

题 号	1	2	3	4	5	6	7
分 值	15 分	15 分	15 分	10 分	15 分	10 分	20 分

1. (15 points, 3 points each) Multiple Choice. Only one choice is correct.

(共 15 分, 每小题 3 分) 选择题, 只有一个选项是正确的.

(1) Let  $\mathbf{u}$  and  $\mathbf{v}$  be unit vectors. If the vectors  $\mathbf{p} = \mathbf{u} + 5\mathbf{v}$  and  $\mathbf{q} = 5\mathbf{u} - 4\mathbf{v}$  are orthogonal, then the angle  $\alpha$  between  $\mathbf{u}$  and  $\mathbf{v}$  is:

(A)  $\alpha = \frac{\pi}{6}$ .

(B)  $\alpha = \frac{\pi}{4}$ .

(C)  $\alpha = \frac{\pi}{3}$ .

(D)  $\alpha = \frac{3\pi}{4}$ .

设  $\mathbf{u}, \mathbf{v}$  为单位向量. 如果向量  $\mathbf{p} = \mathbf{u} + 5\mathbf{v}$  和向量  $\mathbf{q} = 5\mathbf{u} - 4\mathbf{v}$  正交, 则  $\mathbf{u}$  和  $\mathbf{v}$  的夹角  $\alpha$  为:

(A)  $\alpha = \frac{\pi}{6}$ .

(B)  $\alpha = \frac{\pi}{4}$ .

(C)  $\alpha = \frac{\pi}{3}$ .

(D)  $\alpha = \frac{3\pi}{4}$ .

(2) Suppose  $A = I - 2\alpha^T\alpha$ , and  $\alpha\alpha^T = 1$ , then which of the following statements of  $A$  is not correct? ( )

(A)  $A^T = A$

(B)  $A^T = A^{-1}$

(C)  $AA^T = I$

(D)  $A^2 = A$

设  $A = I - 2\alpha^T\alpha$ , 且  $\alpha\alpha^T = 1$ , 则  $A$  不能满足的结论是 ( ) .

(A)  $A^T = A$

(B)  $A^T = A^{-1}$

(C)  $AA^T = I$

(D)  $A^2 = A$

(3) For a matrix  $M$  we denote by  $\text{rank}(M)$  the rank of  $M$ . Let  $A$  and  $B$  be two  $n \times n$  matrices. Which of the following statements is not true? ( )

(A)  $\text{rank}(AB) \leq \min\{\text{rank}(A), \text{rank}(B)\}$ .

(B)  $\text{rank}(A) + \text{rank}(B) \leq \text{rank}(AB) + n$ .

(C)  $\text{rank}(A + B) \leq \text{rank}(A) + \text{rank}(B)$ .

(D)  $\text{rank}(AB) = \min\{\text{rank}(A), \text{rank}(B)\}$ .

用  $\text{rank}(M)$  表示矩阵  $M$  的秩. 假定  $A, B$  都是  $n$  阶矩阵. 下列哪个选项是不正确的?

(A)  $\text{rank}(AB) \leq \min\{\text{rank}(A), \text{rank}(B)\}$ .

(B)  $\text{rank}(A) + \text{rank}(B) \leq \text{rank}(AB) + n$ .

(C)  $\text{rank}(A + B) \leq \text{rank}(A) + \text{rank}(B)$ .

(D)  $\text{rank}(AB) = \min\{\text{rank}(A), \text{rank}(B)\}$ .

(4) Let  $A$  be a symmetric matrix of order  $n$ , and  $B$  be a skew-symmetric matrix of order  $n$ . Then which of the following matrices is skew-symmetric? ( )

(A)  $AB - BA$ .

(B)  $(AB)^2$ .

(C)  $AB + BA$ .

(D)  $BAB$ .

设  $A$  是  $n$  阶对称矩阵,  $B$  是  $n$  阶反对称矩阵, 则下列矩阵为反对称矩阵的是 ( ).

(A)  $AB - BA$ .

(B)  $(AB)^2$ .

(C)  $AB + BA$ .

(D)  $BAB$ .

(5) If the vectors

$$\alpha_1 = \begin{bmatrix} 1 \\ 1 \\ 2 \end{bmatrix}, \alpha_2 = \begin{bmatrix} 1 \\ 3 \\ 4 \end{bmatrix}, \alpha_3 = \begin{bmatrix} 1 \\ 5 \\ x \end{bmatrix}$$

are linearly dependent, then  $x =$  ( )

(A) 2.

(B) 4.

(C) 6.

(D) 8.

如果  $\alpha_1 = \begin{bmatrix} 1 \\ 1 \\ 2 \end{bmatrix}$ ,  $\alpha_2 = \begin{bmatrix} 1 \\ 3 \\ 4 \end{bmatrix}$ ,  $\alpha_3 = \begin{bmatrix} 1 \\ 5 \\ x \end{bmatrix}$  线性相关. 则  $x =$  ( )

(A) 2.

(B) 4.

(C) 6.

(D) 8.

2. (15 points, 3 points each) Fill in the blanks. (共 15 分, 每小题 3 分) 填空题.

(1) Let  $X = AX + B$ , where  $A = \begin{bmatrix} 0 & 1 & 0 \\ -1 & 1 & 1 \\ -1 & 0 & -1 \end{bmatrix}$ ,  $B = \begin{bmatrix} 1 & -1 \\ 2 & 0 \\ 5 & -3 \end{bmatrix}$ , then  $X =$  \_\_\_\_\_.

已知  $X = AX + B$ , 其中  $A = \begin{bmatrix} 0 & 1 & 0 \\ -1 & 1 & 1 \\ -1 & 0 & -1 \end{bmatrix}$ ,  $B = \begin{bmatrix} 1 & -1 \\ 2 & 0 \\ 5 & -3 \end{bmatrix}$ , 则  $X =$  \_\_\_\_\_.

(2) If  $\eta_1, \eta_2, \eta_3$  are solutions to the system of linear equations  $Ax = b$ , and  $\lambda_1\eta_1 + \lambda_2\eta_2 + \lambda_3\eta_3$  is another solution to  $Ax = b$ , then  $\lambda_1, \lambda_2, \lambda_3$  must satisfy \_\_\_\_\_.

已知  $\eta_1, \eta_2, \eta_3$  均是线性方程组  $Ax = b$  的解, 若  $\lambda_1\eta_1 + \lambda_2\eta_2 + \lambda_3\eta_3$  也是  $Ax = b$  的解, 则  $\lambda_1, \lambda_2, \lambda_3$  应满足 \_\_\_\_\_.

(3) If

$$A = \begin{bmatrix} 8 & 2 & 2 & -1 & 1 \\ 1 & 7 & 4 & -2 & 5 \\ -2 & 4 & 2 & -1 & 3 \end{bmatrix},$$

then  $\text{rank } A =$  \_\_\_\_\_.

如果

$$A = \begin{bmatrix} 8 & 2 & 2 & -1 & 1 \\ 1 & 7 & 4 & -2 & 5 \\ -2 & 4 & 2 & -1 & 3 \end{bmatrix},$$

则  $\text{rank } A =$  \_\_\_\_\_.

(4) Let  $A$  be an  $n \times n$  matrix with  $n$  independent eigenvectors corresponding to the eigenvalue  $\lambda_0$ , then  $A =$  \_\_\_\_\_.

若  $n$  阶矩阵  $A$  有  $n$  个属于特征值  $\lambda_0$  的线性无关的特征向量, 则  $A =$  \_\_\_\_\_.

(5) Let  $M$  be a  $2 \times 2$  matrix satisfying

$$\begin{bmatrix} 1 & 2 \\ 3 & 4 \end{bmatrix} M = \begin{bmatrix} 3 & 5 \\ 5 & 9 \end{bmatrix}.$$

Then the determinant of  $M$ ,  $|M| =$  \_\_\_\_\_.

设  $M$  是一个满足以下条件的  $2 \times 2$  矩阵

$$\begin{bmatrix} 1 & 2 \\ 3 & 4 \end{bmatrix} M = \begin{bmatrix} 3 & 5 \\ 5 & 9 \end{bmatrix}.$$

则矩阵  $M$  的行列式  $|M| =$  \_\_\_\_\_.

3. (15 points 本题共 15 分) Consider the system of linear equations

$$\begin{cases} x_1 + x_2 + x_3 = 1 \\ -x_1 + 3x_2 + 2x_3 = b \\ 2x_1 + 4x_2 + ax_3 = 3. \end{cases}$$

Decide  $a, b$  so that the above system has

- (a) no solution;
- (b) has a unique solution;
- (c) has infinitely many solutions and find all the solutions.

考虑线性方程组

$$\begin{cases} x_1 + x_2 + x_3 = 1 \\ -x_1 + 3x_2 + 2x_3 = b \\ 2x_1 + 4x_2 + ax_3 = 3. \end{cases}$$

求  $a, b$  的值, 使得以上方程组

- (a) 没有解;
- (b) 有唯一解;
- (c) 有无穷多个解, 并求出所有解.

4. (10 points 本题共 10 分) Let

$$A = \begin{bmatrix} 0 & 1 & 2 & 1 & 2 \\ 0 & 2 & 4 & 6 & 8 \\ 0 & 0 & 0 & 1 & 1 \end{bmatrix}.$$

Please give the bases for the four fundamental subspaces:

$C(A)$ ,  $N(A)$ ,  $C(A^T)$ , and  $N(A^T)$ , respectively.

令

$$A = \begin{bmatrix} 0 & 1 & 2 & 1 & 2 \\ 0 & 2 & 4 & 6 & 8 \\ 0 & 0 & 0 & 1 & 1 \end{bmatrix}.$$

请找出四个基本子空间

$C(A)$ ,  $N(A)$ ,  $C(A^T)$ , 和  $N(A^T)$

各自的一组基.

5. (15 points 本题共 15 分) Consider

$$A = \begin{bmatrix} 1 & 1 \\ -1 & 1 \\ 1 & 1 \end{bmatrix}.$$

- (a) Show that  $A^T A$  is positive definite.
- (b) Find a Singular Value Decomposition of  $A$ .

考虑

$$A = \begin{bmatrix} 1 & 1 \\ -1 & 1 \\ 1 & 1 \end{bmatrix}.$$

- (a) 证明:  $A^T A$  是正定矩阵.
- (b) 求  $A$  的一个奇异值分解.

6. (10 points 本题共 10 分) The following  $2 \times 2$  matrix provides a model for a 2-band non-Hermitian system in condensed matter physics:

$$\begin{bmatrix} f_1 & f_2 \\ -f_2 & -f_1 \end{bmatrix}.$$

Where  $f_1$  and  $f_2$  are real-valued functions of vectors in the momentum space.

(a) Let

$$\eta = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

be an indefinite Riemannian metric form. Verify that  $H$  satisfies the symmetry

$$\eta H \eta^{-1} = \overline{H}^T.$$

- (b) Determine points  $(f_1, f_2)$  in the parameter space where  $H$  has a double eigenvalue, with (1) two linearly independent eigenvectors and (2) only one linearly independent eigenvector. (These are called non-defective/defective degeneracies, or exceptional lines. They help physicists design solid materials that do not exist in nature in order to build optical devices with extraordinary applications such as holography).

下面这个矩阵给出了凝聚态物理中双频非厄米特系统的一个模型

$$\begin{bmatrix} f_1 & f_2 \\ -f_2 & -f_1 \end{bmatrix}.$$

这里  $f_1$  和  $f_2$  是动量空间中取实值的向量函数.

(a) 设

$$\eta = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

是一个不定的黎曼度量形式. 验证  $H$  满足以下对称性:

$$\eta H \eta^{-1} = \overline{H}^T.$$

(b) 在参数空间中决定  $(f_1, f_2)$  使得  $H$  具有相同的特征值, 同时满足 (1) 两个线性无关的特征向量 或 (2) 只有一个线性无关的特征向量. (这些称为无缺陷/有缺陷的退化形式, 或者叫异常线. 它们可以帮助物理学家设计在自然界不存在的固体材料, 这些材料可以用来生产用于全息摄影的光学仪器).

7. (20 points 本题共 20 分) The following two questions are independent.

(a) Let  $A, B$  be  $n \times n$  matrices. If  $AB = I$ , show that  $BA = I$ .

(b) Find all values of the parameter  $\lambda$  such that the form

$$Q(x_1, x_2, x_3) = 5x_1^2 + x_2^2 + \lambda x_3^2 + 4x_1x_2 - 2x_1x_3 - 2x_2x_3$$

is positive definite.

下面两个小题是独立的.

(a) 设  $A, B$  为  $n$  阶方阵, 证明: 如果  $AB = I$ , 则一定有  $BA = I$ .

(b) 求所有的  $\lambda$  使得

$$Q(x_1, x_2, x_3) = 5x_1^2 + x_2^2 + \lambda x_3^2 + 4x_1x_2 - 2x_1x_3 - 2x_2x_3$$

为正定的.