# Population genetics of a sentinel stream-breeding frog $(Rana\ boylii)$

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"One thing to remember is to talk to the animals. If you do, they will talk back to you. But if you don't talk to them, they won't talk back to you, then you won't understand. And when you don't understand, you will fear, and when you fear, you will destroy the animals, and if you destroy the animals, you will destroy yourself"

(Chief Dan George, Tseil-Waututh Nation, North Vancouver)

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#### Abstract

Rana boylii is an imperiled frog species native to CA and OR, and it is currently designated as a species of special concern (CDFW) in the state of CA. It has been petitioned as candidate for federal (USFWS) and state (CDFW) listing. As a lotic breeding amphibian, R. boylii is tied closely to the local hydrology within the watersheds it inhabits. It is particularly sensitive to alterations to flow regimes. Future conservation of this species requires several crucial components, including establishing higher resolution watershed-level boundaries for populations across the species range, delineation of distinct population segments that can be utilized in conservation management, and quantification of relative genomic health of these groups (i.e., genomic diversity, measures of isolation, connectivity). We provide data on over 700 samples across the range of the species, identify six genomically distinct groups, as well as subdivision at local watershed scales. These data are congruent with other molecular data on R. boylii, although we identify and add individuals from the Feather watershed as a distinctive group, at both population and subpopulation scales. Quantification and comparison of genomic variation across populations indicates populations in the southern coast, southern Sierra Nevada, and Northern Sierra/Feather basin in California should have high prioritization in conservation efforts due to patterns of bottlenecking and low genomic diversity. One major impact on R. boylii populations has been river regulation, or the hydrological alteration of flow by dams and diversions. River regulation has been implicated as a cause of fundamental changes to downstream aquatic ecosystems. Regulation changes the natural flow regime which may restrict population connectivity and decrease genetic

diversity in some species. Since population connectivity and the maintenance of genetic diversity are fundamental drivers of long-term persistence, understanding the extent that river regulation impacts these critical attributes of genetic health is an important goal. Foothill yellow-legged frog (FYLF; Rana boylii) were historically abundant throughout many western rivers but have declined since the onset of regulation. However, the extent to which FYLF populations in regulated rivers are maintaining connectivity and genetic diversity is unknown. Here we use genetic methods to investigate the impacts of river regulation on FYLF to explore their potential for long-term persistence under continued regulation. We found FYLF in regulated rivers showed striking patterns of isolation and trajectories of genetic diversity loss relative to unregulated rivers. For example, river regulation explained the greatest amount of variance in population genetic differentiation compared with other covariates including geographic distance. Importantly, patterns of connectivity and genetic diversity loss were observed regardless of regulation level but were most prominent in locations with the greatest regulation intensity. Although our results do not bode well for long-term persistence of FYLF populations under current flow regulation regimes, they do highlight the power of genetic monitoring for assessing population health in aquatic organisms. In addition, analyses of data on hybridization between R. boylii and R. sierrae in a single region of the Sierra Nevada of California is shown. Cross-breeding between species, or hybridization in vertebrates has generally been considered a rare occurrence. Hybridization between species may combine parental genotypes in ways that yield reproductively sterile or isolated lineages, and hybridization events may be short-lived and difficult to detect. Furthermore, cross-breeding or hybridization between closely related taxa can promote gene flow (introgression) between species, which may be an important evolutionary mechanism for either homogenization (reversing initial divergence between species) or reproductive isolation (potentially leading to speciation). Here, we used thousands of genetic markers from nuclear DNA to detect a very rare hybridization event between two co-occurring frog species (*R. boylii* and *R. sierrae*) in the Sierra Nevada of California. Hybridization events are rare but have occurred in the Feather drainage between these two species, however it appears these are terminal events based on PCA, admixture, and tests of heterozygosity in species diagnostic SNPs.

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# Chapter 1

Flow regulation associated with decreased genetic health of a river-breeding frog species

# Introduction

Rivers simultaneously connect and carve the landscapes through which they flow. Rivers provide corridors of connectivity for riparian and aquatic organisms such as fish, amphibians, and macroinvertebrates (Wiens 2002, Pringle 2003), while also acting as physical barriers on the landscape for many terrestrial organisms (Voelker et al. 2013, Cazé et al. 2016). Hydrologic connectivity (Pringle 2003) transfers energy, organisms and ultimately genetic variation and thus is a critical component for population persistence in dynamic systems where populations must constantly adapt to temporal and spatial changes. In Mediterranean climates, rivers have strong seasonal patterns associated with cold, wet winters and warm, dry summers. Native aquatic organisms have evolved life histories

well adapted to these natural patterns, which are both predictable and seasonal (Yarnell et al. 2010, Tonkin et al. 2017).

River regulation, or the hydrological alteration of flow by dams and diversions, impacts the seasonal and interannual flow variability within a watershed. Regulation changes the natural flow regime and dramatically alters geomorphic and hydrologic connectivity of watersheds (Poff et al. 2007), which may restrict natural population connectivity (Schick and Lindley 2007, Shaw et al. 2016). River regulation can change flow frequency, magnitude, duration, timing, and rate of change, which can have significant impacts on aquatic organisms and ecological processes (Poff et al. 2007, Yarnell et al. 2010). River regulation, and more specifically, regulation associated with hydropower generation, has been implicated as a cause of fundamental changes to downstream aquatic ecosystems (Power et al. 1996, Bunn and Arthington 2002, Moyle et al. 2011). The hydrological regimes of over half of the world's largest rivers have been altered by large dams (Nilsson et al. 2005) and only recently has the extent of flow alteration and the associated ecosystem-level impacts been acknowledged (Pringle 2001, Dudgeon et al. 2006, Murchie et al. 2008).

Changes to abiotic processes caused by river regulation can have a substantial impact on biotic communities. The negative effects of river regulation on migration and loss of spawning habitat (Fuller, Pope, Ashton, & Welsh, 2011; Sarah J. Kupferberg et al., 2012; Lind, Welsh, & Wilson, 1996; Rolls & Bond, 2017), reductions in population abundances and diversity (Fuller et al., 2011; Guzy, Eskew, Halstead, & Price, 2018; Lind et al., 1996; Sabo et al., 2017; Scribner et al., 2016; Vörösmarty et al., 2010; Zhong & Power, 1996), and fragmentation (Guzy et al., 2018; Sabo et al., 2017; Scribner et al., 2016; Vörösmarty et al., 2010; Werth, Schödl, & Scheidegger, 2014; Zhong & Power, 1996) have been well documented. However, most rivers have not been regulated for long periods (e.g., less

than 100 years) compared to the time these organisms had to adapt to pre-anthropogenic river flow. In regulated rivers that organisms still occupy, it remains unknown whether populations can persist long-term with continued regulation. In other words, while some species may have persisted since regulation began in a system (e.g., several decades), this does not necessarily mean these populations will persist into the future under current flow regulation regimes. Thus, exploring the potential for long-term persistence of populations under different flow regimes is a crucial component for guiding conservation efforts yet remains a significant gap.

One tool that can help address this gap is the integration of genetics and hydrology to better assess the impact of river regulation on aquatic organisms (Scribner et al., 2016). Although aquatic organisms are often difficult to count and monitor by conventional methods, genetic monitoring can be a powerful tool to assess population health by revealing factors such as fragmentation and population declines. It is widely recognized that reductions in population connectivity can increase isolation and inbreeding, leading to a potential "extinction vortex" (Gilpin & Soule, 1986), yet there is limited understanding of how flow alteration may impair the processes crucial for maintenance of genetic variation and thus adaptive capacity. In addition, there is a current pressing need for more effective and flexible watershed management tools, particularly in relation to monitoring aquatic populations and implementation of environmental flows (Grantham, Merenlender, & Resh, 2010). Thus, population genetics could be a powerful tool to understand the influence of different flow regimes on population health and this information could facilitate improved flow management to better protect aquatic populations.

The river-breeding foothill yellow-legged frog (Rana boylii; FYLF) historically occurred in lower and mid-elevation streams and rivers from Southern Oregon to northern Baja California west of the Sierra-Cascade crest (Stebbins, 2003). FYLF are intimately linked

with river hydrology because they have evolved to spawn in synchrony with natural flow cues associated with seasonal spring snowmelt or rain recession periods (Bondi, Yarnell, Lind, & Lind, 2013; S. J. Kupferberg, 1996; S. M. Yarnell et al., 2010; S. Yarnell, Peek, Epke, & Lind, 2016). However, population declines have been documented across the former range of this species, particularly in southern California and the Sierra Nevada where it has been extirpated from approximately 50 percent of its historical range (Davidson, Shaffer, & Jennings, 2002; Jennings & Hayes, 1994). In California, particularly in the Sierra Nevada, river regulation may be a significant environmental stressor (Sarah J. Kupferberg et al., 2012; Lind et al., 1996). Regulated river reaches typically alter flows by augmenting or diverting winter and spring runoff, thereby reducing or eliminating flow cues and disrupting natural flow regimes. Aseasonal flow fluctuation from river regulation can scour (detach from substrate) or desiccate FYLF egg masses, and the loss of clutches may have a significant demographic impact because only one egg mass is laid per year. In many regulated rivers in the Sierra Nevada, FYLF populations are now restricted to small unregulated tributaries flowing into the regulated mainstem.

Here, we investigate the impacts of river regulation on genetic health of FYLF populations across three different flow regimes. Given that population connectivity and genetic diversity are known to be play critical roles in long-term species persistence, we explore the association between these metrics and levels of river regulation. Our goal is to assess the genetic health of FYLF under different river regulation regimes to better inform the potential for long-term persistence. Addressing this question will help to inform management and conservation efforts for FYLF, as well as the potential utility of genetics for future conservation monitoring efforts in aquatic species.

### Methods

#### Sample collection

345 FYLF buccal or tissue samples were used in this study (see Table S1). Field sampling was conducted as previously described (Heyer, Donnelly, McDiarmid, Hayek, & Foster, 1994), under CDFW SCP Permit #0006881, with IACUC protocol #19327. Individual post-metamorphic frogs were buccal-swabbed following established protocols (Broquet, Berset-Braendli, Emaresi, & Fumagalli, 2007; Goldberg, Kaplan, & Schwalbe, 2003; Pidancier, Miquel, & Miaud, 2003). Each post-metamorphic individual was comprehensively swabbed underneath tongue and cheek for approximately one minute. Swabs were air dried for approximately five minutes and placed in 1.5 mL microcentrifuge tubes while in the field. Samples were stored in the laboratory at -80°C until DNA extraction. Where possible, tail clips from tadpole larvae were collected, and tadpoles greater than 15 mm total length were targeted (Parris et al., 2010; Wilbur & Semlitsch, 1990). One small (<3mm) tail clip was taken per individual tadpole and dried on Whatman qualitative filter paper (grade 1) and stored at room temperature.

# De novo assembly

To produce a high-quality genomic resource for a frog species with a large genome size, we first interrogated a large fraction of the genome using RAD sequencing (Baird et al., 2008; Miller et al., 2007). Paired-end sequence data were generated from 24 FYLF individuals (sampling details given in Table S2) across coastal and Sierra Nevada populations from California, USA. DNA was extracted with a magnetic bead-based protocol (Ali et al., 2016) and quantified using Quant-iT PicoGreen dsDNA Reagent (Thermo Fisher

Scientific) with an FLx800 Fluorescence Reader (BioTek Instruments). RAD libraries were constructed using the SbfI restriction enzyme and a new RAD protocol (Ali et al., 2016). De novo loci discovery and contig extension were carried as previously described (Miller et al., 2012) using the alignment program Novoalign and the genome assembler PRICE (Ruby, Bellare, & Derisi, 2013). This pipeline resulted in a set of 77,544 RAD contigs ranging from 300 to 800 bp (Table S3) which served as a de novo partial genome reference for all subsequent downstream analyses.

#### Rapture sequencing

We then performed Rapture on all samples (Table S1) (2016) using 8,533 RAD capture baits (120 bp) were designed by Arbor Biosciences from the de novo alignment (Table S4). The final Rapture library was sequenced in 50% of an Illumina HiSeq 3000 lane. Rapture sequence data from each individual (Table S1) were aligned against the de novo partial genome reference using the BWA-MEM algorithm (Li, 2013; Li & Durbin, 2010) and saved to BAM format. SAMtools was used to sort, filter for proper pairs, remove PCR duplicates, and index binary alignment map (BAM), as well as merge sequences from multiple libraries (Li et al., 2009). BAM files from the same sample were merged before indexing using SAMtools.

### Principal component analysis

A probabilistic framework was used to discover SNPs for PCA as it does not require calling genotypes and is suitable for low-coverage sequencing data (Fumagalli et al., 2013; Korneliussen, Moltke, Albrechtsen, & Nielsen, 2013). All Rapture analyses were conducted using Analysis of Next Generation Sequencing Data (ANGSD) (Korneliussen,

Albrechtsen, & Nielsen, 2014). ANGSD analyses were conducted following methods from Prince et al (2017), with a minimum mapping quality score (minMapQ) of 10, a minimum base quality score (minQ) of 20, and the genotype likelihood model (GL 1) (Li, 2011). To maximize data quality, samples with less than 100,000 aligned reads were excluded (Table S1, S2) using and only sites represented in at least 50% of the included samples (minInd) were used. Settings used in ANGSD for PCA to identify polymorphic sites included a SNP\_pval of 1e-6, inferring major and minor alleles (doMajorMinor 1), estimating allele frequencies (doMaf 2) (Kim et al., 2011), retaining SNPs with a minor allele frequency of at least 0.05 (minMaf), genotype posterior probabilities were calculated with a uniform prior (doPost 2), and the doIBS 1 and doCov 1 options were used to generate PCA data. Principal components (PC) summarizing population structure were derived from classic eigenvalue decomposition and were visualized using the ggplot2 package in R (R Core Team, 2017).

### Genetic differentiation and diversity estimates

Mean scaled FST was used to quantify genetic differentiation between populations (Rousset, 1997; Wright, 1943). Genome-wide FST between population pairs was estimated by first calculating a site frequency spectrum (SFS) for each population (doSaf) (Nielsen, Korneliussen, Albrechtsen, Li, & Wang, 2012) with ANGSD. The two-dimensional SFS and global FST between each population pair were then estimated using realSFS (Korneliussen et al., 2014). FST was calculated between each pair of collection locations within a watershed, and the mean of all pairwise calculations within that watershed was calculated for each location. We calculated the river distances (distance along river network) between locations within watersheds using the riverdist package in R (Tyers, 2017), and used the mean pairwise river distance (km) to all other locations within the water-

shed. These values were plotted and a generalized linear model was fitted (FST ~ Mean River Distance) in R (R Core Team, 2017). To calculate Watterson's S (Watterson, 1975), and Tajima's (Tajima, 1983), we used SFS that were estimated as described above as priors (pest) to calculate each statistic for each site (doThetas), which were averaged to obtain a single value for each statistic (Korneliussen et al., 2013).

#### Boosted regression tree modeling of variance in FST

We used boosted regression tree (BRT) models with the R packages gbm (Ridgeway, 2015) and dismo (Hijmans, Phillips, Leathwick, & Elith, 2017) to assess the relative influence of river regulation as compared to other covariates. Boosted regression trees (BRT) are suitable frameworks for large and complex ecological datasets because they do not assume normality, nor linear relationships between predictor and response variables and they ignore non-informative predictor variables (Graham et al., 2008; Steel, Peek, Lusardi, & Yarnell, 2017). BRTs use iterative boosting algorithms to combine simple decision trees to improve model performance (De'ath, 2007) and provide a robust alternative to many traditional statistical methods (Guisan et al., 2007; Phillips, Anderson, & Schapire, 2006). BRTs assess the relative impact of modeled variables by calculating the number of times a variable is selected for splitting a tree across all folds of the cross validation. Following Steel et al. 2017, estimates of relative influence for each predictor variable were used to evaluate the relative contribution a variable had in predicting the response. To evaluate the relative influence of covariates on FST, models were trained using river distance (km), elevation (m), upstream drainage area (km2), Strahler stream order, and number of samples per location. Stream segment data on elevation, length, slope, stream order, and drainage area were derived from NHD Plus attributes (U.S. Geological Survey, National Hydrography Dataset, Digital data, accessed, August 2017 at http://nhd.usgs.gov/data.html). In addition,  $\Delta$  ( - S) was included to assess the effect of genomic variation on FST across regulation types.

Model training and fitting were conducted following methods previously described in (Steel et al., 2017). To reduce overfitting, the learning rate (also known as the shrinking rate) was set to 0.001. Stochastic gradient boosting was utilized to reduce prediction error (De'ath, 2007) and the fraction of training data sampled to build each tree was 0.75, within the range as recommended by (Brown et al., 2012). Tree complexity was set to three to allow for second and third order interaction effects. The minimum number of observations required in the final nodes of each tree was three. A ten-fold cross-validation technique allowed us to determine the number of trees at which prediction error was minimized using the cross-validation deviance. Model performance was evaluated using the minimum estimated cross-validation deviance which maximized the estimated deviance explained.

### Results

#### Rapture produces high quality genomic data for FYLF

To begin investigating the impact of river regulation on FYLF, we collected frog tissue and buccal samples from 30 locations in six rivers representing three different flow impairment levels associated with hydropower generation. The three flow regimes assessed were: 1) hydropeaking, where flows are pulsed on most days from late spring through fall to provide electricity during peak-use hours and for recreational whitewater rafting; 2) bypass, which diverts river flows from an upstream portion of the basin to the downstream power generation facilities; and 3) unregulated, a largely natural flow regime where no upstream controls exist to regulate flows (Figure 1). Flow data were obtained for each river reach using proximal USGS gaging stations (Table S5). We sampled a total of 345 FYLF from sites in three major watersheds (Yuba, Bear, and American) in the northern Sierra Nevada of California (Figure 1A; Table 1). The six study rivers share a similar Mediterranean climate, underlying geology, watershed aspect (west-slope), stream morphology (riffle-pool), and vegetative communities, but differ in the intensity of flow regulation (Steel et al., 2017). Although river regulation occurs in all three of the study watersheds, both the North Yuba and North Fork (NF) American are unregulated whereas the Middle Fork (MF) American is the only river that has a hydropeaking flow regime (Figure 1A).

To generate genetic data from the samples, we performed RAD Capture (a.k.a. Rapture) (Ali et al., 2016) on the samples by generating SbfI RAD libraries, capturing a subset of the RAD loci using 8,533 baits (see Methods), and sequencing the resulting library on an Illumina HiSeq. We then aligned the sequencing reads from each sample to a de novo RAD assembly (see Methods). The mean number of filtered alignments across all

345 samples was 324,928. For downstream analysis, we selected individuals that had greater than 100,000 alignments (n=277), which provided sufficient data to investigate population genetic attributes at broad and fine geographic scales (see below). FYLF are cryptic, and often occur in low densities within the study area. Thus, we retained a minimum of three individuals per site, and the mean number of samples per site was approximately nine (Table 1). With genomic data, population genetic parameters can be accurately estimated from even low sample numbers (Hotaling et al., 2018), and genomic analyses in non-model organism often use fewer loci (Narum, Buerkle, Davey, Miller, & Hohenlohe, 2013). We conclude that the sequence data we obtained should be appropriate for population genetic analyses across our study area.

# Anomalous genetic pattern in highly regulated reach of Middle Fork American watershed

To assess FYLF population structure across the collection locations, we used ANGSD (Korneliussen et al., 2014) to discover 44,406 SNPs and perform principal component analysis (PCA; see Methods), which provides a dimensionless comparison of all samples. The first two principal components revealed four main groups corresponding to the Yuba, Bear, North Fork (NF) American, and Middle Fork (MF) American samples (Figure 2A). Unlike the Yuba watershed where all rivers clustered as one group, the two rivers within the American watershed (the NF American and MF American) were separated by both PC1 and PC2. Although the NF American watershed clustered closely with the adjacent Bear watershed, the MF American showed a surprisingly high degree of genetic differentiation from other locations (Figure 2A). These data suggest that there is less genetic differentiation between the NF American and the Bear watersheds, than between the NF and MF American watersheds. We conclude that measurements of overall genetic differentiation in FYLF from our study area largely conform to watershed

and geographic expectations, with the exception of the American watershed, which shows a surprisingly high degree of genetic differentiation between the North (unregulated) and Middle (hydropeaking) Forks.

To further investigate patterns of genetic variation within the American Watershed, we performed two PCAs, one on samples from the NF American, and the other on samples from the MF American. The PCA of the NF American showed minimal differentiation among locations, with different study sites blending together and weak patterns of population structure (Figure 2B). In contrast, PCA of the MF American showed strong differentiation between sites (Figures 2C, 2D). The MF American PCA completely resolved all sites, with the first component (PC1) strongly differentiating the samples in the hydropeaking reach from all other sites in the MF American. This pattern may be due to the differential river regulation between the two rivers; the NF American is unregulated and has weak PCA differentiation, whereas the MF American has a higher level of river regulation and all sites form distinct genetic clusters, indicative of reduced gene flow among sites within the MF American. River regulation is the strongest predictor of genetic isolation with FYLF in the Northern Sierra

To assess how patterns of genetic differentiation are associated with river regulation across our entire study area, we estimated pairwise FST (Wright, 1943) between all collection locations within a river for all six rivers. We then plotted the scaled mean pairwise FST [mean FST / (1-mean FST)] (Rousset, 1997) for each location against the mean river distance (the average distance along the river network from each collection location to every other location within that study river). Furthermore, each location was categorized by regulation level of closest mainstem location (see Methods). While there was a clear relationship between FST and river distance (as shown by the slope of regression lines in Figure 3A), there was a striking pattern of elevated FST by regulation

type (Figure 3A). Even the bypass regulation type showed a distinct pattern of elevated FST. For instance, regulated rivers with locations separated by less than 10km had FST values comparable to unregulated locations separated by mean river distances over 30 km. Hydropeaking was the most extreme pattern of the three regulation types and showed highly elevated FST values with the steepest regression coefficient. The baseline FST or global mean increased by over 0.1 between the unregulated (mean FST=0.141), and regulated locations (global mean for bypass FST=0.256, hydropeaking FST=0.278). This suggests a greater degree of isolation within sites in regulated river reaches compared with FYLF populations in unregulated reaches as larger FST values represent reductions in heterozygosity due to population subdivision (Slatkin, 1987). We conclude FYLF in regulated rivers show patterns of greater population isolation and loss of heterozygosity compared to frogs in unregulated locations.

To investigate the relative influence of river regulation compared to other covariates such as river distance on genetic differentiation (i.e. FST), we used boosted regression tree (BRT) modeling. Covariates included flow regime alteration type, river distance, watershed variables derived from National hydrology data (NHD), topographic data, and allele frequency spectrum skew (see below, Methods). We found flow regulation explained the greatest amount of variance in FST (Figure 3B). Thus, river regulation has a larger relative influence than mean river distance between sampling locations, which is often the most important factor influencing genetic differentiation (Rousset, 1997; Slatkin, 1987; Wright, 1943). We conclude there is a pattern of isolation and limited connectivity between populations in regulated reaches.

River regulation strongly correlated with decreasing genetic diversity in FYLF To investigate the association between river regulation and genetic diversity trajectory (stable, increasing, or decreasing), we summarized patterns of genetic variation

using two estimators of (4N): Tajima's () is based on the average number of pairwise differences (Tajima, 1983), and Watterson's (S) is based on the number of segregating sites (Watterson, 1975) (see methods). These estimators are influenced by the demographic history of a population and provide information on the trajectory of changes in genetic diversity. When genetic diversity has been stable, these estimates should be equal; when genetic diversity has been increasing, > S; and when genetic diversity has been decreasing, S > -. We found zero populations sampled within regulated watersheds 4A). The regulated locations showed a clear trajectory of genetic diversity loss (Figure 4A, 4B). Three of the four hydropeaking locations had the highest values of  $\Delta$  ( - S), and the global mean was significantly different from other regulation types. Although some tributary populations within unregulated watersheds also showed signs of genetic diversity loss, the mean genetic diversity trajectory at unregulated locations was largely neutral (Figure 4B). This indicates populations in the northern Sierra Nevada which are already limited in number are losing genetic variation, and river regulation appears to be exacerbating these patterns. We conclude there is evidence of recent genetic diversity loss across populations in the regulated river systems, regardless of regulation type.

# Discussion

Although massive parallel sequencing (MPS) technologies have the potential to facilitate collection of high-quality genetic data in virtually any species, a number of challenges still remain for many species including low quality or non-existent reference genomes, large/complex/repetitive genomes, and high cost of processing/sequencing in studies with many samples. Amphibians are particularly challenging as many species have very large

genome sizes (Nunziata, Lance, Scott, Lemmon, & Weisrock, 2017) for example, there are only two frog reference genome assemblies available as of 2018 (Hellsten et al., 2010; Sun et al., 2015). Our results demonstrate that Rapture (Ali et al., 2016) is a suitable method to rapidly and inexpensively discover a large number of loci in a frog species with a complex genome. In this study, we used new RAD sequencing and RAD capture (Rapture) methods (Ali et al., 2016) to generate high-quality genomic data suitable for discovering and genotyping many single nucleotide polymorphisms (SNPs) in FYLF. Based on this dataset, we were able to successfully characterize patterns of genetic variation within FYLF as well as design a set of RAD capture baits that can be used as a genetic monitoring resource for FYLF (and likely other ranid species). This highlights that the collection of genetic information, even from large numbers of samples or in complex genomes, is no longer a limitation with current genomic methods such as RAD and Rapture.

Demographic connectivity is widely recognized as a fundamental driver of long-term population persistence (Fahrig & Merriam, 1985; Taylor, Fahrig, Henein, & Merriam, 1993). Populations must adapt over time and connectivity is a major way to transfer genetic information. For example, previous studies have shown that adaptation can occur by spreading specific alleles across large geographic distances (Miller et al., 2012; Prince et al., 2017). In many regulated river reaches in the Sierra Nevada, FYLF now occur in isolated locations, breeding in tributaries rather than mainstem habitats. However, since these frogs have the potential to move long distances (FYLF have been observed moving over 1 km per day (Bourque, 2008)), the extent to which current population connectivity has been lost due to river regulation remains unknown. Examining pairwise FST, revealed a major decrease in connectivity in populations in regulated systems, even with limited river regulation (i.e., bypass reaches). Usually isolation by distance patterns best describe

variation in genetic data, yet the primary factor influencing genetic differentiation among these rivers is hydrologic alteration (Figure 3B). Thus, despite being able to move long distances, FYLF have not been able to maintain population connectivity in regulated rivers. This demonstrates that even in species that can move relatively long distances and pass potential physical barriers (e.g., infrastructure such as dams, canals, and reservoirs likely do not represent barriers to movement of FYLF) loss of connectivity may still occur and can be revealed with genetic analysis.

Genetic diversity is also a critical component for long-term population persistence because it is closely related to the evolutionary capacity for adaptation to environmental changes (Frankham, 2002; Hoffmann & Sgrò, 2011; Ishiyama, Koizumi, Yuta, & Nakamura, 2015; Lande & Shannon, 1996). In some cases, isolated populations can maintain genetic diversity when they are sufficiently sized (Whiteley et al., 2010), however, species of conservation concern typically have small and/or declining populations and thus may be susceptible to genetic diversity loss (Frankham, 2002; Krohn et al., 2018). Throughout the Sierra Nevada, FYLF have largely disappeared from regulated mainstem rivers, but the extent to which existing populations have been able to maintain genetic diversity is unclear. Strikingly, our analysis revealed that every single population within the regulated watersheds exhibits a trajectory of genetic diversity loss. Thus, genomic analysis of molecular variation provides a powerful lens to discover and assess trajectories of genetic diversity.

Our analyses, using metrics that serve as a reasonable proxy for genetic health, does not bode well for the long-term persistence of FYLF populations in regulated rivers in the Sierra Nevada. Many of these FYLF populations are already losing genetic diversity and given their small size and reduced connectivity the effects of inbreeding will likely exacerbate their problems. FYLF have evolved in river systems with consistent hydro-

logic seasonality and predictability, despite inter-annual variation. Flow regulation has altered patterns of hydrologic seasonality and predictability in many watersheds (Sarah J. Kupferberg et al., 2012). Long-term population persistence may still be possible if conservation efforts utilize methods that promote or maintain genetic health and increase population connectivity. For example, simulations by Botero et al. (2015) demonstrated adaptation persisted in modeled populations through large environmental changes—if phenotypic strategies were appropriate before and after the change—but modeled populations declined rapidly when the current strategy was a mismatch to the current environment. Thus, FYLF conservation efforts should focus on river reaches where flow management may provide opportunities to more closely mimic local natural flow regimes and thus improve hydrologic connectivity.

Detecting evolutionary responses to within- and among-year changes in an ecological or hydrological context has previously been difficult. However, utilizing genetic data can fill these gaps and provide a highly informative process for identifying the impacts of anthropogenic and environmental change on the process of adaptation (Botero et al., 2015; Kahilainen, Puurtinen, & Kotiaho, 2014). We demonstrate that an aquatic species that has adapted to local hydrology patterns shows poor genetic health (i.e., clear patterns of decreased connectivity and trajectories of genetic diversity loss). Our results highlight the potential impact of river regulation on aquatic organisms and their potential for long term persistence. In the future, similar genetic approaches could be used in many other contexts to explore the impacts of river regulation on aquatic organisms. Taken together, our results demonstrate that genetic monitoring can be a powerful tool for assessment of population health and should be a critical component of conservation management in aquatic organisms.

Data Archiving Statement: Should the manuscript be accepted, the data supporting

the results will be archived in an appropriate public repository such as Dryad, and the data DOI will be appended to the end of the article.

```
{r maxdelays, results="asis", echo=Fevalrary(knitr) kable(max_delays,
col.names = c("Airline", "Max Arrival Delay"), caption = "Maximum
Delays by Airline", caption.short = "Max Delays by Airline",
longtable = TRUE, booktabs = TRUE)
```

The last two options make the table a little easier-to-read.

# Chapter 2

Hybridization between two sympatric ranid frog species in the northern Sierra Nevada, California

## Introduction

Landscape changes can influence species demography and migration patterns (Li et al. 2017) which can change rates of gene flow within species. Changing migration rates and population sizes can influence population structure; thus, over time, landscape changes can cause significant changes in genetic diversity within a species. Furthermore, cross-breeding or hybridization between closely related taxa can promote gene flow (introgression) between species, which may be an important evolutionary mechanism for either homogenization (reversing initial divergence between species), speciation (from reproductive isolation of hybrid populations), or adaptation (transfer of adaptive alleles) (Mallet 2007, Abbott et al. 2013, Barrera-Guzmán et al. 2018).

Hybridization events in vertebrates may be rare, or rarely detected, and thus identifying potential hybridization can be difficult and may be affected by sampling design, timing, and resolution of genetic markers. Therefore, occurrences of hybridization likely remain unknown, particularly in cryptic taxa. Assessing population admixture or detecting potential hybridization has previously been challenging; however, modern genetic methods provide a powerful approach to assess populations at fine geographic and evolutionary scales (Ali et al. 2016, Prince et al. 2017).

We investigate the potential for hybridization in two sympatrically occurring endemic frog species in the Sierra Nevada of California. Foothill yellow-legged frogs, Rana boylii, (Baird 1856) historically occurred in lower and mid-elevation (<1500 m) streams and rivers from Southern Oregon to northern Baja California west of the Sierra-Cascade crest (Stebbins 2003), whereas Sierra Nevada yellow-legged frogs, Rana sierrae, (Camp 1917) typically occurred from 1500 m to over 3600 m in lakes and streams (Stebbins 2003, @zweifel\_ecology\_1955). Population declines have been documented across the former range of both of these species; R. sierrae has been extirpated from over 90 percent of its historical range (Drost and Fellers 1996) (Vredenburg 2004) while R. boylii has been extirpated from 50 percent of its historical range (Jennings and Hayes 1994, Davidson et al. 2002). Both species are of conservation concern; in 2014, the U. S. Fish and Wildlife Service (USFWS) listed R. sierrae as endangered under the U. S. Endangered Species Act (ESA) (USFWS 2014), and R. boylii is listed as a species of special concern in California and is a candidate for listing under the California and federal ESAs.

Unlike other ranid frog species with broad areas of potential intergradation (Shaffer et al. 2004), *R. boylii* and *R. sierrae* only rarely occur sympatrically. Zweifel (1955) described one historical location where these two species co-occurred, in Butte County near DeSabla. Currently the only known location where both species are found is sev-

eral tributaries to the Feather River in the northern Sierra Nevada, California (Figure 1). Hybridization between these species has not previously been documented. Furthermore, breeding experiments by Zweifel (1955) between R. sierrae (formerly known as R. muscosa) and R. boylii yielded very low viability in fertilization and high incidences of embryological abnormalities—indicating a post-zygotic barrier between the species. However, these experiments only crossed female R. sierrae with male R. boylii, and the individuals were from very different California regions (e.g., Butte and Nevada County vs. Contra Costa County). Rana boylii and R. sierrae species have very similar morphology and habitat preferences in areas where they co-occur; thus assigning individuals to species is difficult and imprecise using field identification methods. This presents a challenge for management because these sympatric species have different conservation status and management objectives. We employed modern genetic methodology to better understand R. sierrae and R. boylii where their ranges overlap. We investigated three primary questions:

- 1. Can hybridization be detected between two sympatrically occurring threatened and endangered (ESA) frog species in the Sierra Nevada using data generated from genome-wide single nucleotide polymorphisms (SNPs);
- 2. If hybrids can be detected, do genetic signatures suggest hybrid viability (i.e., can hybrids reproduce, leading to introgression between species);
- 3. Using coalescent modeling, are migration rates between species in sympatrically occurring populations higher than in allopatrically occurring populations in adjacent watersheds?

## Materials and Methods

# Sampling and DNA Extraction

To investigate potential hybridization between R. sierrae and R. boylii, a total of 458 tadpole tail clips, buccal swabs, or tissue samples were compiled. Samples were identified to species in the field as either R. boylii, R. sierrae, or "unknown", which were individuals which could not be visually confirmed as either species (Stebbins 2003). The samples were collected between 1992 and 2016, from three watersheds in the Sierra Nevada (the Feather, Yuba, and American) (Table S1). All unknown individuals were from Feather watershed localities.

Table 2.1: Sample Sites

Locality	River	No. Samples	Lat.	Lon.	Elev (m)	Watershed (HUC8)
MFA-AMEC	MFA	ರ	38.934	-120.9436	240	American
MFA-GASC	MFA	1	38.9665	-120.9325	242	American
MFA-TODC	MFA	9	38.9638	-120.9216	368	American
MFA-US-R	MFA	1	39.0075	-120.7316	360	American
NFA	NFA	12	39.1079	-120.9227	363	American
NFA-BUNC	NFA	8	39.0376	-120.9103	286	American
NFA-EUCHDS	NFA	3	39.1849	-120.762	580	American
NFA-INDC	NFA	3	39.0567	-120.9085	296	American
NFA-Lyons $Pk$	NFA	11	39.2067	-120.3113	2529	American
NFA-POND	NFA	2	38.9999	-120.9406	241	American
NFA-ROBR	NFA	9	39.1045	-120.9267	400	American
NFA-SHIC	NFA	8	39.0417	-120.9009	341	American
NFA-SLAR	NFA	5	39.0987	-120.9255	356	American
NFMFA-SC	NFMFA	7	39.0224	-120.7369	522	American
RUB-HighlandDS	RUB	18	38.9615	-120.2422	2312	American

Table 2.1: Sample Sites (continued)

Locality	River	No. Samples	Lat.	Lon.	Elev (m)	Watershed (HUC8)
RUB-HighlandLk	RUB	29	38.9573	-120.2418	2383	American
RUB-LC-US	RUB	1	38.9889	-120.69	415	American
RUB-USPH	RUB	2	38.9993	-120.7233	361	American
RUB-Zitella	RUB	6	38.9595	-120.227	2335	American
RUB-ZitellaLk	RUB	2	38.9604	-120.2316	2337	American
SFA-CAMI	SFA	2	38.8115	-120.5787	725	American
FEA-BeanCk	FEA	09	39.9774	-121.091	1397	Feather
FEA-EBNFF	FEA	9	Unknown	Unknown	Unknown	Feather
FEA-GoldLk	FEA	4	39.9416	-121.136	1816	Feather
FEA-LoneRockCk	FEA	3	40.2012	-120.6453	1563	Feather
FEA-MillCk	FEA	4	39.9591	-121.1573	1891	Feather
FEA-RockLkBucksCk	FEA	1	39.9403	-121.1499	2102	Feather
FEA-RockLkSilver	FEA	8	39.9409	-121.1422	1902	Feather
FEA-SFRockCk	FEA	27	39.8789	-121.0022	1470	Feather
FEA-SPANISH-BGulch	FEA	5	39.9546	-121.089	1283	Feather

Table 2.1: Sample Sites (continued)

Locality	River	No. Samples	Lat.	Lon.	Elev (m)	Watershed (HUC8)
FEA-SPANISH-RockCk	FEA	1	39.9445	-121.0221	1090	Feather
FEA-SPANISH-SilverCk	FEA	3	39.9377	-121.0849	1189	Feather
FEA-SPANISH-Wapaunsie	FEA	1	39.9523	-121.0373	1096	Feather
FEA-SpanishCk	FEA	26	39.9541	-121.0541	1192	Feather
NFF-Poe	NFF	1	39.736	-121.4702	284	Feather
FORD-Mossy-P1	FORD	1	39.381	-120.4623	2157	Yuba
FORD-Mossy-P2	FORD	8	39.3852	-120.4714	1998	Yuba
FORD-Mossy-P3	FORD	2	39.3765	-120.4603	2158	Yuba
FORD-MossyDS	FORD	19	39.3853	-120.4728	1984	Yuba
FORD-MossyPond	FORD	34	39.3781	-120.4701	2106	Yuba
FORD-NorthCkTrib	FORD	34	39.3869	-120.451	2090	Yuba
MFY-OREGCk	MFY	10	39.4419	-121.0575	620	Yuba
MFY-Remmington	MFY	1	39.4137	-120.9912	620	Yuba
MFY-US-OH	MFY	7	39.413	-120.9903	624	Yuba
NFY	NFY	12	39.5119	-120.9774	705	Yuba

Table 2.1: Sample Sites (continued)

Locality	River	No. Samples	Lat.	Lon.	Elev (m)	Watershed (HUC8)
NFY-SLATE-CGRav	NFY	3	39.6928	-120.9258	1457	Yuba
NFY-SLATE-Onion	NFY	3	39.6355	-121.0395	1300	Yuba
SFY	SFY	1	39.3539	-120.7342	068	Yuba
SFY-FallCk	SFY	5	39.3553	-120.7371	884	Yuba
SFY-HUMBUG	SFY	1	2898.68	-120.921	228	Yuba
SFY-LOGA	SFY	3	39.3691	-120.8526	1201	Yuba
SFY-MCKI	SFY	8	898.68	-120.8354	1084	Yuba
SFY-MISC	SFY	7	39.361	-120.8814	1095	Yuba
SFY-RockCk	SFY	3	39.3298	-120.9863	594	Yuba
SFY-Scotchman	SFY	3	39.3293	-120.777	1167	Yuba
SFY-ShadyCk	SFY	6	39.3543	-121.059	675	Yuba

The Yuba and American watersheds share a similar Mediterranean climate, underlying geology, watershed aspect (west-slope), and vegetative communities. The Feather watershed shares a similar climate but has a slightly different underlying geology and aspect than that of other watersheds in the Sierra Nevada. The Feather watershed lies in the transition zone of the northern Sierra Nevada and the Cascades/Basin and Range Province, and thus the landscape in the northern portion of the watershed is comprised largely of volcanic bedrock while the southern portion is largely granitic (Durrell 1988). Field sampling was conducted following methods in Heyer et al. (1994) under CDFW SCP Permit #0006881 and Federal permit TE-40087B-0 with IACUC protocol #19327 and #04718-001. Individual post-metamorphic frogs were buccal-swabbed following established protocols (Goldberg et al. 2003, Pidancier et al. 2003, Broquet et al. 2007). Each post-metamorphic individual was comprehensively swabbed underneath tongue and inside of both cheeks for approximately 30 sec to one minute. Swabs were air dried for approximately five minutes and placed in 1.5 mL microcentrifuge tubes while in the field or placed in lysis buffer (Goldberg et al. 2003). Dried samples were stored in the laboratory at -80°C until DNA extraction. Where possible, tail clips from tadpole larvae were collected, and tadpoles greater than 15 mm total length were targeted (Wilbur and Semlitsch 1990, Parris et al. 2010). One clip was taken per individual tadpole and dried on Whatman filter paper (grade 1) and stored at room temperature or in 95% ethanol. DNA was extracted from ethanol-stored samples using Qiagen DNeasy kits following manufacturer protocol and stored at -20°C. DNA was extracted from dried buccal swabs and tail clips using an Ampure magnetic bead-based protocol (Ali et al. 2016) and stored at -20°C.

#### Rapture Sequencing

To produce a high-quality genomic resource for frog species with large genome sizes, we interrogated a significant fraction of the *R. boylii* genome using RAD sequencing with SbfI (Miller et al. 2007, Baird et al. 2008, Ali et al. 2016). Paired-end sequence data were generated using 24 *R. boylii* individuals (Table S2). RAD libraries were constructed following the protocol described in (Ali et al. 2016). De novo locus discovery and contig extension were carried out as previously described (Miller et al. 2012) using the alignment program Novoalign and the genome assembler PRICE (Ruby et al. 2013). This resulted in a set of 77,544 RAD contigs ranging from 300 to 800 bp which served as a de novo partial genome reference for all subsequent downstream analyses (File S3: de novo). We next removed loci with five or more SNPs, and randomly selected 10,000 loci from the remaining subset. Of these 10,000 loci, 8,533 were successfully designed into 120 bp RAD capture baits by Arbor Biosciences (File S4: Baits). Sample libraries were prepared for sequencing following RAD Capture (Rapture) methods outlined in Ali et al. (2016). These samples were then then used to identify putative high-quality SNPs following sequencing.

Sampled individuals were aligned against the de novo partial genome reference using the BWA-MEM algorithm (Li and Durbin 2010, Li 2013), and converted to BAM format and filtered for properly paired alignments using Samtools (File S1). Next, alignments from three different sequencing runs on an Illumina HiSeq were merged together and duplicates were removed using Samtools (Li et al. 2009). For all downstream analysis, we selected individuals that had greater than 25,000 alignments (n=311), which provided sufficient data to investigate population genetic attributes at broad and fine geographic scales (Table S5). To generate SNP (i.e., segregating site) data, a probabilistic framework was used for all population genetic analyses as it does not require calling genotypes and is

suitable for low-coverage sequencing data (Korneliussen et al. 2013, Fumagalli et al. 2013). SNP discovery, minor allele frequencies (MAF) estimates, and genotype probabilities were conducted using ANGSD (Korneliussen et al. 2014). ANGSD analyses were conducted following methods from Prince et al. (2017), with a minimum mapping quality score (minMapQ) of 10, a minimum base quality score (minQ) of 20, the genotype likelihood model (GL 1), specifying the RAPTURE bait locations using the -sites flag, and only sites represented in at least 50% of the included samples (minInd) were used. Furthermore, genomic sites were designated as polymorphic only if MAFs were greater than 0.05 and the probability of the site not being polymorphic was less than 1e-6. Using this approach, over 44,000 polymorphic sites were identified across all *R. boylii* study samples.

#### PCA & Admixture

To assess population structure and coancestry, ANGSD was used to generate PCA and NGSadmix was used to calculate admixture. Settings used in ANGSD for PCA to identify polymorphic sites included a SNP\_pval of 1e-6, inferring major and minor alleles (doMajorMinor 1), estimating genotypic likelihoods (GL 1), estimating allele frequencies (doMaf 2) (Kim et al. 2011), retaining SNPs with a minor allele frequency of at least 0.05 (minMaf), specifying the RAPTURE bait locations using the -sites flag, estimation of genotype posterior probabilities using a uniform prior (doPost 2), and the -doIBS 1 and -doCov 1 options. Principal components (PC) summarizing population structure were derived from classic eigenvalue decomposition and were visualized using the ggplot2 package in R (R Core Team 2017). To assess admixture between R. sierrae and R. boylii, genotype likelihood data (-GL 2) was generated in ANGSD with the same settings as above, in addition to retaining only SNPs that were shared in at least of 50% of the samples, -doPost 2, -doGLF 2, and limiting to higher quality alignment data (-minMapQ 10,

-minQ 20). We then used NGSadmix (Skotte et al. 2013) to infer ancestry proportions in *R. sierrae* and *R. boylii* individuals. NGSadmix is a robust admixture method that can be applied to low-depth NGS data, and does not require called genotypes, thus reducing error associated with potential ascertainment and uncertainty in the data (Skotte et al. 2013).

### F1 vs F2 Test with Species Diagnostic SNPs

To test whether hybrids were first generation filial (F1) hybrids or progeny from F1 hybrids from subsequent generations (e.g., F2, F3, etc.), we identified differentially fixed (i.e., species-specific) SNPs and assessed heterozygosity at these loci in hybrid individuals as F1 vs. F2 hybrid individuals will have different degrees of heterozygosity in these species-diagnostic SNPs. We called genotypes in ANGSD using a uniform prior (-doPost 2) and the following settings: -GL 1, -doGeno 13, -postCutoff 0.95, -doMaf 1, -doMajorMinor 1, -minInd 2, -SNP\_pval 1e-6, -minMapQ 20, -minQ 20, and specifying the RAPTURE bait locations using the -sites. The subsequent output (\*.geno.gz) was then processed in the program R using the dplyr package (Wickham et al. 2018) to manipulate and filter to homozygous diagnostic SNPs. Data were filtered to include only loci where over 50 non-hybrid individuals from each species had called genotypes at a given polymorphism.

## Demographic Modeling with fastsimcoal2

To quantify divergence times and migration rates between *R. sierrae* and *R. boylii*, we used coalescent simulations in fastsimcoal2 (Excoffier and Foll 2011, Excoffier et al. 2013). This maximum-likelihood modeling approach uses simulations to estimate the expected

site-frequency spectra (SFS) for a demographic model of interest to calculate a composite likelihood, and then utilizes a maximization procedure to find the maximum-likelihood parameter estimates.

We calculated folded joint SFS for each species in each watershed from SNP data generated from ANGSD because the ancestral condition is unknown. For all models, we assumed the potential for bidirectional gene flow and that extant genetic clusters emerged simultaneously from a common ancestry. We tested models that allowed for population growth, and models with no growth. We used two conservative model scenarios to estimate divergence times and migration rates between species in each watershed. To estimate migration probabilities per generation between species within each watershed, we set the divergence time parameters between 1–1.1 billion years ago to create simplified migration-only models. To estimate divergence time between species, we used the watershed that had the lowest migration rate from the previous migration-only models, and generated divergence time estimates assuming no migration between species.

The basic steps taken to obtain final model estimates from fastsimcoal2 used a set of 10 replicate models, followed by comparison of maximum observed and expected likelihoods to select the best-fit model (Akaike 1973), then simulate new SFS using the best-fit model for parametric bootstrapping. Following Excoffier and Foll (2011), we used 1,000 randomly drawn SNPs from each SFS to generate 100,000 coalescent simulations for likelihood calculations (estimation of the expected SFS) with a maximum of 40 cycles for the conditional maximization algorithm. To select the best-fit model we selected the model replicate that minimized the difference between the maximum expected likelihood and the maximum observed likelihood. We used parametric bootstrapping to generate 95% confidence intervals for each best-fit model using 100 bootstraps for each model and selecting the best model from each bootstrap based on maximum likelihoods as described

above.

# Results

# Rapture produced high quality genomic data for both $R.\ sierrae$ and $R.\ boylii$

Individual samples were collected across 56 different sampling localities in three different watersheds (Figure 1, Table S1). For downstream analysis, we filtered and retained 311 samples from the original sequencing data that contained a minimum of 25,000 alignments (Table S5). The final merged dataset mean alignments per sample was 229,485 (Table S5), and the mean number of samples per site was eight. These frog species are cryptic, and often occur in low densities, so we retained all sites in our analysis, regardless of the number of samples (Table S1). We conclude that the sequence data we obtained should be appropriate for population genetic analyses across our study area.

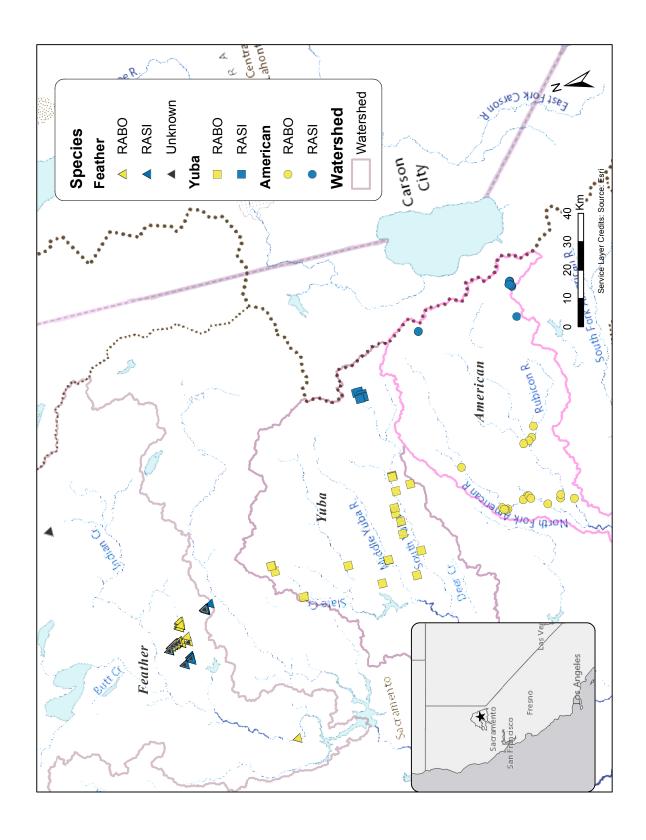


Figure 2.1: Map of sampling locations in the Feather, Yuba, and American watersheds. RABO= $R.\ boylii,\ RASI=R.\ sierrae.$  33

# Chapter 3

# Tables, Graphics, References, and Labels

## **Tables**

By far the easiest way to present tables in your thesis is to store the contents of the table in a CSV or Excel file, then read that file in to your R Markdown document as a data frame. Then you can style the table with the kable function, or functions in the kableExtra pacakge.

In addition to the tables that can be automatically generated from a data frame in **R** that you saw in [R Markdown Basics] using the kable function, you can also create tables using pandoc. (More information is available at http://pandoc.org/README.html#tables.) This might be useful if you don't have values specifically stored in **R**, but you'd like to display them in table form. Below is an example. Pay careful attention to the alignment in the table and hyphens to create the rows and columns. Generally I don't recommend this approach of typing the table directly into your R Markdown document.

Table 3.1: Correlation of Inheritance Factors for Parents and Child

Factors	Correlation between Parents & Child	Inherited
Education	-0.49	Yes
Socio-Economic Status	0.28	Slight
Income	0.08	No
Family Size	0.18	Slight
Occupational Prestige	0.21	Slight

We can also create a link to the table by doing the following: Table 3.1. If you go back to [Loading and exploring data] and look at the kable table, we can create a reference to this max delays table too: Table ??. The addition of the (\#tab:inher) option to the end of the table caption allows us to then make a reference to Table \@ref(tab:label). Note that this reference could appear anywhere throughout the document after the table has appeared.

## **Figures**

If your thesis has a lot of figures, R Markdown might behave better for you than that other word processor. One perk is that it will automatically number the figures accordingly in each chapter. You'll also be able to create a label for each figure, add a caption, and then reference the figure in a way similar to what we saw with tables earlier. If you label your figures, you can move the figures around and R Markdown will automatically adjust the numbering for you. No need for you to remember! So that you don't have to get too far into LaTeX to do this, a couple  $\mathbf R$  functions have been created for you to assist. You'll see their use below.

In the **R** chunk below, we will load in a picture stored as uw.png in our main directory. We then give it the caption of "UW logo", the label of "uwlogo", and specify that this is a figure. Make note of the different **R** chunk options that are given in the R Markdown file (not shown in the knitted document).

```
#include_graphics(path = "figure/uw.png")
```

Here is a reference to the UW logo: Figure ??. Note the use of the fig: code here. By naming the R chunk that contains the figure, we can then reference that figure later as done in the first sentence here. We can also specify the caption for the figure via the R chunk option fig.cap.

Below we will investigate how to save the output of an R plot and label it in a way similar to that done above. Recall the flights dataset from Chapter ??. (Note that we've shown a different way to reference a section or chapter here.) We will next explore a bar graph with the mean flight departure delays by airline from Portland for 2014. Note also the use of the scale parameter which is discussed on the next page.

```
flights %>% group_by(carrier) %>%
  summarize(mean_dep_delay = mean(dep_delay)) %>%
  ggplot(aes(x = carrier, y = mean_dep_delay)) +
  geom_bar(position = "identity", stat = "identity", fill = "red")
```

Here is a reference to this image: Figure ??.

A table linking these carrier codes to airline names is available at https://github.com/ismayc/pnwflights14/blob/master/data/airlines.csv.

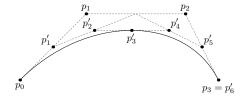


Figure 3.1: Subdiv. graph

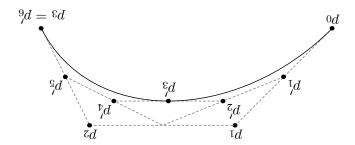


Figure 3.2: A Larger Figure, Flipped Upside Down

Next, we will explore the use of the out.extra chunk option, which can be used to shrink or expand an image loaded from a file by specifying "scale= ". Here we use the mathematical graph stored in the "subdivision.pdf" file. Here is a reference to this image: Figure 3.1. Note that echo=FALSE is specified so that the R code is hidden in the document.

#### More Figure Stuff

Lastly, we will explore how to rotate and enlarge figures using the out.extra chunk option. (Currently this only works in the PDF version of the book.) As another example, here is a reference: Figure 3.2.

### Footnotes and Endnotes

You might want to footnote something.<sup>1</sup> The footnote will be in a smaller font and placed appropriately. Endnotes work in much the same way.

<sup>&</sup>lt;sup>1</sup>footnote text

# **Bibliographies**

Of course you will need to cite things, and you will probably accumulate an armful of sources. There are a variety of tools available for creating a bibliography database (stored with the .bib extension). In addition to BibTeX suggested below, you may want to consider using the free and easy-to-use tool called Zotero. Some Zotero documentation is at <a href="http://libguides.reed.edu/citation/zotero">http://libguides.reed.edu/citation/zotero</a>. In addition, a tutorial is available from Middlebury College at <a href="http://sites.middlebury.edu/zoteromiddlebury/">http://sites.middlebury.edu/zoteromiddlebury/</a>.

R Markdown uses pandoc (http://pandoc.org/) to build its bibliographies. One nice caveat of this is that you won't have to do a second compile to load in references as standard LaTeX requires. To cite references in your thesis (after creating your bibliography database), place the reference name inside square brackets and precede it by the "at" symbol. For example, here's a reference to a book about worrying: (???). This Molina1994 entry appears in a file called thesis.bib in the bib folder. This bibliography database file was created by a program called BibTeX. You can call this file something else if you like (look at the YAML header in the main .Rmd file) and, by default, is to placed in the bib folder.

For more information about BibTeX and bibliographies, see (http://web.reed.edu/cis/help/latex/index.html)<sup>2</sup>. There are three pages on this topic: bibtex (which talks about using BibTeX, at http://web.reed.edu/cis/help/latex/bibtex.html), bibtexstyles (about how to find and use the bibliography style that best suits your needs, at http://web.reed.edu/cis/help/latex/bibtexstyles.html) and bibman (which covers how to make and maintain a bibliography by hand, without BibTeX, at http://web.reed.edu/cis/help/latex/bibman.html). The last page will not be useful unless you have only a few sources.

 $<sup>^{2}(???)</sup>$ 

If you look at the YAML header at the top of the main .Rmd file you can see that we can specify the style of the bibliography by referencing the appropriate csl file. You can download a variety of different style files at <a href="https://www.zotero.org/styles">https://www.zotero.org/styles</a>. Make sure to download the file into the csl folder.

#### Tips for Bibliographies

- Like with thesis formatting, the sooner you start compiling your bibliography for something as large as thesis, the better.
- The cite key (a citation's label) needs to be unique from the other entries.
- When you have more than one author or editor, you need to separate each author's name by the word "and" e.g. Author = {Noble, Sam and Youngberg, Jessica}.
- Bibliographies made using BibTeX (whether manually or using a manager) accept LaTeX markup, so you can italicize and add symbols as necessary.
- To force capitalization in an article title or where all lowercase is generally used, bracket the capital letter in curly braces.

# Anything else?

If you'd like to see examples of other things in this template, please contact us (email bmarwick@uw.edu) with your suggestions. We love to see people using *R Markdown* for their theses, and are happy to help.

# Appendix A

# The First Appendix

This first appendix includes all of the R chunks of code that were hidden throughout the document (using the include = FALSE chunk tag) to help with readibility and/or setup.

#### In the main Rmd file

```
# This chunk ensures that the huskydown package is
# installed and loaded. This huskydown package includes
# the template files for the thesis.
if(!require(devtools))
   install.packages("devtools", repos = "http://cran.rstudio.com")
if(!require(huskydown))
   devtools::install_github("benmarwick/huskydown")
library(huskydown))
# devtools::install_github("danovando/gauchodown")
# devtools::install_github("danovando/gauchodown")
# library(gauchodown)
library(knitr)
```

In Chapter 3:

Appendix B

The Second Appendix, for Fun

# Colophon

This document is set in EB Garamond, Source Code Pro and Lato. The body text is set at 11pt with lmr.

It was written in R Markdown and  $ET_EX$ , and rendered into PDF using huskydown and bookdown.

This document was typeset using the XeTeX typesetting system, and the University of Washington Thesis class class created by Jim Fox. Under the hood, the University of Washington Thesis LaTeX template is used to ensure that documents conform precisely to submission standards. Other elements of the document formatting source code have been taken from the Latex, Knitr, and RMarkdown templates for UC Berkeley's graduate thesis, and Dissertate: a LaTeX dissertation template to support the production and typesetting of a PhD dissertation at Harvard, Princeton, and NYU

The source files for this thesis, along with all the data files, have been organised into an R package, xxx, which is available at https://github.com/xxx/xxx. A hard copy of the thesis can be found in the University of Washington library.

This version of the thesis was generated on 2018-09-08 11:41:14. The repository is currently at this commit:

The computational environment that was used to generate this version is as follows:

Session info -----

setting value

version R version 3.5.1 (2018-07-02)

system x86\_64, darwin15.6.0

ui X11

language (EN)

collate en\_US.UTF-8

tz America/Los\_Angeles

date 2018-09-08

Packages ------

package	* ver	rsion	date	sour	ce
assertthat	0.2	2.0	2017-04-11	CRAN	(R 3.5.0)
backports	1.1	2	2017-12-13	CRAN	(R 3.5.0)
base	* 3.5	5.1	2018-07-05	local	L
bindr	0.1	1	2018-03-13	CRAN	(R 3.5.0)
bindrcpp	0.2	2.2	2018-03-29	CRAN	(R 3.5.0)
bookdown	* 0.7	,	2018-02-18	CRAN	(R 3.5.0)
broom	0.5	5.0	2018-07-17	CRAN	(R 3.5.0)
cellranger	1.1	0	2016-07-27	CRAN	(R 3.5.0)
cli	1.0	0.0	2017-11-05	CRAN	(R 3.5.0)
colorspace	1.3	3-2	2016-12-14	CRAN	(R 3.5.0)
compiler	3.5	5.1	2018-07-05	local	L
crayon	1.3	3.4	2017-09-16	CRAN	(R 3.5.0)
datasets	* 3.5	5.1	2018-07-05	local	L

```
devtools
            * 1.13.6
                         2018-06-27 CRAN (R 3.5.0)
              0.6.16
                         2018-08-22 CRAN (R 3.5.1)
digest
dplyr
            * 0.7.6
                         2018-06-29 CRAN (R 3.5.0)
evaluate
              0.11
                         2018-07-17 CRAN (R 3.5.0)
forcats
            * 0.3.0
                         2018-02-19 CRAN (R 3.5.0)
            * 3.0.0.9000 2018-09-04 Github (tidyverse/ggplot2@6e545dc)
ggplot2
              0.23.0
                         2018-07-17 CRAN (R 3.5.0)
git2r
              1.3.0
                         2018-07-17 CRAN (R 3.5.0)
glue
                         2018-07-05 local
graphics
            * 3.5.1
            * 3.5.1
                         2018-07-05 local
grDevices
              3.5.1
                         2018-07-05 local
grid
              0.2.0
                         2016-02-26 CRAN (R 3.5.0)
gtable
              1.1.2
                         2018-06-27 CRAN (R 3.5.0)
haven
hms
              0.4.2
                         2018-03-10 CRAN (R 3.5.0)
htmltools
              0.3.6
                         2017-04-28 CRAN (R 3.5.0)
              1.3.1
                         2017-08-20 CRAN (R 3.5.0)
httr
huskydown
            * 0.0.5
                         2018-09-04 Github (benmarwick/huskydown@3ef00c9)
                         2017-06-01 CRAN (R 3.5.0)
jsonlite
              1.5
kableExtra * 0.9.0
                         2018-05-21 CRAN (R 3.5.0)
                         2018-02-20 CRAN (R 3.5.0)
knitr
            * 1.20
lattice
              0.20 - 35
                         2017-03-25 CRAN (R 3.5.1)
lazyeval
              0.2.1
                         2017-10-29 CRAN (R 3.5.0)
lubridate
              1.7.4
                         2018-04-11 CRAN (R 3.5.0)
              1.5
                         2014-11-22 CRAN (R 3.5.0)
magrittr
memoise
              1.1.0
                         2017-04-21 CRAN (R 3.5.0)
                         2018-07-05 local
methods
            * 3.5.1
```

modelr	0	.1.2	2018-05-11	CRAN	(R 3.5.0)
munsell	0	.5.0	2018-06-12	CRAN	(R 3.5.0)
nlme	3	. 1-137	2018-04-07	CRAN	(R 3.5.1)
pillar	1	.3.0	2018-07-14	CRAN	(R 3.5.0)
pkgconfig	2	.0.2	2018-08-16	CRAN	(R 3.5.0)
plyr	1	.8.4	2016-06-08	CRAN	(R 3.5.0)
purrr	* 0	.2.5	2018-05-29	CRAN	(R 3.5.0)
R6	2	.2.2	2017-06-17	CRAN	(R 3.5.0)
Rcpp	0	.12.18	2018-07-23	CRAN	(R 3.5.1)
readr	* 1	.1.1	2017-05-16	CRAN	(R 3.5.0)
readxl	1	.1.0	2018-04-20	CRAN	(R 3.5.0)
rlang	0	.2.2	2018-08-16	CRAN	(R 3.5.0)
rmarkdown	1	. 10	2018-06-11	cran	(@1.10)
rprojroot	1	.3-2	2018-01-03	CRAN	(R 3.5.0)
rstudioapi	0	.7	2017-09-07	CRAN	(R 3.5.0)
rvest	0	.3.2	2016-06-17	CRAN	(R 3.5.0)
scales	1	.0.0.9000	2018-08-29	Githu	ub (hadley/scales@0f7a186)
stats	* 3	.5.1	2018-07-05	local	1
stringi	1	.2.4	2018-07-20	CRAN	(R 3.5.0)
stringr	* 1	.3.1	2018-05-10	CRAN	(R 3.5.0)
tibble	* 1	.4.2	2018-01-22	CRAN	(R 3.5.0)
tidyr	* 0	.8.1	2018-05-18	CRAN	(R 3.5.0)
tidyselect	0	.2.4	2018-02-26	CRAN	(R 3.5.0)
tidyverse	* 1	.2.1	2017-11-14	CRAN	(R 3.5.0)
tools	3	.5.1	2018-07-05	local	1
utils	* 3	.5.1	2018-07-05	local	1

viridisLite	0.3.0	2018-02-01 CRAN (R 3.5.0)
withr	2.1.2	2018-08-29 Github (jimhester/withr@8b9cee2)
xfun	0.3	2018-07-06 CRAN (R 3.5.0)
xml2	1.2.0	2018-01-24 CRAN (R 3.5.0)
yaml	2.2.0	2018-07-25 CRAN (R 3.5.0)

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