

8. D 2, 3, 4, 5, 6, 7.

- 2 Find the characteristic polynomial and the minimal polynomial of the operator  $N$  in Example 8.54.  $\mathbb{C}^6$

$$N(z_1, z_2, z_3, z_4, z_5, z_6) = (0, z_1, z_2, 0, z_4, 0)$$

$$N^2(z_1, z_2, z_3, z_4, z_5, z_6) = (0, 0, z_1, 0, 0, 0)$$

$$N^3(z_1, z_2, z_3, z_4, z_5, z_6) = (0, 0, 0, 0, 0, 0)$$

so  $N$  is nilpotent  $\Rightarrow$  all eigenvalues of  $N$  are 0

$\Rightarrow$  the characteristic polynomial is  $q(z) = z^{\dim V} = z^6$

The minimal polynomial is  $p(z) = z^3$ .

- 3 Suppose  $N \in \mathcal{L}(V)$  is nilpotent. Prove that the minimal polynomial of  $N$  is  $z^{m+1}$ , where  $m$  is the length of the longest consecutive string of 1's that appears on the line directly above the diagonal in the matrix of  $N$  with respect to any Jordan basis for  $N$ .

$$J = \begin{pmatrix} J_1(w) & & \\ & J_2(w) & \\ & & \ddots \\ & & & J_s(w) \end{pmatrix}$$

$$\text{rank } J_1(w) \leq \text{rank } J_2(w) \leq \dots \leq \text{rank } J_s(w)$$

$$J_s(w) = \begin{pmatrix} 0 & 1 & & \\ & 0 & 1 & \\ & & \ddots & 1 \\ & & & 0 \end{pmatrix}_{(m+1) \times (m+1)}$$

$$J^k = 0 \Leftrightarrow J_s^k(w) = 0 \Leftrightarrow k \geq m+1 \quad \text{i.e. } J^k = 0 \text{ 的最小的 } k = m+1$$

so the minimal polynomial of  $N$  is  $z^{m+1}$

- 4 Suppose  $T \in \mathcal{L}(V)$  and  $v_1, \dots, v_n$  is a basis of  $V$  that is a Jordan basis for  $T$ . Describe the matrix of  $T$  with respect to the basis  $v_n, \dots, v_1$  obtained by reversing the order of the  $v$ 's.

$$\mathcal{M}(T; v_1, \dots, v_n) = \begin{pmatrix} \lambda_1 & & & \\ & \ddots & & \\ & & \lambda_1 & \\ & & & \ddots \\ & & & & \lambda_m & & \\ & & & & & \ddots & \\ & & & & & & \lambda_m & \\ & & & & & & & \ddots \\ & & & & & & & & \lambda_m \end{pmatrix} = J_1$$

$$\mathcal{M}(T; v_n, \dots, v_1) = \begin{pmatrix} \lambda_m & & & \\ & \ddots & & \\ & & \lambda_m & \\ & & & \ddots \\ & & & & \lambda_1 & & \\ & & & & & \ddots & \\ & & & & & & \lambda_1 \end{pmatrix}$$

$(v_1, \dots, v_n) = (v_1, \dots, v_n) \begin{pmatrix} & & 1 \\ & & & \ddots \\ & & & & 1 \end{pmatrix} = P$   
 $P^{-1} = P$   
 $T(v_n, \dots, v_1) = T(v_1, \dots, v_n)P$   
 $= (v_1, \dots, v_n) J_1 P$   
 $= (v_n, \dots, v_1) P^{-1} J_1 P$

- 5 Suppose  $T \in \mathcal{L}(V)$  and  $v_1, \dots, v_n$  is a basis of  $V$  that is a Jordan basis for  $T$ . Describe the matrix of  $T^2$  with respect to this basis.

$$\mathcal{M}(T; v_1, \dots, v_n) = \begin{pmatrix} J(\lambda_1) & & \\ & \ddots & \\ & & J(\lambda_m) \end{pmatrix} = \begin{pmatrix} \lambda_1 & & & \\ & \ddots & & \\ & & \lambda_1 & \\ & & & \ddots \\ & & & & \lambda_m & & \\ & & & & & \ddots & \\ & & & & & & \lambda_m \end{pmatrix}$$

$$\mathcal{M}(T^2; v_1, \dots, v_n) = \begin{pmatrix} J(\lambda_1) & & \\ & \ddots & \\ & & J(\lambda_m) \end{pmatrix}^2 = \begin{pmatrix} J^2(\lambda_1) & & \\ & \ddots & \\ & & J^2(\lambda_m) \end{pmatrix}$$

$$J^2(\lambda_i) = \begin{pmatrix} \lambda_i & 1 & & \\ & \lambda_i & \ddots & \\ & & \ddots & 1 \\ & & & \lambda_i \end{pmatrix}^2 = \begin{pmatrix} \lambda_i^2 & 2\lambda_i & 1 & 0 & \dots & 0 \\ & \lambda_i^2 & 2\lambda_i & 1 & \dots & 0 \\ & & \ddots & \ddots & \ddots & 1 \\ & & & \lambda_i^2 & 2\lambda_i & 1 \\ & & & & \ddots & \ddots \\ & & & & & \lambda_i^2 \end{pmatrix}$$

$$= \left( \lambda_i I + \begin{pmatrix} 0 & 1 & & \\ & 0 & \ddots & \\ & & \ddots & 1 \\ & & & 0 \end{pmatrix} \right)^2 = \lambda_i^2 I + 2\lambda_i \begin{pmatrix} 0 & 1 & & \\ & 0 & \ddots & \\ & & \ddots & 1 \\ & & & 0 \end{pmatrix} + \begin{pmatrix} 0 & 1 & & \\ & 0 & \ddots & \\ & & \ddots & 1 \\ & & & 0 \end{pmatrix}^2$$

- 6 Suppose  $N \in \mathcal{L}(V)$  is nilpotent and  $v_1, \dots, v_n$  and  $m_1, \dots, m_n$  are as in 8.55. Prove that  $N^{m_1}v_1, \dots, N^{m_n}v_n$  is a basis of  $\text{null } N$ .

[The exercise above implies that  $n$ , which equals  $\dim \text{null } N$ , depends only on  $N$  and not on the specific Jordan basis chosen for  $N$ .]

Take  $u, \dots, v_l \in \text{range } N$ .

$$\begin{matrix} v_1, & Nv_1, & \dots, & N^{m_1-1}v_1 \\ v_2, & Nv_2, & \dots, & N^{m_2-1}v_2 \\ \vdots & & & \\ v_l, & Nv_l, & \dots, & N^{m_l-1}v_l \end{matrix} \left. \vphantom{\begin{matrix} v_1, \\ v_2, \\ \vdots \\ v_l, \end{matrix}} \right\} \text{a basis of range } N.$$

$\Downarrow$  扩充

$v_1, \dots, v_l \in \text{range } N$ , then  
 $\exists u_1, \dots, u_l \in V$  s.t.  $Nu_i = v_i$

$$\begin{matrix} u_1 & Nu_1 & N^2u_1 & \dots & N^{m_1}u_1 \\ u_2 & Nu_2 & N^2u_2 & \dots & N^{m_2}u_2 \\ \vdots & & & & \\ u_l & Nu_l & N^2u_l & \dots & N^{m_l}u_l \end{matrix} \rightarrow \text{basis of range } N$$

$\Downarrow$  扩充

$$\begin{matrix} u_1 & Nu_1 & N^2u_1 & \dots & N^{m_1}u_1 \\ u_2 & Nu_2 & N^2u_2 & \dots & N^{m_2}u_2 \\ \vdots & & & & \\ u_l & Nu_l & N^2u_l & \dots & N^{m_l}u_l \\ & & & & u_{l+1} \\ & & & & \vdots \\ & & & & u_{l+p} \end{matrix} \rightarrow \text{basis of } V$$

$\downarrow$   
 $\dim \text{null } N = l+p$

$N^{m_1}u_1, \dots, N^{m_l}u_l \in \text{null } N$

$Nu_{l+1} = \dots = Nu_{l+p} = 0 \Rightarrow u_{l+1}, \dots, u_{l+p} \in \text{null } N$

$N^{m_1}u_1, \dots, N^{m_l}u_l, u_{l+1}, \dots, u_{l+p}$  basis of  $\text{null } N$  故线性无关

- 7 Suppose  $p, q \in \mathcal{P}(\mathbb{C})$  are monic polynomials with the same zeros and  $q$  is a polynomial multiple of  $p$ . Prove that there exists  $T \in \mathcal{L}(C^{\deg q})$  such that the characteristic polynomial of  $T$  is  $q$  and the minimal polynomial of  $T$  is  $p$ .

Suppose  $p(z) = (z - \lambda_1)^{d_1} \cdots (z - \lambda_m)^{d_m}$ ,  $q(z) = (z - \lambda_1)^{k_1} \cdots (z - \lambda_m)^{k_m}$   $k_i \geq d_i, \forall i = 1, \dots, m$

There exists a basis of  $V$  s.t.

$$\mathcal{M}(T; v_1, \dots, v_n) = \begin{pmatrix} J(\lambda_1) & & \\ & \ddots & \\ & & J(\lambda_m) \end{pmatrix}$$

$$J(\lambda_i) = \begin{pmatrix} \lambda_i & 1 & & \\ & \lambda_i & \ddots & \\ & & \ddots & 1 \\ & & & \lambda_i \end{pmatrix} \quad \text{where } 1 \text{ appears } d_i - 1 \text{ times.}$$

$d_i \times d_i$   $k_i \times k_i$

minimal polynomial:  $(z - \lambda_i)^{d_i} \rightarrow \exists d_i \text{ } \forall i \text{ } \text{Jordan block}$