

The Algebra and Dissection of Types

`github.com/ryanorendorff/algebra_lambdaconf2016`

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The Reals

A familiar set of symbols is the reals (\mathbb{R}). With the reals we can define an operation $+$ that combines two reals together.

$$+ :: \mathbb{R} \rightarrow \mathbb{R} \rightarrow \mathbb{R}$$

We note that this function $+$ is *closed* over the set \mathbb{R} ; applying $+$ to two reals gives something in the same set (another real).

We denote this algebra with the following notation for some set \mathcal{S} and some operation Op .

$$(\mathcal{S}, Op)$$

Often sets and associated operators have a common thread. For example, for $(\mathbb{R}, +)$ and (\mathbb{B}, \vee)

- The operator return an element in the same set.

$$+ :: \mathbb{R} \rightarrow \mathbb{R} \rightarrow \mathbb{R}$$

$$\vee :: \mathbb{B} \rightarrow \mathbb{B} \rightarrow \mathbb{B}$$

- The operator is associative.

$$a + (b + c) = (a + b) + c$$

$$a \vee (b \vee c) = (a \vee b) \vee c$$

- There exists an $e \in \mathcal{S}$ such that $e \times a = a \times e = a$.

$$a + 0 = 0 + a = a$$

$$a \vee False = False \vee a = a$$

These types of algebraic structures are *monoids*.

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Algebraic Data Types

In this talk we are going to focus on only algebraic data types (ADTs)¹. For example, we can define a type that holds multiple values for one constructor

```
data Doubled b = Doubled b b
```

and we can use multiple constructors for a type.

```
data Cards = Hearts | Diamonds | Clubs | Spades
```

Let's look at each of these cases in turn.

¹we are actually going to further restrict to regular data types

Products

We can define a product type as follows, where we hold onto two values.

$$\mathbf{data} \text{ Product } a \ b = \text{Product } a \ b$$

Which is equivalent to a pair tuple.

$$\text{Product } a \ b \cong (a, b) = (,) \ a \ b$$

We can write the same thing in a slightly different way.

$$\mathbf{data} \ a \times b = a \hat{\times} b$$

Now let's do a little trick. Let's define the following data type.

$$\mathbf{data\ 1 = \hat{1}}$$

We can only construct this value in one way. How many ways are there to construct this?

$$1 \times a$$

Well 1 only has one value ($\hat{1}$), so we can think of the following relation to hold².

$$1 \times a \cong a \cong a \times 1$$

²(\cong) is usually read as "equal up to isomorphism"

Product is a monoid!

Remember that a monoid has to follow the following rules.

- The operator return an element in the same set³.

$$a \times b :: * \rightarrow * \rightarrow *$$

- The operator is associative.

$$a \times (b \times c) \cong (a \times b) \times c$$

- There exists an $e \in \mathcal{S}$ such that $e \cdot a = a \cdot e = a$.

$$a \times 1 \cong 1 \times a \cong a$$

³The star $*$ represents a kind.

We can define a sum type as follows, where we hold onto either one value or a different value.

Which is equivalent to this.

$$\textit{Sum } a \ b \cong a \mid b$$

We can write the same thing in a slightly different way.

$$\mathbf{data} \ a + b = L \ a \mid R \ b$$

Zero is rather empty

Now let's do a little trick. Let's define the following data type.

data 0

How do we construct a value of this type? We can't! We could never call the following function.

uncallable :: 0 → *a*

uncallable *x* = *x* 'seq' error ":-("

In which case, we expect the following.

$$a + 0 \cong 0 + a \cong a$$

Sum is a monoid!

Remember that a monoid has to follow the following rules.

- The operator return an element in the same set⁴.

$$a + b :: * \rightarrow * \rightarrow *$$

- The operator is associative.

$$a + (b + c) \cong (a + b) + c$$

- There exists an $e \in \mathcal{S}$ such that $e \cdot a = a \cdot e = a$.

$$a + 0 \cong 0 + a \cong a$$

⁴The star $*$ represents a kind.

Constant and Identity Types

We are also going to define the following data types.

A constant type, which represents something like *Nil* or 5.

```
data K x =  $\hat{K}$  -- constant
```

An identity type.

```
data Id x =  $\hat{Id}$  x -- element
```

A semiring is defined by the following set of rules.

- $(+, 0)$ is a commutative monoid.
- $(\times, 1)$ is a monoid.
- \times distributes over $+$: $a \times (b + c) = a \times b + a \times c$ and $(b + c) \times a = b \times a + c \times a$.
- 0 is an annihilator for \times : $a \times 0 = 0 \times a = 0$.

ADTs form a semiring!

Star (or Closed) Semiring

A star semiring or closed semiring has the additional unary operator $-^*$, defined as

$$a^* = 1 + aa^* = 1 + a^*a$$

Intuitively, $a^* = 1 + a + a^2 + a^3 + \dots$ ⁵ What would this look like for types?

For lists, we can have lists of no length or one length or ...

data *List* *a* = [] | *a* | (*a*, *a*) | (*a*, *a*, *a*) | ...

ADTs can be described as a closed semiring.

⁵This intuition is not correct for all closed semirings

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Zippers are great for constant (locally) updating structures

Zippers are a convenient way of traversing a structure, keeping track of where you are.

Zippers are also sometimes known as a “one hole context”

```
data List = [] | a : (List a)
```

```
data Zipper a = Zipper [a] [a]
```

Zippers allow for constant time *local* updates to a data structure.

Zippers are used in extensively in XMonad.

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Derivatives can also lead to a one hole context

The derivative of a type is often known as a “one hole context”. We can think of this as marking where we are in a data structure.

Derivative of a Constant

We know from calculus a few derivative rules. The basics are that the derivative of a constant is zero.

$$\partial K = 0$$

How does this look for a value of type K ?

$$\partial Nil = 0$$

A value has no context; it is the only thing in town!

Derivative of a variable

The derivative of variable by itself is 1.

$$\partial Id = 1$$

How does this look for a value of type Id ?

$$\partial(\hat{Id} x) = ()$$

Derivative of a Product

The derivative of a product is described by the product rule⁶.

$$\partial(f \times g) = \partial f \times g + f \times \partial g$$

If we take the algebraic form of $x \times x$.

$$\partial(x \times x) = 1 \times x + x \times 1$$

The values can be either $L(\hat{1} \hat{\times} x)$ or $R(x \hat{\times} \hat{1})$

This represents that we can either be in the left side of a product or the right hand side.

⁶or more generally by the Leibniz rule

Derivative of a Sum

The derivative of a sum is defined by the following rule.

$$\partial(f + g) = \partial f + \partial g$$

If we take the algebraic form of $x + 1$.

$$\partial(x + 1) = 1 + 0$$

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Dissection is a generalization of derivatives

When we calculate the derivative of a type, we don't differentiate the values to the left of the hole from the values to the right.

Take for example a *List*.

$$\partial \text{List } a = (\text{List } a, \text{List } a) \quad \text{-- the same as Zipper}$$

But for dissection, we note those values that have already been processed differently from those yet to be seen.

Dissection follows the same rules

Dissection follows the same rules.

$$\Delta K = 0$$

$$\Delta Id = 1$$

$$\Delta(p + q) = \Delta p + \Delta q$$

$$\Delta(p \times q) = \Delta p \times \lrcorner q + \lrcorner p \times \Delta q$$

What is \lrcorner and \lrcorner ?

\lrcorner labels the values in the type as having been processed,

\lrcorner labels the values in the type as having yet to be processed.

Dissection of a List

What if we want to dissect a *List*? Remember the derivative.

$$\partial(\text{List } a) = (\text{List } a, \text{List } a)$$

It is the same as *Zipper* but where we annotate the parts of the list we have already seen ($\angle(\text{List } a)$) and those we have yet to see ($\Delta(\text{List } a)$).

$$\Delta(\text{List } a) = (\angle(\text{List } a), \Delta(\text{List } a))$$

If we had used the same label in the dissection, we get the derivative.

$$\Delta(\text{List } a) = (\angle(\text{List } a), \angle(\text{List } a)) \cong ((\text{List } a), \text{List } a))$$

What have we learned today?

- How to describe an algebraic structure (\mathcal{S}, Op) and monoids.
- How $\cdot + \cdot$ and $\cdot \times \cdot$ each form monoids, and together form a closed semiring.
- How to take the derivative of a type, giving a one hole context.
- How to take the dissection of a type, giving a one hole context parameterized with where you have been before.

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Data Types a la Carte

Identity Data Type

We can define an identity data type that only holds a single value.⁷

```
data Id1 x = Id1 x  -- element
```

We note that this can be a functor with the following definition.

```
instance Functor Id1 where  
    fmap f (Id1 x) = Id1 (f x)
```

⁷All definitions are originally from [?]

Constant Data Type

```
data  $K_1$  a x =  $K_1$  a  -- constant
```

This is the same as the *const* function. $const\ x :: b \rightarrow a$ is a function that ignores its input.

We note that this can also be a functor.

```
instance Functor ( $K_1$  a) where  
    fmap f ( $K_1$  a) =  $K_1$  a
```

Pairs

Data types can be defined by the product of two other types.
Often this appears as the following.

```
data Pair a b = Pair a b
```

We can write this generically as follows.

```
data  $p \times_1 q$   $x = (p\ x, q\ x)_1$   -- pairing
```

And again we note that this can be a functor.

```
instance (Functor p, Functor q)  $\Rightarrow$  Functor  $p \times_1 q$  where  
    fmap  $f\ (p, q)_1 = (fmap\ f\ p, fmap\ f\ q)_1$ 
```


We can also construct a data type that chooses between one of two alternates.

```
data Either a b = Left a | Right b
```

We can write this generically as follows.

```
data p +1 q x = L1 (p x) | R1 (q x)  -- choice, aka Either
```

And again we note that this can be a functor.

```
instance (Functor p, Functor q) ⇒ Functor p +1 q where  
  fmap f (L1 p) = L1 (fmap f p)  
  fmap f (R1 q) = R1 (fmap f q)
```

If we think hard, we can think of a type that can only be constructed in one manner.⁸

type *Unit* = ()

This can be encoded in our generic patterns using K_1 .

type $1_1 = K_1$ ()

$1_v = K_1$ ()

⁸Remember that the only inhabitant of *Unit* is *Unit*. We ignore \perp .

Zero?

What if I want to define a type that cannot be inhabited at all? I would want something like the following.

```
data 0 = ???
```

Well we can make an uninhabited type in the following way.

```
data 01
```

This means we can never call the following function, which is probably a good thing. :-)

Recreating Maybe

Using this construction, we can recreate *Maybe*

```
type Maybe a = (11 +1 Id1) a
```

```
nothing = L1 (K1 ())
```

```
just x   = R1 (Id1 x)
```

Constructing a value is pretty simple.

```
val = just 5 :: Maybe Integer
```

And note that *Maybe* already has a definition of *fmap*!

```
newVal = fmap (4+) val  -- ≡ just 9
```

Back to Monoids

Remember that a monoid (\mathcal{S}, Op) has to have a closed, associative binary operator with an identity element.

A *semiring* is when two monoids are defined over the same set, have two different identity elements, and one of the monoids commutes (+). We write this as follows.

$$(\mathcal{S}, +, 1, \cdot, 0)$$

Product Types

Remember that the $\cdot \times_1 \cdot$ type is defined as follows.

```
data  $p \times_1 q$   $x = (p\ x, q\ x)_1$   -- pairing
```

If we define the set of all Haskell types \mathcal{H} , then

List

If we attempt to make a list with this formulation, then we could write the following.

type $ListF\ a = 1_1 +_1 K_1\ a \times_1 Id_1$

We would like a list that contained $ListF$, but if we try

type $List = ListF\ List$

we get an infinite type. To fix this, we need to "tie the knot".

data $\mu p = \hat{\mu}\ p\ (\mu\ p)$

And now we can define our list as follows.

type $List\ a = \mu\ (ListF\ a)$

$[] = \hat{\mu}\ (L_1\ 1_v)$

$(:) a\ as = \hat{\mu}\ (R_1\ (K_1\ a, Id_1\ as)_1)$