

# Analyzing Key Factors in Sudoku Puzzle Difficulty

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## Abstract

This paper attempts to analyze the key factors that contribute to the difficulty of Sudoku puzzles using data mining and machine learning techniques. Understanding the contributing factors to Sudoku difficulty may lead to a deeper knowledge and understanding of other similar puzzles that may be applied to the real world in the future. We applied a variety of machine learning techniques, but unfortunately, as is often the case in real-world problems, our experiments did not produce the results we desired. We found that data mining and machine learning techniques were unable to uncover the complex relationship between the puzzles and their difficulties and that this task is better suited for traditional, Sudoku-specific algorithms. The source code for this paper can be found here: <https://github.com/ryantran2165/sudoku-difficulty>.

## Introduction

Sudoku, dating all the way back to the 19th century, is one of the world’s most famous and popular logic-based puzzles. The objective in Sudoku is to complete a 9x9 grid of numbers, from one to nine, such that each row, column, and each of the nine 3x3 subgrids contain each digit exactly once. The puzzle begins in a partially completed state with pre-filled digits or “clues” as we call them in this paper. Sudoku puzzles each have a single unique solution, and the difficulty in finding such a solution varies wildly from absolutely trivial to seemingly impossible.

We will be using a Kaggle dataset that provides us with three million samples, each with four features:

puzzle, solution, clues, and difficulty. We are given the puzzle as a string of 81 characters representing the 81 possible grid cells. Initial clues are given as their respective numerical digit and unknown values to be discovered are represented with a period character. We are also provided with the solution of the puzzle, though in this case, we will not be utilizing it for our purposes. Another possibly useful piece of information is the number of initial clues, which we will be extensively analyzing for possible insights. Lastly, we are given the estimated difficulty of the puzzle. Sudoku difficulty is not an objective measure, since there are so many different rating systems. For this dataset, the difficulty was calculated based on the average depth of search trees over ten attempts.

In this paper, we will utilize a variety of statistical methods from statistics, data mining, and machine learning in an attempt to uncover insights into the key determining factors of Sudoku puzzle difficulty. More specifically, we will be taking a closer look at how the number of missing values, the values of the digits themselves, and the structure of such values affect the difficulty of the Sudoku puzzles.

Related works include some public Kaggle notebooks and datasets, but none of them attempt to address the same questions about puzzle difficulty as proposed in this paper. Such notebooks and datasets focused on data exploration and applying machine learning models to solve the sudoku puzzle itself rather than draw conclusions about the puzzle difficulty. The most related academic paper is “Difficulty Rating of Sudoku Puzzles: An Overview and Evaluation” by Radek Pelanek [1]. In this paper, Pelanek discusses what contributes to Sudoku difficulty, but approaches

the problem using traditional algorithms rather than through data mining or machine learning as we do. We were unable to find any other related works that attempt to use machine learning to answer our proposed questions.

## Methods

### Association Analysis

Association analysis is a method for finding hidden relationships within datasets. This is performed through the use of frequent itemsets or through association rules. Frequent itemsets are sets of items that occur together often, and association rules indicate the probability of strong relationships between two sets of items. This method of analysis is not useful for our problem because even though we are attempting to find a relationship between the puzzle and its difficulty, the notion of itemsets is not applicable.

### Classification

Classification is a method for assigning discrete classes to items. In our case, classification algorithms are not useful because our problem attempts to predict a continuous value, the puzzle difficulty, and not categorize puzzles into different classes.

### Regression

Regression is a method for predicting continuous numerical values based on dependent variables that have a relationship to the target value. Our problem of predicting puzzle difficulty given the incomplete puzzle is this very exact task. Therefore, the vast majority of our experiments will be based on regression models.

### Clustering

Clustering is a method for grouping similar objects into clusters. This means we need a metric for determining the similarity of objects. In the context of this problem, it is unclear how one would determine the similarity of Sudoku puzzles. Furthermore, this form

of unsupervised clustering is not related to our task of predicting a continuous value.

### Dimensionality Reduction

Dimensionality reduction is a method for reducing the number of features in a dataset such that most of the important meaning and information behind the data is retained. Dimensionality reduction helps reduce the curse of dimensionality, which is a phenomenon that causes poor model performance due to the sparsity of the data. In our case, the only data we have is the puzzle itself. If we treat each of the 81 numbers as features, then we have an 81-dimensional feature space, which is rather large. However, each and every one of the numbers is crucial to the puzzle, so performing dimensionality reduction is not a good idea.

## Experiments and Analysis

### Clues Distribution

First, we will look at the distribution of the number of clues. From Figure 1, we can see that the number of clues is somewhat normally distributed and centered at around 24 clues. The vast majority of clues are between 23 and 26.

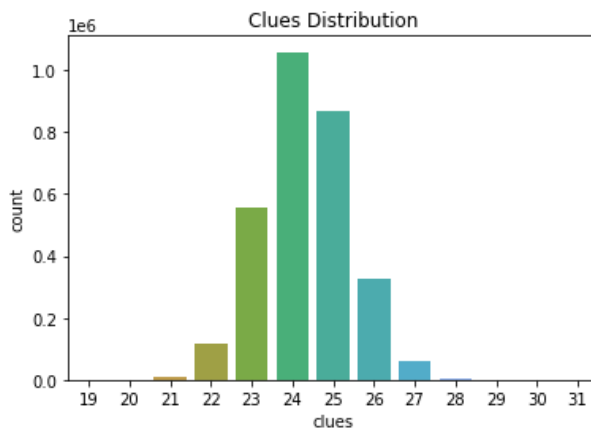


Figure 1: Clues Distribution

## Difficulty Distribution

Next, we look at the distribution of difficulties. We notice that the distribution is extremely right skewed due to there being so many samples of 0.0 difficulty, puzzles on the easier spectrum. There are over one million samples of 0.0 difficulty, while the next most common difficulty of 1.0 had about 90,000 samples. We decided to reduce this discrepancy by randomly sampling 100,000 of the 1,000,000 samples of 0.0 difficulty. Though after the reduction, the difficulty distribution is still rather right skewed as seen in Figure 2.

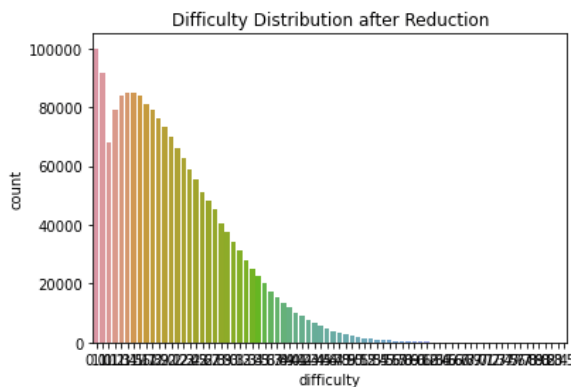


Figure 2: Difficulty Distribution after Sampling

## Difficulty-Clues Relationship with Linear Regression and Random Forests

Next, we attempt to uncover the relationship between the number of clues and difficulty. As seen in Figure 3, there is not a clear-cut relationship between the number of clues and difficulty. We somewhat expected the relationship to clearly indicate that more clues would result in lower difficulty, but the plot says otherwise. However, it can be noted that there is something to be said about the relationship between maximum difficulty and the number of clues. What's surprising is that this relationship is not monotonic. It seems the number of clues that results in the maximum difficulty is 23. Then, the maximum difficulty decreases as you either increase above or decrease below 23 clues. We then trained a linear regression

model and a random forest model using the number of clues to try and predict difficulty. As expected, we were unable to fit such models and resulted in R squared values of nearly zero, indicating that the models were completely unable to fit the data. Thus, it is highly likely that the number of clues alone is not a good predictive independent variable for the dependent difficulty variable.

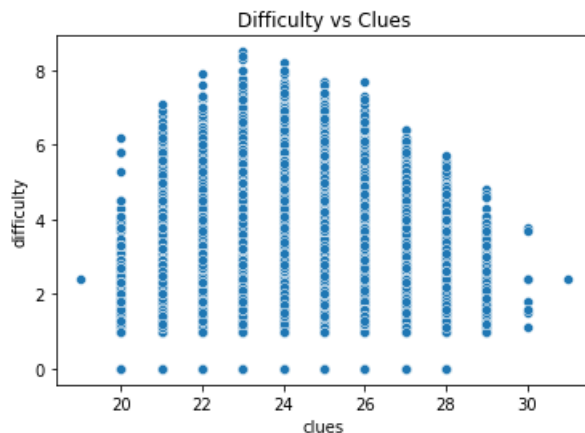


Figure 3: Difficulty vs Clues

## Puzzle-Clues Relationship with Vanilla and Convolutional Neural Networks

Next, we try to use neural networks to find correlations between the puzzle itself and the difficulty. There are two approaches to using the puzzle data: numerical and binary. The numerical approach is to retain the actual numerical values of the digits in the puzzle. The binary approach is to convert all numerical values to the value 1 and all missing places to the value 0. We hypothesized that the numerical values may or may not be significant and that maybe simply the position in which digits existed may be the key factor. We attempted using vanilla neural networks as well as convolutional neural networks with both the numerical and binary approaches, but we came up empty with R squared values near zero once again. We thought maybe vanilla neural networks could uncover some insights that were not immediately obvious to humans and that convolutional neural networks could

take advantage of the natural grid structure of Sudoku puzzles, but unfortunately, we were unable to attain desirable results. There could be many reasons why we did not achieve the results we desired. It could be that our models are simply not complex enough to capture the true relationship between the puzzle and difficulty. It could also be that it is simply not possible to reliably predict difficulty from the puzzles using data mining and machine learning techniques. This could very well be the case because from our exploratory data analysis, there was incredible overlap between difficulties with very similar looking puzzles and numbers of clues.

### The Missing Insight

It turns out that the number of clues has very little to do with the difficulty of Sudoku puzzles, and the true key factors are the number of steps required and the technique difficulty of those steps [2]. There are several common techniques to solve Sudoku puzzles, with technique complexity varying greatly from the most basic Open Singles technique to the extremely complicated Swordfish technique. The basic Open Singles technique, as seen in Figure 4, simply looks for a row, column, or block with a single remaining cell and only one option remaining. The advanced Swordfish technique, as seen in Figure 5, comprises of complex deductive reasoning logic and requires attention across multiple blocks. Knowing that techniques as complicated as Swordfish are required to solve certain puzzles, it is no longer surprising that our machine learning models struggled to find a relationship between the puzzle and its difficulty given just the number of clues or the raw puzzle alone.

### Comparisons

There were no Kaggle notebooks or related works to compare our experiments against because none of them had the same goal as us. The related notebooks, of which there were only two, focused on data exploration and solving the Sudoku puzzles rather than tackling the problem of correlating difficulty to each puzzle. The notebooks available for comparison

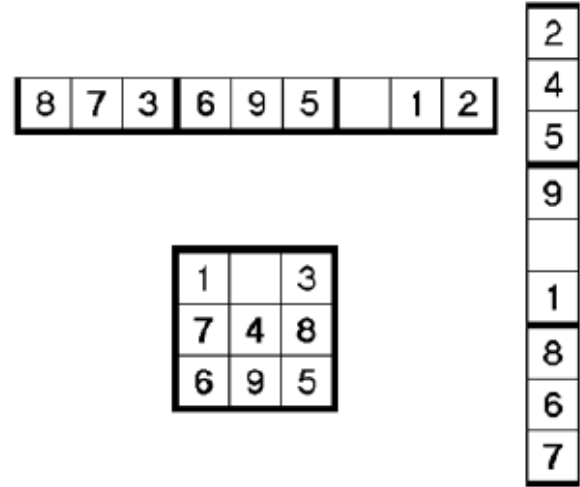


Figure 4: Open Singles Technique

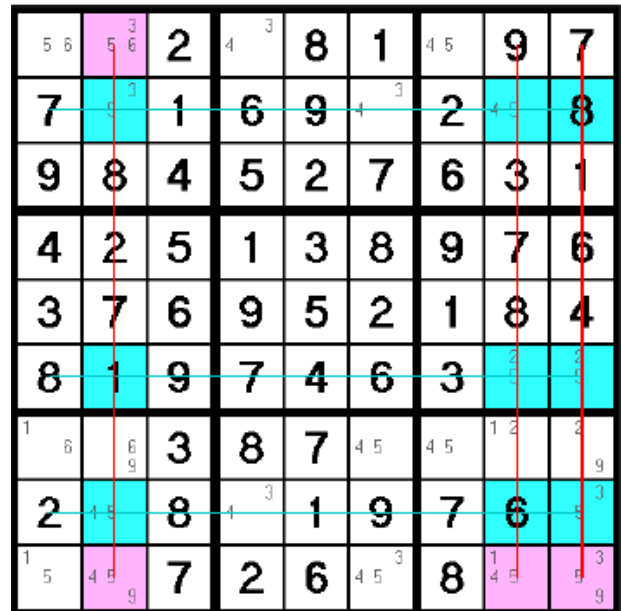


Figure 5: Swordfish Technique

with the same dataset were also only partially complete. Other academic articles and papers also did not have the same goal as us and focused on applying traditional algorithms rather than applying data mining and machine learning techniques. As for the various machine learning algorithms that we used to analyze our data and conduct our experiments, we have already discussed above.

## References

- [1] R. Pelanek, “Difficulty rating of sudoku puzzles: An overview and evaluation,” 2014. <https://arxiv.org/abs/1403.7373>.
- [2] M. Kotseva, “Visual sudoku solver,” 2018. [https://project-archive.inf.ed.ac.uk/ug4/20181102/ug4\\_proj.pdf](https://project-archive.inf.ed.ac.uk/ug4/20181102/ug4_proj.pdf).

## Conclusion

In this paper we tried to find the patterns that affect the difficulty of solving Sudoku puzzles. We used a pre-built dataset of three million entries, which included the puzzle, its solution, the number of clues, and its difficulty. First, we analyzed the distributions of the number of clues and the difficulties and found through visualizing graphs that a relationship between the two would be difficult to uncover. We then tried finding a relationship between clues and difficulty using linear regression and random forest models, but the R squared value we achieved was near zero. Next, we attempted to find a relationship between the puzzles themselves and its difficulty using vanilla and convolutional neural networks, but we again achieved R squared values of near zero. Ultimately, none of our machine learning models were able to establish a strong relationship between the number of clues, the puzzle, and its difficulty. In the end, we conclude that our initial problem statement may have been stacked the odds against us from the beginning, as it seems machine learning models are not as well equipped to handle the complex relationship between Sudoku puzzles and their difficulties than traditional algorithms. Even though we did not produce the results we expected or desired, we were still able to apply a variety of data mining and machine learning techniques to make various conclusions, so that experiment still yielded some positive results. The source code for this paper can be found here: <https://github.com/ryantran2165/sudoku-difficulty>.