

BOND: BERT-Assisted Open-Domain Named Entity Recognition with Distant Supervision

Chen Liang*, Yue Yu*, Haoming Jiang*,
Siawpeng Er, Ruijia Wang, Tuo Zhao, Chao Zhang

Georgia Institute of Technology



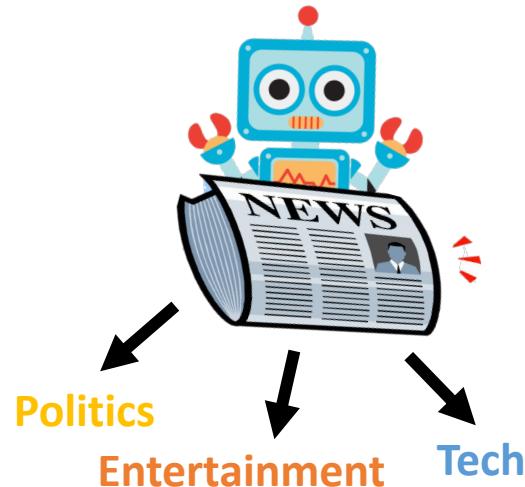
* Equal contributions

Named Entity Recognition (NER)

When Sebastian Thrun PERSON started at Google ORG in 2007 DATE, few people outside of the company took him seriously. “I can tell you very senior CEOs of major American NORP car companies would shake my hand and turn away because I wasn’t worth talking to,” said Thrun PERSON, now the co-founder and CEO of online higher education startup Udacity, in an interview with Recode ORG earlier this week DATE.

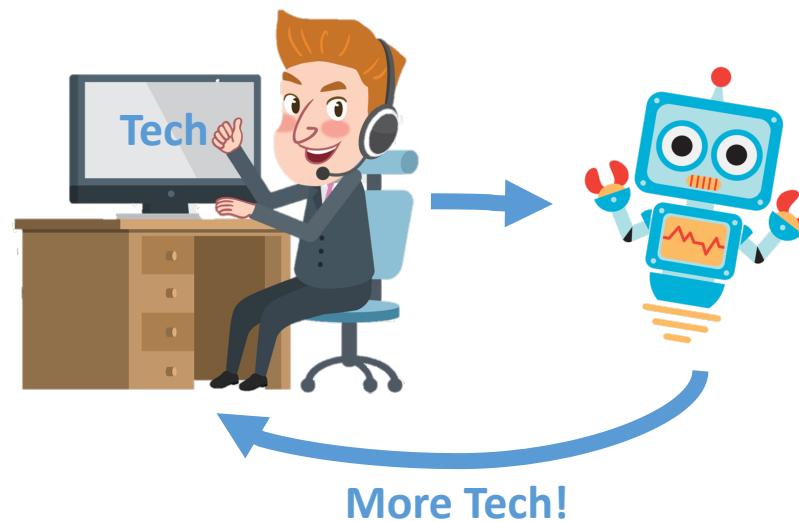
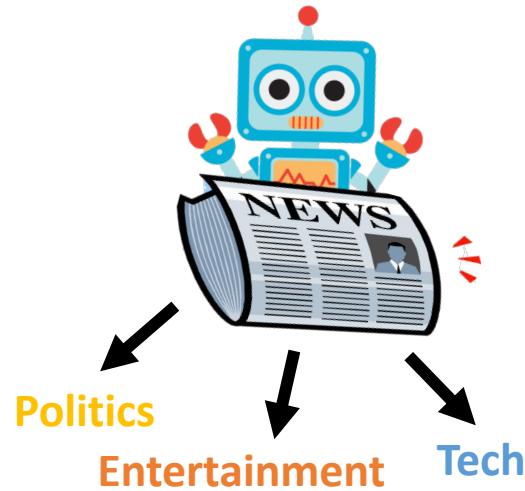
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PROJECT INFO

DATASET ner_fashion_brands
LANGUAGE en
VIEW ID ner_manual

PROGRESS

THIS SESSION 253
TOTAL 253

ACCEPT 237
REJECT 1
IGNORE 15

HISTORY

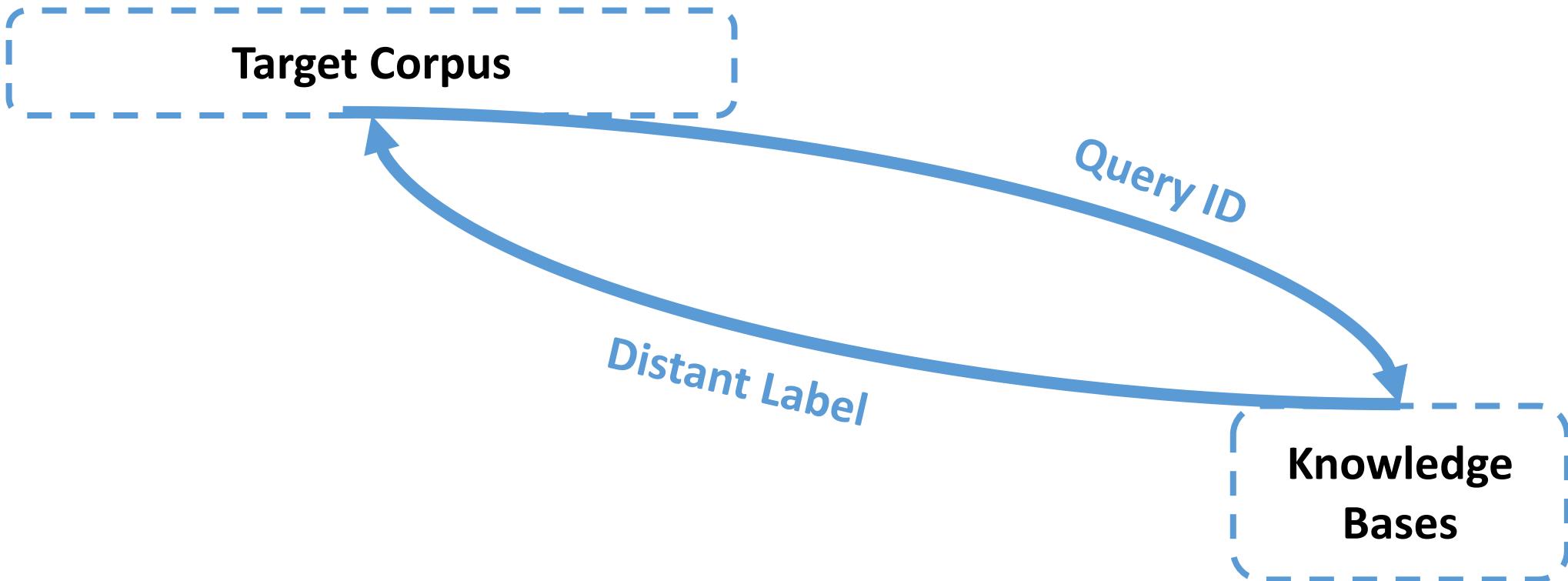
- Which company? ✓
- Cowboy hat from Gucci ✓
- I agree fully, I'm younger than ... ✓
- I take pride in my work ✓

FASHION_BRAND 1

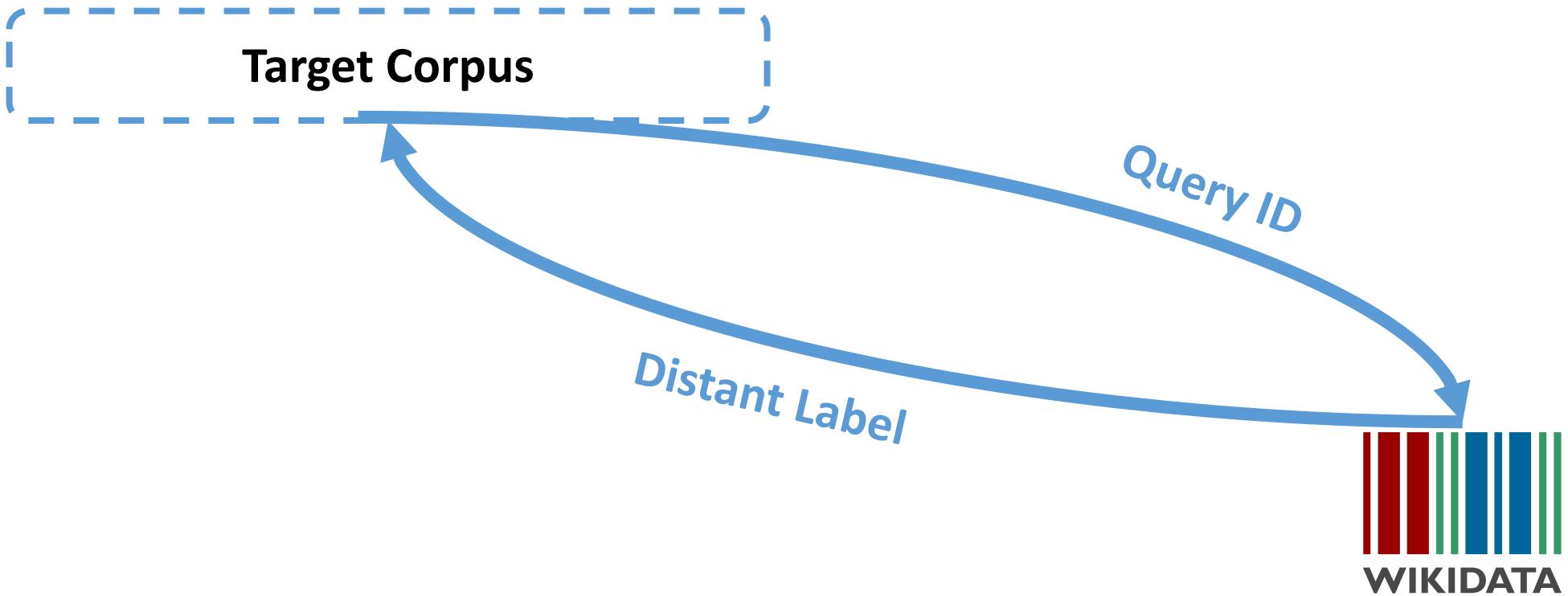
Sounds like a very confusing comment then

SECTION: malefashionadvice





Distant Supervision



Distant Supervision

POS Tagging: ... NNP NNP NNP ...
Sentence: ... Liverpool Football Club ...

Potential Entity

Query ID

Distant Label



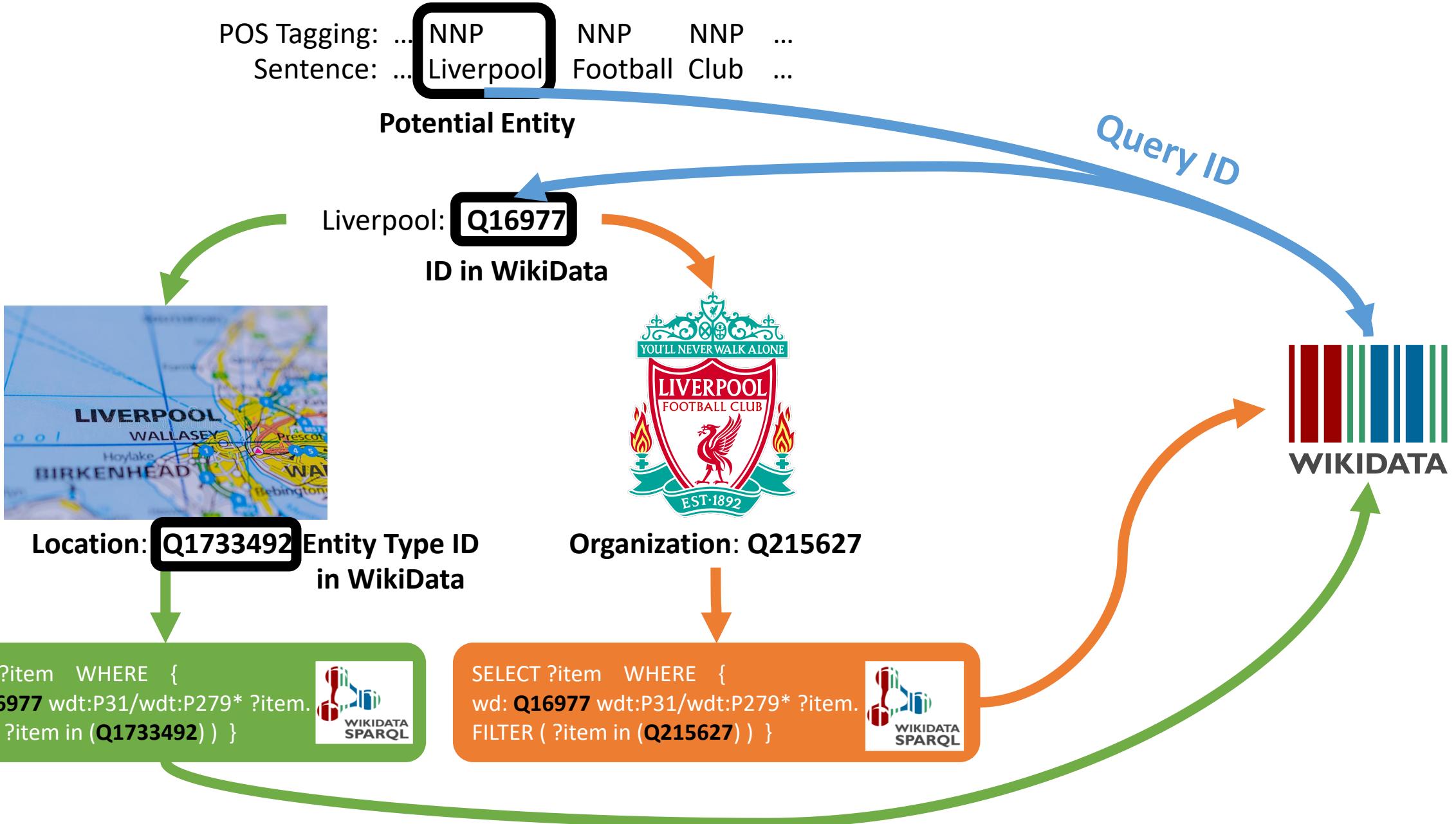
POS Tagging: ... NNP NNP NNP ...
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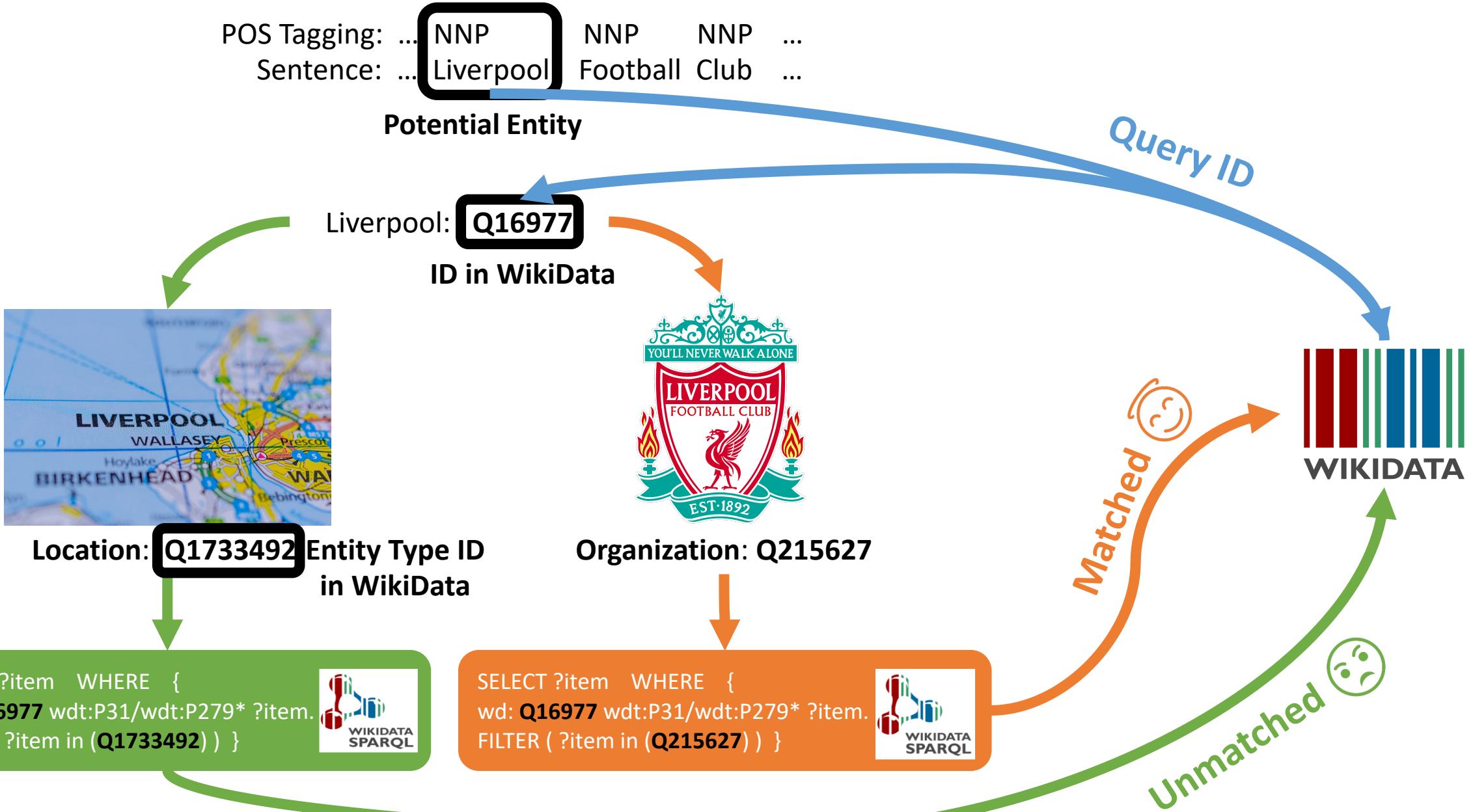
Potential Entity

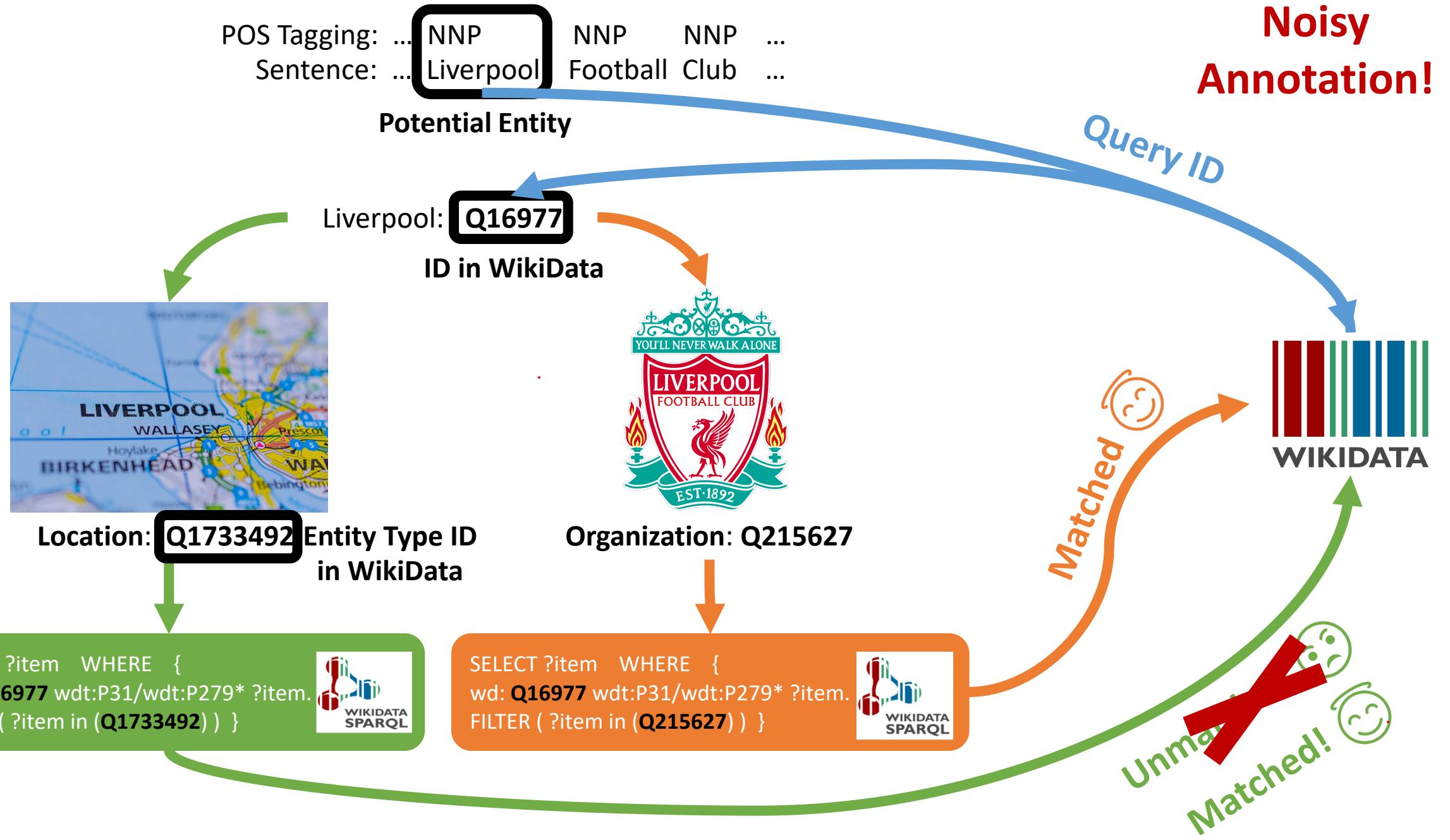
Liverpool: Q16977
ID in WikiData

Query ID

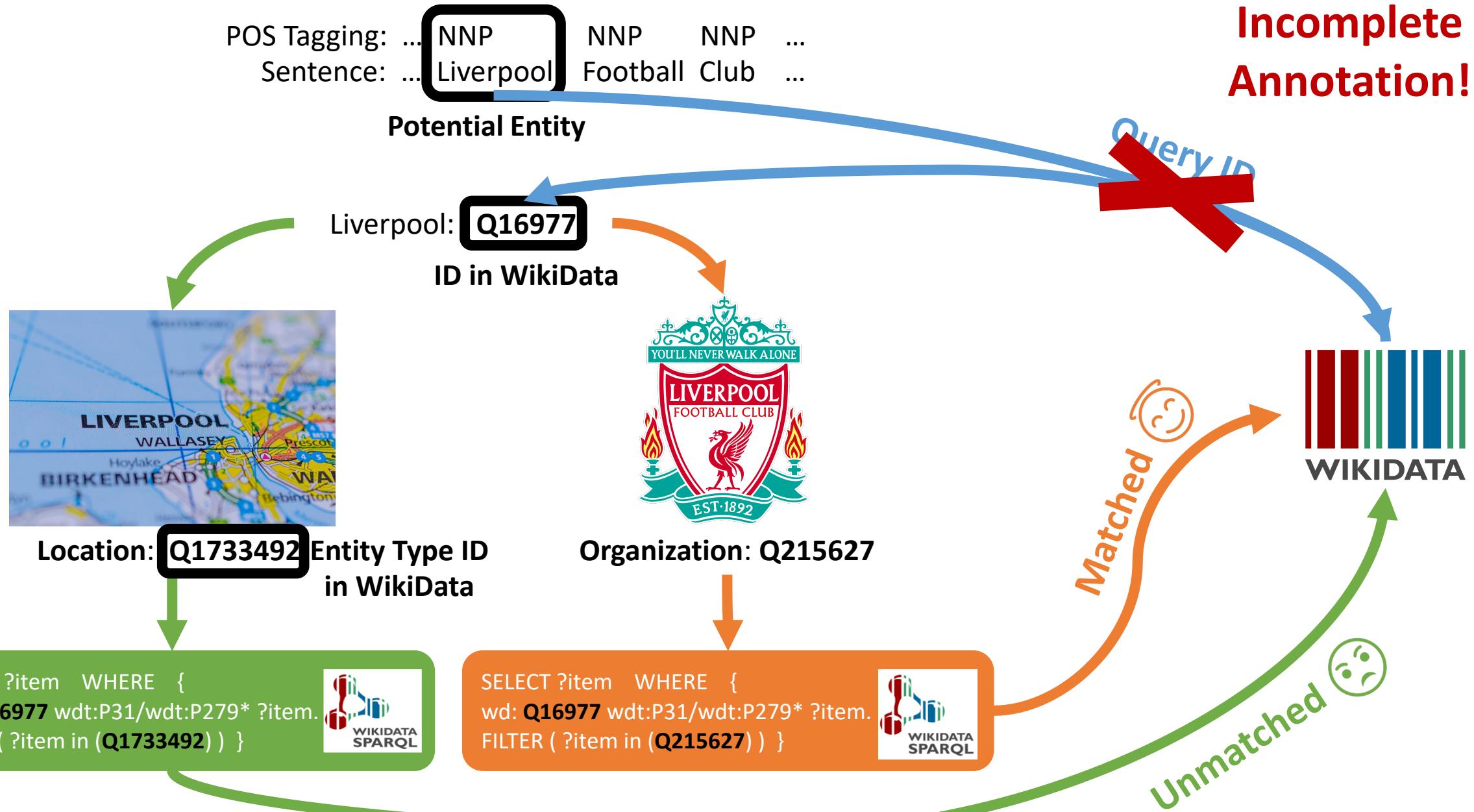






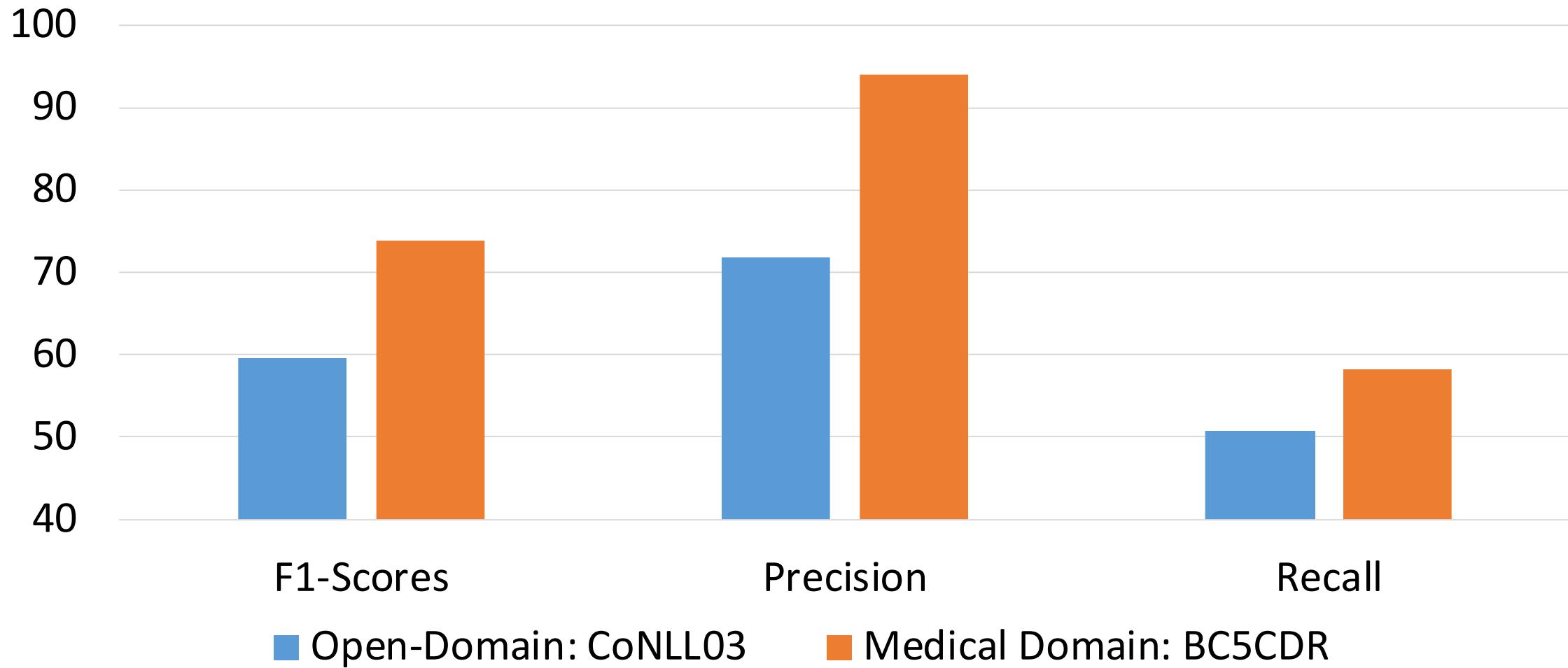


Incomplete Annotation!



Open Domain NER with Distant Supervision

Matching Performance on **Open-Domain** vs. **Single-Domain** NER Data



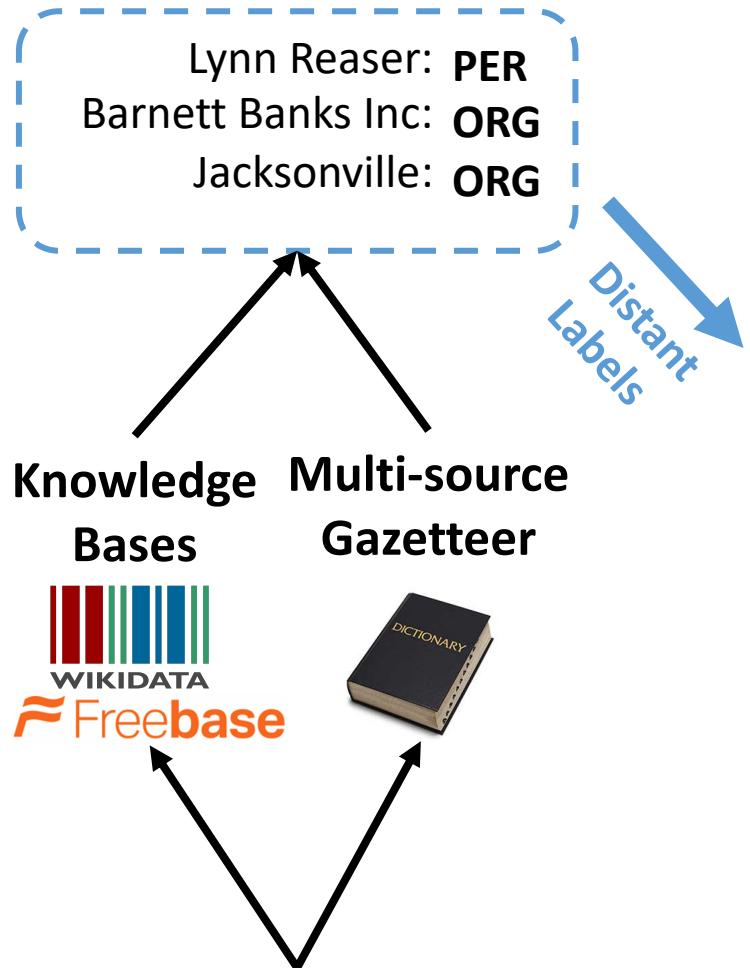
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- Leverage power of **pre-trained language models** (e.g. RoBERTa)
- **Two-stage self-training framework**



...economist Lynn
Reaser of Barnett Banks
Inc. in Jacksonville...



Knowledge Multi-source Gazetteer

Bases

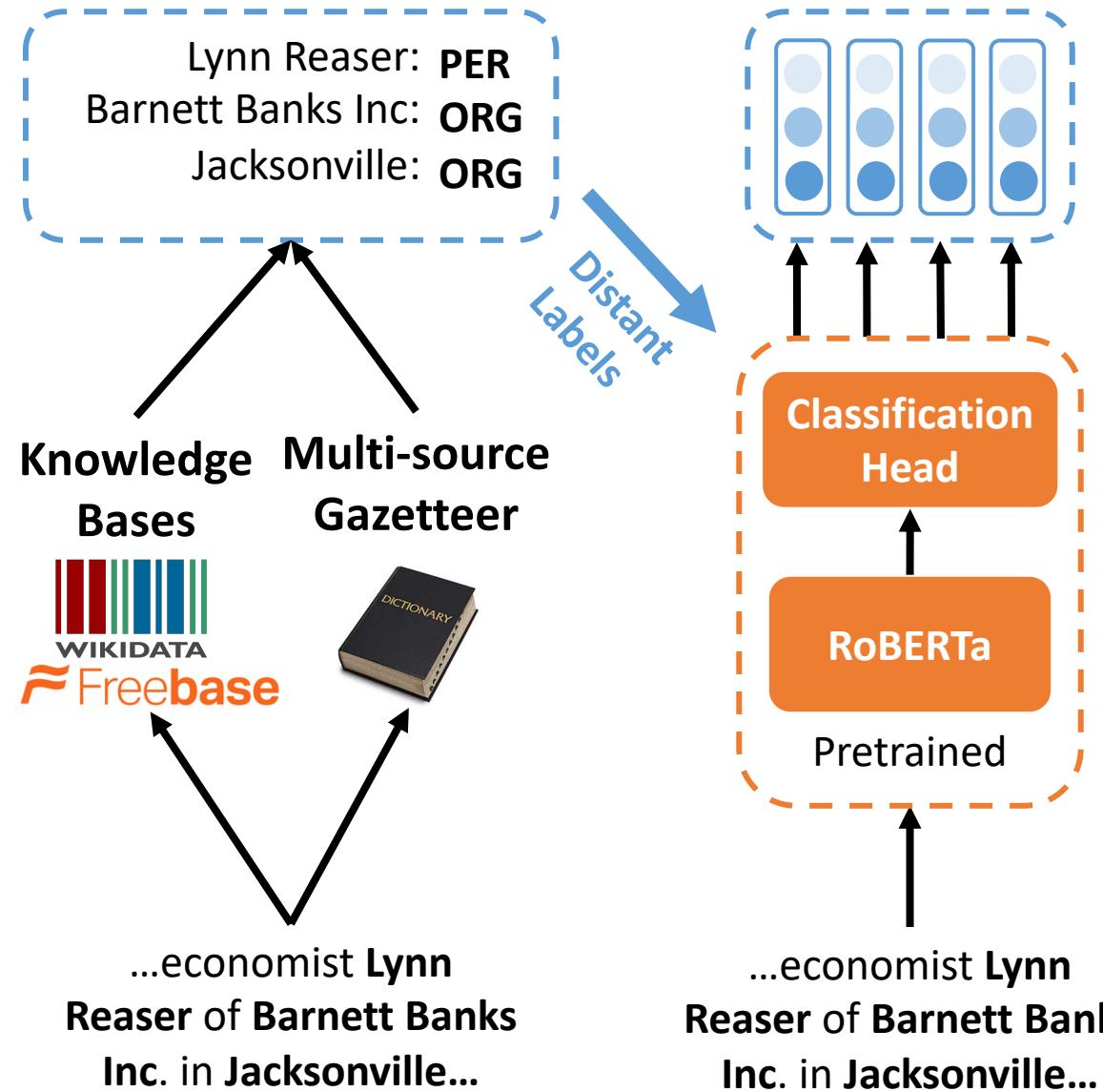


Gazetteer

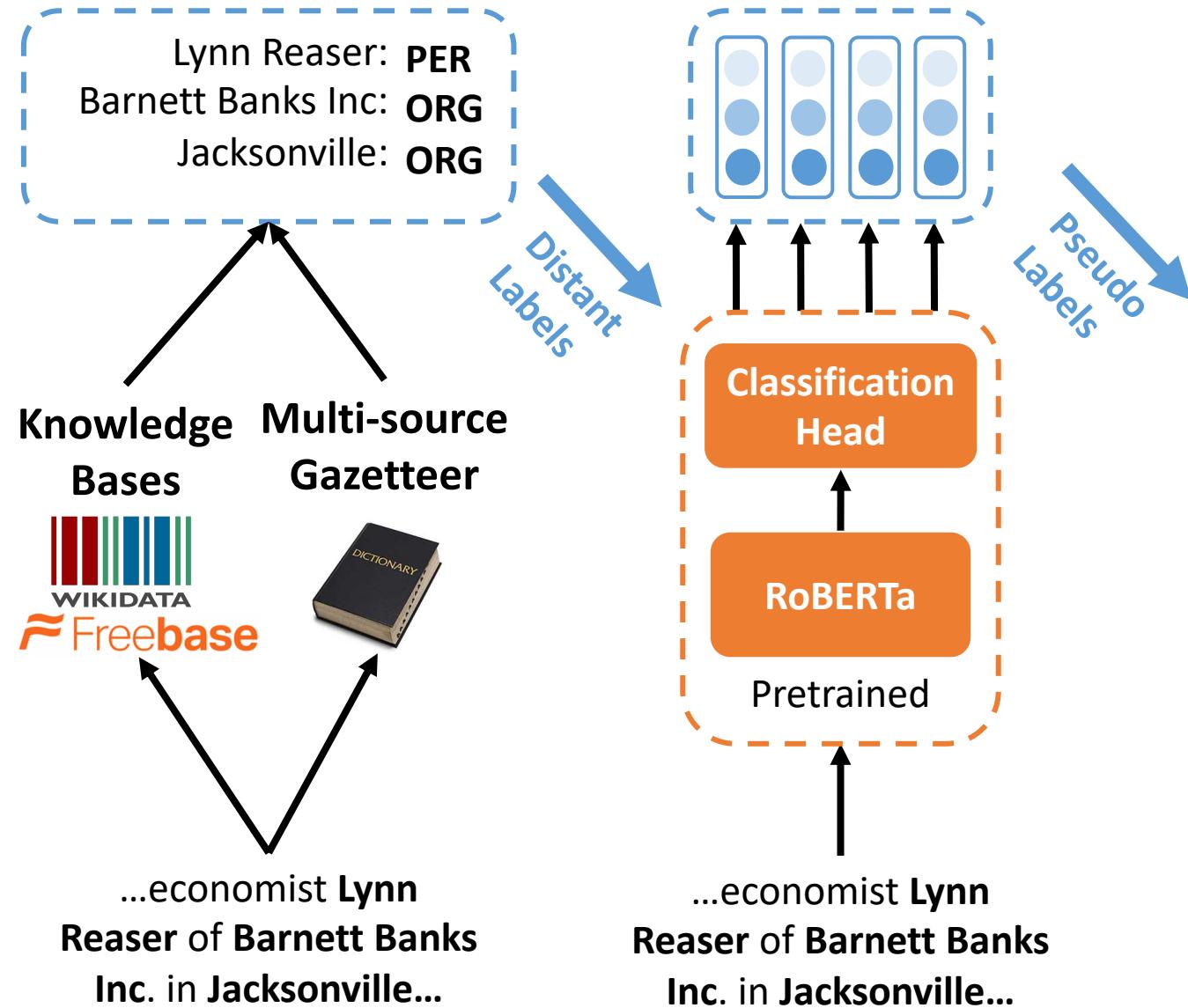
Lynn Reaser: **PER**
Barnett Banks Inc: **ORG**
Jacksonville: **ORG**

Distant
Labels

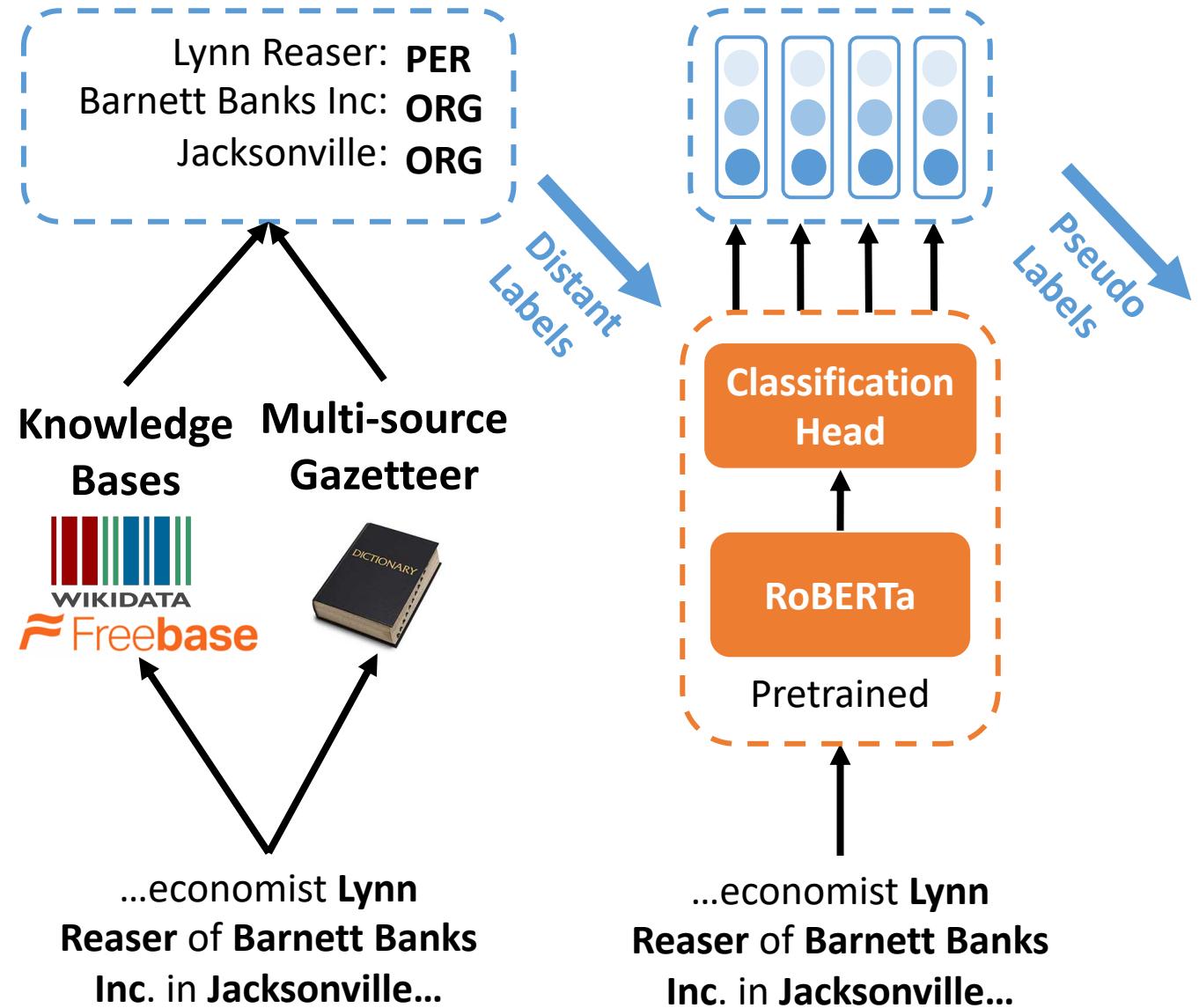
Stage I: Pseudo Label Generation



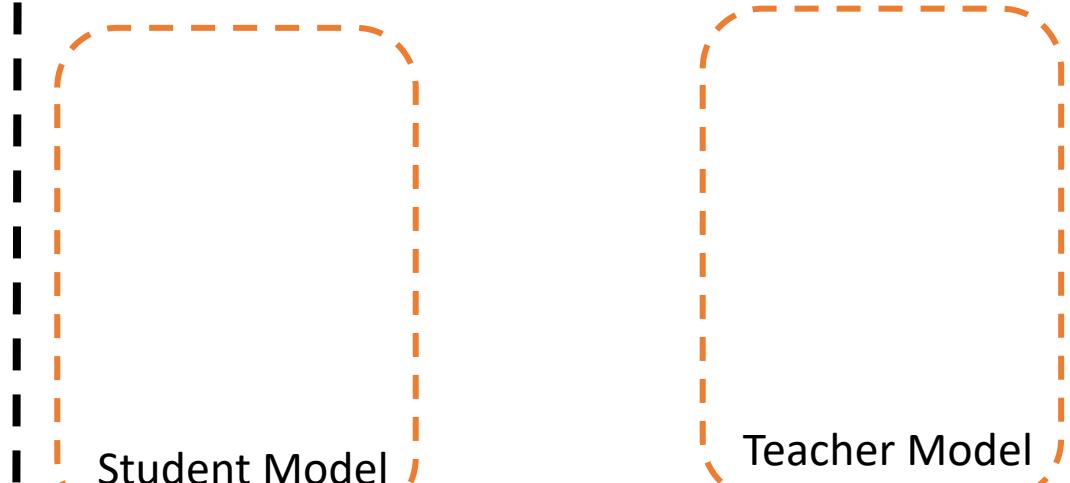
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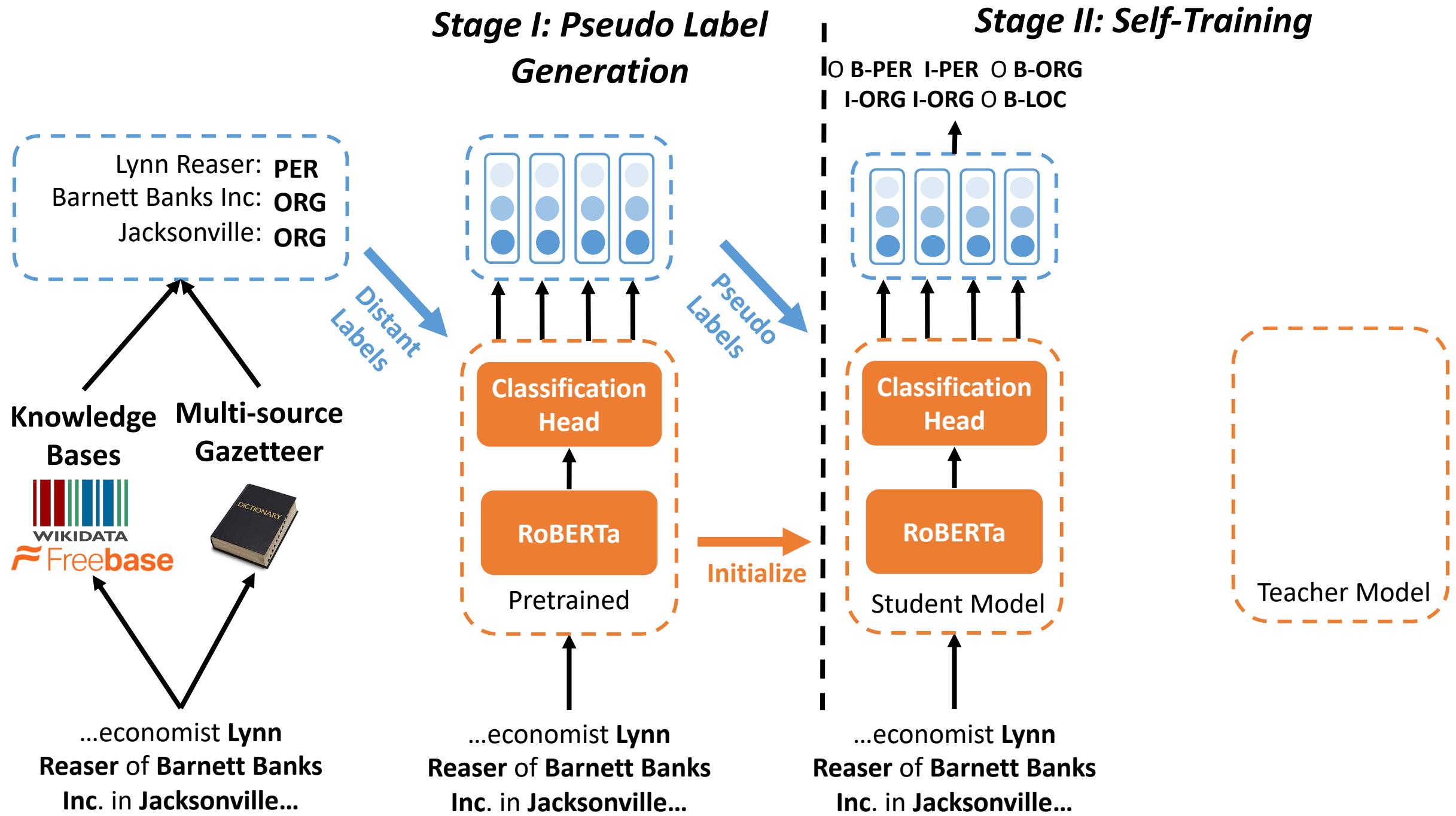


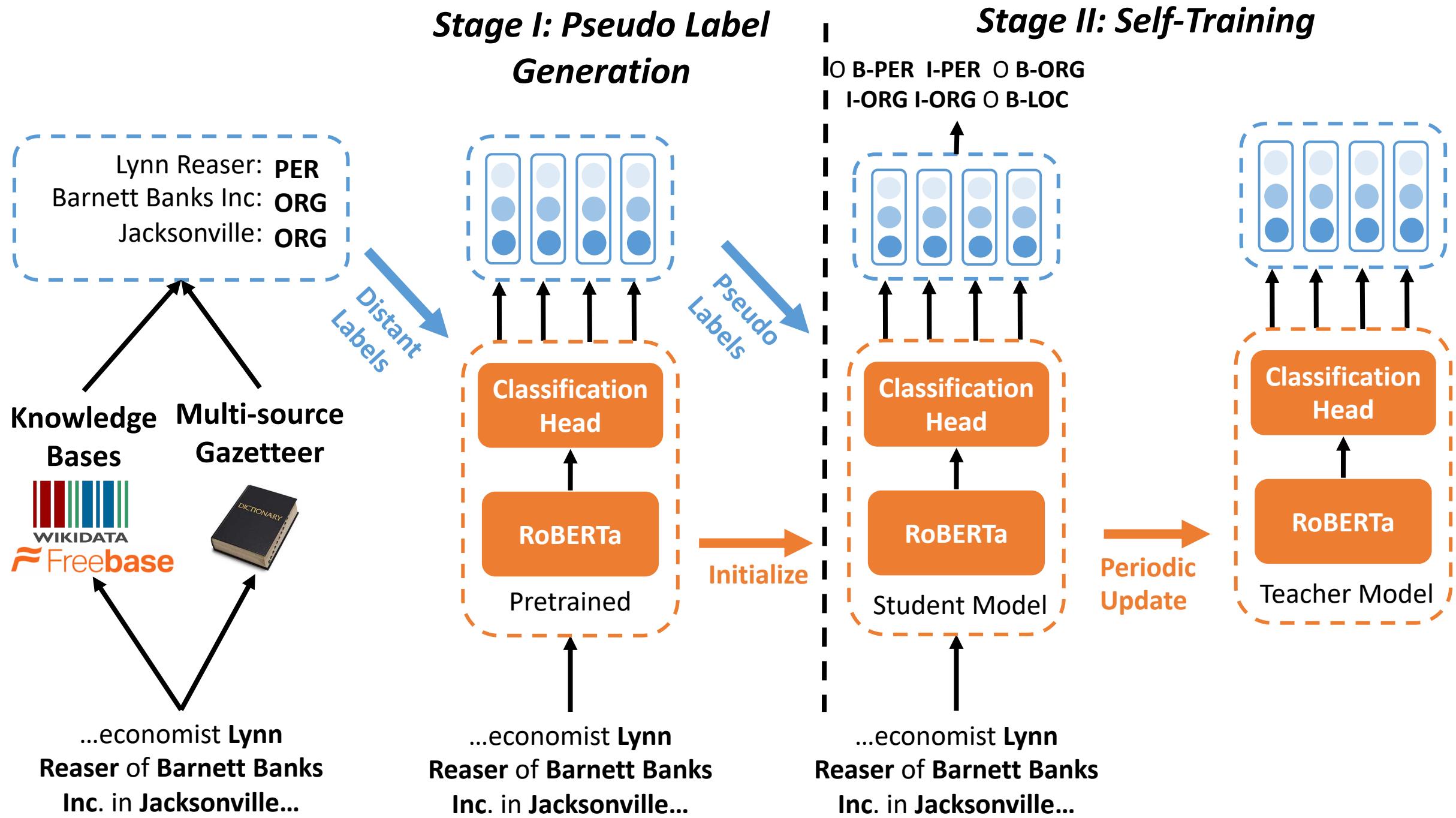
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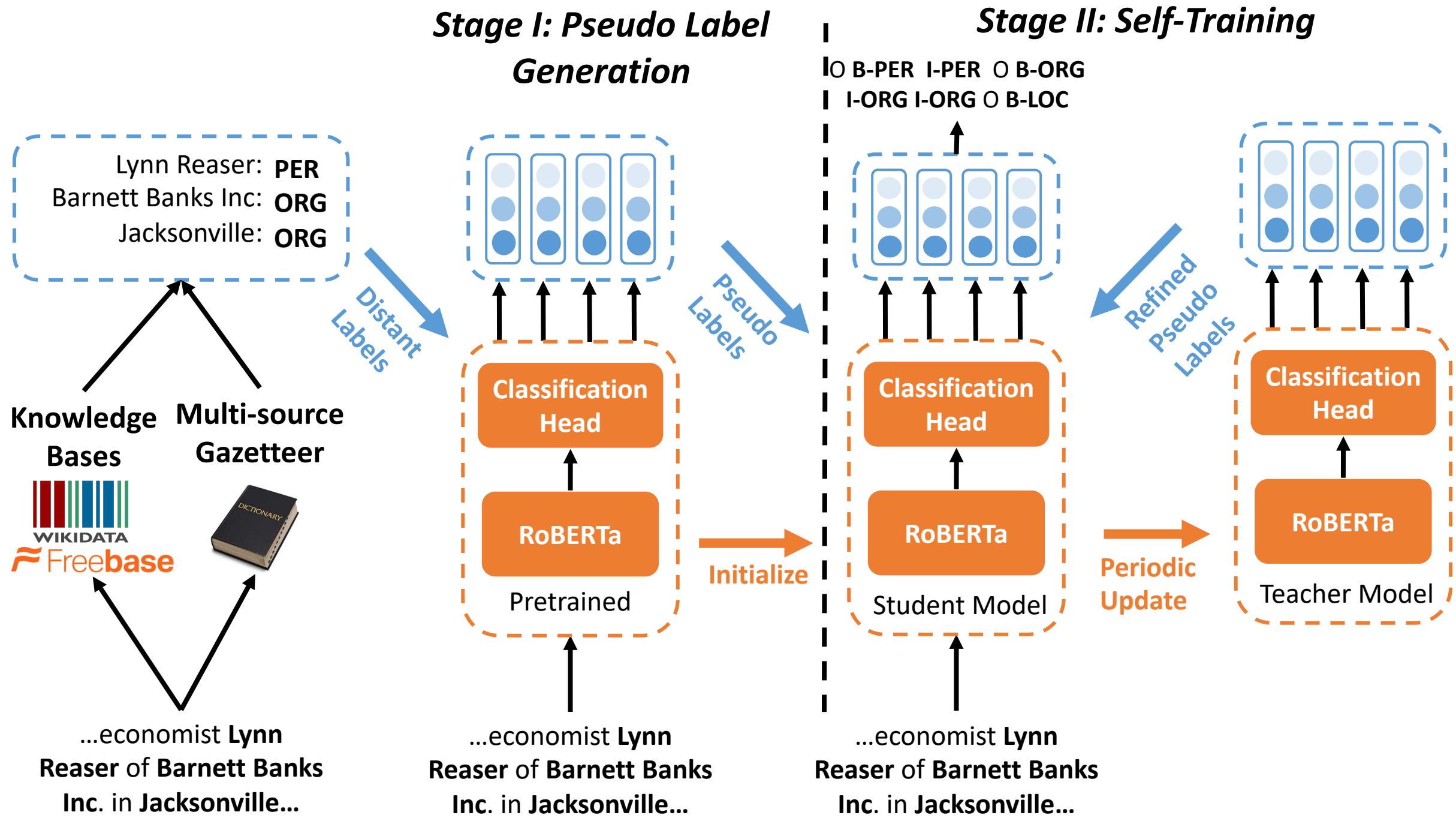


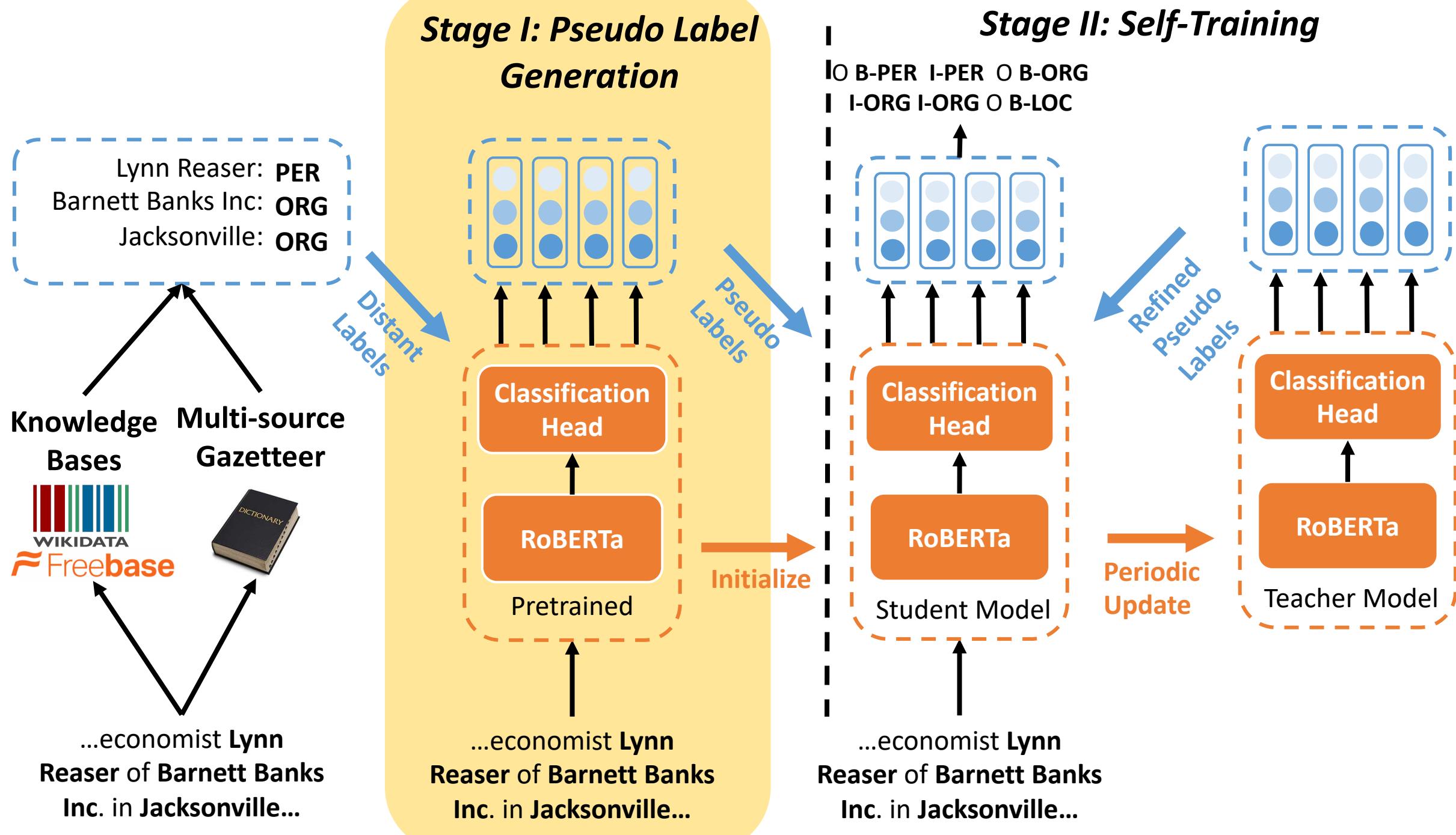
Stage II: Self-Training



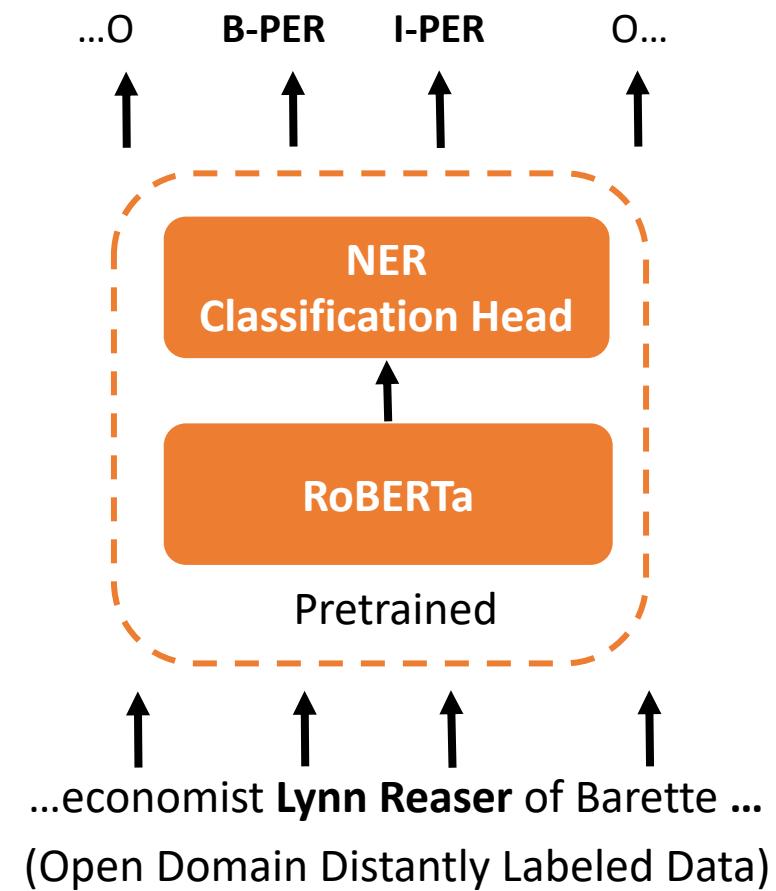




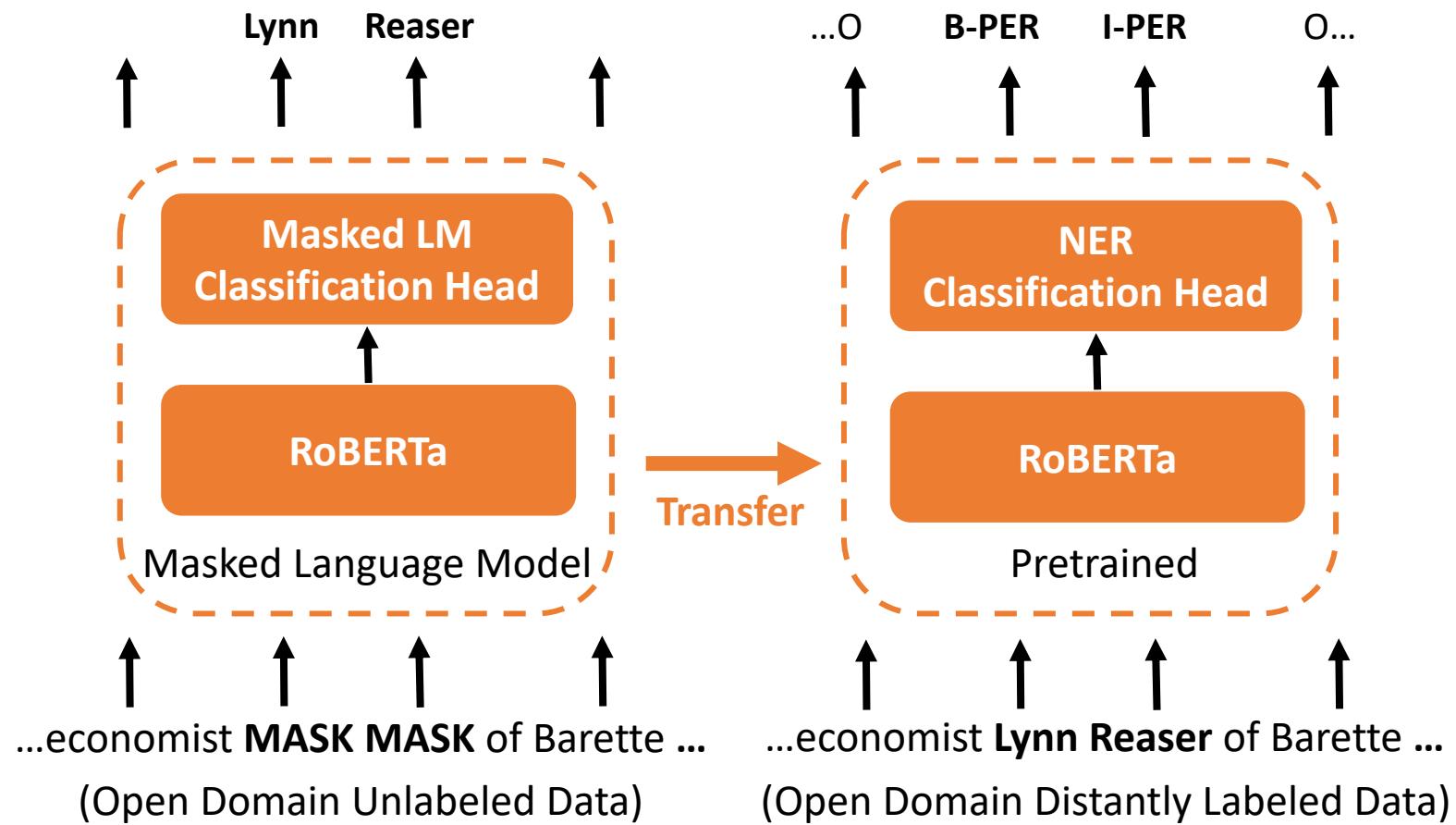




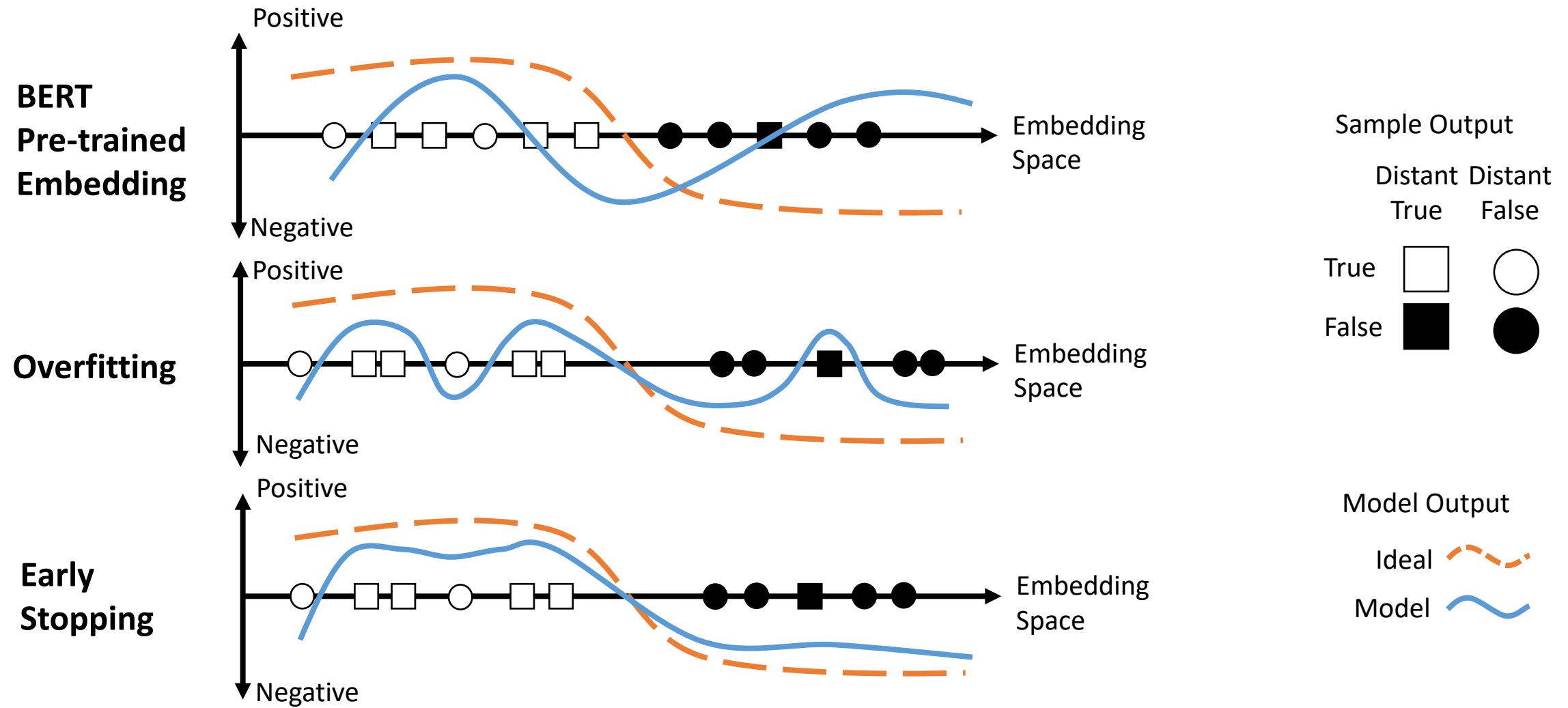
Stage I: BERT-Assisted Distantly Supervised Learning

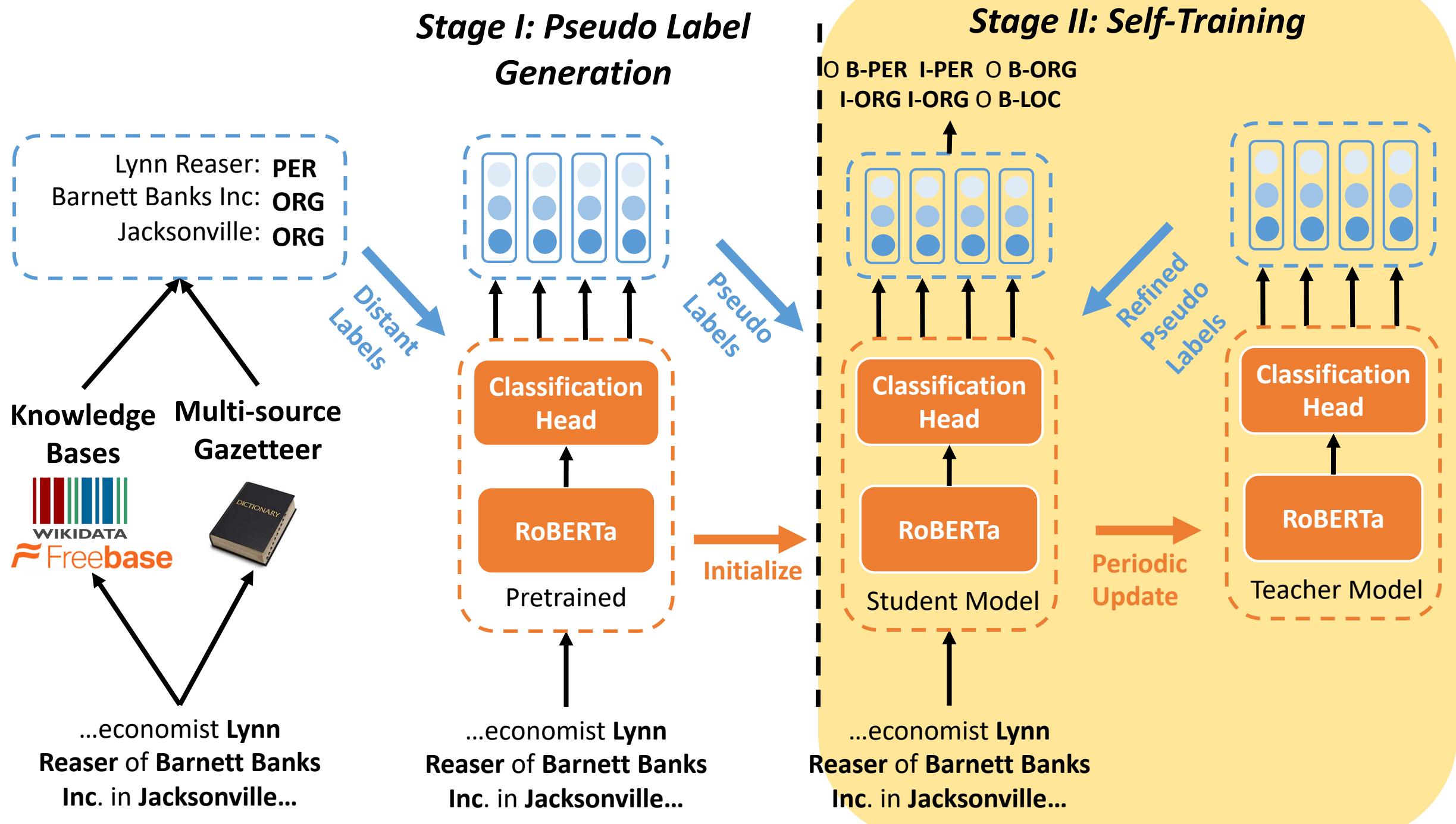


Stage I: BERT-Assisted Distantly Supervised Learning



Stage I: Early Stopping





Stage II: Teacher-Student Framework

- Initialize the teacher model $f(\cdot; \theta_{tea})$ and the student model $f(\cdot; \theta_{stu})$ with the early stopped model $f(\cdot; \hat{\theta})$ obtained in Stage I

$$\theta_{tea}^{(0)} = \theta_{stu}^{(0)} = \hat{\theta}$$

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- At the t -th iteration
 - The teacher model generates pseudo labeled data $\{X_m, \tilde{Y}_m\}_{m=1}^M$

$$\tilde{y}_{m,n}^{(t)} = \operatorname{argmax}_c f_{n,c}(X_m; \theta_{tea}^{(t)})$$

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$$\theta_{stu}^{(t)} = \operatorname{argmin}_{\theta} \frac{1}{M} \sum_{m=1}^M \ell(\tilde{Y}_m^{(t)}, f(X_m; \theta))$$

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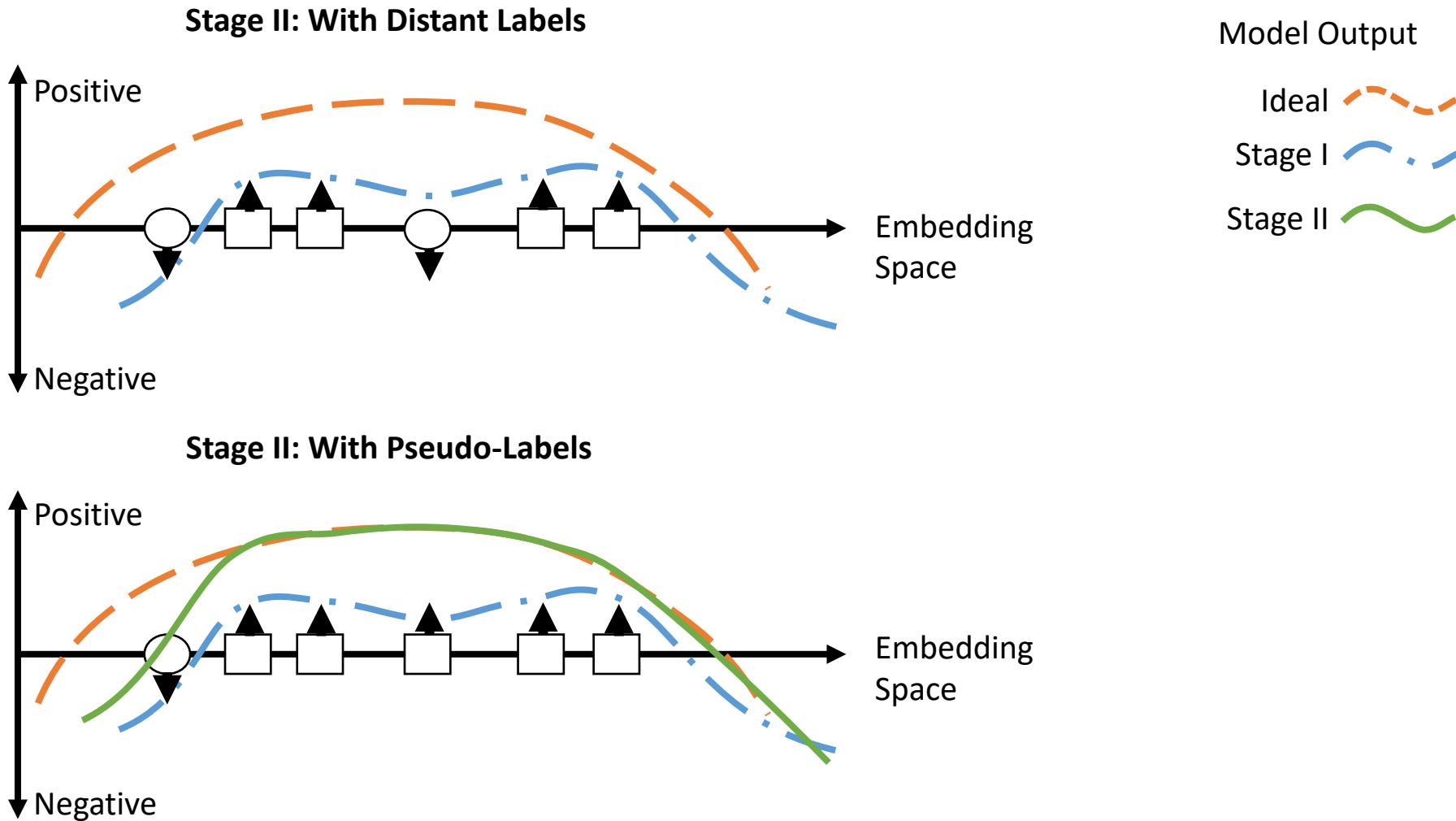
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$$\theta_{stu}^{(t)} = \operatorname{argmin}_{\theta} \frac{1}{M} \sum_{m=1}^M \ell(\tilde{Y}_m^{(t)}, f(X_m; \theta))$$
 - Update the teacher model and the student model by

$$\theta_{tea}^{(t+1)} = \theta_{stu}^{(t+1)} = \hat{\theta}_{stu}^{(t)}$$

Stage II: Teacher-Student Framework



Stage II: Soft Labels w/ Confidence Re-weighting

- At the t -th iteration
 - Denote the output probability simplex over C classes as $[f_{n,c}(X_m; \theta)]_{c=1}^C$

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- The teacher model generates soft labels $\left\{ S_m^{(t)} = \left[s_{m,n}^{(t)} \right]_{n=1}^N \right\}_{m=1}^M$ by
- $$s_{m,n}^{(t)} = \left[s_{m,n,c}^{(t)} \right]_{c=1}^C = \left[\frac{f_{n,c}^2(X_m; \theta_{tea}^{(t)}) / p_c}{\sum_{c'=1}^C f_{n,c'}^2(X_m; \theta_{tea}^{(t)}) / p_{c'}} \right]_{c=1}^C$$

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- The student model fit the soft labels by solving

$$\theta_{stu}^{(t)} = \operatorname{argmin}_{\theta} \frac{1}{M} \sum_{m=1}^M \ell_{KL}(S_m^{(t)}, f(X_m; \theta)),$$

where $\ell_{KL}(S_m^{(t)}, f(X_m; \theta)) = \frac{1}{N} \sum_{n=1}^N \sum_{c=1}^C -s_{m,n,c}^{(t)} \log f_{n,c}(X_m; \theta)$

Stage II: High-Confidence Selection

- At the t -th iteration
 - Select a set of high confidence tokens from the m -th sentence by

$$H_m^{(t)} = \left\{ n : \max_c s_{m,n,c}^{(t)} > \epsilon \right\},$$

where $\epsilon \in (0,1)$

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where $\epsilon \in (0,1)$

- The student model fit the high-confidence labels of the selected tokens by solving

$$\theta_{stu}^{(t)} = \operatorname{argmin}_{\theta} \frac{1}{M|H_m^{(t)}|} \sum_{m=1}^M \sum_{n \in H_m^{(t)}} -s_{m,n,c}^{(t)} \log f_{n,c}(X_m; \theta)$$

Experiment: Main Result

Table 2: Main Results on Testing Set: F_1 Score (Precision/Recall) (in %)

Method	CoNLL03	Tweet	OntoNote5.0	Webpage	Wikigold
Entity Types	4	10	18	4	4
KB Matching	71.40(81.13/63.75)	35.83(40.34/32.22)	59.51(63.86/55.71)	52.45(62.59/45.14)	47.76(47.90/47.63)
Fully-Supervised (Our implementation)					
RoBERTa	90.11(89.14/91.10)	52.19(51.76/52.63)	86.20(84.59/87.88)	72.39(66.29/79.73)	86.43(85.33/87.56)
BiLSTM-CRF	91.21(91.35/91.06)	52.18(60.01/46.16)	86.17(85.99/86.36)	52.34(50.07/54.76)	54.90(55.40/54.30)
Baseline (Our implementation)					
BiLSTM-CRF	59.50(75.50/49.10)	21.77(46.91/14.18)	66.41(68.44/64.50)	43.34(58.05/34.59)	42.92(47.55/39.11)
AutoNER	67.00(75.21/60.40)	26.10(43.26/18.69)	67.18(64.63/69.95)	51.39(48.82/54.23)	47.54(43.54/52.35)
LRNT	69.74(79.91/61.87)	23.84(46.94/15.98)	67.69(67.36/68.02)	47.74(46.70/48.83)	46.21(45.60/46.84)
Other Baseline (Reported Results)					
KALM [†]	76.00(- / -)	-	-	-	-
ConNET [◊]	75.57(84.11/68.61)	-	-	-	-
Our BOND Framework					
Stage I	75.61(83.76/68.90)	46.61(53.11/41.52)	68.11(66.71/69.56)	59.11(60.14/58.11)	51.55(49.17/54.50)
BOND	81.48(82.05/80.92)	48.01(53.16/43.76)	68.35(67.14/69.61)	65.74(67.37/64.19)	60.07(53.44/68.58)

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Experiment: Ablation

Table 3: Ablation Study: F_1 Score (Precision/Recall) (in %)

Method	CoNLL03	Wikigold
Stage I		
Stage I	75.61(83.76/68.90)	51.55(49.17/54.50)
Stage I w/o pre-train	36.66(37.49/35.75)	18.31(18.14/18.50)
Stage I w/o early stop	72.11(81.65/64.57)	49.68(48.67/50.74)
Stage I w/ MT	76.30(82.92/70.67)	46.68(49.82/43.91)
Stage I w/ VAT	76.38(82.58/71.04)	47.54(50.02/45.30)
Stage I + Stage II		
BOND [†]	77.28(83.42/71.98)	56.90(54.32/59.74)
BOND w/ soft	80.18(81.56/78.84)	58.64(58.29/65.79)
BOND w/ soft+high conf	81.48(82.05/80.92)	60.07(53.44/68.58)
BOND w/ reinit	78.17(85.05/72.31)	58.55(55.31/62.19)
BOND w/ soft+reinit	76.92(83.39/71.38)	54.09(50.72/57.94)
BOND w/ MT	77.16(82.79/72.25)	57.93(55.66/60.39)
BOND w/ VAT	77.64(85.62/70.69)	57.39(55.05/59.41)

Experiment: Ablation

Table 3: Ablation Study: F_1 Score (Precision/Recall) (in %)

Method	CoNLL03	Wikigold
Stage I		
Stage I	75.61(83.76/68.90)	51.55(49.17/54.50)
Stage I w/o pre-train	36.66(37.49/35.75)	18.31(18.14/18.50)
Stage I w/o early stop	72.11(81.65/64.57)	49.68(48.67/50.74)
Stage I w/ MT	76.30(82.92/70.67)	46.68(49.82/43.91)
Stage I w/ VAT	76.38(82.58/71.04)	47.54(50.02/45.30)
Stage I + Stage II		
BOND [†]	77.28(83.42/71.98)	56.90(54.32/59.74)
BOND w/ soft	80.18(81.56/78.84)	58.64(58.29/65.79)
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Stage I w/ VAT	76.38(82.58/71.04)	47.54(50.02/45.30)
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BOND ^T	77.28(83.42/71.98)	56.90(54.32/59.74)
BOND w/ soft	80.18(81.56/78.84)	58.64(58.29/65.79)
BOND w/ soft+high conf	81.48(82.05/80.92)	60.07(53.44/68.58)
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Experiment: Ablation

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Experiment: Ablation

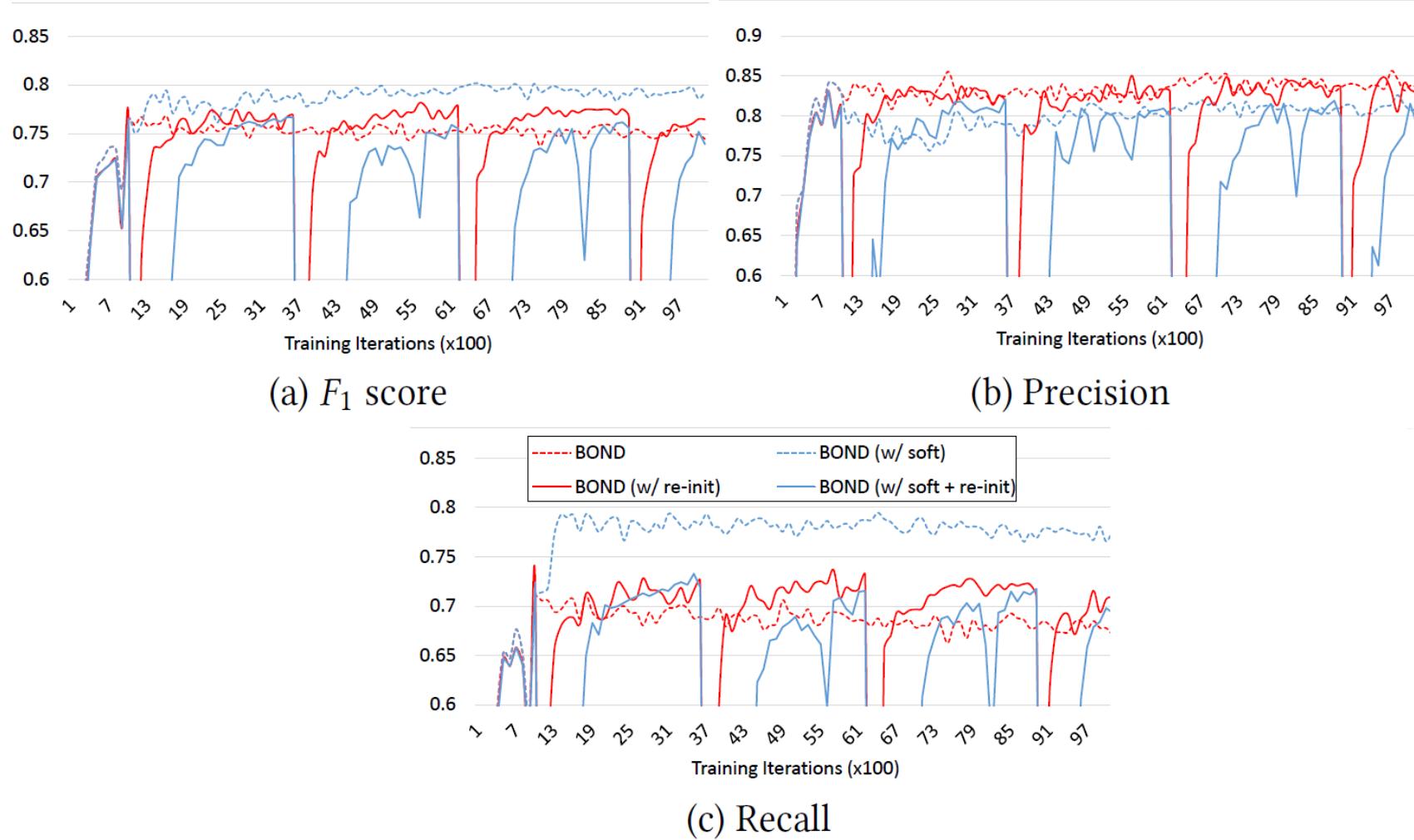
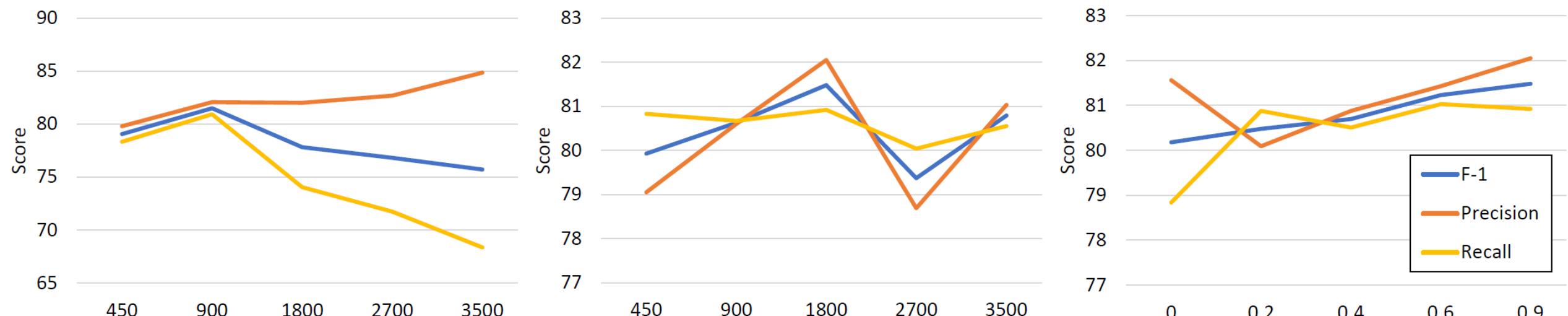


Figure 6: Learning Curves of BOND, BOND (w/ reinit), BOND (w/ soft) and BOND (w/ soft + reinit)

Experiment: Parameter Study



(a) The Early Stopping Time of Stage I – T_1 (b) The Early Stopping Time in Stage II – T_3 (c) The Confidence Threshold of Stage II – ϵ

Figure 7: Parameter Study using CoNLL03: F_1 , Precision, Recall on Testing Set (in %)

Experiment: Error Analysis

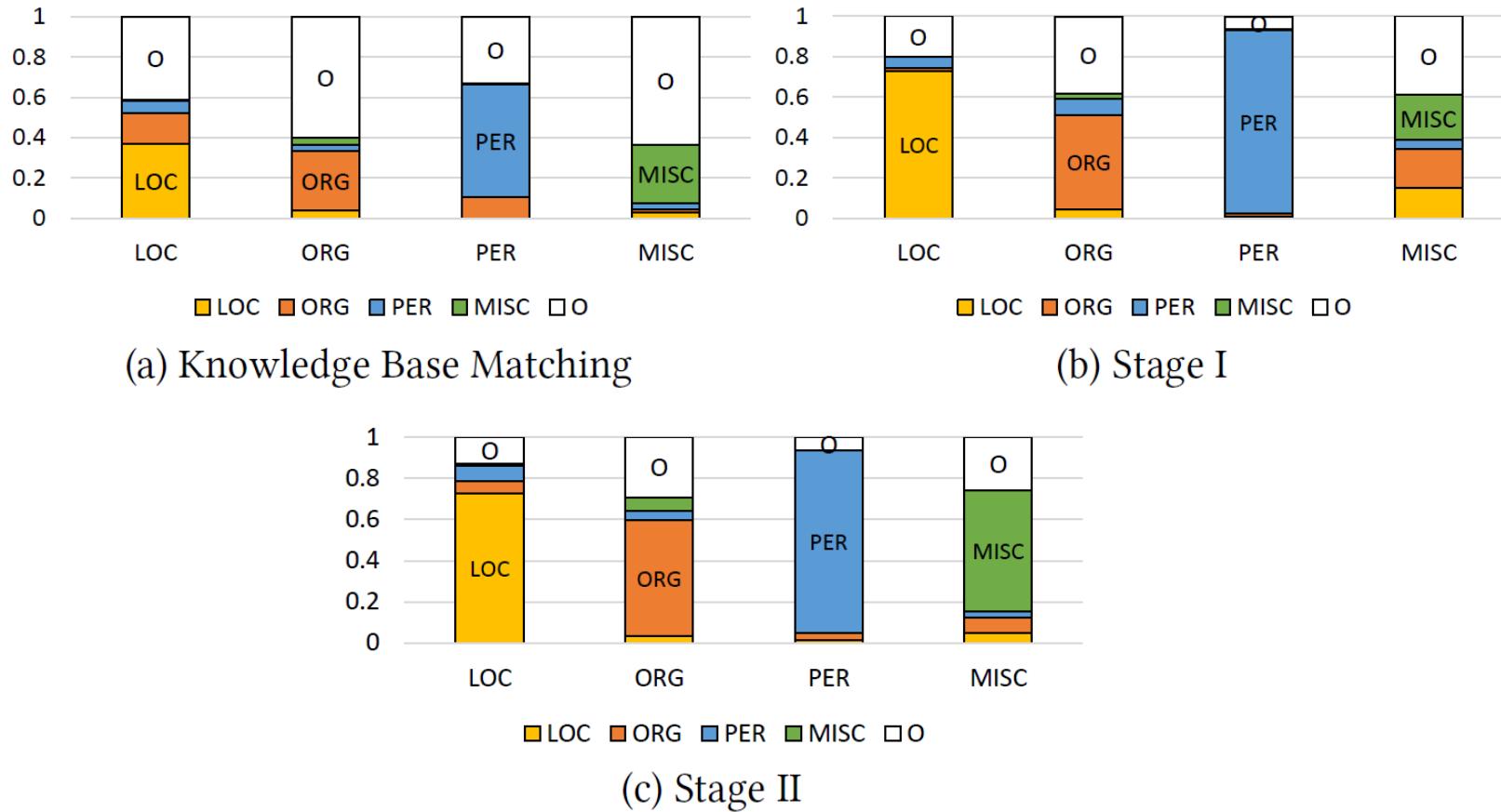
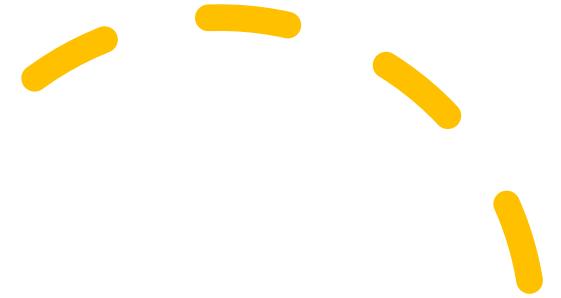


Figure 8: Recall of Knowledge Base Matching and different stages of BOND.
The horizontal axis denotes the true entity type.
The segments in a bar denote the portions of the entities being classified into different entity types.



Thank You!
To Find Out More?



- Arxiv: <https://arxiv.org/abs/2006.15509>
- Git: <https://github.com/cliang1453/BOND>