

# Block Cipher

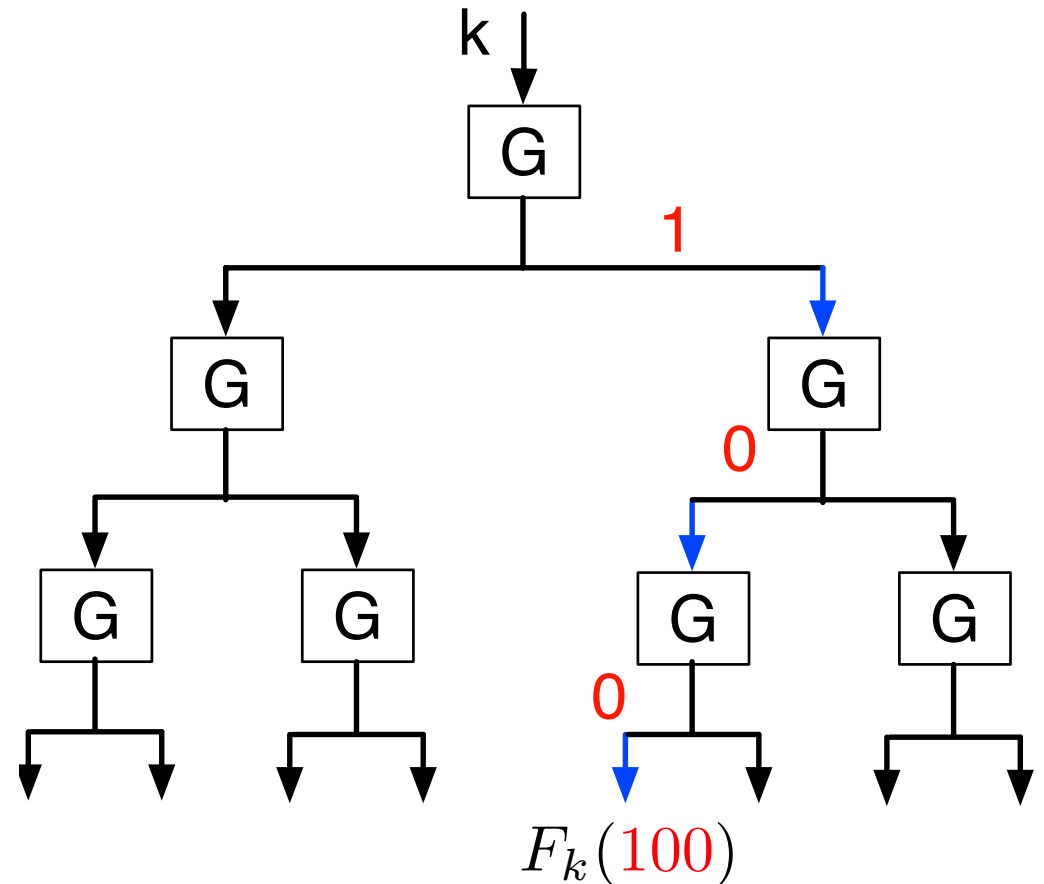
CS 5158/6058 Data Security and Privacy

Spring 2018

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# GGM: From PRG to PRF

- A binary tree, each node is a PRG
- Output's left half is input of left child
- An output of PRF is a leaf's left/right half of the output
- Inputs of PRF decide the path in the tree



$$F_k(\textcolor{red}{100}) = G_0(G_0(G_1(k)))$$

# GGM: From PRG to PRF

- Practice: GGM Method
- PRG,  $G: \{0,1\}^n \rightarrow \{0,1\}^{2n}$ , PRF,  $F: \{0,1\}^m \rightarrow \{0,1\}^n$ 
  - $n=1$ ,  $G(0) \rightarrow 10$ ,  $G(1) \rightarrow 01$
  - $F_k(x_1x_2x_3) = G_{x_3}(G_{x_2}(G_{x_1}(k)))$
  - $k = 1$ ,  $x_1x_2x_3 = 110$ , What is the output of  $F_k(110)$ ?
- $G(k) = G(1) = \underline{0}1$ ,  $G_{x_1}(k) = G_1(1) = 1$ ;
- $G(G_{x_1}(k)) = G(1) = \underline{0}1$ ,  $G_{x_2}(G_{x_1}(k)) = G_1(1) = 1$ ;
- $G(G_{x_2}(G_{x_1}(k))) = G(1) = \underline{0}1$ ,  $G_{x_3}(G_{x_2}(G_{x_1}(k))) = G_0(1) = 0$
- $F_k(110) = G_{x_3}(G_{x_2}(G_{x_1}(k))) = 0$

# CPA-Secure Enc. from PRF

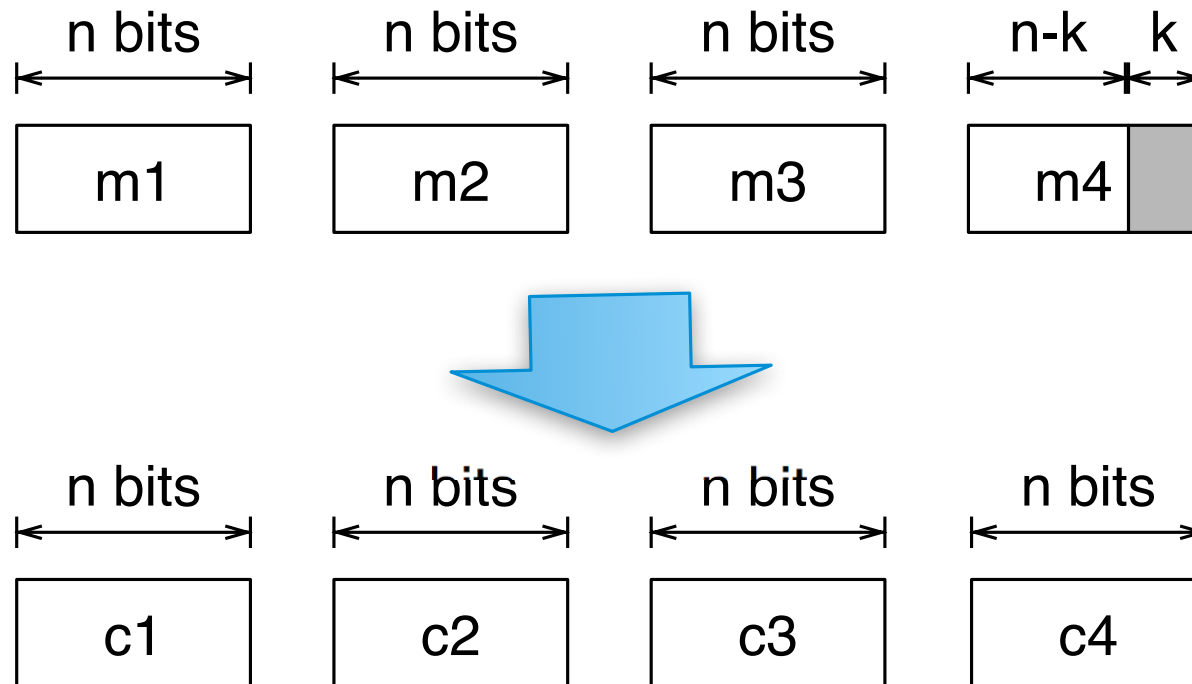
- $\text{KeyGen}(1^n) : k \xleftarrow{u} \{0, 1\}^n$
  - $\text{Enc}_k(m) : c \leftarrow \langle r, F_k(r) \oplus m \rangle, r \xleftarrow{u} \{0, 1\}^n$
  - $\text{Dec}_k(c)$  given  $c = \langle r, s \rangle, m \leftarrow F_k(r) \oplus s$
- 
- Probabilistic encryption, CPA-secure
  - Message length is fixed
    - $n=2$ , can encrypt 2 bits, what if  $m = 1$  or  $m = 101$
  - We need a scheme for arbitrary message size

x	00	01	10	11
k=00, F(x)	11	00	01	10
k=01, F(x)	10	11	00	01
k=10, F(x)	01	10	11	00
k=11, F(x)	00	01	10	11

- Practice: given  $m=01$ ,  $k=10$ , what is  $c=??$ 
  - if  $r = 00$ ,  $F_k(r) = 01$ ,  $F_k(r) \oplus m = 00$ ,  $c = (00, 00)$
  - if  $r = 01$ ,  $F_k(r) = 10$ ,  $F_k(r) \oplus m = 11$ ,  $c = (01, 11)$
  - if  $r = 10$ ,  $F_k(r) = 11$ ,  $F_k(r) \oplus m = 10$ ,  $c = (10, 10)$
  - if  $r = 11$ ,  $F_k(r) = 00$ ,  $F_k(r) \oplus m = 01$ ,  $c = (11, 01)$
  - Probabilistic: same message, different ciphertexts

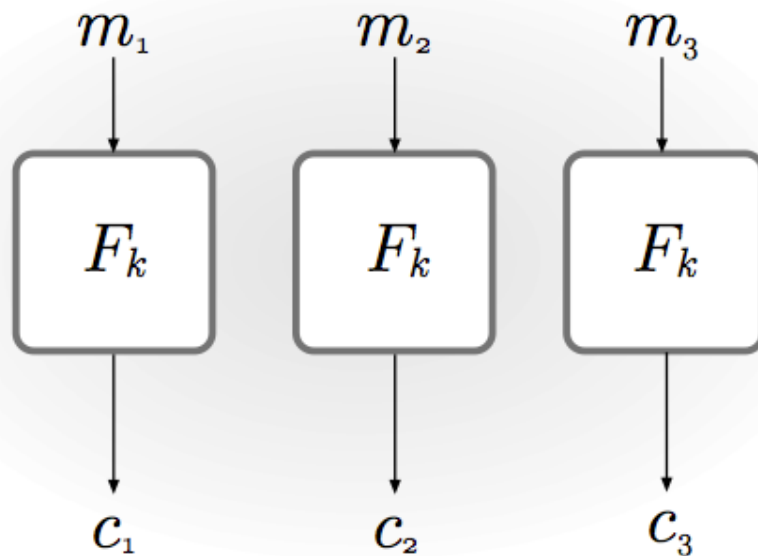
# Block Ciphers

- Encrypt arbitrary-length plaintexts (from PRF/PRP)
  - Multiple blocks, each has  $n$  bits (e.g., 128 bits)
  - Pad the last block if necessary



# ECB Mode

- Electronic Code Book (ECB)
  - Deterministic, decryption use inverse function
  - Normally, ECB is not used in practice



$$c_i = F_k(m_i)$$

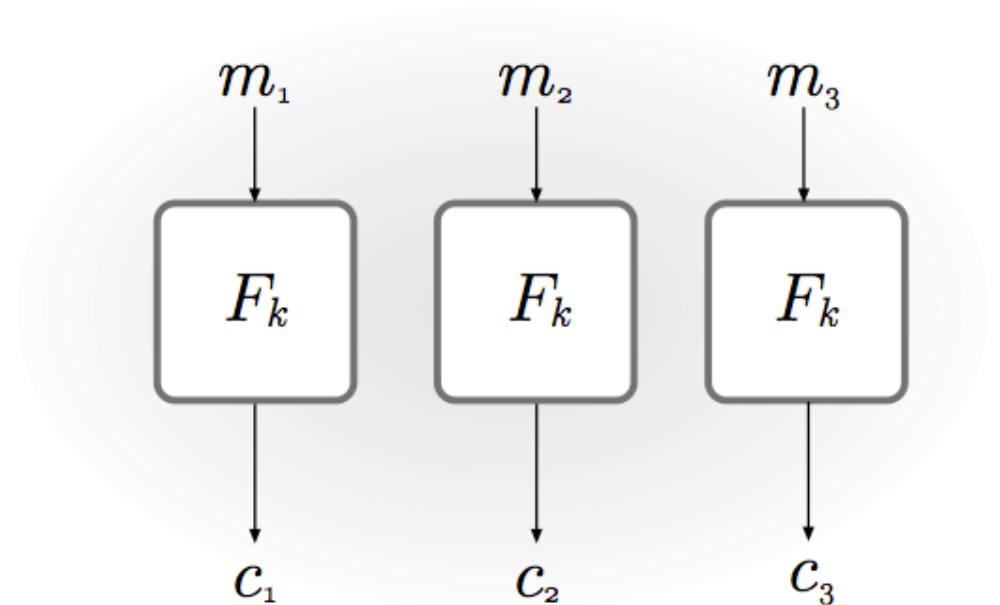
$$m_i = F_k^{-1}(c_i)$$

**FIGURE 3.5:** Electronic Code Book (ECB) mode.

x	00	01	10	11
k=00,F(x)	11	00	01	10
k=01,F(x)	10	11	00	01
k=10,F(x)	01	10	11	00
k=11,F(x)	00	01	10	11

- Example
- ECB Mode Encryption:
  - $M = 100111$
  - $k = 01$
  - each block has 2 bits
  - $C = ?? \quad ?? \quad ??$

$$c_i = F_k(m_i)$$



**FIGURE 3.5:** Electronic Code Book (ECB) mode.

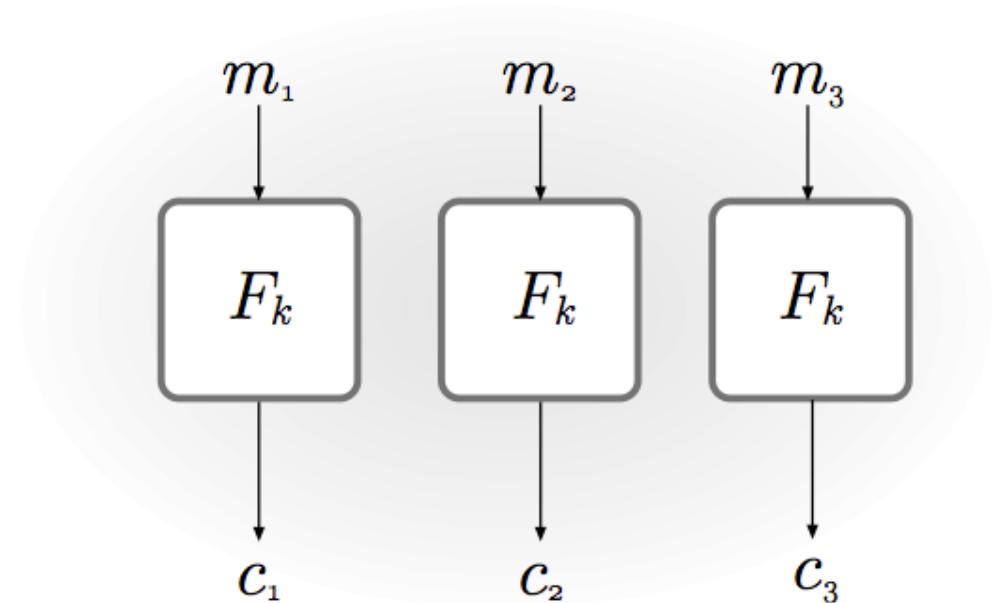


x	00	01	10	11
k=00,F(x)	11	00	01	10
k=01,F(x)	10	11	00	01
k=10,F(x)	01	10	11	00
k=11,F(x)	00	01	10	11

M = 10 01 11 & k = 01

- $c_1 = F_k(10) = 00$
- $c_2 = F_k(01) = 11$
- $c_3 = F_k(11) = 01$
- C = 00 11 01
- deterministic

$$c_i = F_k(m_i)$$

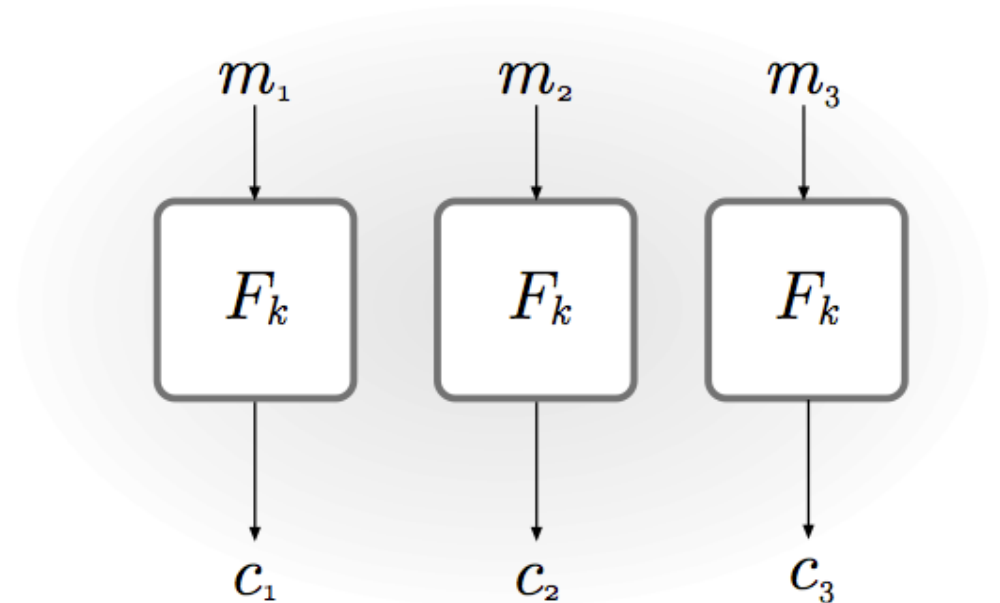


**FIGURE 3.5:** Electronic Code Book (ECB) mode.

x	00	01	10	11
k=00,F(x)	11	00	01	10
k=01,F(x)	10	11	00	01
k=10,F(x)	01	10	11	00
k=11,F(x)	00	01	10	11

- Practice:
- ECB Mode Encryption:
  - M = 010010
  - k = 11
  - each block has 2 bits
  - C = ???????

$$c_i = F_k(m_i)$$

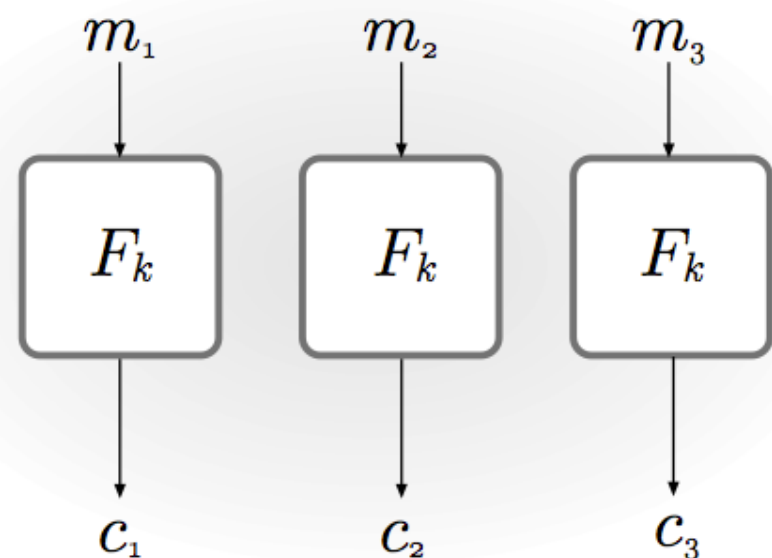


**FIGURE 3.5:** Electronic Code Book (ECB) mode.

x	00	01	10	11
k=00,F(x)	11	00	01	10
k=01,F(x)	10	11	00	01
k=10,F(x)	01	10	11	00
k=11,F(x)	00	01	10	11

- Practice:
- ECB Mode Decryption:
  - C = 110100
  - k = 10
  - each block has 2 bits
  - M = ??????

$$c_i = F_k^{-1}(m_i)$$



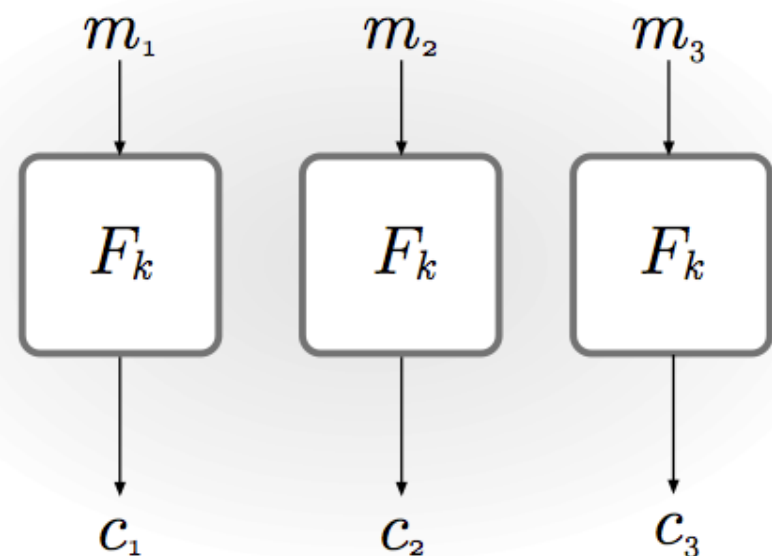
**FIGURE 3.5:** Electronic Code Book (ECB) mode.

x	00	01	10	11
k=00,F(x)	11	00	01	10
k=01,F(x)	10	11	00	01
k=10,F(x)	01	10	11	00
k=11,F(x)	00	01	10	11

$$c_i = F_k^{-1}(m_i)$$

C = 11 01 00 & k = 10

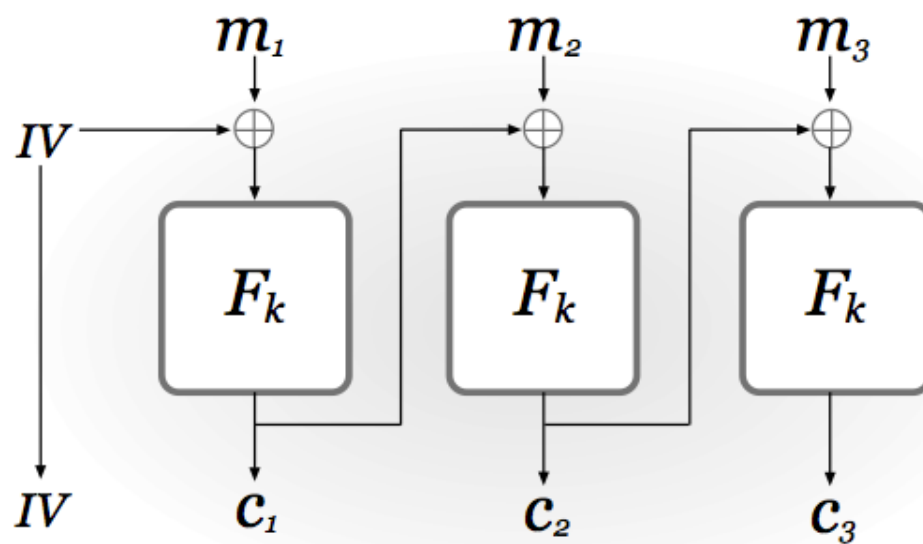
- $m_1 = F_k^{-1}(11) = 10$
- $m_2 = F_k^{-1}(01) = 00$
- $m_3 = F_k^{-1}(00) = 11$
- M = 10 00 11



**FIGURE 3.5:** Electronic Code Book (ECB) mode.

# CBC Mode

- Cipher Block Chaining (CBC)
  - Probabilistic, is CPA-secure if  $F$  is a PRP
  - IV (initialization vector) chosen uniformly from  $\{0,1\}^n$



$$c_0 = IV,$$
$$c_i = F_k(m_i \oplus c_{i-1})$$

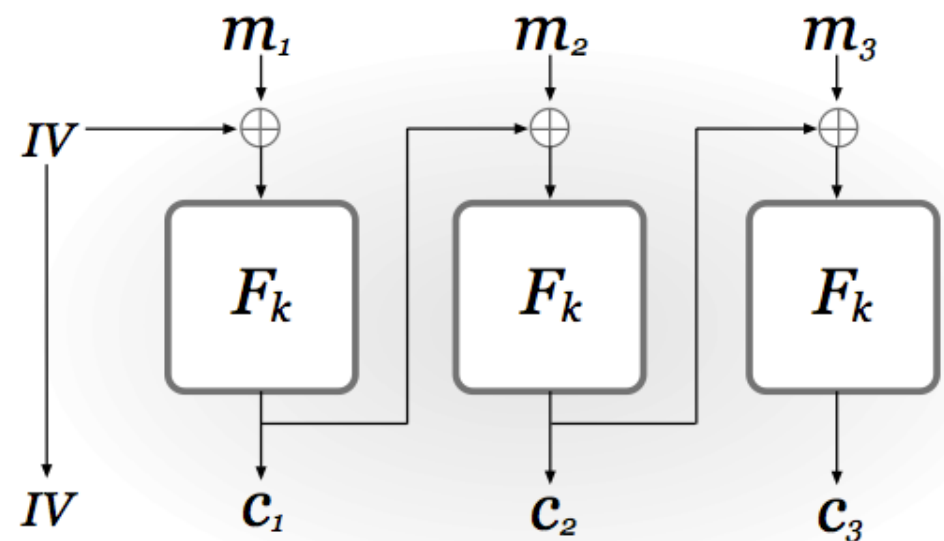
$$c_0 = IV,$$
$$m_i = F_k^{-1}(c_i) \oplus c_{i-1}$$

**FIGURE 3.7:** Cipher Block Chaining (CBC) mode.

x	00	01	10	11
k=00,F(x)	11	00	01	10
k=01,F(x)	10	11	00	01
k=10,F(x)	01	10	11	00
k=11,F(x)	00	01	10	11

- Example
- CBC Mode Encryption:
  - M = 1001
  - k = 01, IV = 10
  - each block has 2 bits
  - C = ?? ??

$$c_0 = IV, c_i = F_k(m_i \oplus c_{i-1})$$



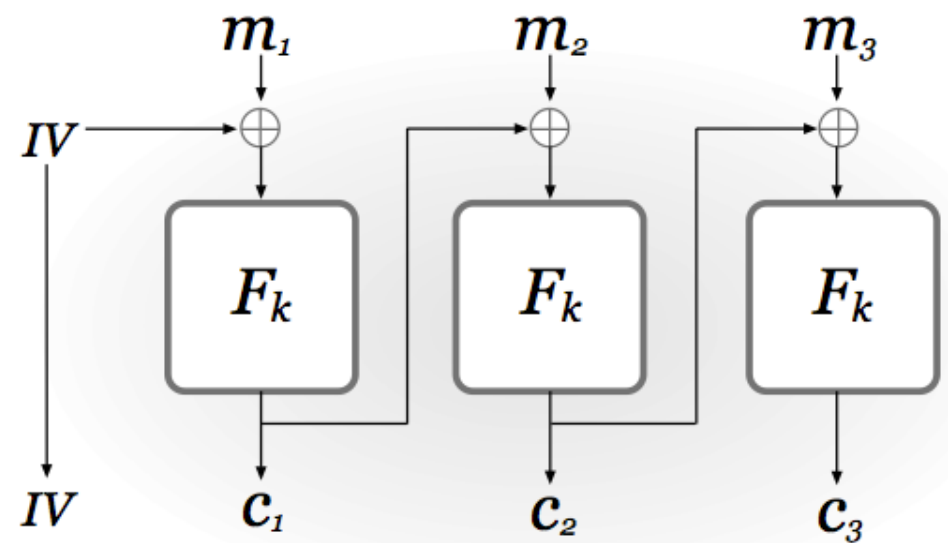
**FIGURE 3.7:** Cipher Block Chaining (CBC) mode.

x	00	01	10	11
k=00,F(x)	11	00	01	10
k=01,F(x)	10	11	00	01
k=10,F(x)	01	10	11	00
k=11,F(x)	00	01	10	11

M = 1001, k = 01, IV = 10

$$c_0 = IV, c_i = F_k(m_i \oplus c_{i-1})$$

- $IV \oplus m_1 = 10 \oplus 10 = 00$
- $c_1 = F_k(00) = 10$
- $c_1 \oplus m_2 = 10 \oplus 01 = 11$
- $c_2 = F_k(11) = 01$
- $C = (IV, 10 \ 01)$

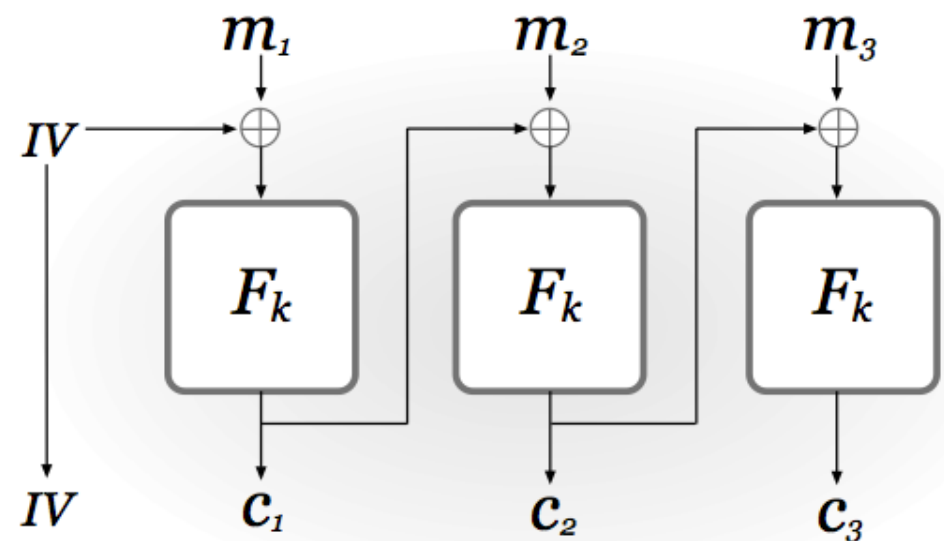


**FIGURE 3.7:** Cipher Block Chaining (CBC) mode.

x	00	01	10	11
k=00,F(x)	11	00	01	10
k=01,F(x)	10	11	00	01
k=10,F(x)	01	10	11	00
k=11,F(x)	00	01	10	11

- Practice:
- CBC Mode Encryption:
  - M = 110100
  - k = 10, IV = 11
  - each block has 2 bits
  - C = ???????

$$c_0 = IV, c_i = F_k(m_i \oplus c_{i-1})$$



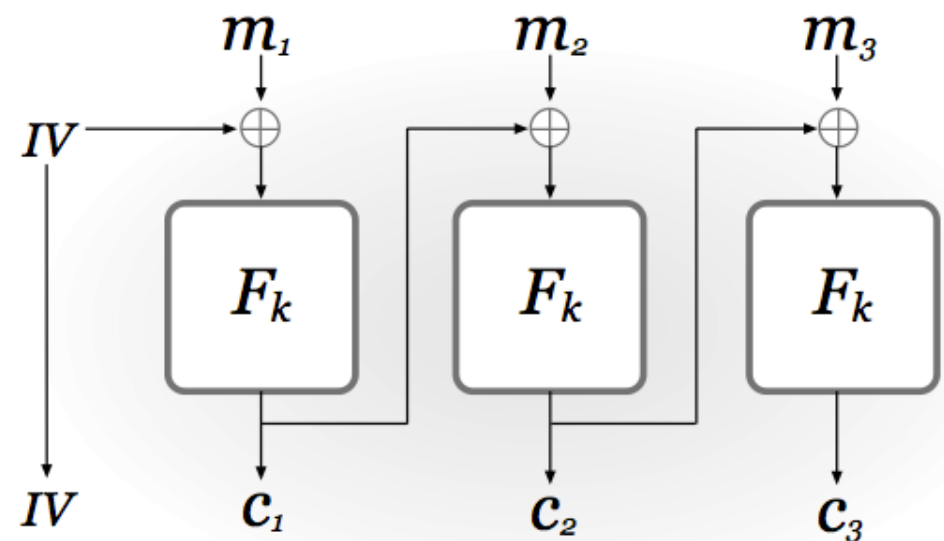
**FIGURE 3.7:** Cipher Block Chaining (CBC) mode.



x	00	01	10	11
k=00,F(x)	11	00	01	10
k=01,F(x)	10	11	00	01
k=10,F(x)	01	10	11	00
k=11,F(x)	00	01	10	11

$M = 110100$ ,  $k = 10$ ,  $IV = 11$      $c_0 = IV, c_i = F_k(m_i \oplus c_{i-1})$

- $c_0 = IV = 11$
- $c_1 = F_k(00) = 01$
- $c_2 = F_k(01) = 10$
- $c_3 = F_k(10) = 11$
- $C = (IV, 01 \ 01 \ 10)$

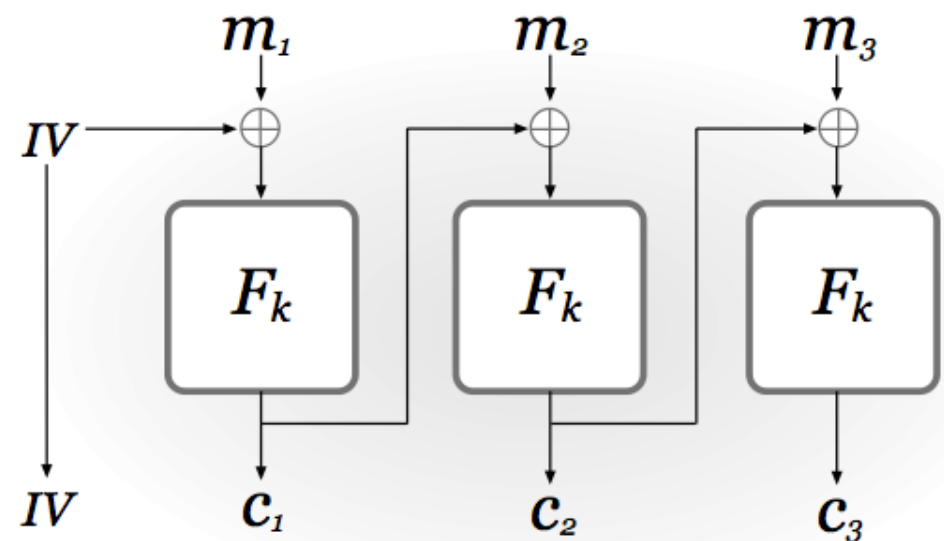


**FIGURE 3.7:** Cipher Block Chaining (CBC) mode.

x	00	01	10	11
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k=01,F(x)	10	11	00	01
k=10,F(x)	01	10	11	00
k=11,F(x)	00	01	10	11

- Practice:
- CBC Mode Decryption:
  - $C = (IV, 100110)$
  - $k = 11, IV = 00$
  - each block has 2 bits
  - $M = ??????$

$$c_0 = IV, m_i = F_k^{-1}(c_i) \oplus c_{i-1}$$



**FIGURE 3.7:** Cipher Block Chaining (CBC) mode.

x	00	01	10	11
k=00,F(x)	11	00	01	10
k=01,F(x)	10	11	00	01
k=10,F(x)	01	10	11	00
k=11,F(x)	00	01	10	11

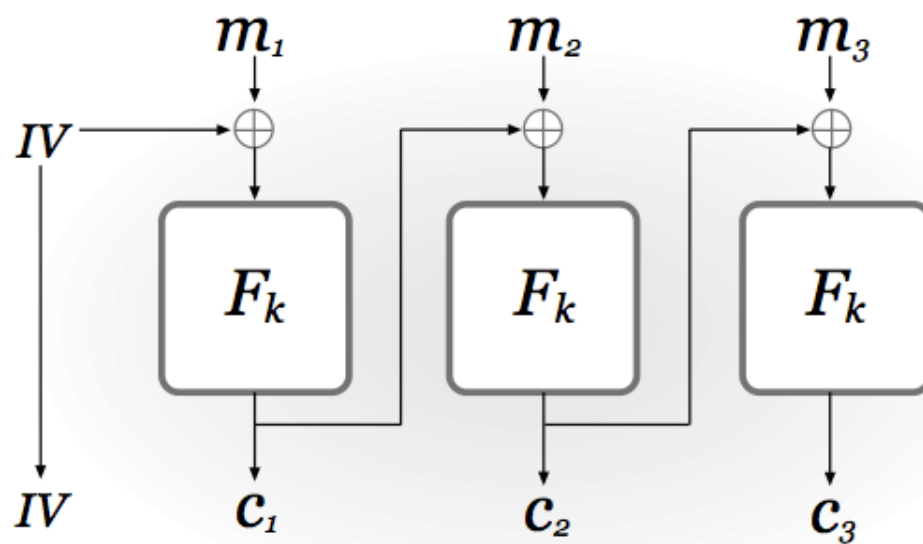
$$c_0 = IV, m_i = F_k^{-1}(c_i) \oplus c_{i-1}$$

C = (00, 100110) & k = 11

- $F_k^{-1}(10) = 10$ ,  $m_1 = F_k^{-1}(10) \oplus IV = 10 \oplus 00 = 10$
- $F_k^{-1}(01) = 01$ ,  $m_2 = F_k^{-1}(01) \oplus c_1 = 01 \oplus 10 = 11$
- $F_k^{-1}(10) = 10$ ,  $m_3 = F_k^{-1}(10) \oplus c_2 = 10 \oplus 01 = 11$
- M = 10 11 11

# CBC Mode

- Cipher Block Chaining (CBC)
  - Probabilistic, is CPA-secure if  $F$  is a PRP
  - IV (initialization vector) chosen uniformly from  $\{0,1\}^n$



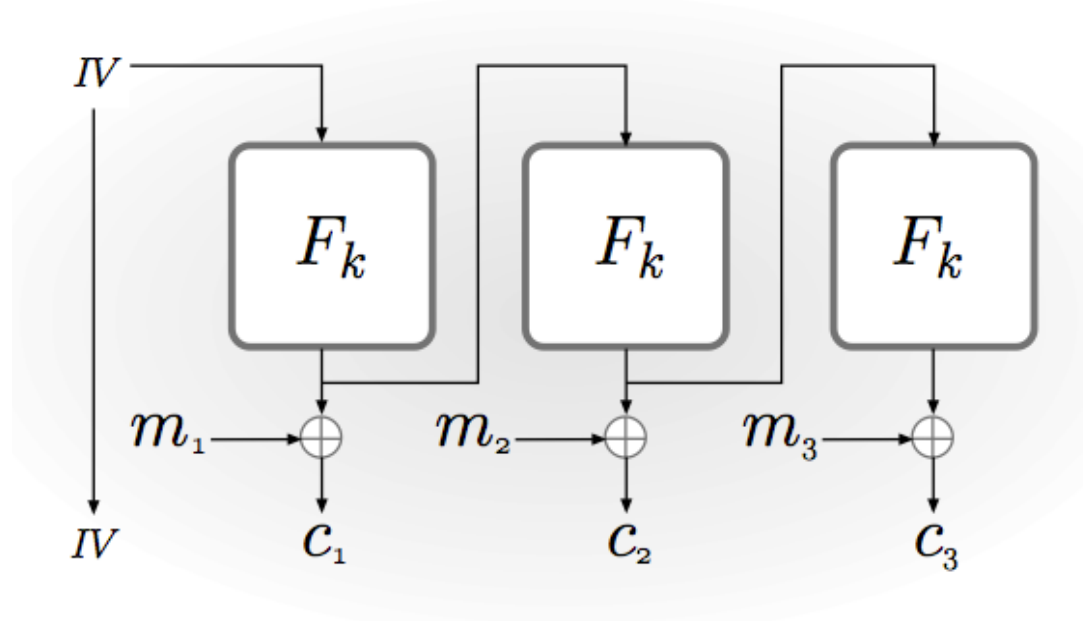
$$c_0 = IV,$$
$$c_i = F_k(m_i \oplus c_{i-1})$$

$$c_0 = IV,$$
$$m_i = F_k^{-1}(c_i) \oplus c_{i-1}$$

**FIGURE 3.7:** Cipher Block Chaining (CBC) mode.

# OFB Mode

- Output Feedback (OFB)
  - Probabilistic, CPA-secure if  $F$  is a PRF,  $IV$  is random
  - Can pre-compute all the outputs of PRF



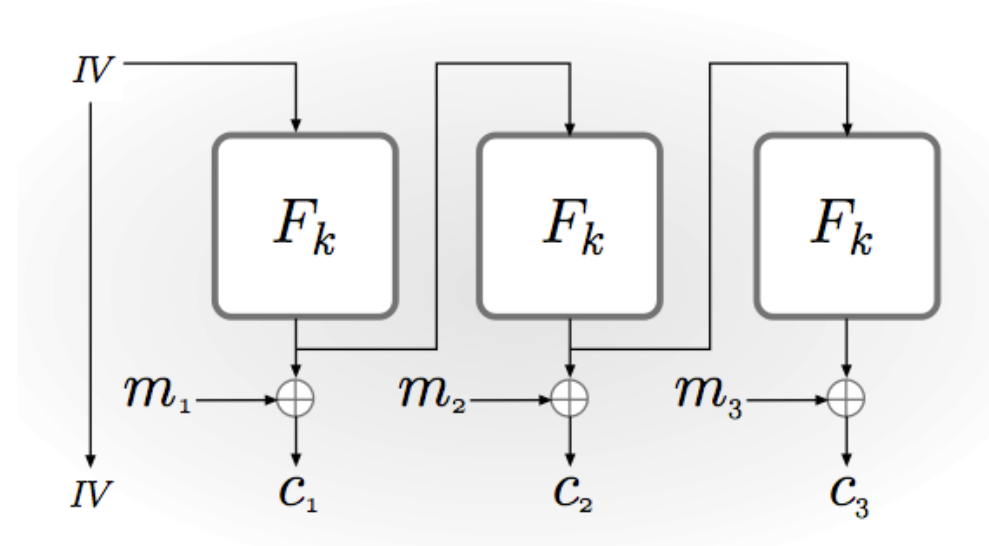
**FIGURE 3.9:** Output Feedback (OFB) mode.

$$\begin{aligned}x_0 &= IV \\x_i &= F_k(x_{i-1}) \\c_i &= x_i \oplus m_i\end{aligned}$$

$$\begin{aligned}x_0 &= IV \\x_i &= F_k(x_{i-1}) \\m_i &= c_i \oplus x_i\end{aligned}$$

x	00	01	10	11
k=00,F(x)	11	00	01	10
k=01,F(x)	10	11	00	01
k=10,F(x)	01	10	11	00
k=11,F(x)	00	01	10	11

- Practice:  $x_0 = IV, x_i = F_k(x_{i-1}), c_i = x_i \oplus m_i$
- OFB Mode Encryption:
  - M = 110100
  - k = 10, IV = 11
  - each block has 2 bits
  - C = ???????



**FIGURE 3.9:** Output Feedback (OFB) mode.

x	00	01	10	11
k=00,F(x)	11	00	01	10
k=01,F(x)	10	11	00	01
k=10,F(x)	01	10	11	00
k=11,F(x)	00	01	10	11

M = 110100

$$x_0 = IV, x_i = F_k(x_{i-1}), c_i = x_i \oplus m_i$$

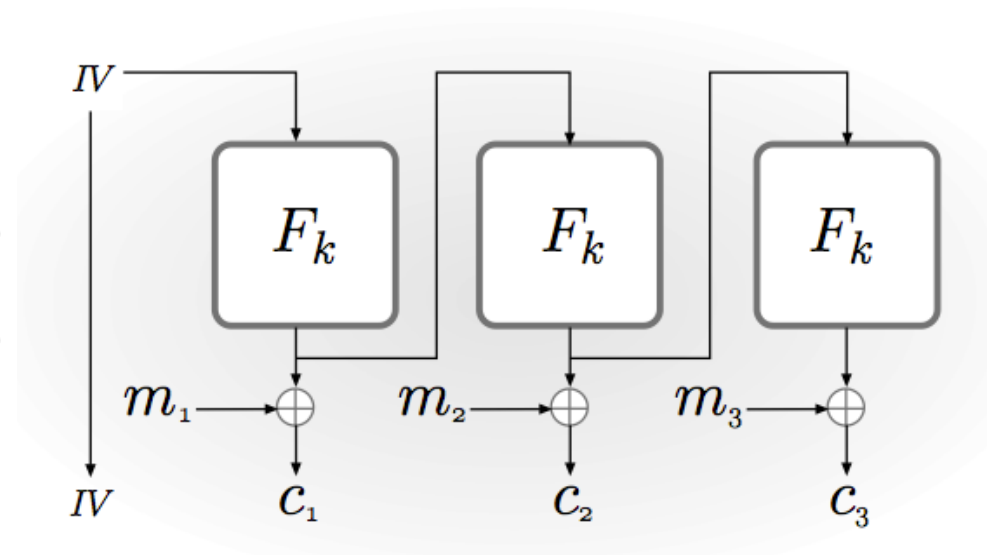
k = 10, IV = 11

$x_1 = F_k(IV) = 00$ ,  $c_1 = x_1 \oplus m_1 = 11$

$x_2 = F_k(x_1) = 01$ ,  $c_2 = x_2 \oplus m_2 = 00$

$x_3 = F_k(x_2) = 10$ ,  $c_3 = x_3 \oplus m_3 = 10$

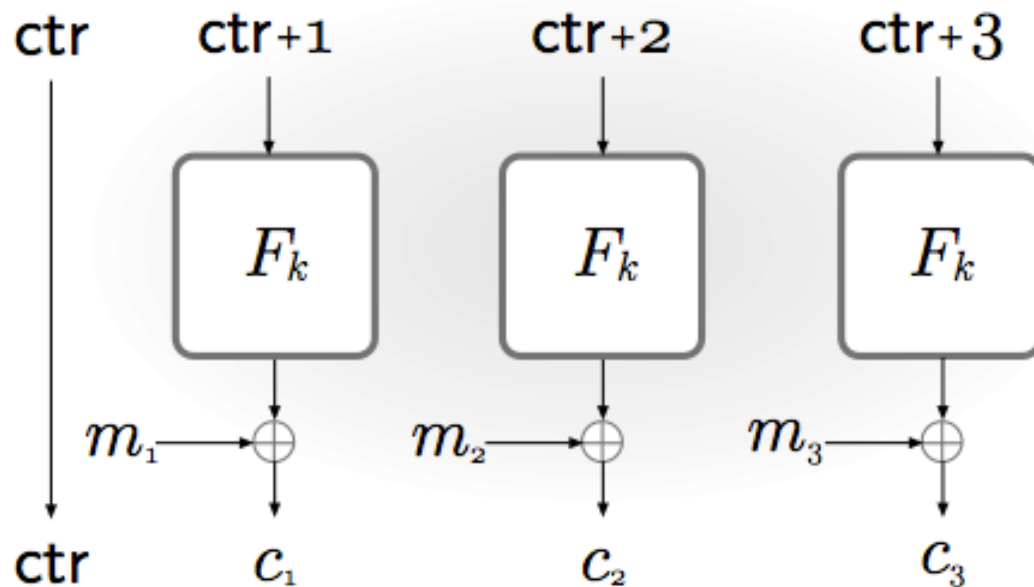
C = (IV, 11 00 10)



**FIGURE 3.9:** Output Feedback (OFB) mode.

# Counter Mode

- Counter (CTR)
  - Probabilistic, CPA-secure if  $F$  is a PRF, IV is random
  - Compute in parallel (each block are independent)



**FIGURE 3.10:** Counter (CTR) mode.

$$\begin{aligned}\text{ctr} &= IV \\ x_i &= F_k(\text{ctr} + 1) \\ c_i &= x_i \oplus m_i\end{aligned}$$

$$\begin{aligned}\text{ctr} &= IV \\ x_i &= F_k(\text{ctr} + 1) \\ m_i &= c_i \oplus x_i\end{aligned}$$



# Block Ciphers

- Examples of Block Ciphers in Practice:
  - DES (Data Encryption Standard)
    - proposed 1977, no longer secure
  - AES (Advanced Encryption Standard)
    - proposed 2002, now almost everywhere
    - Message: 128 bits; Key: 128, 196, 256 bits
      - E.g., AES-CBC-256
      - Each block is a PRP  $\{0,1\}^{128} \longrightarrow \{0,1\}^{128}$

# Block Ciphers

- AES (Advanced Encryption Standard)
  - Message: 128 bits; Key: 128, 196, 256 bits
  - E.g., AES-CBC-256
  - Each block is a PRP  $\{0,1\}^{128} \longrightarrow \{0,1\}^{128}$
- How many permutations in PRP, if key is 256-bit?
- How many permutations in  $\text{Perm}(n,n)$ , if  $n=128$ ?
- $|F|$  in PRP is  $2^l$  and  $|\text{Perm}(n,n)| = (2^n)!$
- $l = 256$ ,  $n = 128$ , therefore,  $2^{256}$  v.s  $(2^{128})!$

# Key Idea in AES

- Each block is a PRP  $\{0,1\}^{128} \longrightarrow \{0,1\}^{128}$ 
  - Each block uses Substitution-Permutation Network
    - Multiple rounds of substitution (S-boxes) and permutation (P-boxes)
- The number of rounds depends on key length
  - 128-bit: 10 rounds
  - 192-bit: 12 rounds
  - 256-bit: 14 rounds

# Key Idea in AES

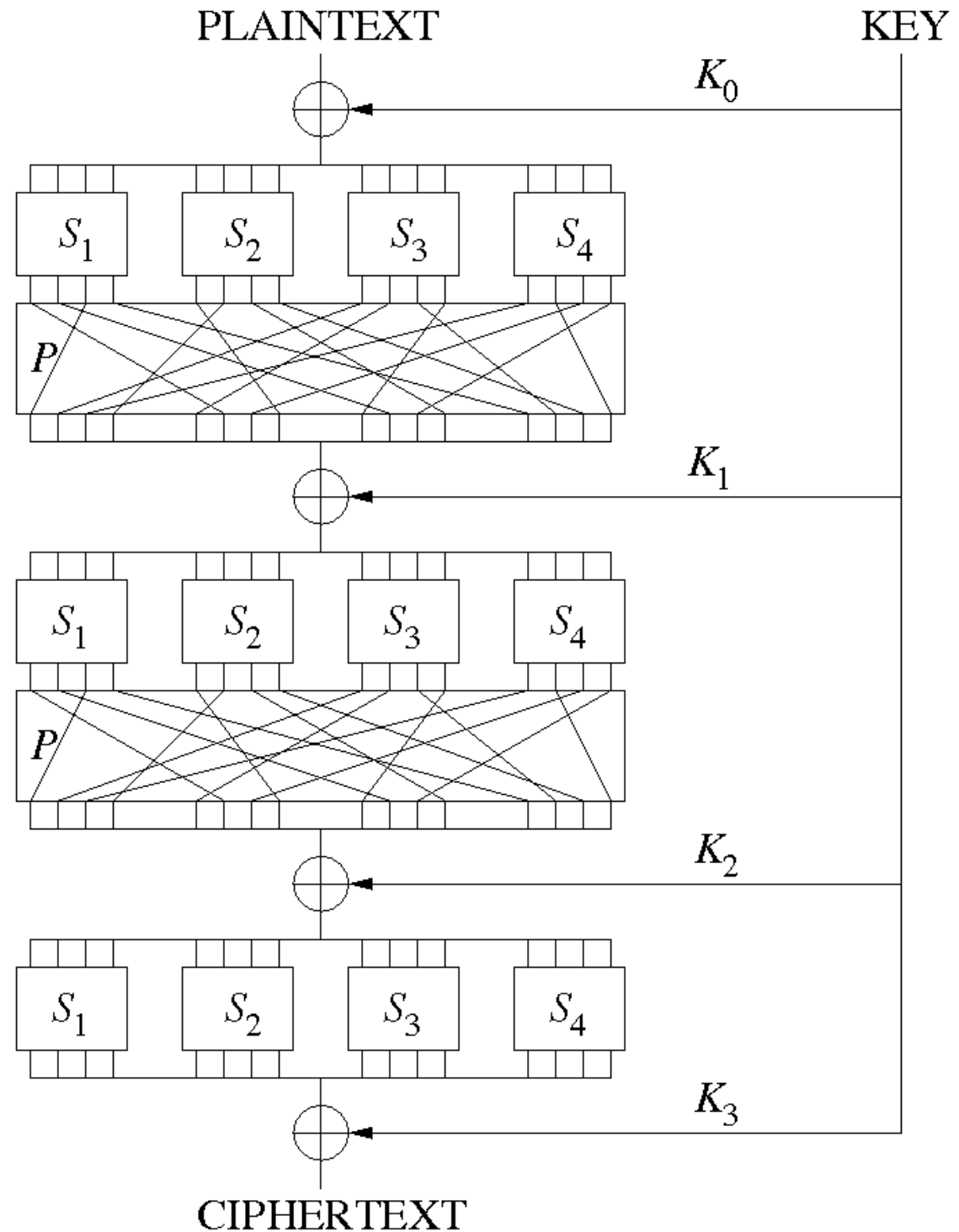
- Initialize State (4 by 4 array of bytes) with message
- In each round
  - AddKey: get a 128-bit sub-key, XOR with State
  - S-Box: substitute each byte in State
  - P-Box: shift bytes in State
  - MixColumns: invertible transformation on each column; replace with AddKey in the final round
  - Use current State for the next round input

An example of SPN  
(Substitution-  
Permutation Network)

Input: 16 bits  
3 rounds

This is a PRP

$\{0,1\}^{16} \longrightarrow \{0,1\}^{16}$



# Roadmap for Encryption

- One-time Pad:
  - perfectly secure, impractical
- Fixed-length encryption (based on PRG)
  - deterministic, fixed-length messages only
- Fixed-length encryption (based on PRF)
  - probabilistic, fixed-length messages only
- Block Cipher
  - probabilistic, arbitrary-length messages

# Additional Reading

Chapter 3 & 6, *Introduction to Modern Cryptography*,  
Drs. J. Katz and Y. Lindell, 2nd edition