



# DATA USE MANUAL SUPPLEMENT











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# Malawi Population-based HIV Impact Assessment 2020-2021

# MPHIA 2020-2021

This project is supported by the US President's Emergency Plan for AIDS Relief (PEPFAR) through CDC under the terms of cooperative agreement U2GGH002173. The findings and conclusions are those of the authors and do not necessarily represent the official position of the funding agencies.











### MPHIA 2020-2021 Collaborating Institutions

Malawi Ministry of Health (MOH)

Malawi National AIDS Commission (NAC)

The United States (US) President's Emergency Plan for AIDS Relief (PEPFAR)

Centers for Disease Control and Prevention (CDC), Malawi

Centers for Disease Control and Prevention (CDC), Atlanta

ICAP at Columbia University, New York

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#### Access this Manual Online

https://phia-data.icap.columbia.edu/datasets?country\_id=3

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## **Abbreviations**

| ART     | Antiretroviral Therapy                              |
|---------|---|
| ARV     | Antiretroviral                                      |
| CAP/CTM | COBAS AmpliPrep/COBAS Tagman HIV-1 Qualitative Test |
| CD4     | CD4+ T-Cell   |
| CI      | Confidence Interval                                 |
| CONSORT | Consolidated Standard of Reporting Trials           |
| DHS     | Demographic and Health Surveys                      |
| DNA     | Deoxyribonucleic Acid                               |
| EA      | Enumeration Area                                    |
| HIV     | Human Immunodeficiency Virus                        |
| ID      | Identification                                      |
| LAg-EIA | Limiting-Antigen Avidity Enzyme Immunoassay         |
| MPHIA   | Malawi Population-based HIV Impact Assessment       |
| OVC     | Orphans and Vulnerable Children                     |
| PCA     | Principal Components Analysis                       |
| PCR     | Polymerase Chain Reaction                           |
| PHIA    | Population-based HIV Impact Assessment              |

### 1 Background

#### 1.1 What is MPHIA 2020-2021?

The Malawi Population-based HIV Impact Assessment 2020-2021 (MPHIA 2020-2021) is a cross-sectional household-based survey conducted in Malawi. MPHIA 2020-2021 is part of the PHIA Project, a series of population-based surveys, which are designed to assess the burden of HIV disease and impact of the health sector response to national HIV epidemics.

### 1.2 Purpose of the MPHIA 2020-2021 Data Manual Supplement

The purpose of the *MPHIA 2020-2021 Data Manual Supplement* (hereafter, "*Supplement*") is to accompany the *PHIA Data Manual* (hereafter, "*Manual*"), which contains information on PHIA data generally applicable to all PHIA surveys, including general information on the data packages and their contents, a guide to getting started with the PHIA data, and details on the files and variables included within the PHIA data. This *Supplement* contains MPHIA 2020-2021 survey specifications, including survey-specific eligibility criteria, sampling approaches and measures, and survey-specific documentation such as codebooks and questionnaires. A summary of MPHIA 2020-2021 findings can be found in the *MPHIA 2020-2021 Summary Sheet*, and a full report on findings is available in the *MPHIA 2021-2022 Final Report*.

#### 1.3 Other documentation and resources

In addition to this *Supplement*, users should refer to the *Manual* for general information on PHIA data and PHIA publications such as the *MPHIA 2020-2021 Summary Sheet* and *MPHIA 2020-2021 Final Report*. The *MPHIA 2020-2021 Final Report* contains detailed results from MPHIA 2020-2021 along with information on survey data collection procedures, establishing participation by the household head, procedures for individual consent, maintaining confidentiality during data collection and testing procedures, procedures for returning/obtaining test results, and referral for or direct linkage to services are included.

Several survey-specific pieces of documentation are provided as attachments to this **Supplement**, including:

- **Survey Questionnaires**: Three questionnaires are provided, the household, roster, and adult questionnaires. These questionnaires illustrate the questionnaire's structure, including the order that the questions were asked, each question's wording, variable names and labels, value coding and labels, and skip patterns.
- Codebook with Frequencies: Codebooks are provided for each dataset, indicating all
  variables contained within and frequencies of all categorical variables. These codebooks
  document each variable's name, category (i.e., the questionnaire module or source data
  of the variable), label (i.e., question wording or other label), type (e.g., integer, select
  one, select multiple, free text, and date/time) and coding values and labels.
- Analytic Variable Flow Diagrams: These flow diagrams define key analytic variables that combine sets of source variables.
- **Sampling and Weighting Technical Report**: Technical details of sampling and weighting procedures are provided in deeper detail.

• **Survey-Specific Table Specifications**: Containing tabulation detailed specifications for any final report tables outside of the general tabulation plan.

With each dataset download there are also statistical programs provided to help users get started with the PHIA data in three commonly used statistical packages: Stata, SAS, and R.

- o MPHIA 2020-2021 Stata Intro Code.do: Stata do-file
- o MPHIA 2020-2021 SAS Intro Code.sas: SAS program
- o MPHIA 2020-2021 R Intro Code.R: R script

For Stata, values have been labelled within each dataset. For SAS, there is a second statistical program containing code to label all values for variables on each of the data sets.

o MPHIA 2020-2021 Formats.sas: SAS program

### 2 Survey design and data collection

MPHIA 2020-2021 was a nationally representative, cross-sectional, two-stage, population-based survey of households across Malawi. Its target population corresponded to adults, defined in this survey as those aged 15 years and older.

Table 1. MPHIA 2020-2021 survey design characteristics

| Survey design characteristics                 | Description                                      |
|---|--|
| Survey design                                 |  |
| Data source for survey weighting <sup>1</sup> | 2018 Malawi Census                               |
| Sampling stratum                              | Zone   |
| Primary sampling unit                         | National Statistics Office (NSO)                 |
|   | Enumeration Areas (EAs)                          |
| Urban/rural categorization                    | Urban/rural                                      |
| Survey administration                         |  |
| Data collection dates                         | January 15, 2020 – April 26, 2021                |
|   | Cumia, pausadi                                   |
|   | Survey paused:<br>March 31, 2020 – March 7, 2021 |
| Languages                                     | English, Chichewa, Tumbuka                       |
| Sample size <sup>2</sup>                      |  |
| Number of selected EAs                        | 438  |
| Number of selected households                 | 15,330   |
| Number of rostered individuals (all ages)     | 59,021   |
| Survey participation                          |  |
| Number of completed household interviews      | 12,815   |
| Number of completed individual interviews     | 26,519   |
| Number of completed biomarker tests           | 22,662   |

<sup>&</sup>lt;sup>1</sup> See the **Sampling and Weighting Technical Report** for more details on survey weighting approach.

#### Exceptions to the general PHIA design

The MPHIA 2020-2021 survey was paused due to the COVID-19 pandemic from late March 2020 until early March 2021. Prior to resuming data collection in 2021, questions were added for COVID-19-specific experiences around economic support, location and interruption of ARV access, and accessing general health care services during the pandemic. Additionally, response categories for COVID-19 were added to questions about reasons away from home, barriers to HIV testing, care and treatment, and reasons why it is not easy to get a condom. Data users should note that the COVID-19 questions and responses were only included in interviews after data collection resumed in March 2021, which is a non-random subsample of the Malawi population.

#### **Questionnaire Changes**

<sup>&</sup>lt;sup>2</sup> See the MPHIA 2020-2021 Summary Sheet for response rates.

There were several country-specific changes to the questionnaire in MPHIA 2020. Non-standard questions added to the questionnaire, and questions with differences that could cause misinterpretation or incomparability with the corresponding questions in other PHIA countries have had their dataset variables renamed to use a "\_mw" suffix. The full list of questions having country-specific changes is as follows:

| Question  | Variable Name                  |
|---|--------------------------------|
| Language of questionnaire   | Ingvqx_Ing_mw                  |
| Language of interview   | Ingvint_Ing_mw                 |
| Language of respondent  | Ingnat_Ing_mw                  |
| Highest class/form/year of education completed  | schcom_mw                      |
| Before you moved here, which district/country did you live in?                              | outregionwhr_mw                |
| In the last 12 months, have you ever lived away from home?                                  | awayever_mw                    |
| How many times did you live away from home?   | awaynum_mw                     |
| How long were you away? (months)  | awaytimem_mw                   |
| The last time you were away from home for more than a month, where were you?                | whereout_mw                    |
| What was the main reason you went away from home for more than a month?                     | reasonaway_mw                  |
| What method of cervical cancer testing did you receive?                                     | cervcnmethod_mw                |
| Has the corona (COVID-19) pandemic compromised your ability to access health care services? | servaccesscovid_mw             |
| Which health care services were difficult to access due to corona (COVID-19)                | servaccesstypecovid_[c,d,x]_mw |
| Where can you get condoms?  | condomwhere_[a-h,x]_mw         |
| Have you taken part in any of the following prevention or treatment programs?               | adhivprev_[a-j,w,x]_mw         |
| Which district or country is [NAME] in currently?   | liveregionlivecountry_mw       |

### 3 Overview of survey questionnaires

In participating households, a household questionnaire is administered to the designated household head. Household head is defined as an individual age 18 or older and emancipated minors (defined in Malawi as an individual aged 15-17 who is married or free from any legally competent representative as defined by law in Malawi.) The household head provides consent for the household to participate in the survey, after which individual members are rostered during the household interview.

Then, adult individual questionnaires are administered to eligible and consenting individuals aged 15 and older in the household. Consent criteria are determined in each country. It should be noted that non-emancipated minors are consented via a different process than adults although they are grouped as adults for sampling and reporting. The consent criteria included:

- Women and men aged 18 years and older living in or visiting the selected households, who slept in the household the night before the survey, and who were willing and able to provide verbal consent
- Adolescents aged 15-17 years, living in or visiting the selected households, who slept in the household the night before the survey, who were willing and able to provide verbal assent, and whose parents or guardians were willing and able to provide verbal permission for their participation
  - o Parental permission was not required for emancipated minors

Modules included in each questionnaire and their associated eligibility criteria are listed in the table below. The content and order of each module may differ between MPHIA 2020-2021 and other PHIA surveys. Users can refer to each PHIA survey's **Survey Questionnaires** and **Codebooks** provided as attachments to this document.

Table 2. MPHIA 2020-2021 questionnaire

| Questionnaire module                                   | Eligibility criteria                                 |
|--|--|
| Household questionnaire                                | Sample of households within selected EAs             |
| Household roster                                       |  |
| Household roster for minors                            |  |
| Orphans and Vulnerable Children (OVC) Support          |  |
| Deaths in the household                                |  |
| Household characteristics                              |  |
| Economic support                                       |  |
| Individual questionnaire – adults (15 years and older) | All eligible <sup>1</sup> and consenting individuals |
| Respondent background                                  |  |
| Marriage   |  |
| Reproductive history                                   | All women  |
| Male circumcision                                      | All men  |
| Sexual activity  |  |
| HIV testing history                                    |  |
| HIV status, care and treatment                         | All self-reporting HIV-positive adults               |
| Tuberculosis and other health issues                   |  |
| Alcohol use  |  |
| Exposure to prevention intervention                    | All individuals age 15-24                            |

<sup>&</sup>lt;sup>1</sup> Household members are eligible if they were confirmed to have slept in the household the night before the interview.

### 4 Biomarker testing

In MPHIA 2020-2021, biomarker testing was offered to all rostered and consenting adults (15+ years). Eligibility criteria for receiving tests for specific biomarkers are provided in the table below.

Table 3. MPHIA 2020-2021 biomarker testing

| Biomarker  | Eligibility criteria                       |
|--|--|
| HIV serostatus <sup>1</sup>                        | All participants                           |
| Limiting Antigen Enzyme (LAg-Avidity) <sup>2</sup> | All HIV+ individuals                       |
| CD4+ cell count                                    | All HIV+ individuals                       |
| HIV RNA viral load                                 | All HIV+ individuals                       |
| Antiretroviral (ARV) drug presence                 | All HIV+ individuals                       |
| ARV drug resistance <sup>3</sup>                   | All HIV+ individuals with viral load > 200 |

<sup>&</sup>lt;sup>1</sup> See HIV testing algorithm below.

#### MPHIA 2020-2021 HIV testing algorithm

For participants 15 years of age or over, initial household-based HIV testing was performed with the national HIV testing algorithm using two HIV rapid tests, see the attached *HIV testing methodology diagram*. The Malawi HIV rapid testing algorithm applies two tests in sequence: Determine™ and Unigold™. As per the serial testing algorithm attached, individuals with a non-reactive result on the screening test (Determine™) were reported as HIV-negative. Individuals with a reactive screening test underwent subsequent testing with Unigold™. Those with a reactive result on both screening and confirmatory tests were classified as HIV positive and were referred to the health facility for enrollment into care, as required by the national testing algorithm. Individuals with a reactive Determine™ test followed by a non-reactive Unigold™ test were classified as indeterminate and were immediately retested in parallel in the field. If during the parallel testing the results were repeatedly indeterminate, the individual was classified as indeterminate and was referred for testing after 4 weeks as per the national guidelines. For the purposes of the survey, samples with indeterminate results received further testing and evaluation to allow for final classification of HIV status.

All HIV positives identified in the field received confirmatory testing in satellite laboratory using the BioRad Geenius™ HIV 1/2 Supplemental Assay. Individuals who self-reported being HIV-positive but tested HIV-negative received additional laboratory-based HIV testing including DNA qualitative polymerase chain reaction (PCR) (Roche COBAS AmpliPrep/COBAS Taqman (CAP/CTM) HIV-1 Qualitative Test).

<sup>&</sup>lt;sup>2</sup> Recency of HIV infection is determined via a combination of Limiting Antigen Enzyme (LAg-Avidity) Immunoassay, viral load and ARV results. See "New HIV infections and annual HIV incidence" in the **PHIA Data Manual**.

<sup>&</sup>lt;sup>3</sup> ARV drug resistance data have been reported in some **PHIA Publications** but are not currently available for download. These data may be available with a future release.

### 5 Data confidentiality

As noted in the *Manual*, various risk mitigation actions were used to protect the privacy and confidentiality of respondents in the public use data. Some of these actions apply to all PHIA surveys, while other actions are data-driven decisions motivated by various risk disclosure concerns. These concerns include small counts as a result of certain combinations of variables and values which may introduce individual disclosure risk concerns. This section outlines the variables that have been identified for disclosure risk remediation and the specific data action taken to address the risk concern.

The following date variables were redacted for all PHIA surveys prior to public release:

Table 4. Date variables redacted for all PHIA surveys

| Dataset(s)       | Variable                   |
|------------------|----------------------------|
| Household        | dieddated_01- dieddated_05 |
| Adult individual | surveystday                |
|                  | birthday                   |
|                  | birthmon                   |
|                  |                            |

Top-coding is the process of re-coding values above an upper bound to the value of the upper bound. Age for all respondents was top coded at 80. There was also top-coding to collapse small counts with nearby values, in which the data were re-coded so that the highest category contains at least 25 cases or 1 percent of households or individuals reporting the category. Variables that underwent top-coding are listed below:

Table 5. Variables that underwent top-coding

| Dataset(s)       | Variable     | Top-coding  |
|------------------|--------------|-------------|
|                  |              | upper bound |
| Roster           | age          | 80          |
| Adult individual | age          | 80          |
| Adult biomarker  | age          | 80          |
| Household        | ownchiknnum  | 20          |
| Household        | owncownum    | 7           |
| Household        | owndognum    | 5           |
| Household        | owngoatnum   | 10          |
| Household        | roomsleep    | 6           |
| Household        | diedagey_01  | 80          |
| Household        | diedagey_02  | 80          |
| Household        | diedagey_03  | 80          |
| Adult individual | agemar       | 40          |
| Adult individual | arvsmissdays | 5           |
| Adult individual | awaynum      | 10          |
| Adult individual | childa2012   | 6           |
| Adult individual | firstsxage   | 27          |
| Adult individual | husnwif      | 4           |
| Adult individual | lifetimesex  | 20          |
| Adult individual | liveb        | 11          |
| Adult individual | mcage        | 40          |
| Adult individual | medinhmonths | 24          |
| Adult individual | monthtimes   | 6           |
| Adult individual | numwif       | 3           |
| Adult individual | part12monum  | 6           |
|                  |              |             |

| Adult individual | partage1          | 80 |
|------------------|-------------------|----|
| Adult individual | partage2          | 80 |
| Adult individual | wifliveew         | 3  |
| Adult individual | arvamtm           | 6  |
| Adult individual | chtsthivagelastm1 | 24 |
| Adult individual | chtsthivagem1     | 24 |
| Adult individual | livetimey         | 61 |
|                  |                   |    |

Bottom-coding is the process of re-coding values below a lower bound to the value of the lower bound. Bottom-coding was used collapse small counts with nearby values, in which the data were re-coded so that the lowest category contains at least 25 cases or 1 percent of households or individuals reporting the category. Variables that underwent bottom-coding are listed below:

Table 6. Variables that underwent bottom-coding

| Dataset(s)       | Variable     | Bottom-coding<br>lower bound |
|------------------|--------------|------------------------------|
| Household        | diedagey_01  | 5                            |
| Household        | diedagey_02  | 5                            |
| Household        | diedagey_03  | 5                            |
| Adult individual | agemar       | 14                           |
| Adult individual | arvfty       | 2004                         |
| Adult individual | cervcntsy    | 2005                         |
| Adult individual | firstsxage   | 14                           |
| Adult individual | hivcly       | 2018                         |
| Adult individual | hivlastnegy  | 2000                         |
| Adult individual | hivtesty     | 2005                         |
| Adult individual | hivtfposy    | 2005                         |
| Adult individual | medinhmonths | 2                            |
| Adult individual | monthwheny   | 1994                         |
| Adult individual | partage1     | 14                           |
| Adult individual | partage2     | 14                           |
| Adult individual | partage3     | 14                           |
| Adult individual | vltestisty   | 2016                         |
| Roster           | liveawayy    | 2018                         |

The following variables and values were combined with the code for "other" due to small counts or percentages:

Table 7. Variables and values collapsed in to the "other" classification

| Table 7. Variables and values conapsed in to the other classification |                          |  |  |
|---|--------------------------|--|--|
| Dataset(s)  | Variable                 | Value(s)                                 |  |
| Adult individual  | hivtstlocation           | 6  |  |
| Adult individual  | outregionwhr_mw          | 12, 36                                   |  |
| Adult individual  | partrelation1            | 7  |  |
| Adult individual  | partrelation2            | 7  |  |
| Adult individual  | partrelation3            | 7  |  |
| Adult individual  | reasonaway_mw            | 3,4                                      |  |
| Adult individual  | whereout_mw              | 14, 30, 34, 38                           |  |
| Adult individual  | workind                  | 9  |  |
| Adult individual  | adhivprev_g_mw           | 1  |  |
| Adult individual  | adhivprev_k_mw           | 1  |  |
| Adult individual  | adhivprev_l_mw           | 1  |  |
| Adult individual  | chronicmed_d             | 1  |  |
| Adult individual  | cmethod_b                | 1  |  |
| Adult individual  | cmethod_h                | 1  |  |
| Adult individual  | condomnoteasyrsn_g       | 1  |  |
| Adult individual  | hivtstnvrrsn_j           | 1  |  |
| Adult individual  | hivtstnvrrsn_m           | 1  |  |
| Adult individual  | servaccesstypecovid_a_mw | 1  |  |
| Adult individual  | servaccesstypecovid_b_mw | 1  |  |
| Adult individual  | arvloc                   | 3, 4, 5                                  |  |
| Adult individual  | arvswitchwhy             | 2, 3, 4                                  |  |
| Adult individual  | cerncntrt                | 1, 2, 3                                  |  |
| Roster  | liveregionlivecountry_mw | 1, 10, 12, 13, 14, 15, 17, 18, 19, 2, 21 |  |
| Roster  | relattohh                | 9  |  |
| Household   | cookingfuel              | 2, 3, 4, 8, 95                           |  |
| Household   | matfloor                 | 12, 21, 22, 31, 32, 33, 35               |  |
| Household   | matroof                  | 11, 13, 22, 31, 32, 33                   |  |
| Household   | watersource              | 41, 42, 51, 91                           |  |

The following variables were redacted entirely due to small counts or percentages:

Table 8. Variables that were redacted

| ٠ | Dataset(s)       | Variable            |
|---|------------------|---------------------|
|   | Household        | dieddatem_02-05     |
|   | Household        | hhqown_d            |
|   | Household        | ownhorsenum         |
|   | Adult individual | arvnrpg             |
|   | Adult individual | arvsnotcurrsn       |
|   | Adult individual | arvsnottake         |
|   | Adult individual | childalive2-5       |
|   | Adult individual | childbrstfd2-5      |
|   | Adult individual | childbrstfdnow2-5   |
|   | Adult individual | chtsthivagem2       |
|   | Adult individual | chtsthivagelastm2-4 |
|   | Adult individual | chtsthivbirth2-5    |
|   | Adult individual | chtsthivbrstfd2-5   |
|   |                  |                     |

| Adult individual | chtsthivresultlast2-4 |
|------------------|-----------------------|
| Adult individual | deathagemo1-3         |
| Adult individual | deathageyr1-3         |

Table 9. Variables with new categories

| Dataset(s)       | Filename          | Variable   | Values | New Category                         |
|------------------|-------------------|------------|--------|--------------------------------------|
| Adult individual | mphia2020adultind | cerncnrslt | 2,3    | 2 – Abnormal/Positive/Suspect Cancer |
|                  |                   |            | 4,5    | 5 – Inconclusive/Not received        |
| Adult individual | mphia2020adultind | arvamtm    | 0,1    | 1 – Zero or one month                |

# 6 Dataset specifications

Table 10. MPHIA 2020-2021 dataset specifications

| Dataset (filename)   |                             | Number of observations   | Number of variables |
|--|-----------------------------|--------------------------|---------------------|
| Household  | mphia2020hh                 | 15,330                   | 291                 |
| Roster   | mphia2020roster             | 59,021                   | 58                  |
| Adult individual   | mphia2020adultind           | 26,519                   | 535                 |
| Adult biomarker  | mphia2020adultbio           | 22,662                   | 263                 |
| Drug resistance  | mphia2020dr                 | Forthcoming              |                     |
| Household intermediary weights                                   | mphia2020hhintermediarywts  | 15,330                   | 222                 |
| Individual intermediary weights                                  | mphia2020indintermediarywts | 59,021                   | 879                 |
| Dataset specification  | Description                 |                          |                     |
| Two-letter country code prefix for ID variables                  |                             | MW                       |                     |
| Survey weighting variables                                       |                             |                          |                     |
| No. of jackknife replicates                                      | 217                         |                          |                     |
| Survey weights provided (variable prefix)                        |                             | Household (hhwt)         |                     |
| , , , , , , , , , , , , , , , , , , ,                            |                             | Individual intervi       | ew (intwt)          |
|  |                             | Blood test (btwt)        | . ,                 |
|  |                             | Drug Resistance          |                     |
|  |                             | (forthcoming)            | · /                 |
| Selected variable parameters                                     |                             | , 3/                     |                     |
| Household characteristics used for wealth index construction     |                             | See next section         |                     |
| Mean duration recent infection used for HIV incidence estimation |                             | 130 days (95% CI 118-142 |                     |
|  |                             | days, standard e         |                     |
|  |                             | 37.48575911)             |                     |

### 7 Wealth index

As described in the *Manual*, a wealth index is constructed using principal components analysis (PCA) on household characteristics and asset ownership variables that can vary by country. The table below lists the variables used to construct the wealth index for MPHIA 2020-2021.

Table 11. Household characteristics used for wealth index construction in MPHIA 2020-2021

| Indicator variable   | Туре            | Description   |
|----------------------|-----------------|---|
| memsleep             | Numeric (count) | Number of household members per sleeping room                     |
| matroof              | Categorical     | Dwelling roofing material   |
| matexwalls           | Categorical     | Dwelling wall material  |
| matfloor             | Categorical     | Dwelling floor material   |
| toilettype           | Categorical     | Type of toilet used by the household                              |
| watersource          | Categorical     | Source of water used by the household                             |
| cookingfuel          | Categorical     | Type of cooking fuel used by the household                        |
| econsup12            | Binary          | Any external economic support                                     |
| For the remainder of | the variables:  | Does this household have/own?                                     |
| hhqitems (option A)  | Binary          | Electricity   |
| hhqitems (option B)  | Binary          | A working radio   |
| hhqitems (option C)  | Binary          | A working television  |
| hhqitems (option D)  | Binary          | A working telephone/mobile telephone                              |
| hhqitems (option E)  | Binary          | A working refrigerator  |
| hhqown (option A)    | Binary          | A bicycle   |
| hhqown (option B)    | Binary          | A working motorcycle or motor scooter                             |
| hhqown (option C)    | Binary          | A working car or truck  |
| hhqown (option D)    | Binary          | A working boat with a motor                                       |
| hhqown (option E)    | Binary          | None of the above   |
| ownchiknnum          | Continuous      | How many of the following does this household have/own? 1 Chicken |
| owncownum            | Continuous      | Cows  |
| owndognum            | Continuous      | Dogs  |
| owngoatnum           | Continuous      | Goats   |
| ownhorsenum          | Continuous      | Horses  |
| ownpignum            | Continuous      | Pigs  |

<sup>&</sup>lt;sup>1</sup>For wealth index calculation, continuous variables have been changed into binary (yes/no). For example, the households that had any chickens will be assigned "yes", and the households that and no chickens will be assigned "no". This was done to be consistent with the DHS computation of wealth index.<sup>4</sup>

#### Wealth scores and model performance

The first component of the PCA model is interpreted as an index of household wealth. However, it does not explain a large proportion of the total variance: it accounts for only around 7.09% of the total variance in the common model, 4.81% for the urban model, 4.55% for the peri-urban model, and 5.85% for the rural model. Howe et al. note that this figure is "often less than 20%".<sup>1</sup>

The results from MPHIA 2020-2021 are consistent with those of other DHS studies in similar settings.<sup>2-4</sup>

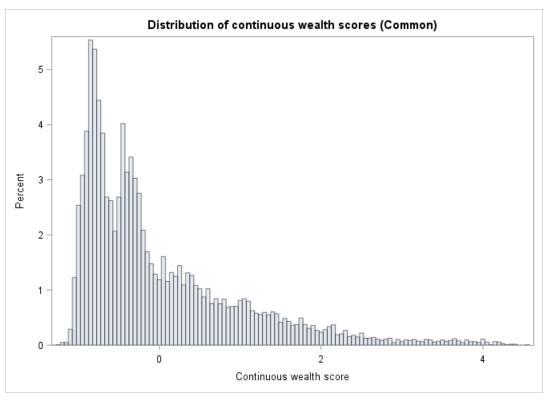
The PCA method does not guarantee the extraction of an index that is actually well-correlated with wealth but results from the PCA can be used to check whether the interpretation of the model makes sense. The component loading for each asset variable describes the association between that asset and the wealth index. The following table shows the most influential variables as measured by absolute value of their loading in each model:

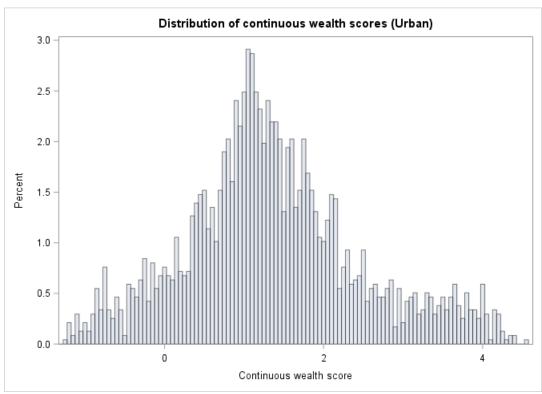
Table 12. PCA results for MPHIA 2020-2021 wealth quintile

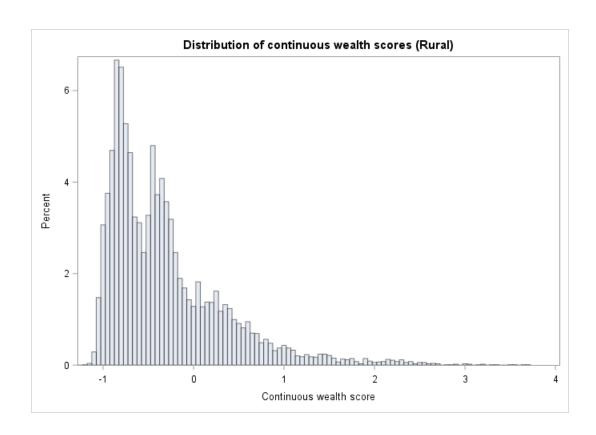
|                            |                  | Component loading |                |             |
|----------------------------|------------------|-------------------|----------------|-------------|
| Variable                   | Category         | Common<br>model   | Urban<br>model | Rural model |
| Dwelling flooring material | earth/sand       | -0.77645          | -0.62778       | -0.76127    |
| Electricity in the house   | yes              | 0.75889           | 0.713          | 0.60472     |
| Dwelling flooring material | cement/terazo    | 0.73942           | 0.44515        | 0.77285     |
| Cooking fuel               | firewood/straw   | -0.72483          | -0.46941       | -0.51653    |
| Television                 | yes              | 0.68985           | 0.69385        | 0.52382     |
| Dwelling roofing material  | thatch/palm leaf | -0.62075          | -0.47701       | -0.6786     |
| Refrigerator               | yes              | 0.60539           | 0.67518        | 0.41596     |

Dwelling flooring material and electricity in the house were particularly important for the determination of wealth score. Note that variables with negative component loadings are associated with lower wealth, while those with positive loadings indicate more wealthy households.

The distribution of wealth index values from the model is shown in the figures below, first the composite wealth index for all households, and then the urban and rural-specific wealth indices. The distribution for the composite wealth index is skewed towards households with higher wealth, with a smaller secondary peak towards the lower end of the score range.







### 8 References

- 1. Ministry of Health (MOH), Malawi. Malawi Population-based HIV Impact Assessment 2020-2021 (MPHIA 2020-2021): Summary Sheet. Lilongwe: MOH, Malawi; March, 2022. Available from https://phia.icap.columbia.edu/malawi-summary-sheet-2/
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- 3. Howe LD, Hargreaves JR, Huttly SR. Issues in the construction of wealth indices for the measurement of socio-economic position in low-income countries. *Emerg Themes Epidemiol.* 2008;5:3.(doi):10.1186/1742-7622-1185-1183.
- 4. Vyas S, Kumaranayake L. Constructing socio-economic status indices: how to use principal components analysis. *Health Policy Plan.* 2006;21(6):459-468. Epub 2006 Oct 2009.
- 5. Filmer D, Pritchett LH. Estimating wealth effects without expenditure data or tears: An application to educational enrollments in states of India. *Demography.* 2001;38(1):115-132.
- 6. National Statistical Office NSO/Malawi and ICF. 2017. Malawi Demographic and Health Survey 2015-16. Zomba, Malawi: NSO and ICF.
- 7. Ministry of Health, Malawi. Malawi Population-Based HIV Impact Assessment (MPHIA) 2015-2016: Final Report. Lilongwe, Ministry of Health. October 2018.

### 9 Attachments

#### 9.1 Questionnaires

MPHIA 2020-2021 Data Manual Supplement Attachment 1 - Questionnaires.xlsx

### 9.2 Codebook with frequencies

MPHIA 2020-2021 Data Manual Supplement Attachment 2 - Codebook.docx

### 9.3 Flow Diagrams for selected analytic variables

MPHIA 2020-2021 Data Manual Supplement Attachment 3 - Flow Diagrams for Analytic Variables.pdf

### 9.4 HIV Testing Methodology Diagram

MPHIA 2020-2021 Data Manual Supplement Attachment 4 - Testing Methodology Diagram.pdf

### 9.5 Sample design and weighting report

MPHIA 2020-2021 Data Manual Supplement Attachment 5 - Sampling and Weighting Technical Report.docx

### 9.6 Requesting data

MPHIA 2020-2021 data can be requested for use in research and analysis under the following conditions:

- Recipient will use this data only for the purpose of the research and analysis described in this data request. The recipient will submit a new request if they intend to use the data for another purpose.
- Recipient will not share this data with other researchers, with the exception of those listed in this data request as co-researchers for the project.
- Recipient will ensure that co-researchers are aware of and follow the terms of this data use agreement.
- Recipient will treat all data as confidential. Recipient will not use the data to deliberately
  compromise or otherwise infringe on the anonymity of participants' information and their
  right to privacy and will not attempt to identify any individual, household, or community in
  the survey based upon these data.
- Recipient will not publish any result in which participants, EAs or communities can be identified.
- Recipient will keep data in a secure location where it cannot be accessed by unauthorized users.
- Recipient will not use this data for any commercial venture.
- Recipient agrees that this agreement terminates immediately upon any breach by the recipient of the data or any co-researchers.

To see a demonstration of the data request process, watch the video <u>here</u>. The process is described in detail below.

To make a data request, first create an account at <a href="https://phia-data.icap.columbia.edu/">https://phia-data.icap.columbia.edu/</a> using the "Register" button and login using the button at the top right of the page. Once logged in, click "Data Sets" in the top menu to see the list of countries available. For MPHIA 2020-2021, select "Malawi" from the list.

The top part of the page shows the PHIA survey years and datasets available for request, and the lower part shows the available documentation. Documentation may be downloaded without submitting a request. To obtain access to datasets, select the datasets you require for your project and click "Request Access". Fill out the project title and project description, including the general aims of your research and a brief description of your planned analysis. Fill out any coresearcher details, then click "Next". Read the conditions of use and enter your name to agree to the conditions and submit your request. Requests will generally be reviewed and approved within 1-2 business days. You will receive an email confirmation of approval. Once access has been approved, the check marks beside the requested datasets will be replaced with clickable buttons which will begin downloads of the data.

Requests for PHIA geospatial data have a more rigorous approval process because of the additional privacy and confidentiality risks associated with location data. Requests for geospatial data must explain why geomasked cluster centroid data are essential to the proposed analysis and describe the specific spatial analytical methods that will be used. Refer to the PHIA Geospatial Data Use Manual, available freely on each country's data request page, for full information on the content of the geospatial datasets.

For assistance or for any questions about the data, you can use the help request section at the bottom of https://phia-data.icap.columbia.edu/help to submit a question.

### 9.7 Data explorer

The ICAP PHIA data site also includes data visualization tools which allow you to look up survey estimates for specific countries and to compare across countries. To access these, visit <a href="https://phia-data.icap.columbia.edu/visualization">https://phia-data.icap.columbia.edu/visualization</a>. To see a video demonstration of the data visualization tools, watch the video <a href="here">here</a>. The main steps to create a data visualization are described below.

### 1. Choose Country

Select the country or countries you are interested in by clicking them on the map, then click "Next".

#### 2. Choose Indicator

Use the "Indicator" drop down to choose the indicator of interest. Typing in the indicator box after clicking the drop down allows you to filter the indicators available. Many indicators include subindicators, which are selected using the subindicator drop down. For example, after selecting the "90-90-90 (self-reported ARV, Overall Percentages)" indicator, you can choose some or all of "Diagnosed", "On Treatment", and "Viral Load Suppression" as subindicators.

### 3. Specify Age and Gender

The age and gender drop downs allow you to subset the data visualization to include the age group and gender you are interested in.

#### 4. Choose Stratification

Stratification categories allow you to obtain estimates broken down by a range of variables, such as age groups, education, marital status, and others. The available stratification options depend on the indicators selected.

#### 5. Choose Visualization Type

Visualizations can be selected using the "Chart", "Table", and "Map" buttons in the top right of the display. The default is Chart, which typically displays a horizontal bar chart showing percentages with a 95% confidence interval, or for some indicators a count or median. The Table option shows the estimates in a tabular format, including columns for each selected option. The Map displays the estimates as a heat map for the selected countries.

#### 6. Download

Chart and Table visuals can be saved by clicking the download button next to the question mark on the top right of the page. For a Chart, the download is a static image of the visual. For a table, a CSV file is generated for download.

For help with the data visualization tools, click the help button question mark in the top right of the page, or visit <a href="https://phia-data.icap.columbia.edu/help">https://phia-data.icap.columbia.edu/help</a>.