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# Introduction to Deep Learning for Engineers

Spring 2025, Deep Learning for Engineers Feb 11, 2025, Nineth Session

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Carnegie Mellon University

1. Concept of Representation + Learning

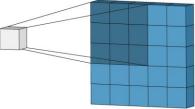
We need a UNIVERSAL REPRESENTATION LEARNER to ease the learning

2. How to learn robust representation?

We need a representation learner that can automatically learn the features needed for the task

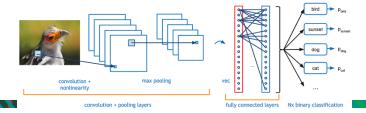
3. How to learn spatial, high level features (Swan example)
Since high level features are spatial (not pixels) we need a scanner to scan patches of data instead of single pixels





- 4. How to build a scanner for feature learning? what should be the properties of this scanner?
- 1. It should be numbers (a matrix) because it should be machine readable
- 2. It should be learnable
- 3. It should be flexible in size and dimension
- 4. Should be pluggable to Neural Networks
- 5. Can we design the scanners based on the learning tasks?

Yes, and we should. Because the mode of data might be different (sound, image, video) and features are needed based on the task to make a good model, the scanner should ONLY learn the relevant features connecting them to the output





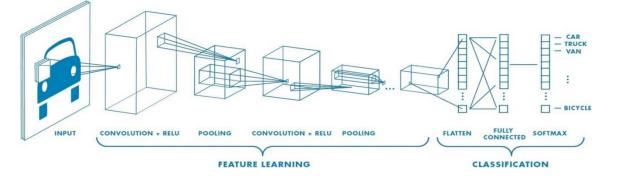
#### 6. How can the scanners learn?

Inspired by iterative optimization and backpropagation in neural networks, we can iteratively learn the initialized weights of scanners (remember these are numbers)

7. How can we plug in the scanners into Neural networks?

By flattening the output of the last convolved map and passing it to the FC layer, we can forward propagate, and we can backpropagate to learn the parameters of a filter

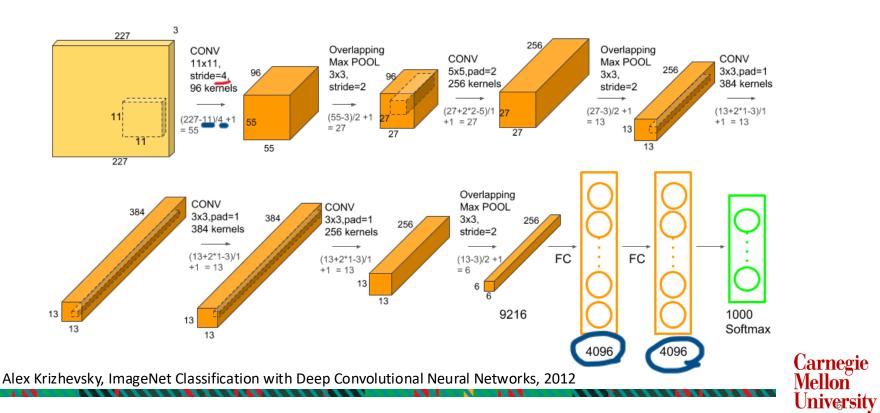
(scanner)





- 8. What are the components of CNN and why they are necessary? Components of CNNs are (Convolution, Non-linearity, Pooling (subsampling)). Convolution operation is for learning the filters and scanners. Non-linearity is for having more robust representation and pooling is for making the network translation invariant and focus on the important features
- 9. What are the good consequences of CNN layer?
- 1. Learning spatial features, 2. Weight sharing and reduction in the number of parameters, 3. Translation invariant representation learning

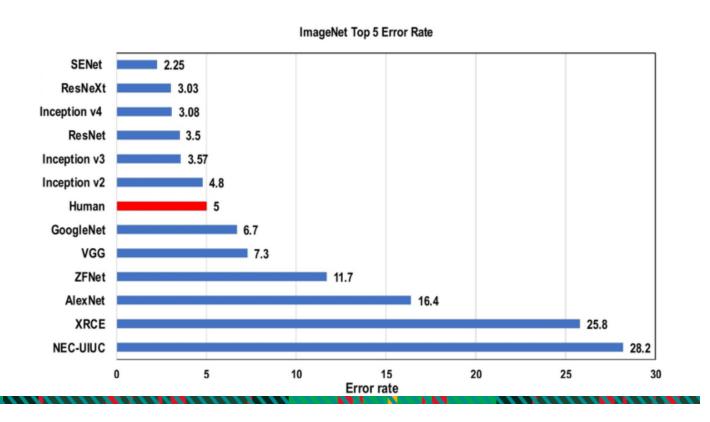




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Different CNN Architectures

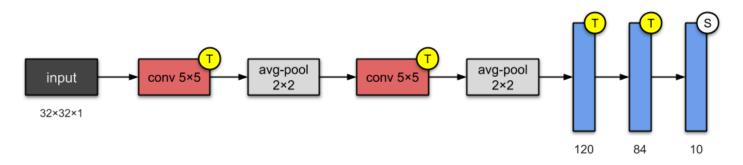
# Notable CNN models<sup>19</sup>





## Notable CNN models

LENET (1998)8



(2) ENDING THE NETWORK WITH ONE OR MORE FULLY-CONNECTED LAYERS



#### LeNet-5

Gradient Based Learning Applied To Document Recognition
- Y. Lecun, L. Bottou, Y. Bengio, P. Haffner; 1998
Helped establish how we use CNNs today
Replaced manual feature

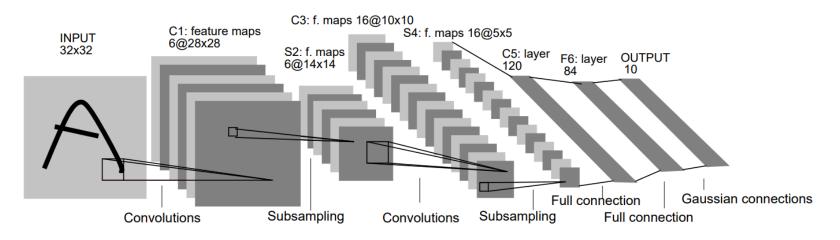


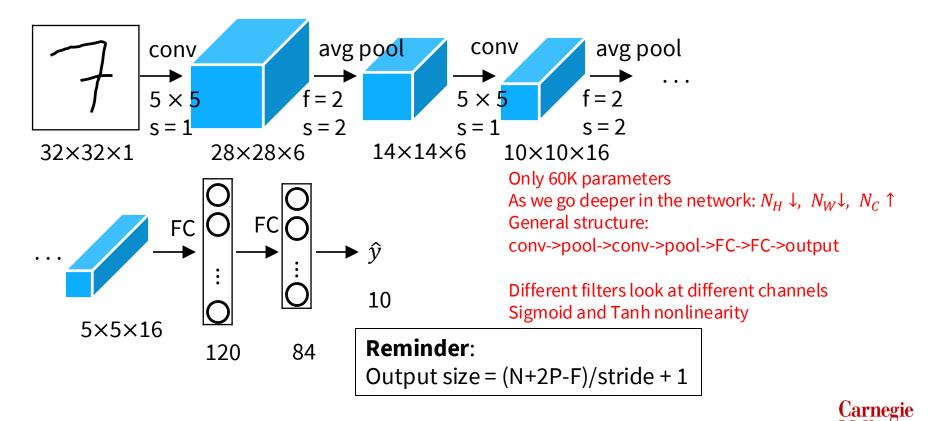
Fig. 2. Architecture of LeNet-5, a Convolutional Neural Network, here for digits recognition. Each plane is a feature map, i.e. a set of units whose weights are constrained to be identical.

United in the set of units whose weights are constrained to be identical.

Wellon

University

#### LeNet-5



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[LeCun et al., 1998]

ImageNet Classification with Deep Convolutional Neural Networks

- Alex Krizhevsky, Ilya Sutskever, Geoffrey E. Hinton; 2012

Facilitated by GPUs, highly optimized convolution implementation and large datasets (ImageNet)

One of the largest CNNs to date

Has 60 Million parameter compared to 60k parameter of LeNet-5

# ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners

The annual "Olympics" of computer vision.

Teams from across the world compete to see who has the best computer vision model for tasks such as classification, localization, detection, and more.

2012 marked the first year where a CNN was used to achieve a top 5 test error rate of 15.3%.

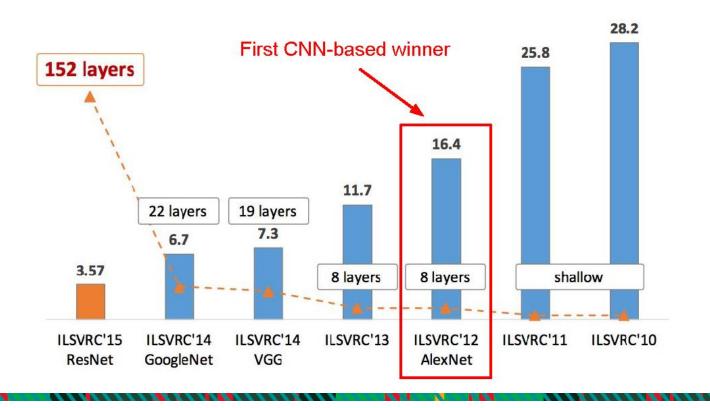
The next best entry achieved an error of 26.2%.



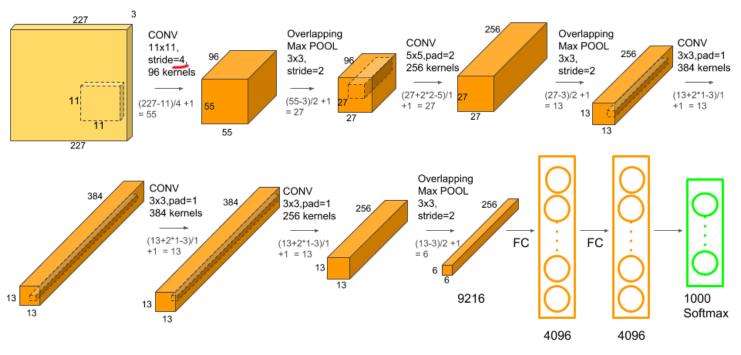
Model	Top-1	Top-5
Sparse coding [2]	47.1%	28.2%
SIFT + FVs [24]	45.7%	25.7%
(CNN)	37.5%	17.0%



# ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners









Architectur

eCONV1

MAX POOL1

NORM1

CONV2

MAX POOL2

NORM2

CONV3

CONV4

CONV5

Max POOL3

FC6

FC7

FC8

INPUT: 227X227X3 IMAGES (224X224

BEFORE PADDING)

FIRST LAYER: 96 11x11 FILTERS APPLIED AT

STRIDE 4

**OUTPUT VOLUME SIZE?** 

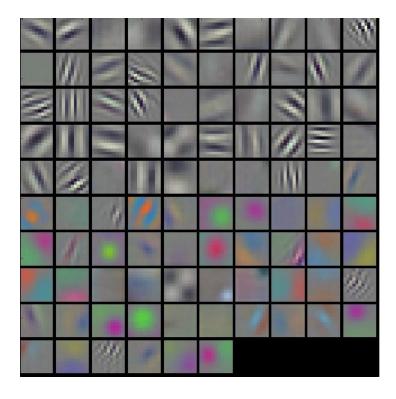
$$(N-F)/s+1 = (227-11)/4+1 = 55 ->$$

[55x55x96]

NUMBER OF PARAMETERS IN THIS LAYER?

$$(11*11*3)*96 = 35K$$

## AlexNet filters



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[Krizhevsky et al., 2012]

Architecture

CONV1

MAX POOL1

NORM1

CONV2

MAX POOL2

NORM2

CONV3

CONV4

CONV5

Max POOL3

FC6

FC7

FC8

INPUT: 227x227x3 IMAGES (224x224 BEFORE

PADDING)

AFTER CONV1: 55x55x96

SECOND LAYER: 3x3 FILTERS APPLIED AT

STRIDE 2

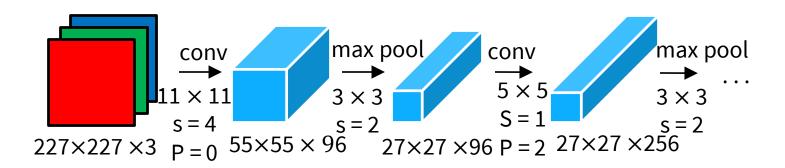
**OUTPUT VOLUME SIZE?** 

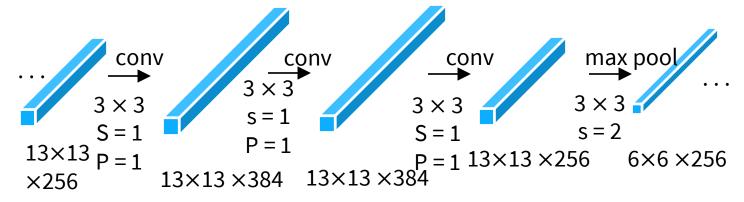
(N-F)/s+1 = (55-3)/2+1 = 27 ->

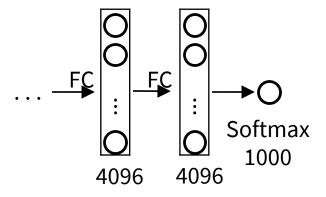
[27x27x96]

NUMBER OF PARAMETERS IN THIS LAYER?

0!







Carnegie Mellon University [Krizhevsky et al., 2012]

## **DETAILS/RETROSPECTIVES:**

FIRST USE OF RELU

USED NORM LAYERS (NOT COMMON ANYMORE)

**HEAVY DATA AUGMENTATION** 

DROPOUT 0.5

BATCH SIZE 128

7 CNN ENSEMBLE



[Krizhevsky et al., 2012]

TRAINED ON GTX 580 GPU WITH ONLY 3 GB OF MEMORY.

NETWORK SPREAD ACROSS 2 GPUS, HALF THE NEURONS (FEATURE MAPS) ON EACH GPU.

CONV1, CONV2, CONV4, CONV5:
CONNECTIONS ONLY WITH FEATURE MAPS ON SAME GPU.

CONV3, FC6, FC7, FC8:

CONNECTIONS WITH ALL FEATURE MAPS IN PRECEDING LAYER, COMMUNICATION ACROSS GPUS.

AI EXNET WAS THE COMING OUT PARTY FOR CNNs in the computer vision community. THIS WAS THE FIRST TIME A MODEL PERFORMED SO WELL ON A HISTORICALLY DIFFICULT **IMAGENET DATASET.** THIS PAPER ILLUSTRATED THE BENEFITS OF CNNS AND BACKED THEM UP WITH RECORD BREAKING PERFORMANCE IN THE COMPETITION.

- " 8 layers total!
- "Trained on Imagenet dataset [Deng et al. CVPR'09]!
- " 18.2% top-5 error!

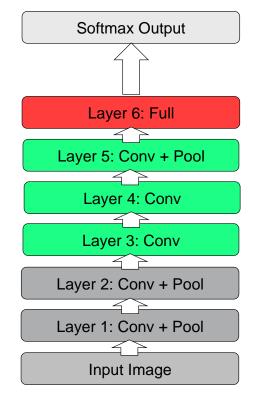
Softmax Output Layer 7: Full Layer 6: Full Layer 5: Conv + Pool Layer 4: Conv Layer 3: Conv Layer 2: Conv + Pool Layer 1: Conv + Pool Input Image

[From Rob Fergus' CIFAR 2016 tutorial] Krizhevsky et al., NIPS 2012



#### AlexNet

- "Remove top fully connected layer 7!
- " Drop ~16 million parameters!
- " Only 1.1% drop in performance!!



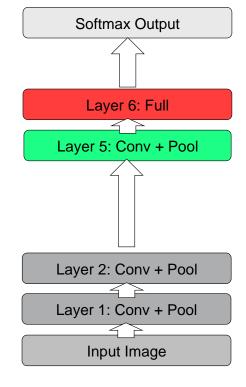
[From Rob Fergus' CIFAR 2016 tutorial] Krizhevsky et al., NIPS 2012



#### **AlexNet**

- " Remove layers 3 4,6 and 7!
- " Drop ~50 million parameters!
- " 33.5% drop in performance!!

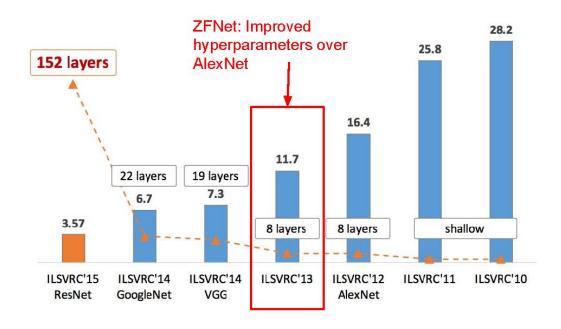
" Depth of the network is the key!



[From Rob Fergus' CIFAR 2016 tutorial] Krizhevsky et al., NIPS 2012

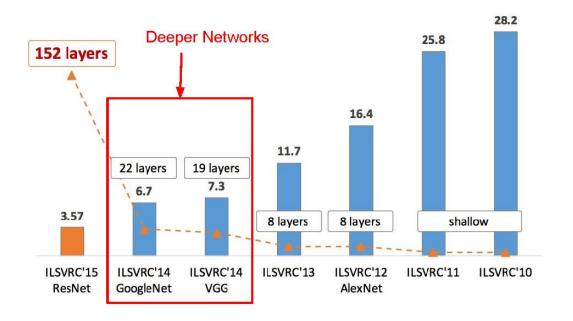


# ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners





# ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners





### **VGGNet**

VERY DEEP CONVOLUTIONAL NETWORKS FOR LARGE SCALE IMAGE RECOGNITION - KAREN SIMONYAN AND ANDREW ZISSERMAN; 2015

THE RUNNER-UP AT THE ILSVRC 2014 COMPETITION SIGNIFICANTLY DEEPER THAN ALEXNET

140 MILLION PARAMETERS

#### Input 3x3 conv, 64 3x3 conv, 64 Pool 1/2 3x3 conv, 128 3x3 conv, 128 Pool 1/2 3x3 conv, 256 3x3 conv, 256 Pool 1/2 3x3 conv, 512 3x3 conv, 512 3x3 conv, 512 Pool 1/2 3x3 conv, 512 3x3 conv, 512 3x3 conv, 512 Pool 1/2 FC 4096 FC 4096 FC 1000 Softmax

# VGGNet

## SMALLER FILTERS

ONLY 3x3 CONV FILTERS, STRIDE 1, PAD 1 AND 2x2 MAX POOL, STRIDE 2

#### **DEEPER NETWORK**

ALEXNET: 8 LAYERS VGGNET: 16 - 19 LAYERS

ZFNET: 11.7% TOP 5 ERROR IN ILSVRC'13 VGGNET: 7.3% TOP 5 ERROR IN ILSVRC'14

Carnegie Mellon University Simonyan and Zisserman, 2014

#### **VGGNet**

### WHY USE SMALLER FILTERS? (3x3 CONV)

STACK OF THREE 3x3 CONV (STRIDE 1) LAYERS HAS THE SAME EFFECTIVE RECEPTIVE FIELD AS ONE 7x7 CONV LAYER.

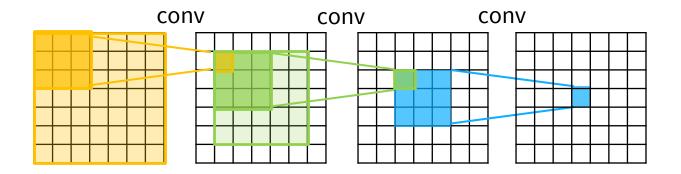
# What is the effective receptive field of three 3x3 conv (stride 1) Layers?

**7**x**7** 

But deeper, more non-linearities And fewer parameters: 3 \* (3<sup>2</sup>C<sup>2</sup>) vs. 7<sup>2</sup>C<sup>2</sup> for C

CHANNELS PER LAYER

# Reminder: Receptive Field





```
Input
             memory: 224*224*3=150K params: 0
3x3 conv, 64 memory: 224*224*64=3.2M
                                              params: (3*3*3)*64 = 1,728
3x3 conv, 64 memory: 224*224*64=3.2M
                                              params: (3*3*64)*64 = 36,864
Pool
            memory: 112*112*64=800K
                                              params: 0
3x3 conv, 128memory: 112*112*128=1.6M
                                             params: (3*3*64)*128 = 73,728
3x3 conv, 128memory: 112*112*128=1.6M
                                             params: (3*3*128)*128 = 147,456
Pool
             memory: 56*56*128=400K params: 0
3x3 conv, 256memory: 56*56*256=800K params: (3*3*128)*256 = 294,912
3x3 conv, 256memory: 56*56*256=800K params: (3*3*256)*256 = 589,824
3x3 conv, 256memory: 56*56*256=800K params: (3*3*256)*256 = 589,824
             memory: 28*28*256=200K params: 0
Pool
3x3 conv, 512memory: 28*28*512=400K params: (3*3*256)*512 = 1,179,648
3x3 \text{ conv}, 512 \text{ memory}: 28*28*512=400 \text{ K} params: (3*3*512)*512 = 2,359,296
3x3 \text{ conv}, 512 \text{ memory}: 28*28*512=400 \text{ K} params: (3*3*512)*512 = 2,359,296
             memory: 14*14*512=100K params: 0
Pool
3x3 conv, 512memory: 14*14*512=100K params: (3*3*512)*512 = 2,359,296
3x3 \text{ conv}, 512 \text{ memory}: 14*14*512=100 \text{ K} params: (3*3*512)*512=2,359,296
3x3 conv, 512memory: 14*14*512=100K params: (3*3*512)*512 = 2.359.296
             mamany 7*7*512=25K
Pool
                                       params: 0
                                       params: 7*7*512*4/96 = 102,760,448
FC 4096
                   memory: 4096
                   memory: 4096
                                      params: 4096*4086 = 16,777,216
FC 4096
FC 1000
                   memory: 1000
                                      params: 4096*10\0 = 4,096,000
```

University
[Simonyan and Zisserman, 2014]

Carnegie

Mellon

### VGGNet-

Input 3x3 conv, 64 3x3 conv, 64 Pool 3x3 conv, 128 3x3 conv, 128 Pool 3x3 conv, 256 3x3 conv, 256 3x3 conv, 256 Pool 3x3 conv, 512 3x3 conv, 512 3x3 conv, 512 Pool 3x3 conv, 512 3x3 conv, 512 3x3 conv, 512 Pool FC 4096 FC 4096 FC 1000 **Softmax** 

#### **VGG16:**

TOTAL MEMORY: 24M \* 4 BYTES ~= 96MB / IMAGE

TOTAL PARAMS: 138M PARAMETERS

```
memory: 224*224*3=150K params: 0
Input
3x3 conv, 64 memory: 224*224*64=3.2M
                                              params: (3*3*3)*64 = 1,728
3x3 conv, 64 memory: 224*224*64=3.2M
                                              params: (3*3*64)*64 = 36,864
Pool
            memory: 112*112*64=800K
                                              params: 0
3x3 conv, 128memory: 112*112*128=1.6M
                                             params: (3*3*64)*128 = 73,728
3x3 conv, 128memory: 112*112*128=1.6M
                                             params: (3*3*128)*128 = 147,456
Pool
            memory: 56*56*128=400K params: 0
3x3 conv, 256memory: 56*56*256=800K params: (3*3*128)*256 = 294,912
3x3 conv, 256memory: 56*56*256=800K params: (3*3*256)*256 = 589,824
3x3 conv, 256memory: 56*56*256=800K params: (3*3*256)*256 = 589,824
            memory: 28*28*256=200K params: 0
Pool
3x3 \text{ conv}, 512 \text{ memory}: 28*28*512=400 \text{ K} params: (3*3*256)*512 = 1,179,648
3x3 conv. 512memory: 28*28*512=400K params: (3*3*512)*512 = 2,359,296
3x3 \text{ conv}, 512 \text{ memory}: 28*28*512=400 \text{ K} params: (3*3*512)*512 = 2,359,296
            memory: 14*14*512=100K params: 0
Pool
3x3 conv, 512memory: 14*14*512=100K params: (3*3*512)*512 = 2,359,296
3x3 \text{ conv}, 512 \text{ memory}: 14*14*512=100 \text{ K} params: (3*3*512)*512=2,359,296
3x3 conv, 512memory: 14*14*512=100K params: (3*3*512)*512 = 2,359,296
Pool
            memory: 7*7*512=25K
                                       narams: 0
FC 4096
                   memory: 4096
                                      params: 7*7*512*4096 = 102.760.448
                   memory: 4096
FC 4096
                                       params: 4096*4096 = 16,777,216
FC 1000
                   memory: 1000
                                      params: 4096*1000 = 4,096,000
```

### **VGGNet**

### **DETAILS/RETROSPECTIVES**:

ILSVRC'14 2ND IN CLASSIFICATION, 1ST IN LOCALIZATION

SIMILAR TRAINING PROCEDURE AS ALEXNET

NO LOCAL RESPONSE NORMALISATION (LRN)

USE VGG16 OR VGG19 (VGG19 ONLY SLIGHTLY BETTER, MORE MEMORY)

USE ENSEMBLES FOR BEST RESULTS

FC7 FEATURES GENERALIZE WELL TO OTHER TASKS

TRAINED ON 4 NVIDIA TITAN BLACK GPUS FOR TWO TO THREE WEEKS.



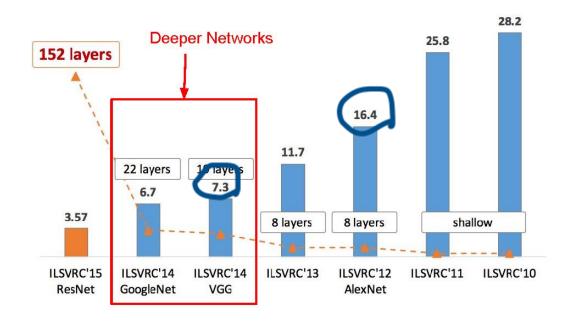


VGG NET REINFORCED THE NOTION
THAT CONVOLUTIONAL NEURAL NETWORKS HAVE TO HAVE
A DEEP NETWORK OF LAYERS IN ORDER FOR THIS
HIERARCHICAL REPRESENTATION OF VISUAL DATA TO
WORK.

KEEP IT DEEP.

KEEP IT SIMPLE.

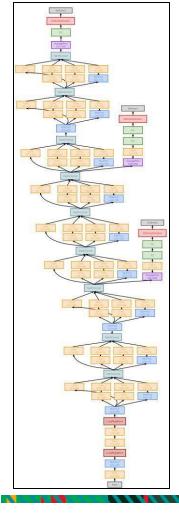
# ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners





Going Deeper with Convolutions - Christian Szegedy et al.; 2015 ILSVRC 2014 competition winner Also significantly deeper than AlexNet x12 less parameters than AlexNet

FOCUSED ON COMPUTATIONAL EFFICIENCY



22 LAYERS

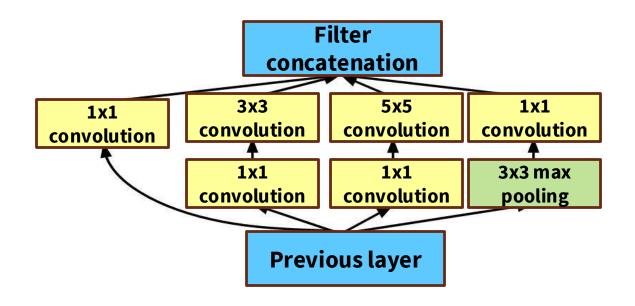
EFFICIENT "INCEPTION" MODULE - STRAYED FROM
THE GENERAL APPROACH OF SIMPLY STACKING
CONV AND POOLING LAYERS ON TOP OF EACH
OTHER IN A SEQUENTIAL STRUCTURE

No FC LAYERS

**ONLY 5 MILLION PARAMETERS!** 

ILSVRC'14 CLASSIFICATION WINNER (6.7% TOP 5 ERROR)

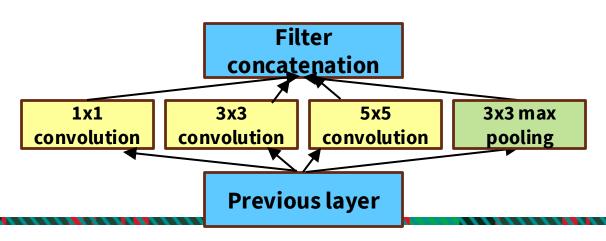
"INCEPTION MODULE": DESIGN A GOOD LOCAL NETWORK TOPOLOGY (NETWORK WITHIN A NETWORK) AND THEN STACK THESE MODULES ON TOP OF EACH OTHER



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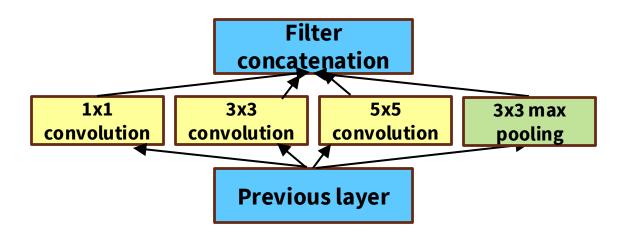
## Naïve Inception Model

- Apply parallel filter operations on the input :
  - Multiple receptive field sizes for convolution (1x1, 3x3, 5x5)
  - > Pooling operation (3x3)
- Concatenate all filter outputs together depth-wise



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What's the problem with this?
 High computational complexity



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### **OUTPUT VOLUME SIZES:**

1x1 CONV, 128: 28x28 128

3x3 CONV, 192: 28x28x192

5x5 CONV, 96: 28x28x96

3x3 POOL: 28x28x256

# **Example:**

Filter concatenation

**Previous layer** 

28x28x256

1x1 conv 128 3x3 conv 192

5x5 conv 96

3x3 max pooling

# WHAT IS OUTPUT SIZE AFTER FILTER CONCATENATION?

 $28 \times 28 \times (128 + 192 + 96 + 256) = 28 \times 28 \times 672$ 

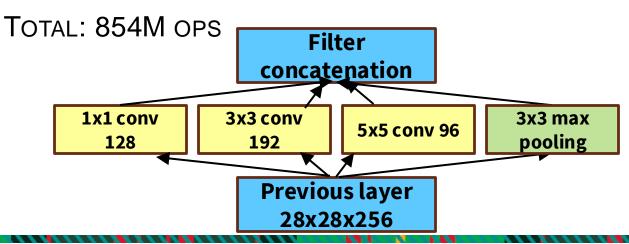
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### **N**UMBER OF CONVOLUTION OPERATIONS:

1x1 CONV, 128: 28x28x128x1x1x256

3x3 CONV, 192: 28x28x192x3x3x256

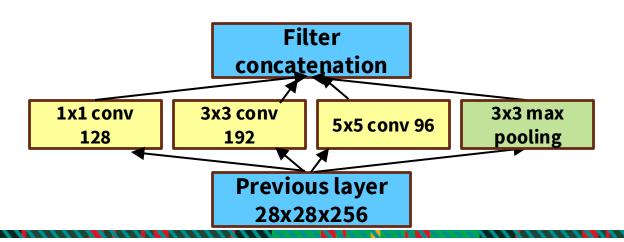
5x5 conv, 96: 28x28x96x5x5x256



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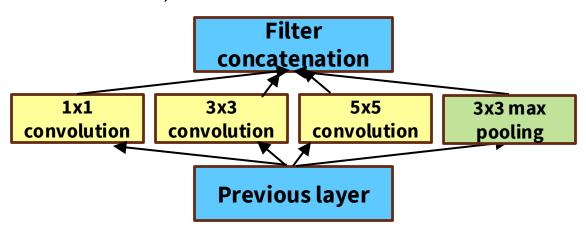
### VERY EXPENSIVE COMPUTE!

POOLING LAYER ALSO PRESERVES FEATURE
DEPTH, WHICH MEANS TOTAL DEPTH AFTER
CONCATENATION CAN ONLY GROW AT EVERY LAYER.



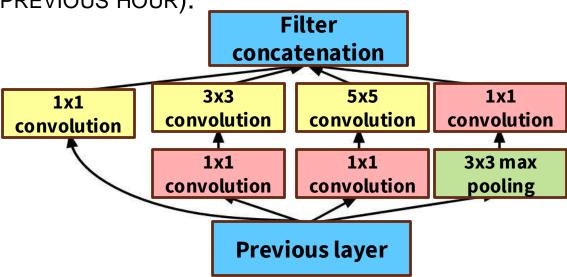
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**SOLUTION:** "BOTTLENECK" LAYERS THAT USE 1x1 CONVOLUTIONS TO REDUCE FEATURE DEPTH (FROM PREVIOUS HOUR).



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**SOLUTION:** "BOTTLENECK" LAYERS THAT USE 1X1 CONVOLUTIONS TO REDUCE FEATURE DEPTH (FROM PREVIOUS HOUR).



#### **NUMBER OF CONVOLUTION OPERATIONS:**

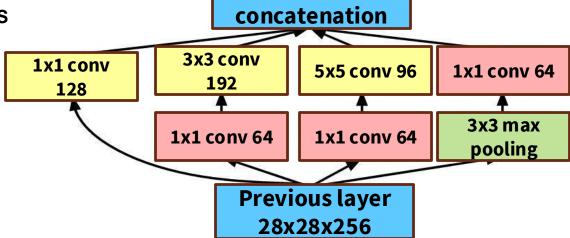
1x1 CONV, 64: 28x28x64x1x1x256 1x1 CONV, 64: 28x28x64x1x1x256

1x1 CONV, 128: 28x28x128x1x1x256 3x3 CONV, 192: 28x28x192x3x3x64

5X5 CONV, 96: 28X28X96X5X5X264

1x1 CONV, 64: 28x28x64x1x1x256

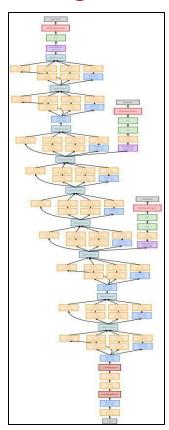
TOTAL: 353M OPS



Filter

COMPARED TO 854M OPS FOR NAIVE VERSION

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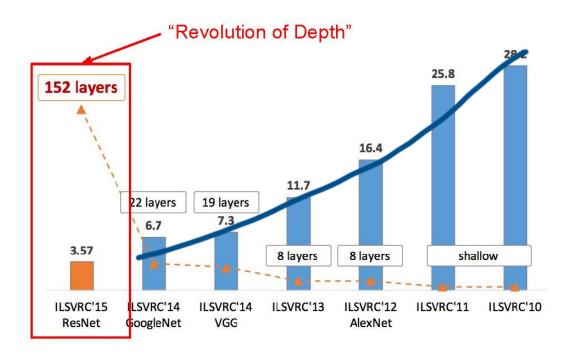


### **Details/Retrospectives:**

- Deeper networks, with computational efficiency
- 22 layers
- Efficient "Inception" module
- No FC layers
- 12x less params than AlexNet
- ILSVRC'14 classification winner (6.7% top 5 error)

INTRODUCED THE IDEA THAT CNN LAYERS DIDN'T ALWAYS HAVE TO BE STACKED UP SEQUENTIALLY. COMING UP WITH THE INCEPTION MODULE, THE AUTHORS SHOWED THAT A CREATIVE STRUCTURING OF LAYERS CAN LEAD TO IMPROVED PERFORMANCE AND COMPUTATIONALLY EFFICIENCY.

# ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners





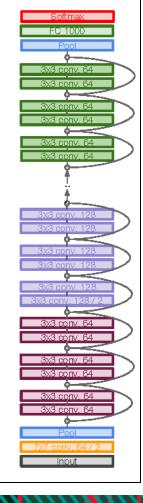
DEEP RESIDUAL LEARNING FOR IMAGE RECOGNITION
- KAIMING HE, XIANGYU ZHANG, SHAOQING REN,
JIAN SUN; 2015

EXTREMELY DEEP NETWORK – 152 LAYERS

DEEPER NEURAL NETWORKS ARE MORE DIFFICULT TO TRAIN.

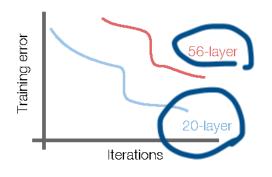
DEEP NETWORKS SUFFER FROM VANISHING AND EXPLODING GRADIENTS.

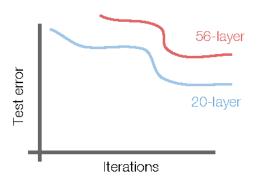
PRESENT A RESIDUAL LEARNING FRAMEWORK TO EASE THE TRAINING OF NETWORKS THAT ARE SUBSTANTIALLY DEEPER THAN THOSE USED PREVIOUSLY.



ILSVRC'15 classification winner (3.57% top 5 error, humans generally hover around a 5-10% error rate)
 Swept all classification and detection competitions in ILSVRC'15 and COCO'15!

 What happens when we continue stacking deeper layers on a convolutional neural network?





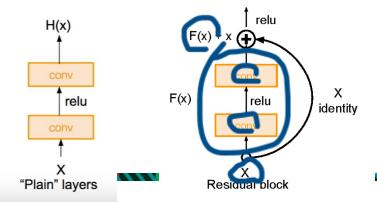
- 56-layer model performs worse on both training and test error
- -> The deeper model performs worse (not caused by overfitting)!

- **Hypothesis**: The problem is an optimization problem. Very deep networks are harder to optimize.
- Solution: Use network layers to fit residual mapping instead of directly trying to fit a desired underlying mapping.
- We will use skip connections allowing us to take the activation from one layer and feed it into another layer, much deeper into the network.
- Use layers to fit residual F(x) = H(x) x instead of H(x) directly



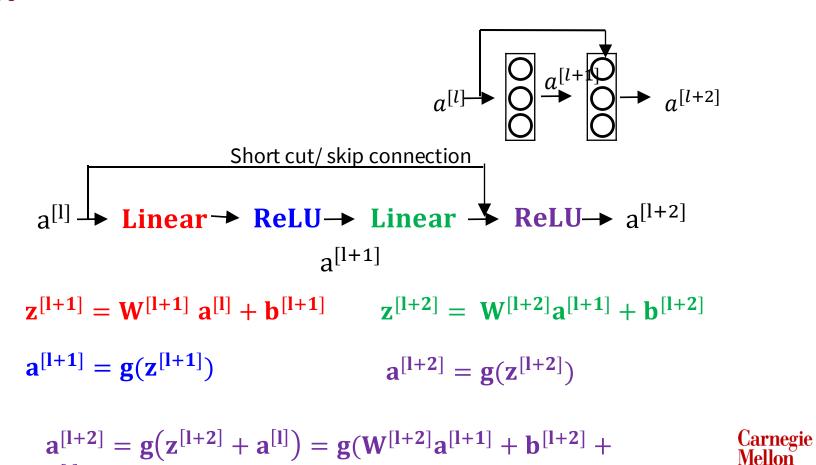
#### RESIDUAL BLOCK

Input x goes through conv-relu-conv series and gives us F(x). That result is then added to the original input x. Let's call that H(x) = F(x) + x. In traditional CNNs, H(x) would just be equal to F(x). So, instead of just computing that transformation (straight from x to F(x)), we're computing the term that we have to ADD, F(x), to the input, x.



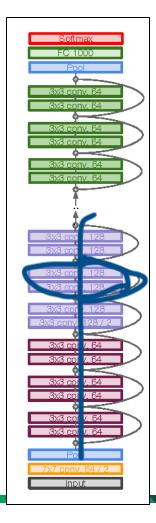
Carnegie Mellon University

[He et al., 2015]



[He et al., 2015]

University



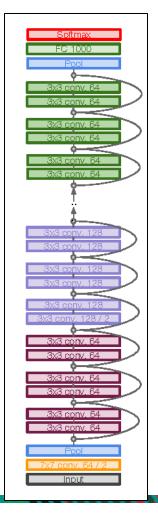
### FULL RESNET ARCHITECTURE:

STACK RESIDUAL BLOCKS

EVERY RESIDUAL BLOCK HAS TWO 3x3 CONV LAYERS

PERIODICALLY, DOUBLE # OF FILTERS AND DOWNSAMPLE SPATIALLY USING STRIDE 2 (IN EACH DIMENSION)

ADDITIONAL CONV LAYER AT THE BEGINNING
NO FC LAYERS AT THE END (ONLY FC 1000 TO
OUTPUT CLASSES)



TOTAL DEPTHS OF 34 50, 101, OR 152 LAYERS FOR IMAGENET

FOR DEEPER NETWORKS (RESNET-50+), USE "BOTTLENECK" LAYER TO IMPROVE EFFICIENCY (SIMILAR TO GOOGLENET)

### **EXPERIMENTAL RESULTS:**

ABLE TO TRAIN VERY DEEP NETWORKS WITHOUT DEGRADING
DEEPER NETWORKS NOW ACHIEVE LOWER TRAINING ERRORS
AS EXPECTED

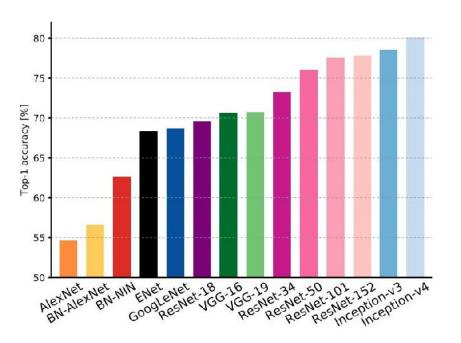
THE **BEST CNN** ARCHITECTURE THAT WE CURRENTLY HAVE AND IS A GREAT INNOVATION FOR THE IDEA OF RESIDUAL LEARNING.

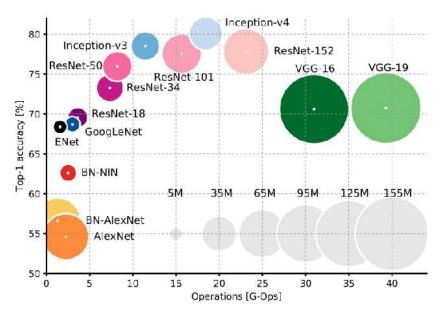
EVEN BETTER THAN HUMAN PERFORMANCE!



[He et al., 2015]

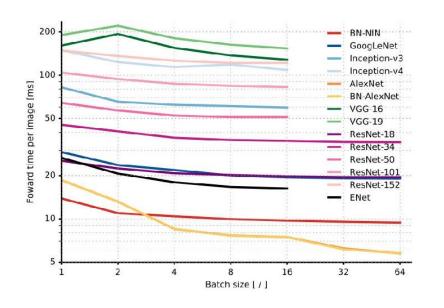
# Accuracy comparison

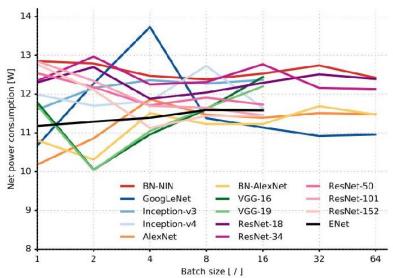






# Forward pass time and power consumption







# Summary

LENET-5

**ALEXNET** 

**VGG** 

**GOOGLENET** – INCEPTION MODULE

**RESNET** – RESIDUAL BLOCK



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