

## Running example

$x = ACGTA$     and     $y = ATCTG$

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$x = \text{ACGTA}$     and     $y = \text{ATCTG}$

$A$        $T$        $C$        $T$        $G$

$A$

$C$

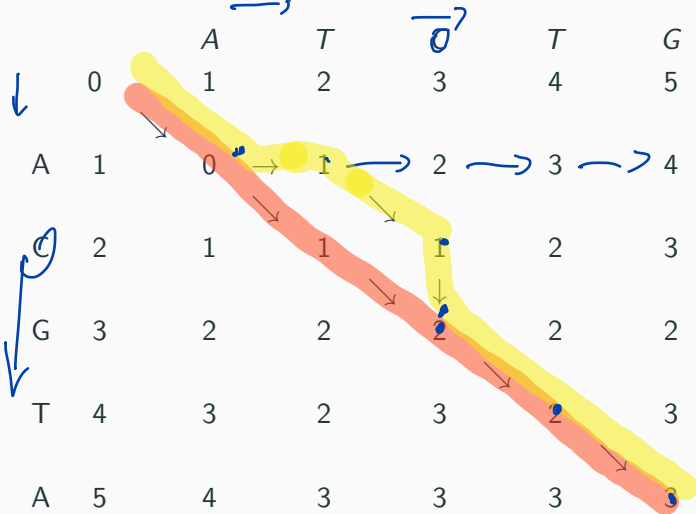
$G$

$T$

$A$

# Running example

$x = \text{ACGTA}$  and  $y = \text{ATCTG}$



$\text{A} \begin{pmatrix} \text{T} \\ \text{C} \end{pmatrix} \text{T} \begin{pmatrix} \text{C} \\ \text{A} \end{pmatrix}$   
 (cost = 3)

$\text{A} \begin{pmatrix} \text{T} \\ - \end{pmatrix} \text{C} \begin{pmatrix} \text{T} \\ \text{G} \end{pmatrix} \text{T} \begin{pmatrix} \text{G} \\ \text{A} \end{pmatrix}$   
 (cost = 3)

# Pseudocode

```
def EDIT_DISTANCE( $x, y$ ):
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    for  $i = 0, \dots, m$ :
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         $E(i, 0) = i$ ;
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    for  $j = 0, \dots, n$ :
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    for  $i = 1, \dots, m$ :
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             $E(i, j) =$   
                 $\min\{1 + E(i - 1, j), 1 + E(i, j - 1), \text{diff}(i, j) + E(i - 1, j - 1)\};$ 
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Running time:  $O(mn)$

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We use an extra table `prev` to record where each entry of  $E(i, j)$  was coming from:

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        └ print\_back( $y_i$ );

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**if** `prev`( $i, j$ ) = ( $i-1, j$ ):

        | print\_back( $\_$ );

**if** `prev`( $i, j$ ) = ( $i, j-$ ):

        |

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**def** PRINT\_ALIGNMENT( $x, y, \text{prev}$ ):

```
    Set  $i = m, j = n$ ;  
    if  $\text{prev}(i, j) = (i-1, j-1)$ :  
        | print_back( $y_i$ );  
    if  $\text{prev}(i, j) = (i-1, j)$ :  
        | print_back( $-$ );  
    if  $\text{prev}(i, j) = (i, j-1)$ :  
        | print_back( $-$ );
```

print\_back  
000  
←

# Dynamic Programming

---

## 0-1 Knapsack (Textbook Section 6.4)



# 0-1 Knapsack

## 0-1 Knapsack Problem

A Thief has a backpack with certain capacity. There is a set of items with certain weight and value. **Goal:** pack the backpack with the largest value

$W$

$1, 2, \dots, n$



$w_j$

$v_j$

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- But it has the optimal substructure property:

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## 0-1 Knapsack Problem

A Thief has a backpack with certain capacity. There is a set of items with certain weight and value. **Goal:** pack the backpack with the largest value

- Doesn't have the greedy choice property
- But it has the optimal substructure property:  
Suppose the optimal packing has weight  $\leq W$ . If we remove item  $j$  from it, the remaining packing must be the optimal packing for capacity  $W - w_j$  with items excluding  $j$

# Subproblem

- **Subproblem:**  $K(w, j)$  — the maximum value achievable using a backpack of capacity  $w$  and items  $1, \dots, j$   $1, \dots, n$

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- **Optimal solution:**  $K(W, n)$

consider  $K(w, j) \leftarrow$

focus on  $j$

case ①:  $j$  is picked  $K(w, j) = K(\overset{w-w_j}{\downarrow} w, j-1) + v_j$

case ②:  $j$  is not picked.  $K(w, j) = K(w, j-1)$

# Subproblem

- **Subproblem:**  $K(w, j)$  — the maximum value achievable using a backpack of capacity  $w$  and items  $1, \dots, j$
- **Optimal solution:**  $K(W, n)$
- **Recurrence:**

$$K(w, j) = \max\{K(w - w_j, j - 1) + v_j, K(w, j - 1)\}$$

Base case:

$$K(0, j) = 0 \leftarrow$$

$$K(w, 0) = 0 \leftarrow$$

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$$K(w, j) = \max\{K(w - w_j, j - 1) + v_j, K(w, j - 1)\}$$

- **Base case:**  $K(0, j) = 0$  for all  $j$  and  $K(w, 0) = 0$  for all  $w$



# Pseudocode

```
def KNAPSACK( $W, w, v$ ):
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        for  $w = 1, \dots, W$ :  
            |  
            |  
            |
```

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        for  $w = 1, \dots, W$ :  
            if  $w_j > w$ :
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                 $K(w, j) = K(w, j - 1);$ 
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            if  $w_j > w$ :  
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            else:  
                |
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    for  $j = 1, \dots, n$ :
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```
        for  $w = 1, \dots, W$ :
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```
            if  $w_j > w$ :
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                 $K(w, j) = K(w, j - 1)$ ;
```

```
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```
                 $K(w, j) = \max\{K(w - w_j, j - 1) + v_j, K(w, j - 1)\}$ ;
```



# Pseudocode

*capacity*  $\downarrow$   $w_1, w_2, \dots, w_n$

```
def KNAPSACK( $W, w, v$ ):  $\rightarrow v_1, v_2, \dots, v_n$   
    Set  $K(0, j) = 0, K(w, 0) = 0$  for all  $j, w$ ;  
    for  $j = 1, \dots, n$ :  
        for  $w = 1, \dots, W$ :  
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    return  $K(W, n)$ ;
```

1. How many entries  $O(Wn)$
2. cost for computing each entry:  $O(1)$

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Running time:  $O(nW)$

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    return  $K(W, n)$ ;
```

represent  $w$ :  $O(\log w)$  bits  
input size

Running time:  $O(nW)$

Question: is this a polynomial-time algorithm? *No*

# Pseudocode

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## Running example

|                   |       |    |    |    |   |
|-------------------|-------|----|----|----|---|
| Example: $W = 10$ | item  | 1  | 2  | 3  | 4 |
|                   | $w_j$ | 6  | 3  | 4  | 2 |
|                   | $v_j$ | 30 | 14 | 16 | 9 |

# Running example

Example:  $W = 10$

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The  $K$  table:

| $w \backslash j$ | 0 | 1  | 2 | 3 | 4 |
|------------------|---|----|---|---|---|
| 0                | 0 | 0  | 0 | 0 | 0 |
| 1                | 0 | 0  |   |   |   |
| 2                | 0 | 0  |   |   |   |
| 3                | 0 | 0  |   |   |   |
| 4                | 0 | 0  |   |   |   |
| 5                | 0 | 0  |   |   |   |
| 6                | 0 | 30 |   |   |   |
| 7                | 0 |    |   |   |   |
| 8                | 0 |    |   |   |   |
| 9                | 0 |    |   |   |   |
| 10               | 0 |    |   |   |   |

if  $w_j > w$ :

$$K(w, j) = K(w, j-1)$$

else:

$$K(w, j) = \max \begin{cases} K(w - w_j, j-1) + v_j \\ K(w, j-1) \end{cases}$$

$6 > 1$

$$K(1, 1) = K(1, 0)$$

$$w_j = 6 \quad w = 6$$

$$K\left(\frac{w - w_j}{0}, 0\right) + v_1$$

$$\begin{aligned} K(w, j-1) &= K(6, 0) \\ &= 0 \end{aligned}$$

## Running example

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|                | 0                | 0 | 0  | 0  | 0  | 0  |
|                | 1                | 0 | 0  | 0  | 0  | 0  |
|                | 2                | 0 | 0  | 0  | 0  | 9  |
|                | 3                | 0 | 0  | 14 | 14 | 14 |
|                | 4                | 0 | 0  | 14 | 16 | 16 |
|                | 5                | 0 | 0  | 14 | 16 | 23 |
|                | 6                | 0 | 30 | 30 | 30 | 30 |
|                | 7                | 0 | 30 | 30 | 30 | 30 |
|                | 8                | 0 | 30 | 30 | 30 | 39 |
|                | 9                | 0 | 30 | 44 | 44 | 44 |
|                | 10               | 0 | 30 | 44 | 46 | 46 |

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| 3                | 0 | 0  | 14 | 14 | 14 |
| 4                | 0 | 0  | 14 | 16 | 16 |
| 5                | 0 | 0  | 14 | 16 | 23 |
| 6                | 0 | 30 | 30 | 30 | 30 |
| 7                | 0 | 30 | 30 | 30 | 30 |
| 8                | 0 | 30 | 30 | 30 | 39 |
| 9                | 0 | 30 | 44 | 44 | 44 |
| 10               | 0 | 30 | 44 | 46 | 46 |

## Running example

|                   |       |    |    |    |   |
|-------------------|-------|----|----|----|---|
| Example: $W = 10$ | item  | 1  | 2  | 3  | 4 |
|                   | $w_j$ | 6  | 3  | 4  | 2 |
|                   | $v_j$ | 30 | 14 | 16 | 9 |

|                |                  |   |    |    |    |    |
|----------------|------------------|---|----|----|----|----|
| The $K$ table: | $w \backslash j$ | 0 | 1  | 2  | 3  | 4  |
|                | 0                | 0 | 0  | 0  | 0  | 0  |
|                | 1                | 0 | 0  | 0  | 0  | 0  |
|                | 2                | 0 | 0  | 0  | 0  | 9  |
|                | 3                | 0 | 0  | 14 | 14 | 14 |
|                | 4                | 0 | 0  | 14 | 16 | 16 |
|                | 5                | 0 | 0  | 14 | 16 | 23 |
|                | 6                | 0 | 30 | 30 | 30 | 30 |
|                | 7                | 0 | 30 | 30 | 30 | 30 |
|                | 8                | 0 | 30 | 30 | 30 | 39 |
|                | 9                | 0 | 30 | 44 | 44 | 44 |
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## Running example

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# Running example

Example:  $W = 10$

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| 3               | 0 | 0  | 14 | 14 | 14 |
| 4               | 0 | 0  | 14 | 16 | 16 |
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# Dynamic Programming

---

Chain matrix multiplication (Textbook  
Section 6.5)

# Chain matrix multiplication

We have  $n$  matrices  $M_1, M_2, \dots, M_n$

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The dimensions of these matrices are:

$$M_1 \in \mathbb{R}^{m_0 \times m_1}, M_2 \in \mathbb{R}^{m_1 \times m_2}, \dots, M_n \in \mathbb{R}^{m_{n-1} \times m_n}$$

$\mathbb{R}^{m \times n}$ : the class of

all matrices of dim.  $m \times n$   
whose each entry is a real  
number.  $\mathbb{R}$ : all the  
real number

$\mathbb{C}$ : all the complex  
numbers

$\mathbb{C}^{m \times n}$



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Recall if  $A \in \mathbb{R}^{m \times n}$  and  $B \in \mathbb{R}^{n \times p}$  then the cost for computing  $A \cdot B$  is  $m \cdot n \cdot p$

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Also, matrix multiplication is associative:

$$A \cdot B \cdot C = (A \cdot B) \cdot C = A \cdot (B \cdot C)$$

**Question:** what's the best way for computing  $M_1 \cdot M_2 \cdots M_n$ ? i.e., where to put the parentheses?

## Example of chain matrix multiplication

Consider  $M_1 \in \mathbb{R}^{50 \times 20}$ ,  $M_2 \in \mathbb{R}^{20 \times 1}$ ,  $M_3 \in \mathbb{R}^{1 \times 10}$ ,  $M_4 = \mathbb{R}^{10 \times 100}$

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There are many ways to do multiplication

$$\blacksquare M_1 \bullet ((M_2 \cdot M_3) \cdot M_4)$$

Handwritten annotations:  $50 \times 20$  above  $M_1$ ,  $20 \times 1$  above  $M_2$ ,  $1 \times 10$  above  $M_3$ , and  $20 \times 100$  below the  $(M_2 \cdot M_3)$  sub-expression.

$$\text{cost: } 20 \times 1 \times 10 + 20 \times 10 \times 100 + 50 \times 20 \times 100$$

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There are many ways to do multiplication

- $M_1 \cdot ((M_2 \cdot M_3) \cdot M_4)$

$$\text{Cost: } 20 \cdot 1 \cdot 10 + 20 \cdot 10 \cdot 100 + 50 \cdot 20 \cdot 100 = 10200$$

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Cost:  $20 \cdot 1 \cdot 10 + 50 \cdot 20 \cdot 10 + 50 \cdot 10 \cdot 100 = 60200$

- $(\overbrace{M_1 \cdot M_2}^{50 \times 1}) \cdot (\overbrace{M_3 \cdot M_4}^{1 \times 100})$

Cost:  $50 \times 20 \times 1 + 1 \times 10 \times 100 + 50 \times 1 \times 100$

## Example of chain matrix multiplication

Consider  $M_1 \in \mathbb{R}^{50 \times 20}$ ,  $M_2 \in \mathbb{R}^{20 \times 1}$ ,  $M_3 \in \mathbb{R}^{1 \times 10}$ ,  $M_4 = \mathbb{R}^{10 \times 100}$

There are many ways to do multiplication

- $M_1 \cdot ((M_2 \cdot M_3) \cdot M_4)$

Cost:  $20 \cdot 1 \cdot 10 + 20 \cdot 10 \cdot 100 + 50 \cdot 20 \cdot 100 = 10200$

- $(M_1 \cdot ((M_2 \cdot M_3))) \cdot M_4$

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- $(M_1 \cdot M_2) \cdot (M_3 \cdot M_4)$

Cost:  $50 \cdot 20 \cdot 1 \cdot 10 + 1 \cdot 10 \cdot 100 + 50 \cdot 1 \cdot 100 = 7000$

# Example of chain matrix multiplication

$$M_1, M_2, \dots, M_n$$



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- $M_1 \cdot ((M_2 \cdot M_3) \cdot M_4)$

Cost:  $20 \cdot 1 \cdot 10 + 20 \cdot 10 \cdot 100 + 50 \cdot 20 \cdot 100 = 10200$

- $(M_1 \cdot ((M_2 \cdot M_3))) \cdot M_4$

Cost:  $20 \cdot 1 \cdot 10 + 50 \cdot 20 \cdot 10 + 50 \cdot 10 \cdot 100 = 60200$

- $(M_1 \cdot M_2) \cdot (M_3 \cdot M_4)$

Cost:  $50 \cdot 20 \cdot 1 \cdot 10 + 1 \cdot 10 \cdot 100 + 50 \cdot 1 \cdot 100 = 7000$

**Goal:** find a way to do multiplication with the minimum cost

Optimal solution:  $C(1, n)$

- **Subproblem:**

$C(i, j)$  — the minimum cost for multiplying  $M_i, M_{i+1}, \dots, M_j$

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$$\left( M_i M_{i+1} \cdots M_k \right) \left( M_{k+1} M_{k+2} \cdots M_j \right)$$

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$$(M_i M_{i+1} \cdots M_k) (M_{k+1} M_{k+2} \cdots M_j)$$



# Dynamic programming

- **Subproblem:**

$C(i, j)$  — the minimum cost for multiplying  $M_i, M_{i+1}, \dots, M_j$

- **Recurrence:**

Diagram illustrating the recurrence relation for matrix chain multiplication. The expression  $(M_i M_{i+1} \cdots M_k) \cdot (M_{k+1} M_{k+2} \cdots M_j)$  is shown, with dimensions  $m_{i-1} \times m_i$  and  $m_{k-1} \times m_k$  labeled above the first part, and  $m_i \times m_k$  labeled below the first part. The second part has dimensions  $m_k \times m_j$  labeled below it. The recurrence relation is given as:

$$C(i, j) = C(i, k) + C(k+1, j) + m_i \cdot m_k \cdot m_j$$

- **Subproblem:**

$C(i, j)$  — the minimum cost for multiplying  $M_i, M_{i+1}, \dots, M_j$

- **Recurrence:**

$$\begin{array}{ccc} m_{i-1} \times m_i & & m_{k-1} \times m_k \\ \downarrow & & \downarrow \\ (M_i M_{i+1} \cdots M_k) & (M_{k+1} M_{k+2} \cdots M_j) \\ \underbrace{\hspace{10em}} & & \\ m_{i-1} \times m_k & & \end{array}$$

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$$\text{So, } C(i, j) = \min_{i \leq k < j} \{C(i, k) + C(k+1, j) + m_{i-1} \cdot m_k \cdot m_j\}$$

- Base case?  $C(i, i) = 0$

# Dynamic programming

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- **Base case:**  $C(i, i) = 0$
- **Optimal solution:**  $C(1, n)$

```
def CHAIN_MATRIX( $m$ ):
```



# Pseudocode

```
def CHAIN_MATRIX( $m$ ):
```

```
    for  $i = 1 \dots n$ :
```

```
        |
```



# Pseudocode

```
def CHAIN_MATRIX( $m$ ):
```

```
    for  $i = 1 \dots n$ :
```

```
         $C(i, i) = 0$ ;
```

# Pseudocode

```
def CHAIN_MATRIX( $m$ ):
```

```
    for  $i = 1 \dots n$ :
```

```
         $C(i, i) = 0$ ;
```

```
    for  $s = 1 \dots n - 1$ :
```

```
        |
```

# Pseudocode

```
def CHAIN_MATRIX( $m$ ):
```

```
    for  $i = 1 \dots n$ :
```

```
         $C(i, i) = 0$ ;
```

```
    for  $s = 1 \dots n - 1$ :
```

```
        for  $i = 1 \dots n - s$ :
```

# Pseudocode

```
def CHAIN_MATRIX( $m$ ):
```

```
    for  $i = 1 \dots n$ :
```

```
         $C(i, i) = 0$ ;
```

```
    for  $s = 1 \dots n - 1$ :
```

```
        for  $i = 1 \dots n - s$ :
```

```
             $j = i + s$ ;
```

# Pseudocode

```
def CHAIN_MATRIX( $m$ ):  
    for  $i = 1 \dots n$ :  
         $C(i, i) = 0$ ;  
    for  $s = 1 \dots n - 1$ :  
        for  $i = 1 \dots n - s$ :  
             $j = i + s$ ;  
             $C(i, j) = \min_{i \leq k < j} \{ C(i, k), C(k + 1, j) + m_{i-1} \cdot m_k \cdot m_j \}$ ;
```

# Pseudocode

```
def CHAIN_MATRIX( $m$ ):
```

```
  for  $i = 1 \dots n$ :
```

```
     $C(i, i) = 0$ ;
```

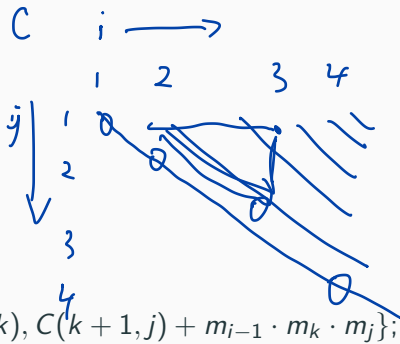
```
  for  $s = 1 \dots n - 1$ :
```

```
    for  $i = 1 \dots n - s$ :
```

```
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```

```
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```

```
  return  $C(1, n)$ ;
```



# Pseudocode

```
def CHAIN_MATRIX( $m$ ):  
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Running time:

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def CHAIN_MATRIX( $m$ ):  
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         $C(i, i) = 0$ ;  
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        for  $i = 1 \dots n - s$ :  
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             $C(i, j) = \min_{i \leq k < j} \{ C(i, k), C(k + 1, j) + m_{i-1} \cdot m_k \cdot m_j \}$ ;  
    return  $C(1, n)$ ;
```

Running time:

$O(n^2)$  entries to fill;  $O(n)$  operations to fill in each entry



# Pseudocode

```
def CHAIN_MATRIX( $m$ ):  
    for  $i = 1 \dots n$ :  
         $C(i, i) = 0$ ;  
    for  $s = 1 \dots n - 1$ :  
        for  $i = 1 \dots n - s$ :  
             $j = i + s$ ;  
             $C(i, j) = \min_{i \leq k < j} \{ C(i, k), C(k + 1, j) + m_{i-1} \cdot m_k \cdot m_j \}$ ;  
    return  $C(1, n)$ ;
```



Running time:

$O(n^2)$  entries to fill;  $O(n)$  operations to fill in each entry

Total running time:  $O(n^3)$