

Notes on
Lie Groups and Lie Algebras¹

Lecturer: Dr. Alekseev, V.
E_X: rydval.jakub@gmail.com
July 1, 2017
Technische Universität Dresden

¹Modul Math Ma MMRM: Lie Groups and Lie Algebras

Contents

| | | |
|-----------|--|-----------|
| 1 | Introduction | 1 |
| 2 | Differential geometry—Basics | 1 |
| 2.1 | Tangential space, tangential bundle | 1 |
| 3 | Closed vs. non-closed Lie subgroups | 1 |
| 3.1 | Examples of actions/subgroups | 1 |
| 4 | Classical Lie groups | 1 |
| 4.1 | Exponential map and logarithm | 1 |
| 5 | Exponential map for abstract Lie groups | 1 |
| 6 | The commutator on \mathfrak{g} | 1 |
| 7 | Stabiliser of points | 1 |
| 8 | Fundamental groups, covering theory | 1 |
| 9 | Integral submanifolds of vector field distributions and Frobenius integrability criterion | 1 |
| 10 | Representation theory | 1 |
| 11 | Interwining operators and Schur's lemma | 2 |
| 12 | Unitary representations | 3 |
| 13 | Characters, orthogonality relations, representations of compact Lie groups | 4 |
| 14 | Structure theory | 5 |
| 14.1 | Solvable and nilpotent Lie algebras | 6 |
| 15 | Radical, semisimple and reductive algebras | 9 |
| 16 | Invariant bilinear forms | 10 |
| 17 | Appendix | 14 |
| 17.1 | Linear Algebra | 14 |
| 17.2 | Differential Forms | 15 |
| 17.3 | Haar Measure | 15 |
| 17.4 | Useful Formulas | 15 |
| 17.5 | Exercises | 15 |

1 Introduction

2 Differential geometry—Basics

2.1 Tangential space, tangential bundle

3 Closed vs. non-closed Lie subgroups

3.1 Examples of actions/subgroups

4 Classical Lie groups

4.1 Exponential map and logarithm

5 Exponential map for abstract Lie groups

6 The commutator on \mathfrak{g}

7 Stabiliser of points

8 Fundamental groups, covering theory

9 Integral submanifolds of vector field distributions and Frobenius integrability criterion

10 Representation theory

Definition. A representation of a Lie group G on a vector space V is a homomorphism $\rho : G \rightarrow GL(V)$. A representation of a Lie algebra \mathfrak{g} on V is a homomorphism $\rho : \mathfrak{g} \rightarrow \mathfrak{gl}(V)$.

Definition. Given two representations V, W , the *homomorphism space* between V and W is

$$\mathrm{Hom}_G(V, W) = \{T : V \rightarrow W \text{ linear} \mid \rho_W(g) \circ T = T \circ \rho_V(g) \forall g \in G\}.$$

Similar for \mathfrak{g} -representations.

Theorem. Let G be a Lie group with Lie algebra \mathfrak{g} .

1. Every G -representation ρ defines a \mathfrak{g} -representation ρ_* .
2. If G is simply connected, then ρ and ρ_* are in a 1:1 correspondence.

11 Interwining operators and Schur's lemma

Let V, W be two representations of G or \mathfrak{g} . We call $\text{Hom}_{G \text{ or } \mathfrak{g}}(V, W)$ the *space of interwining operators*.

Lemma (Schur). *Let V be an irreducible (complex) representation of G or \mathfrak{g} . Then:*

1. $\text{Hom}_{G \text{ or } \mathfrak{g}}(V, V) = \mathbb{C} \cdot \text{id}_V$
2. *If W is an irreducible representation not isomorphic to V , then $\text{Hom}_{G \text{ or } \mathfrak{g}}(V, W) = \{0\}$.*

Proof. Let V, W be irreducible and $\phi \in \text{Hom}_{G \text{ or } \mathfrak{g}}(V, W)$. Then:

- $\ker \phi \subseteq V$ is a subrepresentation since for $v \in \ker \phi$ we have $\phi \circ \rho_V(g)v = \rho_W(g) \circ \phi(v) = 0$. Thus $\rho_V(g)v \in \ker \phi$.
- $\mathfrak{I}\phi \subseteq W$ is a subrepresentation since for $w = \phi(v) \in \mathfrak{I}\phi$ we have $\rho_W(g)w = \phi \circ \rho_V(g)v \in \mathfrak{I}\phi$.

By irreducibility, $\ker \phi = 0$ or V and $\mathfrak{I}\phi = 0$ or W . So either $\phi = 0$ or ϕ is isomorphism. This proves the second part. Now observe that by the argument above, every non-zero $\phi \in \text{Hom}_G(V, V)$ is invertible. Let $\lambda \in \mathbb{C}$ be an Eigenvalue of ϕ . Then $\phi - \lambda \cdot \text{id}_V \in \text{Hom}_G(V, V)$ is not invertible (not injective), therefore zero, i.e. $\phi = \lambda \cdot \text{id}_V$. This proves the first part. \square

Example. Consider $GL(n, \mathbb{C})$ with its tautological representation. It is irreducible: every $U \subseteq \mathbb{C}^n$ can be mapped to every other $U' \subseteq \mathbb{C}^n$ of the same dimension through a matrix in $GL(n, \mathbb{C})$ (by completing the bases of U, U' to bases of \mathbb{C}^n and using a basis transform matrix). Thus, $Z(GL(n, \mathbb{C})) = \{\lambda \cdot \text{id}_n \mid \lambda \in \mathbb{C}^\times\}$; similarly, $\mathfrak{z}(\mathfrak{gl}(n, \mathbb{C})) = \{\lambda \cdot \text{id} \mid \lambda \in \mathbb{C}\}$.

Since \mathbb{C}^n is also irreducible as a representation of $SL(n, \mathbb{C})$, $U(n)$, $SU(n)$, $SO(n, \mathbb{C})$ (for ex. take orthogonal bases of U, U' , then a basis transform matrix A will be orthogonal), similar argument yields:

| | |
|---|--|
| $Z(SL(n, \mathbb{C})) = \{\lambda \cdot \text{id} \mid \lambda^n = 1\}$ | $\mathfrak{z}(\mathfrak{sl}(n, \mathbb{C})) = 0$ |
| $Z(SU(n, \mathbb{C})) = \{\lambda \cdot \text{id} \mid \lambda^n = 1\}$ | $\mathfrak{z}(\mathfrak{su}(n, \mathbb{C})) = 0$ |
| $Z(U(n, \mathbb{C})) = \{\lambda \cdot \text{id} \mid \lambda = 1\}$ | $\mathfrak{z}(\mathfrak{u}(n, \mathbb{C})) = \{\lambda \cdot \text{id} \mid \lambda \in i\mathbb{R}\}$ |
| $Z(SO(n, \mathbb{C})) = \{\pm 1\}, \{1\} \text{ if } n \text{ odd}$ | $\mathfrak{z}(\mathfrak{so}(n, \mathbb{C})) = 0$ |
| $Z(SO(n, \mathbb{R})) = \{\pm 1\}, \{1\} \text{ if } n \text{ odd}$ | $\mathfrak{z}(\mathfrak{so}(n, \mathbb{R})) = 0$ |

Corollary. *If V is completely reducible representation, $V = \bigoplus_i n_i V_i$, V_i pairwise non-isomorphic irreducible representations, then*

$$\text{Hom}_G(V, V) = \left\{ \bigoplus_i A_i \otimes \text{id}_{V_i} \mid A_i \in \text{End}(\mathbb{C}^{n_i}) \right\}.$$

Corollary. *Of G or \mathfrak{g} is abelian, then every non-zero irreducible representation is one-dimensional.*

Proof. Wlog G is abelian, then $\rho(G) \subseteq \text{Hom}_G(V, V) = \{\lambda \text{id} \mid \lambda \in \mathbb{C}^\times\}$. Thus every subspace of V is invariant. \square

12 Unitary representations

Let V be a representation of G . Suppose that we have a scalar product (positive definite Hermitian form) $\langle \cdot, \cdot \rangle$ on V , which is G -invariant: $\langle \rho(g)v, \rho(g)w \rangle = \langle v, w \rangle$ for all $g \in G$. Equivalently: $\rho(g) \in U(V)$ for all $g \in G$, where $U(V) := \{f \in GL(V) \mid f \text{ unitary}\}$. In this case, if $U \subseteq V$ is $\rho(G)$ -invariant, then U^\perp is also invariant: $u \in U, v \in U^\perp$, then $\langle \rho(g)v, u \rangle = \langle v, \rho(g^{-1})u \rangle = 0$ since $\rho(g^{-1})u \in U$. So, if V is finite dimensional (or V is a Hilbert-space), then $V = U \oplus U^\perp$. Hence every subrepresentation has an invariant complement. Thus, if $\rho : G \rightarrow U(V, \langle \cdot, \cdot \rangle)$ is a finite-dimensional unitary representation, then ρ is completely reducible.

Question: Given a representation V , can we unitarise it, i.e. find a G -invariant scalar product on V ?

Example. Let G be a finite group, $\rho : G \rightarrow GL(V)$ a representation, then ρ is unitarisable. Pick any scalar product $\langle \cdot, \cdot \rangle$ on V , consider the new scalar product

$$\langle v, w \rangle' := \frac{1}{|G|} \sum_{g \in G} \langle \rho(g)v, \rho(g)w \rangle.$$

Then $\langle \rho(h)v, \rho(h)w \rangle' := \frac{1}{|G|} \sum_{g \in G} \langle \rho(gh)v, \rho(gh)w \rangle \forall h \in G$.

Corollary. *Finite-dimensional representations of finite groups are completely reducible.*

Now a generalisation of this idea: for a finite group G , we had a probability measure $\# / |G|$. If there is a right-invariant probability measure μ on an arbitrary Lie group G , $\rho : G \rightarrow GL(V)$ a representation, $\langle \cdot, \cdot \rangle$ a scalar product on V , then

$$\langle v, w \rangle' := \int_G \langle \rho(g)v, \rho(g)w \rangle d\mu(g)$$

is G -invariant.

Definition. Let G be a topological group. A (right) Haar measure on G is a Borel measure μ s.t. $(R_h)_* \mu = \mu$ for all $h \in G$, where $R_h : G \rightarrow G, g \mapsto gh$.

Example. The Lebesgue measure λ is a (right) Haar measure on \mathbb{R} , it induces a Lebesgue measure on \mathbb{R}/\mathbb{Z} , which is a Haar measure on S^1 .

Theorem (Haar). *Let G be a locally compact topological group. Then there exists a (right) Haar measure on G . Moreover, it is unique up to a positive constant.* \square

Theorem. *Let G be a (real) Lie group. Then:*

1. G is orientable (in a right invariant way) as a manifold, i.e. there exists a nowhere vanishing top differential form $\omega \in \Omega^n(G)$ ($n = \dim G$).
2. If G is compact, then for a fixed choice of a (right-invariant) orientation, there is a unique right-invariant $\omega \in \Omega^n(G)$ s.t. $\int_G \omega = 1$

13 CHARACTERS, ORTHOGONALITY RELATIONS, REPRESENTATIONS OF COMPACT LIE GROUPS

3. For compact G , ω is also left invariant. Moreover, $\omega(g^{-1}) = (-1)^{\dim G} \omega(g)$.

Proof. $\mathfrak{g} = T_1 G$, $\Lambda^n \mathfrak{g}^*$ is of dimension one. Take a non-zero element $\tilde{\omega} \in \Lambda^n \mathfrak{g}^*$. Define $\omega(g) := (R_{g^{-1}})_* \tilde{\omega} \in \Lambda^n(T_g G)^*$. Using $\Lambda^n(T_g G)^* \cong (\Lambda^n T_g G)^*$ we define $(R_{g^{-1}})^* \tilde{\omega}(x) = \tilde{\omega}((R_{g^{-1}})_* x)$. Then ω is non-vanishing, right invariant, which proves the first statement. \square

Corollary. On every compact Lie group, there exists a unique bi-invariant probability measure.

This also works for general topological groups but needs a lot of functional analysis.

Theorem. Every finite-dimensional representation of a compact Lie group G is completely reducible.

Observation. A concrete example of “Weyl unitary trick”: $SU(n)$ is compact, hence every f.d. representation is completely reducible.

$$\begin{array}{ccc}
 \begin{array}{c} \text{Representations} \\ \text{of } \mathfrak{su}(n) \end{array} & \xleftrightarrow{1:1} & \begin{array}{c} \text{Representations} \\ \text{of } \mathfrak{su}(n) \otimes \mathbb{C} = \mathfrak{sl}(n, \mathbb{C}) \end{array} \\
 \updownarrow 1:1 & & \updownarrow 1:1 \\
 \begin{array}{c} \text{Representations} \\ \text{of } SU(n) \end{array} & & \begin{array}{c} \text{Representations} \\ \text{of } SL(n, \mathbb{C}) \end{array}
 \end{array}$$

Remark: $SL(n, \mathbb{C})$ is a very important Lie group.

Corollary. All finite-dimensional representations of $SL(n, \mathbb{C})$ are completely reducible

13 Characters, orthogonality relations, representations of compact Lie groups

Definition. Let V be a representation: $\rho : G \rightarrow GL(V)$, $v \in V$, $\alpha \in V^*$. The function $\rho^{v, \alpha} : G \rightarrow \mathbb{C}, g \mapsto \alpha(\rho(g) \cdot v)$ is called a *matrix coefficient* of ρ .

E.g. $\dim V < \infty$, v_1, \dots, v_n a basis in V , v_1^*, \dots, v_n^* dual basis in V^* . Then the matrix coefficient $\rho^{i, j}(g) = v_j^*(\rho(g) \cdot v_i)$ can be written as a matrix $(\rho(g))_{i, j}$. We can identify $GL(V) = GL(n, \mathbb{C})$ using the bases v_1, \dots, v_n in V , w_1, \dots, w_n in W . Then the matrix coefficients $\rho_V^{i, j}(\cdot), \rho_W^{\alpha, \beta}(\cdot) : G \rightarrow \mathbb{C}$ are orthogonal:

Theorem (orthogonality relations). 1. Let G be a compact group, V, W non-isomorphic f.d. irreducible representation of G . Choose bases: $v_1, \dots, v_n, w_1, \dots, w_n$

14 Structure theory

“Ideal” goal: classify Lie algebras.

Definition. Let \mathfrak{g} be a Lie algebra. An *Ideal* $\mathfrak{h} \triangleleft \mathfrak{g}$ is a subspace \mathfrak{h} s.t. $[\mathfrak{g}, \mathfrak{h}] \subseteq \mathfrak{h}$.

Remark: $\mathfrak{h} \triangleleft \mathfrak{g} \iff \mathfrak{h}$ is a subrepresentation of $ad : \mathfrak{g} \rightarrow \text{End}(\mathfrak{g})$. Observation: $\mathfrak{h}_1, \mathfrak{h}_2 \triangleleft \mathfrak{g} \implies \mathfrak{h}_1 \cap \mathfrak{h}_2, \mathfrak{h}_1 \oplus \mathfrak{h}_2 \triangleleft \mathfrak{g}$.

Lemma. (1) $f : \mathfrak{g}_1 \rightarrow \mathfrak{g}_2$ a homomorphism $\implies \ker f \triangleleft \mathfrak{g}_1$.

(2) If $\mathfrak{h} \triangleleft \mathfrak{g}_1$, then \exists Lie algebra $\mathfrak{g}_2 = \mathfrak{g}_1/\mathfrak{h}$ with a surjective map $q : \mathfrak{g}_1 \rightarrow \mathfrak{g}_2$ s.t. $\ker q = \mathfrak{h}$.

Proof. Exercise. First part: Let $x \in \ker f$, $y \in \mathfrak{g}$. Then $f([x, y]) = [f(x), f(y)] = 0$. Second part: Let $q(x) := x + \mathfrak{h}$. Then $\ker q = \mathfrak{h}$ and \mathfrak{g}_2 with $[x + \mathfrak{h}, y + \mathfrak{h}] := [x, y] + \mathfrak{h}$ is a Lie algebra. \square

Some canonical ideals:

- $\mathfrak{z}(\mathfrak{g}) = \{x \in \mathfrak{g} \mid [x, y] = 0 \forall y \in \mathfrak{g}\}$.
- $\mathfrak{g}^{(1)} := [\mathfrak{g}, \mathfrak{g}] = \text{Span}\{[x, y] \mid x, y \in \mathfrak{g}\}$.

Lemma. The quotient $\mathfrak{g}/[\mathfrak{g}, \mathfrak{g}]$ is abelian. Moreover, $[\mathfrak{g}, \mathfrak{g}]$ is universal: $\forall f : \mathfrak{g} \rightarrow \mathfrak{a}$ hom., \mathfrak{a} an abelian Lie algebra, $[\mathfrak{g}, \mathfrak{g}] \subseteq \ker f$.

Proof. In $\mathfrak{g}/[\mathfrak{g}, \mathfrak{g}]$: $[x + [\mathfrak{g}, \mathfrak{g}], y + [\mathfrak{g}, \mathfrak{g}]] = [\mathfrak{g}, \mathfrak{g}] = 0$. Let $f : \mathfrak{g} \rightarrow \mathfrak{a}$ as above. Then $0 = [f(x), f(y)] = f([x, y]) \implies [x, y] \in \ker f \forall x, y \in \mathfrak{g} \implies [\mathfrak{g}, \mathfrak{g}] \subseteq \ker f$. \square

Example. Is $[\mathfrak{gl}(n, \mathbb{C}), \mathfrak{gl}(n, \mathbb{C})] = \mathfrak{gl}(n, \mathbb{C})$? Answer is no: $\text{tr}[x, y] = \text{tr}(xy - yx) = \text{tr}(xy) - \text{tr}(yx) = 0$. Thus $\mathfrak{gl}(n, \mathbb{C})^{(1)} \subseteq \mathfrak{sl}(n, \mathbb{C})$. Do we get equality? Basis of $\mathfrak{gl}(n, \mathbb{C})$: $\{E_{i,j}\}$, where $E_{i,j} := (\delta_{ij})_{i,j=1,\dots,n}$. Basis of $\mathfrak{sl}(n, \mathbb{C})$: $\{E_{i,j} \mid i \neq j\} \cup \{E_{1,1} - E_{2,2}, \dots, E_{n-1,n-1} - E_{n,n}\}$. Multiplication: $E_{i,j}E_{k,l} = \delta_{j,k}E_{i,l}$ since

$$\begin{aligned} (E_{i,j}E_{k,l})_{m,n} &= \sum_{r=1}^n (E_{i,j})_{m,r} \cdot (E_{k,l})_{r,n} \\ &= \sum_{r=1}^n \delta_{i,m} \delta_{j,r} \delta_{k,r} \delta_{l,n} \\ &= \delta_{i,m} \delta_{l,n} \delta_{j,k} \end{aligned}$$

So $[E_{i,j}, E_{j,i}] = E_{i,i} - E_{j,j}$ and $[E_{i,i} - E_{j,j}, E_{i,j}] = E_{i,j} \implies \mathfrak{sl}(n, \mathbb{C})^{(1)} = \mathfrak{sl}(n, \mathbb{C})$.

Example. Let

$$\mathfrak{n} := \left\{ x \in \mathfrak{gl}(n, \mathbb{C}) \mid x = \begin{pmatrix} 0 & & * \\ & \ddots & \\ 0 & & 0 \end{pmatrix} \right\}.$$

Then for $x, y \in \mathfrak{n}$:

$$[x, y] = xy - yx = \begin{pmatrix} 0 & 0 & * \\ & \ddots & \ddots \\ 0 & & 0 \end{pmatrix}.$$

So $[[\dots[[x_1, x_2], x_3], \dots], x_n] = 0$.

14.1 Solvable and nilpotent Lie algebras

Notation: Let \mathfrak{g} be a Lie algebra, $\mathfrak{h} \subseteq \mathfrak{g}$ a Lie subalgebra $\implies [\mathfrak{h}, \mathfrak{g}] := \text{Span}\{[y, x] \mid x \in \mathfrak{h}, y \in \mathfrak{g}\}$.

Definition. Let \mathfrak{g} be a Lie algebra, then $\mathfrak{g}' := [\mathfrak{g}, \mathfrak{g}]$ is called the *derived algebra*. Furthermore:

- the *derived series* of \mathfrak{g} is defined inductively as follows: $\mathfrak{g}^{(0)} := \mathfrak{g}$, $\mathfrak{g}^{(k+1)} := [\mathfrak{g}^{(k)}, \mathfrak{g}^{(k)}] = (\mathfrak{g}^{(k)})'$,
- the *lower central series* of \mathfrak{g} is also defined inductively as follows: $\mathfrak{g}_{(0)} := \mathfrak{g}$, $\mathfrak{g}_{(k+1)} := [\mathfrak{g}, \mathfrak{g}_{(k)}]$,
- \mathfrak{g} is *solvable* iff $\mathfrak{g}^{(n)} = 0$ for some n ,
- \mathfrak{g} is *nilpotent* iff $\mathfrak{g}_{(n)} = 0$ for some n .

Example. \mathfrak{g} abelian $\implies \mathfrak{g}$ is solvable and nilpotent.

Proposition. (1) \mathfrak{g} is solvable

iff $\exists n \in \mathbb{N} : [\dots[[x_1, x_2], [x_3, x_4]]\dots] = 0$, $x_i \in \mathfrak{g}$ (2^n terms, n bracket levels).

iff \exists sequence $\mathfrak{h}^0 = \mathfrak{g} \supset \mathfrak{h}^1 \supset \mathfrak{h}^2 \supset \dots \supset \mathfrak{h}^n = \{0\}$ s.t. $\mathfrak{h}^{i+1} \trianglelefteq \mathfrak{h}^i$ and $\mathfrak{h}^i/\mathfrak{h}^{i+1}$ is abelian.

(2) \mathfrak{g} is nilpotent

iff $\exists n \in \mathbb{N} : [\dots[[x_1, x_2], x_3], \dots], x_n = 0$, $x_i \in \mathfrak{g}$ (n terms).

iff \exists sequence of ideals $\mathfrak{h}^0 = \mathfrak{g} \supset \mathfrak{h}^1 \supset \mathfrak{h}^2 \supset \dots \supset \mathfrak{h}^n = \{0\}$ s.t. $\mathfrak{h}^i \trianglelefteq \mathfrak{g}$, $i > 0$ and $[\mathfrak{g}, \mathfrak{h}^i] \subseteq \mathfrak{h}^{i+1}$.

Proof. First part:

- $\mathfrak{g}^{(n+1)} = \text{Span}\{[\dots[[x_1, x_2], x_3], \dots], x_n\} \implies$ first equivalence. Since \mathfrak{g} is solvable, $\mathfrak{h}^k := \mathfrak{g}^{(k)} \subset \mathfrak{g}$, $\mathfrak{g}^{(k+1)} \subset \mathfrak{g}^{(k)}$, $\mathfrak{g}^{(n)} = 0$. Furthermore $\mathfrak{g}^{(k+1)} = (\mathfrak{g}^{(k)})' \triangleleft \mathfrak{g}^{(k)}$ ideal, $\mathfrak{g}^{(k)}/\mathfrak{g}^{(k+1)}$ abelian by the property of derived subalgebras.
- Let $\mathfrak{g} \supset \mathfrak{h}^0 \supset \mathfrak{h}^1 \supset \dots \supset \mathfrak{h}^n = 0$. Since $\mathfrak{g}/\mathfrak{h}^1$ abelian, $\mathfrak{h}^1 \supset [\mathfrak{g}, \mathfrak{g}] = \mathfrak{g}'$. Induction: suppose $\mathfrak{h}^k/\mathfrak{h}^{k+1}$ abelian, $\mathfrak{h}^k \supset \mathfrak{g}^{(k)} \implies \mathfrak{h}^{k+1} = \ker q \supset [\mathfrak{h}^k, \mathfrak{h}^k] \supset [\mathfrak{g}^{(k)}, \mathfrak{g}^{(k)}] = \mathfrak{g}^{(k+1)}$. So $\mathfrak{h}^k \supset \mathfrak{g}^{(k)} \forall k \implies \mathfrak{g}^{(k)} = 0 \implies \mathfrak{g}$ solvable.

Second part:

- $\mathfrak{g}_{(k)} = \text{Span}\{[\dots[[x_1, x_2], x_3], \dots], x_n \mid x_i \in \mathfrak{g}\}$.
- \mathfrak{g} nilpotent $\implies \mathfrak{h}^k = \mathfrak{g}_{(k)} \triangleleft \mathfrak{g}$ by definition, $[\mathfrak{h}^k, \mathfrak{g}] = \mathfrak{g}_{(k+1)} \subseteq \mathfrak{h}^{k+1}$, $\mathfrak{h}^n = 0$. On the other hand, if $\mathfrak{h}^k = \mathfrak{g} \supset \mathfrak{h}^1 \supset \dots \supset \mathfrak{h}^n = \{0\}$ is a sequence of ideals as in the statement, use induction to show $\mathfrak{h}^k \supset \mathfrak{g}_{(k)} : \mathfrak{h}^1 \triangleleft \mathfrak{g}$, $[\mathfrak{h}^0, \mathfrak{g}] = [\mathfrak{g}, \mathfrak{g}] \supset \mathfrak{h}^1$ as above. We have $\mathfrak{h}^{k+1} \supset [\mathfrak{g}, \mathfrak{h}^k] \supset [\mathfrak{g}, \mathfrak{g}_{(k)}] = \mathfrak{g}_{(k+1)} \implies$ if $\mathfrak{h}^n = 0$, then $\mathfrak{g}_{(n)} = 0 \implies \mathfrak{g}$ nilpotent. \square

Example. Let

$$\mathfrak{b} := \left\{ x \in \mathfrak{gl}(n, \mathbb{C}) \mid x = \begin{pmatrix} * & & * \\ & \ddots & \\ 0 & & * \end{pmatrix} \right\}.$$

Then for $x, y \in \mathfrak{b}$:

$$[x, y] = xy - yx = \begin{pmatrix} 0 & * \\ & \ddots \\ 0 & 0 \end{pmatrix}.$$

So $\mathfrak{b}' \subset \mathfrak{n}$, $\mathfrak{b}^{(k)} \subseteq \mathfrak{n}_{(k-1)} \implies \mathfrak{b}$ is solvable.

Definition. Let V be a vector space over \mathbb{K} . A *flag* in V is a chain of subspaces $\mathcal{F} = \{0 = V^0 \subsetneq V^1 \subsetneq V^2 \subsetneq \dots \subsetneq V^k = V\}$. Denote:

$$\mathfrak{b}(\mathcal{F}) := \{x \in \text{End}(V) \mid xV^i \subseteq V^i \forall i\}, \quad \mathfrak{n}(\mathcal{F}) := \{x \in \text{End}(V) \mid xV^i \subseteq V^{i-1} \forall i > 0\}.$$

These are obviously Lie algebras.

Example. Let $V := \mathbb{K}^n$, $V^i := \text{Span}\{e_1, \dots, e_i\}$. Then we recover the Lie algebras \mathfrak{b} , \mathfrak{n} from the two previous examples.

Lemma. $\mathfrak{n}(\mathcal{F})$ is nilpotent.

Proof. Let $\mathfrak{n}^l(\mathcal{F}) := \{x \in \text{End}(V) \mid xV^i \subseteq V^{i-l} \forall i > 0\}$. Then if $x \in \mathfrak{n}^l$, $y \in \mathfrak{n}^{l'} \implies x \circ y \in \mathfrak{n}^{l+l'} \implies [x, y] \in \mathfrak{n}^{l+l'}$. We have $\mathfrak{n}(\mathcal{F})_{(1)} = \mathfrak{n}(\mathcal{F})' \subseteq \mathfrak{n}^2$. By induction: $\mathfrak{n}(\mathcal{F})_{(l)} \subset \mathfrak{n}^{l+1}$. Since $\mathfrak{n}^n = 0$, $\mathfrak{n}(\mathcal{F})_{(n)} = 0$ \square

Theorem. (1) \mathfrak{g} is solvable resp. nilpotent iff $\mathfrak{g} \otimes_{\mathbb{R}} \mathbb{C}$ is solvable resp. nilpotent.

(2) \mathfrak{g} solvable resp. nilpotent \implies any subalgebra/quotient of \mathfrak{g} is solvable/nilpotent.

(3) \mathfrak{g} is nilpotent $\implies \mathfrak{g}$ is solvable.

(4) If $\mathfrak{h} \triangleleft \mathfrak{g}$ is an ideal s.t. \mathfrak{h} is solvable and $\mathfrak{g}/\mathfrak{h}$ is solvable, then \mathfrak{g} is solvable.

Proof. (1) The property that iterated commutators vanish does not depend on the field.

(2) Vanishing properties of iterated commutators are inherited by subalgebras and quotients.

(3) $\mathfrak{g}^{(k)} \subseteq \mathfrak{g}_{(k)}$. Proof by induction: the statement is true for $k = 0$. Induction step: $\mathfrak{g}^{(k+1)} \subseteq [\mathfrak{g}^{(k)}, \mathfrak{g}^{(k)}] \subseteq [\mathfrak{g}_{(k)}, \mathfrak{g}_{(k)}] \subseteq [\mathfrak{g}, \mathfrak{g}_{(k)}] = \mathfrak{g}_{(k+1)}$.

(4) Let $q : \mathfrak{g} \rightarrow \mathfrak{g}/\mathfrak{h}$ be the canonical quotient map. Then $q(\mathfrak{g}^{(k)}) = (\mathfrak{g}/\mathfrak{h})^{(k)} = 0$ for $k > n_1$ as $\mathfrak{g}/\mathfrak{h}$ solvable $\implies \mathfrak{g}^{(k)} \subseteq \mathfrak{h}$ for $k > n_1$. Then $\mathfrak{g}^{(k+l)} \subset \mathfrak{h}^{(l)} = 0$ for $k > n_1, l > n_2$, so \mathfrak{g} is solvable. \square

Theorem (Lie). Let V be a f.d. representation of a solvable Lie algebra \mathfrak{g} (so we have $\rho : \mathfrak{g} \rightarrow \mathfrak{gl}(V)$). Then \exists a basis in V s.t.

$$\rho(\mathfrak{g}) \subset \mathfrak{b} = \left\{ x \in \mathfrak{gl}(V) \mid x = \begin{pmatrix} * & & * \\ & \ddots & \\ 0 & & * \end{pmatrix} \right\}$$

wrt. that basis.

Remark: If $\mathfrak{g} = \mathbb{C}$, we know the proof from linear algebra, it goes by finding an eigenvector for $\rho(1)$, factoring it out and doing induction.

Proposition. Let \mathfrak{g} be solvable, $\rho : \mathfrak{g} \rightarrow \mathfrak{gl}(V)$ a complex representation, then $\exists v \in V$, a common eigenvector for $\rho(x) \forall x \in \mathfrak{g}$.

Proof. Induction on $\dim \mathfrak{g}$. As \mathfrak{g} is solvable, $\mathfrak{g}' = [\mathfrak{g}, \mathfrak{g}] \lneq \mathfrak{g}$. Let $\tilde{\mathfrak{g}} \subset \mathfrak{g}$ be a subspace of codimension 1 s.t. $\tilde{\mathfrak{g}} \supset [\mathfrak{g}, \mathfrak{g}]$. We have $\tilde{\mathfrak{g}} \lneq \mathfrak{g}$, as $[\mathfrak{g}, \tilde{\mathfrak{g}}] \subseteq [\mathfrak{g}, \mathfrak{g}] \subseteq \tilde{\mathfrak{g}}$. By the induction assumption, $\exists v \in V$, a common eigenvector for $\rho(\tilde{x}) \in \tilde{\mathfrak{g}}$. Let $\mathfrak{g} = \tilde{\mathfrak{g}} \oplus \mathbb{C}x$ as a vector space, where x is some missing vector. Let $W := \text{Span}\{\rho(x)^k v \mid k \geq 0\}$ and $v^k := \rho(x)^k v$, $k \geq 0$ ($\rho(x)^0 := \text{id}$). We claim that W is $\rho(\tilde{\mathfrak{g}})$ -invariant (hence $\rho(\mathfrak{g})$ -invariant). Let $\tilde{x} \in \tilde{\mathfrak{g}}$ (so $[\tilde{x}, x] \in \tilde{\mathfrak{g}}$). Induction on k :

$$\rho(\tilde{x})v^1 = \rho(x)\lambda(\tilde{x})v^0 + \lambda([\tilde{x}, x])v^0 = \lambda(\tilde{x})v^1 + \text{terms from Span}\{v^0\},$$

where $\lambda : \tilde{\mathfrak{g}} \rightarrow \mathbb{C}$ is the eigenvalue at v^0 . Suppose that the statement is true for k and, then

$$\begin{aligned} \rho(\tilde{x})v^{k+1} &= \rho(x)\rho(\tilde{x})v^k + \rho([\tilde{x}, x])v^k \\ &= \rho(x)\lambda(\tilde{x})v^k + \lambda([\tilde{x}, x])v^k + \text{terms from Span}\{v^0, \dots, v^k\} \\ &= \lambda(\tilde{x})v^{k+1} + \text{terms from Span}\{v^0, \dots, v^k\}. \end{aligned}$$

Let $n := \min\{k \in \mathbb{N} \mid v^{k+1} \in \text{Span}\{v^0, \dots, v^k\}\}$, exists by finite dimension of V . Then $W = \text{Span}\{v^0, \dots, v^n\}$ and $\{v^0, \dots, v^n\}$ is a basis in W . In this basis,

$$\rho(\tilde{x}) = \begin{pmatrix} \lambda(\tilde{x}) & & * \\ & \ddots & \\ 0 & & \lambda(\tilde{x}) \end{pmatrix}.$$

Then $\text{tr}|_W \rho(\tilde{x}) = (n+1)\lambda(\tilde{x}) \forall \tilde{x} \in \tilde{\mathfrak{g}}$. This means $\lambda(\tilde{x}) = 0$ for $\tilde{x} \in \mathfrak{g}' = [\mathfrak{g}, \mathfrak{g}]$ (as $\text{tr} \rho(\mathfrak{g}') = 0$). Then, by the induction above, $\rho(\tilde{x})v^k = \lambda(\tilde{x})v^k \forall \tilde{x} \in \tilde{\mathfrak{g}}, k \in \mathbb{N}$. Now, let $w \in W$ be an eigenvector for $\rho(x)$ (exists since ρ is complex). Then w is a common eigenvector for $\rho(\mathfrak{g})$. \square

Remark: If v is such a common eigenvector, then $\exists \lambda \in \mathfrak{g}^*$ s.t. $\rho(x)v = \lambda(x)v$, $x \in \mathfrak{g}$.

Proof (of the theorem). Let $v \in V$ be a common eigenvector for $\mathfrak{g} \implies \mathbb{C}v$ is an invariant subspace $\implies \exists \bar{\rho} : \mathfrak{g} \rightarrow \mathfrak{gl}(V/\mathbb{C}v)$, the quotient representation. Induction: for $\dim V = 0$, there is nothing to prove. Suppose the statement is true for $d-1 = (\dim V) - 1$. Then by induction hypothesis, $\exists \bar{v}_1, \dots, \bar{v}_{d-1} \in V/\mathbb{C}v$ s.t. $\bar{\rho}(x)$ is upper triangular wrt. $\bar{v}_1, \dots, \bar{v}_{d-1}$. Then there exists a basis v, v_1, \dots, v_{d-1} in V s.t. $v_k + \mathbb{C}v = \bar{v}_k \forall k = 1, \dots, d-1$ with

$$\rho(x) = \begin{pmatrix} \lambda(x) & & * \\ & \ddots & \\ 0 & & * \end{pmatrix}.$$

\square

Corollary. (1) \mathfrak{g} is solvable \implies every irreducible representation is one-dimensional.

- (2) \mathfrak{g} is solvable $\implies \exists 0 \subset \mathfrak{h}^1 \subset \dots \subset \mathfrak{h}^k = \mathfrak{g}$ s.t. $\mathfrak{h}^i \triangleleft \mathfrak{g}$ and $\mathfrak{h}^i/\mathfrak{h}^{i-1}$ is one-dimensional.
(3) \mathfrak{g} is solvable $\iff [\mathfrak{g}, \mathfrak{g}] = \mathfrak{g}'$ is nilpotent.

Proof. (1) Let $\rho : \mathfrak{g} \rightarrow \mathfrak{gl}(V)$ be irreducible, then $\exists v \in V$, a common eigenvector for $\rho(x)$, $x \in \mathfrak{g} \implies \mathbb{C}v$ is invariant $\implies \mathbb{C}v = V$ by irreducibility.
(2) Consider the adjoint representation $ad : \mathfrak{g} \rightarrow \mathfrak{gl}(\mathfrak{g})$. Find a basis $x_1, \dots, x_d \in \mathfrak{g}$ s.t. $ad(x)$, $x \in \mathfrak{g}$ are upper triangular wrt. it. Then for $\mathfrak{h}^i = \text{Span}\{x_1, \dots, x_i\} \subseteq \mathfrak{g}$ we have $\mathfrak{h}^i \supseteq ad(\mathfrak{g})(\mathfrak{h}^i) = [\mathfrak{g}, \mathfrak{h}^i]$, i.e. $\mathfrak{h}^i \triangleleft \mathfrak{g}$, $\mathfrak{h}^{i+1}/\mathfrak{h}^i$ are one-dimensional.
(3) Let $[\mathfrak{g}, \mathfrak{g}]$ be nilpotent, then it is solvable. The quotient $\mathfrak{g}/[\mathfrak{g}, \mathfrak{g}]$ is abelian, hence solvable. Then \mathfrak{g} is also solvable. Let \mathfrak{g} be solvable, then $\mathfrak{g}/\mathfrak{z}(\mathfrak{g})$ is solvable. We have $\ker ad = \mathfrak{z}(\mathfrak{g})$, so $ad\mathfrak{g} \cong \mathfrak{g}/\mathfrak{z}(\mathfrak{g})$, which is solvable. By Lie's Theorem, $ad\mathfrak{g} \subset \mathfrak{b}$. Then $[ad\mathfrak{g}, ad\mathfrak{g}] \subset [\mathfrak{b}, \mathfrak{b}] \subset \mathfrak{n} \implies [ad\mathfrak{g}, ad\mathfrak{g}]$ is nilpotent. \square

Question: Is there an analogue of this for nilpotent \mathfrak{g} with strictly upper triangular matrices? Answer: No in general. Take $\mathfrak{g} := \mathbb{C}$, $\rho(1) := \text{id}_{\mathbb{C}^2}$.

Definition. An endomorphism $T \in \text{End}(V)$ is called *nilpotent* if $\exists n \in \mathbb{N}$ s.t. $T^n = 0$.

Remark: \mathfrak{n} is a nilpotent Lie algebra but it also consist of nilpotent operators.

Theorem. Let \mathfrak{g} be nilpotent, $\rho : \mathfrak{g} \rightarrow \mathfrak{gl}(V)$ s.t. $\{\rho(x) \mid x \in \mathfrak{g}\}$ consists of nilpotent operators. Then \exists basis in V s.t. $\rho(x)$ are strictly upper triangular.

Proof. Exercise. \mathfrak{g} is nilpotent, hence solvable. By Lie's Theorem, there exists a basis s.t. $\rho(x)$ is an upper triangular matrix wrt. it. The entries on the diagonal of $\rho(x)$, its eigenvalues, are zero since $0 = \rho^n(x)v = \lambda^n(x)v$ ($v \neq 0$). \square

Corollary (Engel's Theorem). A Lie algebra \mathfrak{g} is nilpotent $\iff ad(\mathfrak{g}) \subset \text{End}(\mathfrak{g})$ consists of nilpotent operators.

Proof. Let \mathfrak{g} be nilpotent, then $\forall x \in \mathfrak{g}, y \in \mathfrak{g} : 0 = [x, [x, [x, \dots [x, y] \dots]] = ad^n(x)(y) \implies ad(x)$ is nilpotent. If $ad(\mathfrak{g})$ consists of nilpotent operators $\implies \exists$ basis x_1, \dots, x_n in \mathfrak{g} s.t. $ad(x) \in \mathfrak{n} \forall x \in \mathfrak{g}$. Let $\mathfrak{h}^i := \text{Span}\{x_1, \dots, x_i\} \triangleleft \mathfrak{g}$ since $\mathfrak{h}^i \supset ad(\mathfrak{g})(\mathfrak{h}^i) = [\mathfrak{g}, \mathfrak{h}^i]$. By strict upper triangularity, $[\mathfrak{g}, \mathfrak{h}^i] = ad(\mathfrak{g})(\mathfrak{h}^i) \subset \mathfrak{h}^{i-1} \implies \mathfrak{g}$ is nilpotent. \square

15 Radical, semisimple and reductive algebras

Definition. A Lie algebra is called

- *semisimple* if it does not contain any nonzero solvable ideal,
- *simple* if it does not contain any non-trivial ideals and is not abelian.

Remark: \mathfrak{g} semisimple $\implies \mathfrak{z}(\mathfrak{g}) = 0$.

Lemma. \mathfrak{g} simple $\implies \mathfrak{g}$ semisimple.

Proof. Let $\mathfrak{h} \triangleleft \mathfrak{g}$ be a solvable ideal $\implies \mathfrak{h} = \{0\}$ or $\mathfrak{h} = \mathfrak{g}$ by simplicity. If $\mathfrak{h} = 0$, there is nothing to prove. If $\mathfrak{h} = \mathfrak{g}$, then \mathfrak{g} contains a non-trivial ideal or is abelian. \square

Example. $\mathfrak{sl}(2, \mathbb{C})$ is simple.

Definition. Let \mathfrak{g} be a Lie algebra. The *radical* of \mathfrak{g} , $\text{rad}(\mathfrak{g})$, is a maximal solvable ideal in \mathfrak{g} (i.e. a solvable ideal containing any other solvable ideal).

Proposition. $\text{rad}(\mathfrak{g})$ exists and is unique.

Proof. If $\text{rad}(\mathfrak{g})$ exists, it is necessarily unique, $\text{rad}_1(\mathfrak{g}) \subseteq \text{rad}_2(\mathfrak{g})$. Existence: Let $\mathfrak{h}_1 \triangleleft \mathfrak{g}$, $\mathfrak{h}_2 \triangleleft \mathfrak{g}$ solvable ideals $\implies \mathfrak{h}_1 + \mathfrak{h}_2$ solvable, because $\mathfrak{h}_1 \triangleleft \mathfrak{h}_1 + \mathfrak{h}_2$, $(\mathfrak{h}_1 + \mathfrak{h}_2)/\mathfrak{h}_1 \cong \mathfrak{h}_2/(\mathfrak{h}_1 \cap \mathfrak{h}_2)$ are solvable. So,

$$\text{rad}(\mathfrak{g}) := \sum_{\substack{\mathfrak{h} \triangleleft \mathfrak{g} \\ \text{solvable}}} \mathfrak{h}$$

is solvable and maximal. □

Theorem. (1) $\mathfrak{g}/\text{rad}(\mathfrak{g})$ is semisimple.

(2) $\mathfrak{h} \triangleleft \mathfrak{g}$ solvable s.t. $\mathfrak{g}/\mathfrak{h}$ semisimple, then $\mathfrak{h} = \text{rad}(\mathfrak{g})$.

Proof. (1) Let $\bar{\mathfrak{h}} \triangleleft \mathfrak{g}/\text{rad}(\mathfrak{g})$ be solvable. If $q : \mathfrak{g} \rightarrow \mathfrak{g}/\text{rad}(\mathfrak{g})$ is the quotient map, then $\mathfrak{h} := q^{-1}(\bar{\mathfrak{h}}) \triangleleft \mathfrak{g}$ (since $q(x) \in \bar{\mathfrak{h}} \triangleleft \mathfrak{g}/\text{rad}(\mathfrak{g})$, $y \in \mathfrak{g} \implies q([x, y]) = [q(x), q(y)] \in \bar{\mathfrak{h}}$). For $q|_{\mathfrak{h}} : \mathfrak{h} \rightarrow \bar{\mathfrak{h}}$, we have $\ker q|_{\mathfrak{h}} = \mathfrak{h} \cap \text{rad}(\mathfrak{g})$. So, $\mathfrak{h} \cap \text{rad}(\mathfrak{g})$, $\bar{\mathfrak{h}}$ are solvable. Then \mathfrak{h} is solvable $\implies \mathfrak{h} \subset \text{rad}(\mathfrak{g}) \implies \bar{\mathfrak{h}} = 0$.

(2) Exercise. Let $\mathfrak{h} \triangleleft \mathfrak{g}$ solvable, $\mathfrak{g}/\mathfrak{h}$ semisimple. Then $\mathfrak{h} \subset \text{rad}(\mathfrak{g})$ and the map $\varphi : \mathfrak{g}/\mathfrak{h} \rightarrow \mathfrak{g}/\text{rad}(\mathfrak{g})$ is a well-defined homomorphism. Consequently $\text{rad}(\mathfrak{g}) = \ker \varphi \triangleleft \mathfrak{g}/\mathfrak{h}$. If $\mathfrak{h} \subsetneq \text{rad}(\mathfrak{g})$, then we get a contradiction to $\mathfrak{g}/\mathfrak{h}$ being semisimple ($\text{rad}(\mathfrak{g})$ is a non-zero ideal in $\mathfrak{g}/\mathfrak{h}$). □

Observation: $0 \rightarrow \mathfrak{h} \cap \text{rad}(\mathfrak{g}) \rightarrow \mathfrak{h} \rightarrow \bar{\mathfrak{h}} \rightarrow 0$ from (1) is exact.

Corollary. For every Lie algebra \mathfrak{g} exists a semisimple \mathfrak{g}_{ss} and a short exact sequence $0 \rightarrow \text{rad}(\mathfrak{g}) \rightarrow \mathfrak{g}/\text{rad}(\mathfrak{g}) = \mathfrak{g}_{ss} \rightarrow 0$.

Example. Let $G := \text{Iso}^+(\mathbb{R}^3) = \mathbb{R}^3 \ltimes SO(3) = \{x \mapsto Ax + b \mid A \in SO(3), b \in \mathbb{R}^3\}$ (the Poincare Group). Then $\mathfrak{g} = \text{Lie}(G) = \mathbb{R}^3 \oplus \mathfrak{so}(3)$ as a vector space, Lie-bracket is given by $[(b_1, A_1), (b_2, A_2)] := (A_1 b_2 - A_2 b_1, [A_1, A_2])$. There, $\text{rad}(\mathfrak{g}) = \mathbb{R}^3 = \{(b, 0) \mid b \in \mathbb{R}^3\} \subseteq \mathfrak{g}$, $\mathfrak{g}/\text{rad}(\mathfrak{g}) = \mathfrak{so}(3)$.

Example. $\mathfrak{gl}(n, \mathbb{C}) = \mathfrak{z}(\mathfrak{gl}(n, \mathbb{C})) \oplus \mathfrak{sl}(n, \mathbb{C}) \implies \mathfrak{gl}(n, \mathbb{C})$ is not semisimple but it differs from a semisimple algebra just by the centre.

Definition. A Lie algebra is *reductive* if $\text{rad}(\mathfrak{g}) = \mathfrak{z}(\mathfrak{g})$ ($\iff \mathfrak{z}(\mathfrak{g}) \oplus \mathfrak{g}_{ss}$).

16 Invariant bilinear forms

Definition. Let \mathfrak{g} be a Lie algebra over \mathbb{K} , $B : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathbb{K}$ be a bilinear form on \mathfrak{g} . Then B is called *invariant*, if $\forall x, y, z \in \mathfrak{g} : B(\text{ad}(x)y, z) + B(y, \text{ad}(x)z) = 0$ (i.e., $\text{ad}(x)$ is skew-adjoint w.r.t B).

Remark: If $\rho : G \rightarrow GL(V)$ is a representation, $B : V \times V \rightarrow \mathbb{C}$ is invariant if $B(gv, gw) = B(v, w) \forall g \in G, v, w \in V$. If B is invariant, $\rho_* : \mathfrak{g} \rightarrow \mathfrak{gl}(V)$ the corresponding Lie algebra representation, then $B(\rho_*(x)v, w) + B(v, \rho_*(x)w) = 0 \forall x \in \mathfrak{g}, v, w \in V$ can be obtained by differentiation.

Lemma. If $\mathfrak{h} \triangleleft \mathfrak{g}$ is an ideal, B an invariant bilinear form, then

$$\mathfrak{h}^\perp := \{x \in \mathfrak{g} \mid B(x, y) = 0 \forall y \in \mathfrak{h}\}$$

is also an ideal.

Proof. $\mathfrak{h} \triangleleft \mathfrak{g}, y \in \mathfrak{h}^\perp \implies \forall z \in \mathfrak{h} \forall x \in \mathfrak{g}, 0 = B(y, \text{ad}(x)z) = -B(\text{ad}(x)y, z) \implies \text{ad}(x)y = [x, y] \in \mathfrak{h}^\perp$. \square

Remark: $\mathfrak{h} \cap \mathfrak{h}^\perp \neq 0$ in general.

Example. $\mathfrak{g} = \mathfrak{gl}(n, \mathbb{K})$. Let $\rho(x) := \text{tr}(xy)$.

- Symmetry: $B(x, y) = \text{tr}(xy) = \text{tr}(yx) = B(y, x)$.
- Invariance: $B(\text{ad}(x)y, z) = \text{tr}([x, y]z) = \text{tr}((xy - yx)z) = \text{tr}(xyz - yxz) = \text{tr}(yxz - yxz) = \text{tr}(yxz) = -\text{tr}(y[x, z]) = -B(y, \text{ad}(x)z)$.

Proposition. Let \mathfrak{g} be a Lie algebra over \mathbb{K} , $\rho : \mathfrak{g} \rightarrow \mathfrak{gl}(V)$ be a representation over \mathbb{K} . Then the form $B_\rho(x, y) := \text{tr}(\rho(x)\rho(y))$ is a symmetric bilinear form which is invariant.

Proof. Last example. \square

Theorem. Let \mathfrak{g} be a Lie algebra over \mathbb{K} s.t. $\exists \rho : \mathfrak{g} \rightarrow \mathfrak{gl}(V)$ with the form B_ρ non-degenerate. Then \mathfrak{g} is reductive.

Remark: We will prove this over \mathbb{C} , exercise: reduce \mathbb{R} to \mathbb{C} .

Proposition. Let \mathfrak{g} be a Lie algebra, $\rho : \mathfrak{g} \rightarrow \mathfrak{gl}(V)$ a complex representation. If ρ is reducible, then all elements $h \in \text{rad}(\mathfrak{g})$ act by scalar operators: $\rho(h) = \lambda(h) \cdot \text{id}_V \forall h \in \text{rad}(\mathfrak{g})$. Moreover, $\lambda(h) = 0 \forall h \in [\mathfrak{g}, \text{rad}(\mathfrak{g})]$.

Remark: This is an extension of Lie's Theorem about representation of solvable Lie algebras.

Proof. By Lie's Theorem, $\exists \lambda \in (\text{rad}(\mathfrak{g}))^*$ and $v \in V$ s.t. $\rho(h)v = \lambda(h)v \forall h \in \text{rad}(\mathfrak{g})$. Then $V_\lambda := \{w \in V \mid \rho(h)w = \lambda(h)w \forall h \in \text{rad}(\mathfrak{g})\} \neq 0$ and is invariant under $\rho(\text{rad}(\mathfrak{g}))$. Furthermore, $\text{tr}(\rho(h))|_{V_\lambda} = \lambda(h) \cdot \dim V_\lambda \implies \lambda([\mathfrak{g}, \text{rad}(\mathfrak{g})]) = 0$. Consequently $\forall x \in \mathfrak{g}, v \in V_\lambda, h \in \text{rad}(\mathfrak{g})$:

$$\begin{aligned} \rho(h)\rho(x)v &= \rho(x)\rho(h)v + \rho([h, x])v \\ &= \rho(x)\lambda(h)v + \lambda([h, x])v \\ &= \lambda(h)\rho(x)v \end{aligned}$$

$\implies \rho(\mathfrak{g})V_\lambda \subseteq V_\lambda \implies V_\lambda = V$ by irreducibility. \square

Proof (of the theorem). It suffices to prove $[\mathfrak{g}, \text{rad}(\mathfrak{g})] = 0$ (since $\text{rad}(\mathfrak{g}) \supset \mathfrak{z}(\mathfrak{g})$). Let $x \in [\mathfrak{g}, \text{rad}(\mathfrak{g})]$. By previous proposition, $\rho_W(x) = 0$ for every irreducible representation $\rho_W : \mathfrak{g} \rightarrow \mathfrak{gl}(W) \implies B_{\rho_W}(x, y) = 0 \forall y \in \mathfrak{g}$.

Suppose now, that ρ_{W_1}, ρ_{W_2} are representations s.t. $B_{\rho_{W_1}}(x, y) = B_{\rho_{W_2}}(x, y) = 0 \forall y \in \mathfrak{g}$ and $\rho_{\widetilde{W}} : \mathfrak{g} \rightarrow \mathfrak{gl}(\widetilde{W})$ is a representation s.t. there is a short exact sequence $0 \rightarrow W_1 \rightarrow \widetilde{W} \rightarrow W_2 \rightarrow 0$ of \mathfrak{g} -representations. Claim: $B_{\rho_{\widetilde{W}}}(x, y) = 0 \forall y \in \mathfrak{g}$. Reason: $W_1 \cong V$ subrepresentation of \widetilde{W} and $W_2 \cong \widetilde{W}/V$. Then $\widetilde{W} \cong V \oplus (\widetilde{W}/V)$ and $\rho_{V \oplus (\widetilde{W}/V)} = (\rho_V, \rho_{\widetilde{W}/V})$. So

$$\begin{aligned} B_{\rho_{\widetilde{W}}}(x, y) &= \text{tr}(\rho_{\widetilde{W}}(x), \rho_{\widetilde{W}}(y)) \\ &= \text{tr} \left(\begin{pmatrix} \rho_{W_1}(x) & * \\ 0 & \rho_{W_2}(x) \end{pmatrix} \cdot \begin{pmatrix} \rho_{W_1}(y) & * \\ 0 & \rho_{W_2}(y) \end{pmatrix} \right) \\ &= B_{\rho_{W_1}}(x, y) + B_{\rho_{W_2}}(x, y) \end{aligned}$$

$\forall x, y \in \mathfrak{g}$. This implies $B_{\rho_W}(x, y) = 0 \forall y \in \mathfrak{g}, x \in [\mathfrak{g}, \text{rad} \mathfrak{g}]$, since if W irreducible, we are done, otherwise we can do induction on dimension of W , where $\dim W_1, \dim W_2 < \dim W$. But B_{ρ_W} is non-degenerate $\implies x = 0$. \square

Corollary. All classical Lie algebras $\mathfrak{gl}(n, \mathbb{K}), \mathfrak{sl}(n, \mathbb{K}), \mathfrak{so}(n, \mathbb{K}), \mathfrak{sp}(2n, \mathbb{K}), \mathfrak{u}(n), \mathfrak{su}(n)$ are reductive.

Proof. Reason is common: The invariant bilinear form coming from the standard tautological representation is non-degenerate. Semisimplicity follows by $\mathfrak{z}(\mathfrak{g}) = 0$ for relevant \mathfrak{g} . The standard bilinear form descends from $\mathfrak{gl}(n, \mathbb{K})$:

$$B(x, y) = \text{tr}(xy) = \sum_{i,j=1}^n x_{ij} y_{ji}$$

for $x, y \in \mathfrak{gl}(n, \mathbb{K})$. $\{E_{i,j}\}$ is a basis in $\mathfrak{gl}(n, \mathbb{K}) \implies \{E_{j,i}\}$ is a dual basis w.r.t. B . Restriction to classical Lie algebras:

- $\mathfrak{sl}(n, \mathbb{K})$: $\mathfrak{gl}(n, \mathbb{K}) = \mathbb{K} \cdot \text{id} \oplus \mathfrak{sl}(n, \mathbb{K})$, an orthogonal decomposition w.r.t. B , so we only consider B nondegenerate on both separately $\implies B$ nondegenerate on $\mathfrak{sl}(n, \mathbb{K})$.
- $\mathfrak{so}(n, \mathbb{K})$: $y_{ji} = -y_{ij}$. Then $B(x, y) = -2 \sum_{i>j} x_{ij} y_{ij}$ (is negative definite on \mathbb{R}). Since $\{E_{i,j} - E_{j,i} \mid i > j\}$ is a basis in $\mathfrak{so}(n, \mathbb{K}) \implies B$ nondegenerate there.
- $\mathfrak{u}(n)$: $B(x, y) = \sum_{i,j=1}^n x_{ij} y_{ji} = -\sum_{i,j=1}^n x_{ij} \overline{y_{ij}}$ (is negative definite sesquilinear, even on $\mathfrak{gl}(n, \mathbb{C}) \implies$ on all subspaces, $\mathfrak{u}(n)$ too. Hence $B(x, x) = 0$ only if $x = 0$.)
- $\mathfrak{sp}(n, \mathbb{K})$: Exercise. \square

Definition. The *Killing-Cartan form* on a Lie algebra \mathfrak{g} is the symmetric invariant bilinear form coming from the adjoint representation:

$$K^{\mathfrak{g}}(x, y) := \text{tr}_{\mathfrak{g}}(ad(x) \cdot ad(y)).$$

Remark: If $\mathfrak{h} \subseteq \mathfrak{g}$ is a subalgebra, $K^{\mathfrak{h}} \neq K^{\mathfrak{g}}|_{\mathfrak{h}}$ in general. It does however if $\mathfrak{h} \triangleleft \mathfrak{g}$, see exercise 5.1.

Theorem (Cartan's criterion for solvability). *A Lie algebra \mathfrak{g} is solvable iff $K(\mathfrak{g}, \mathfrak{g}') = 0$.*

Theorem (Cartan's criterion for semisimplicity). *A Lie algebra \mathfrak{g} is semisimple iff K is nondegenerate. $K(\mathfrak{g}, \mathfrak{g}') = 0$.*

17 Appendix

17.1 Linear Algebra

Theorem. Let V be a finite-dimensional vector space over \mathbb{K} , $f \in \text{End}(V)$. Then f is trigonalisable if the characteristic polynomial of f factorizes over \mathbb{K} .

Proof. Wlog $V = \mathbb{K}^n$. Induction on n : For $n = 1$, any $M \in \mathbb{K}^{1 \times 1}$ is already upper triangular. Suppose that every $M \in \mathbb{K}^{(n-1) \times (n-1)}$ is upper triangular if the characteristic polynomial of M factorizes over \mathbb{K} . Let $M \in \mathbb{K}^{n \times n}$. By the assumption, M has at least one eigenvalue λ_1 . Let v_1 be the associated eigenvector. Complete $\{v_1\}$ to a basis $\{v_1, \dots, v_n\}$ of \mathbb{K}^n . \square

Definition/Proposition. Let V be a finite-dimensional vector space over \mathbb{K} , v_1, \dots, v_n a basis in V . Then for every $i \in \{1, \dots, n\}$, there is exactly one linear map $v_i^* \in V^*$ such that $v_i^*(v_j) = \delta_{ij}$. The set $\{v_1^*, \dots, v_n^*\}$ is called the dual basis and constitutes a basis in V^* .

Definition. Let V be a vector space over \mathbb{K} , a *bilinear form* (a bilinear map $B : V \times V \rightarrow \mathbb{K}$) is

- *nondegenerate* if $B(v, w) = 0 \forall w \in V \implies v = 0$
- *skew-symmetric* if $B(v, w) = -B(w, v) \forall v, w \in V$
- *alternating* if $B(v, v) = 0 \forall v \in V$

Let \mathbb{K} be \mathbb{R} or \mathbb{C} . A symmetric bilinearform (hermitian sesquilinearform) B is

- *positive definite* if $B(v, v) > 0 \forall v \in V$
- *positive semidefinite* if $B(v, v) \geq 0 \forall v \in V$
- *negative definite* if $B(v, v) < 0 \forall v \in V$
- *negative semidefinite* if $B(v, v) \leq 0 \forall v \in V$

Proposition. An alternating bilinear form $B : V \times V \rightarrow \mathbb{K}$ is skew-symmetric. If $\text{char } \mathbb{K} \neq 2$, the converse is also true.

Proof. By bilinearity, $0 = B(v + w, v + w) = B(v, v) + B(v, w) + B(w, v) + B(w, w) = B(v, w) + B(w, v)$. Converse: $B(v, v) = -B(v, v) \implies 2B(v, v) = 0 \implies B(v, v) = 0 \forall v$ if $\text{char } \mathbb{K} \neq 2$. \square

Proposition. Let $B : V \times V \rightarrow \mathbb{K}$ be a nondegenerate bilinear form, then $\alpha(v)(w) := B(v, w)$ gives a isomorphism $V \rightarrow V^*$.

Proof. By bilinearity of B , α is a linear map. It is injective: Let $\alpha(v) = 0 \implies B(v, w) = 0 \forall w \in V \implies v = 0$ since nondegenerate. Surjectivity follows from the Rank theorem: $\dim \text{im}(\alpha) = n = \dim V^*$. \square

17.2 Differential Forms

17.3 Haar Measure

17.4 Useful Formulas

$$\sum_k \delta_{i,k} \delta_{k,j} = \delta_{i,j} \quad (1)$$

17.5 Exercises

Exercise 5.3. Let $\mathfrak{g} \subset \mathfrak{gl}(n, \mathbb{C})$ be the subspace of block triangular matrices:

$$\mathfrak{g} = \left\{ \begin{pmatrix} A & B \\ 0 & D \end{pmatrix} \mid A \in \mathbb{C}^{k \times k}, B \in \mathbb{C}^{k \times (n-k)}, D \in \mathbb{C}^{(n-k) \times (n-k)} \right\}.$$

(1) Direct computation:

$$\begin{pmatrix} A_1 & B_1 \\ 0 & D_1 \end{pmatrix} \begin{pmatrix} A_2 & B_2 \\ 0 & D_2 \end{pmatrix} = \begin{pmatrix} A_1 A_2 & A_1 B_2 + B_1 D_2 \\ 0 & D_1 D_2 \end{pmatrix}.$$

Then

$$\left[\begin{pmatrix} A_1 & B_1 \\ 0 & D_1 \end{pmatrix}, \begin{pmatrix} A_2 & B_2 \\ 0 & D_2 \end{pmatrix} \right] = \begin{pmatrix} [A_1, A_2] & A_1 B_2 + B_1 D_2 - A_2 B_1 - B_2 D_1 \\ 0 & [D_1, D_2] \end{pmatrix}$$

(2) The $\mathfrak{h} \triangleleft \mathfrak{g}$ solvable $\iff [\mathfrak{h}, \mathfrak{h}]$ nilpotent. Since $[\mathfrak{h}, \mathfrak{h}]$ has the form above, any A_1, A_2 and D_1, D_2 must commute, thus $A_i = \lambda_i E_k$, $D_i = \mu_i E_{n-k}$. The maximal solvable ideal, $\text{rad}(\mathfrak{g})$ contains all such matrices. Furthermore,

$$\mathfrak{g}/\text{rad}(\mathfrak{g}) \cong \{A \in \mathbb{C}^{k \times k} \mid A \text{ upper triangular}\} \oplus \{B \in \mathbb{C}^{(n-k) \times (n-k)} \mid B \text{ upper triangular}\}$$