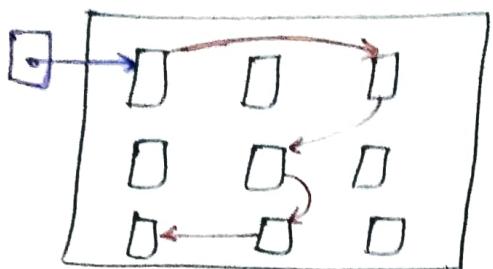


## ② Linked List [Free List]



Point one free block from another free blocks and contains the location of first free block in disk.

## Disk Scheduling [ COA ]

Process take time in doing

- ① CPU Utilization
- ② I/O tasks

Process generally do I/O with hard disk.

So if there are large no. of process doing I/O and hard disk also works very slow. So all the process gets collected in hard disk.

Then, what will be the order of request of process to get access for I/O.

Disk scheduling decide which request is going to execute next. → file systems must be accessed in an efficient manner, especially with hard drives, which are slower part of the computer.

→ As a computer deals with multiple processes over a period of time, a list of request to access the disk build up. For efficiency purpose all request are aggregated together.

→ The technique used by OS to determine which request to satisfy next called disk scheduling.

### FIFO Scheduling

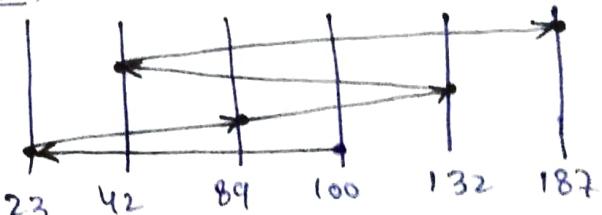
- Simplest perform operations.
- No ordering of work queue.
- No starvation: every request is serviced.

Ex.: A disk queue with request for I/O to blocks on cylinders 23, 89, 132, 42, 187.

Initially the disk head at 100 ↓

No. of cylinder crossed? Read/write head.

Soln.



No. of cylinder we crossed =

$$(100-23) + (89-23) + (132-89) + (132-42) + (187-42) = \underline{421}$$

Seek time & no. of cylinder cross

Note → Just go through it we will read the same in COA in details.

## SSTF Scheduling

Like SJF, it is shortest seek time first.

→ Select the disk I/O request that requires the least movement of the disk arm from its current position regardless of direction.

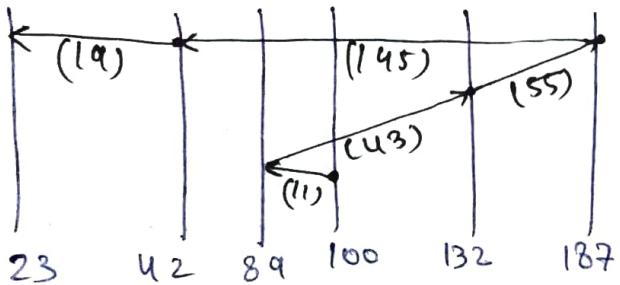
→ Reduce the seek time as compare to FCFS.

Disadvantage → ① Starvation is possible.

② Switching direction make things slow down.

③ Not the most optimal way.

Consider same example as FCFS.



$$11 + 43 + 55 + 145 + 19$$

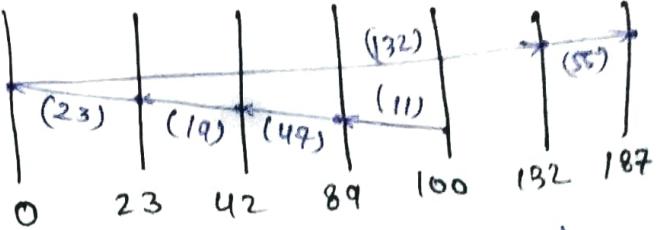
$$= \underline{273}$$

No. of cylinders crossed = 273

better as compare to FCFS.

## Scan, C-Scan [Elevator Algo.]

→ Go from the outside to inside servicing requests and then back from the inside to outside servicing requests.



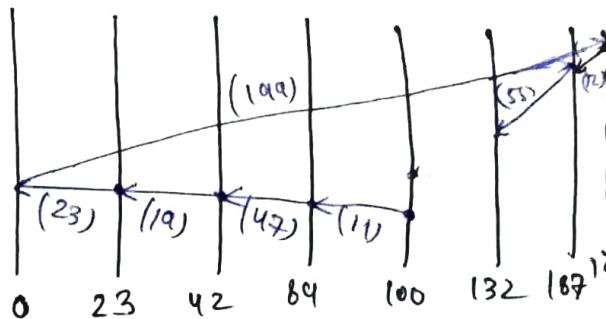
Moving in one direction and service the request come across the path.

Even though '0' is not requested but we go for  $(23 \rightarrow 0)$ .

No. of cylinders crossed =

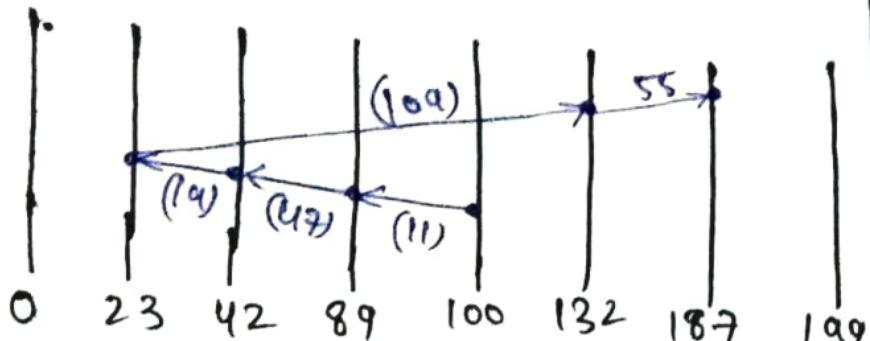
$$11 + 47 + 19 + 23 + 132 + 55 = \underline{287}$$

C-scan → Moves inwards servicing requests until it reaches the innermost cylinder, then jumps to the outermost cylinder of disk without servicing any requests.



$$11 + 47 + 19 + 23 + 199 + 12 + 55 \\ = \underline{366}$$

Look: Like scan but stop moving inwards (or outwards) when no more requests are in the direction.

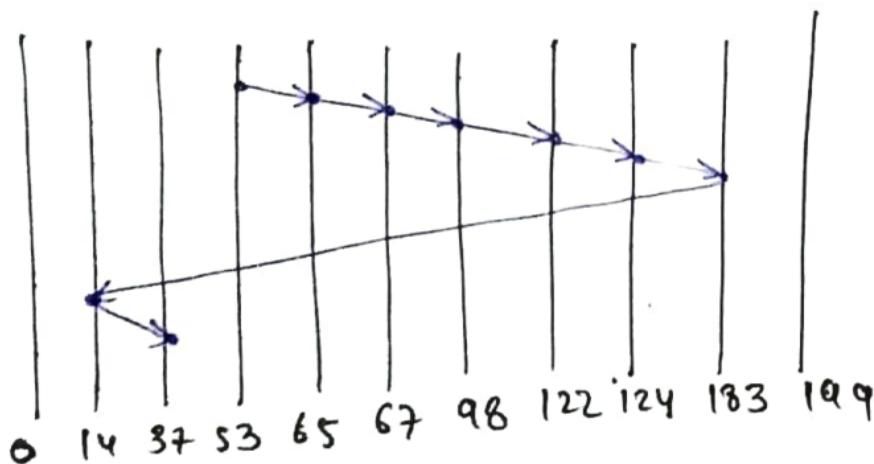


$$19 + 47 + 19 + 109 + 55 = \underline{241}$$

C-look : Circular look

98, 183, 37, 122, 14, 124, 65, 67

head pointer at 53



$$12 + 2 + 31 + 24 + 2 + 59 + 169 + 23 = \underline{322}$$

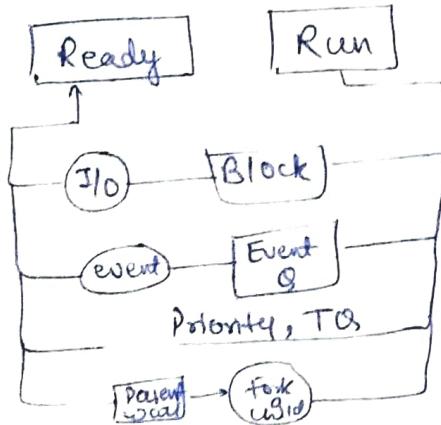
→ The arms goes only as far as final request in each direction.

→ The reverse direction is immediately without going the way to end of disk

→ When head reaches the outer end, it immediately returns to lowest cylinder requests and without serving any request on the return trip.

Note → Direction of movement will be given in the question otherwise assume the

- Day 2
- Operating System
- (17)
- OS is interface b/w user & hardware, also provides system calls, works as resource allocator, manages m/m, process & security.
  - PCB attributes are → Process Id, Program Counter, Process State, Priority, General Purpose registers, list of open files/devices, Protection.
  - If one executable code tries to access other's space called segmentation faults.
  - Multiprogramming with preemption: Multitasking
  - States of Process: New -- Ready -- Run -- Terminate -- Block/Wait -- suspend ready -- suspend block/wait
  - Long term scheduler do swap in/out between secondary m/m to New Queue.
  - Short term scheduler do context switching work as dispatcher.
  - Medium term scheduler decided about suspend wait / block.
  - After Suspension process stay in secondary memory.



Consider a system with 'N' CPU's & 'M' processes,

	min	max
ready	0	M
run	0	N
block	0	M

Step 3: find completion Time

Step 4: TAT = (Completion - Arrival)

Step 5: WT = (TAT - BT)

Step 6: Response Time → process gets scheduled first time.

① FCFS: Non-preemptive, criteria is arrival time.

- In case of non preemptive algo.
- \* WT & response time is same.
- Convo effect is disadvantage of both FCFS and SJF.
- $T(n) = O(n)$  DS = Queues
- ② SJF: Non preemptive, shortest job first.
- Throughput  $\rightarrow \frac{\text{No. of Process}}{\text{Total burst time}}$
- BT prediction Technique
  - Static
  - ① Burst size
  - ② Burst Type
  - Dynamic
    - ① Simple avg.
    - ② Exponential avg. or aging.

- exp. avg  $\rightarrow P_{n+1} = \alpha t_{n+1} + (1-\alpha) P_n$
- ③ SRTF → Preemptive and selection criteria is BT.
- ④ Round Robin  $\rightarrow$  Queue is used to make process sequence.
- ⑤ HRRN  $\rightarrow$  Better as compare to SJF.

At the time when all process gets inside start calculate Response ratio ( $\frac{WT+BT}{BT}$ ) for each remaining process. Starts from shortest job available.

→ Using Multilevel feedback queue, we avoid starvation.

→ ~~Synchronization~~

User level thread → Implemented by users, doesn't recognized by OS, implementation is easy, less context switching time, no hardware support, all threads are independent if one thread gets blocked complete process will get blocked.

Kernel level thread → Implemented by OS and complicated, recognized by OS, hardware support needed, more context switch time, independent threads, if one thread blocked another thread can continue.

→ Each thread shares code section, data section and OS resource but don't share its program counter, register set & stack sets. Also shares message queue and file tables.

Advantages of thread over process → Responsiveness, fast context switching, effective utilization of (CP), resource sharing.

→ Type of System Call: Process Control, file Management, Device management, Information maintenance, comm'.

Spooling → Simultaneous peripheral operation online.

Application: ① Used by I/O device like like keyboard, printer etc  
② A batch OS used to maintain a queue of ready  $\rightarrow$  seen job  
③ E-mail is delivered by Mail transfer agent (MTA) to a temporary storage where it waits to be picked up by MAC (Mail User agent).

Inter Process Comm' → IPC may be either independent or co-operating. In independent one process can't effect other's environment.

Advantage of process Co-operation is → sharing info, computation speed up, modularity and convenient to work on same task at a same time.

Two models of IPC → ① Shared m/m → All the processes share the m/m space.

(18)

② Message passing → Communication takes place by message exchange mechanisms, requires Kernel support.

→ In Batch OS we group all the jobs with same requirement.

→ In timesharing OS the main aim is to reduce response time instead of increase in CPU utilization. (Round Robin).

→ Kernel mode & Privileged mode; User mode → Non-privileged

→ User level threads are transparent to Kernel level.

Hardware Interrupt: Generated by external device or hardware, don't increment Program Counter, invoked with some external device lower priority than SI, asynchronous event. Types - Maskable or Non maskable.

Software Interrupt: Generated by Internal System of computer, increment program counter, invoked by INT instruction, higher priority among all interrupts, it is away to trigger system call or contact with Kernel, synchronous, [Normal Interrupts & Exceptions] are its types.

→ In both synchronous | asynchronous ISR invoked after completion of I/O.

→ fork() → 

- return 0 for child
- return (tve) for parent.

Ippn. Ocells → 2, 5, 10, 12, 13, 15, 16, 17, 22, 23, 25, 27, 29, 30, 36, 37, 40, 43, 44, 47 + 51, 58, 61, 63.

## Process Synchronization!

Need of synchronization to maintain consistency in database.

If more than one process accessing same variable then final result depends upon order of execution called race condition.

Each synchronization must satisfy: Mutual Exclusion, Progress, Bounded wait, Architectural Neutrality.

Progress means one process is not stopping other process.

Busy waiting means process is inside CPU but stuck in  $\infty$  loop.

Bounded waiting means process will definitely get CPU after some time.

## Busy waiting soln

- ① Lock variable: Doesn't provide mutual exclusion.
- ② TSL: Provided mutual exclusion and progress only.
- ③ spin locked → CPU pointing  $P_2$  but  $P_1$  is inside critical section.  
TSL is deadlock free but not starvation free.
- ④ Turn Variable → follows every property except progress  
if we include interest variable with turn the progress is guaranteed but bounded waiting is not guaranteed

→ Peterson: It supports all the four properties. Process that access turn variable first will get CS first.

without Busy waiting: To avoid busy waiting we use wake-up() and sleep() mechanism.

Ex → Producer consumer problem

for producer if [Count == N] then sleep(), for consumer if [Count == 0] then sleep().

→ It can also not able to avoid deadlock. for this we have semaphores. Semaphores need support from OS. We can't access it in user mode.

① Counting Semaphore [for n-process]

Symbol used

Down, P, Wait (decrement); UP, V, Signal (increment).

② Binary Semaphores: It has only two values 0,1 and allows only two process at a time.

Barrier → A point in a program that every variable needs to pass.

→ Swap space is a part of virtual mem that resides in Disk. Apps which are not in use or less used can be kept in swap space.

→ B, B+ Tree supports range query but hashing doesn't.

Semaphores: PQ: Review all the PQs.

→ Producer first do P(size) then V(count) but consumer do P(count) then V(size).

Deadlock: Starvation is long waiting but deadlock is infinite waiting.

(n)

Necessary Condition:- Mutual Exclusion, Hold & Wait, No preemption, circular wait.

Deadlock Handling :- Ignorance, prevention, avoidance, detection & recovery.

In prevention we try to prevent the anyone of 4 conditions.

Mutual exclusion → Spool everything.

Hold & wait → ~~request all resource initially~~.

No preemption → Take resource away.

Circular wait → Order resource necessarily.

→ Only circular wait can be implemented practically.

Banker's Algo: Safe & Unsafe states.

Resource Allocation Graph → Single & Multiple instances.

In single instance cycle is sufficient but in multiple instance cycle is necessary condition.

Deadlock Recovery: Resource preemption, Rollback, Kill the victim kill all process.

PYQ → Review all the Questions.

Memory Management: Say CPU utilization is  $(1-p)$  if  
 $p \rightarrow$  I/O utilization then efficiency =  $1 - p^k$   
where  $k \rightarrow$  degree of multiprogramming. ( $p < 1$ )

Object code contains → headers, code, data segment, relocation info., symbol-table, debugging info.

Linker resolved the unresolved symbol and give relocatable addrs.  
Linker loads the program in main m/m and gives absolute address.  
After Compiling we get code with relocatable add., after linking we get relocatable add. for combine modules. Dynamic linking require OS supports.

fixed Partitioning → Both Internal & External fragmentation

Variable Partitioning → Avoid Internal fragmentation only.

for external fragmentation → compaction, Bit mapping, Linked List  
We have also have overlays concept means virtual m/m implementation by users

LAS/VAS : [ No. of pages ] Pg size ]      PAS : [ No. of frames ] Pg size ]

Page table size : (No. of pages)  $\times$  (No. of frame bits)  $\rightarrow$  compact both into byte first

Page table entry : Caching disabled, modified/dirty bit + referenced, protection, present/absent

Multi level paging  $\rightarrow$  Revise marked entries in table of notes

$$\text{Optimal Page size} = \sqrt{2^{se}} \approx \sqrt{2^{se}/n} \xrightarrow{c \rightarrow \text{entry size}} (\text{avg. S}) \rightarrow (\text{VAS}) \text{ bits}$$

TLB : Translation lookaside buffer :

$$EMAT = p(t+tm) + (1-p)(t+m+n) \quad \text{for } n\text{-level page tables, } p \rightarrow \text{hit ratio, } t \rightarrow \text{TLB time, } m \rightarrow \text{miss time.}$$

$\rightarrow$  If large no. of page fault occurs called thrashing.

$$EMAT = p(\text{service time} + m/\text{misses}) + (1-p)(m/\text{misses}) \quad p \rightarrow \text{page fault rate}$$

Invited page table  $\rightarrow$  from copy.

frame allocation methods :- Equal allocation, weighted allocation, Dynamic allocation

Page Replacement : Load the page if required called as demand paging. (Local or Global Page replacement).

LRU  $\rightarrow$  Less used in past Optimal! Less used in future

increase frames if page fault  $\uparrow$  called Belady's anomaly.

only FIFO and Random page Replacement follow it.

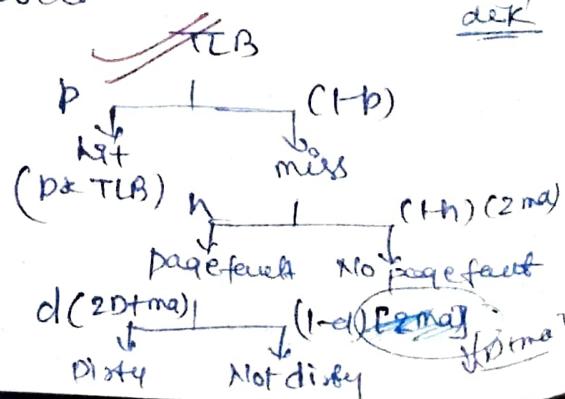
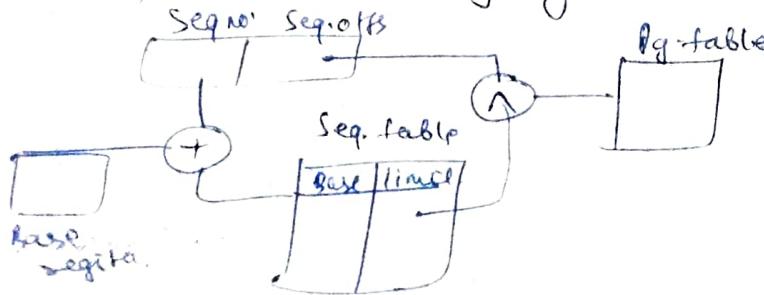
Algo who doesn't follow Belady's called Stack Algo.

$$\text{Pages}(m) \leq \text{Pages}(m+1)$$

working set Algorithm  $\rightarrow$  Dynamic approach

D  $\rightarrow$  Time for secondary disk

Segmentation : Paging at user level



# file System

(20)

file is an ADT with attributes like name, identifier, type, location, size, protection, time & date.

file operations → create, write / Read, Repositioning, Deleting, Truncate  
As a process open a file it creates a file table with info of file pointer, access rights, file name.

OS also maintains an open table for all process with info of file name, location, open counter.

If open counter = 0 it means no process is reading that file means delete the entry from open table.

We can access the file sequentially, direct, indexed [B/B<sup>+</sup>]  
for direct access we need info of no of records per block, no. of records per file, block sizes.

Directory Str.: If we have more than 1 OS in system so we have to maintain more than 1 directory for each.

→ Each directory have info corresponds to particular partition (OS).

We can create, rename, delete, traverse, search and list them.

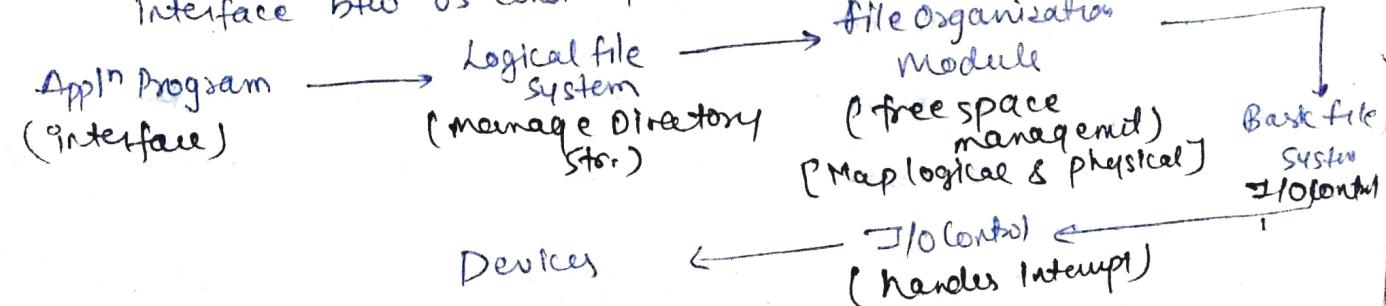
→ 2 level, tree directories

Single level directory → Simple creating & searching, naming & security is difficult.

Two level: Master at top level, two user can have same filenames, security is easy, OS take care of access rights, separate part for system files.

Any level graph → two directories are allowed to access same file but problem is if one deleted it then for this we have to maintain a counter.

File System: Deals with structure, allocation in disk, Recovery, Interface btw OS and m/m.



MBR: (Master Boot Record)

It loads the booting information to the RAM first & contains info about all the partitions available for various OS.

- Two types of Data Str. → ① On disk ② In memory.
- On disk: ① Boot Control Block: It contains the booting info.
- ② Volume Control Block: Details of no. of blocks per partition, basically how system uses the complete partition block.
- ③ file control block: It contains permission, date, owner, size, data blocks & pointer to file data block.

In memory: Mounting means creating new partition.

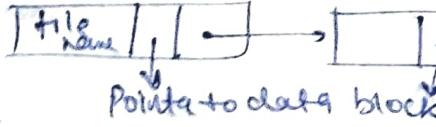
In m/m mount table: Info. of mounted volumes.

In m/m directory str. cache: Info. of recently accessed directory.

per proc. open file: pointer to appropriate entry in wide system file.

wide - system open file table: Info. of all files open by OS.

Directory Implementation: Selection of implementation affects the performance and reliability of file system.

① Linear List: 

② Hash table: (key, value) pairs

## Allocation methods

① Contiguous allocation → Both Internal & External frag. is possible but we can remove only ext. fragmentation here.

② Linked List Allocation: Random search is slow, no ext. fragments, less disk wastages.

③ File allocation table: Random search is fast, no space for pointers, but size may be large.

④ Indexed allocation: We collect all the pointers in a single node (inode) and avoid the large size of tables.

i) Linked scheme: We can link several index block.

ii) Multilevel index: Outer level block contain info. of all blocks.

4.11

Combined scheme : Attribute → single/direct /  
double/triple indirect

(2)

Managing free spaces : first responsibility of filesystem is allocation & tracking.

Second is recovery of free blocks.

① Bit Vector : 1 → filled 0 → freed.

② Linked List : Point one to other free nodes.

Disk Scheduling: Used to manage I/O operations done on HD.

① FIFO: No starvation, No queue, simpler to  
No. of cylindersross & seek time.

② SSTF: Shortest seek time first → Starvation is possible,  
Changing direction take more time Better as compare to  
FIFO. [Nearest cylinder next] Best Throughput.

③ Scan: [Elevator Algo.] Moves inwards upto min  
and then moves outwards upto max

④ Scans: Moves inwards and then outwards inwards upto min

⑤ Look, C-Look: It took same as Scan but stop  
inwards and outwards upto limit

→ for C-Look, see the copy notes.

PYO: ① If process CPU follows Round Robin and BT is very  
high, then waiting time will get increases.

② In HRRN we support process with lower BT and limits the  
waiting time of higher BTs.

③ We use RR as time shared algorithms.

④ System calls are invoked by software interrupts.

⑤ A multiuser & multi-processing OS can be implemented on hardware  
that supports privileged & non-privileged.

⑥ To change the mode from privileged to non-privileged a  
non-privileged instruction that doesn't generate interrupt needed.

⑦ SJF gives the max. throughput

⑧ Gang Scheduling → Threads, Rate-Monotonic Scheduling →  
Real-time CPU, fair-share → Guaranteed.

- In RR if  $TQ > \max(BT)$  then it degenerates to FCFS.
- In both synchronous and asynchronous ISR invoked after I/O completion.

~~In synchronous I/O after invocation of ISR CPU will place the process from block state to ready state.~~

- Round Robin is better than FCFS in terms of response time.
- Switching between user to kernel takes less time than context switching.
- User level threads are not scheduled by kernels.

~~A thread of a process shares both heap & global variables.~~

- In Process Synchronization look at the only 10 questions as - 40, 39, 38, 36, 35, 32, 31, 30, 27, 26

~~The link-load and go scheme takes more space as compare to link and go spaces.~~

~~wrong A link-editor is a program that acts as a link between compiler and user programs.~~

- The amount of Virtual memory limited by the secondary storage.

~~Monitor's doesn't use indivisible machine instruction to resolve Critical Section issues.~~

~~The LRU page replacement policy may cause hashing for some type of programs.~~

→ 2 pass assembler: Pass 1 → Define symbols and literals and use in symbol & literal tables. Keep track of location counter. Process pseudo-operations. Pass 2 → <sup>info collected</sup> <sub>object generated</sub>

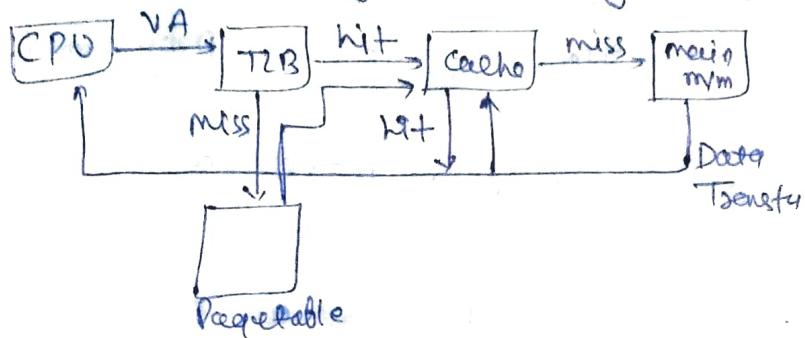
Pass 2 → Generate object code, generate data for literals and look for values of symbols.

→ In segmentation, each segment table have its own page table bcoz of its large size.

~~Mesching implies excessive page I/Os.~~

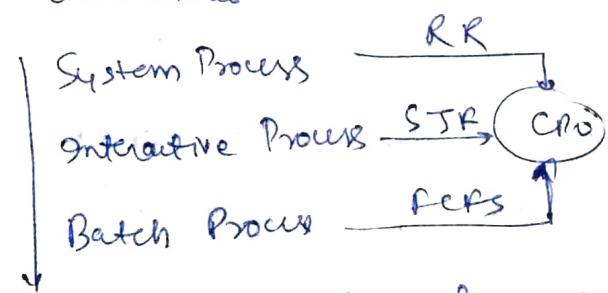
~~Dirty bit for a page avoids unnecessary writes on paging device.~~

- In belady's anomaly, it is not happening always that  $\uparrow$  frames  $\rightarrow \uparrow$  page faults.
- Instruction opcode is not a form of m/m.
- We have need for multi-level paging to avoid the large m/m overhead of maintaining page tables.



~~$$\begin{aligned}
 & \text{TLB Miss} * (\text{Cache Hit}) \\
 & + (\text{TLB Hit} * (\text{Cache Miss})) \\
 & + (\text{TLB Miss} * \text{Cache Hit}) \\
 & + (\text{TLB Miss} * \text{Cache Miss})
 \end{aligned}$$~~

~~The min no. of page frames that must be allocated to a running process is determined by the instruction set architecture.~~



we can use the same algo. for each queue or we can use different one too.

Priority decrease  $\rightarrow$  starvation is problem here

To avoid starvation we use Multilevel feedback Queue we upgrade process to high priority queue, from low priority queue using feedbacks

$\rightarrow$  Peterson's and Dekker's algo satisfy all 3 problems' solution for synchronisation.

TLB hit [ TLB access time + Cache hit \* Cache access + Cache miss [ Cache + m ] ]

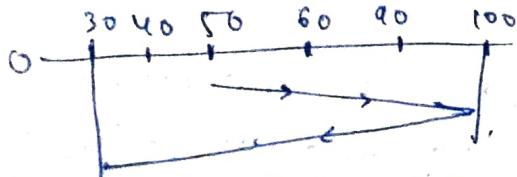
+

TLB miss [ TLB access + n \* page-table access + Cache hit \* Cache access + Cache miss [ Cache + m ] ]

- Each entry / page / frame of main m/m contains element / word
- No. of words in a frame =  $\frac{\text{size of frame}}{\text{word size}}$
- ✓ Dirty page also contributes in page fault
- On receiving an interrupt from I/O device the CPU branches off the ISR after completion of current Inst.
- ✓ Data transfer between memory and I/O is faster in case of Interrupt driven I/O as compare to programmed I/O.
- ✓ The root directory of a disk should be placed at fixed location on system disks.
- I/O realization means it can be use an existing file as input file for a programs.
- ✓ When an Interrupt occurs OS may change the state of interrupted process to 'blocked' and schedule another process.
- Enable should be 1, to process interrupts for CPU and CPU also check interrupts before executing new instruction.
- Larger block in fixed allocation index Increases throughput but reduces disk utilization.
- In -s f1, f2 means replace f2 by f1 in directory.

(Elevates) Maximum cardinality of request set so that head changes its direction: for this we  $\text{new} = (\text{2} \times \text{old}) + 1$

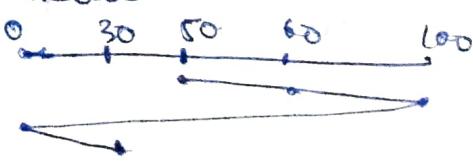
Scan → follow the direction, seek upto either max. or min and then come back



go to 100 and stop at 30  
don't need to go for 0.

C-SCAN

- ① Move in same direction to max or min cylsely.
- ② Come back to min or max & again start traversing the cylinders



→ Preemption with time Quantum allows the process to improve interactivity.

→ Commands in UNIX

- ① nice : To set priority of process (NI)
  - ② ls : It lists all the file system directories.
  - ③ ps : process status inside the system.
  - ④ grep : It search in a file for a particular pattern of char.
- In fork() → Child, parent can be created in any order

→ Priority Inversion may occur when CPU is running priority based preemptive scheduling algo and programme soln [Peterson, TSL, Lock, swap etc]

→ Related Kernel level threads can be scheduled on different processors in multiprocessor environments.

Look → Same as Scan  
but don't need to go for terminals

cylinder

1 40 50 60 90 100

C-Look →

0 40 45 50 60 90 100

Same as C-scan bcoz no need to include terminal cylinders

- Paging suffers Interval and Segmentation suffers external fragmentation.
- Process and kernel thread can run in parallel in Multiprocessing systems.
- Reading of clock can be done in user mode.
- Accessing I/O device need privileged instruction.
- In dining philosopher each philosopher picks up the left fork first then right fork.

```
void Philosophers() {
```

```
    while(true) {
```

```
        Think();
```

```
        take_fork(i); take_fork((i+1)%N);
```

```
        Eat();
```

```
        put_fork(i); put_fork((i+1)%N);
```

```
}
```

- Kernel stack can be used to store context of a process not the user program functions (store by user stack)
- User threads are fast bcoz it doesn't involves kernel
- User threads are light bcoz of no system calls
- Kernel is not aware of blocked & runnable user threads
- In kernel mode we can change mapping from virtual to main m/m, disable the interrupt, Mask and unmask interrupt
- No. of entries in inverted page table = no. of frames
- Overlays are not used to increase main m/m size
- Aging is used to resolve starvation problems
- By increasing no. of buffers in Producer & Consumer problem throughput ↑, chance of deadlock ↑, implementation complexity ↑.
- Race Condition : no mutual exclusion, or output depends on sequence of operations
- Dispatcher is only responsible for switching threads, priorities are decided by scheduler according to algorithms
- To create a good solution for mutual exclusion problem, there should be no assumption about number of CPU and its speed.
- VAS can be greater or smaller than the physical memory.

- ✓ Threads have separate execution states.
- In fork() both child and parent gets different PID and child gets the exactly same file descriptor table opened by parent.
- ✓ A system call always results in context switching because it needs OS in kernel mode.
- If demanded page strings have loops then optimal behave as MRO and if reverse strings demanded then optimal behave as FIFO and LRU.
- Remember the concept of MRO.
- n-fork() statements will have  $2^n - 1$  children.
- ✓ Paging may lead to internal fragmentation.
- ✓ For deletion in B-tree we have two techniques, either borrow from siblings or coalesce.
- Best throughput : SJF  
 min. response time : Round Robin  
 min. waiting time : SRFT

### Turn Variable

```
while (turn != 0);
      CS
      turn = 1;
      NonCS
```

```
while (turn != I);
      CS
      turn = 0;
      NonCS;
```

### Lock Variable

```
while (Lock != 0);
      Lock = 1;
      CS
      Lock = 0;
```

Disablit : Right-back policy  
R/W : Page protection  
Reference : Page replacement policy  
Valid : Page Initialization

Locality of reference implies that the page reference being made by a process is likely to be a page used in last few pages.

→ Threading reduces page I/O.

→ Virtual m/m have no relation with context switching overhead

→ In FIFO sometimes page fault ↑ with 9 frame not always

→ If page table size > Page size means large m/m overhead in maintaining page tables

→  $E_{MAT} = t_h [t_q + C_h[a] + C_m[a+ma]] + t_m [t_q + (n \times ma) + C_h[a] + C_m[a+ma]]$

→ Shortest seek time first gives best throughput

→  One of max. possible file size first find.

$$n = \frac{\text{block size (bytes)}}{(\text{address size}) \text{ bytes}}$$

then,

$$[(\text{no. of direct}) + (x \times \text{single}) + (x^2 \times \text{double}) + (x^3 \times \text{triple})]^{\frac{\text{disk size}}{\text{block size}}}$$

→ Sometimes value of  $x$  is directly given as each block contain 128 blocks then,  $x=128$