you are collecting stamps for a reward. There are  $n$  possible stamps in total and the  $i$ -th stamp has value  $v_i$  and area  $a_i$ . You have a stamp book of total area  $A$ . You are qualified for the reward only if you have collected at least  $K$  different stamps, and amount of the reward is equal to the total value of your stamps. The goal is to construct a dynamic programming algorithm to compute the maximum value for the reward. Answer the following questions. (Note that you can have multiple copies of a stamp.)

- (a) Complete this sentence: “Define the subproblem as follows. Let  $S(a, k, i)$  be the maximum ...”
- (b) Based on the subproblem defined above, what is the maximum value of reward.
- (c) Give a recurrence for computing  $S(a, k, i)$ .
- (d) Give the base cases.
- (e) What is the running time of this dynamic programming algorithm?

### Solution

- (a) Let  $S(a, k, i)$  be the maximum value for having at least  $k$  different stamps among  $1, \dots, i$ , with total area no more than  $a$ .
- (b) the maximum value of reward is obtained by

$$\max_{k: k \geq K} S(A, k, n).$$

- (c) There are three cases regarding item  $i$ 
  - (a) The optimal solution doesn't use  $i$ , then the optimal value is the same as  $S(a, k, i - 1)$
  - (b) The optimal solution uses  $i$  once, then the optimal value is the same as  $S(a - a_i, k - 1, i - 1) + v_i$
  - (c) The optimal solution uses  $i$  more than once. In this case we just take one copy away, and the value is  $S(a - a_i, k, i) + v_i$ , notice we don't decrease  $i$ , since we will (potentially) use  $i$  again, and we don't decrease  $k$  here, since we want to decrease it only once for all copies of stamp  $i$ , and we wait until the last time we use  $i$  to decrease  $k$ .

Thus we have the recurrence  $S(a, k, i) = \max\{S(a, k, i - 1), S(a - a_i, k - 1, i - 1) + v_i, S(a - a_i, k, i) + v_i\}$

- (d) For the above recurrence, we need to deal with three base cases
  - (a)  $a < 0$ : this case violates the weight constraint, we need  $S(a, k, i) = -\infty$
  - (b)  $a \geq 0, i = 0, k = 0$ : We have no more items to choose, so  $S(a, k, i) = 0$
  - (c)  $a \geq 0, i = 0, k > 0$ : this case violates the number of different items constraint, we need  $S(a, k, i) = -\infty$ .

Notice in the base cases, if you have 0 instead of  $-\infty$  for those cases, you may end up with a solution not satisfying the constraints.

- (e) The total running time is  $O(AKn)$  as there are  $O(AKn)$  entries to compute and computing each entry takes  $O(1)$  time.

Alternatively, you can have the recurrence  $S(a, k, i) = \max\{\max_{1 \leq c \leq \lfloor a/a_i \rfloor} \{S(a - ca_i, k - 1, i - 1) + cv_i\}, S(a, k, i - 1)\}$  if you want to make the decision of how many copies of stamp  $i$  to use all at once. You won't need the  $a < 0$  base case then, since your recurrence will never incur  $S(a, k, i)$  for  $a < 0$ .