

# Circadian Rhythms and Sleep in Aging

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Vancouver Summer Program

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# Outline

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9:00 – 10:30 AM

**Overview of sleep and circadian rhythms**

10:30 – 10:45 AM

**Break #1**

10:45 – 11:25 AM

**How do sleep and circadian rhythms change with age?**

11:25 – 11:30 AM

**Break #2**

11:30 – 12:00 PM

**Improving sleep and circadian rhythms in older adulthood**

# Overview of Sleep and Circadian Rhythms

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*Defining Sleep Quality*



# Why do we sleep?

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Growth

Restoration and Recovery

Immune Function

Brain Health

- Memory processing
- Consolidation of learning
- Restoration and recovery of neural tissue



# What is the cost of poor sleep?

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Poor sleep quality is linked to

- Obesity
- Increased mortality risk
- Cardiovascular Disease
- Alzheimer's Disease

Animal models of complete sleep loss show progressive neurological and physiological dysfunction:

- Movement disorders
- Increased food intake
- 20% weight loss
- Increased energy expenditure (~2x normal)
- Early death

# What is sleep quality?

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Sleep quality is not easily defined (multi-dimensional construct)

The National Sleep Foundation has defined several different measures of sleep quality:

1. **Sleep Efficiency**: The ratio of time spent sleeping vs. time spent trying to sleep
2. **Sleep Latency**: Length of time (in minutes) it takes to fall asleep
3. **Sleep Duration**: Total time spent sleeping
4. **Awakenings**: Number of times a person wakes after initiating sleep
5. **Wake After Sleep Onset (WASO)**: Time spent awake after sleep has been initiated before final awakening
6. **Sleep Architecture**: The basic structural organization of sleep

***Sleep Fragmentation***

# Practice Question

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William starts trying to sleep at 10 PM (22:00) and gets out of bed the next morning at 6 AM (6:00). During the night, he spends 7.5 hours actually asleep and is awake for 0.5 hours. What is William's:

- 1. Sleep Duration?**
  
  
  
  
  
  
  
  
- 2. Sleep Efficiency?**
  
  
  
  
  
  
  
  
- 3. Wake After Sleep Onset?**

# Answers

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- 1. Sleep Duration= 7.5 hours**
  
  
  
  
  
  
  
  
  
  
  
  
  
  
  
  
  
  
  
- 2. Sleep Efficiency=  $(7.5 \text{ hours spent sleeping}) / (8 \text{ hours spent trying to sleep}) = 93.75\%$**
  
  
  
  
  
  
  
  
  
  
  
  
  
  
  
  
  
  
  
- 3. Wake After Sleep Onset= 0.5 hours**

# Sleep Architecture

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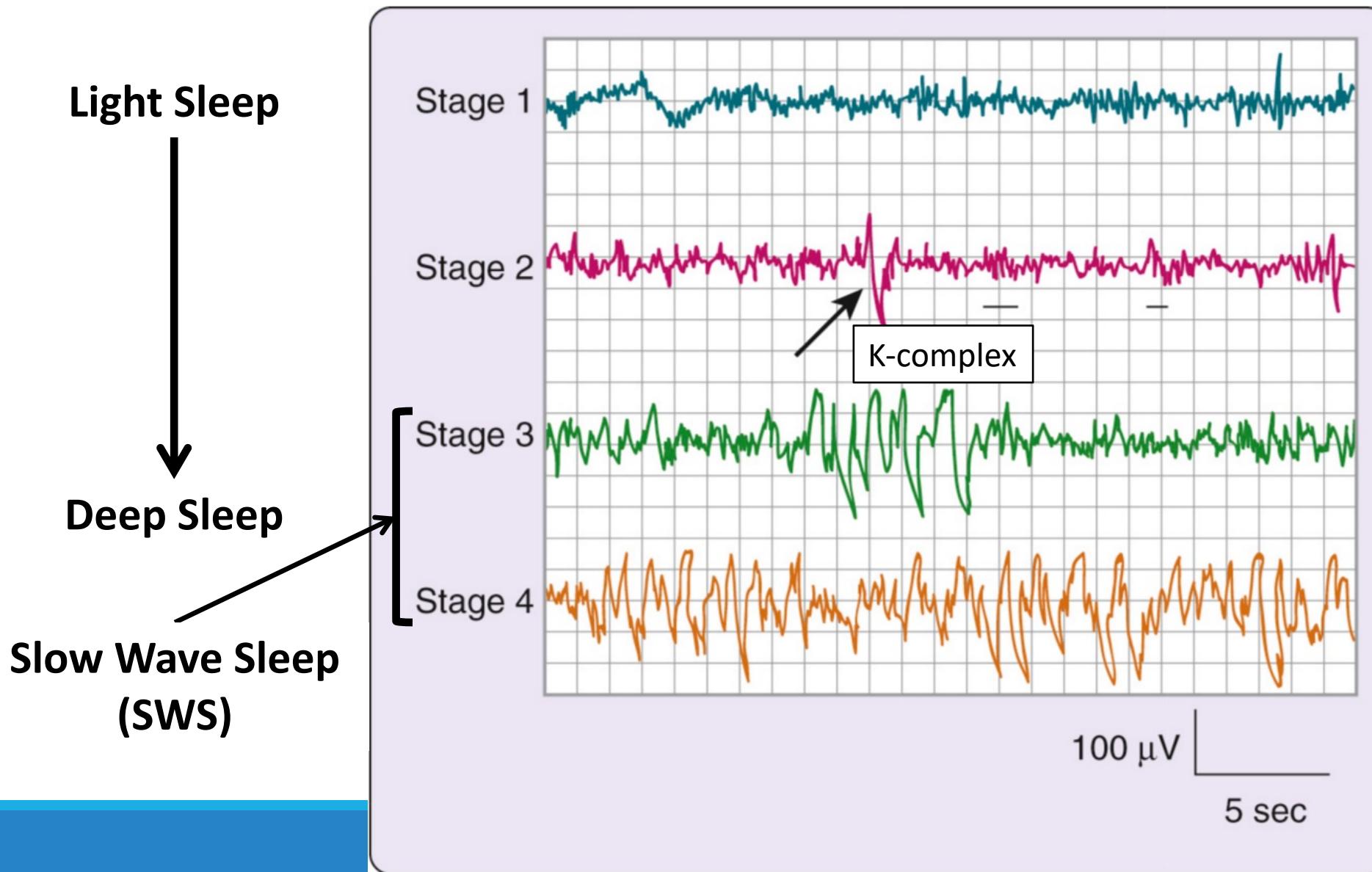
Sleep Architecture is the basic structural organization of sleep

There are 2 structural types of sleep:

- Rapid Eye-Movement (REM) Sleep
- Non-Rapid Eye-Movement (NREM) Sleep

NREM is further divided into stages 1, 2, 3, and 4, representing a continuum of relative depth

# Non-REM Sleep



# REM Sleep

Cortex

Right

Eyes

Left

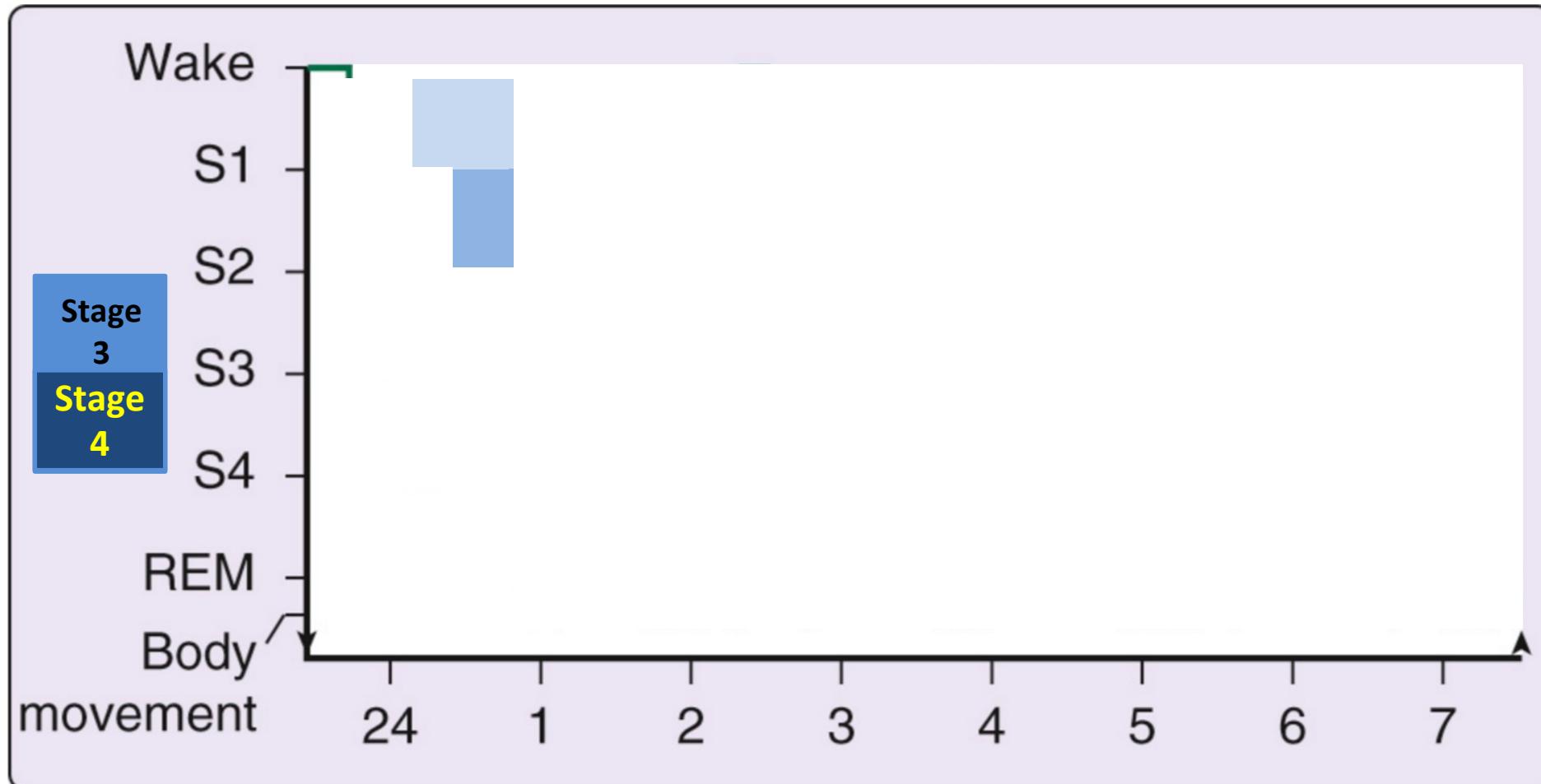
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Citation:

Principles & Practice of Sleep Medicine, p. 16



# Sleep Architecture



# Sleep Architecture Across the Sleep Window

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Stage 1 = 2 to 5% (~30 minutes)

- A transitional stage

Stage 2 = 45 to 55% (~4 hours)

- Dominates NREM in 2nd half of sleep

Slow Wave Sleep (i.e., Stage 3 and 4) = 10 to 20% (~90 minutes)

- Dominates NREM in 1st half of sleep
- Stage 4 is the dominant stage of Slow Wave Sleep

REM = 20 to 25% (~2 hours)

- Dominates the 2nd half of the sleep window

# Measuring Sleep Quality

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## Objective Methods

1. Polysomnography (PSG)- “The Gold Standard”
2. Electrical Encephalography (EEG)
3. Apnea-Hypopnea Index
4. Accelerometry



## Subjective Methods

1. Self-reported sleep quality (e.g., Pittsburgh Sleep Quality Index)
2. Sleep diary



# Measuring Sleep Quality

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It is important to measure sleep quality using **both** objective and subjective methods.

Objective and subjective tools measure different aspects of sleep quality (Landry et al., 2016)

- Objective measures are not strongly associated with subjective measures of sleep quality

| Pittsburgh Sleep Quality Index Measured |                     |               |                  |                |                    |               |             |
|---|---------------------|---------------|------------------|----------------|--------------------|---------------|-------------|
|   |                     | Sleep Latency | Sleep Efficiency | Sleep Duration | Sleep Disturbances | Sleep Quality | Total Score |
| Accelerometer<br>Measured               | Sleep Latency       | 0.21          | 0.03             | 0.04           | -0.02              | 0.02          | 0.08        |
|   | Sleep Efficiency    | -0.11         | -0.03            | 0.07           | 0.08               | -0.18         | -0.09       |
|   | Sleep Duration      | 0.12          | -0.11            | 0.29**         | 0.23**             | -0.1          | 0.03        |
|   | Sleep Fragmentation | 0.05          | -0.01            | 0.02           | -0.03              | 0.05          | 0.02        |

# Practice Question

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1. What is the best method for measuring sleep quality?

- a) Polysomnography
- b) Self-Report
- c) Accelerometry
- d) Sleep-Diary
- e) There is no one best method

# Summary

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Sleep quality is a complex construct and has both *physiological* and *psychological* components

- Multiple different measures of sleep quality

Sleep architecture is the structural organization of sleep (measured by polysomnography)

- NREM Sleep (Stages 1, 2, 3, 4)
- REM Sleep (Dreaming)

Measuring sleep quality requires objective and subjective methods

# Overview of Sleep and Circadian Rhythms

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*Defining Circadian Rhythms*

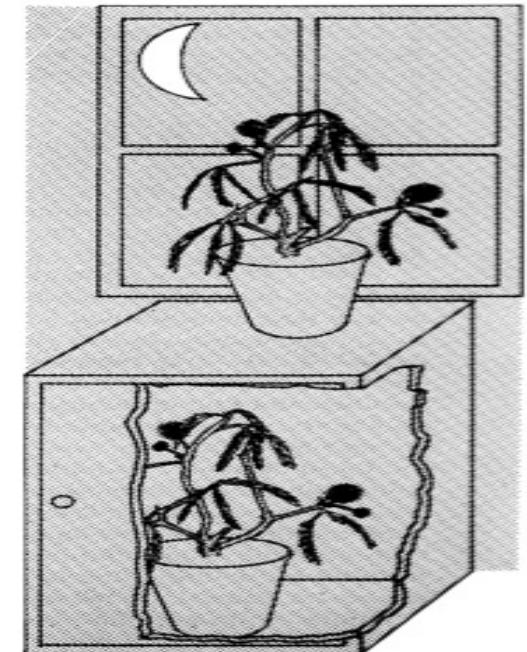
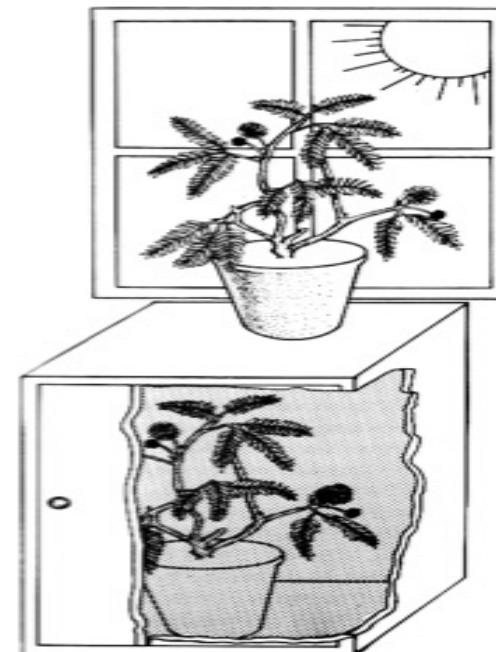
# What are Circadian Rhythms?

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Circadian rhythms are ~24-hour cyclic changes in physiology and behaviour that are governed by various endogenous biological clocks in coordination with the solar light-dark cycle (Golombek & Rosenstein, 2010).

Key features of circadian rhythms:

1. Synchronizing effect of light-dark cycles
2. Persistence of rhythmicity in constant darkness
3. “Negative Masking” by light



# What are Circadian Rhythms?

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Circadian rhythms help to control:

- Sleep-Wake Cycle

- Melatonin

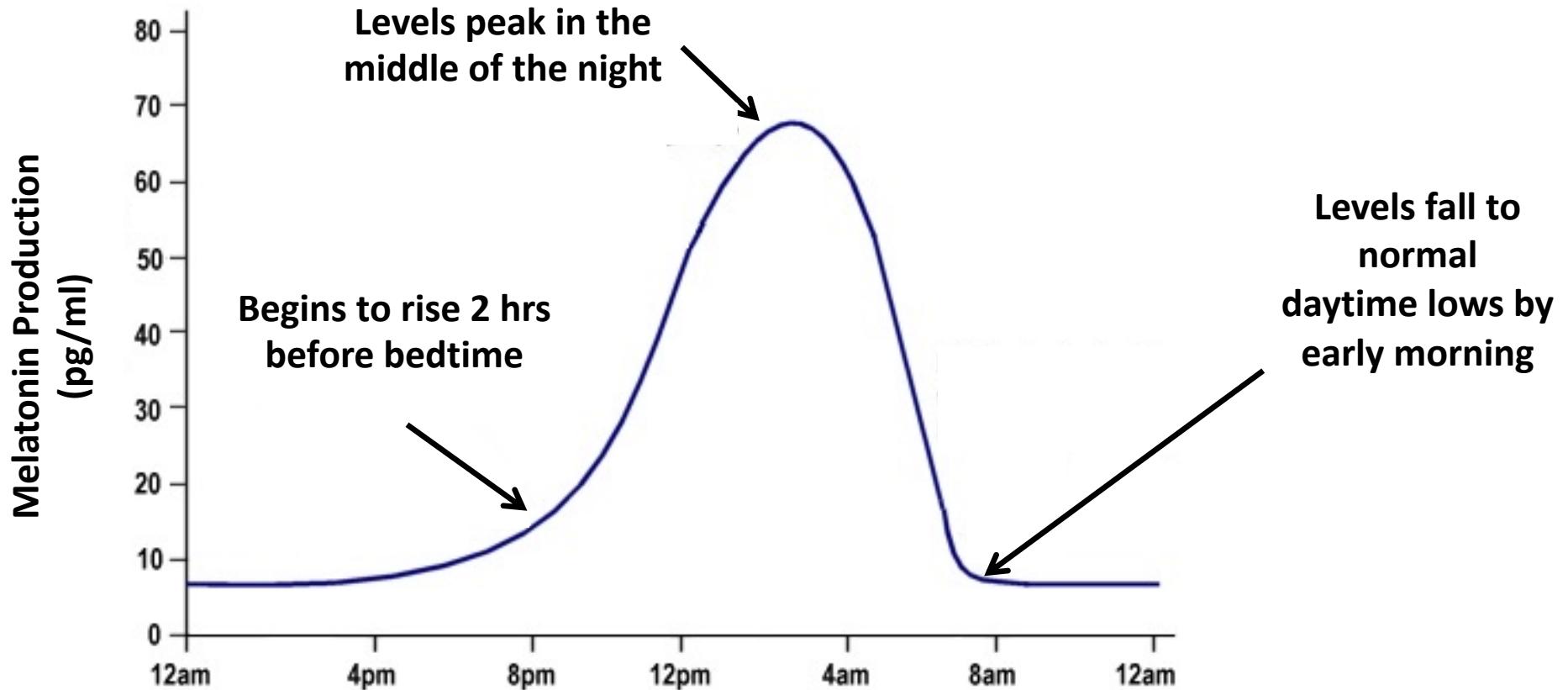
- Hunger

- Body Temperature

- Growth

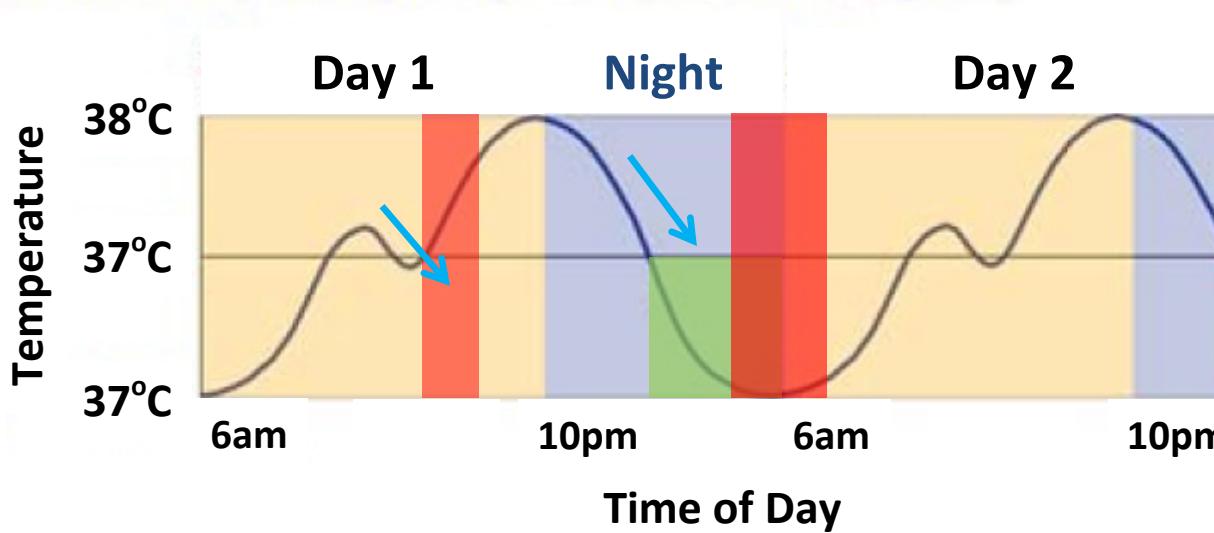
- Cognitive Function

# Melatonin Rhythm



# Body Temperature Rhythm

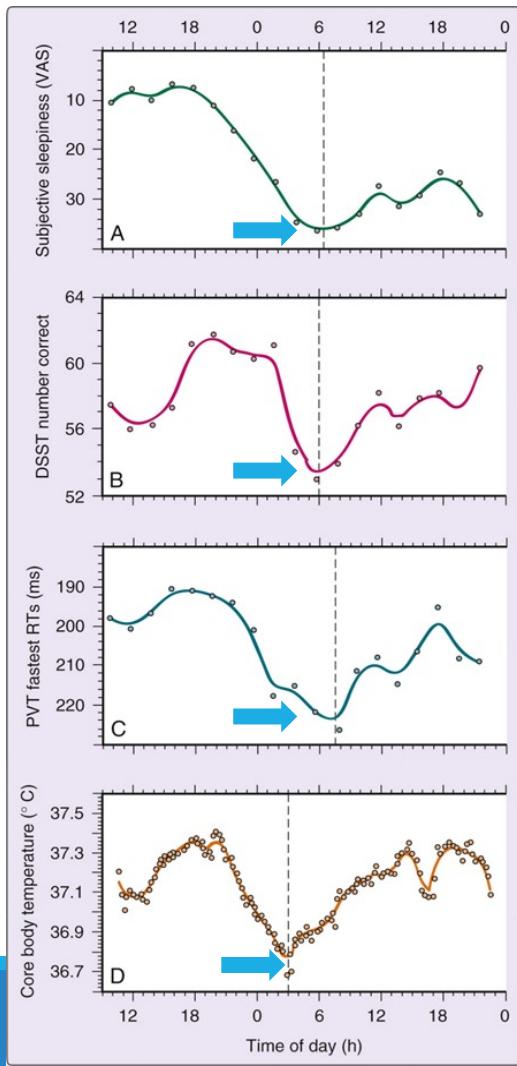
## Circadian Rhythm of Core Body Temperature (CBT)



Core body temperature rhythm is tightly linked to:

- Sleep drive (i.e., the urge to sleep)
- REM sleep (concentrated in the 2nd half)
- Cognitive function (lowest after CBT bottoms out)

# Cognitive Performance Rhythm



## Subjective Sleepiness:

- Increases as CBT decreases
- Peak sleepiness just before habitual wake-time

## Working Memory:

- Peaks just before habitual bedtime
- Lowest point just after the CBT minimum ( $CB_{T\min}$ )

## Attention:

- Peaks just before habitual bedtime
- Lowest point just before habitual wake-time

## Core Body Temperature (CBT):

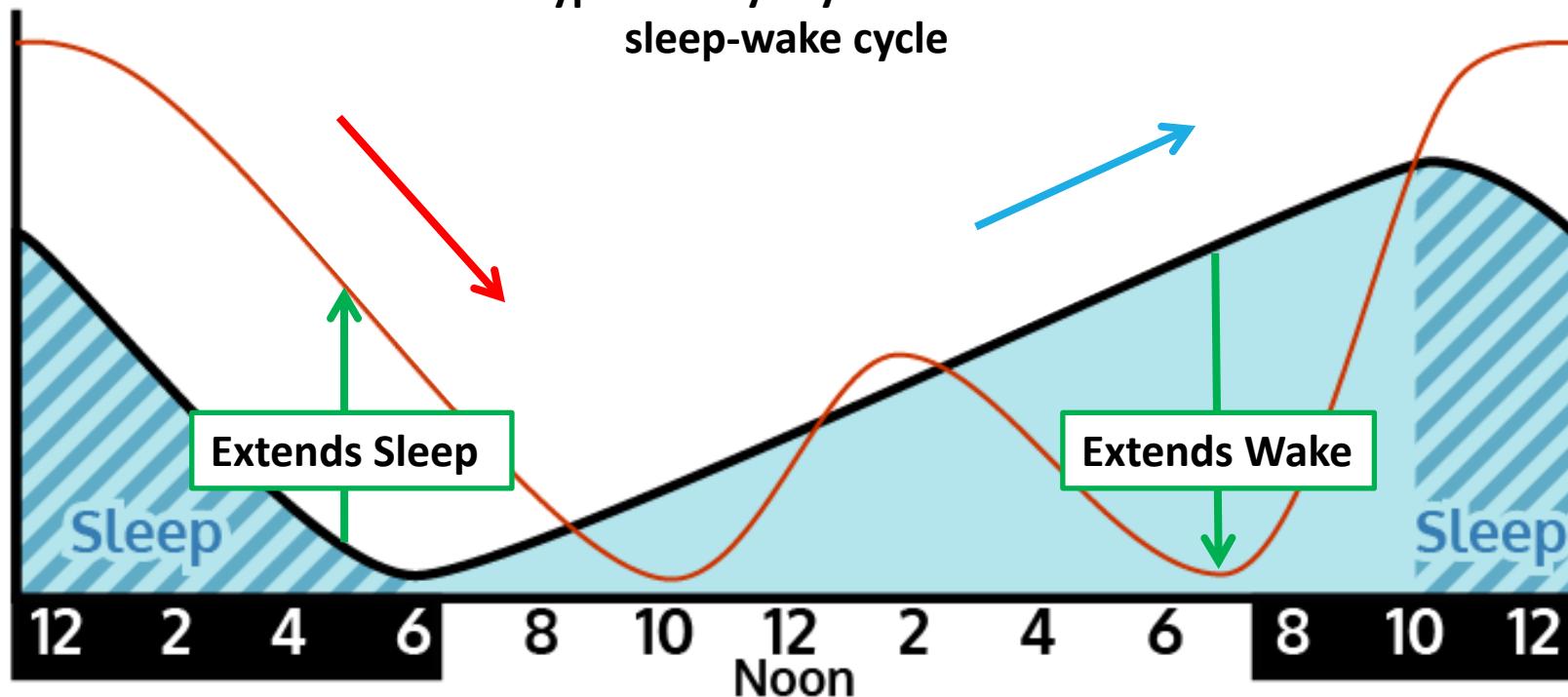
- Peaks just before habitual bedtime
- Lowest point 2-3 hours before habitual wake-time

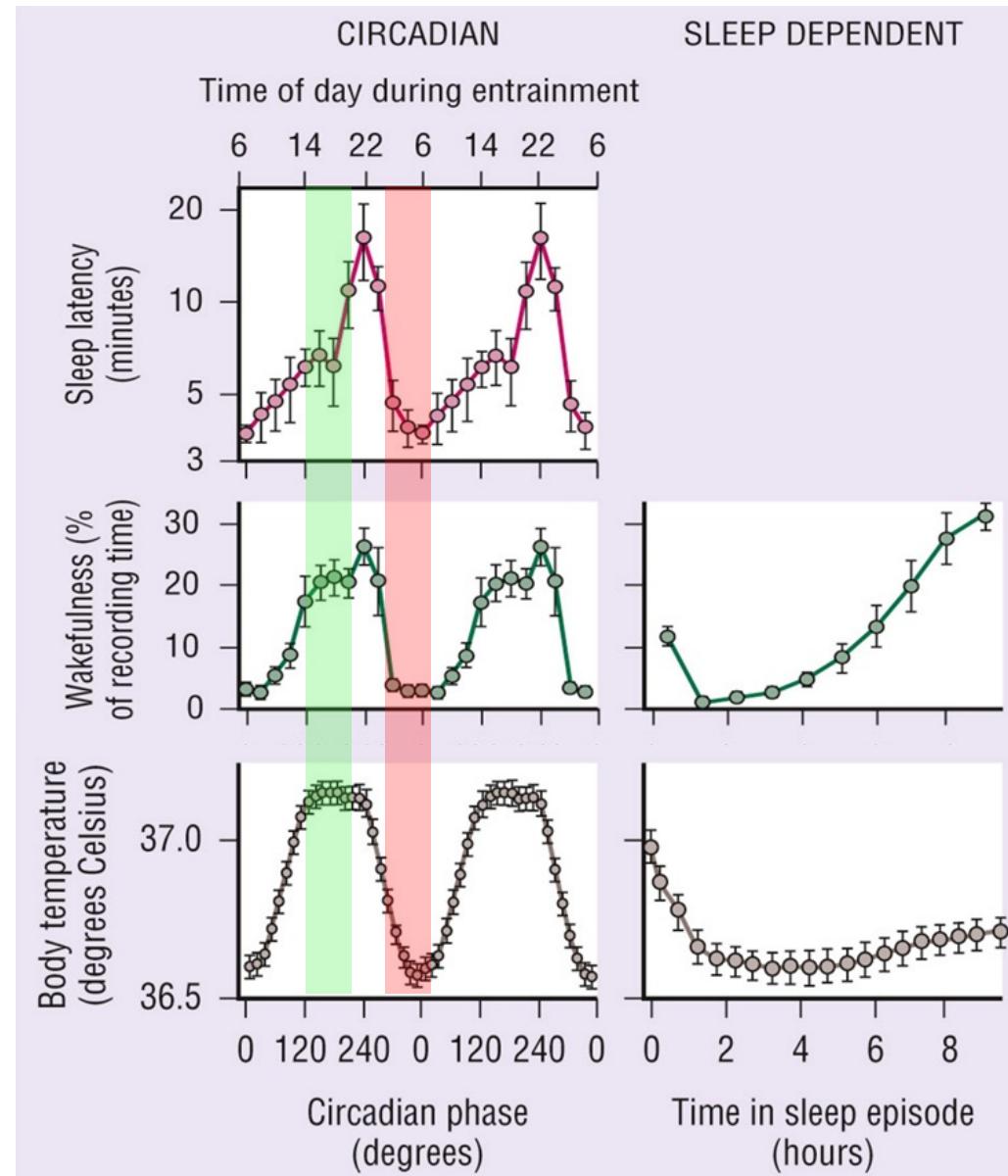
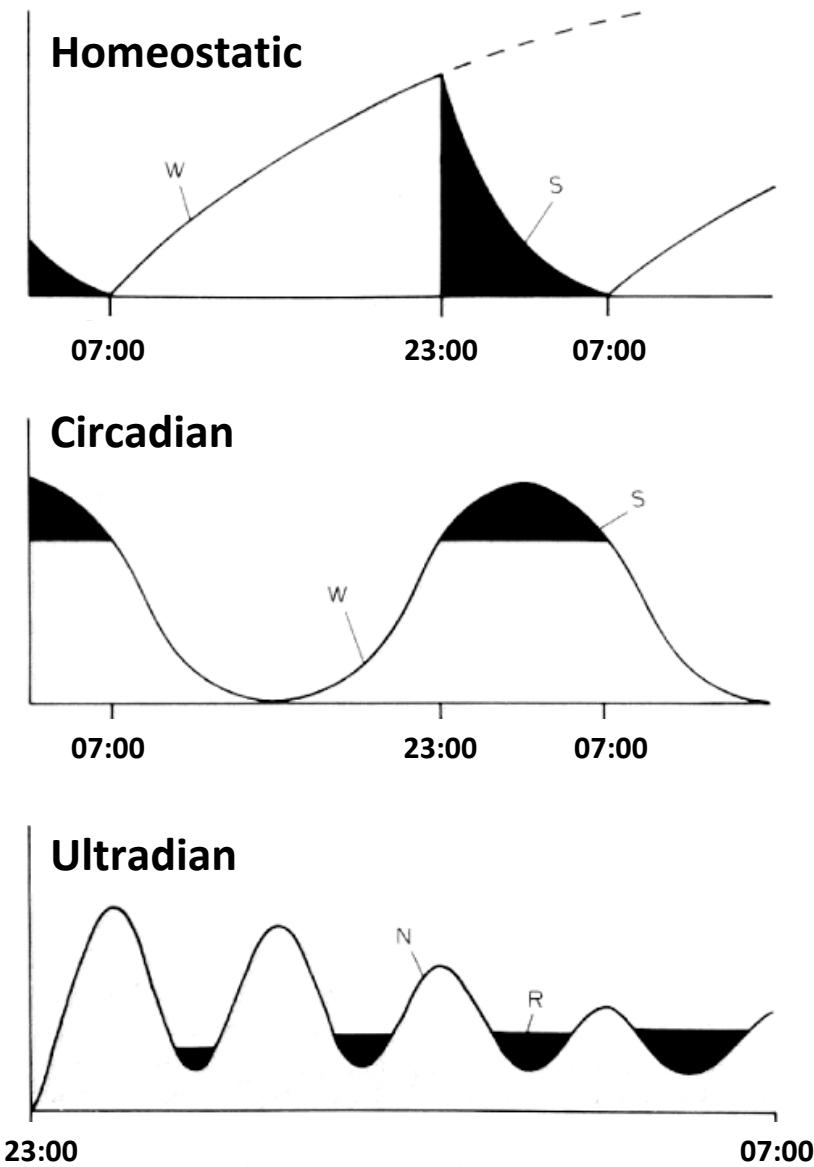
# Sleep-Wake Rhythm

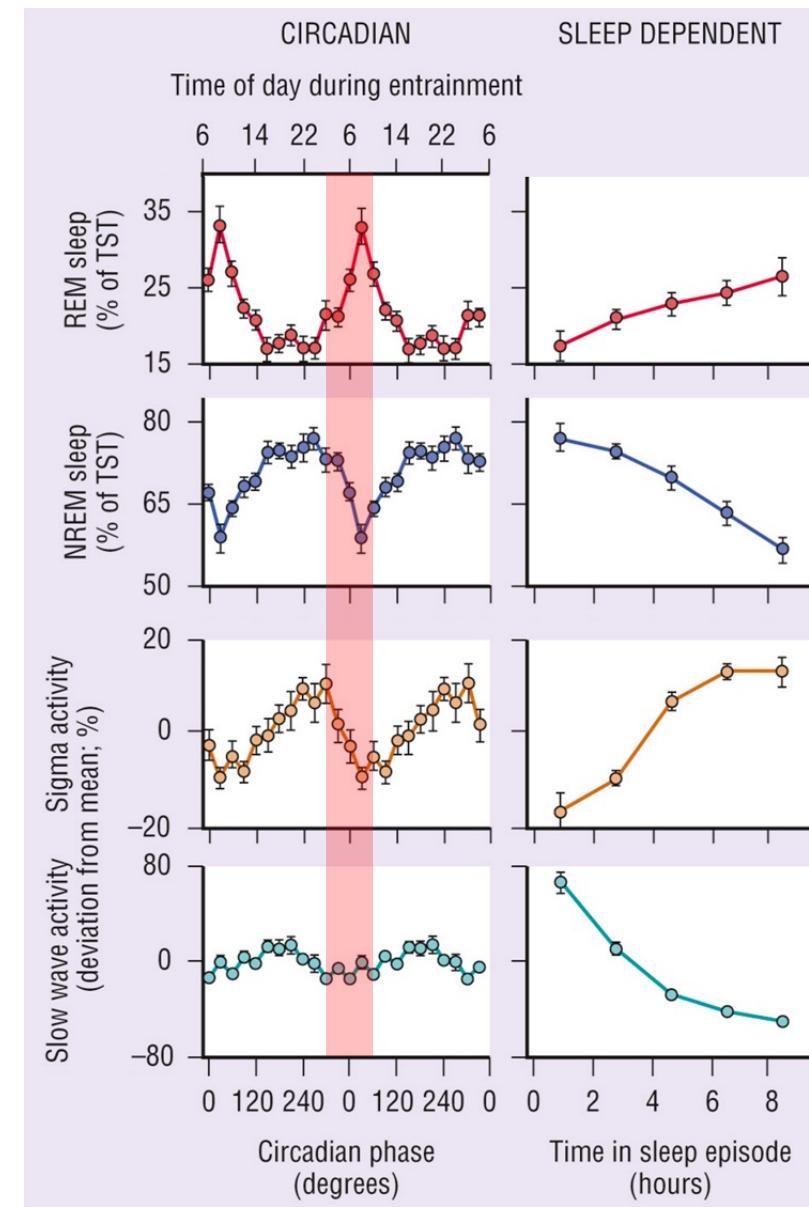
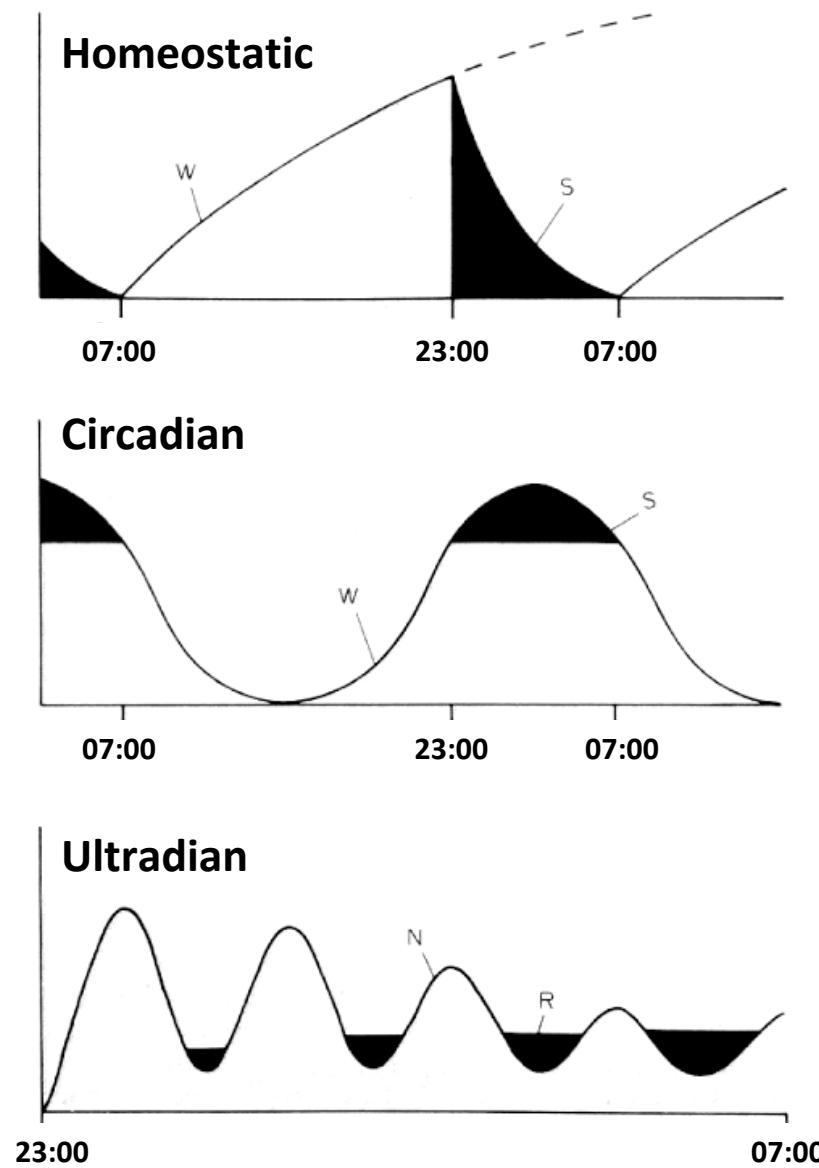
The paradoxical relationship between sleep need and sleep urge:

- Homeostasis drives sleep need
- Circadian regulation drives sleep urge
- Circadian drive opposes homeostasis

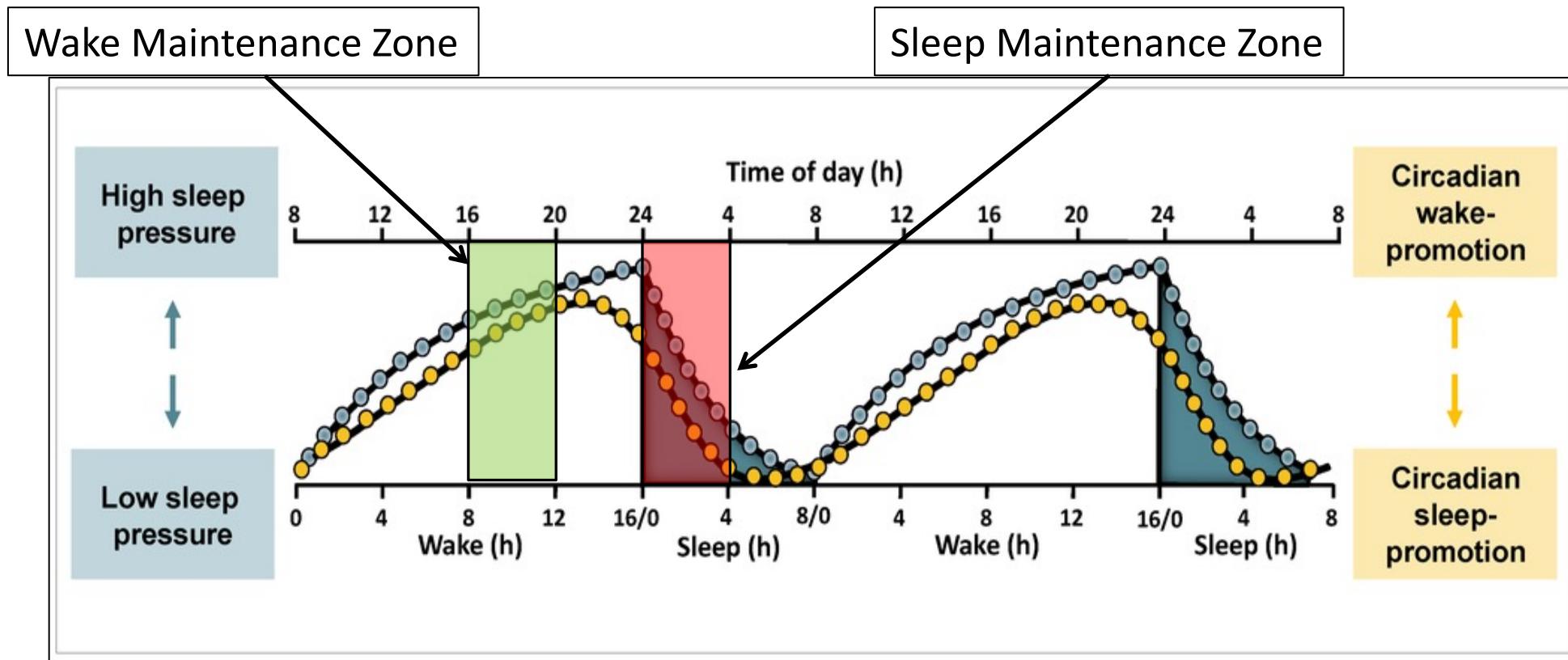
Typical daily rhythm of the sleep-wake cycle





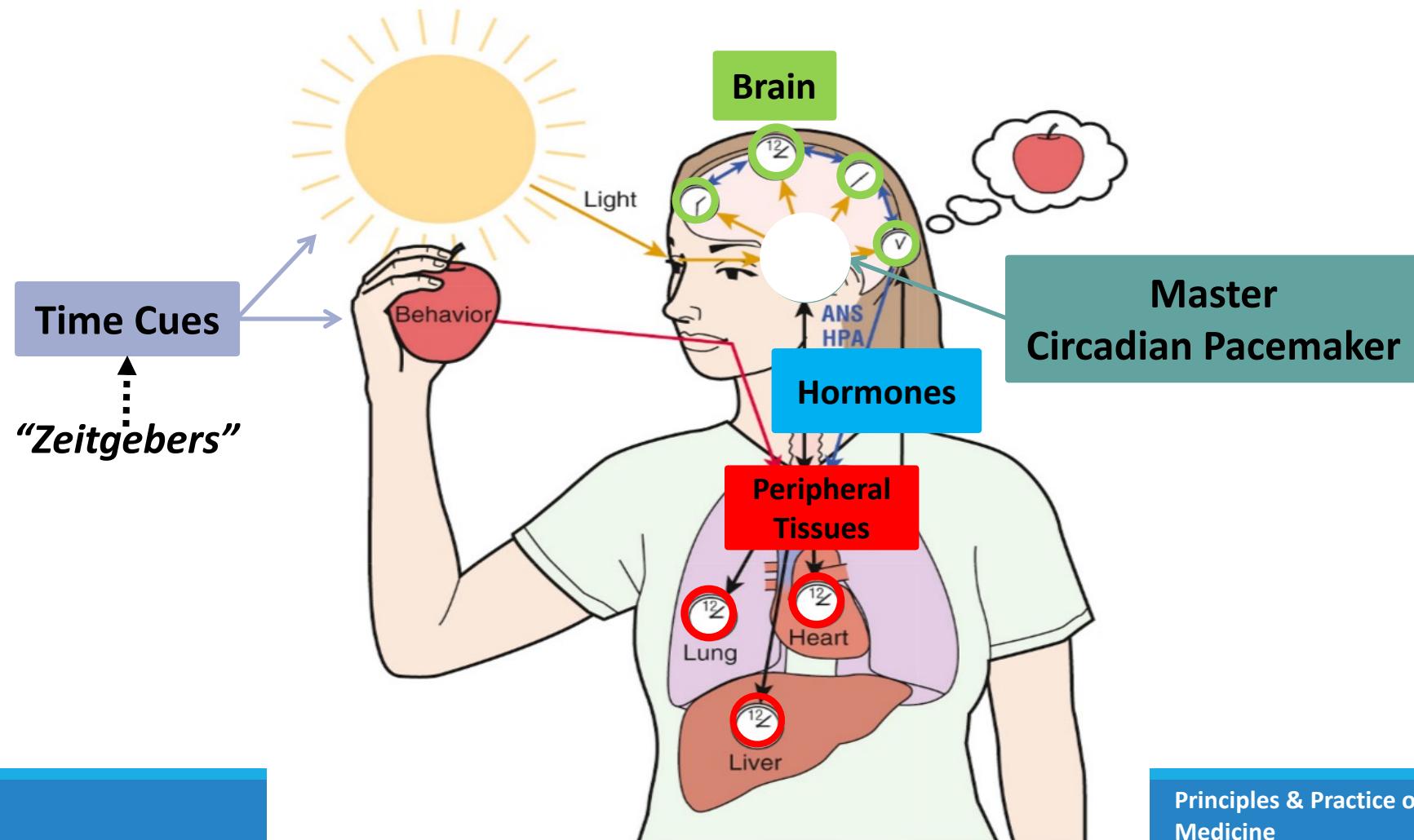


# Mechanisms that drive Sleep



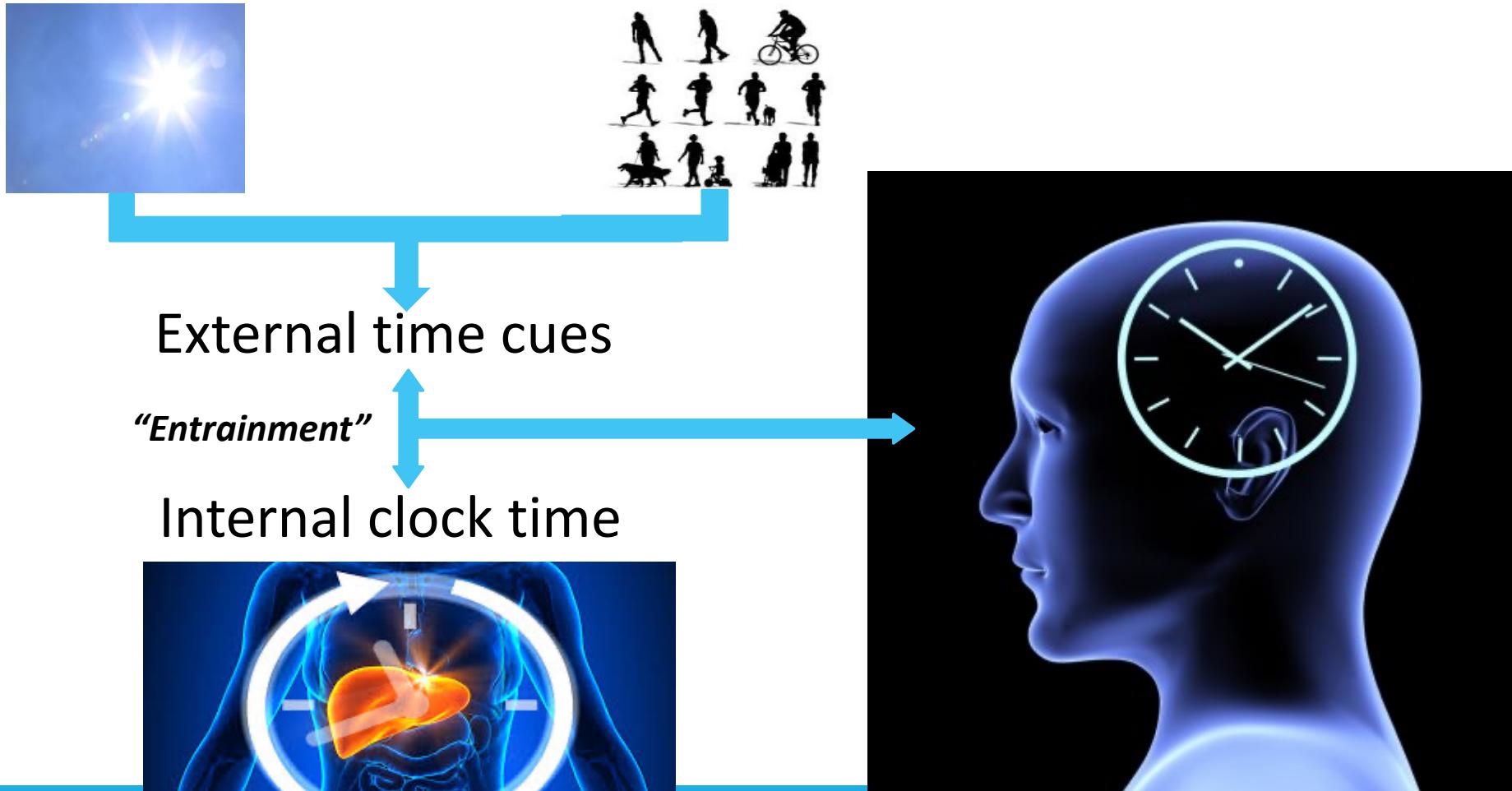
# Circadian Physiology 101

## *How do Circadian Rhythms Work?*



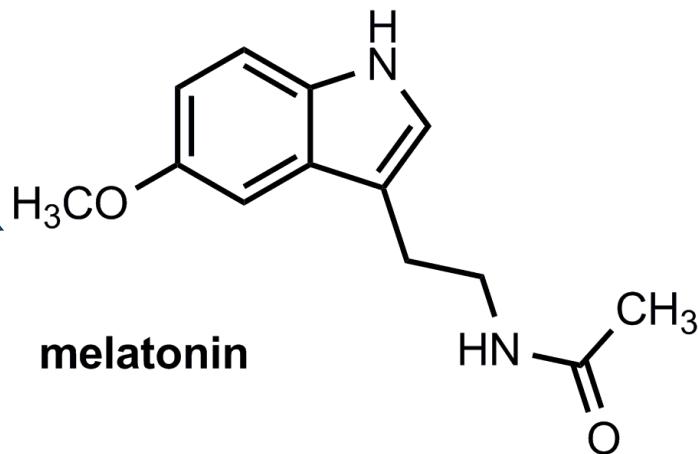
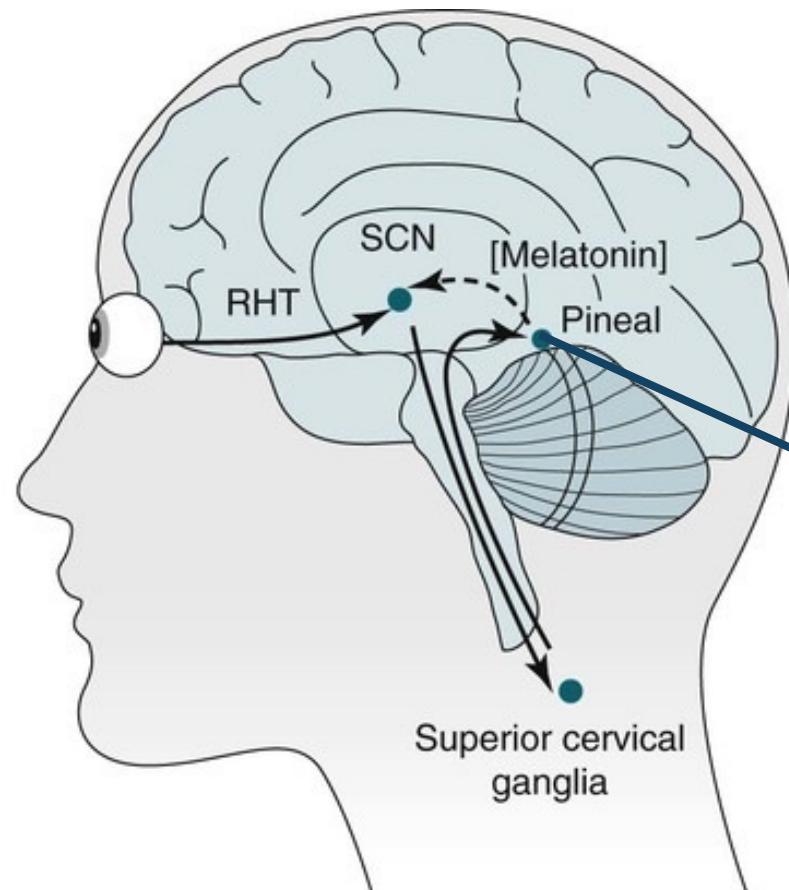
# Circadian Physiology 101

## *What are Zeitgebers?*



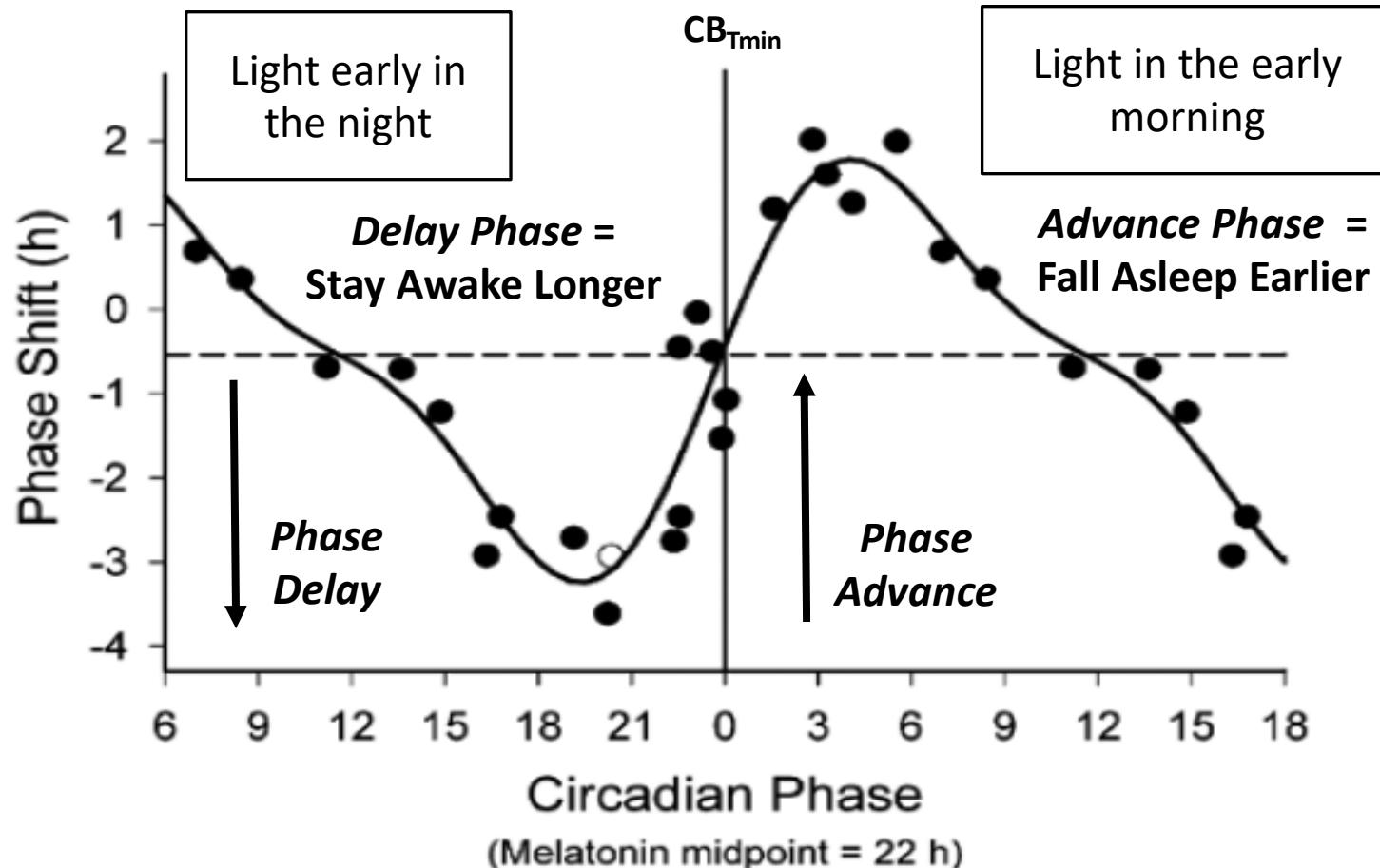
# Circadian Physiology 101

*How does light entrain the human biological clock?*



# Circadian Physiology 101

*How does light entrain the biological clock?*



# Practice Question

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Beyoncé has a concert in China so she flies to Beijing from Los Angeles. Her 13-hour flight leaves at 10:00 AM and arrives in Beijing at 2 PM (14:00) local time. When she lands in China, it will be 1:00 AM in Los Angeles.

Why does Beyoncé feel “jet-lagged”? Is her circadian clock *phase-advanced*, *phase-delayed*, or *phase-neutral* based on local time?



**Answer:** Beyoncé feels jet-lagged because her circadian clock is currently un-aligned with the solar light-dark cycle. Her circadian clock is telling her it is time for sleep, although it is 2 PM (14:00) local time. When she lands in China, she is therefore phase-advanced.

# How do we measure circadian rhythms?

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## Objective Methods

- Melatonin levels in urine or saliva
- Core body temperature
- Clock gene expression from hair follicle cells
- Activity from accelerometry

## Subjective Methods

- Self-report (e.g., Munich Chronotype Questionnaire, Morning-Eveningness Questionnaire)

# Summary

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Circadian rhythms are ~24-hour cyclic changes in physiology and behaviour that are governed by endogenous biological clocks in coordination with the solar light-dark cycle

- Circadian rhythms are critical for regulation of the sleep-wake cycle

Entrainment is the process by which the biological clock synchronizes with the solar light-dark cycle.

- Entrainment is accomplished through external stimuli called *zeitgebers*. The principle *zeitgeber* is light.
- *Zeitgebers* can either “advance” or “delay” the circadian clock

Circadian rhythms can be measured both objectively and subjectively

# Pause



# How Do Sleep and Circadian Rhythms Change with Age?

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# Practice Question

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What parts of sleep quality do you think change as people age?

What are some of the reasons why sleep quality might change as people age?

# Sleep & Aging

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Normal changes include decreased:

- Quantity
- Quality
- Consolidation
- Efficiency



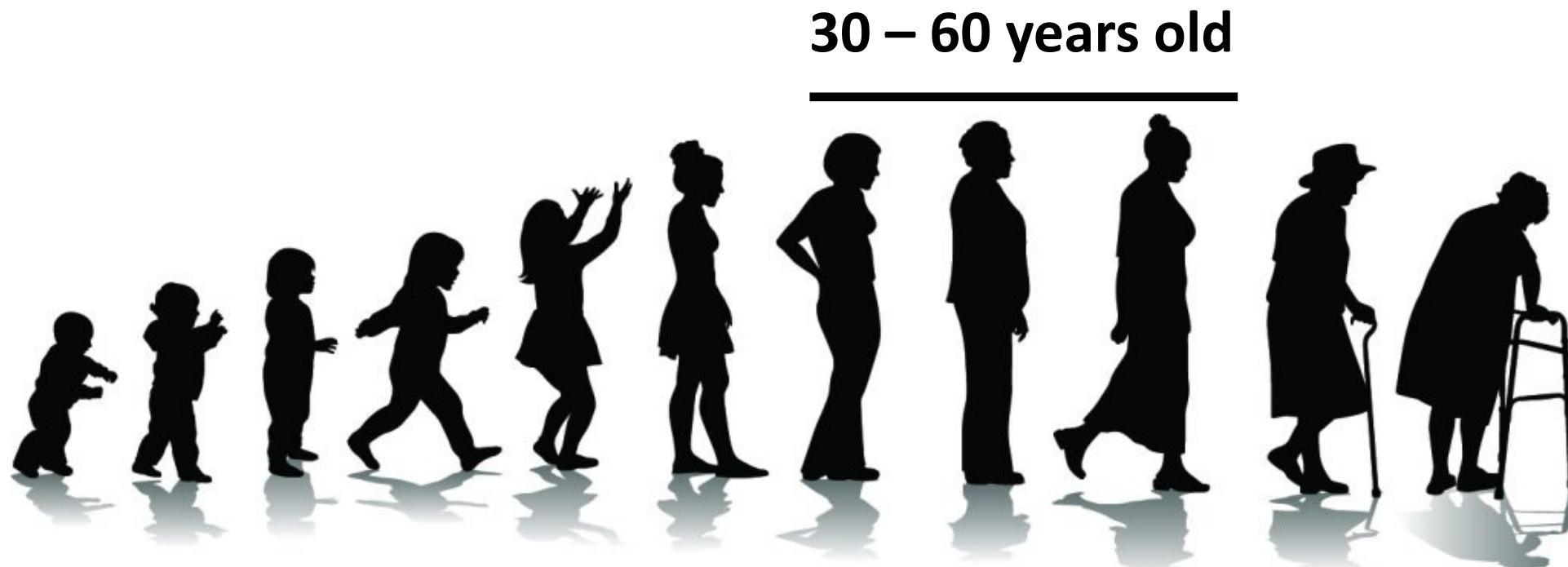
Foley, 1995 *Sleep* 18(6): 425-432

Phillips, 2001 *Sleep Medicine* 2: 99-114

# When does this change begin?

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Age-related changes in sleep quality begin in the middle years:



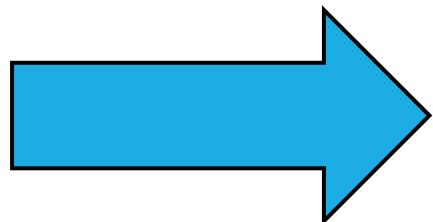
# Sleep Architecture & Aging

| Variable             | Older<br>(mean $\pm$ s.e.m.) | Young<br>(mean $\pm$ s.e.m.) | P      |
|----------------------|------------------------------|------------------------------|--------|
| Sleep latency (min)  | $11\cdot2 \pm 2\cdot1$       | $14\cdot0 \pm 4\cdot4$       | 0·4525 |
| REM latency (min)    | $56\cdot0 \pm 6\cdot9$       | $77\cdot4 \pm 10\cdot7$      | 0·0970 |
| TRT (min)            | $478\cdot3 \pm 2\cdot3$      | $479\cdot2 \pm 1\cdot4$      | 0·7369 |
| Wakefulness (min)    | $107\cdot3 \pm 11\cdot4$     | $39\cdot5 \pm 4\cdot8$       | 0·0001 |
| TST (min)            | $370\cdot8 \pm 11\cdot6$     | $433\cdot1 \pm 5\cdot0$      | 0·0002 |
| Sleep efficiency (%) | $77\cdot5 \pm 2\cdot4$       | $90\cdot4 \pm 0\cdot9$       | 0·0001 |
| Stage 1 (%)          | $18\cdot0 \pm 2\cdot8$       | $7\cdot7 \pm 1\cdot5$        | 0·0046 |
| Stage 2 (%)          | $49\cdot6 \pm 3\cdot0$       | $53\cdot2 \pm 2\cdot2$       | 0·3634 |
| Stage 3 (%)          | $8\cdot6 \pm 1\cdot7$        | $6\cdot4 \pm 0\cdot9$        | 0·2421 |
| Stage 4 (%)          | $4\cdot6 \pm 2\cdot0$        | $13\cdot0 \pm 1\cdot7$       | 0·0043 |
| SWS (%)              | $13\cdot2 \pm 2\cdot7$       | $19\cdot4 \pm 1\cdot8$       | 0·0792 |
| REM sleep (%)        | $19\cdot1 \pm 1\cdot1$       | $19\cdot6 \pm 1\cdot6$       | 0·8006 |

# Circadian Rhythms and Aging

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Younger Adulthood



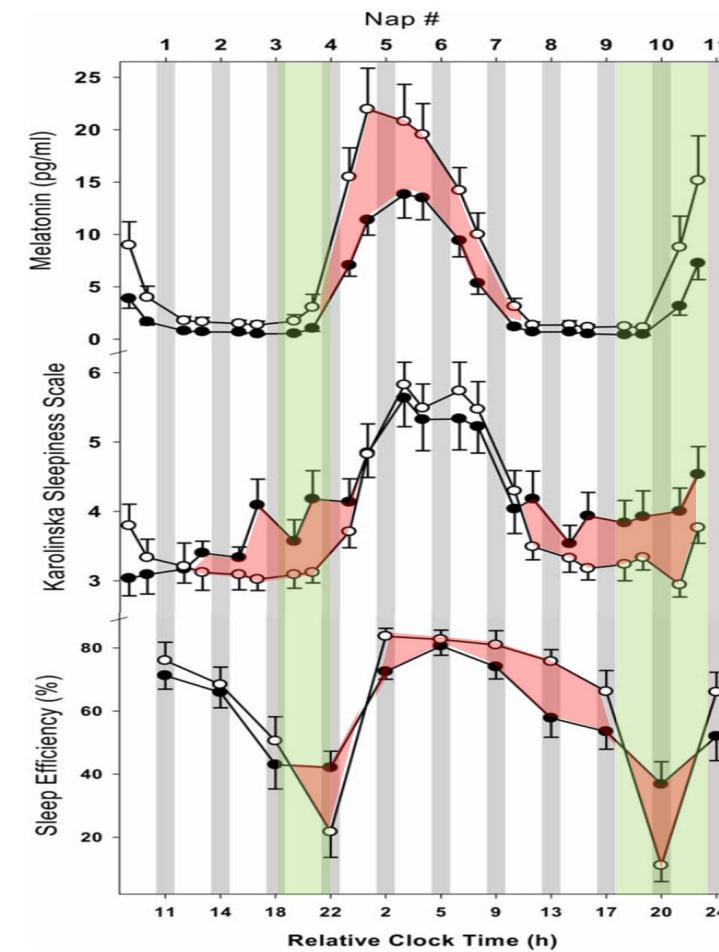
Older Adulthood



# Circadian Rhythms and Aging

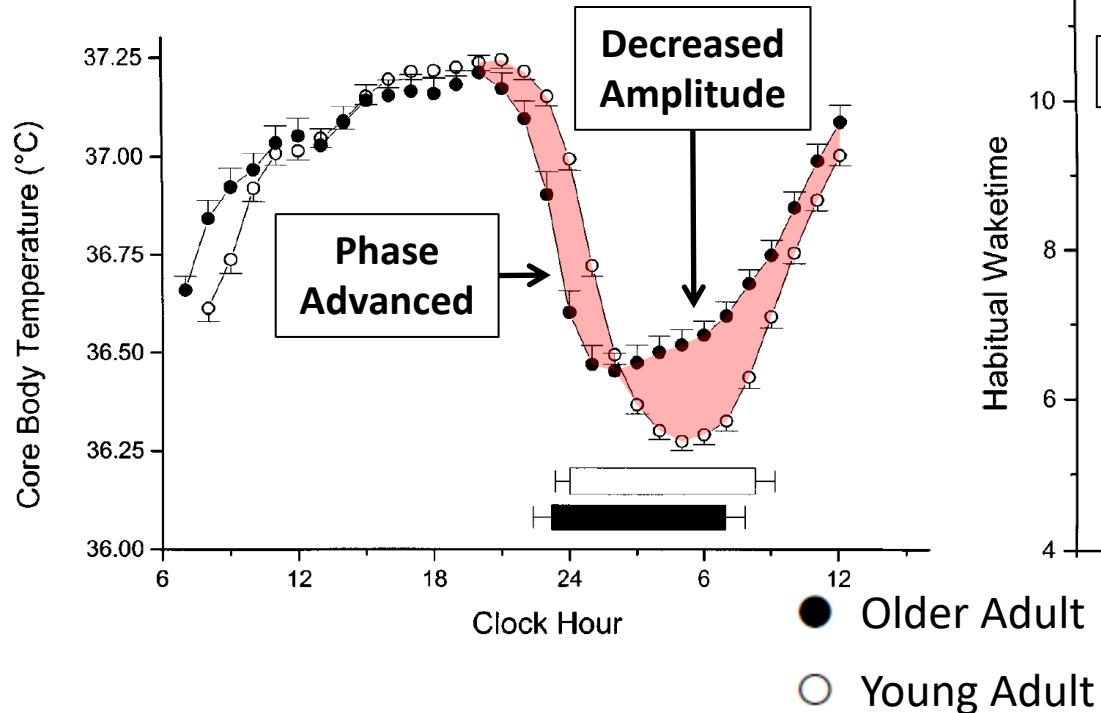
Compared to younger adults, older adults have:

- 1) Weakened wake maintenance zone**
- 2) Increased daytime sleepiness**
- 3) Decreased Melatonin amplitude**
- 4) Reduced Sleep Efficiency**

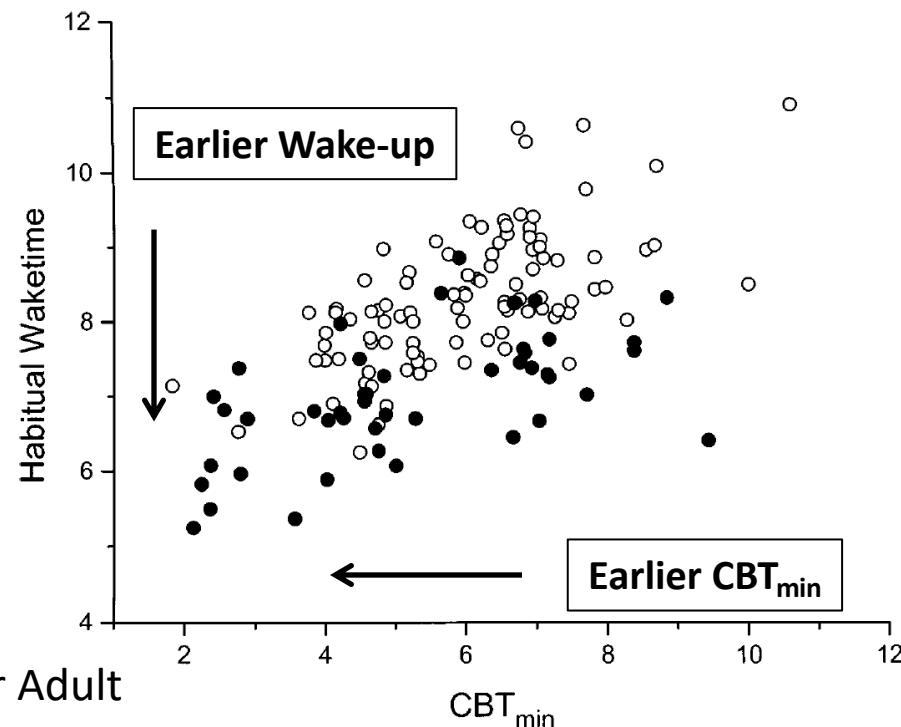


# Circadian Rhythms and Aging

## Core Body Temperature Rhythm



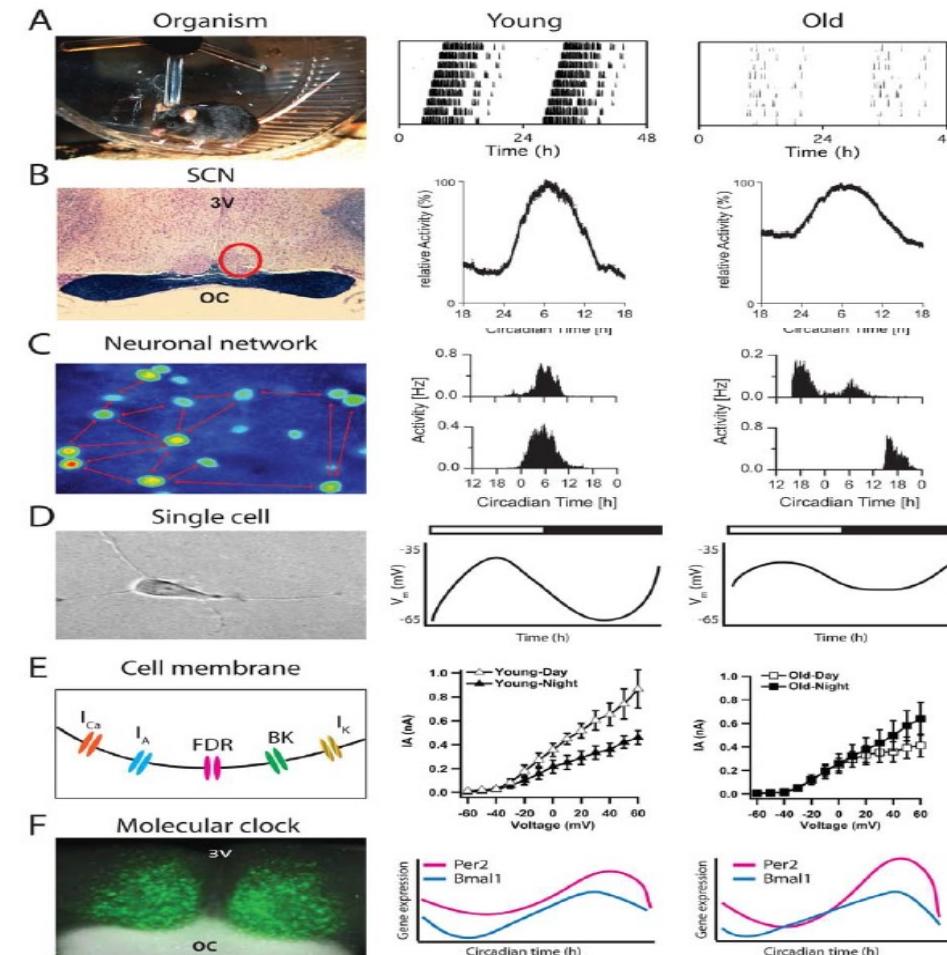
## Habitual Wake Time



# Circadian Rhythms and Aging

## Age-related changes in circadian rhythms from mouse models

- A. Decreased running activity
- B. Decreased amplitude – SCN level
- C. Altered timing of neuronal networks
- D. Decreased amplitude – Single cell
- E. Cell membrane changes
- F. Down-regulation of clock genes



# Practice Question

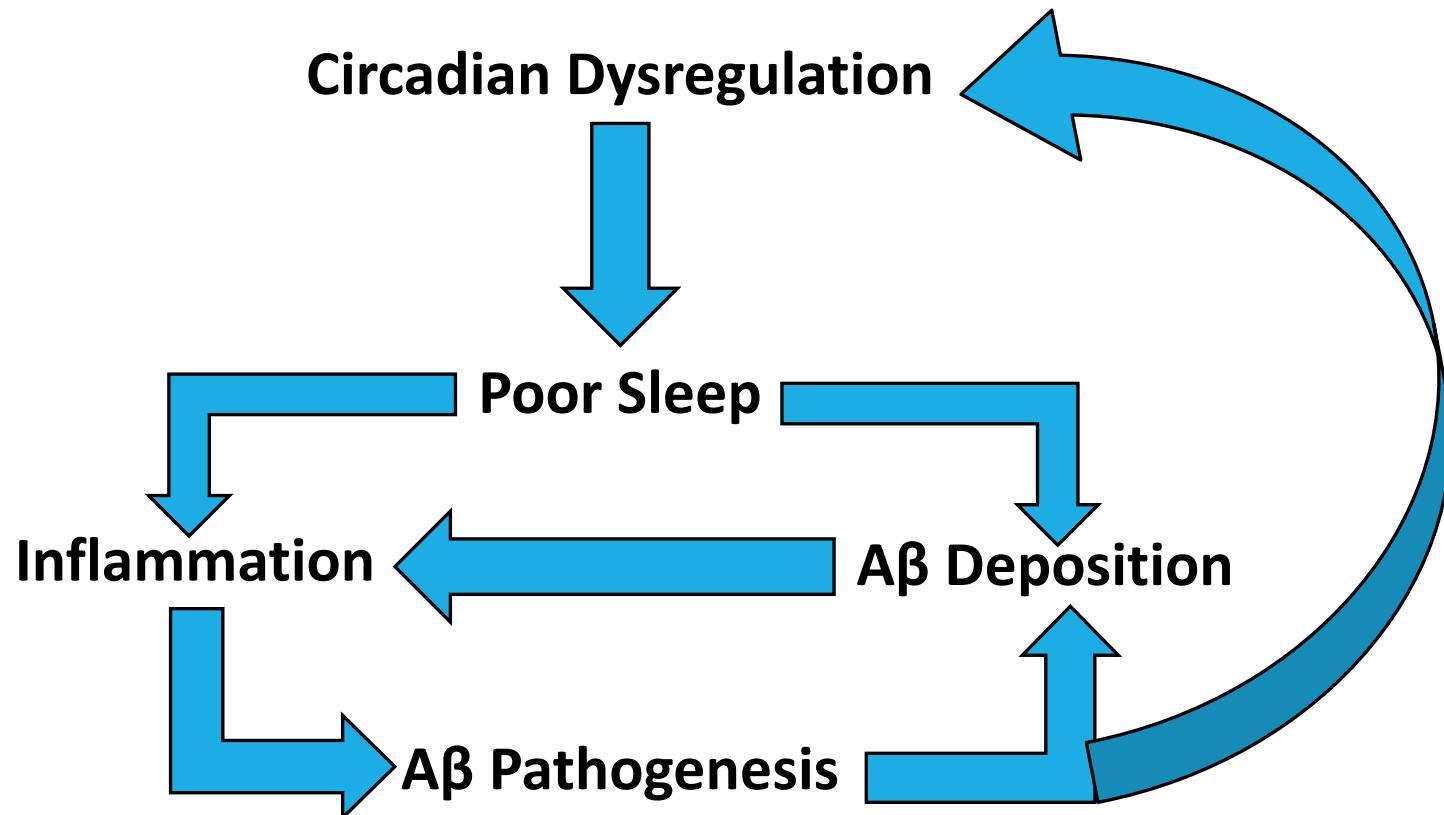
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What are some of the circadian reasons for why older adults have poorer sleep quality than younger adults?

**Answer:** Older adults have decreased melatonin amplitude and reduced core body temperature minimum. These changes in older adult physiology can make older adults fall asleep earlier than they would like, and wake up earlier than they would like.

# Circadian Rhythms and Cognitive Health

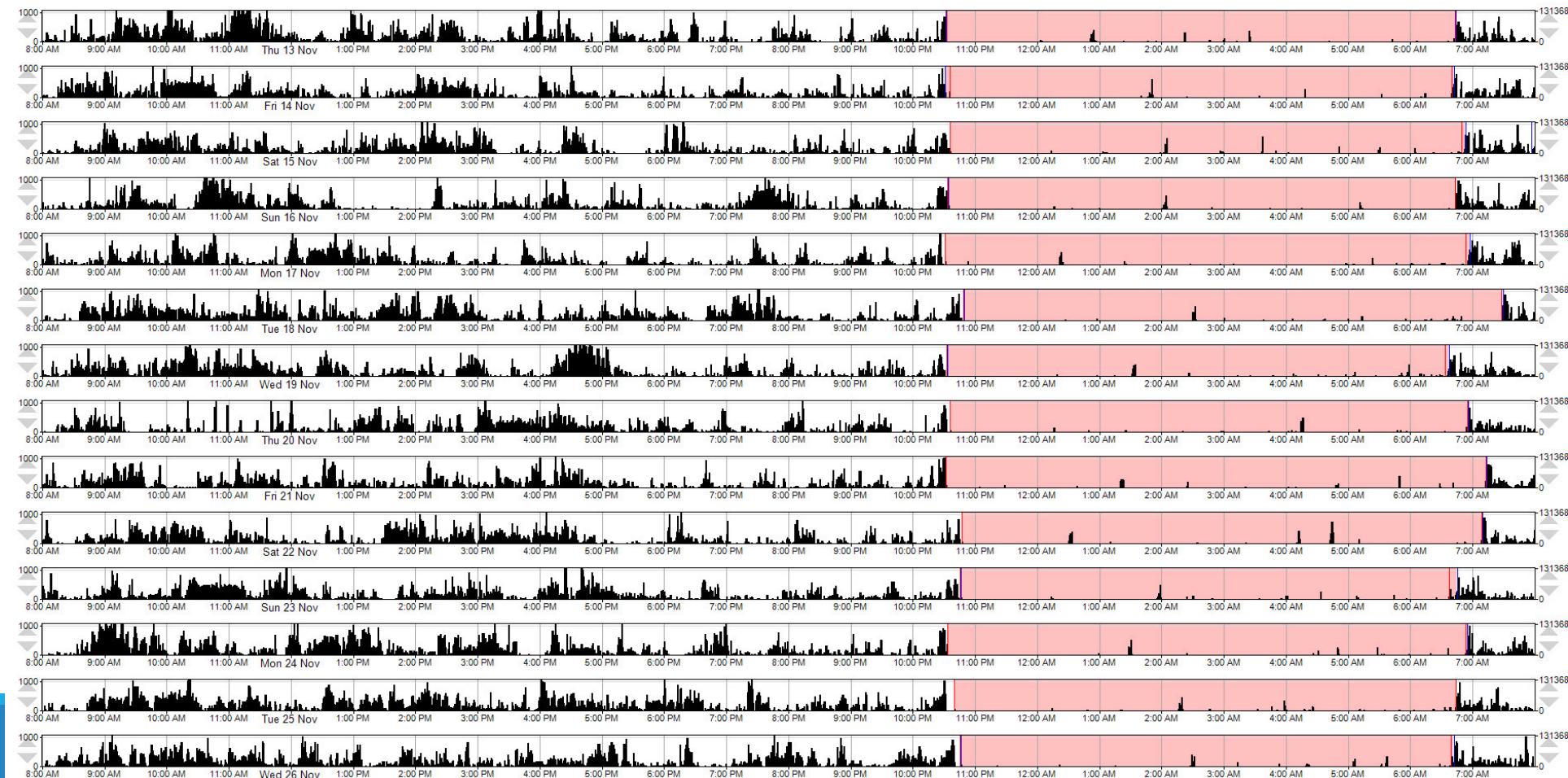
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# An Example of Good Sleep Quality

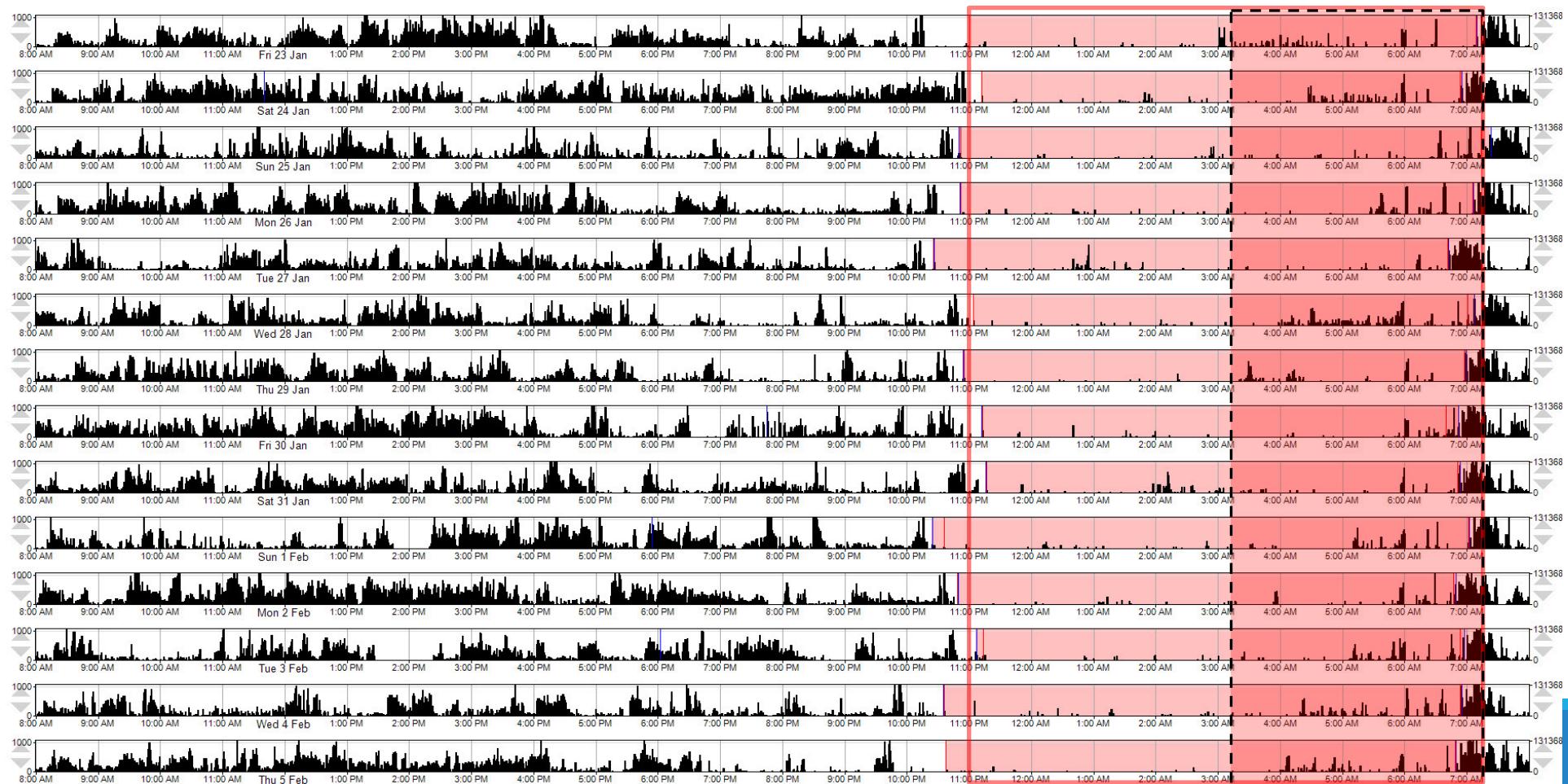
69 year old woman:

Duration = 461 min; Efficiency = 93.1%; Fragmentation = 14.9



# An Example of Poor Sleep Quality

73 year old woman: Duration = 368 min; Efficiency = 75.7%; Fragmentation = 41.0



# Common Sleep Disorders in Aging

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- Insomnia
- Obstructive Sleep Apnea
- Delayed Sleep Phase
- Narcolepsy
- Restless Legs Syndrome
- Periodic Limb Movements



# Insomnia

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Common causes of insomnia

- Shift Work
- Circadian Dysregulation
- Poor Sleep Hygiene
- Normal aging
- Stress
- Anxiety
- Depression
- Medications



# Obstructive Sleep Apnea

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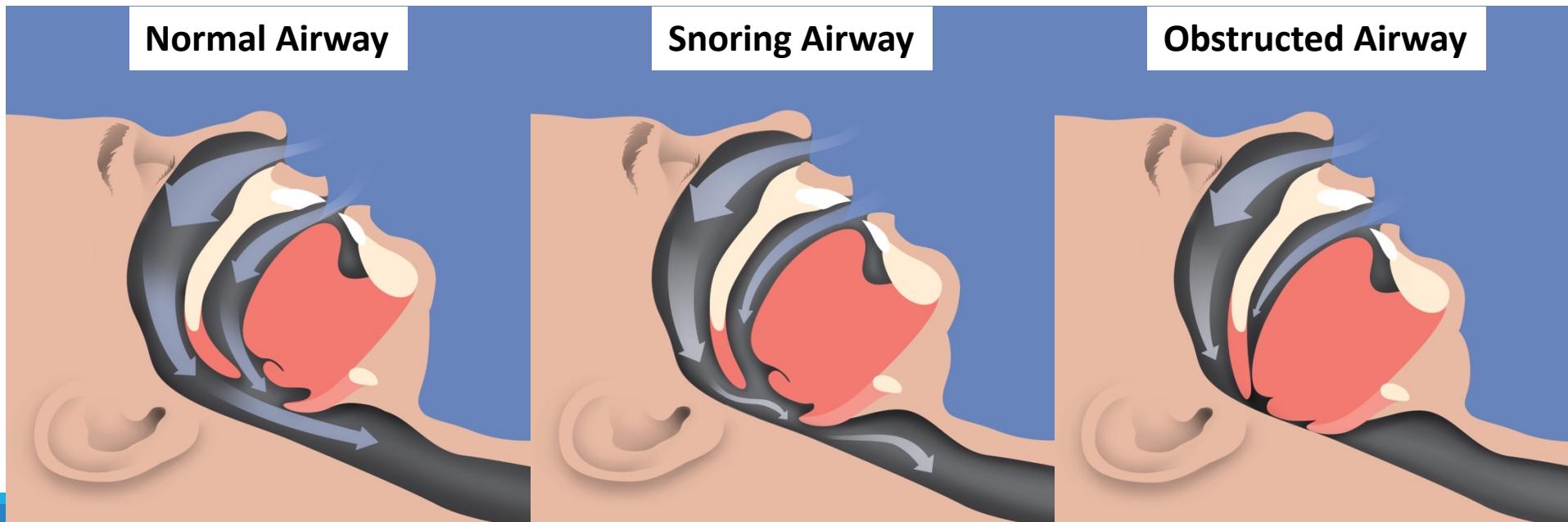
Obstructive sleep apnea is characterized by repetitive disruptions to the upper airway during sleep, resulting in decreased oxygenation and a variety of metabolic disturbances.



# Obstructive Sleep Apnea:

## *Symptoms*

- Snoring, gasping, or choking
- Excessive daytime sleepiness
- Pauses in breathing while sleeping



# Obstructive Sleep Apnea:

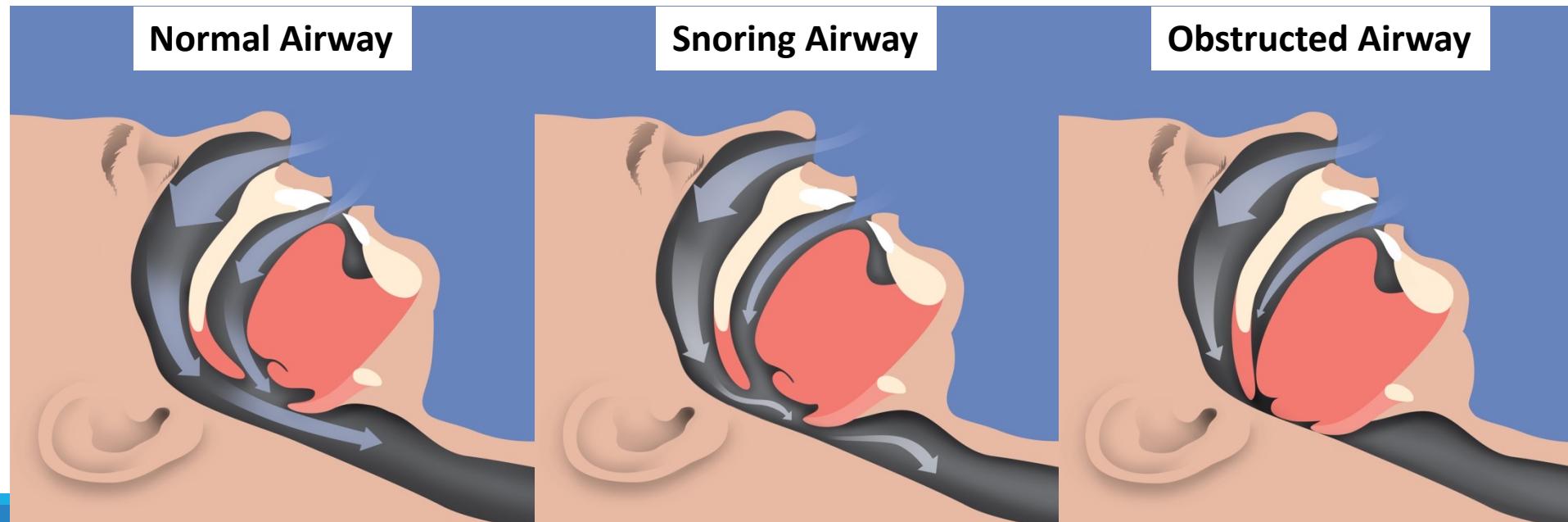
## *Risk Factors*

Body Mass Index  $>30 \text{ kg/m}^2$

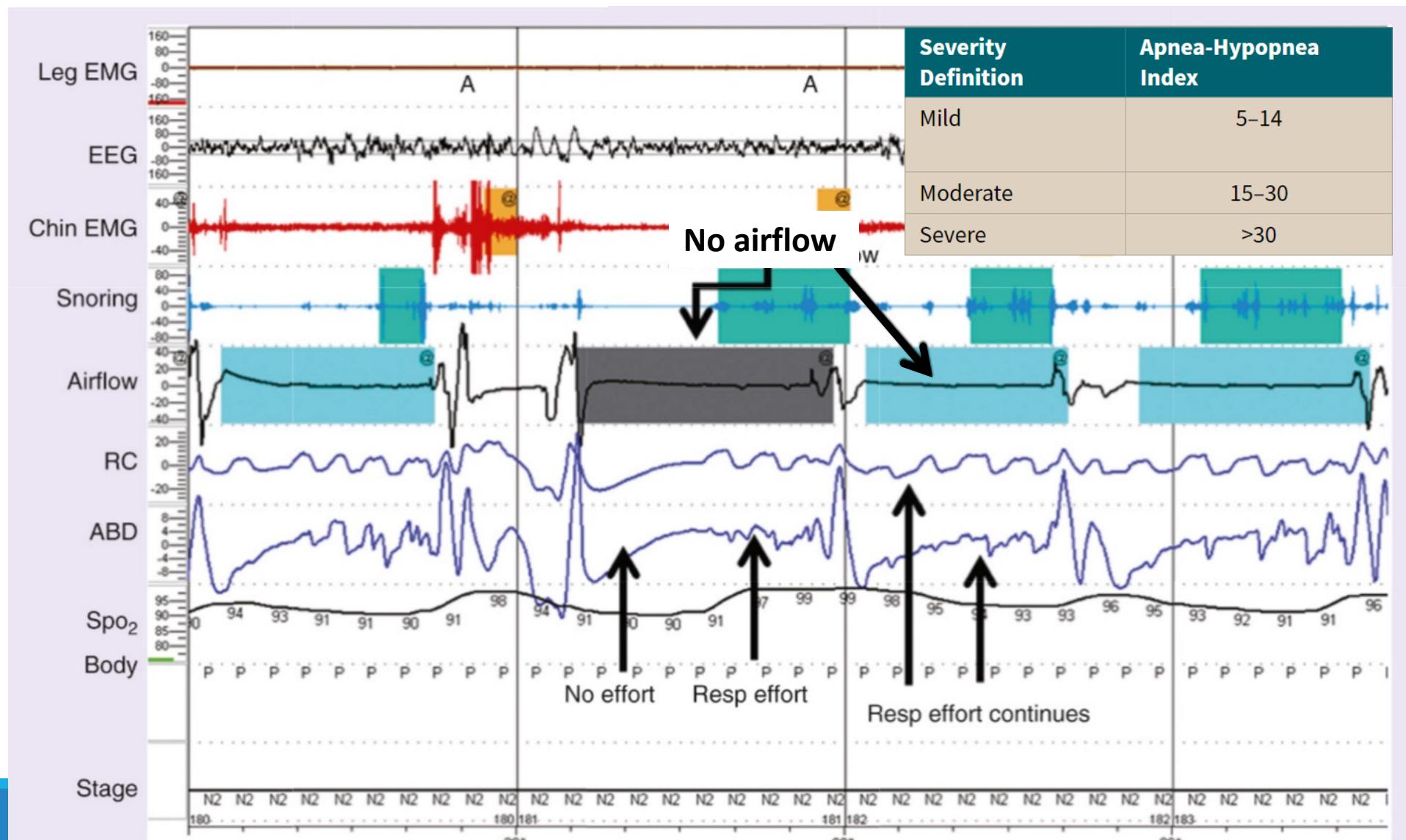
Large neck size ( $>43 \text{ cm}$  for men;  $>41 \text{ cm}$  for women)

Ability to fall asleep anywhere, at any time

Frequent urination during sleep window



# OSA Diagnosis



# Obstructive Sleep Apnea as a Risk Factor

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Obstructive sleep apnea increases the risk of

- Type 2 Diabetes Mellitus
- Cardiovascular Disease
- Metabolic Syndrome
- Stroke
- Alzheimer's Disease

# Delayed Sleep Phase



# Narcolepsy

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A sleep disorder characterized by excessive daytime sleepiness and abnormal transitions to REM sleep

Clinical features:

- Excessive daytime sleepiness
- Sudden unwanted sleep episodes
- Cataplexy: an abrupt loss of muscle tone
- Sleep paralysis and hallucinations upon sleep onset
- Fragmented sleep at night



# Restless Legs Syndrome

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- Strong urge to move
- Uncomfortable or painful
- Intensifies during inactivity
- Activity relieves symptoms, but disrupts sleep
- Symptoms are worse in the evening or during the night



# Periodic Limb Movements

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Occur during NREM sleep

Repeated every 20-40 seconds

Symptomatic of RLS, but without excessive daytime sleepiness



# Summary

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Sleep quality changes in terms of quality and quantity throughout life.

Older adults have shorter sleep duration, poorer sleep efficiency, and different sleep architecture than younger adults

Changes in older adult sleep quality may be due to changes in circadian rhythms with age

# Pause



# Improving Sleep and Circadian Rhythms in Older Adulthood

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# Common Therapies for Improving Sleep

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## Medication

### Cognitive Behavioural Therapy

- Sleep Hygiene

### Treatments for Obstructive Sleep Apnea

- Continuous Positive Air-Pressure, Snore-guard, Exercise

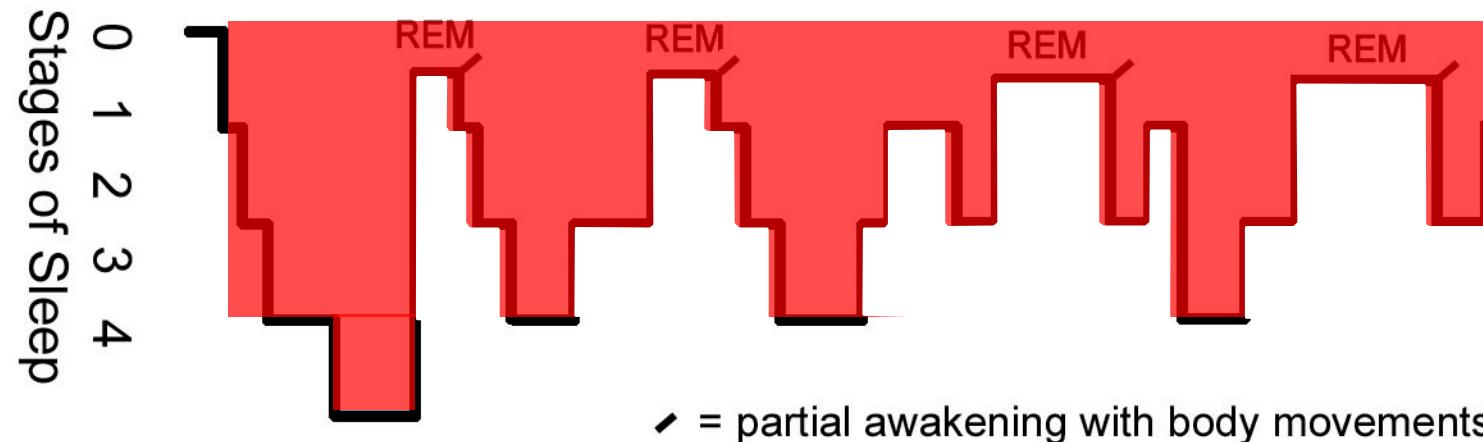
## Physical Activity and Exercise

## Chronobiotics

# Treatments for Improving Sleep: Medication

Benzodiazepines, Zolpidem (Ambien), Zopiclone (Imovane) and other sleep aids induce “pharmacological” sleep

At present, there is no drug which can mimic or induce a proper “physiological” sleep



10pm 11pm 12am 1am 2am 3am 4am 5am 6am 7am

Note: Other medications used for sleep include serotonin antagonist re-uptake inhibitors (e.g. Trazadone), and antipsychotics (Seroquel). The effects of these medications are different than other over-the-counter sleep aids.



# Treatments for Improving Sleep: *Medication*

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Most common form of treatment

Effective for short-term problems

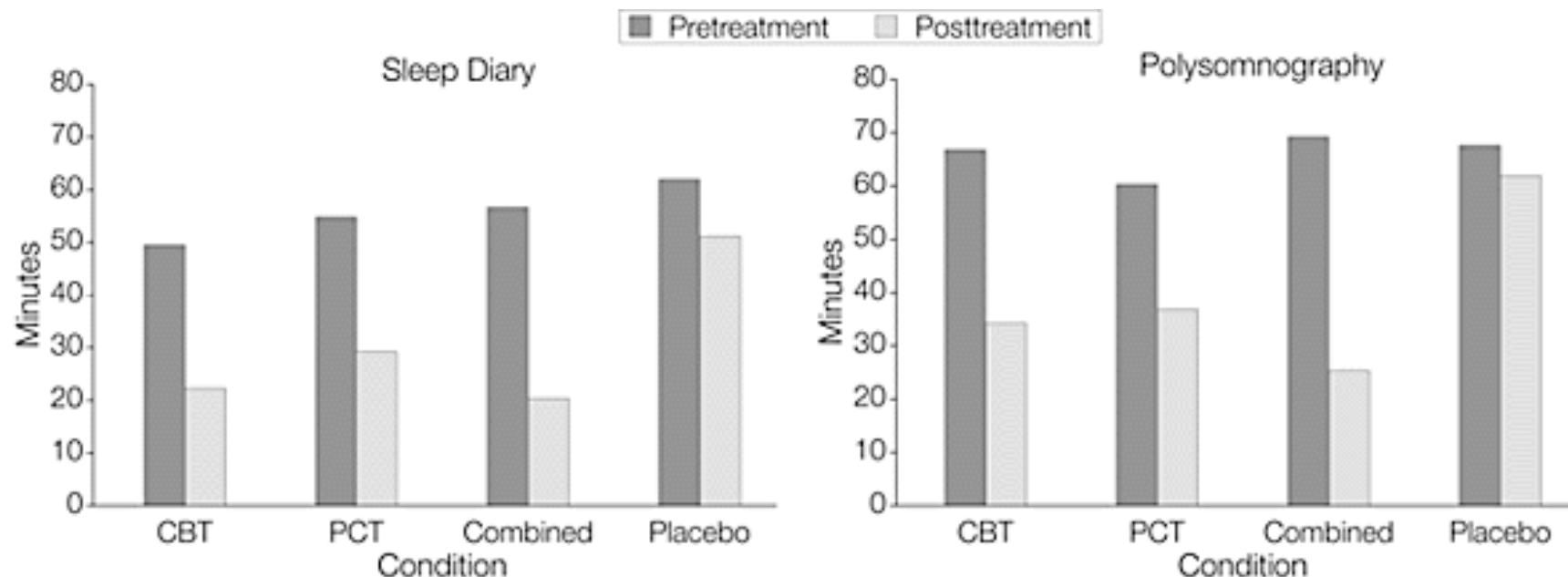
Sleeping pills are associated with a much higher risk of mortality

Mortality risk of **30** sleeping pills per month was equal to risk associated with smoking one pack of cigarettes per day (Kripke et al., 1998)

| Pills/Month | Risk Ratio |
|-------------|------------|
| 0           | 1.0        |
| 1-29        | 1.8        |
| 30          | 3.2        |

# Treatments for Improving Sleep: *Cognitive Behavioural Therapy*

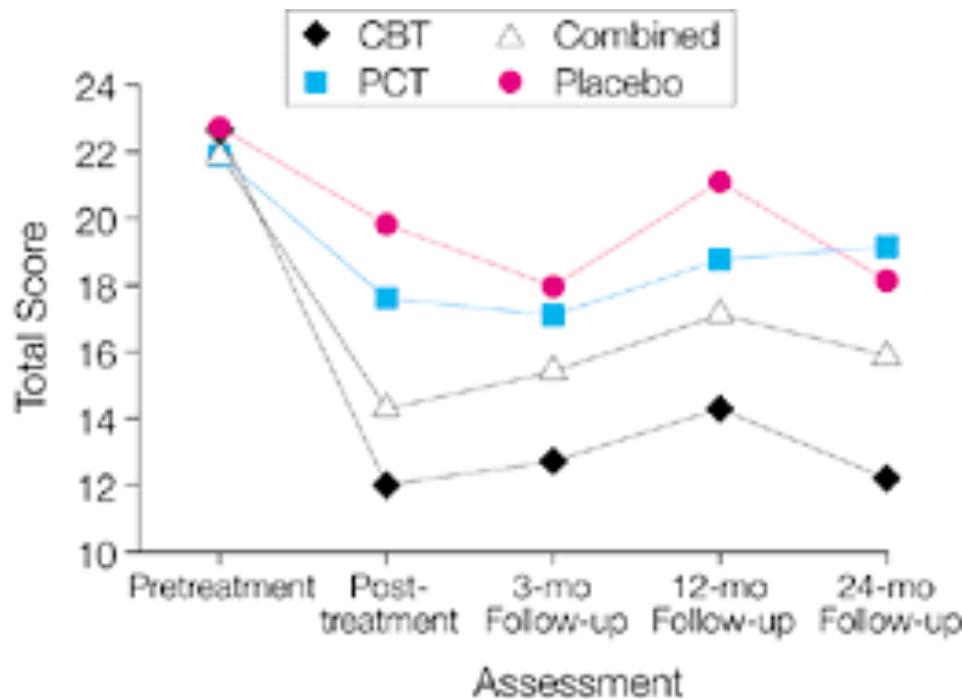
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Changes in Wake After Sleep Onset in CBT, PCT, and combined PCT and CBT vs. Control  
CBT: Cognitive Behavioural Therapy; PCT: Pharmacological Therapy

# Treatments for Improving Sleep: *Cognitive Behavioural Therapy*

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Changes in sleep impairment score for CBT, PCT, and Combined PCT and CBT vs. control

CBT: Cognitive Behavioural Therapy; PCT: Pharmacological Therapy

# Treatments for Improving Sleep:

## *Cognitive Behavioural Therapy*

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Cognitive behavioural therapy is highly effective, but not often used to improve sleep.

Teaches individual to recognize and change beliefs that affect ability to sleep.

- Used to help control or eliminate negative thoughts and worries which keep person awake

Helps develop good sleep habits and avoid behaviours that keep individual from sleeping well

Cognitive Behavioural Therapy techniques include

- Stimulus control therapy
- Sleep restriction
- Sleep hygiene
- Relaxation training
- Paradoxical intention (Passive awakeness)
- Biofeedback

# Treatments for Improving Sleep: *Sleep Hygiene*

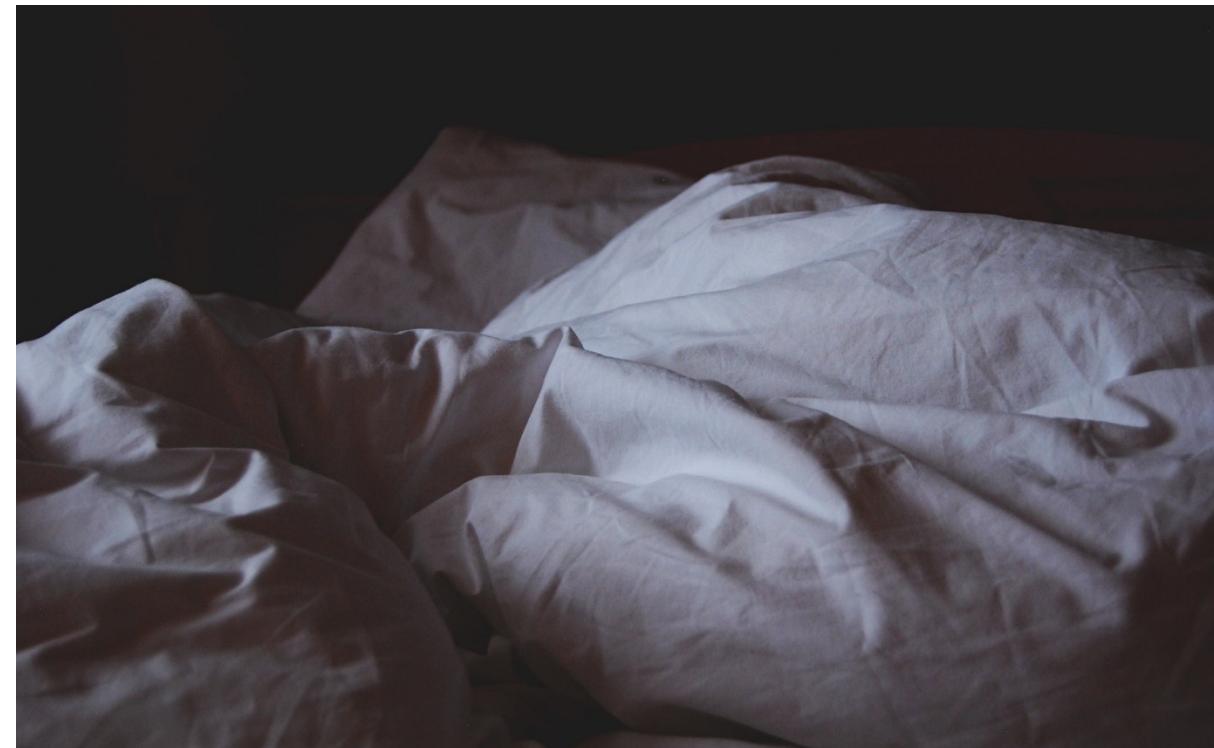
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Set of habits and behavioural practices which can improve sleep quality

- A part of cognitive behavioural therapy

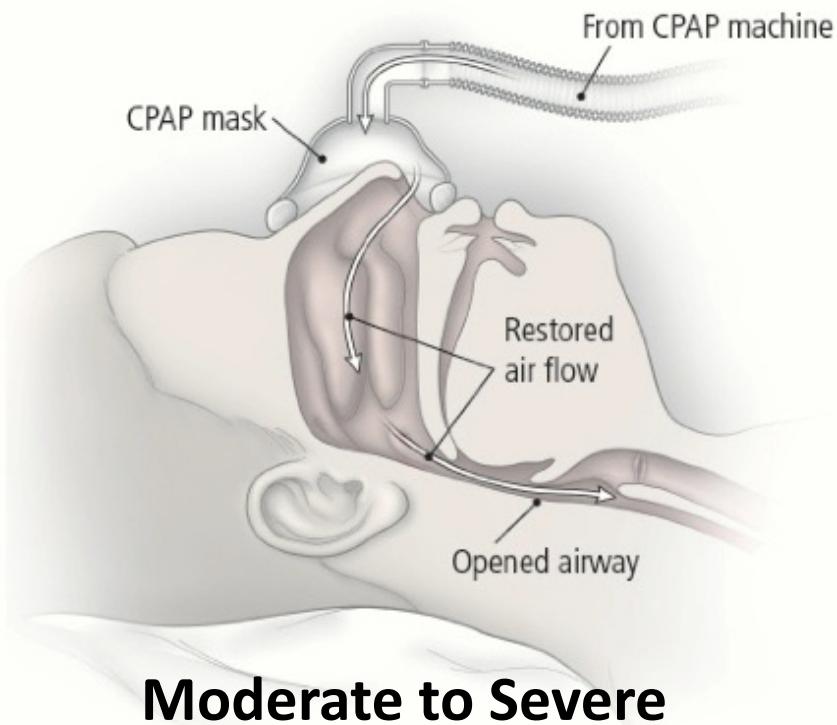
Good sleep hygiene includes:

- A sleep friendly environment (temperature, light, sound, bed comfort, etc.)
- A sleep inducing routine
- Avoiding electronics at night

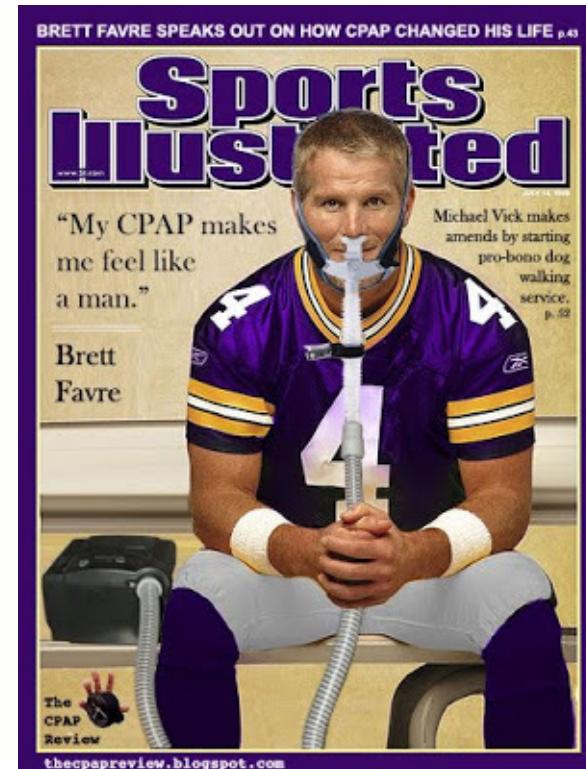


# Treatments for Improving Sleep: *Obstructive Sleep Apnea Treatments*

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**Moderate to Severe**



# Treatments for Improving Sleep: *Physical Activity and Exercise*

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Physical activity and exercise can impact both acute and chronic sleep quality (Kredlow et al., 2015)

## Acute Changes

- Small benefits on sleep duration, sleep latency, sleep efficiency, stage 1 sleep, and slow wave sleep
- Moderate benefit on WASO
- Small improvements in REM sleep

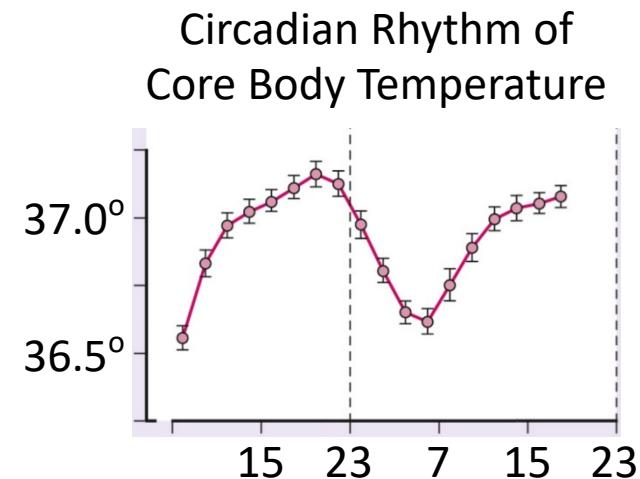
## Chronic Changes

- Small benefit on sleep duration, sleep efficiency,
- Small-to-medium benefits on sleep latency and subjective sleep quality

The effects of exercise and physical activity on sleep quality appear to weaken with age

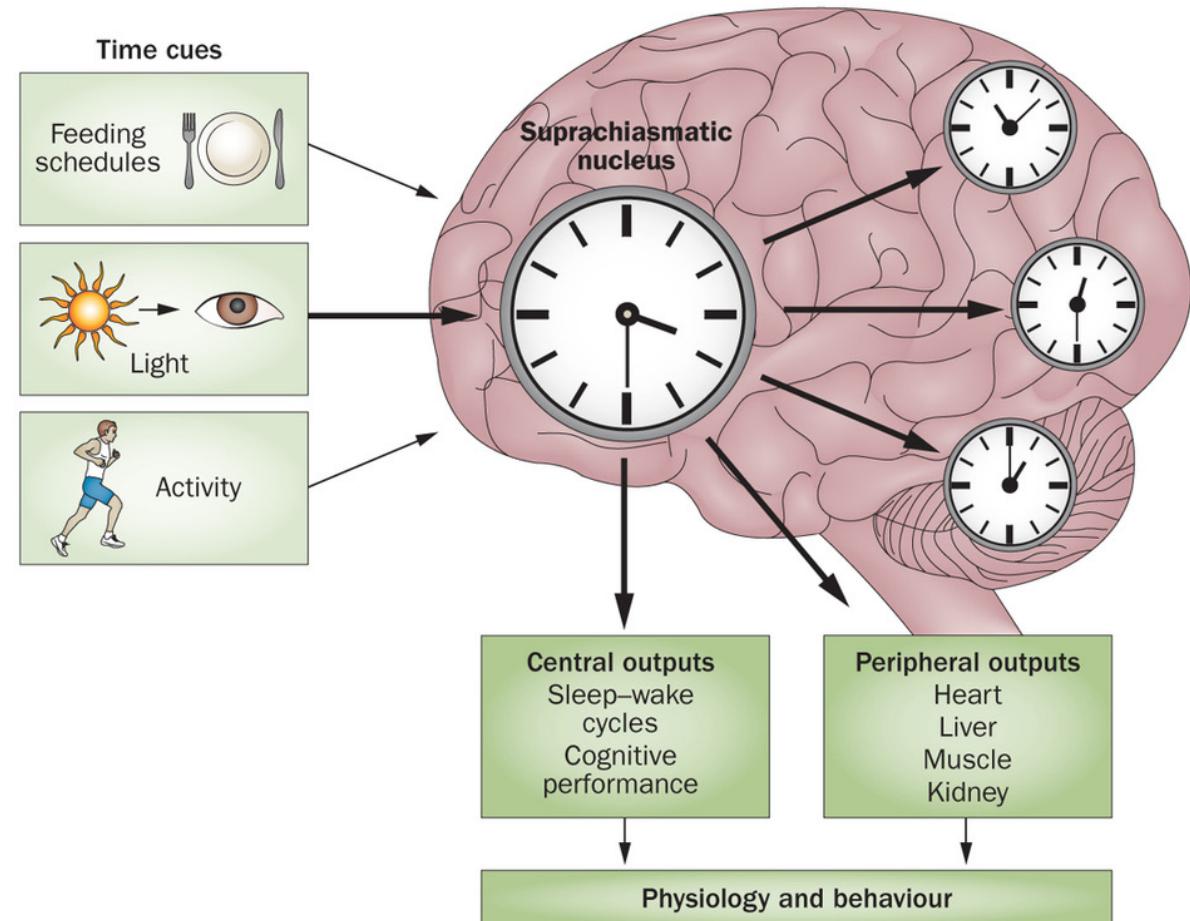
# Treatments for Improving Circadian Rhythms

## Chronobiotics



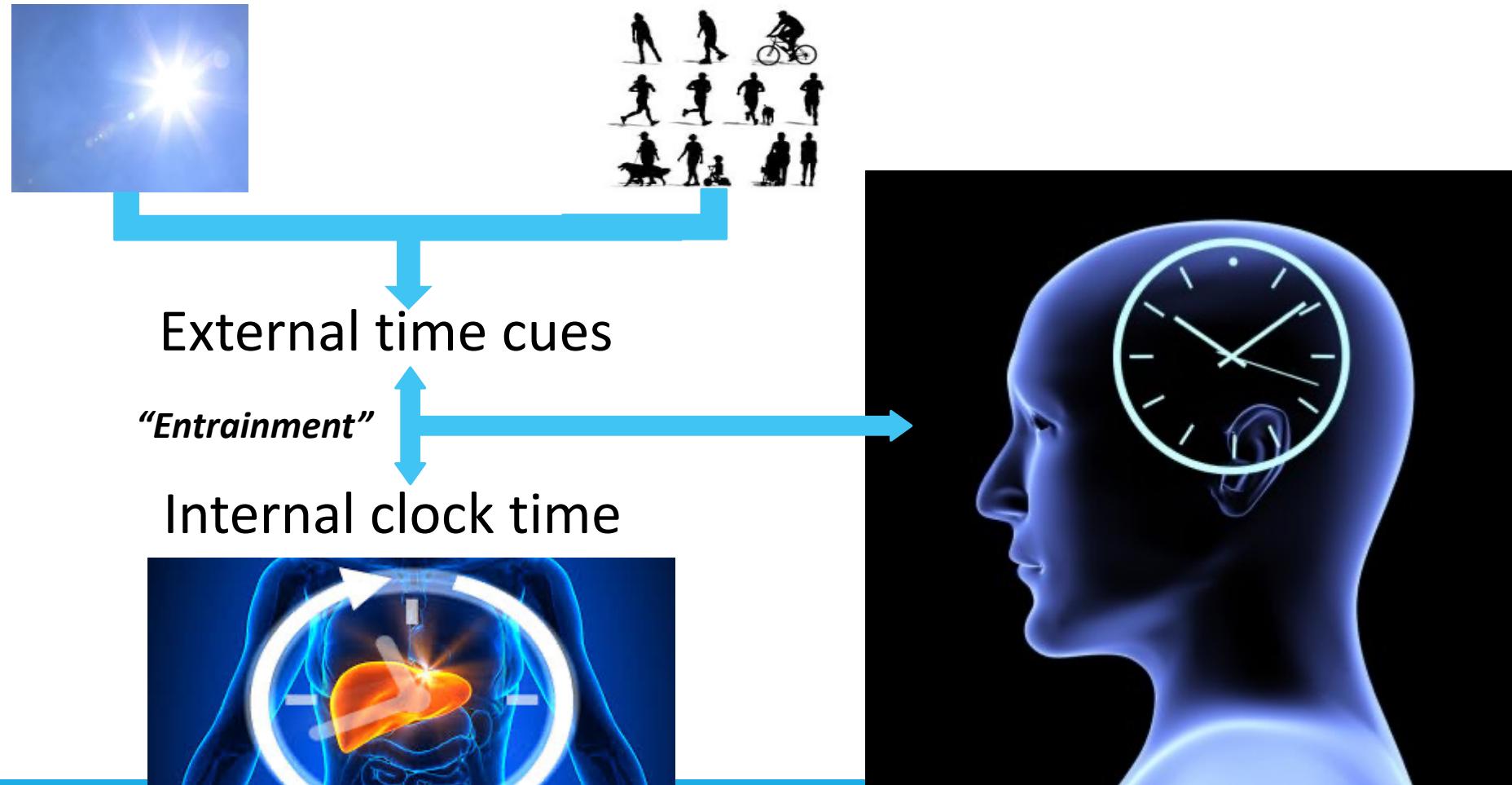
### Chronobiotic:

- Any environmental time cue capable of entraining circadian rhythms
- These time cues are called **Zeitgebers** (i.e., time giver, in German)



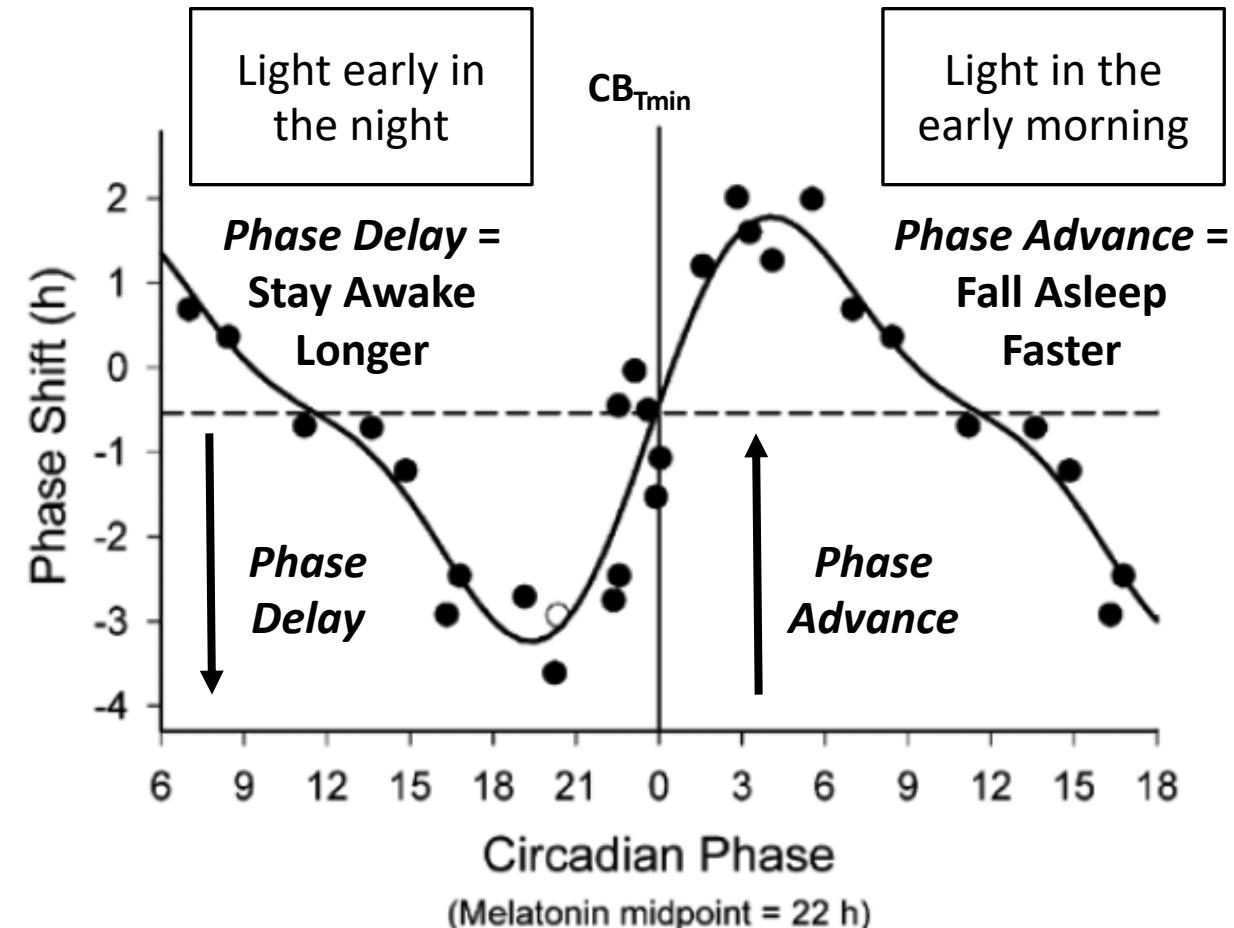
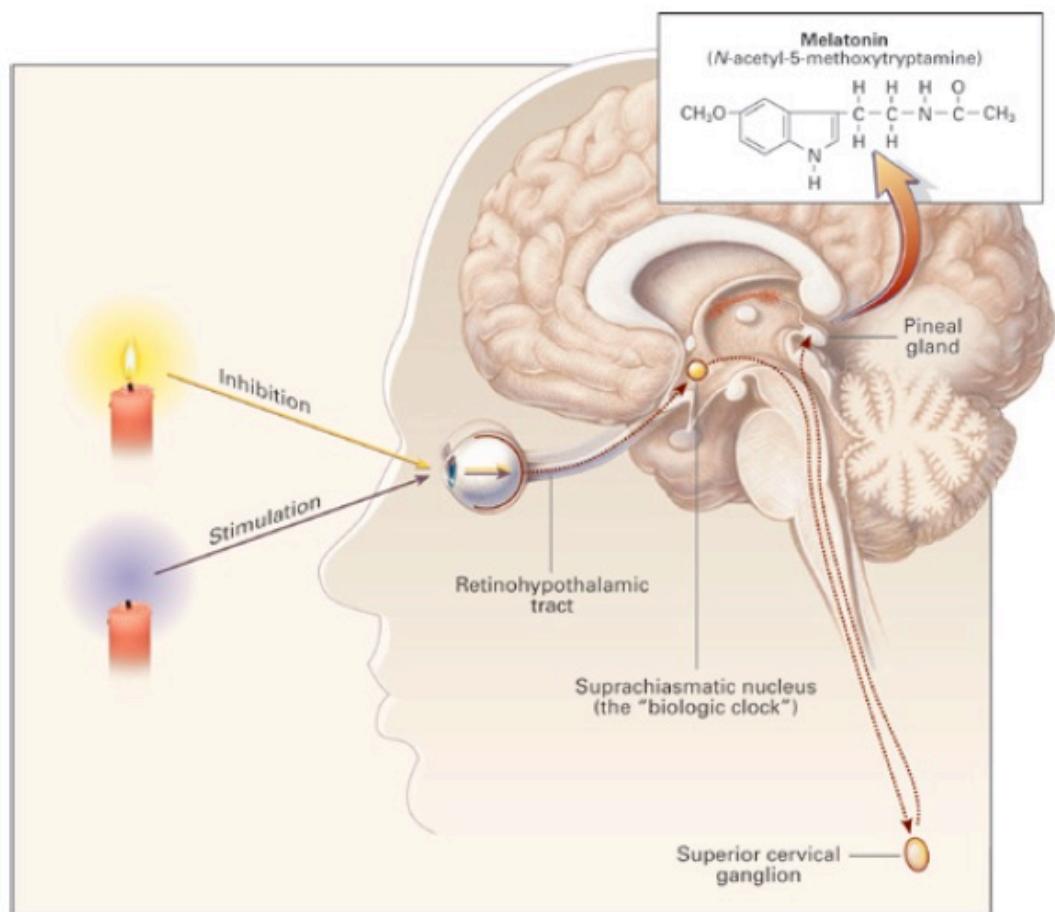
# Treatments for Improving Circadian Rhythms

## *Chronobiotics*



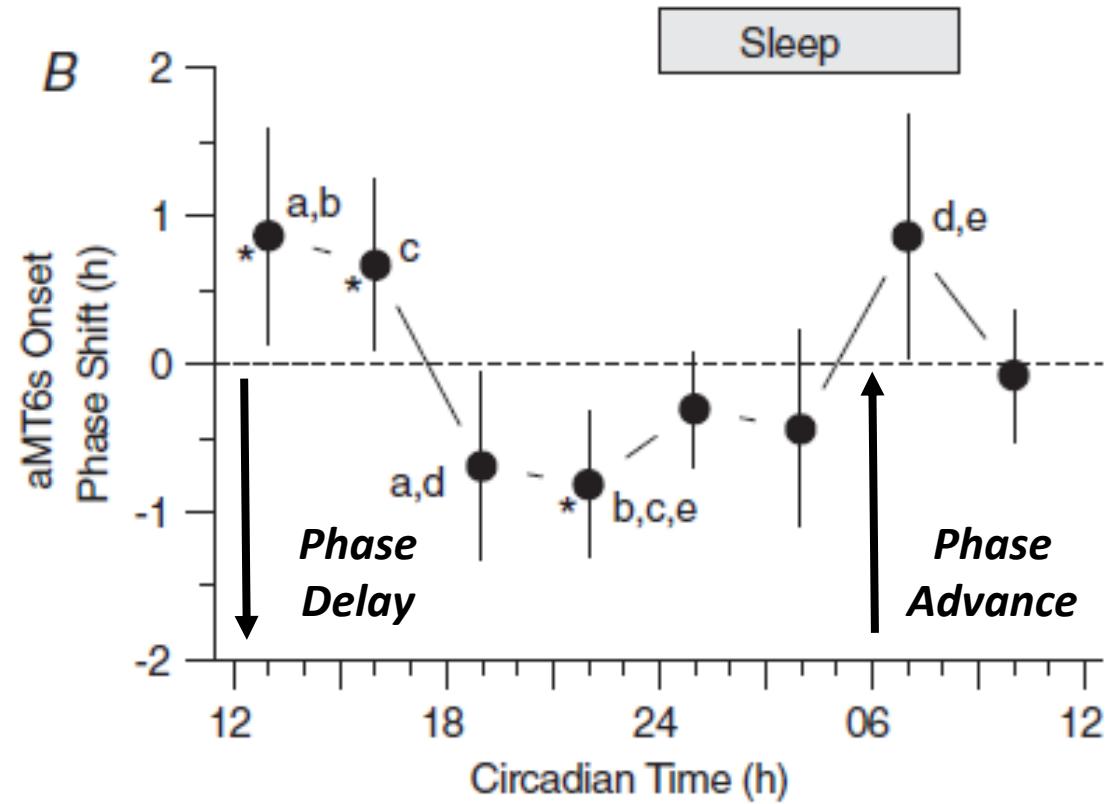
# Chronobiotics

*Bright Light Therapy: The most important chronobiotic*



# Chronobiotics

## *Physical Activity as a chronobiotic*



# Chronobiotics

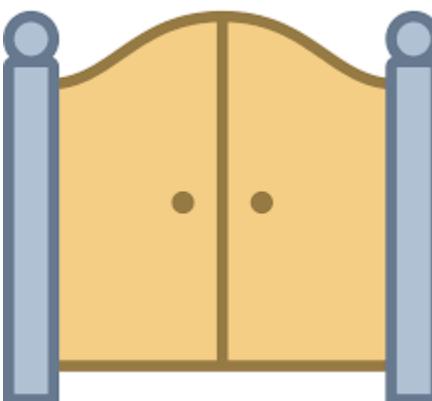
## *How does physical activity entrain the biological clock?*

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Physical activity performed in the morning or early afternoon does not appear to have a consistent effect on phase shifts (*Buxton et al., 2003; Baehr et al., 2003*)

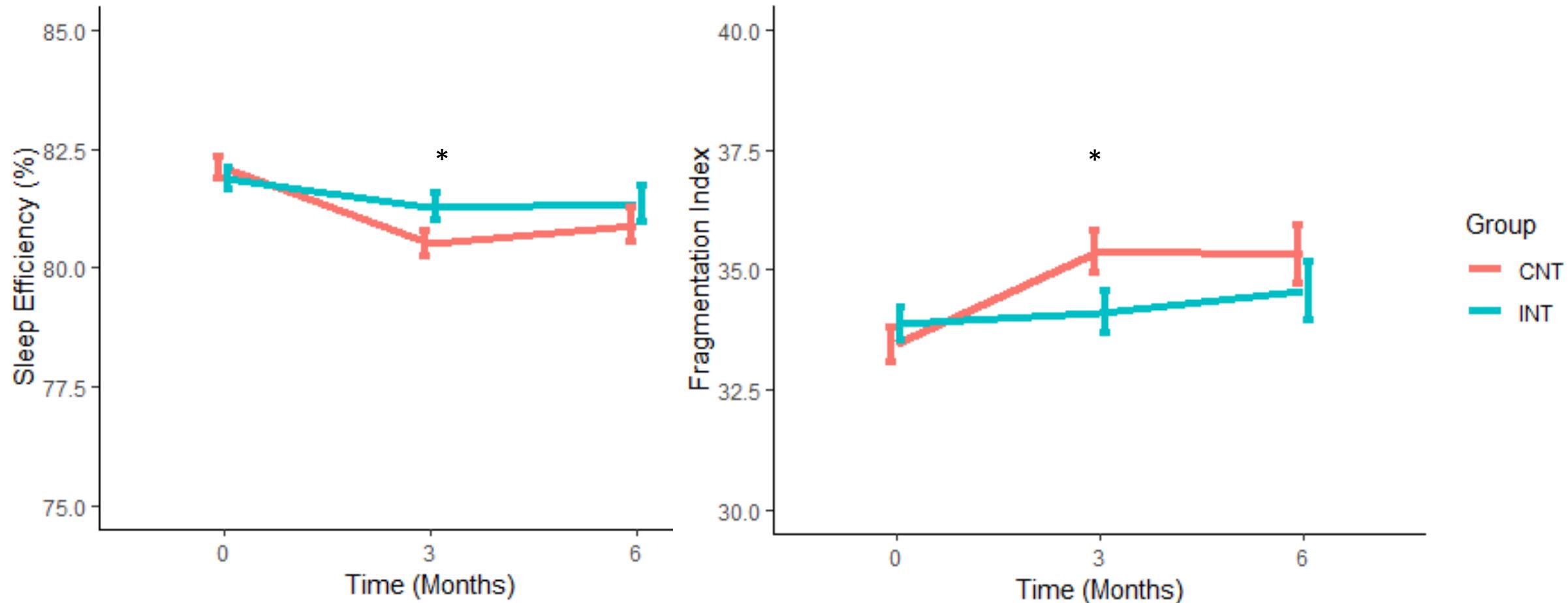
- Physical activity in the late afternoon causes a phase advance (i.e., fall asleep faster)
- Physical activity late at night causes phase delay (i.e., stay awake longer)

Time-based response to how physical activity impacts phase shifts may coincide with the “sleep gate” (*Dijk & Edgar, 1999*)



# Chronobiotics

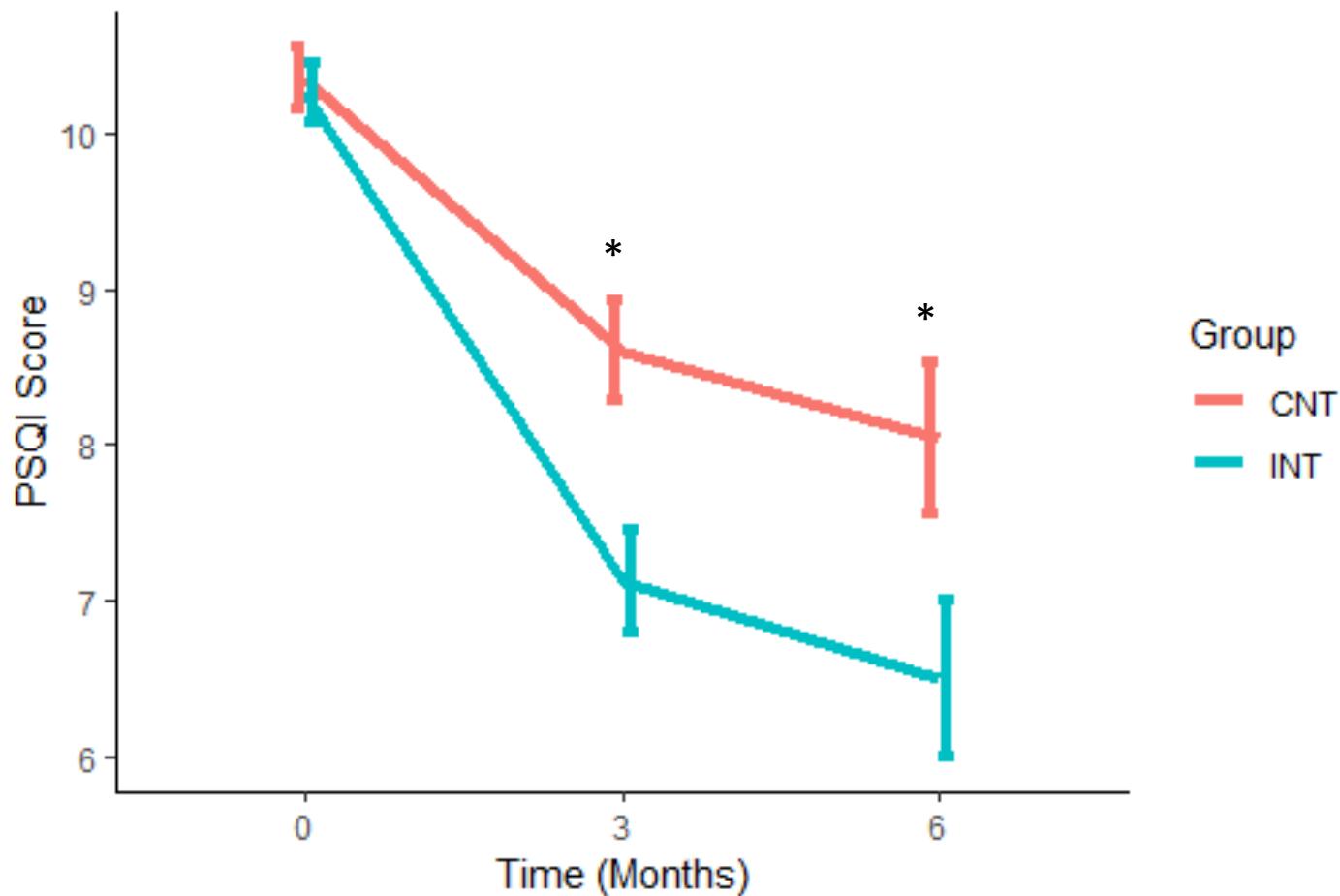
*Personalized chronotherapy maintains sleep efficiency and fragmentation compared to usual care*



*Note: Model Controls for Baseline Sleep Efficiency and STOP –BANG score*

# Chronobiotics

*Personalized chronotherapy significantly improves subjective sleep quality compared to usual care*



*Note: Model Controls for Baseline PSQI Score and STOP –BANG score*

# Treatments for Improving Circadian Rhythms

## *Chronobiotics*

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Uses zeitgebers to re-align the biological clock

Can improve both circadian rhythms and sleep quality

Requires precise timing according to circadian cycle

Feasibility long-term is questionable

# Practice Question

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What is the best method for improving sleep quality?

What is the least effective method for improving sleep quality?

**Answer:** There is no one best method, or least effective method for improving sleep quality. Sleep is complicated, and improving sleep often requires a multi-faceted approach.

# Summary

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There are a number of treatments to improve sleep and circadian rhythms

- Pharmacological and behavioural strategies

The effects of these interventions tend to have a modest effect-size at best

Lifestyle and behavioural strategies may be the most healthy long-term, but it is dependent upon the individual person's needs

# Questions

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