# NONAMENABLE SUBFORESTS OF MULTI-ENDED QUASI-PMP GRAPHS

## RUIYUAN CHEN, GRIGORY TERLOV, AND ANUSH TSERUNYAN

ABSTRACT. We prove the a.e. nonamenability of locally finite quasi-pmp Borel graphs whose every component admits at least three nonvanishing ends with respect to the underlying Radon–Nikodym cocycle. We witness their nonamenability by constructing Borel subforests with at least three nonvanishing ends per component, and then applying Tserunyan and Tucker-Drob's recent characterization of amenability for acyclic quasi-pmp Borel graphs. Our main technique is a weighted cycle-cutting algorithm, which yields a weight-maximal spanning forest. We also introduce a random version of this forest, which generalizes the Free Minimal Spanning Forest, to capture nonunimodularity in the context of percolation theory.

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## 1. Introduction

Locally countable Borel graphs<sup>1</sup> on standard probability spaces have been a center of attention in a variety of areas such as Descriptive Graph Combinatorics, Measured Group Theory, Ergodic Theory, and the study of Countable Borel Equivalence Relations<sup>2</sup> (CBERs). The interest in them is largely facilitated by the fact that these graphs arise as Schreier graphs of measurable actions of countable groups, enabling graph-theoretic and algorithmic approaches, as well as techniques from probabilistic combinatorics and geometric group theory, for studying such actions and their orbit equivalence relations.

The majority of the developed theory concerns probability measure preserving (**pmp**) actions, where in particular, notions like amenability are well-understood. On the other hand, much less is known about **quasi-pmp** (nonsingular) actions, where points in the same orbit have different "relative weights", whence even free such actions may not reflect the properties of the acting group. For instance, the typical nonamenable group  $\mathbb{F}_2$  has amenable free quasi-pmp actions (see Example 1.2). In this article – in the spirit of the Day–von Neumann question – we construct nonamenable subforests of certain locally finite quasi-pmp Borel graphs, in particular witnessing their nonamenability. We do so using the interplay between the geometry of these graphs (the space of ends) and the behavior of the underlying Radon–Nikodym cocycle, which we interpret as a relative weight function on the graph that accounts for the failure of invariance of the underlying measure.

Just like amenability is an important conceptual threshold for groups,  $\mu$ -amenability is an equally fundamental concept for CBERs/group actions/graphs on a standard probability space  $(X, \mu)$ . The concept of  $\mu$ -amenability was originally introduced in [Zim77], and a very useful equivalent definition was given in [CFW81]; see also [JKL02, 2.4]. By the Connes-Feldman-Weiss theorem [CFW81] (see also [KM04, 10.1] and [Mar17]),  $\mu$ -amenability of CBERs is equivalent to  $\mu$ -hyperfiniteness, i.e., being a countable increasing union of finite Borel equivalence relations<sup>2</sup> off of a  $\mu$ -null set. In the present paper, we are only concerned with the measured context, so we use the terms amenable and hyperfinite interchangeably, dropping  $\mu$  from notation when it is clear from the context. We also use these terms for Borel actions of countable groups and for locally countable Borel graphs on  $(X, \mu)$ , calling

<sup>&</sup>lt;sup>1</sup>A **Borel graph** on a standard Borel space X is a graph with vertex set X and edge set a symmetric Borel subset of  $X^2$ .

<sup>&</sup>lt;sup>2</sup>An equivalence relation E on a standard Borel space X is **countable** (resp. **finite**) **Borel** if it is Borel as subsets of  $X^2$  and each equivalence class is countable (resp. finite).

them amenable or hyperfinite, when the induced orbit equivalence relation or connectedness relation, respectively, are so.

1.A. Overview of nonamenability in the pmp setting. A fundamental question in this line of research is to determine whether a given Borel action of a countable group on  $(X, \mu)$  is amenable. By [JKL02, 2.5(i)], every such action is amenable when the group is amenable. For free probability-measure-preserving (pmp) actions, we also have the converse: the action is nonamenable when the group is nonamenable [JKL02, 2.5(ii)]. More generally, free pmp actions of countable groups tend to reflect the properties of the group. A big part of this is due to the theory of cost for pmp CBERs<sup>3</sup> – an analogue for CBERs of the free rank for groups – which is only available in the pmp setting. The **cost** of a pmp CBER E is defined as the infimum of the cost (i.e. half of expected degree) of its **graphings**, i.e. Borel graphs E0 on E1 whose connectedness relation E2 is equal to E3 a.e.

Fundamental results of Gaboriau [Gab98] and Hjorth [Hjo06] establish a strong analogy between free groups and and **treeable** pmp CBERs (those which admit acyclic graphings). In particular, an ergodic<sup>4</sup> treeable CBER is nonamenable exactly when its cost is > 1. By [Gab98], any acyclic graphing achieves the cost of a pmp CBER, so in the pmp context, acyclic Borel graphs of cost > 1 play the same role as free groups of rank > 1 among groups. In the spirit of the Day-von Neumann question as to whether every nonamenable group contains a copy of  $\mathbb{F}_2$  (the free group on 2 generators), one tries to detect the nonamenability of a given pmp graph G by exhibiting a nonamenable acyclic Borel subforest of G, or at least of its connectedness relation  $\mathbb{E}_G$ . The following is a corollary of a theorem of Ghys [Ghy95], due to Gaboriau [Gab00, IV.24]:

**Theorem 1.1** (Gaboriau–Ghys; 2000). The connectness relation  $\mathbb{E}_G$  of an ergodic pmp graph G whose a.e. component has  $\geq 3$  ends is of cost > 1, hence  $\mathbb{E}_G$  is nonamenable. In fact, there is an ergodic subforest  $F \subseteq \mathbb{E}_G$  of cost > 1 witnessing the nonamenability of  $\mathbb{E}_G$ .

This result is a key ingredient in one of the proofs of the celebrated Gaboriau–Lyons theorem [GL09], which gives a positive answer to the Day–von Neumann question for Bernoulli shifts: if  $\Gamma$  is a nonamenable countable group, then the orbit equivalence relation of its shift action on  $([0,1]^{\Gamma}, \lambda^{\Gamma})$ , where  $\lambda$  is the Lebesgue measure, admits an ergodic subequivalence relation induced by a free action of  $\mathbb{F}_2$ . Indeed, in this proof, percolation theory yields a subgraph of the orbit equivalence relation to which Theorem 1.1 applies, yielding an ergodic subforest of cost > 1, which is then upgraded to a free action of  $\mathbb{F}_2$  by [Hj006].

1.B. Nonamenability in the quasi-pmp setting and our main result. As for general CBERs on standard probability spaces, much less is known. By an argument of Kechris and Woodin, see [Mil04, Proposition 2.1] or [TZ22, 2.2], these equivalence relations are quasi-pmp<sup>3</sup> after discarding a null set. However, most of the aforementioned theory of pmp actions and CBERs fails in the quasi-pmp setting, starting with the statement that nonamenability of the group implies nonamenability of the orbit equivalence relations of its free actions.

**Example 1.2.** Consider the action of  $\mathbb{F}_2$  on its boundary  $\partial \mathbb{F}_2$ , which we identify with the set of infinite reduced words in the symmetric set  $\{a^{\pm 1}, b^{\pm 1}\}$  of generators of  $\mathbb{F}_2$ . This action

<sup>&</sup>lt;sup>3</sup>A CBER E on a standard probability space  $(X, \mu)$  is **pmp** (resp. **quasi-pmp** if for any Borel automorphism  $\gamma$  on X, that maps every point to an E-equivalent point, preserves  $\mu$  (resp.  $\mu$ -null sets)).

<sup>&</sup>lt;sup>4</sup>An equivalence relation is **ergodic** if every invariant measurable set is either null or conull.

is free except at countably many points (which we discard), and it is (Borel) hyperfinite, by [DJK94, 8.2], because its orbit equivalence relation is equal to that induced by the one-sided shift map on  $\partial \mathbb{F}_2$ . This implies that there is no invariant probability measure on  $\partial \mathbb{F}_2$ , but there are certainly many quasi-invariant probability measures, e.g. the one with value  $\frac{1}{4} \cdot (\frac{1}{3})^{n-1}$  on each cylindrical set based on a word of length n.

That properties of the group are not reflected by its free quasi-pmp actions is due to the fact that in the latter setting, points in the same orbit have different "relative weights." This is made precise by the **Radon–Nikodym cocycle**  $(x, y) \mapsto \mathbf{w}^y(x) : E \to \mathbb{R}^+$  of the orbit equivalence relation E with respect to the underlying probability measure  $\mu$ , as defined in [KM04, Section 8]. In the present paper, we think of  $\mathbf{w}^y(x)$  as the weight of x relative to y, so we call cocycles to  $\mathbb{R}^+$  relative weight functions, hence the notation  $\mathbf{w}$ . The Radon–Nikodym cocycle "corrects" the failure of invariance of the measure  $\mu$ , enabling the (tilted) mass transport principle: for each  $f: E \to [0, \infty]$ ,

$$\int \sum_{y \in [x]_E} f(x, y) d\mu(x) = \int \sum_{x \in [y]_E} f(x, y) \mathbf{w}^y(x) d\mu(y).$$

In the absence of the theory of cost in the quasi-pmp setting, we look at the geometry of quasi-pmp graphs and the behaviour of the Radon-Nikodym cocycle along them. One has to first understand amenability for the simplest class of quasi-pmp graphs, namely, acyclic ones. This is done by Tserunyan and Tucker-Drob in [TTD23]. To present their characterization result in analogy with the pmp setting, we first note that for acyclic ergodic pmp graphs, we can replace cost by geometry, namely, the cost of such a graph is  $\leq 1$  if and only if it has  $\leq 2$  ends a.e. Thus, an acyclic ergodic pmp graph is amenable exactly when it has  $\leq 2$  ends a.e. (proven directly by Adams in [Ada90]). In [TTD23], this is generalized to the quasi-pmp setting as follows:

**Theorem 1.3** (Tserunyan–Tucker-Drob; 2022). An acyclic quasi-pmp graph G is amenable exactly when a.e. G-component has  $\leq 2$  w-nonvanishing ends, where w is the Radon–Nikodym cocycle of  $\mathbb{E}_G$  with respect to the underlying measure.

Here, an end  $\eta$  of G is said to be **w-vanishing** if the cocycle **w** converges to 0 along any sequence  $(x_n)$  of vertices converging to  $\eta$ , i.e.  $\lim_n \mathbf{w}^{x_0}(x_n) = 0$ . Notice that in Example 1.2, each connected component of the canonical Schreier graph has exactly one **w**-nonvanishing end, namely, the forward direction of the one-sided shift map on  $\partial \mathbb{F}_2$ .

Theorem 1.3 indeed generalizes the pmp situation because  $\mathbf{w} \equiv 1$  in that case, so every end is nonvanishing. It is not hard to derive from Theorem 1.3 that every locally finite quasi-pmp graph G that has  $\geq 3$  w-nonvanishing ends in a.e. G-component is nowhere nonamenable, see Proposition 3.24. The main result of the present paper is an explicit construction of a subforest witnessing the nonamenability of G, thereby completing the generalization of Theorem 1.1 to the quasi-pmp setting for locally finite graphs:

**Theorem 1.4.** Let G be a locally finite quasi-pmp Borel graph and let  $\mathbf{w}$  denote its Radon-Nikodym cocycle with respect to the underlying probability measure. If a.e. G-component has  $\geq 3$   $\mathbf{w}$ -nonvanishing ends, then there is a Borel subforest  $F \subseteq G$  such that for a.e.

F-connected component, the space of  $\mathbf{w}$ -nonvanishing ends of that component is nonempty and perfect<sup>5</sup>. In particular, G is nowhere amenable. Moreover, F can be made ergodic if G is.

**Remark 1.5.** For a locally finite quasi-pmp Borel graph G, if each G-component has exactly two **w**-nonvanishing ends, then G is hyperfinite by [Mil08a, 5.1], or by a simple geometric argument using a maximal disjoint set of **w**-bifurcations. As in the pmp case, we are unable to conclude anything if each component of G has exactly one **w**-nonvanishing end. Finally, if each G-component has zero **w**-nonvanishing ends, then G is smooth because local finiteness implies that there are only finitely many **w**-maximal elements in each G-component.

**Remark 1.6.** In case of locally finite Borel graphs Theorem 1.4 is a strengthening of Theorem 1.1 even for pmp graphs because our ergodic forest F is a subgraph of G and not just of  $\mathbb{E}_G$ . However, this is only due to the fact that we now know, by a theorem of Tserunyan [Tse22] (which generalizes the analogous theorem of Tucker-Drob for pmp graphs), that every quasi-pmp ergodic graph admits an ergodic hyperfinite subgraph.

**Remark 1.7.** A significant part of the proof of Theorem 1.1, namely that by Ghys, involves an analogue for pmp graphs of the Stallings analysis of ends of groups [Sta68]. In contrast, our subforest F in Theorem 1.4 is constructed via a much simpler cycle-cutting algorithm, which runs simultaneously on all G-components and cuts the  $\mathbf{w}$ -lightest edge in each simple cycle, using a fixed Borel linear ordering on edges as a tiebreaker.

In Section 5, we give concrete applications of Theorem 1.4 to **coinduced group actions** (Example 5.6) and **cluster graphings** of nonunimodular graphs (Corollary 5.7 and Example 5.9).

1.C. Application to percolation theory: Free w-Maximal Spanning Forest. Besides Theorem 1.4, our cycle-cutting algorithm described in Remark 1.7 has other applications, in particular to random forests in probability theory. Indeed, this algorithm works abstractly on any countable graph G equipped with a relative weight function  $\mathbf{w}$  and a linear ordering < (tiebreaker) on the edges of G, yielding what we call the  $\mathbf{w}$ -maximal subforest of G (with respect to the tiebreaker <). This is a generalization to the (relatively) weighted setting of the  $\mathbf{minimal}$  subforest algorithm used in probability, which simply deletes the <-least edge in each cycle, regardless of its  $\mathbf{w}$ -weight. In particular, just like the minimal subforest splits each cluster (i.e. connected component) of G into infinite trees, the  $\mathbf{w}$ -maximal subforest does the same with  $\mathbf{w}$ -infinite clusters, i.e. those whose total  $\mathbf{w}$ -weight is infinite:

**Proposition 1.8.** Let G be a graph with a relative weight function  $\mathbf{w}$  and a linear ordering < on the edges. Every  $\mathbf{w}$ -infinite component of G splits into  $\mathbf{w}$ -infinite trees in the  $\mathbf{w}$ -maximal subforest of G (with respect to the tiebreaker <).

A crucial strengthening of Proposition 1.8 is proven in Lemma 3.4 and Observation 3.5. Taking a uniformly random linear ordering (tiebreaker) on the edges of G, the minimal subforest algorithm yields the **Free Minimal Spanning Forest** (**FMSF**) of G – a well-known random subforest that has been useful in percolation theory of unimodular graphs. Analogously, taking a uniformly random linear ordering on the edges of G, our w-maximal subforest algorithm yields a random subforest of G, which we call the **Free w-Maximal Spanning Forest** and denote by  $FMaxSF_{\mathbf{w}}(G)$ .

<sup>&</sup>lt;sup>5</sup>A topological space is **perfect** if it has no isolated points, e.g., Q.

FMSF is often applied to random subgraphs  $\omega$  of a connected locally finite graph G, in particular, to a configuration  $\omega$  sampled from an invariant (under automorphisms of G) bond percolation  $\mathbf{P}$  on G, yielding an invariant random subforest FMSF( $\omega$ ) of G. If the relative weight function  $\mathbf{w}$  on V(G) is invariant under a closed subgroup  $\Gamma$  of the automorphism group  $\mathrm{Aut}(G)$ , then same is true for  $\mathrm{FMaxSF}_{\mathbf{w}}$ : applied to a sample  $\omega$  from a  $\Gamma$ -invariant percolation  $\mathbf{P}$  on G, it yields a  $\Gamma$ -invariant random subforest  $\mathrm{FMaxSF}_{\mathbf{w}}(\omega)$  of G. In fact, such an invariant weight function  $\mathbf{w} := \mathbf{w}_{\Gamma}$  is induced by  $\Gamma$  itself by setting  $\mathbf{w}_{\Gamma}^{y}(x)$  to be the ratio of the  $\Gamma$ -Haar measures of the  $\Gamma$ -stabilizers of vertices x and y of G. (See Section 4.B for details.)

A graph G is called **unimodular** if its automorphism group  $\operatorname{Aut}(G)$  is unimodular; equivalently, the relative weight function  $\mathbf{w}_{\Gamma}$  induced by  $\Gamma := \operatorname{Aut}(G)$  is constant 1. While nonunimodularity makes some questions easier to answer [Hut20], many of the techniques developed for unimodular graphs do not extend to the nonunimodular setting, leaving large gaps in the understanding of percolation processes on such graphs. This includes the techniques that make use of  $\operatorname{FMSF}(\omega)$  because their correct analogues in this setting would need to involve the induced relative weight function  $\mathbf{w}_{\Gamma}$ , which  $\operatorname{FMSF}(\omega)$  does not account for, while  $\operatorname{FMaxSF}_{\mathbf{w}}(\omega)$  does. Even when G is unimodular, it is possible to have a closed nonunimodular subgroup  $\Gamma \leq \operatorname{Aut}(G)$ . For instance, such a subgroup is  $\Gamma_{\xi} \leq \operatorname{Aut}(T_d)$  of automorphisms that fix a specified end  $\xi$  of the d-regular tree  $T_d$  with  $d \geq 3$ . For more examples see [HPS99, Tim06a, Hut20].

The following result is the statement of Theorem 4.14.

**Theorem 1.9.** Let G be a locally finite connected graph,  $\Gamma$  be a transitive closed subgroup of  $\operatorname{Aut}(G)$ , and  $\mathbf{w}_{\Gamma}$  be the  $\Gamma$ -invariant relative weight function on V(G) induced by  $\Gamma$  as above. Let  $\mathbf{P}$  be a  $\Gamma$ -invariant deletion tolerant percolation on G.

If a.s. a configuration  $\omega$  has a cluster with at least 3  $\mathbf{w}_{\Gamma}$ -nonvanishing ends, then a.s. there is a tree in FMaxSF $\mathbf{w}_{\Gamma}(\omega)$  whose space of  $\mathbf{w}_{\Gamma}$ -nonvanishing ends is nonempty and perfect<sup>5</sup>.

In Section 5.B, we present concrete examples where Theorem 1.9 applies (Corollary 5.7 and Example 5.9). We also derive a further combinatorial property called **infinite visibility** (introduced in [Tse22, 8.1]) of percolation configurations in such graphs (Theorem 5.11).

1.D. **Future directions.** In the present work we consider only locally finite Borel graphs. In fact, our analysis heavily relies on compactness of the space of ends for each component of the graph. Noticing that Theorems 1.1 and 1.3 do not have this restriction, it is of interest to generalize Theorem 1.4.

Question 1.10. Does Theorem 1.4 extend to the locally infinite setting?

Both Theorems 1.4 and 1.9 yield a forest that contains a tree, whose space of nonvanishing ends is nonempty and perfect, but the theorems do not claim that it is a closed subset of the space of all ends. It is of interest to further understand this space.

Remark 1.11. In a follow-up work, we show that in fact, in almost every connected component of the graph, either all nonvanishing ends are of the same (possibly infinite) weight, hence they form a Cantor set, or there is a unique end of infinite weight.

We think that actually all nonvanishing ends should always be of the same weight, i.e., in case of unique nonvanishing end of infinite weight, all other ends of that component should

be vanishing (for a.e. component). In fact, this would follow from a positive answer to the following more general question, which has a positive answer for pmp graphs due to Epstein and Hjorth [EH08, Theorem 1.4]:

**Question 1.12.** Let G be a quasi-pmp Borel graph and let  $\mathbf{w}$  denote its Radon-Nikodym cocycle with respect to the underlying probability measure. If there is a Borel selection of one  $\mathbf{w}$ -nonvanishing end in a.e. G-component, then is it true that a.e. G-component admits at most 2 nonvanishing ends?

On the percolation side, recall that for a transitive connected locally finite graph G,  $FMaxSF_{\mathbf{w}_{\Gamma}}(G)$  is a natural generalization of FMSF(G) to the nonunimodular setting. Hence it would be interesting to extend various properties of FMSF(G) to its more general counterpart. Theorem 1.9 gives at least one tree whose set of nonvanishing ends is nonempty and perfect. Timár [Tim06b] showed that for transitive unimodular graph G, the number of ends of every tree in FMSF(G) is the same.

Question 1.13. For a transitive nonunimodular graph G, is the number of nonvanishing ends the same for every tree of  $FMaxSF_{\mathbf{w}_{\Gamma}}(G)$ ?

More generally, one can ask:

Question 1.14. Are  $\mathbf{w}_{\Gamma}$ -heavy trees of  $\mathrm{FMaxSF}_{\mathbf{w}_{\Gamma}}(G)$  indistinguishable in the sense of [LS99]?

Indistinguishably of FMSF(G) on unimodular graphs was shown in [Tim18, Theorem 1.2]. One consequence of this result is a simplification of the construction of the treeable ergodic subrelation in the Gaboriau-Lyons theorem [GL09, Proposition 13]. This serves as an additional motivation (see Remark 5.8) for answering Question 1.14.

**Organization.** The rest of the paper organized as follows. In Section 2 we discuss preliminaries. In Section 3 we present the construction of the maximal forest in Borel setting and prove Theorem 1.4. Section 4 reviews the significance of random spanning forests in percolation theory and presents our construction in the context of this theory. Finally, in Section 5 we present applications of our main results on concrete examples.

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#### 2. Preliminaries

2.A. **Graphs.** Throughout this paper, by a **graph** we mean a simple undirected graph, represented formally as a symmetric *reflexive* binary edge relation  $G \subseteq X^2$  on the vertex set X. We therefore write

$$(x,y) \in G \iff x G y$$

interchangeably to mean that there is an edge from x to y. We will refer to the graph by (X, G) or, when X is clear from context, simply by G.

**Definition 2.1.** By a **connected** graph (X, G), we will mean one whose *vertex set* X *is nonempty* and such that any  $x, y \in X$  are joined by a path. A subset  $A \subseteq X$  is G-connected if the induced subgraph G|A is.

We write  $\mathbb{E}_G \subseteq X^2$  for the **induced equivalence relation** relating two vertices iff they are joined by a path, and write  $X/G := X/\mathbb{E}_G$  for the quotient set, i.e., connected components of G. Note that since we are considering reflexive graphs,  $\mathbb{E}_G$  is itself a graph. For a subset  $A \subseteq X$ , we write  $[A]_G := [A]_{\mathbb{E}_G}$  for its G-saturation, i.e. the union of all components intersecting A.

**Definition 2.2.** A simple path  $x_0 G x_1 G \cdots G x_n$  will mean one with no repeated vertices. A simple cycle will mean such a path with  $n \ge 3$ ,  $x_0 = x_n$  and no other repeated vertices. (Recall that we are working with reflexive graphs.) **Acyclic** means there are no simple cycles. A **forest** is an acyclic graph; a **tree** is a connected forest.

**Definition 2.3.** Given a graph (X, G) and subset  $A \subseteq X$ ,

- the inner (vertex) boundary of A is the set of vertices in A adjacent to  $X \setminus A$ ;
- the outer (vertex) boundary of A is the inner boundary of  $X \setminus A$ ;
- the **edge boundary** of A is the set of all G-edges between two vertices in  $A, X \setminus A$ . Note that if G is locally finite, then one of these notions of boundary of A is finite iff all are, in which case we say that A has **finite boundary** or is **boundary-finite**.

**Remark 2.4.** A connected locally finite graph has only countably many boundary-finite subsets.

2.B. **End spaces.** As our working definition of "ends of a graph", we find it convenient to take the following point-set topological approach, which is rooted in the origins of the concept of ends due Freudenthal [Fre31] and Hopf [Hop44]. See [DK03] for general information on ends of graphs, including the equivalence with the more combinatorial approach via rays due to Halin [Hal64] (see also Example 2.14 below).

**Definition 2.5.** For a connected locally finite graph (X, G), its end compactification

$$\widehat{X} = \widehat{X}^G \supseteq X$$

is the zero-dimensional Polish compactification of the discrete space X whose clopen sets are precisely the closures of boundary-finite  $A \subseteq X$ . Thus these closures, which we denote by  $\widehat{A} \subseteq \widehat{X}$ , form an open basis for  $\widehat{X}$ . Formally, we may construct  $\widehat{X}$  by identifying points  $u \in \widehat{X}$  with their neighborhood filters, hence with ultrafilters of boundary-finite  $A \subseteq X$  (in other words,  $\widehat{X}$  is the Stone space of the Boolean algebra of all such A, into which X embeds as the principal ultrafilters).

The end space of (X, G) is

$$\partial X = \partial^G X := \widehat{X}^G \setminus X,$$

or equivalently the closed subspace of nonisolated points in  $\widehat{X}^G$ , which are the **ends** of G.

**Remark 2.6.** A clopen set  $\widehat{A} \subseteq \widehat{X}$  contains an end iff  $A \subseteq X$  is infinite, by compactness.

**Remark 2.7.** For boundary-finite  $A \subseteq X$ , it is easily seen that  $B \subseteq A$  has finite boundary in G iff it has finite boundary in the induced subgraph G|A. In other words, the notation  $\widehat{A}$  may also be consistently interpreted as the end compactification of (A, G|A), which embeds

into  $\widehat{X}$  as a clopen subspace. Because of this, we will refer to an end  $\xi \in \partial^G X$  which is in  $\widehat{A}$  as an **end in** A or say that A is a neighborhood of  $\xi$ .

In contrast, for boundary-infinite  $Y \subseteq X$ , we must carefully distinguish between  $\widehat{Y}^{G|Y}$  and the closure  $\overline{Y}$  of Y in  $\widehat{X}^G$ . There is always a canonical map from the former space to the latter, but it need not be injective; see Example 2.15 below.

**Remark 2.8.** Every boundary-finite  $A \subseteq X$  is the finite (disjoint) union of its connected components, which are also boundary-finite. Thus, the closures  $\widehat{C} \subseteq \widehat{X}$  of connected boundary-finite  $C \subseteq X$  also form an open basis for  $\widehat{X}$ .

**Lemma 2.9.** The following families of subsets of X are the same:

- (i) connected boundary-finite  $C \subseteq X$  such that  $X \setminus C$  is also connected;
- (ii) connected components C of  $X \setminus F$  for some finite connected  $F \subseteq X$ .

The family of  $\widehat{C} \subseteq \widehat{X}$  for all (infinite) such C form a neighborhood basis for each end  $\xi \in \partial X$ .

*Proof.* Clearly (ii)  $\Longrightarrow$  (i); to see the converse, let F be the outer boundary of C together with finitely many paths in  $X \setminus C$  to make F connected. That such  $\widehat{C}$  form a basis for ends is the trivial n = 1 case of Lemma 2.11 below.

**Definition 2.10.** For a finite connected  $F \subseteq X$ , we call the components of  $X \setminus F$  the sides of F.

For  $n \in \mathbb{N}^+$ , an *n*-furcation is a finite (nonempty) connected set  $F \subseteq X$  with at least n infinite sides. An *n*-furcation vertex is a vertex x such that  $\{x\}$  is an n-furcation. When n = 2, 3, we say **bifurcation**, **trifurcation** respectively. (Note that a trifurcation is also a bifurcation.)

**Lemma 2.11.**  $|\partial X| \geq n$  iff there is at least one n-furcation. In that case, for any n distinct ends  $u_1, \ldots, u_n \in \partial X$  and clopen neighborhoods  $u_i \in \widehat{A}_i \subseteq \widehat{X}$ , there is an n-furcation F and distinct sides  $C_i \subseteq X \setminus F$  of it such that  $u_i \in \widehat{C}_i \subseteq \widehat{A}_i$ . (In other words, the products of distinct sides of n-furcations form a neighborhood basis for each pairwise distinct  $(u_1, \ldots, u_n) \in (\partial X)^n$ .)

Proof. If there is an n-furcation, then (the closures of) its  $\geq n$  infinite sides each contain an end (by Remark 2.6). Conversely, if  $u_1, \ldots, u_n$  are distinct ends, each contained in a clopen neighborhood  $\widehat{A}_i$ , then we may find  $u_i \in \widehat{B}_i \subseteq \widehat{A}_i$  such that the  $\widehat{B}_i$  are pairwise disjoint, and let F be the union of the outer boundaries of the  $B_i$  together with finitely many paths to make F connected; then the  $u_i$  must belong to (the closures of) distinct sides  $C_i$  of F, whence F is an n-furcation.

**Definition 2.12.** Let  $f: X \to Y$  be a map between the vertex sets of two connected locally finite graphs (X, G) and (Y, H). We extend f by continuity to a (partial) map

$$\widehat{f}: \widehat{X}^G \longrightarrow \widehat{Y}^H$$
 
$$\xi \longmapsto \lim_{X\ni x\to \xi} f(x),$$

where this limit exists; it clearly exists and equals f(x) for vertices  $x \in X$ . If it also exists for every end  $\xi \in \partial^G X$ , we call  $\widehat{f}$  the **map induced by** f (it is then automatically continuous). When (X, G) is a subgraph of (Y, H), we denote the inclusion map by  $\iota : X \to Y$  and the induced map by  $\widehat{\iota} : \widehat{X}^G \to \widehat{Y}^H$ .

**Lemma 2.13.** If  $f:(X,G) \to (Y,H)$  is a finite-to-one graph homomorphism, in particular if f is the inclusion of a subgraph, then the induced map  $\widehat{f}$  exists, and restricts to a map  $\partial^G X \to \partial^H Y$ .

Proof. As  $X \ni x \to \xi \in \partial X$ , f(x) cannot cluster around a vertex  $y \in Y$ , since  $A := f^{-1}(y) \subseteq X$  is finite and so  $\widehat{X \setminus A}$  is a neighborhood of  $\xi$  which f maps to  $Y \setminus \{y\}$ . It remains to rule out the possibility that f(x) clusters around two distinct ends  $\zeta_1, \zeta_2 \in \partial Y$ . Indeed, let  $\widehat{A} \subseteq \widehat{Y}$  be a clopen set such that  $\zeta_1 \in \widehat{A} \not\supseteq \zeta_2$ , with  $A \subseteq Y$  boundary-finite. Since f is a graph homomorphism, f maps the inner boundary of  $f^{-1}(A)$  into that of A; since f is also finite-to-one,  $f^{-1}(A)$  thus has finite boundary, and so either  $\widehat{f^{-1}(A)} \subseteq \widehat{X}$  or its complement is a neighborhood of  $\xi$ , but not both, which means f(x) cannot cluster around both  $\zeta_1 \in \widehat{A}$  and  $\zeta_2 \in \widehat{Y} \setminus \widehat{A}$  as  $x \to \xi$ .

**Example 2.14.** If R is the infinite ray graph  $0 - 1 - 2 - \cdots$  on vertices  $\mathbb{N}$ , then an injective graph homomorphism  $f: (\mathbb{N}, R) \to (X, G)$  takes the unique end of R to an end of G. It is easily seen that every end of G can be approached along a ray in this way; thus, ends may be equivalently represented as certain equivalence classes of rays.

**Example 2.15.** Even for the inclusion  $\iota:(X,G)\to (Y,H)$  of a subgraph, with either the same vertex set X=Y and a subset of edges  $G\subseteq H$ , or the induced subgraph G=H|X on a subset of vertices  $X\subseteq Y$ , there is no reason for the induced map  $\widehat{\iota}:\partial^G X\to \partial^H Y$  to be injective. The square lattice graph on  $Y=\mathbb{Z}^2$  is one-ended; by removing either vertices or edges, we can turn it into a tree with  $2^{\aleph_0}$  ends.

**Lemma 2.16.** Under the assumptions of Lemma 2.13, if also  $f^{-1}$  preserves (nonempty) connected subsets (it is enough to check 1- and 2-element subsets), then  $\hat{f}$  restricts to a homeomorphism  $\partial^G X \cong \partial^H Y$ .

Proof. Recall from Definition 2.1 that "connected" includes "nonempty"; thus f is surjective, hence so is  $\widehat{f}$  by the density of  $Y \subseteq \widehat{Y}^H$ . To check injectivity: let  $\xi, \zeta \in \partial X$  such that  $\widehat{f}(\xi) = \widehat{f}(\zeta)$ . Then for any finite connected  $F \subseteq X$ , since f is a graph homomorphism,  $f(F) \subseteq Y$  is still connected (and finite); and  $\widehat{f}(\xi) = \widehat{f}(\zeta)$  lies on one side  $D \subseteq Y \setminus f(F)$  of it. Since  $f^{-1}$  preserves connectedness,  $f^{-1}(D) \subseteq X \setminus f^{-1}(f(F)) \subseteq X \setminus F$  is contained in one side of F, which thus contains both  $\xi, \zeta$ . So  $\xi, \zeta$  lie on the same side of every finite connected F, whence  $\xi = \zeta$  (by Lemma 2.9).

**Definition 2.17.** For a possibly disconnected locally finite graph (X, G), we define its **end compactification**, respectively **end space**, to be the disjoint union of those of its components:

$$\widehat{X} = \widehat{X}^G := \bigsqcup_{C \in X/G} \widehat{C}^G,$$

$$\partial X = \partial^G X := \bigsqcup_{C \in X/G} \partial^G C = \widehat{X}^G \setminus X.$$

Note that these are locally compact spaces. The notions of n-furcation and side are interpreted as in Definition 2.10 within a single G-component.

For a map  $f: X \to Y$  between the vertex sets of two such graphs (X, G), (Y, H), we define the induced map  $\hat{f}: \hat{X}^G \to \hat{Y}^H$  componentwise (i.e., on  $\hat{C}^G$  for each  $C \in X/G$ ) as in Definition 2.12. This map is guaranteed to exist everywhere if the conditions of Lemma 2.13 are satisfied componentwise, i.e.,  $f|C:C\to Y$  is a finite-to-one graph homomorphism for each  $C \in X/G$ .

2.C. Weighted graphs and ends. We denote by  $\mathbb{R}^+$  the multiplicative group of positive reals.

**Definition 2.18.** A weight function on a graph (X, G) is an arbitrary function  $\mathbf{w}: X \to \mathbb{R}^+$ . We often treat **w** as an atomic measure on X, writing  $\mathbf{w}(A) := \sum_{x \in A} \mathbf{w}(x)$  for a set  $A \subseteq X$ .

We call a set  $A \subseteq X$  w-finite if  $\mathbf{w}(A) < \infty$ ; otherwise, we call it w-infinite. These notions are respectively called **w-light** and **w-heavy** in percolation theory.

**Definition 2.19.** Let  $\mathbf{w}: X \to \mathbb{R}^+$  be a weight function.

For an arbitrary subset  $A \subseteq X$ , we put

$$\limsup_{A} \mathbf{w} = \limsup_{x \in A} \mathbf{w}(x) := \inf_{\text{finite } F \subseteq A} \sup_{x \in A \backslash F} \mathbf{w}(x) \in [0, \infty].$$

If this quantity is 0, we say A is (w-) vanishing; otherwise A is (w-) nonvanishing. If  $\limsup_{A} \mathbf{w} < \infty$ , we say that A is (w-)bounded, otherwise A is (w-)unbounded.

For an end  $\xi \in \partial X$ , we put

$$\widehat{\mathbf{w}}(\xi) := \limsup_{x \to \xi} \mathbf{w}(x) = \inf_{\widehat{A} \ni \xi} \sup_{x \in A} \mathbf{w}(x) \in [0, \infty]$$

(where  $\widehat{A}$  ranges over clopen neighborhoods of  $\xi$ ). In other words,  $\widehat{\mathbf{w}}:\widehat{X}\to[0,\infty]$  is the minimal upper semicontinuous extension of w. If  $\widehat{\mathbf{w}}(\xi) = 0$ , we say that the end  $\xi$  is (w-)vanishing; otherwise  $\xi$  is (w-)nonvanishing. Similarly, if  $\widehat{\mathbf{w}}(\xi) < \infty$  we say that  $\xi$  is (w-)bounded, otherwise  $\xi$  is (w-)unbounded.

Let

$$\partial_{\mathbf{w}} X = \partial_{\mathbf{w}}^G X := \{ \xi \in \partial X \mid \xi \text{ is } \mathbf{w}\text{-nonvanishing} \}.$$

**Remark 2.20.** By upper semicontinuity,  $\partial_{\mathbf{w}} X = \bigcup_{n} \widehat{\mathbf{w}}^{-1}([1/n, \infty]) \subseteq \partial X$  is an  $F_{\sigma}$  subset. It may not be  $G_{\delta}$ , as in Figure 2.21 where it is a countable dense set. In other words,  $\partial_{\mathbf{w}}X \subseteq \partial X$ with the subspace topology may not be Polish!

The notions of vanishing for sets and ends are related as follows (this is analogous to Remark 2.6):

#### Lemma 2.22.

(a) For an end  $\xi \in \partial X$ ,

$$\widehat{\mathbf{w}}(\xi) = \inf_{\widehat{A} \ni \mathcal{E}} \limsup_{A} \mathbf{w}$$

 $\widehat{\mathbf{w}}(\xi) = \inf_{\widehat{A} \ni \xi} \limsup_{A} \mathbf{w}.$  Thus if  $\xi$  is nonvanishing, then every boundary-finite  $A \subseteq X$  containing  $\xi$  is nonvan-

(b) For an infinite boundary-finite  $A \subseteq X$  contained in a single G-component,

$$\limsup_{A} \mathbf{w} = \max_{\xi \in \widehat{A}} \widehat{\mathbf{w}}(\xi).$$

Thus A is nonvanishing iff it contains a nonvanishing end.

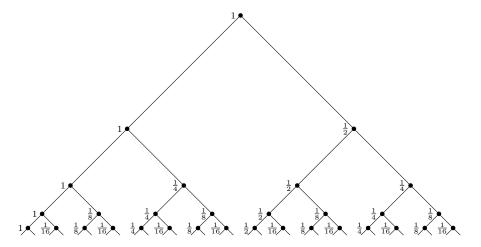


FIGURE 2.21. A weighted tree with set of nonvanishing ends  $F_{\sigma}$  but not  $G_{\delta}$ 

*Proof.* (a) Clearly  $\widehat{\mathbf{w}}(\xi) = \inf_{\widehat{A} \ni \xi} \sup_{A} \mathbf{w} \ge \inf_{\widehat{A} \ni \xi} \limsup_{A} \mathbf{w}$ ; conversely, for each  $\widehat{A} \ni \xi$ , we have  $\widehat{\mathbf{w}}(\xi) = \inf_{\widehat{B} \ni \xi} \sup_{B} \mathbf{w} \le \limsup_{A} \mathbf{w}$ , since A minus any finite set contains a neighborhood B of  $\xi$ .

(b) First, note that by the compactness of  $\widehat{A}$  (because A is contained in a single G-component) and upper semicontinuity of  $\widehat{\mathbf{w}}$ , the maximum is achieved. Now  $\geq$  follows from (a). To show  $\leq$ : if  $\limsup_A \mathbf{w} > 0$ , then there is a sequence of distinct vertices  $x_0, x_1, \ldots \in A$  such that  $\lim_{n\to\infty} \mathbf{w}(x_n) = \limsup_A \mathbf{w}$ ; a subsequence of these converges to some end  $\xi \in \widehat{A}$  with  $\widehat{\mathbf{w}}(\xi) \geq \limsup_A \mathbf{w}$ .

**Remark 2.23.** The converse of the last statement of Lemma 2.22(a) is false, as shown by the example in Figure 2.21. In fact, Lemma 2.22(b) shows that every neighborhood of an end  $\xi$  is nonvanishing iff  $\xi$  belongs to the *closure*  $\overline{\partial_{\mathbf{w}} X} \subseteq \partial X$  of the set of nonvanishing ends.

**Definition 2.24** (cf. Definition 2.10). A **w**-*n*-furcation is a finite connected  $F \subseteq X$  with at least n nonvanishing sides  $C_1, \ldots, C_n \subseteq [F]_G \setminus F$ . A **w**-*n*-furcation vertex is a singleton **w**-*n*-furcation. When n = 2, 3, we say **w**-bifurcation, **w**-trifurcation respectively.

**Lemma 2.25** (cf. Lemma 2.11). For connected G,  $|\partial_{\mathbf{w}}X| \geq n$  iff there is at least one  $\mathbf{w}$ -n-furcation. In that case, for any n distinct  $\mathbf{w}$ -nonvanishing ends  $u_1, \ldots, u_n \in \partial_{\mathbf{w}}X$  and clopen neighborhoods  $u_i \in \widehat{A}_i \subseteq \widehat{X}$ , there is a  $\mathbf{w}$ -n-furcation F and distinct sides  $C_i \subseteq X \setminus F$  of it such that  $u_i \in \widehat{C}_i \subseteq \widehat{A}_i$ . (In other words, the products of distinct sides of  $\mathbf{w}$ -n-furcations form a neighborhood basis for each pairwise distinct  $(u_1, \ldots, u_n) \in (\partial_{\mathbf{w}}X)^n$ .)

*Proof.* Analogous to Lemma 2.11, using Lemma 2.22 in place of Remark 2.6.

**Lemma 2.26.** Let a finite-to-one homomorphism between connected locally finite graphs  $f:(X,G)\to (Y,H)$  induce  $\widehat{f}:\widehat{X}^G\to \widehat{Y}^H$  as in Lemma 2.13. For a weight function  $\mathbf{w}:X\to\mathbb{R}^+$ , put

$$\sup_{f} \mathbf{w} : Y \longrightarrow \mathbb{R}^{+}$$
$$y \longmapsto \sup_{x \in f^{-1}(y)} \mathbf{w}(x).$$

Then for an end  $\zeta \in \partial^H Y$ ,

(\*) 
$$\widehat{\sup_{f} \mathbf{w}}(\zeta) = \sup_{\xi \in \widehat{f}^{-1}(\zeta)} \widehat{\mathbf{w}}(\xi).$$

Thus for  $\xi \in \partial^G X$ ,

$$\widehat{\mathbf{w}}(\xi) \leq \widehat{\sup_f \mathbf{w}}(\widehat{f}(\xi)).$$

In particular,  $\widehat{f}$  restricts to a map  $\partial_{\mathbf{w}}^G X \to \partial_{\sup_f \mathbf{w}}^H Y$  between spaces of nonvanishing ends.

*Proof.* Both sides of (\*) define the least upper semicontinuous map  $\widehat{Y}^H \to [0, \infty]$  whose composite with  $f: X \to Y \subseteq \widehat{Y}^H$  is  $\geq \mathbf{w}$ .

Corollary 2.27. Let a componentwise finite-to-one homomorphism between (possibly disconnected) locally finite graphs  $f:(X,G)\to (Y,H)$  induce  $\widehat{f}:\widehat{X}^G\to \widehat{Y}^H$  as in Definition 2.17. For weight functions  $\mathbf{w}_G:X\to\mathbb{R}^+$  and  $\mathbf{w}_H:Y\to\mathbb{R}^+$  such that  $\mathbf{w}_G\leq \mathbf{w}_H\circ f$ , we have for each  $\xi\in\partial^G X$ ,

$$\widehat{\mathbf{w}_G}(\xi) \leq \widehat{\mathbf{w}_H}(\widehat{f}(\xi)).$$

In particular,  $\hat{f}$  restricts to a map  $\partial_{\mathbf{w}_G}^G X \to \partial_{\mathbf{w}_H}^H Y$  between spaces of nonvanishing ends.

Proof. For each component  $C \in X/G$  mapping into  $D := [f(C)]_H \in Y/H$ , since  $\mathbf{w}_G \leq \mathbf{w}_H \circ f$ , we have  $\sup_{f \mid C} (\mathbf{w}_G \mid C) \leq \mathbf{w}_H \mid D$ , so we may apply Lemma 2.26 to  $f \mid C : C \to D$ .

In light of Lemma 2.13 and Corollary 2.27, we use the following notion:

**Definition 2.28.** Let (Y, H) be a graph equipped with a weight function  $\mathbf{w}$ . For a subgraph (X, G) of (Y, H), the **canonical maps**  $\partial^G X \to \partial^H Y$  and  $\partial_{\mathbf{w}}^G X \to \partial_{\mathbf{w}}^H Y$  are the restrictions of the map  $\hat{\iota}: \widehat{X}^G \to \widehat{Y}^H$  induced by the inclusion  $\iota: X \to Y$ . We also refer to the  $\iota$ -images of ends of (X, G) as **canonical images**.

Remark 2.29. It will be important in what follows that all of the notions considered in this subsection are homogeneous in  $\mathbf{w}$ , meaning preserved under scaling  $\mathbf{w}$  by any constant in  $\mathbb{R}^+$ .

2.D. Relative weight functions (cocycles) on graphs. In the sequel, we use the notion of w-vanishing sets and ends for graphs equipped with a *relative* weight function w, which we now define. Let (X, G) be a locally finite possibly disconnected graph.

**Definition 2.30.** An  $\mathbb{R}^+$ -valued cocycle or a relative weight function on G is a map  $\mathbf{w}: G \to \mathbb{R}^+$  satisfying the cocycle identity

$$\mathbf{w}(x_0, x_1)\mathbf{w}(x_1, x_2)\cdots\mathbf{w}(x_{n-1}, x_n) = 1$$
 for any cycle  $x_0 G x_1 G \cdots G x_n = x_0$ .

Such **w** then extends uniquely to a cocycle on the induced equivalence relation  $\mathbb{E}_G$ , which we also denote **w**, namely  $\mathbf{w}(x,y) := \mathbf{w}(x_0,x_1)\cdots\mathbf{w}(x_{n-1},x_n)$  for any path  $x=x_0$  G  $x_1$  G  $\cdots$  G  $x_n=y$ .

For vertices x, y in the same component of G, we think of  $\mathbf{w}^y(x) := \mathbf{w}(x, y)$  as the weight of x relative to y. Indeed, the map

$$\mathbf{w}^y := \mathbf{w}(-, y) : [y]_G \longrightarrow \mathbb{R}^+$$

is simply a weight function on the G-component of y, and these weight functions  $\mathbf{w}^y$  and  $\mathbf{w}^z$  for different basepoints y, z in the same G-component are constant multiples of each

other by the cocycle identity  $\mathbf{w}^z = \mathbf{w}^z(y)\mathbf{w}^y$ . Because of this, for a fixed G-component C, **homogeneous** statements about  $\mathbf{w}^b$  do not depend on the choice of the basepoint  $b \in C$ ; for example:

- the definitions of  $\mathbf{w}^b$ -finite,  $\mathbf{w}^b$ -vanishing,  $\mathbf{w}^b$ -n-furcation for sets and ends in C,
- $\mathbf{w}^b(x) < \mathbf{w}^b(y)$  for  $x, y \in C$ ,
- $\min\{\mathbf{w}^b(x), \mathbf{w}^b(y)\} \le \min\{\mathbf{w}^b(u), \mathbf{w}^b(v)\}\$  for  $x, y, u, v \in C$ .

We drop b from the superscript in such (**w**-homogeneous) statements and simply write **w**, e.g. **w**-nonvanishing. In particular, per Remark 2.29, we may use the notions and statements of Section 2.C for a *relative* weight function **w** on G.

2.E. Borel and quasi-pmp graphs and equivalence relations. Let (X, G) be a locally finite Borel graph, i.e., the vertex set X is a standard Borel space, and  $G \subseteq X^2$  is Borel as a set of pairs.

**Remark 2.31.** In general, notions of **end space**, etc., for (X, G) are to be understood in the general sense of disconnected locally finite graphs, as in Definition 2.17. Thus for example,  $\widehat{X}^G$  is typically a nonseparable locally compact Hausdorff space. Note that the topology on  $\widehat{X}^G$  has nothing to do with any compatible Polish topology on X.

**Definition 2.32.** Let  $\mu$  be a probability measure on X.

We say that  $\mu$  is (G-)quasi-invariant (or that G is a quasi-pmp graph) if for every Borel  $\mu$ -null  $A \subseteq X$ ,  $[A]_G$  is still  $\mu$ -null.

For a Borel cocycle  $\mathbf{w}: G \to \mathbb{R}^+$ , we say that  $\mu$  is **w-invariant** if for any Borel sets  $A, B \subseteq X$  and Borel bijection  $\gamma: A \cong B$  with graph contained in G (i.e., perfect G-matching between A, B),

$$\mu(B) = \int_A \mathbf{w}^x(\gamma(x)) d\mu(x).$$

It follows that the same holds for  $\gamma$  with graph contained merely in  $\mathbb{E}_G$ .

In fact, it is enough to require this equation only for countably many Borel bijections  $\gamma$  whose graphs cover G. For instance, if G is the Schreier graph of a Borel action of a countable group  $\Gamma \curvearrowright X$ , then it is enough to require this for  $\gamma$  among the generators of  $\Gamma$ . See [KM04, 8.1, 2.1].

If  $\mu$  is **w**-invariant, then it is clearly quasi-invariant. Conversely, every quasi-invariant  $\mu$  is **w**-invariant for an essentially unique (mod  $\mu$ -null) Borel cocycle **w** :  $G \to \mathbb{R}^+$ , called the **Radon–Nikodym cocycle** of  $\mathbb{E}_G$  with respect to  $\mu$ ; see [KM04, 8.3].

A countable Borel equivalence relation (CBER)  $E \subseteq X^2$  is a Borel equivalence relation with countable classes; see [Kec22] for general background. These are exactly the connectedness relations  $\mathbb{E}_G$  of locally finite Borel graphs G [JKL02, remark after proof of 3.12].

A CBER E on X is called

- smooth if it has a Borel transversal  $A \subseteq X$ , meaning a Borel set containing exactly one element from each E-class.
- hyperfinite if it is an increasing union of finite Borel equivalence relations.
- amenable if there is a sequence of Borel functions  $\lambda_n : E \to [0,1]$  that are summable to 1 on each equivalence class and for all  $(x,y) \in E$  we have that  $\|\lambda_n(x,\cdot) \lambda_n(y,\cdot)\|_1 \to 0$  as n tends to infinity.

• treeable if it admits an acyclic graphing, where a graphing of E is a Borel graph G on X whose connectedness relation  $\mathbb{E}_G$  is E.

In the presence of a Borel probability measure  $\mu$  on X, the notions of hyperfinite, amenable, and treeable are relaxed to  $\mu$ -hyperfinite,  $\mu$ -amenable, and  $\mu$ -treeable by demanding that the the corresponding property holds off of a  $\mu$ -null set. We also use the notions of  $\mu$ -amenability and  $\mu$ -hyperfiniteness interchangeably because they are equivalent by the Connes–Feldman–Weiss theorem [CFW81, KM04, Mar17]. Finally, we often omit  $\mu$  before these terms when it is clear from the context.

**Lemma 2.33.** If (X, E) is a smooth countable Borel equivalence relation,  $\mathbf{w} : E \to \mathbb{R}^+$  is a Borel cocycle, and each E-class is  $\mathbf{w}$ -infinite, then there are no  $\mathbf{w}$ -invariant probability measures.

This fact is well-known; see [Mil08b, 2.1], [Tse22, 5.6]. For the reader's convenience, we include the easy proof.

*Proof.* Let  $A \subseteq X$  be a Borel transversal. By Lusin-Novikov uniformization [Kec95, 18.10], there are Borel maps  $\gamma_0, \gamma_1, \ldots : A \to X$  such that for each  $x \in A$ ,  $(\gamma_i(x))_i$  is an injective enumeration of  $[x]_E$ . Then for any **w**-invariant  $\mu$ ,

$$\mu(X) = \sum_{i} \mu(\gamma_i(A)) = \sum_{i} \int_{A} \mathbf{w}^x(\gamma_i(x)) d\mu(x) = \int_{A} \sum_{y \in [x]_E} \mathbf{w}^x(y) d\mu(x) = \int_{A} \infty d\mu(x). \square$$

#### 3. w-maximal subforests

In this section, we present our main cycle-cutting algorithm mentioned in Remark 1.7. We do so in several stages, starting with a connected locally finite graph equipped with a relative weight function and building our way up to locally finite quasi-pmp graphs.

3.A. For a connected graph with enough trifurcation vertices. Throughout this subsection, we let (X, G) be a connected locally finite graph with a relative weight function  $\mathbf{w}: G \to \mathbb{R}^+$ . Fixing a basepoint  $b \in X$ , we get a genuine weight function  $\mathbf{w}^b$  on X, which we use below, omitting the superscript b from  $\mathbf{w}$ -homogeneous statements as they do not depend on the choice of the basepoint b. Especially in this subsection, the reader can think of  $\mathbf{w}$  as a weight function on X without any harm.

**Definition 3.1.** We extend the weight function  $\mathbf{w}^b$  from X to (the edge-set of) G by setting

$$\widetilde{\mathbf{w}}^b(e) := \min\{\mathbf{w}^b(x), \mathbf{w}^b(y)\}$$

for an edge  $e = \{x, y\} \in G$ . Fix also an arbitrary linear ordering < on the *undirected G*-edges. Define a new linear ordering on the undirected G-edges as follows: for  $e_1, e_2 \in G$ ,

$$e_1 <_{\mathbf{w}} e_2 :\iff \widetilde{\mathbf{w}}(e_1) < \widetilde{\mathbf{w}}(e_2) \text{ or } [\widetilde{\mathbf{w}}(e_1) = \widetilde{\mathbf{w}}(e_2) \& e_1 < e_2].$$

We emphasize that the definition of  $<_{\mathbf{w}}$  does not depend on the basepoint b.

**Definition 3.2.** Let < be a linear ordering on the set of edges of G, and let  $H \subseteq G$  be an acyclic subgraph. The **w-maximal subforest of** G (fixing H, with tiebreaker <) is the subforest  $H \subseteq M \subseteq G$  obtained from G by deleting the  $<_{\mathbf{w}}$ -least edge not in H from each simple cycle.

All of our analysis of this subforest will be based on an abstract property it obeys, Lemma 3.4 below, which relates the subforest to the following notion:

**Definition 3.3.** A subset  $Y \subseteq X$  is (G-)cycle-invariant if whenever it contains an edge in a simple G-cycle, it also contains the entire cycle.

For example, for any bifurcation vertex  $x \in X$  and side  $C \subseteq X \setminus \{x\}$  of x (cf. Definition 2.10), the subset  $C \cup \{x\}$  is cycle-invariant. (This also trivially holds for non-bifurcation vertices.)

**Lemma 3.4.** For any G-connected cycle-invariant  $Y \subseteq X$ , if the  $\mathbf{w}$ -maximal subforest M is such that M|Y is disconnected, then every M|Y-component is  $\mathbf{w}$ -nonvanishing. In particular, if Y is  $\mathbf{w}$ -nonvanishing, then so is every M|Y-component.

*Proof.* Note the following key property of the **w**-maximal subforest construction: if we restrict both G and H to a G-cycle-invariant set Y (keeping the same relative weights **w** and tiebreaker <), the maximal subforest we obtain is M|Y. Thus we may assume that Y = X.

We will show that if M is disconnected, then the G-edge boundary of every M-component C is  $\mathbf{w}$ -nonvanishing. This will imply that C is itself  $\mathbf{w}$ -nonvanishing, by local finiteness and our definition of the weight of an edge as the minimum of the weights of the incident vertices.

Since M is disconnected, there is an edge e in  $G \setminus M$  between C and another M-component. For any such edge e, since e was deleted in M, it is the  $<_{\mathbf{w}}$ -least edge not in H in a simple G-cycle, which must thus contain another edge between C and another M-component, which is  $>_{\mathbf{w}} e$  and also not in H. Hence, there is a strictly  $<_{\mathbf{w}}$ -increasing sequence  $e_0 <_{\mathbf{w}} e_1 <_{\mathbf{w}} \cdots$  on the G-edge boundary of C. Passing to a subsequence (using local finiteness), we may assume these edges are pairwise disjoint (nonadjacent). Then the endpoints of these edges in C are infinitely many vertices  $x_0, x_1, \ldots$  on the inner boundary of C with  $\mathbf{w}(x_i) \geq \widetilde{\mathbf{w}}(e_i) \geq \widetilde{\mathbf{w}}(e_0)$ , where the first inequality is again due to the weight of  $e_i$  is defined to be the minimum of that of its endpoints. Whence C is  $\mathbf{w}$ -nonvanishing.

**Observation 3.5.** Note that the above proof of Lemma 3.4 exhibits something stronger, namely if our cycle-cutting algorithm (Definition 3.2) disconnects a cycle-invariant G-connected set Y then there is a  $<_{\mathbf{w}}$ -increasing sequence of pairwise disjoint edges on the boundary of each M|Y-component.

In the rest of this subsection, we will prove various combinatorial properties of the  $\mathbf{w}$ -maximal subforest M; these proofs will only make use of Lemma 3.4, and not any other specific features of our construction. We therefore make the following

**Hypothesis 3.6.** Let  $M \subseteq G$  be any subforest for which Lemma 3.4 holds.

One benefit of isolating this abstract property is:

**Observation 3.7.** If Lemma 3.4 holds for M, then it continues to hold if we replace  $\mathbf{w}$  by a different relative weight function  $\mathbf{w}'$  such that every  $\mathbf{w}$ -nonvanishing subset is also  $\mathbf{w}'$ -nonvanishing. In particular, we may take  $\mathbf{w}' \equiv 1$ , yielding that the following results also hold for unweighted ends.

**Lemma 3.8.** If (X,G) has a **w**-trifurcation vertex x, then the M-component of x has at least 3 **w**-nonvanishing M-ends.

*Proof.* For each of the at least 3 w-nonvanishing sides  $C \subseteq X \setminus \{x\}$  of x, we have a G-connected cycle-invariant set  $C \cup \{x\} \subseteq X$ , whence the  $M|(C \cup \{x\})$ -component of x is

**w**-nonvanishing by Lemma 3.4, whence x is a **w**-trifurcation in its M-component, which therefore has at least 3 **w**-nonvanishing ends (by Lemma 2.25).

**Lemma 3.9.** Suppose every **w**-nonvanishing boundary-finite  $A \subseteq X$  containing a **w**-bifurcation (of (X,G)) also contains a **w**-bifurcation vertex (of (X,G)). Then the canonical map  $\partial_{\mathbf{w}}^{M}X \to \partial_{\mathbf{w}}^{G}X$  (Definition 2.28) has dense image.

Proof. If  $\partial_{\mathbf{w}}^G X \neq \emptyset$ , then  $\partial_{\mathbf{w}}^M X \neq \emptyset$  by Lemma 3.4 (and Lemma 2.22); this proves the case  $|\partial_{\mathbf{w}}^G X| = 1$ . Now suppose  $|\partial_{\mathbf{w}}^G X| \geq 2$ . Then a basic open set in  $\partial_{\mathbf{w}}^G X$  is given by  $\partial_{\mathbf{w}}^G X \cap \widehat{A}$  for a side A of a **w**-bifurcation (Lemma 2.25). Let  $F \subseteq A$  be finite connected and containing the inner boundary of A; then each side of F is contained in either A or  $X \setminus A$ , and so F is a **w**-bifurcation. So A contains a **w**-bifurcation, hence also contains a **w**-bifurcation vertex x. At most one nonvanishing side  $D \subseteq X \setminus \{x\}$  of x can contain the G-connected set  $X \setminus A$ ; thus at least one nonvanishing side C of x is disjoint from  $X \setminus A$ , hence contained in A. So A contains the nonvanishing G-connected cycle-invariant set  $C \cup \{x\}$ , which has a nonvanishing M-end by Lemma 3.4 whose canonical image is in  $\widehat{A}$ .

**Definition 3.10.** The Cantor-Bendixson derivative of a topological space X is the closed subspace  $X' \subseteq X$  of nonisolated points.

**Lemma 3.11.** Suppose every **w**-nonvanishing boundary-finite  $A \subseteq X$  containing a **w**-trifurcation (of (X,G)) also contains a **w**-trifurcation vertex (of (X,G)). Then every neighborhood of a nonisolated **w**-nonvanishing G-end  $\xi$  contains the canonical images of two distinct **w**-nonvanishing M-ends from a single M-component with at least 3 **w**-nonvanishing M-ends. In particular, if Y denotes the union of M-components with at least 3 **w**-nonvanishing M-ends, then the canonical image of  $\partial_{\mathbf{w}}^{M}Y$  is a dense subset of  $(\partial_{\mathbf{w}}^{G}X)'$ .

Proof. Let  $\widehat{A}$  be a neighborhood of  $\xi$ ; hence A is nonvanishing. As in the preceding lemma, we may assume that A is a side of a **w**-bifurcation. Since  $\xi$  is nonisolated in  $\partial_{\mathbf{w}}^G X$ , G|A has infinitely many nonvanishing ends. By applying Lemma 2.25 to three distinct nonvanishing ends of G|A and clopen neighborhoods of them disjoint from the inner G-boundary of A, we get a finite connected  $F \subseteq A$  containing the inner G-boundary of A and with at least 3 nonvanishing sides in A, hence also in X since F contains the inner G-boundary of A. Thus A contains a **w**-trifurcation F, hence also contains a **w**-trifurcation vertex x. Now as in the preceding lemma, at most one nonvanishing side of x can contain  $X \setminus A$ , hence at least two nonvanishing sides are contained in A, each of which has a nonvanishing M-end whose canonical image is in  $\widehat{A}$ .

3.B. For general connected graphs. Given a connected locally finite graph (X, G) with a relative weight function  $\mathbf{w}$  and with many  $\mathbf{w}$ -nonvanishing ends, there may not be any  $\mathbf{w}$ -(bi/tri)furcation vertices. Our goal now is to "collapse" enough  $\mathbf{w}$ -(bi/tri)furcation sets into  $\mathbf{w}$ -(bi/tri)furcation vertices, and then apply the analysis of the preceding subsection to the resulting quotient graph.

The construction below is **w**-homogeneous, so we present it for a genuine weight function  $\mathbf{w}: X \to \mathbb{R}^+$  instead of a relative weight function, to avoid notational complications. Formally, the construction is done for  $\mathbf{w}^b$ , where  $b \in X$  is a fixed basepoint, observing that it does not depend on the choice of b.

**Definition 3.12.** Let (X, G) be a connected locally finite graph,  $\mathcal{F}$  be a pairwise disjoint family of finite connected subsets  $F \subseteq X$ . Let  $X/\mathcal{F}$  denote the quotient of X identifying all vertices in a single  $F \in \mathcal{F}$ ; formally,

$$X/\mathcal{F} := \mathcal{F} \cup \{\{x\} \mid x \in X \setminus \bigcup \mathcal{F}\}.$$

Let  $G/\mathcal{F}$  denote the G-adjacency graph on  $X/\mathcal{F}$ : for  $F, F' \in X/\mathcal{F}$ ,

$$F G/\mathcal{F} F' : \iff \exists x \in F, y \in F'(x G y).$$

We call  $(X/\mathcal{F}, G/\mathcal{F})$  the **quotient graph** of (X, G) by  $\mathcal{F}$ .

Given a weight function  $\mathbf{w}: X \to \mathbb{R}^+$ , let  $\mathbf{w}/\mathcal{F}: X/\mathcal{F} \to \mathbb{R}^+$  be  $\sup_{\pi} \mathbf{w}$  as defined in Lemma 2.26, where  $\pi: X \twoheadrightarrow X/\mathcal{F}$  is the quotient map; that is,

$$(\mathbf{w}/\mathcal{F})(F) := \max_{x \in F} \mathbf{w}(x).$$

By Lemma 2.16,  $\pi$  induces a homeomorphism

$$\widehat{\pi}: \partial^G X \cong \partial^{G/\mathcal{F}}(X/\mathcal{F}),$$

which by Lemma 2.26 takes  $\widehat{\mathbf{w}}: \partial^G X \to [0, \infty]$  to  $\widehat{\mathbf{w}/\mathcal{F}}: \partial^{G/\mathcal{F}}(X/\mathcal{F}) \to [0, \infty]$ , thus restricts to

(3.13) 
$$\widehat{\pi}: \partial_{\mathbf{w}}^G X \cong \partial_{\mathbf{w}/\mathcal{F}}^{G/\mathcal{F}}(X/\mathcal{F}).$$

**Definition 3.14.** Let (X, G) be a connected locally finite graph with a weight function  $\mathbf{w}: X \to \mathbb{R}^+$ . Consider the following method for choosing a family  $\mathcal{F}$  as above:

- (1) First, take a maximal disjoint family of w-trifurcations.
- (2) Next, enlarge to a maximal disjoint family of  $\mathbf{w}$ -bifurcations.
- (3) Finally, enlarge to a maximal disjoint family of (ordinary) bifurcations.

Let  $M_{\mathcal{F}} \subseteq G/\mathcal{F}$  be a  $\mathbf{w}/\mathcal{F}$ -maximal subforest constructed according to Definition 3.2, with respect to some (unspecified) fixed subforest  $H \subseteq G/\mathcal{F}$  and tiebreaker linear ordering < on the undirected  $G/\mathcal{F}$ -edges.

Finally, let  $M \subseteq G$  be a subgraph defined by arbitrarily choosing a spanning tree on each (bi/tri)furcation  $F \in \mathcal{F}$ , and for each  $M_{\mathcal{F}}$ -edge between two different  $F, F' \in X/\mathcal{F}$ , arbitrarily choosing a single G-edge between them (which exists by the definition of  $G/\mathcal{F} \supseteq M_{\mathcal{F}}$ ).

It is easily seen that M is then a forest, and that  $M_{\mathcal{F}} = M/\mathcal{F}$  (with each  $F \in \mathcal{F}$  an M-tree). The respective spaces of **w**-nonvanishing ends are related as follows:

(3.15) 
$$\partial_{\mathbf{w}}^{M} X \xrightarrow{\widehat{\iota}} \partial_{\mathbf{w}}^{G} X$$

$$\widehat{\pi}^{M} \downarrow_{\mathbb{R}} \qquad \qquad \mathbb{R} \downarrow_{\widehat{\pi}^{G}}$$

$$\partial_{\mathbf{w}}^{M/\mathcal{F}} (X/\mathcal{F}) \xrightarrow{\widehat{\iota/\mathcal{F}}} \partial_{\mathbf{w}}^{G/\mathcal{F}} (X/\mathcal{F})$$

Here, the horizontal maps are the canonical maps induced by the subgraph inclusions  $\iota:(X,M)\to (X,G)$  and  $\iota/\mathcal{F}:(X/\mathcal{F},M/\mathcal{F})\to (X/\mathcal{F},G/\mathcal{F})$  (which preserve **w**-nonvanishing ends by Corollary 2.27), while the vertical homeomorphisms are induced by the quotient map  $\pi:X\to X/\mathcal{F}$  as in (3.13). Since clearly  $(\iota/\mathcal{F})\circ\pi=\pi\circ\iota$ , this square commutes.

We now have the following main result, summarizing the end-preservation properties of the maximal subforest construction for a single connected graph: **Theorem 3.16.** Let (X, G) be a connected locally finite graph with positive weight function  $\mathbf{w}: X \to \mathbb{R}^+$ . The "collapsed maximal subforest"  $M \subseteq G$  constructed in Definition 3.14 has the following properties, where  $\iota: (X, M) \to (X, G)$  is the inclusion:

- (a)  $\hat{\iota}: \partial^M X \to \partial^G X$  has dense image, as does its restriction  $\hat{\iota}: \partial^M_{\mathbf{w}} X \to \partial^G_{\mathbf{w}} X$ .
- (b) If G has at least 3 w-nonvanishing ends, then so does at least one component of M.
- (c) Every neighborhood of a nonisolated **w**-nonvanishing G-end contains the canonical images of at least 2 distinct **w**-nonvanishing M-ends from a single M-component with at least 3 **w**-nonvanishing M-ends.

*Proof.* By Lemmas 3.8, 3.9 and 3.11,  $\widehat{\iota/\mathcal{F}}$  has the claimed properties, given our choice of  $\mathcal{F}$  in Definition 3.14; hence so does  $\widehat{\iota}$  since the above square commutes. (To see the first part of (a), apply Lemma 3.9 with  $\mathbf{w}$  replaced by the constant function 1 (as noted in Observation 3.7); the hypothesis of Lemma 3.9 is still satisfied, by Definition 3.14(3).)

One might expect that the properties stated in Theorem 3.16 can be strengthened in various ways; for instance, perhaps one could demand more of the M-components than merely "at least 3 nonvanishing ends". Indeed, we will show below that more can be said for almost every component of a quasi-pmp graph (see Corollary 3.22). However, the following shows that there are limitations to such strengthenings.

**Example 3.17** (Windmill graph). Let (X, G) be the graph depicted in Figure 3.18. Each "blade" of the windmill is a quadrant of the square lattice graph on  $\mathbb{Z}^2$ . The weight function  $\mathbf{w}$  is constant 1; thus all ends are nonvanishing. The big dot vertices are trifurcations, and already form a maximal disjoint family of bifurcations  $\mathcal{F}$  as in Definition 3.14; thus there is no need to collapse. The tiebreaker linear ordering < is chosen so that each "row" of dotted edges is strictly increasing, and each dotted edge is < each solid edge. Then the solid edges are precisely those that belong to the maximal subforest M.

Now the original end space  $\partial^G X$  of this graph is perfect (has no isolated points). But each M-component is just 3 rays joined at their basepoint, hence has exactly 3 ends. This shows that Theorem 3.16(c) is best possible in some sense. Moreover, by removing some of the "blades" from G, we can cause M to have infinitely many 2-ended components, thereby showing that Theorem 3.16(b) cannot be strengthened to "every component of M".

3.C. For Borel and quasi-pmp graphs. Let (X, G) be a locally finite Borel graph equipped with a Borel cocycle  $\mathbf{w}: G \to \mathbb{R}^+$ . We recall from Remark 2.31 that  $\partial^G X$ ,  $\partial^G_{\mathbf{w}} X$ , etc. are interpreted as the (uncountable) disjoint unions of the end spaces of all components.

**Theorem 3.19.** Let (X,G) be a locally finite Borel graph,  $\mathbf{w}: G \to \mathbb{R}^+$  be a Borel cocycle. There is a Borel subforest  $M \subseteq G$  with the following properties, where  $\iota: (X,M) \to (X,G)$  is the inclusion:

- (a) The induced  $\hat{\iota}: \partial^M X \to \partial^G X$  has dense image, as does its restriction  $\hat{\iota}: \partial^M_{\mathbf{w}} X \to \partial^G_{\mathbf{w}} X$ .
- (b) Each G-component  $C \in X/G$  with  $\geq 3$  nonvanishing G-ends contains at least one M-component with  $\geq 3$  nonvanishing M-ends.
- (c) For every nonisolated nonvanishing G-end  $\xi$ , every clopen neighborhood  $\widehat{A}$  of  $\xi$  contains the canonical image of at least two distinct nonvanishing M-ends from a single M-component with at least 3 nonvanishing M-ends.

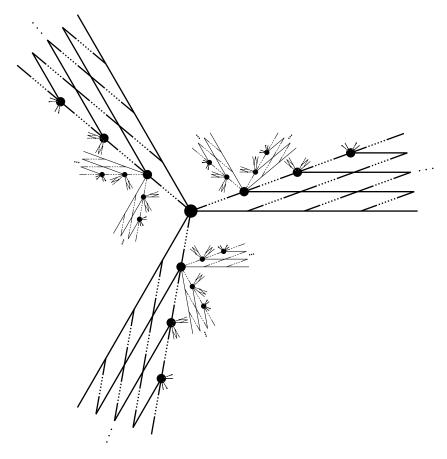


FIGURE 3.18. Windmill graph described in Example 3.17

*Proof.* This follows from implementing the algorithm of Definition 3.14 in a Borel manner on each G-component. In detail, the maximal family  $\mathcal{F}$  of furcations in that algorithm may be chosen in a Borel manner (see [KM04, 7.3]), since the notions of "w-trifurcation", etc., are clearly Borel. This yields a finite, hence smooth, Borel subequivalence relation  $\sim_{\mathcal{F}} \subseteq \mathbb{E}_{G}$ , whose standard Borel quotient  $X/\sim_{\mathcal{F}}$  yields on each G-component the quotient  $X/\mathcal{F}$  from Definition 3.12.

Let  $Y \subseteq X$  be a Borel transversal for  $\sim_{\mathcal{F}}$ , choosing from each  $F \in X/\mathcal{F}$  a single element with maximum  $\mathbf{w}$ -weight (i.e., maximum  $\mathbf{w}^x$ -weight for any  $x \in F$ ). Define now the cocycle  $\mathbf{w}/\mathcal{F}$  on  $G/\mathcal{F} \subseteq (X/\mathcal{F})^2$ , by identifying  $X/\mathcal{F}$  with Y and then taking the restriction of  $\mathbf{w} : \mathbb{E}_G \to \mathbb{R}^+$  to Y. In other words, for  $F, F' \in X/\mathcal{F}$ , we define  $(\mathbf{w}/\mathcal{F})(F, F')$  to be  $\mathbf{w}(x,y)$  for  $\mathbf{w}$ -heaviest elements  $x \in F$  and  $y \in F'$ . Then for  $\mathbf{w}$ -heaviest x in F, the weight function  $(\mathbf{w}/\mathcal{F})^F : [F]_{G/\mathcal{F}} \to \mathbb{R}^+$  will be exactly the quotient weight function  $\mathbf{w}^x/\mathcal{F}$  from Definition 3.12.

So we have defined a quotient Borel graph  $(X/\mathcal{F}, G/\mathcal{F})$  with cocycle  $\mathbf{w}/\mathcal{F}: G/\mathcal{F} \to \mathbb{R}^+$ , which on each G-component is exactly the quotient graph from Definition 3.12. We may now construct the  $\mathbf{w}/\mathcal{F}$ -maximal subforest  $M/\mathcal{F} \subseteq G/\mathcal{F}$  in a Borel manner as in Definition 3.2 (with any Borel subforest H of  $G/\mathcal{F}$ , e.g.,  $H := \emptyset$ , and any Borel tiebreaker linear ordering < on the undirected  $G/\mathcal{F}$ -edges). Finally, lift  $M/\mathcal{F}$  to  $M \subseteq G$  as in Definition 3.14,

choosing the finite spanning trees and liftings of  $G/\mathcal{F}$ -edges in a Borel manner using Lusin–Novikov uniformization [Kec95, 18.10]. The desired properties of this M are then given by Theorem 3.16.

For a quasi-pmp Borel graph, the above properties of the subforest M may be significantly strengthened on a conull set, due to the following fact (whose analogue in percolation on a unimodular graph is [LS99, Proposition 3.9]):

**Lemma 3.20.** Let (X,G) be a locally finite Borel graph,  $\mathbf{w}: G \to \mathbb{R}^+$  be a Borel cocycle, and  $\mu$  be a  $\mathbf{w}$ -invariant probability measure on X. For a.e. G-component, the space of  $\mathbf{w}$ -nonvanishing ends either has  $\leq 2$  elements or is perfect<sup>6</sup> (has no isolated points).

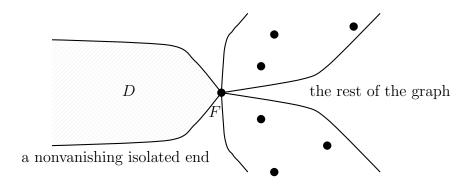


FIGURE 3.21. This is an illustration of the proof of Lemma 3.20, where the large dots represent the maximal disjoint Borel family  $\mathcal{F}$  of w-trifurcations.

*Proof.* Suppose some G-component C has at least 3 **w**-nonvanishing ends, at least one of which is isolated (among **w**-nonvanishing ends). Then any such isolated end  $\xi \in \partial_{\mathbf{w}} C$  belongs to a side  $D \subseteq C \setminus F$  of a **w**-trifurcation F with no other **w**-nonvanishing ends: to see this, apply Lemma 2.25 to  $\xi$ , any neighborhood isolating it, and two other nonvanishing ends. Furthermore, such D then cannot also contain a **w**-trifurcation F' (of C), or else at least two nonvanishing sides of F' would be disjoint from F, yielding at least two nonvanishing ends in D.

Now take a maximal disjoint Borel family  $\mathcal{F}$  of w-trifurcations  $F \subseteq X$  which have at least one side D with exactly one nonvanishing end (which is hence isolated); see Figure 3.21. Let  $Y \subseteq X$  be the union of all such sides D of all  $F \in \mathcal{F}$ . Then each  $y \in Y$  belongs to a unique such D for a unique  $F \in \mathcal{F}$ , since if it also belonged to a one-ended side D' of another  $F' \in \mathcal{F}$ , then either  $F \subseteq D'$  or  $F' \subseteq D$  which is impossible as noted above. Let  $E \subseteq \mathbb{E}_G$  be the equivalence relation on Y whose classes are exactly all such sides D of  $F \in \mathcal{F}$ , hence are nonvanishing. Then E is smooth, since we may choose in a Borel way a nonempty finite subset of each class  $D \in Y/E$ , namely the inner boundary of D (i.e., the vertices adjacent to F). So by Lemma 2.33,  $\mu(Y) = 0$ , and hence  $\mu([Y]_G) = 0$  by quasi-invariance of  $\mu$ . But by maximality of  $\mathcal{F}$ ,  $[Y]_G$  is precisely the union of the G-components with at least 3 w-nonvanishing ends, at least one of which is isolated (among w-nonvanishing ends).  $\square$ 

<sup>&</sup>lt;sup>6</sup>This does not in general imply that it has continuum-many elements because the space may not be Polish; see Remark 2.20.

Corollary 3.22. The subforest  $M \subseteq G$  from Theorem 3.19 additionally obeys the following for every w-invariant probability measure  $\mu$ :

(\*) For a.e. G-component  $C \in X/G$  with  $\geq 3$  nonvanishing G-ends, the space  $\partial_{\mathbf{w}}^{M}D$  of nonvanishing ends in each M-component  $D \subseteq C$  is nonempty and perfect<sup>5</sup>.

Moreover, given a **w**-invariant probability measure  $\mu$  on X which is G-ergodic, then we may choose the subforest M to also make  $\mu$  ergodic.

*Proof.* To conclude (\*) notice that by Lemma 3.20, the union Y of all M-components with at least 3, and at least one isolated, nonvanishing ends is  $\mu$ -null, whence so is  $[Y]_G$  by quasi-invariance of  $\mu$ ; by Theorem 3.19(b),  $[Y]_G$  contains all G-components C not satisfying (\*).

It remains to show that if  $\mu$  is G-ergodic then we can choose M to be  $\mu$  ergodic as well. Recall the identification  $X/\mathcal{F} \cong Y \subseteq X$  from the proof of Theorem 3.19. Since  $Y \subseteq X$  is a complete  $\mathbb{E}_G$ -section, by quasi-invariance of  $\mu$ ,  $\mu(Y) > 0$ . Since  $\mu$  was G-ergodic and  $\mathbf{w}$ -invariant,  $\mu|Y$  is  $\mathbb{E}_G|Y$ -ergodic (again by quasi-invariance of  $\mu$ ) and  $\mathbf{w}|Y$ -invariant, hence corresponds to a  $G/\mathcal{F}$ -ergodic and  $\mathbf{w}/\mathcal{F}$ -invariant measure on  $X/\mathcal{F}$ , which we denote  $\mu/\mathcal{F}$ . Now in the proof of Theorem 3.19, when constructing the maximal subforest  $M/\mathcal{F} \subseteq G/\mathcal{F}$  as in Definition 3.2, take the fixed subforest H to be a  $\mu/\mathcal{F}$ -ergodic hyperfinite subforest, which exists by [Tse22, Theorem 1.3] (which gives an ergodic hyperfinite subgraph, but every such subgraph contains a Borel treeing by [Mil08a, Lemma 2.4]). This ensures that  $M/\mathcal{F}$  is also  $\mu/\mathcal{F}$ -ergodic, which easily implies that its lift M (as in the proof of Theorem 3.19) is  $\mu$ -ergodic.

Corollary 3.22 together with Theorem 1.3 yields:

Corollary 3.23 (Theorem 1.4). Let G be a locally finite quasi-pmp Borel graph on a standard probability space  $(X, \mu)$  and let  $\mathbf{w} : \mathbb{E}_G \to \mathbb{R}^+$  be the Radon-Nikodym cocycle of  $\mathbb{E}_G$  with respect to  $\mu$ . If every connected component of G admits  $\geq 3$  w-nonvanishing ends, then G is  $\mu$ -nowhere amenable. In fact, G contains a  $\mu$ -nowhere amenable Borel subforest.

The main content of Corollary 3.23 is to provide a witness to nowhere amenability of the graph G. The nowhere amenability itself follows more easily:

**Proposition 3.24.** Let G be a locally finite quasi-pmp Borel graph on a standard probability space  $(X, \mu)$  and let  $\mathbf{w} : \mathbb{E}_G \to \mathbb{R}^+$  be the Radon-Nikodym cocycle of  $\mathbb{E}_G$  with respect to  $\mu$ . If each G-component contains  $\geq 3$   $\mathbf{w}$ -nonvanishing ends then G is  $\mu$ -nowhere amenable.

*Proof.* Suppose towards the contradiction that G is amenable on an  $\mathbb{E}_{G}$ -invariant Borel set of positive measure. By restricting to that set, we may assume that G is amenable. Then there exists a Borel treeing  $T \subseteq G$  that spans every component of G. By Theorem 1.3, it is enough to show that each T-component has  $\geq 3$  w-nonvanishing ends, which follows immediately from Lemma 2.26 applied to the identity map from T to G. However, we also give a direct proof of this fact.

Let C be a T-component and let  $F \subseteq C$  be a  $\mathbf{w}$ -trifurcation of G. Each  $\mathbf{w}$ -nonvanishing side D of F in G still has finite boundary in T, so D must contain a  $\mathbf{w}$ -nonvanishing end of T|C (by the local finiteness of T). Since F has  $\geq 3$   $\mathbf{w}$ -nonvanishing sides, there are  $\geq 3$   $\mathbf{w}$ -nonvanishing ends in T|C.

#### 4. Maximal forest as a random subgraph

We connect the construction of a maximal subforest of a connected locally finite graph from Section 3 to the study of random spanning forests, in particular the Free Minimal Spanning Forest (FMSF) [LPS06]. We show that our construction of a maximal subforest yields the natural extension of FMSF for nonunimodular graphs. We first give a brief introduction to random spanning forests and their importance in percolation theory, after which we quickly review nonunimodular graphs and present our construction of the Free Maximal Spanning Forest for such graphs, as well as its properties.

Throughout this section, let G := (V, E) denote a locally finite graph.

# 4.A. Random spanning forests and percolation theory. Classically, the Free Minimal Spanning Forest FMSF(G) on the graph G := (V, E) is a random subforest of G constructed as follows:

- Let  $\{U_e\}_{e\in E}$  be a collection of independent random variables with Uniform[0, 1] distribution. Notice that almost surely we have  $U_e \neq U_{e'}$  for each pair of distinct edges e and e'.
- For each cycle in G, delete the edge e with the largest value of the label  $U_e$ .

In other words, for each  $e \in E$ , we have  $e \in \text{FMSF}(G)$  if and only if each cycle containing e also contains another edge e' with  $U_e < U_{e'}$ .

The Wired Minimal Spanning Forest WMSF(G) is constructed similarly, but bi-infinite paths are also considered to be cycles.

A bond percolation process on G is a probability measure  $\mathbf{P}$  on  $2^E$ . We refer to elements  $\omega \in 2^E$  as **configurations** and we say that an edge  $e \in E$  is **present** (or **open**) in  $\omega$  if  $e \in \omega$  (we think of  $\omega$  as a subset of E). The connected components of  $\omega$  are called **clusters**. Finally, for a subgroup  $\Gamma \leq \operatorname{Aut}(G)$ , we say that percolation  $\mathbf{P}$  is  $\Gamma$ -invariant if the measure  $\mathbf{P}$  is invariant under the diagonal action of  $\Gamma$  on G. For  $p \in [0,1]$ , a bond percolation process on G is called Bernoulli(p) if every edge is present in a configuration independently with probability p. We denote the measure associated with Bernoulli(p) bond percolation by  $\mathbb{P}_p$ . Henceforth, we will drop the word "bond" as we never use other kinds of percolations.

A central interest in percolation theory is the number of infinite components in a configuration. Classically, in Bernoulli(p) percolation on transitive graphs, by [BS96, Theorem 3] this number is constant a.s., and can take values only in  $\{0, 1, \infty\}$ . In fact, there are two phase transitions that occur at the following critical values:

- $(4.1) p_c(G) := \inf\{p \in [0,1] : \mathbb{P}_p(\text{there is an infinite cluster}) = 1\},$
- $(4.2) p_u(G) := \inf\{p \in [0,1] : \mathbb{P}_p(\text{there is exactly one infinite cluster}) = 1\}.$

For  $p \in (0, p_c)$  the configurations contain only finite clusters  $\mathbb{P}_p$ -a.s., for  $p \in (p_c, p_u)$  there are infinitely many infinite clusters, and for  $p \in (p_u, 1]$  there is a unique infinite cluster.

The study of FMSF and WMSF is closely connected to percolation theory. For example, [LPS06, Proposition 3.6] shows that for any graph G we have that  $p_c(G) = p_u(G)$  if and only if FMSF(G) = WMSF(G). It relates these random forests to a famous conjecture of Benjamini and Schramm [BS96, Conjecture 6], which says that a quasi-transitive<sup>7</sup> graph G is amenable if and only if  $p_c(G) = p_u(G)$ .

<sup>&</sup>lt;sup>7</sup>A graph G is called **quasi-transitive** if the natural action of Aut (G) group on it has finitely many orbits.

4.B. Unimodular and nonunimodular graphs. We recall that locally compact group is called unimodular if its left Haar measure is also right-invariant. The automorphism group  $\operatorname{Aut}(G)$  of a *connected* locally finite graph G := (V, E) is a locally compact group when equipped with the topology of pointwise convergence. Thus, the graph G is called unimodular if  $\operatorname{Aut}(G)$  is unimodular. We refer to [BLPS99, LP16] for a survey of unimodular automorphism groups and their significance for random subgraphs.

Let  $\Gamma$  be a closed subgroup of  $\operatorname{Aut}(G)$  and m be a Haar measure on  $\Gamma$ . For  $x, y \in V$  that are in the same  $\Gamma$ -orbit, we define the weight of x relative to y by

(4.3) 
$$\mathbf{w}_{\Gamma}(x,y) := m(\Gamma_x)/m(\Gamma_y),$$

where  $\Gamma_v := \{ \gamma \in \Gamma \mid \gamma v = v \}$  is the stabilizer of  $v \in V$ . The map  $\mathbf{w}_{\Gamma} : (x, y) \mapsto \mathbf{w}_{\Gamma}(x, y)$  is an  $\mathbb{R}^+$ -valued cocycle on the orbit equivalence relation of the action of  $\Gamma$  on V.

Notice that by [Woe00, Lemma 1.29] the cocycle **w** is invariant under the action of  $\Gamma$ , i.e., for all  $\gamma \in \Gamma$  and  $x, y \in V$  in the same  $\Gamma$ -orbit, we have

(4.4) 
$$\mathbf{w}_{\Gamma}^{y}(x) = \frac{m(\Gamma_{x})}{m(\Gamma_{y})} = \frac{|\Gamma_{x}y|}{|\Gamma_{y}x|} = \frac{|\Gamma_{\gamma x}\gamma y|}{|\Gamma_{\gamma y}\gamma x|} = \frac{m(\Gamma_{\gamma x})}{m(\Gamma_{\gamma y})} = \mathbf{w}_{\Gamma}^{\gamma y}(\gamma x).$$

It is also a well-known fact, proven in [Tro85], that a closed subgroup  $\Gamma \leq \operatorname{Aut}(G)$  is unimodular if and only if for all  $x, y \in V$  in the same  $\Gamma$ -orbit,

$$(4.5) |\Gamma_x y| = |\Gamma_y x|.$$

In particular, equations (4.4) and (4.5) imply that if  $\Gamma$  is unimodular then the function  $x \mapsto m(\Gamma_x)$  is constant on each  $\Gamma$ -orbit. Therefore for quasi-transitive actions of  $\Gamma$ , if  $\Gamma$  is unimodular then the set  $\{m(\Gamma_x) \mid x \in V\}$  is finite. The converse is also true (see [Tan19, Lemma 2.3] for a proof): if  $\{m(\Gamma_x) \mid x \in V\}$  is finite, then  $\Gamma$  is unimodular.

4.C. The Random Maximal Spanning Forest. Let G := (V, E) be a countable locally finite graph and let  $\mathbf{w} : V \to \mathbb{R}^+$  be a weight function.

**Definition 4.6.** Let < be a uniformly random linear ordering (tiebreaker) on E, i.e., for all  $e, e' \in E$ ,

$$e < e' : \Leftrightarrow U_e > U_{e'},$$

where  $(U_e)_{e \in E}$  is a sequence of independent random variables with Uniform[0, 1] distribution. Then the w-maximal subforest of G with the random tiebreaker < (as in Definition 3.2) is a random subforest of G, which we call the **Free w-Maximal Spanning Forest** of G and denote it by  $FMaxSF_{\mathbf{w}}(G)$ .

In other words, for every cycle in G select the set of edges that are adjacent to the vertices with the smallest **w**-weight in that cycle, and delete among them the edge that has the largest  $U_e$  associated with it.

**Remark 4.7.** It is immediate that  $\mathrm{FMaxSF}_{\mathbf{w}}(G) = \mathrm{FMSF}(G)$  when  $\mathbf{w}$  is constant 1. Thus,  $\mathrm{FMaxSF}_{\mathbf{w}}(G)$  is a natural generalization of  $\mathrm{FMSF}(G)$  suitable to the nonunimodular setting, where we take  $\mathbf{w}$  to be the weight function  $\mathbf{w}_{\Gamma}$  induced by a nonunimodular closed subgroup  $\Gamma \leq \mathrm{Aut}(G)$ .

Below, we assume that the graph G is *connected*, fix a closed subgroup  $\Gamma \leq \operatorname{Aut}(G)$ , and let  $\mathbf{w} := \mathbf{w}_{\Gamma}$  be defined as in (4.3). We then consider  $\operatorname{FMaxSF}_{\mathbf{w}}(\omega)$  for a subgraph  $\omega$  of G.

Often,  $\omega$  will itself be a random subgraph of G and thus,  $\mathrm{FMaxSF}_{\mathbf{w}}(\omega)$  will have two sources of randomness: one from  $\omega$  and the other from the random linear ordering <.

Similarly to FMSF, the random subforest FMaxSF<sub>w</sub>( $\omega$ ) of a  $\Gamma$ -invariant percolation configuration  $\omega$  on G has the following properties due to the fact that the weight function  $\mathbf{w}_{\Gamma}$  is  $\Gamma$ -invariant (see (4.4)).

**Proposition 4.8.** Let  $\Gamma$  be a closed subgroup of  $\operatorname{Aut}(G)$  that acts transitively on G and let  $\mathbf{P}$  be a  $\Gamma$ -invariant percolation process on G. If  $\omega$  is sampled from  $\mathbf{P}$  then the random forest  $\operatorname{FMaxSF}_{\mathbf{w}}(\omega)$  is a  $\Gamma$ -equivariant factor of  $(\mathbf{w}, (U_e)_{e \in E})$ . In particular:

- (a) The distribution of  $FMaxSF_{\mathbf{w}}(\omega)$  is invariant under the action of  $\Gamma$ .
- (b) If **P** is ergodic (resp. weakly mixing) then  $FMaxSF_{\mathbf{w}}(\omega)$  is ergodic (resp. weakly mixing) under the action of  $\Gamma$ .

In particular, all this applies to  $FMaxSF_{\mathbf{w}}(G)$  because taking  $\mathbf{P} := 1_E$ , we have  $\omega = G$  a.s..

Proof. Since  $\mathbf{w}$  is  $\Gamma$ -invariant, and every cycle in a configuration  $\omega$  equipped with the tiebreaker < is the same as its  $\gamma$ -image for every  $\gamma \in \Gamma$ , the map  $(\omega, (U_e)_{e \in E}) \mapsto \mathrm{FMaxSF}_{\mathbf{w}}(\omega)$  is  $\Gamma$ -equivariant. For part (b), note that the sequence  $(U_e)_{e \in E}$  is i.i.d. and hence weakly mixing under the shift action of  $\Gamma$ , and the percolation  $\mathbf{P}$  is ergodic (resp. weakly mixing), so the product of the corresponding measures is ergodic (resp. weakly mixing), and so is every  $\Gamma$ -equivariant factor. In particular,  $\mathrm{FMaxSF}_{\mathbf{w}}(\omega)$  is ergodic (resp. weakly mixing).

**Observation 4.9.** In the setting of Proposition 4.8, if  $\mathbb{P}_p$  is the Bernoulli(p) percolation,  $p \in [0, 1]$ , then the random forest  $\mathrm{FMaxSF}_{\mathbf{w}}(\omega)$  is a factor of i.i.d.

The following lemma is helpful in proving a variety of statements in percolation theory, for instance the continuity of the percolation phase transition in unimodular nonamenable graphs [LP16, Theorem 8.21].

**Lemma 4.10** ([LP16, Lemma 7.7]). Let  $\mathbf{P}$  be a  $\Gamma$ -invariant percolation process on a graph G. If with positive probability (w.p.p.) there is a cluster of  $\mathbf{P}$ -configuration  $\omega$  with at least three ends, then the joint distribution of the pair  $(\mathrm{FMSF}(\omega), \omega)$  is  $\Gamma$ -invariant and w.p.p. there is a tree in  $\mathrm{FMSF}(\omega)$  that has at least three ends.

We prove an analogue of this below (Theorem 4.14) for the **w**-maximal forest and **w**-nonvanishing ends under the additional assumption of deletion tolerance.

First, we establish necessary terminology. As defined in Section 2.C, we say that a cluster C is  $(\mathbf{w}_{\Gamma})$ -heavy if  $\sum_{x \in C} \mathbf{w}_{\Gamma}^{y}(x) = \infty$  for some/every  $y \in V$ ; otherwise, we call it  $(\mathbf{w}_{\Gamma})$ -light. Thus in addition to the critical parameter  $p_c$  and the uniqueness threshold  $p_u$  defined in (4.1) and (4.2), we consider the heaviness transition parameter  $p_h$ , defined as follows:

$$(4.11) p_h := p_h(G, \Gamma) := \inf\{p \in [0, 1] : \mathbb{P}_p(\text{there is a } (\mathbf{w}_{\Gamma})\text{-heavy cluster}) = 1\}.$$

Note that [HPS99, Theorem 4.1.6] yields that light-infinite clusters cannot coexist with the heavy ones. Since a unique infinite cluster has to be heavy we get that

$$p_c(G) \le p_h(G) \le p_u(G) \le 1.$$

Moreover, each of these inequalities could be strict: see [HPS99, Tim06a, Hut20] for details and examples.

**Definition 4.12** (Insertion and deletion tolerance). Given a set of configurations  $A \subseteq 2^E$  and an edge  $e \in E$ , let  $\Pi_e A = \{\omega \cup \{e\} \mid \omega \in A\}$  and  $\Pi_{\neg e} A = \{\omega \setminus \{e\} \mid \omega \in A\}$ . A bond percolation process **P** is called **insertion** (resp. **deletion**) **tolerant** if  $\mathbf{P}(\Pi_e A) > 0$  (resp.  $\mathbf{P}(\Pi_{\neg e} A) > 0$ ) for every  $e \in E$  and every non-null measurable set  $A \subseteq 2^E$ .

**Example 4.13.** Let  $\mathbb{P}_p$  be Bernoulli percolation on G. Then for every edge  $e \in E$  and every measurable  $A \subseteq 2^E$  we have

$$\mathbb{P}_p(\Pi_e A) \ge p \mathbb{P}_p(A)$$
 and  $\mathbb{P}_p(\Pi_{\neg e} A) \ge (1-p) \mathbb{P}_p(A)$ .

In particular, this implies that Bernoulli bond percolation is both insertion and deletion tolerant.

**Theorem 4.14** (Maximal forest in percolation). Let G be a locally finite connected graph,  $\Gamma$  be a transitive closed subgroup of  $\operatorname{Aut}(G)$ , and  $\mathbf{w}_{\Gamma}$  be the  $\Gamma$ -invariant relative weight function on V(G) induced by  $\Gamma$  as in (4.3). Let  $\mathbf{P}$  be a  $\Gamma$ -invariant deletion tolerant percolation on G. If for  $\mathbf{P}$ -a.e. configuration  $\omega$  there is a cluster with at least 3  $\mathbf{w}_{\Gamma}$ -nonvanishing ends, then a.s. there is a tree in  $\operatorname{FMaxSF}_{\mathbf{w}_{\Gamma}}(\omega)$  whose space of  $\mathbf{w}_{\Gamma}$ -nonvanishing ends is nonempty and perfect<sup>5</sup>.

*Proof.* Since the conclusion is  $\Gamma$ -invariant, it is enough to prove that it holds on every  $\Gamma$ -invariant event A with positive probability. By the same proof as that of [LS99, Lemma 3.6], replacing insertion tolerance with deletion tolerance, without loss of generality we may assume that  $A = 2^{E(G)}$ .

Since almost every  $\omega$  contains a cluster with at least three **w**-nonvanishing ends, it must contain a **w**-trifurcation F. By countable additivity there is a finite subset  $F \subset V(G)$  such that w.p.p.  $\omega$  has a cluster which contains F as a **w**-trifurcation. Moreover, by deletion tolerance, w.p.p. the induced subgraph of  $\omega$  on F is a tree and is connected to each side of F in its cluster by a single edge. It follows that F contains a **w**-trifurcation vertex in  $\omega$ . Thus by Lemma 3.4 FMaxSF<sub>**w**</sub>( $\omega$ ) contains a tree with at least 3 nonvanishing ends. It follows from Tilted Mass Transport that in fact, w.p.p. FMaxSF<sub>**w**</sub>( $\omega$ ) contains a tree whose space of **w**-nonvanishing ends is perfect, by an argument analogous to that in the proofs of Lemma 3.20 and [LS99, Proposition 3.9].

**Observation 4.15.** The proof of Theorem 4.14 yields a stronger statement, i.e. for **P**-a.e. configuration  $\omega$  and for every cluster C in  $\omega$  with at least 3  $\mathbf{w}_{\Gamma}$ -nonvanishing ends,  $\mathrm{FMaxSF}_{\mathbf{w}_{\Gamma}}(\omega)$  has a tree  $T \subseteq C$  with a nonempty and perfect space of  $\mathbf{w}_{\Gamma}$ -nonvanishing ends.

Remark 4.16 (Assumption of deletion tolerance). While Theorem 4.14 is a natural extension of Lemma 4.10 to the setting where the relative weight function is not constant, we additionally need to impose deletion tolerance to make the proof of Theorem 4.14 go through. Indeed, the proofs of Lemma 4.10 and Theorem 4.14 both rely on the fact that one can force (w.p.p.) particular kinds of labeled trifurcation to be present in a configuration  $\omega$ . In the case of FMSF, because the forest is defined purely in terms of the linear order induced by the random labels  $(U_e)_{e \in E}$  regardless of the weight function, one can do so by restricting to the event where on a particular finite set of edges, the labels are less or greater than 1/2. On the other hand, when the weight function is nonconstant this is not enough to get a desired w-trifurcation in  $\omega$ . To overcome this we require deletion tolerance to "cut" the cycles in the w-trifurcation of interest and hence force a w-trifucation vertex to be present in our forest.

If **P** is also insertion tolerant then verifying the assumptions of Theorem 4.14 becomes significantly easier as we show in the following lemma.

Corollary 4.17. Let G be a locally finite connected graph,  $\Gamma$  be a transitive closed subgroup of  $\operatorname{Aut}(G)$ , and  $\mathbf{w}_{\Gamma}$  be the  $\Gamma$ -invariant relative weight function on V(G) induced by  $\Gamma$  as in (4.3). Let  $\mathbf{P}$  be a  $\Gamma$ -invariant insertion and deletion tolerant percolation on G.

If for **P**-a.e. configuration  $\omega$  there are more than one  $\mathbf{w}_{\Gamma}$ -heavy clusters, then a.s. there is a tree in FMaxSF<sub> $\mathbf{w}_{\Gamma}$ </sub>( $\omega$ ) whose space of  $\mathbf{w}_{\Gamma}$ -nonvanishing ends is nonempty and perfect<sup>5</sup>.

Proof. As in the proof of Theorem 4.14 it is enough to prove that the conclusion holds with positive probability. It follows from insertion tolerance that  $\mathbf{P}$ -a.e. configuration  $\omega$  has at least three heavy clusters. Indeed, if  $\omega$  had only two have heavy clusters with positive probability, inserting a path between these clusters yields that with positive probability  $\omega$  has a single heavy cluster, contradicting our hypothesis. Similarly by insertion tolerance implies that with positive probability  $\omega$  has a cluster with at least three nonvanishing ends. Applying Theorem 4.14 to the invariant subset of configurations with this property yields that with positive probability  $\mathrm{FMaxSF}_{\mathbf{w}_{\Gamma}}(\omega)$  contains a tree with a nonempty and perfect space of  $\mathbf{w}_{\Gamma}$ -nonvanishing ends.

Corollary 4.18 (Bernoulli(p) percolation). Let G be a countable locally finite connected graph and  $\Gamma \leq \operatorname{Aut}(G)$  be a nonunimodular closed subgroup that acts transitively on G and is such that  $p_h(G,\Gamma) < p_u(G)$ . Then for each  $p \in (p_h(G,\Gamma),p_u(G))$  and for  $\mathbb{P}_p$ -a.e. configuration  $\omega$ , the random forest  $\operatorname{FMaxSF}_{\mathbf{w}_{\Gamma}}(\omega)$  almost surely contains infinitely many trees with a nonempty and perfect spaces of  $\mathbf{w}_{\Gamma}$ -nonvanishing ends.

Proof. Fix  $p \in (p_h(G,\Gamma), p_u(G))$ . By [LP16, Section 7.2] the Bernoulli(p) bond percolation is insertion and deletion tolerant and ergodic. By the choice of p,  $\mathbb{P}_p$ -a.e. configuration  $\omega$  contains at least two heavy clusters. It thus follows from insertion tolerance that in fact there are infinitely many heavy clusters in  $\mathbb{P}_p$ -a.e.  $\omega$ . Then the same argument as in the proof of Corollary 4.17 yields that  $\mathbb{P}_p$ -a.e.  $\omega$  contains a heavy cluster with at least three nonvanishing ends. Now the indistinguishability of the heavy clusters of Bernoulli(p) percolation [Tan19] implies that almost surely all heavy clusters in  $\omega$  have this property. Observation 4.15 now yields that almost surely FMaxSF<sub> $\mathbf{w}_{\Gamma}$ </sub>( $\omega$ ) contains infinitely many trees with a nonempty and perfect space of nonvanishing ends.

Comparing conclusions of Corollaries 4.17 and 4.18, as well as the properties of FMSF [Tim06b, Tim18], naturally leads to Questions 1.13 and 1.14.

# 5. Applications

In this section we present several concrete applications of our results.

5.A. Coinduced actions. Let  $\Gamma \leq \Delta$  be countably infinite groups and let  $\Gamma \curvearrowright X$  be a Borel action on a standard Borel space X. Let  $X_{\Gamma}^{\Delta}$  be the set of all  $\Gamma$ -equivariant maps from  $\Delta$  to X, where  $\Gamma$  acts on  $\Delta$  by left translation. Let  $\Delta$  act on  $X_{\Gamma}^{\Delta}$  (on the left) by right shift, namely, for any  $\pi \in X_{\Gamma}^{\Delta}$  and  $\delta, \delta' \in \Delta$ , we set

$$(\delta \cdot \pi)(\delta') := \pi(\delta'\delta).$$

It is straightforward to verify that  $\delta \cdot \pi$  is a  $\Gamma$ -equivariant map, so the action is well-defined.

An isomorphic description of this action may be given as follows. Note that for any  $\delta \in \Delta$ , the values of a  $\Gamma$ -equivariant  $\pi : \Delta \to X$  on the right coset  $\Gamma \delta$  are uniquely determined by  $\pi(\delta)$ . Thus for any family of coset representatives  $(\delta_C \in C)_{C \in \Gamma \setminus \Delta}$ , we have a bijection

(5.1) 
$$X_{\Gamma}^{\Delta} \cong X^{\Gamma \setminus \Delta} \\ \pi \mapsto (\widetilde{\pi} : C \mapsto \pi(\delta_C)).$$

Transferring the action of  $\Delta$  from  $X_{\Gamma}^{\Delta}$  to  $X^{{\Gamma} \backslash {\Delta}}$ , we get

$$(5.2) (\delta \cdot \widetilde{\pi})(C) := (\delta_C \delta) \cdot \delta_{C \cdot \delta}^{-1} \cdot \widetilde{\pi}(C \cdot \delta),$$

for each  $\delta \in \Delta$ ,  $\widetilde{\pi} \in X^{\Gamma \setminus \Delta}$  and  $C := \Gamma \delta_C \in \Gamma \setminus \Delta$ .

Using such a bijection (5.1), we may put a measure on  $X_{\Gamma}^{\Delta}$  by transferring the product measure  $\mu^{\Gamma \setminus \Delta}$  on  $X^{\Gamma \setminus \Delta}$  for any base measure  $\mu$  on X.

**Remark 5.3.** If  $\mu$  is a Γ-invariant measure on X, then the measure on  $X_{\Gamma}^{\Delta}$  induced in this way does not depend on the choice of coset representatives  $(\delta_C)_C$ , and is  $\Delta$ -invariant; see [KQ19]. However, the same does not hold for quasi-invariant measures, which are our main interest.

In spite of this remark, we will denote the measure on  $X_{\Gamma}^{\Delta}$  induced as above by a measure  $\mu$  on X by  $\mu_{\Gamma}^{\Delta}$ , leaving the choice of coset representatives to be implied by the context.

**Lemma 5.4.** If  $\Gamma$  acts freely on X, and  $\mu$  is an atomless probability measure on X, then there is a  $\mu_{\Gamma}^{\Delta}$ -conull  $\Delta$ -invariant subset  $Y \subseteq X_{\Gamma}^{\Delta}$  on which  $\Delta$  acts freely.

Proof. Suppose  $\pi \in X_{\Gamma}^{\Delta}$  and  $\delta \in \Delta$  such that  $\delta \cdot \pi = \pi$ . We have a  $\Gamma$ -equivariant map  $X_{\Gamma}^{\Delta} \to X$ , namely the projection  $\pi \mapsto \pi(1)$ ; thus if  $\delta \in \Gamma$ , then since  $\Gamma \curvearrowright X$  is free,  $\delta = 1$ . If  $\delta \not\in \Gamma$ , then we have  $\pi(\gamma\delta) = (\delta \cdot \pi)(\gamma) = \pi(\gamma)$  for all  $\gamma \in \Gamma$ , whence in particular, there are two distinct cosets  $C \neq D \in \Gamma \setminus \Delta$  (namely  $C := \Gamma\delta$  and  $D := \Gamma$ ) such that  $\pi(C) = \pi(D) \subseteq X$ . The set  $Y \subseteq X_{\Gamma}^{\Delta}$  of all  $\pi$  for which there exist such  $C \neq D$  with  $\pi(C) = \pi(D)$  is clearly  $\Delta$ -invariant, and it is contained in the set of  $\pi$  such that there exist  $C \neq D$  with  $\pi(\delta_C) \in \pi(D) = \Gamma \cdot \pi(\delta_D)$ , which is null since its image under the bijection (5.1) is a countable union of diagonals, which is null since  $\mu$  (hence also all  $\Gamma$ -translates of  $\mu$ ) are atomless. Thus Y works.

We are particularly interested in the case  $\Delta = \Gamma * \Lambda$  for another countable group  $\Lambda$ . In this case, there is a canonical choice of coset representatives  $(\delta_C)_{C \in \Gamma \setminus (\Gamma * \Lambda)}$ , namely those elements of  $\Gamma * \Lambda$  whose normal form does not start with a nonidentity element of  $\Gamma$ . Note that the right translation actions of  $\Gamma$  and  $\Lambda$  on  $\Gamma \setminus (\Gamma * \Lambda)$  affect these coset representatives as follows: for  $\lambda \in \Lambda$ ,  $\gamma \in \Gamma$ , and  $C \in \Gamma \setminus (\Gamma * \Lambda)$ ,

$$\begin{split} \delta_{C \cdot \lambda} &= \delta_C \lambda, \\ \delta_{C \cdot \gamma} &= \begin{cases} \delta_C \gamma & \text{if } \delta_C \neq 1, \text{ i.e., } C \neq \Gamma, \\ 1 & \text{if } \delta_C = 1, \text{ i.e., } C = \Gamma. \end{cases} \end{split}$$

Thus, the formula (5.2) for the action of  $\Delta$  on  $X^{\Gamma \setminus \Delta}$  becomes

$$(\lambda \cdot \widetilde{\pi})(C) := \widetilde{\pi}(C \cdot \lambda),$$

$$(\gamma \cdot \widetilde{\pi})(C) := \begin{cases} \widetilde{\pi}(C \cdot \gamma) & \text{if } \delta_C \neq 1, \text{ i.e., } C \neq \Gamma, \\ \gamma \cdot \widetilde{\pi}(\Gamma) & \text{if } \delta_C = 1, \text{ i.e., } C = \Gamma. \end{cases}$$

Using this, we have

**Lemma 5.5.** If  $\mu$  is a  $\Gamma$ -quasi-invariant measure on X, with Radon-Nikodym cocycle  $\mathbf{w}: \mathbb{E}_{\Gamma} \to \mathbb{R}^+$ , then  $\mu^{\Gamma \setminus (\Gamma * \Lambda)}$  is a  $(\Gamma * \Lambda)$ -quasi-invariant measure on  $X^{\Gamma \setminus (\Gamma * \Lambda)}$  (with the above action), with Radon-Nikodym cocycle  $\mathbf{w}'$  defined on generators  $\lambda \in \Lambda$  and  $\gamma \in \Gamma$  by

$$\mathbf{w}'(\widetilde{\pi}, \lambda \cdot \widetilde{\pi}) := 1,$$
  
$$\mathbf{w}'(\widetilde{\pi}, \gamma \cdot \widetilde{\pi}) := \mathbf{w}(\widetilde{\pi}(\Gamma), \gamma \cdot \widetilde{\pi}(\Gamma)).$$

In particular, the action of  $\Lambda$  on  $X^{\Gamma\setminus(\Gamma*\Lambda)}$  is  $\mu^{\Gamma\setminus(\Gamma*\Lambda)}$ -preserving.

*Proof.*  $\lambda$  acts via right shift  $X^{\Gamma\setminus(\Gamma*\Lambda)}\to X^{\Gamma\setminus(\Gamma*\Lambda)}$ , which preserves the product measure; while  $\gamma$  acts via the composite of right shift followed by acting on the  $\Gamma$  coordinate via  $\gamma:X\to X$ , the latter of which clearly has Radon–Nikodym cocycle  $\mathbf{w}(\widetilde{\pi}(\Gamma),\gamma\cdot\widetilde{\pi}(\Gamma))$ .

**Example 5.6.** Let  $\Gamma$ ,  $\Lambda$  be infinite finitely generated groups, such that  $\Lambda$  is Kazhdan, and let  $\Gamma \curvearrowright (X, \mu)$  be a free quasi-pmp action. For example, we may take  $\Lambda := \operatorname{SL}_3(\mathbb{Z})$ ,  $\Gamma := \mathbb{F}_2$ , and X to be the boundary action; see Example 1.2. By the above, we get an a.e. free quasi-pmp coinduced action  $\Gamma * \Lambda \curvearrowright (X^{\Gamma\setminus(\Gamma*\Lambda)}, \mu^{\Gamma\setminus(\Gamma*\Lambda)})$ . Since  $\Lambda$  is nonamenable and its action on  $X^{\Gamma\setminus(\Gamma*\Lambda)}$  is pmp by the above lemma,  $\mathbb{E}_{\Lambda}$  and hence also  $\mathbb{E}_{\Gamma*\Lambda}$  is nowhere amenable. However, it is also nowhere treeable, by [AS90]. Using our construction, we may produce a subforest of  $\mathbb{E}_{\Gamma*\Lambda}$  witnessing its nonamenability: namely, since the action of  $\Lambda$  is pmp, it is easily seen that each  $\Lambda$ -orbit yields a distinct  $\mathbf{w}'$ -nonvanishing end in the Schreier graph with respect to a union of finite generating sets for  $\Gamma$ ,  $\Lambda$ , which by Corollary 3.22 contains a subforest F such that for a.e. F-connected component, the space of  $\mathbf{w}'$ -nonvanishing ends is nonempty and perfect.

5.B. Cluster graphings for nonunimodular graphs. We recall the general cluster graphing construction from [Gab05, Section 2.2 and 2.3]. Let G := (V, E) be a locally finite connected rooted graph on a vertex set V and let  $\rho \in V$  be the root. Let  $\Gamma \leq \operatorname{Aut}(G)$  be a closed subgroup of the automorphism group of G. Given a free pmp action  $\Gamma \curvearrowright (X, \mu)$  and a factor map  $\pi : X \to 2^G$ , the cluster graphing construction produces a quasi-pmp graph  $G^{\operatorname{cl}}$  on a standard probability space  $(Y, \nu)$ , which is a certain quotient of  $X \times V$  identified with a Borel subset of X. Each component of  $G^{\operatorname{cl}}$  is a copy of the corresponding percolation cluster; more precisely, the  $G^{\operatorname{cl}}$ -component of a point  $x \in Y$  is isomorphic to the cluster of the root  $\rho$  in the percolation configuration  $\pi(x)$ . Furthermore, while [Gab05, Theorem 2.5] states that the cluster connectedness relation  $E^{\operatorname{cl}} := \mathbb{E}_{G^{\operatorname{cl}}}$  is pmp if and only if the graph G is unimodular, its proof actually shows that the Radon–Nikodym cocycle of  $E^{\operatorname{cl}}$  with respect to  $\nu$  corresponds (via the aforementioned isomorphism) to the cocycle  $\mathbf{w}_{\Gamma}$  on G induced by  $\Gamma$  as in (4.3).

Corollary 5.7. Let G be a locally finite connected rooted graph and  $\Gamma$  be a closed subgroup of  $\operatorname{Aut}(G)$  that acts transitively on G. Let  $\mathbf{P}$  be a  $\Gamma$ -invariant percolation such that a.s. there is a cluster with  $\geq 3$   $\mathbf{w}_{\Gamma}$ -nonvanishing ends. Then the cluster graphing  $G^{\operatorname{cl}}$  with the measure induced by  $\mathbf{P}$  is nonamenable. In fact, it contains a nonamenable Borel subforest.

<sup>&</sup>lt;sup>8</sup>In [Gab05], this relation is called the *reduced equivalence relation* and denoted by  $\mathcal{R}$ , while the term "cluster equivalence relation" is used for a lift of  $\mathcal{R}$  to  $X \times V$ .

<sup>&</sup>lt;sup>9</sup>The isomorphisms from the perspective of different points  $x, y \in Y$  in the same  $G^{\text{cl}}$ -component cohere, see [Gab05, Section 2.3].

*Proof.* Because the Radon–Nikodym cocycle of the cluster graphing  $G^{\rm cl}$  with respect to  $\nu$  corresponds to  $\mathbf{w}_{\Gamma}$ , there is a set A of positive measure that consists of  $G^{\rm cl}$ -components with at least three nonvanishing ends. By Corollary 3.22,  $G^{\rm cl}$  restricted to A contains a Borel subforest M with at least three nonvanishing ends in a.e.  $G^{\rm cl}$ -component. In particular, by Theorem 1.3,  $G^{\rm cl}|_A$  and  $M|_A$  are nowhere amenable.

Remark 5.8. If the Free  $\mathbf{w}_{\Gamma}$ -Maximal Forest FMaxSF $_{\mathbf{w}_{\Gamma}}(G)$  were indistinguishable then its cluster connectedness relation would serve as an ergodic treeable subrelation with at least three  $\mathbf{w}_{\Gamma}$ -nonvanishing ends. (See Question 1.14.)

We now give a concrete example of the situation in the hypothesis of Corollary 5.7.

**Example 5.9** (Free product of GP(2) and  $\mathbb{Z}^2$ ). Let GP(k) be the grandparent graph, originally introduced in [Tro85]. Such a graph is constructed as follows: start with a (k+1)-regular tree with a distinguished end, so each vertex has a unique parent and k children. Connect every vertex to its grandparent. It is easy to check that GP(k) is nonunimodular. Moreover, equation (4.3) implies that if k is a parent of k then k then k Therefore, vertices k and k have the same weight if and only if they are in the same generation.

Let the graph G be the free product<sup>10</sup> of the grandparent graph GP(2) and  $\mathbb{Z}^2$ , and consider Bernoulli(p) percolation  $\mathbb{P}_p$  on it. Notice that G is still nonunimodular and the induced cocycle is equal to 1 on each edge that comes from  $\mathbb{Z}^2$ , while it takes values  $\{\frac{1}{4}, \frac{1}{2}, 2, 4\}$  on the directed edges that come from GP(2). A classical result of Kesten [Kes80] states that  $p_c(\mathbb{Z}^2) = \frac{1}{2}$ . Thus, for every  $p > \frac{1}{2}$ , since the vertices in the same copy of  $\mathbb{Z}^2$  are of the same weight,  $\mathbb{P}_p$ -a.e. percolation configuration on G will contain a heavy cluster. Therefore,  $p_h(G) \leq \frac{1}{2}$ . On the other hand, by a similar argument as for a 3-regular tree,  $p_u(GP(2)) = 1$ , and hence  $p_u(G) = 1$ .

Finally, Corollary 5.7 yields that for every  $p \in (\frac{1}{2}, 1)$  the cluster graphing  $G^{cl}$  equipped with the measure induced by  $\mathbb{P}_p(G)$  is nonamenable, and in fact, contains a nonamenable Borel subforest.

Witnessing nonamenability for the cluster graphing can be used to show purely graph-theoretic or percolation-theoretic properties. An example of such a property is **w**-visibility of a graph introduced in [Tse22].

**Definition 5.10.** Let G := (V, E) be a connected graph. Given a relative weight function (cocycle)  $\mathbf{w} : E \to \mathbb{R}^+$  on its edges, we say that  $y \in V$  is **w-visible** from  $x \in V$  if there is a G-path  $x = x_0, x_1, x_2, \ldots, x_k = y$  such that  $\mathbf{w}^x(x_i) \le 1$  for all  $i \le k$ . Let  $N^{\mathbf{w}}(x)$  be the set of all  $y \in V$  that are **w**-visible from x. We say that G has **finite w-visibility** if for all  $x \in V$  the set  $N^{\mathbf{w}}(x)$  is **w**-light; otherwise, we say that G has **infinite w-visibility**.

In [Tse22, Theorem 1.8], it is proven that if almost every component of a quasi-pmp Borel graph G has finite visibility, then G is amenable. Thus, almost every component of a nowhere amenable quasi-pmp Borel graph has infinite visibility, which enables combinatorial techniques such as (tilted) mass transport.

<sup>&</sup>lt;sup>10</sup>The free product of graphs is similar to the Cayley graph of the free product of groups. There are several definitions of such products for graphs present in literature; we use the one in, for example, [PT02, Section 4]. This definition and various others are compared in [CTW20], where it is shown that they are all equivalent for vertex-transitive graphs.

**Theorem 5.11.** Let G := (V, E) be a countable locally finite graph and let  $\Gamma$  be a closed subgroup of  $\operatorname{Aut}(G)$  that acts transitively on G. Let  $\mathbf{w}_{\Gamma}$  be the relative weight function induced by  $\Gamma$  as in (4.3). Let  $p \in (p_h(G, \Gamma), p_u(G))$  and let  $\mathbb{P}_p$  denote the Bernoulli(p) percolation G. Then:

- (a) Every  $\mathbf{w}_{\Gamma}$ -heavy cluster has infinite  $\mathbf{w}_{\Gamma}$ -visibility  $\mathbb{P}_{p}$ -a.s.
- (b) For  $\mathbb{P}_p$ -a.e. configuration  $\omega$  the random forest  $\mathrm{FMaxSF}(\omega)$  almost surely contains infinitely many trees with infinite  $\mathbf{w}_{\Gamma}$ -visibility.

*Proof.* The statement and the proof of Corollary 4.18 imply that for  $\mathbb{P}_p$ -a.e. configuration  $\omega$ :

- there are infinitely many heavy clusters in  $\omega$ ;
- each heavy cluster contains  $\geq 3$  nonvanishing ends;
- the random forest  $FMaxSF_{\mathbf{w}_{\Gamma}}(\omega)$  almost surely contains infinitely many trees with a nonempty and perfect spaces of nonvanishing ends.

Part (a). By the proof of Corollary 5.7, the restriction of the cluster graphing  $G^{cl}$  to the union of infinite components is nowhere amenable with respect to the measure induced by  $\mathbb{P}_p$ . Hence, by [Tse22, Theorem 1.8] almost every infinite  $G^{cl}$ -component has infinite visibility. It thus follows that for  $\mathbb{P}_p$ -a.e. configuration  $\omega$ , all of its heavy clusters infinite visibility.

Part (b). Similarly, by Corollary 4.18, for  $\mathbb{P}_p$ -a.e. configuration  $\omega$  the random forest  $\mathrm{FMaxSF}(\omega)$  almost surely contains infinitely many trees with a nonempty and perfect space of nonvanishing ends. By Proposition 4.8,  $\mathrm{FMaxSF}(\omega)$  has the law  $\mathbb{P}_p^F$  of an ergodic invariant percolation and equip the cluster graphing  $G^{\mathrm{cl}}$  with the measure induced by  $\mathbb{P}_p^F$ . As before, the proof of Corollary 5.7 yields that the restriction of  $G^{\mathrm{cl}}$  to the union A of  $G^{\mathrm{cl}}$ -components that are trees with  $\geq 3$  nonvanishing ends, is nowhere amenable. Again, [Tse22, Theorem 1.8] implies that almost every  $G^{\mathrm{cl}}$ -component in A has infinite visibility, which implies that  $\mathbb{P}_p^F$ -a.s. every tree with  $\geq 3$  nonvanishing ends in  $\mathrm{FMaxSF}(\omega)$  has infinite visibility.  $\square$ 

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RUIYUAN CHEN, MATHEMATICS DEPARTMENT, UNIVERSITY OF MICHIGAN, ANN ARBOR, MI, USA *Email address*: ruiyuan@umich.edu

URL: https://rynchn.github.io/math

GRIGORY TERLOV, MATHEMATICS DEPARTMENT, UNIVERSITY OF ILLINOIS URBANA-CHAMPAIGN, URBANA, IL. USA

Email address: gterlov2@illinois.edu

URL: https://sites.google.com/a/illinois.edu/gterlov

ANUSH TSERUNYAN, MATHEMATICS AND STATISTICS DEPARTMENT, McGILL UNIVERSITY, MONTRÉAL, QC, CANADA

Email address: anush.tserunyan@mcgill.ca

URL: https://www.math.mcgill.ca/atserunyan