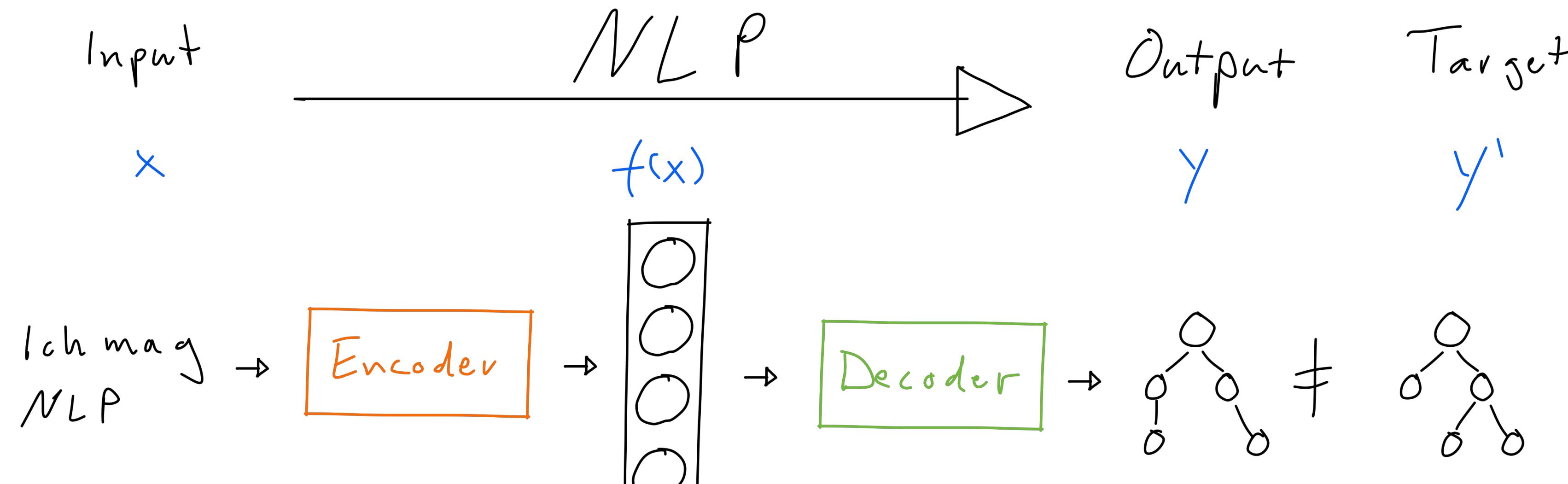


Constituency Parsing

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COMP0087 Natural Language Processing



NLP in a Nutshell



- Sentences
- Documents
- Languages
- Domains
- Databases

- Manual
- Learnt
- Pretrained
- RNN
- CNN

- symbolic
- sparse
- dense
- attention

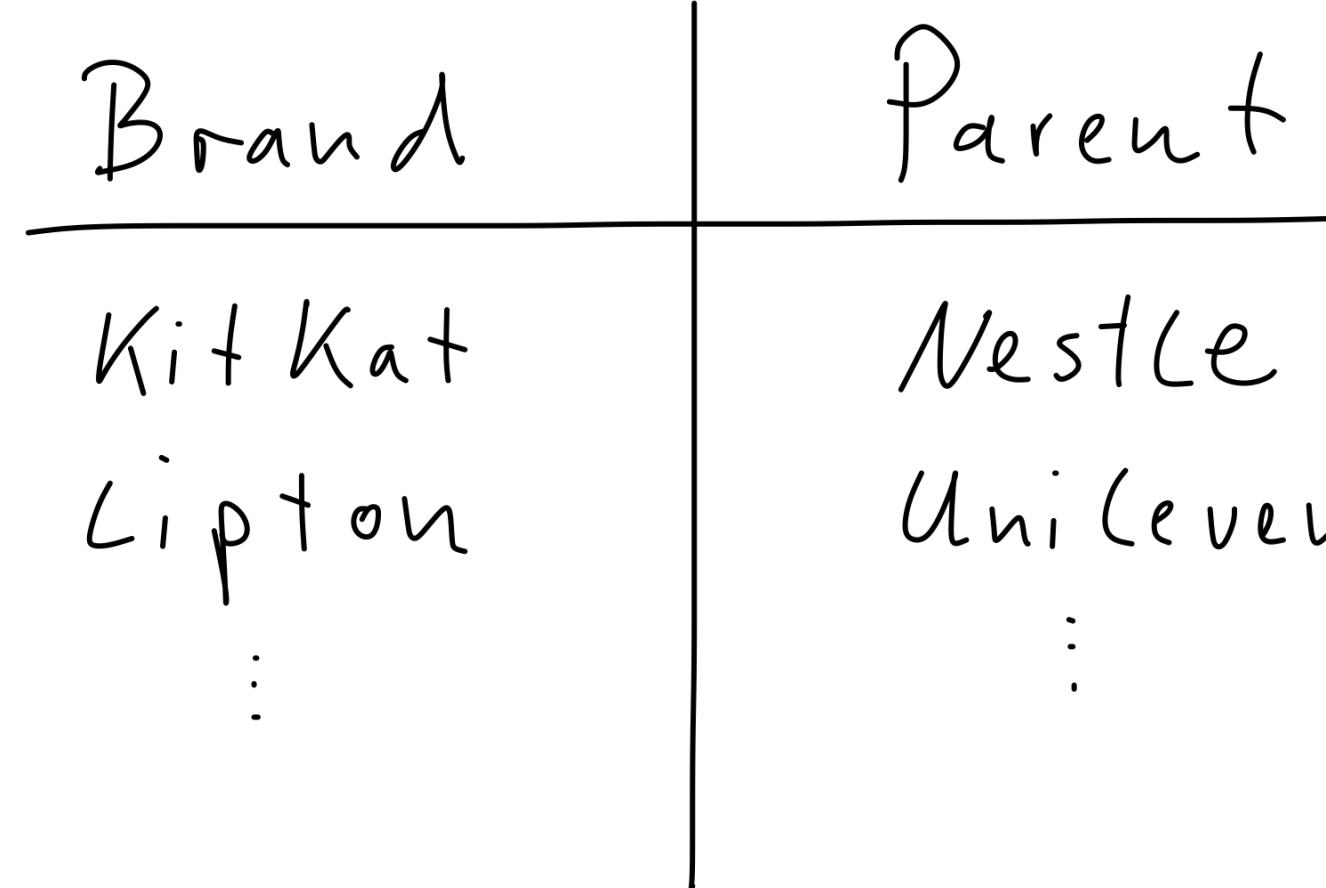
- softmax
- sequence decoder
- tree decoder

- labels
- sequences
- trees
- graphs

- supervised
- self-supervised
- weak
- unsup.
- semi-sup.

Motivation

- Say you want to automatically build a database of this form



Supervised Relation Extraction

Training Set

Dechra Pharmaceuticals has made its second acquisition after purchasing Genitrix

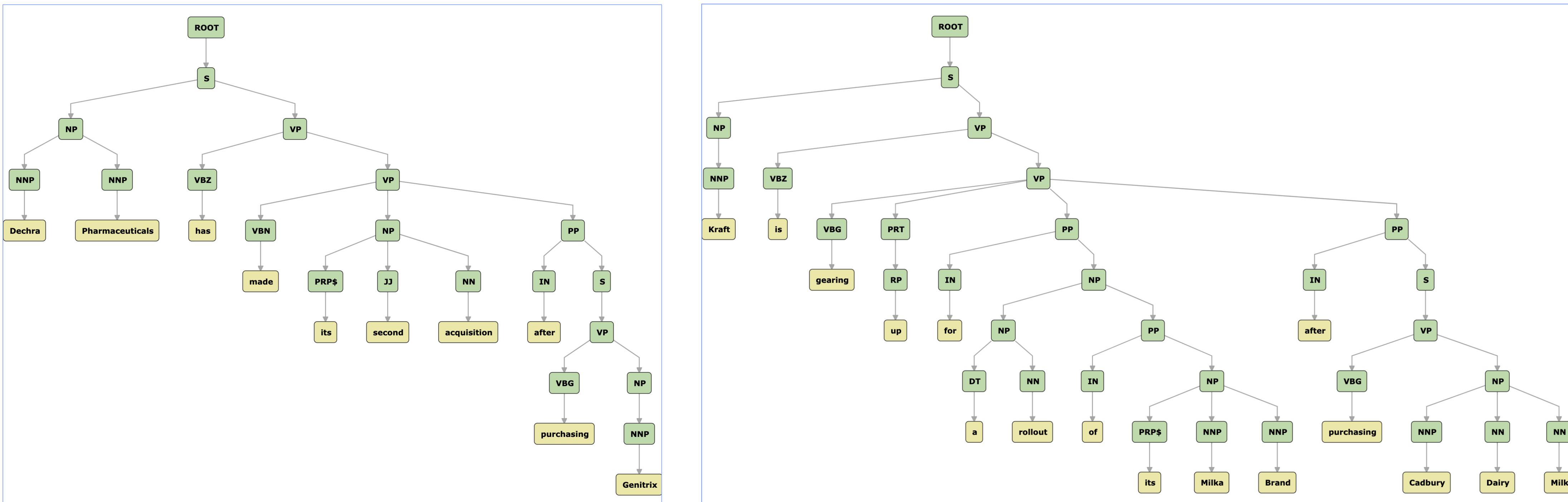
Trinity Mirror plc is the largest British newspaper after purchasing Local World

Test Set

Kraft is gearing up for a roll-out of its Milka brand after purchasing Cadbury Dairy Milk

Parse Trees

<http://corenlp.run>



Parsing

- Parsing is the process of finding these trees:
 - important for downstream applications
 - the "celebrity" sub-field of NLP
 - partly because it marries linguistics and NLP
 - researched in academia and industry

Syntax

- from the Greek syntaxis (arrangement):
 - **Constituency**: groups of words act as single units.
 - **Grammatical Relations**: object, subject, direct object etc.
 - **Subcategorization**: restrictions on the type of phrases that go with certain words.

Constituency

- **Noun Phrase (NP)**
 - a roll-out of its Milka brand
 - Cadbury Dairy Milk
 - a roll-out
- **Verb Phrase (VP)**
 - is gearing up
 - purchasing Cadbury Dairy Milk
- **Prepositional Phrase (PP)**
 - of its Milka brand
 - after purchasing Cadbury Dairy Milk

Grammatical Relations

Kraft is gearing up for a roll-out of its Milka brand after purchasing Cadbury Dairy Milk

- *Subject of purchasing:* Kraft
- *Object of purchasing:* Cadbury Dairy Milk

Subcategorization

- There are more complex (sub) categories of verbs (and other types of words)
 - Intransitive Verbs: must not have objects
 - the student works
 - Transitive Verbs: must have exactly one object
 - Kraft purchased Cadbury Dairy Milk
 - Ditransitive Verbs: must have two objects
 - Give me a break!

Context Free Grammars

A Context Free Grammar (CFG) is a 4-tuple (N, Σ, R, S)

- N : set of non-terminal symbols
- Σ : set of terminal symbols
- R : rules $X \rightarrow Y_1 \dots Y_n$ where $X \in N$ and $Y_i \in N \cup \Sigma$
- S : $\in N$, start symbol

Example Grammar

$S \rightarrow NP_p \quad VP_p \quad VP_p \rightarrow "are" \quad ADJ$

$S \rightarrow NP_s \quad VP_s \quad NP_s \rightarrow "Tim"$

$NP_p \rightarrow "Tim \ raps" \quad VP_s \rightarrow "raps \ in \ NLP"$

$ADJ \rightarrow "silly"$

Left-Most Derivation

- The structure of a sentence with respect to a grammar can be described by its derivation (if it exists)

Sequence of sequences $s_1 \dots s_n$ such that

- $s_1 = S$: first sequence is the start symbol
- $s_n \in \Sigma^*$: last sequence consists of only terminals
- s_i for $i > 1$: replace left most non-terminal α
in s_{i-1} with right-hand of $\alpha \rightarrow \beta_1 \dots \beta_n$

Example Derivation

$S \rightarrow NP_p \ VP_p$

$S \rightarrow NP_s \ VP_s$

$NP_p \rightarrow "Tim \ raps"$

$VP_p \rightarrow "are" \ ADJ$

$NP_s \rightarrow "Tim"$

$VP_s \rightarrow "raps \ in \ NLP"$

$ADJ \rightarrow "silly"$

$S_1 = S$

$S_2 = NP_p \ VP_p$

$S_3 = "Tim \ raps" \ VP_p$

$S_4 =$

Parse Trees

- Represent derivations as trees

$S \rightarrow NP_p \quad VP_p$

$S \rightarrow NP_s \quad VP_s$

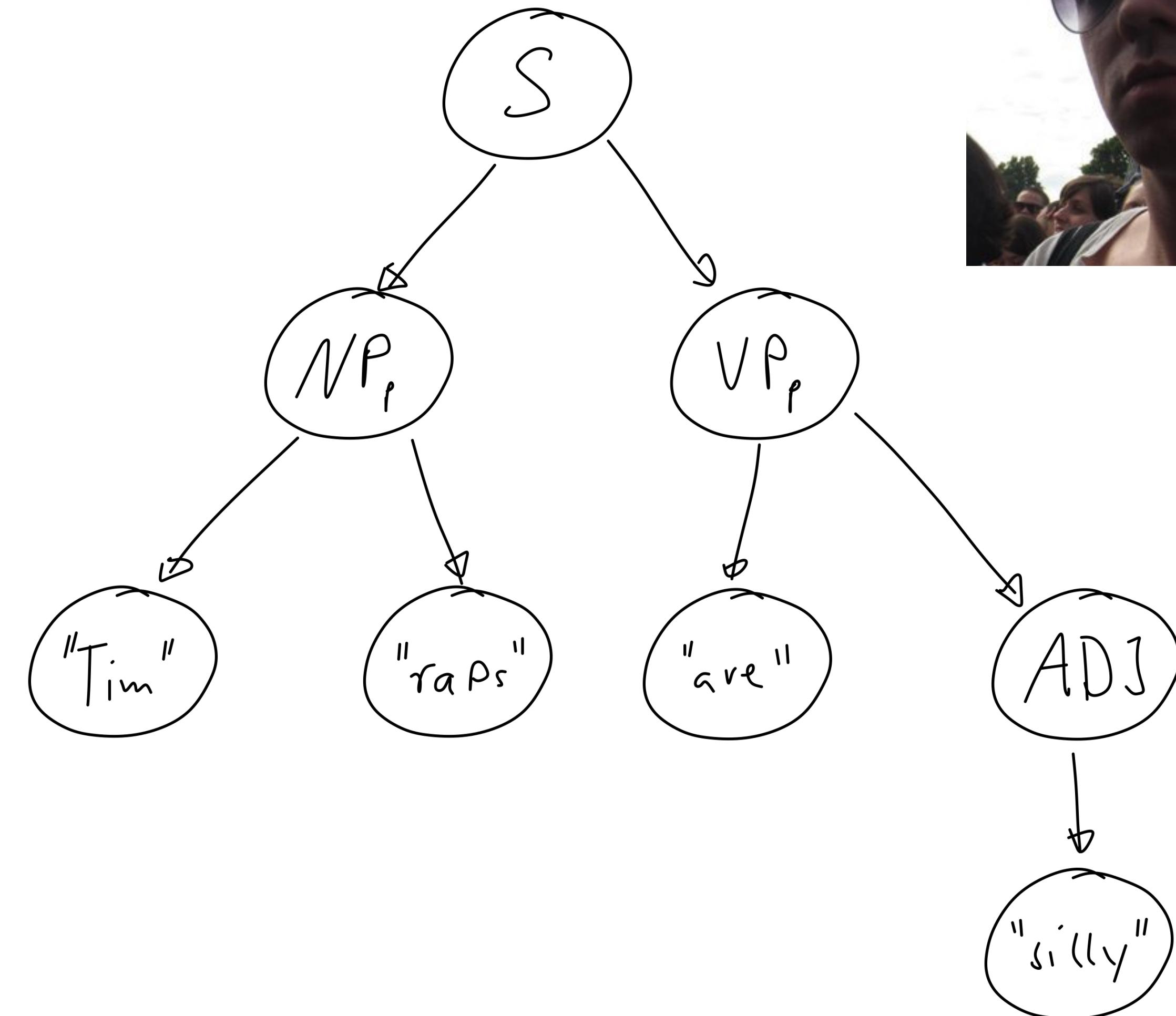
$NP_p \rightarrow "Tim \ raps"$

$VP_p \rightarrow "are" \ ADJ$

$NP_s \rightarrow "Tim"$

$VP_s \rightarrow "raps \ in \ NLP"$

$ADJ \rightarrow "silly"$



Parse Tree Example 2

- Represent derivations as trees

$S \rightarrow NP_p \quad VP_p$

$S \rightarrow NP_s \quad VP_s$

$NP_p \rightarrow "Tim \ raps"$

$VP_p \rightarrow "are" \ ADJ$

$NP_s \rightarrow "Tim"$

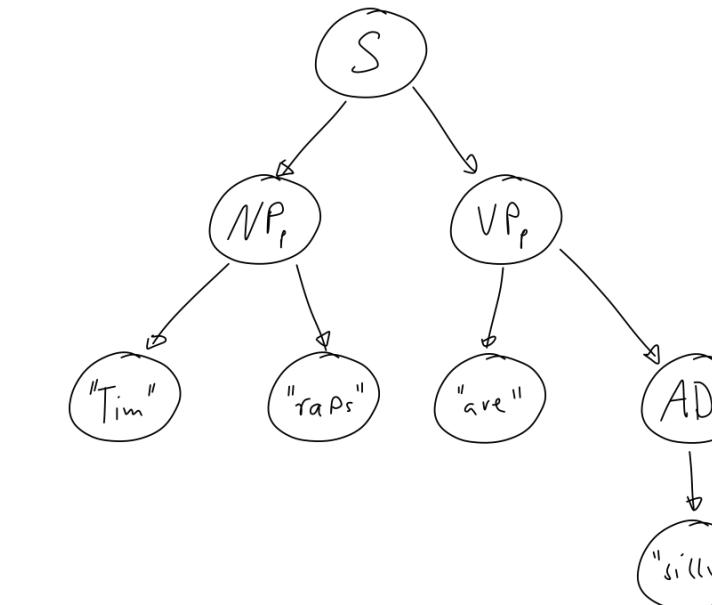
$VP_s \rightarrow "raps \ in \ NLP"$

$ADJ \rightarrow "silly"$

How to find Parse Tree

Syntactic Parsing: given grammar & sentence, get parse tree

// Tim raps are silly →



- Top Down
 - Start at S, generate trees and keep only those that match
 - wastes no effort in generating non-S trees
 - but generates a lot of trees inconsistent with input
- Bottom Up
 - Start at sentence, and compose trees until an S is reached
 - wastes no effort in generating inconsistent trees
 - but generates a lot trees that don't lead to S

Bottom up Parsing

$$S \rightarrow NP_p \ VP_p$$

$$S \rightarrow NP_s \ VP_s$$

$$NP_p \rightarrow "Tim \ raps"$$

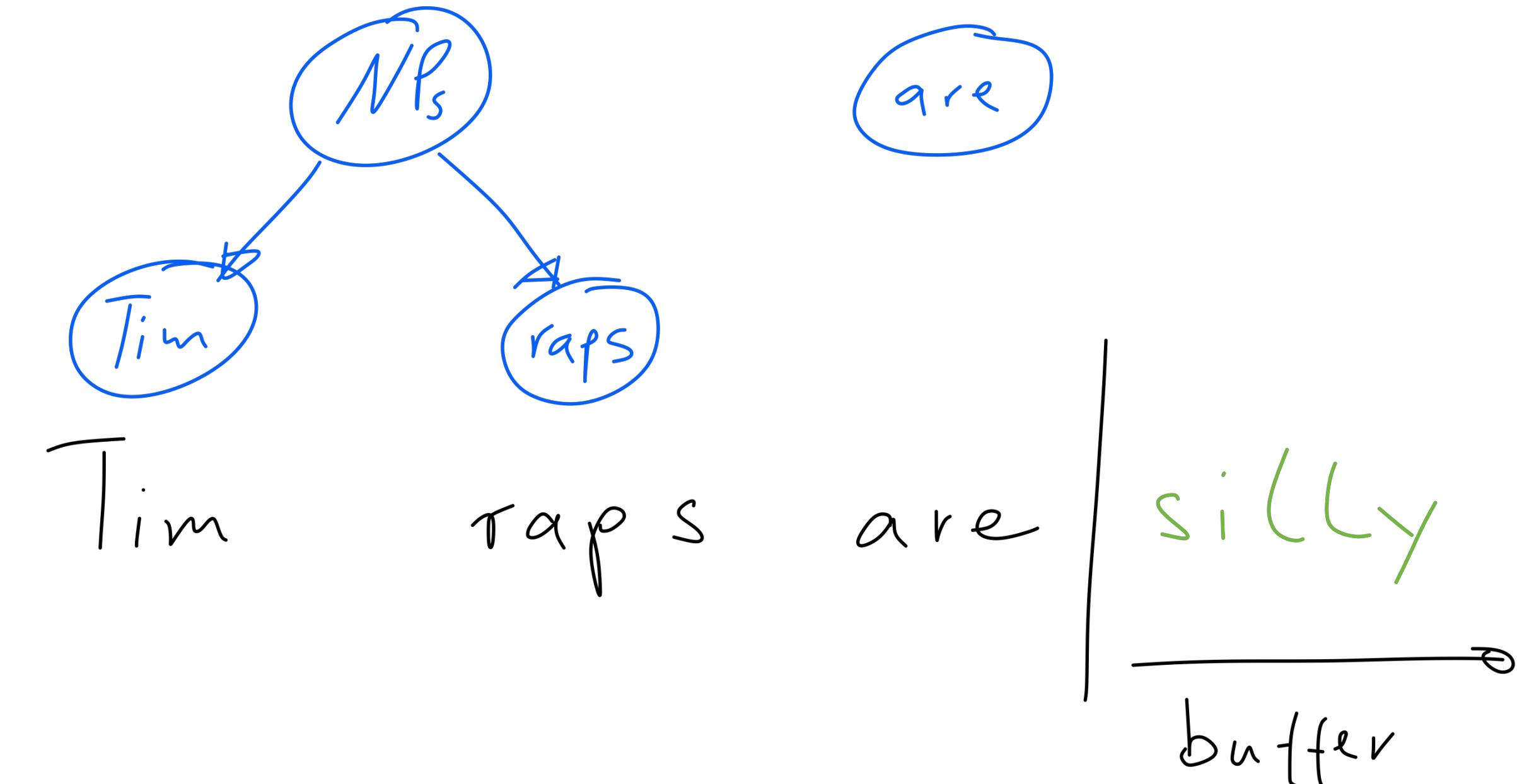
$$VP_p \rightarrow "are" \ ADJ$$

$$NP_s \rightarrow "Tim"$$

$$VP_s \rightarrow "raps \ in \ NLP"$$

$$ADJ \rightarrow "silly"$$

Stack



Shift

$S \rightarrow NP_p \ VP_p$

$S \rightarrow NP_s \ VP_s$

$NP_p \rightarrow "Tim \ raps"$

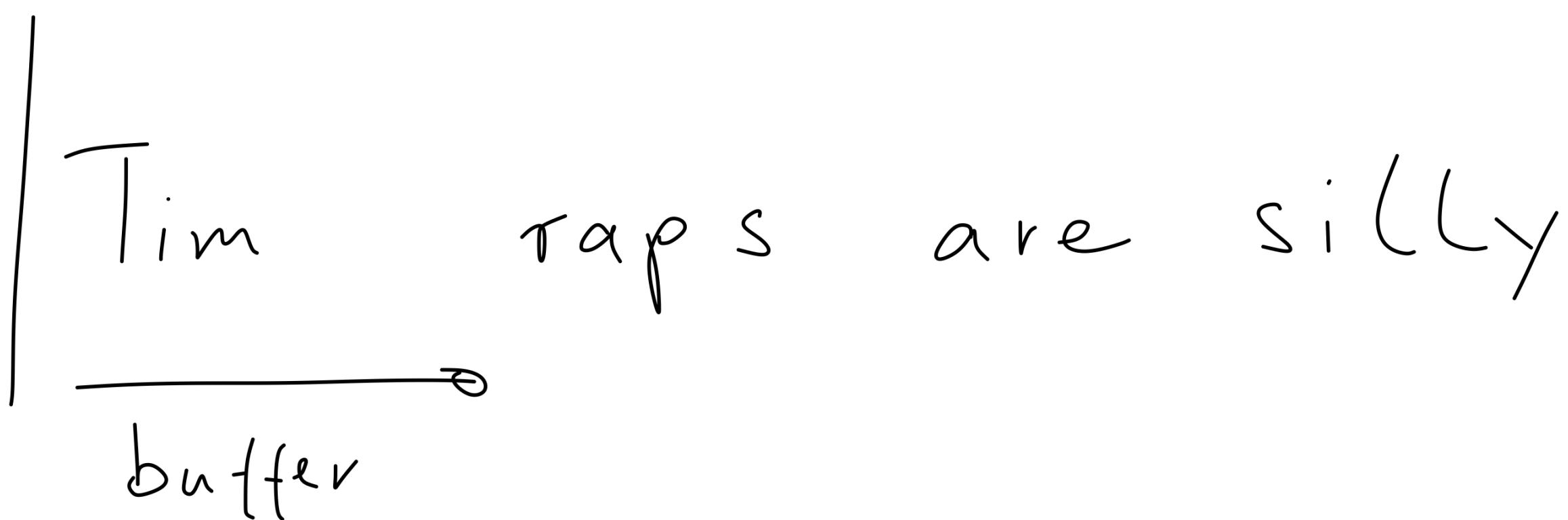
$VP_p \rightarrow "are" \ ADJ$

$NP_s \rightarrow "Tim"$

$VP_s \rightarrow "raps \ in \ NLP"$

$ADJ \rightarrow "silly"$

Stack



Shift

$S \rightarrow NP_p \ VP_p$

$S \rightarrow NP_s \ VP_s$

$NP_p \rightarrow "Tim \ raps"$

$VP_p \rightarrow "are" \ ADJ$

$NP_s \rightarrow "Tim"$

$VP_s \rightarrow "raps \ in \ NLP"$

$ADJ \rightarrow "silly"$

Stack

Tim

Tim

raps are silly

buffer

Reduce

$S \rightarrow NP_p \ VP_p$

$S \rightarrow NP_s \ VP_s$

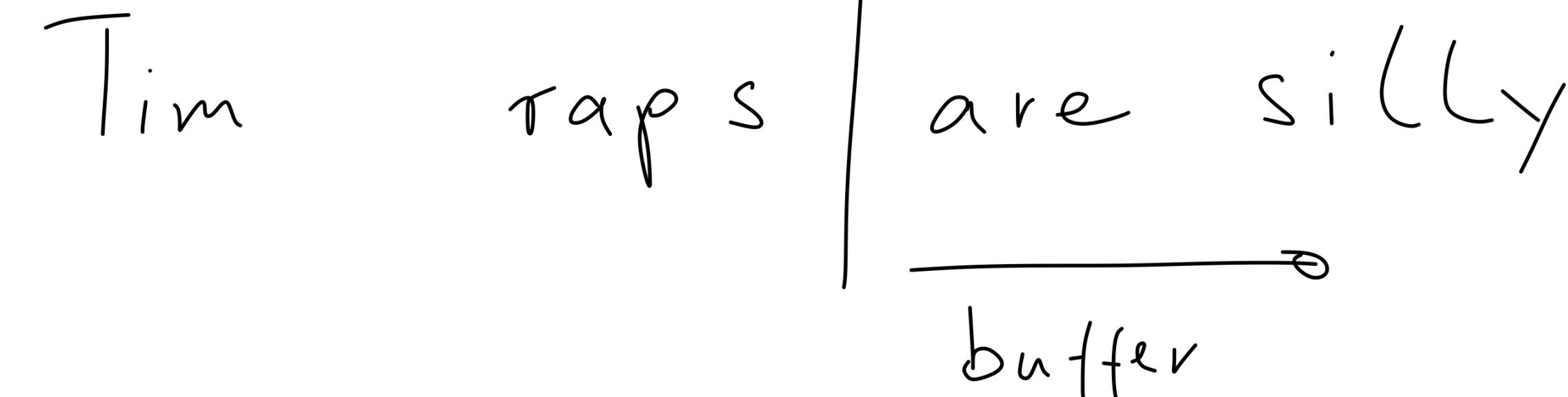
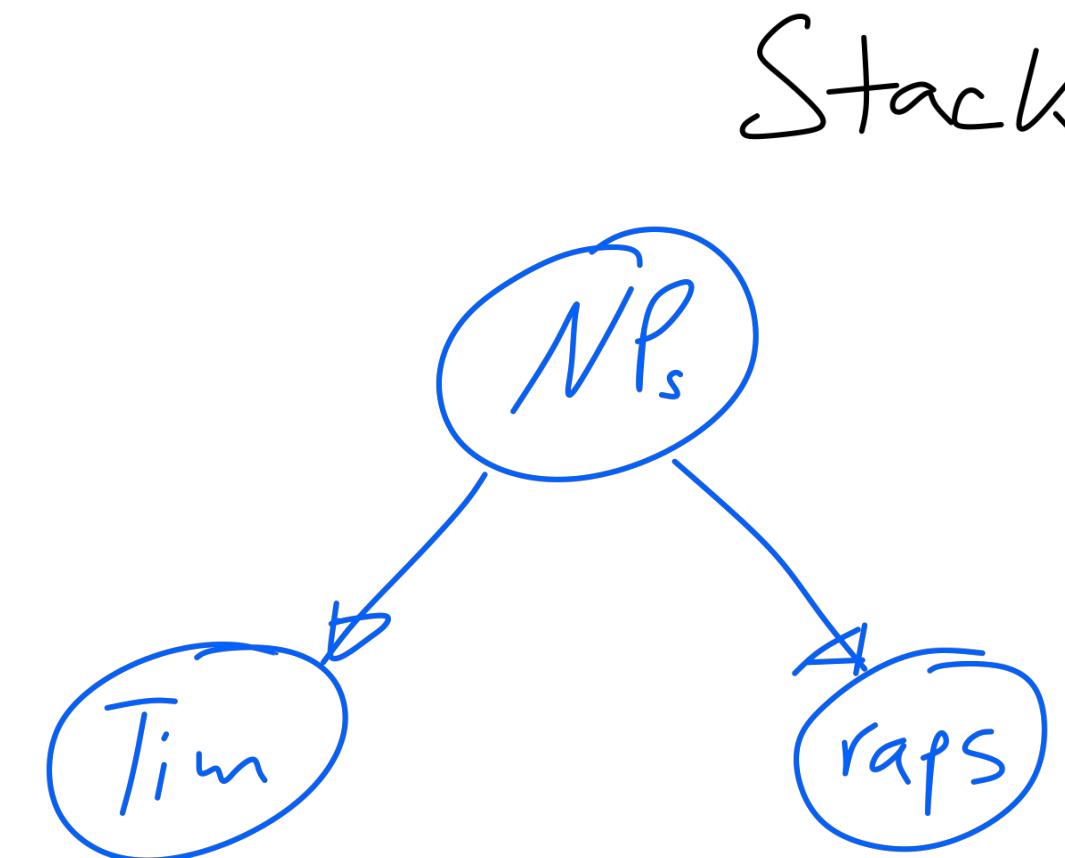
$NP_p \rightarrow "Tim \ raps"$

$VP_p \rightarrow "are" \ ADJ$

$NP_s \rightarrow "Tim"$

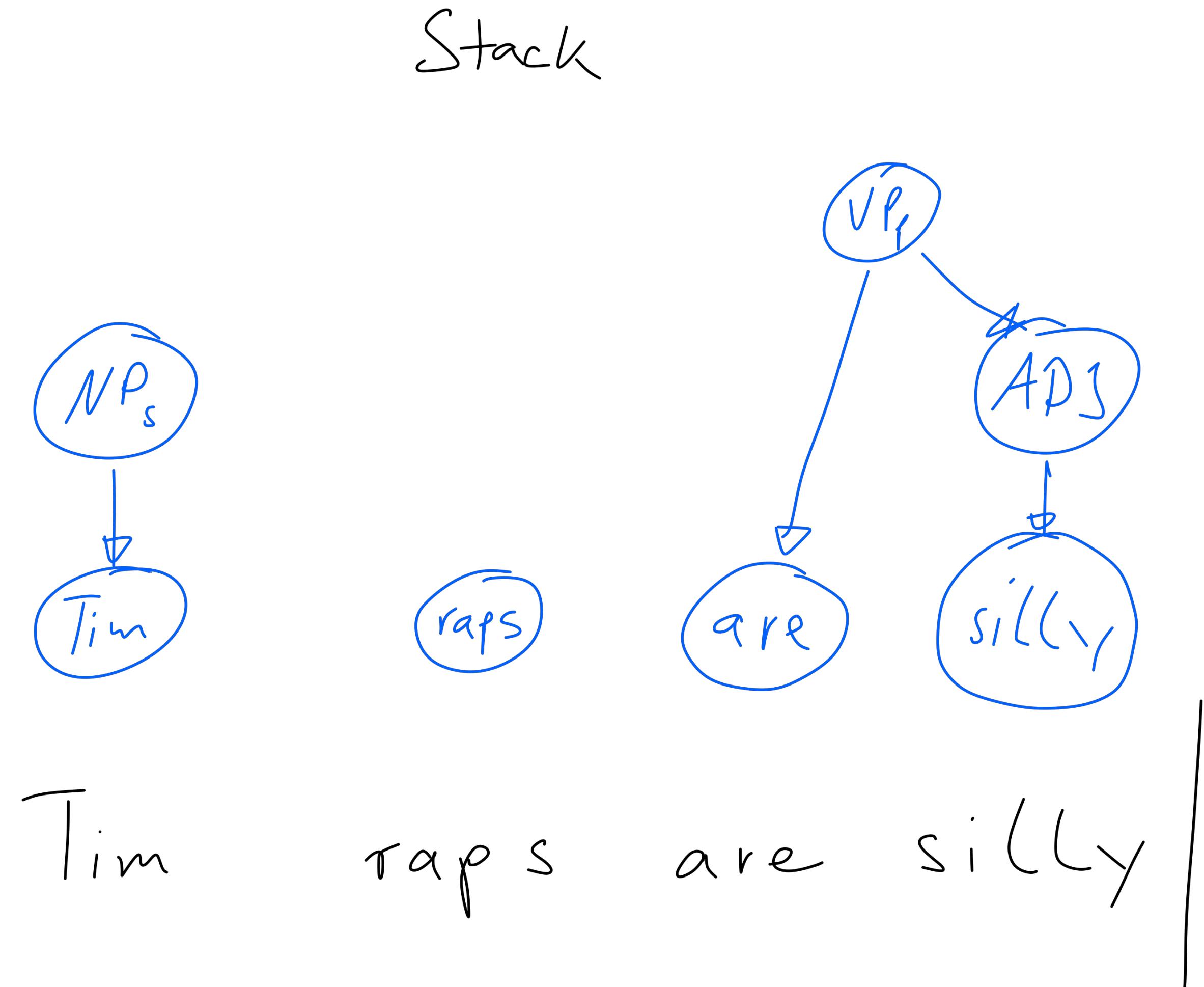
$VP_s \rightarrow "raps \ in \ NLP"$

$ADJ \rightarrow "silly"$



Backtracking

$S \rightarrow NP_p \quad VP_p$
 $S \rightarrow NP_s \quad VP_s$
 $NP_p \rightarrow "Tim \ raps"$
 $VP_p \rightarrow "are" \ ADJ$
 $NP_s \rightarrow "Tim"$
 $VP_s \rightarrow "raps \ in \ NLP"$
 $ADJ \rightarrow "silly"$



Backtracking

Stack

$S \rightarrow NP_p \ VP_p$

$S \rightarrow NP_s \ VP_s$

$NP_p \rightarrow "Tim \ raps"$

$VP_p \rightarrow "are" \ ADJ$

$NP_s \rightarrow "Tim"$

$VP_s \rightarrow "raps \ in \ NLP"$

$ADJ \rightarrow "silly"$

Tim

Tim

raps are silly

Backtracking

Stack

$S \rightarrow NP_p \ VP_p$

$S \rightarrow NP_s \ VP_s$

$NP_p \rightarrow "Tim \ raps"$

$VP_p \rightarrow "are" \ ADJ$

$NP_s \rightarrow "Tim"$

$VP_s \rightarrow "raps \ in \ NLP"$

$ADJ \rightarrow "silly"$

Tim

raps

Tim raps | are silly

Full Parse

Stack

$$S \rightarrow NP_p \ VP_p$$

$$S \rightarrow NP_s \ VP_s$$

$$NP_p \rightarrow "Tim \ raps"$$

$$VP_p \rightarrow "are" \ ADJ$$

$$NP_s \rightarrow "Tim"$$

$$VP_s \rightarrow "raps \ in \ NLP"$$

$$ADJ \rightarrow "silly"$$

Tim raps are silly

Dynamic Programming

- Cache parse trees for parts (spans!) of the sentence
- Build them up incrementally by span size
- First convert grammar into Chomsky Normal Form

Chomsky Normal Form

Rules have the form:

- $\alpha \rightarrow \beta\gamma \in N \setminus S \times N \setminus S$
 \nwarrow exactly 2 nonterminals
- $\alpha \rightarrow t \in \Sigma$
 \nwarrow exactly 1 terminal

Conversion to CNF

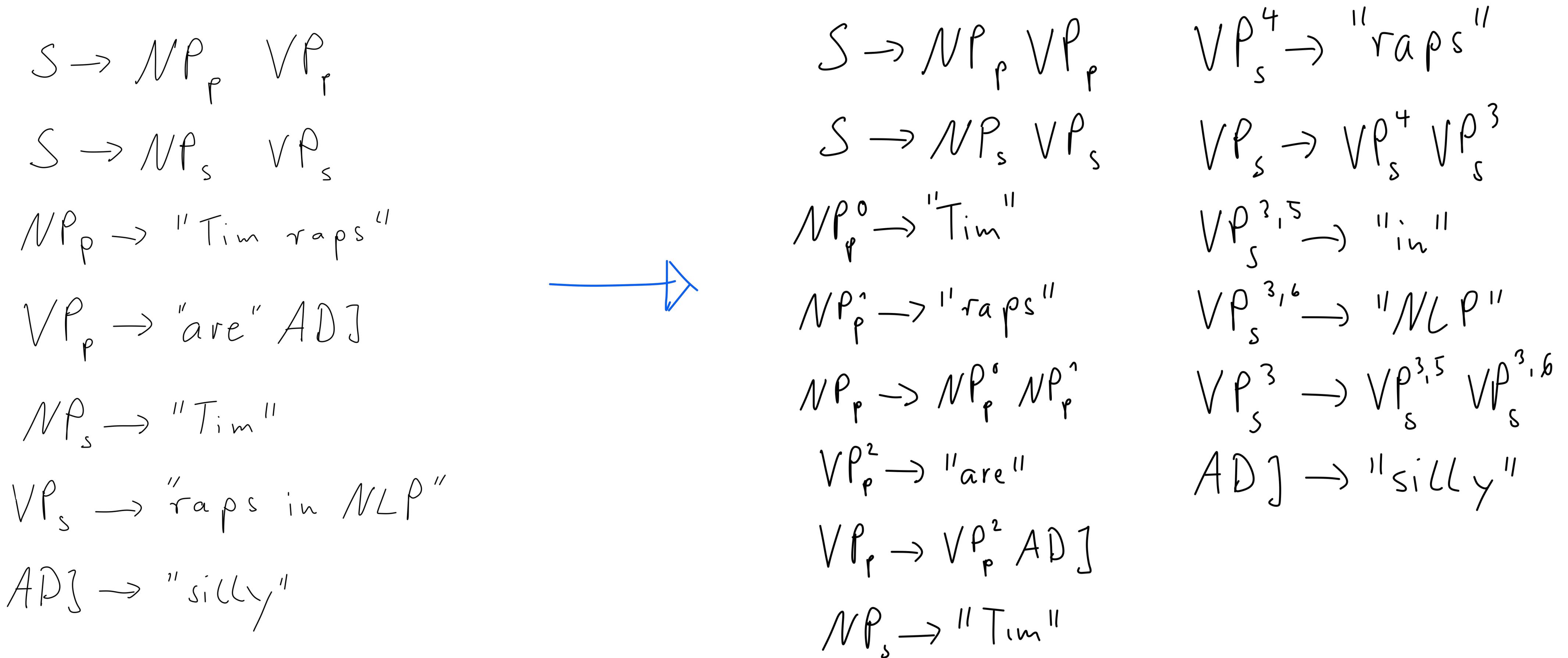
- $\alpha \rightarrow \beta y \delta \Rightarrow \alpha \rightarrow \beta \alpha', \alpha' \rightarrow y \delta$
- $\alpha \rightarrow \beta t \Rightarrow \alpha \rightarrow \beta \alpha', \alpha' \rightarrow t \in \Sigma$
- $\alpha \rightarrow \beta \quad \beta \rightarrow y \delta \Rightarrow \alpha \rightarrow y \delta, \beta \rightarrow y \delta$

Example

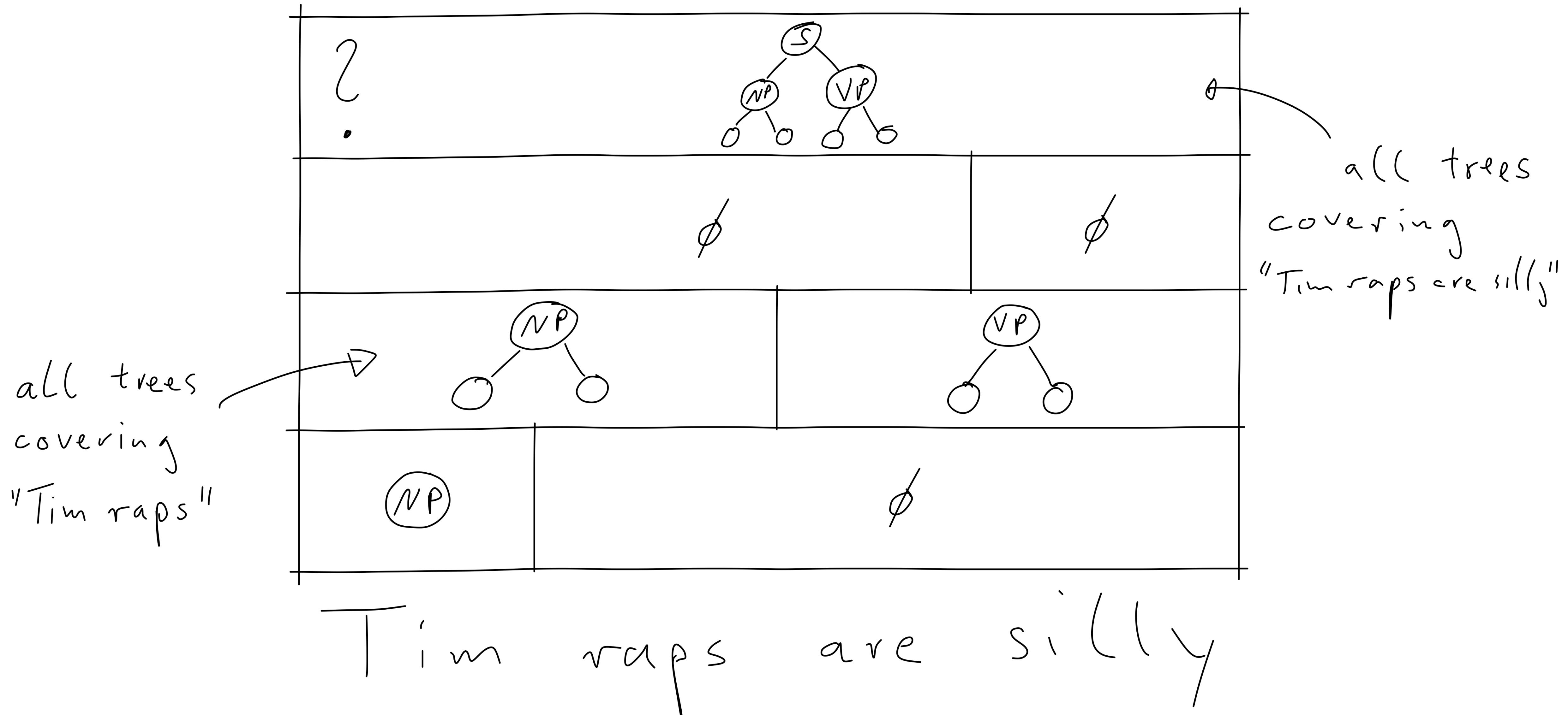
$S \rightarrow NP \quad VP \quad PP$

$VP \rightarrow \text{are } ADJ$

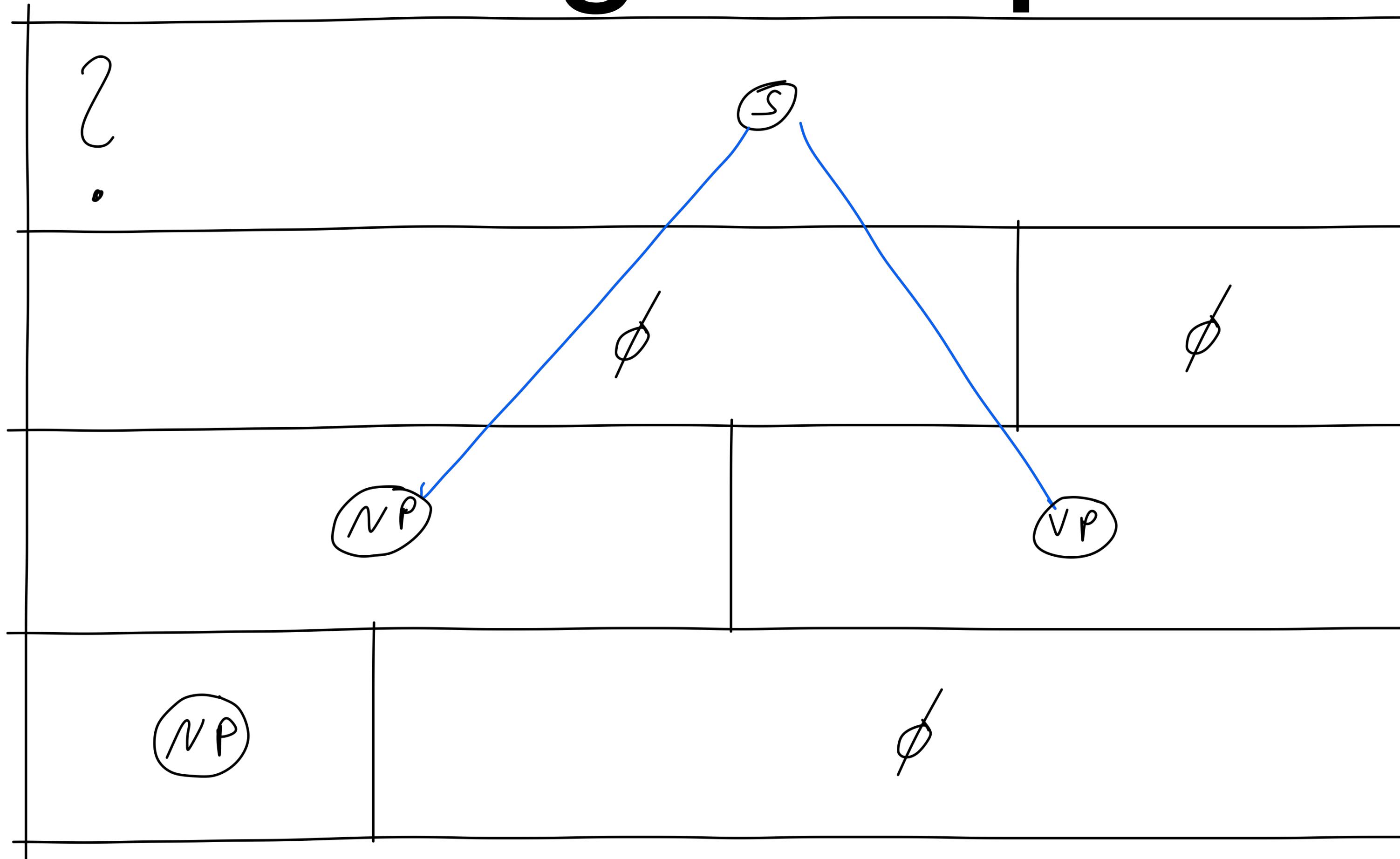
Full Conversion



Intuition



Maintaining Backpointers



Tim wraps are silly

Cocke-Younger-Kasami

for $i \in 1..n$:

$$S(i, i) = \{\alpha \mid \alpha \rightarrow w_i \in R\}$$

for $\ell = 1..n - 1$:

for $i = 1..n - \ell$:

$$S(i, i + \ell) = \emptyset$$

for $m = i..i + \ell - 1$:

$$S(i, i + \ell) = S(i, i + \ell) \cup \{\alpha \mid \alpha \rightarrow \beta \gamma \in R, \beta \in S(i, m), \gamma \in S(m, i + \ell)\}$$

Tim
raps

ave

silly

Tim raps are silly

(1,1)	(1,2)	(1,3)	(1,4)
	(2,2)	(2,3)	(2,4)
		(3,3)	(3,4)
			(4,4)

"Chart"

$A \rightarrow \text{Tim}$

$B \rightarrow \text{raps}$

$A \rightarrow \text{ave}$

$B \rightarrow \text{silly}$

$A \rightarrow AB$

$B \rightarrow BA$

Example

$$S \rightarrow NP_p VP_p$$

$$S \rightarrow NP_s VP_s$$

$$NP_p^0 \rightarrow "Tim"$$

$$NP_p^1 \rightarrow "raps"$$

$$NP_p^0 \rightarrow NP_p^0 NP_p^1$$

$$VP_p^2 \rightarrow "are"$$

$$VP_p \rightarrow VP_p^2 AD]$$

$$NP_s \rightarrow "Tim"$$

$$VP_s^4 \rightarrow "raps"$$

$$VP_s \rightarrow VP_s^4 VP_s^3$$

$$VP_s^{3,5} \rightarrow "in"$$

$$VP_s^{3,6} \rightarrow "NLP"$$

$$VP_s^3 \rightarrow VP_s^{3,5} VP_s^{3,6}$$

$$AD] \rightarrow "silly"$$

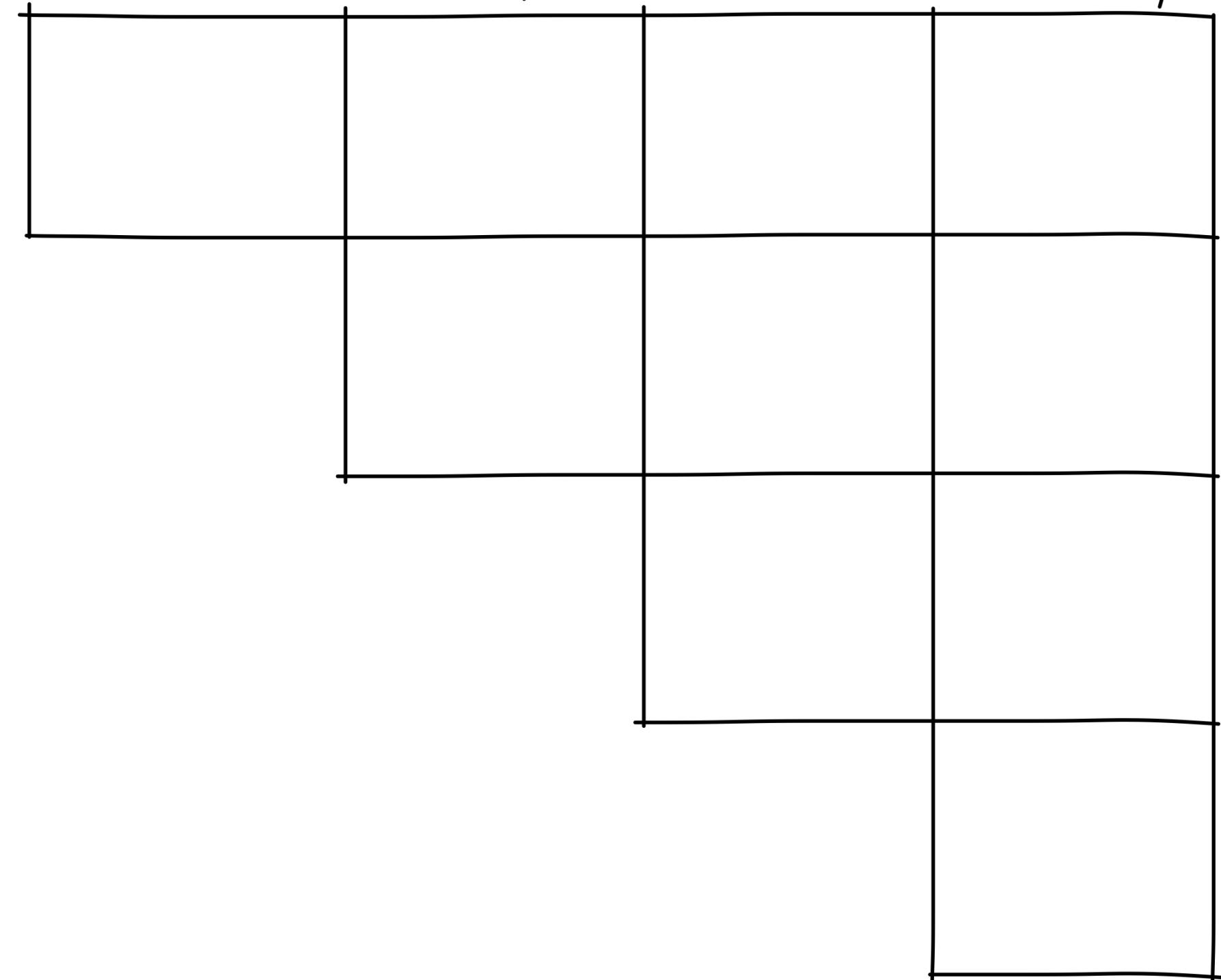
Tim

raps

ave

silly

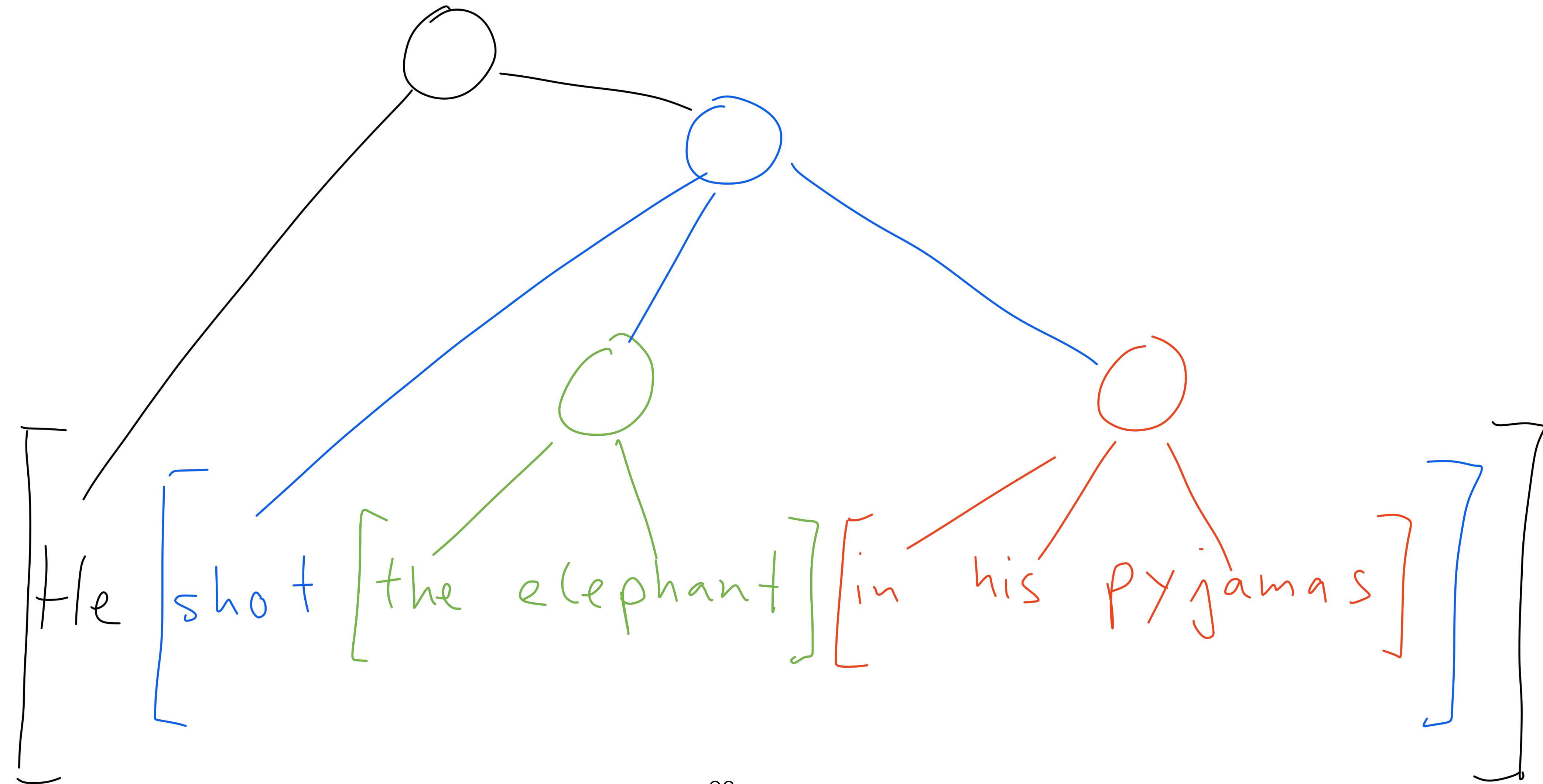
Tim raps are silly



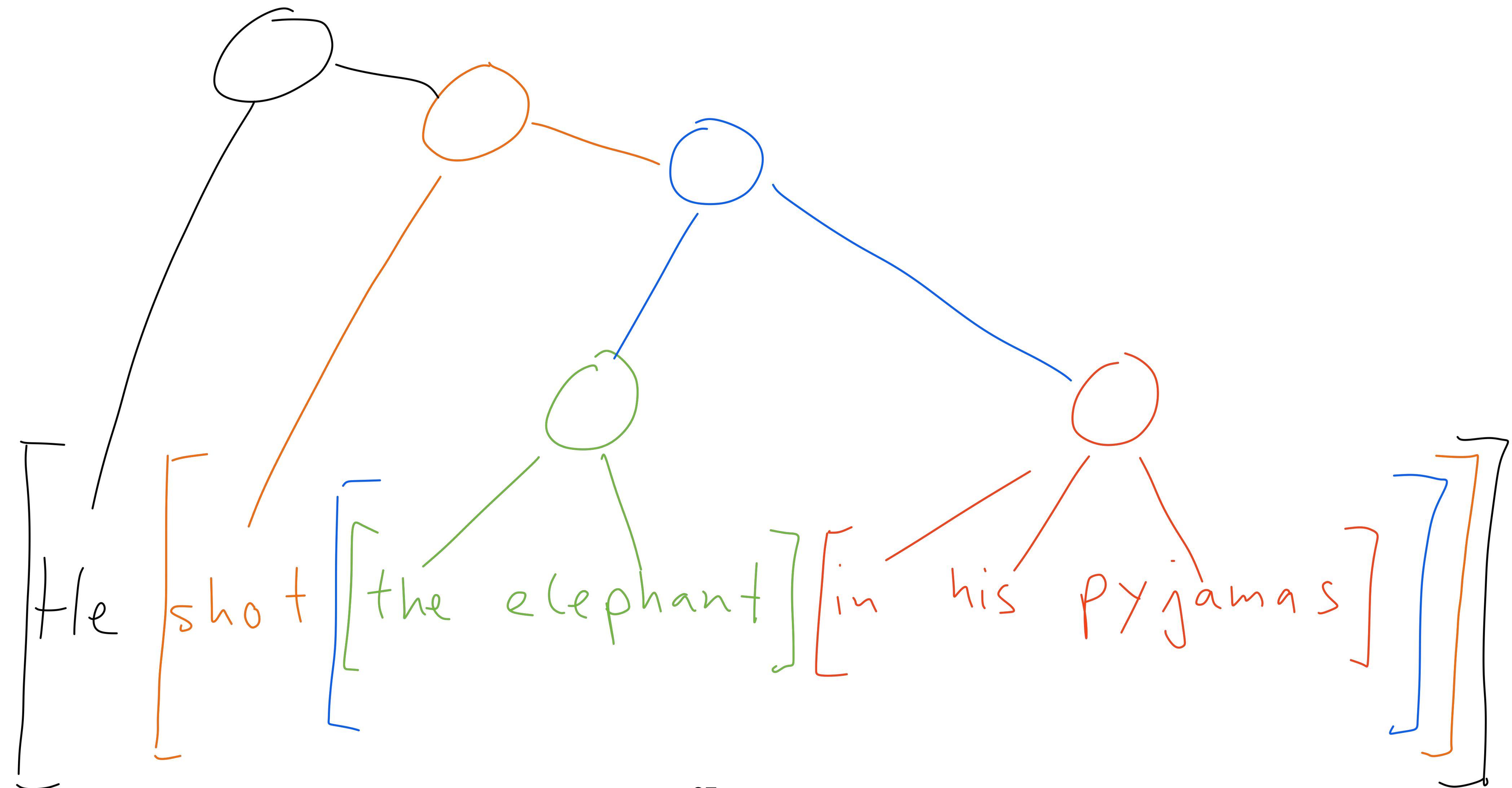
Ambiguity

- Usually there are more than one legal parse trees per sentence
- How to score these to indicate that one is more likely than another?

Legal Parse 1



Legal Parse 2

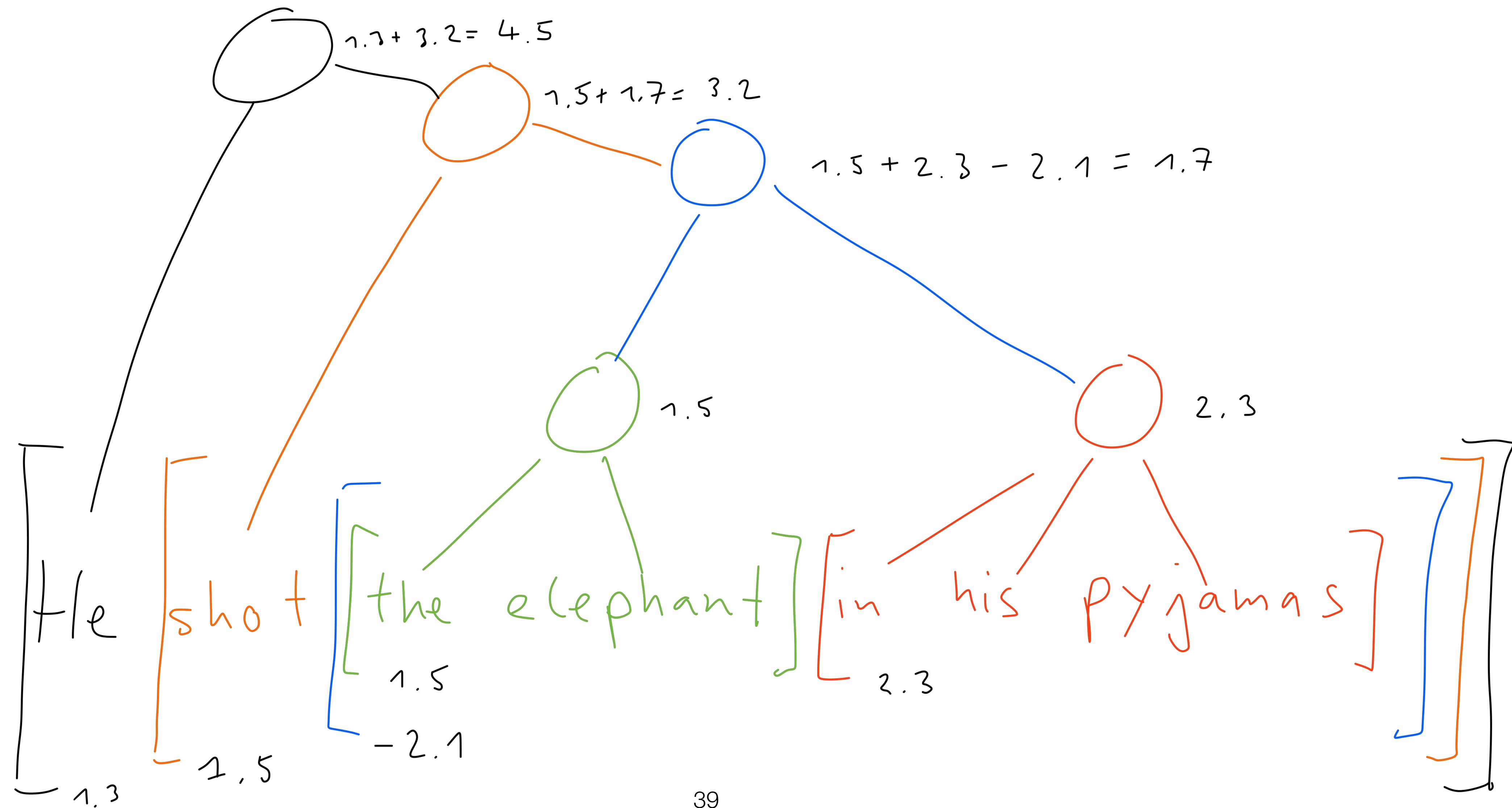


Scoring Trees

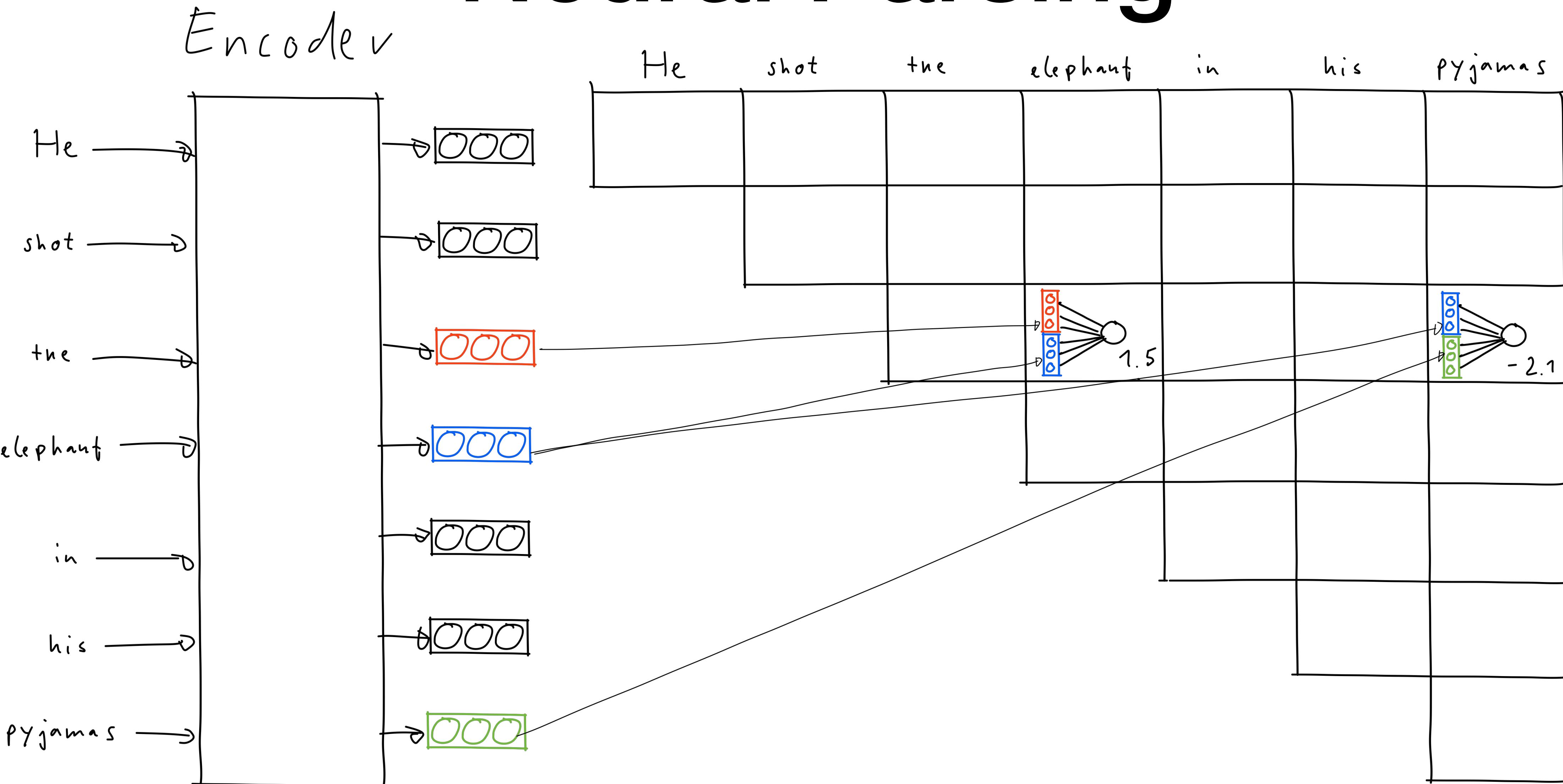
- Define a tree score based on a neural network that scores its brackets
- Train such that correct trees have highest score using labelled trees

$$s(\text{tree}) = \sum_{\text{bracket} \in \text{tree}} \text{nn}(\text{bracket})$$

Legal Parse 2



Neural Parsing



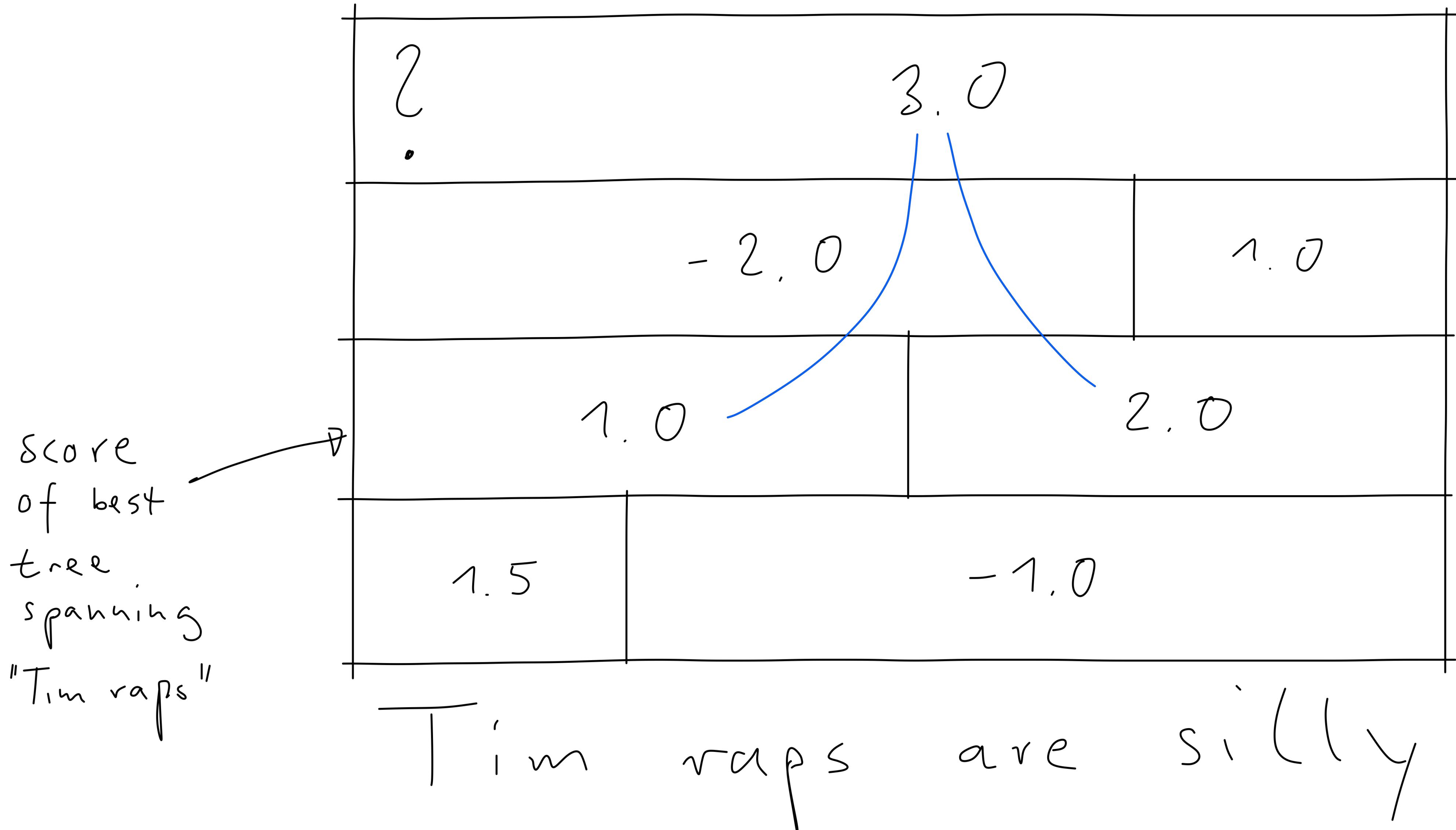
Score-based Parsing

- Assuming trained neural network, find highest scoring tree

$$\underset{\text{tree} \in \text{legal Trees}}{\operatorname{argmax}} \quad s(\text{tree})$$

↗
• no overlaps
• no missing words

Intuition for Score-based CYK



Score-based CYK

```
for i ∈ 1..n:
    s(i, i) = nn(i, i)
```

```
for ℓ = 1..n - 1:
```

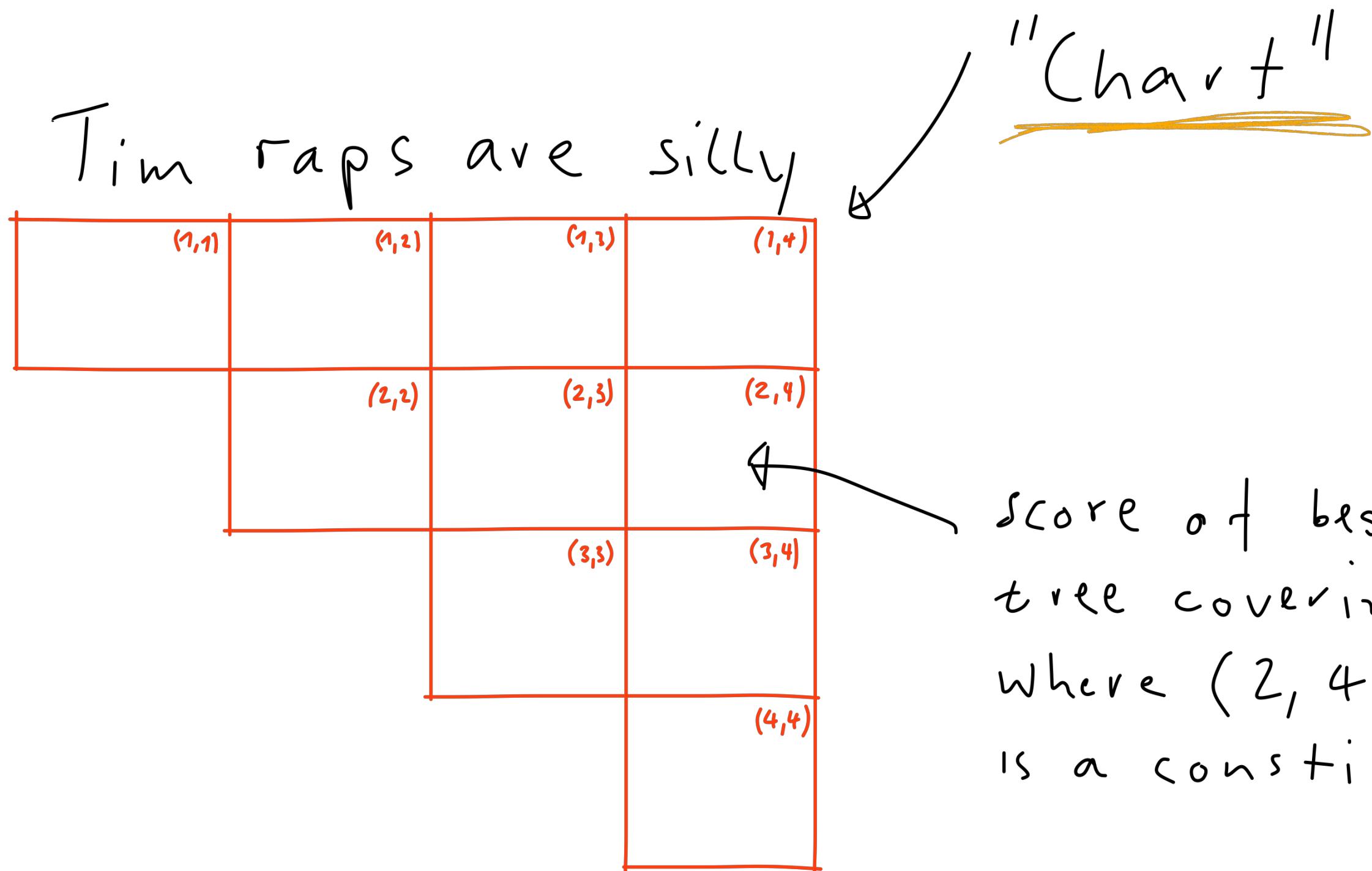
```
    for i = 1..n - ℓ :
```

```
        s(i, i + ℓ) = -∞
```

```
    for m = i .. i + ℓ - 1:
```

$$s(i, i + \ell) = \max(s(i, i + \ell), nn(i, i + \ell) + s(i, m) + s(m, i + \ell))$$

Tim
raps
are
silly



score of best
tree covering (2,4)
where (2,4)
is a constituent.

References

- What's Going On in Neural Constituency Parsers? An Analysis, David Gaddy et al., NAACL 2018
- Mike Collins PCFG Lecture
- Stat NLP Book: Constituency Parsing
- J&M: Chapter 11