

MASTER THESIS
COMPUTER SCIENCE

Scaling UIMA

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September 10, 2018

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Abstract

In this thesis, we will evaluate different means of scaling UIMA (Unstructured Information Management Architecture), using modern technologies like Docker, a container virtualization solution, and Apache Spark, a cluster computing framework. We will compare said implementations with the native UIMA-AS (UIMA Asynchronous Scaleout) approach in terms of processor and memory efficiency, ease of implementation and maintainability. The evaluation will be based on a specific scenario, however it will be easily configurable by exchanging very few lines of code.

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das Evaluation
chapter fertig ist.

Chapter 1

Introduction

Natural language is most commonly used to transmit information human-to-human. While most of this interaction takes place orally or written on paper, the digital revolution and the rise of social media increased the amount of digitally stored natural language tremendously. Gantz and Reinsel predicted 2012 that the amount of digital data stored globally will double about every two years until at least the year 2020 [GR12].

Many opportunities arise from this amount of digital data, specifically in the field of machine learning. In 2011, IBM’s QA (Question Answering) system “Watson” famously outmatched professional players in the quiz show “Jeopardy!” [Fer12, ESI⁺12]. Kudesia et al. proposed 2012 an algorithm to detect so called CAUTIs¹, common hospital-acquired infections, by utilizing a NLP (Natural Language Processing) analysis with precomputed language models on the medical records of patients [KSDG12].

Current projections suggest a growth of revenues from the natural language processing market in North America, Western Europe, and the Asia-Pacific region of at least a factor of three until 2024 [Stad, Stab, Stac].

1.1 Motivation

Natural language is inherently unstructured and hardly machine readable. Even a seemingly easy task, like separating a sentence into words is still an ongoing research topic [PT18]. Since many NLP frameworks like Stanford’s Core NLP Suite [MSB⁺14], The Natural Language Toolkit [BL04] and Apache OpenNLP [Apaa] exist, there was a need for generalizing the NLP approach. In 1995, the University of Sheffield began developing GATE (General Architecture for Text Engineering), a graphical development application for generic NLP problems [CMBT02]. Aside of algorithms for typical NLP tasks like tokenization, sentence splitting or part of speech tagging, GATE provides a graphical interface for developing custom algorithms in form of plugins, which can use the results of previous NLP analyses. However, the possibilities for scaling GATE applications are sparse. In 2012, GATECloud.net launched, a proprietary, cloud based GATE

¹Catheter-associated Urinary Tract Infections

computation interface [TRCB13].

In [FL04], Ferrucci and Lally introduced UIMA, another general purpose NLP framework. Initially developed by IBM, UIMA has been open-source and is maintained by Apache since 2006. UIMA itself provides no built-in NLP analyses, but offers a common analysis structure among its plugins, which is used to combine different NLP approaches into one single framework. A popular implementation example of UIMA is IBM’s QA system “Watson”, which famously outmatched professional players in the quiz show “Jeopardy!” in 2011 [Fer12, ESI⁺12]. For this matter, UIMA was configured to run on thousands of processor cores to achieve a feasible reaction time [ESI⁺12]. Although this example seems to demonstrate that Apache UIMA is indeed very scalable, it has its drawbacks. The native UIMA scaling framework UIMA-AS is configured by XML (Extensible Markup Language) files, which are difficult to maintain. Furthermore it does not work out-of-the-box with other UIMA derivatives like UIMAFit.

In this thesis, we will implement and evaluate a scale-out framework for UIMA, which utilizes modern technology to ensure maintainability and scalability.

1.2 Implementation Requirements

The implementation should meet a number of requirements. First and foremost, the underlying framework, Apache UIMA, must not be limited in functionality. Since one of UIMAs strengths is the modularity and ease of plugin development, the scale-out framework to implement is required to work with native UIMA classes without any restriction. While this requirement sounds easy to meet, it is actually quite limiting since UIMA plugins can be arbitrary Java-Code. Without special care, such code is not necessarily thread-safe and thus can not be safely executed multiple times in parallel within one JVM (Java Virtual Machine).

The metrics, the scale-out framework should optimize, include:

- CPU Usage
- RAM Usage
- Document Throughput
- Byte Throughput
- Maintainability
- Implementability
- Code Quality

With CPU and RAM Usage being the percentage of actually used (virtual or real) resources, higher values being more preferable. Even if many of those resources go into administrative tasks, a scaling framework should use as many of the available resources as possible when faced with a large list of parallel jobs. Thus, a value near one should

Ich sollte hier
mal nach Quellen
gucken. Ist zwar
meist
selbsterklärend,
aber ein paar
Schaden nicht.

be aimed for. Obviously, at the same time, resource allocation when idling should be as low as possible.

A little more obvious metric is the achieved throughput of data. Since collections of input documents can be shaped very differently, both, a high document and byte throughput are aimed for. When handling small documents, maybe even single words or sentences, the byte throughput is very limited to the actual input size and the large number of documents is responsible for the size of the input data collection. In such a situation, a high document throughput would be preferred to a high byte throughput. On the other hand, on larger documents, a high byte throughput is the more accurate metric since it is independent from the individual size of the input documents.

The framework is aimed to work in large academic but also industry compliant environments. Therefore the maintainability is important. It describes the amount of effort to maintain any current usage of the framework, for example changing the underlying NLP algorithm, modifying the hardware setup or making configuration changes. This is inherently more complicated by the genericness of UIMA, which allows for sophisticated plug-in initialization and configuration logic, making on-the-fly changes more difficult.

Furthermore the framework should be easy to utilize. Code that already has been written for single threaded execution should be easily reusable. This is especially important for UIMA since large repositories of plug-ins like the DKPro Core (Darmstadt Knowledge Processing Software Repository) [DKP] already exist and are infeasible to be rewritten.

Equally important as ease of utilization is the longevity of the framework. Ensuring a maximum of Code Quality is necessary to avoid large refactorings and API (Application Programming Interface) changes in the near future. In a non-academic environment, applications often have to last for a long time before replacement. Thus, a robust underlying code is aimed for.

Obviously the last three bullet points, Maintainability, Implementability and Code Quality, are not easily measured, since those are subject to individual perception. However both, Maintainability and Implementability can be measured in LoC (Lines of Code). There are many static code quality analyzers, which output a score, or at least a count of quality issues. Such a score can be used to measure the quality of the frameworks code.

1.3 Outline

First, in Chapter 2, the functionality of UIMA is detailed, especially with focus on distributed computing and scaling. For this matter, both native UIMA scaling frameworks, UIMA-AS and CPE (Collection Processing Engine) are subject in said chapter. Also Apache Spark, a cluster-computing framework, will be briefly explained since it will form the foundation of the frameworks scaling capabilities. Lastly, approaches like Leo and v3NLP will be introduced. Those are also UIMA based scaling solutions, which both suffer from different problems.

Chapter 3 will explain the scaling framework in detail. It starts with the choice of technology and proceeds to the implementation. This includes macroscopic network

Kam mir gerade die Idee. UIMA-AS deployed Services, die immer da sind (ie deren Modelle immer im RAM sind). Spark schießt alles sofort wieder ab, sobald es nicht wieder benötigt wird.

TODO: Alle plugins in plug-ins ändern

Source? Keine gefunden ;_;

Code Quality Analyzers finden und citen

Refinen, sobald Implementation geschrieben ist.

views and microscopic code details.

Then, Chapter 4 deals with the results of the framework implementation. For this, the requirements given in Section 1.2 are evaluated against the framework, UIMA-AS and the single-threaded approach.

Lastly, Chapter 5 summarizes the results, including possible limitations of the implementation. It also gives an outlook on how to extend and publicize the framework to the general public.

Chapter 2

Basics

In this chapter, the two most important technologies for the framework are explained. This is necessary to get an understanding of the technical difficulties it challenges and how it works. First, in Section 2.1 UIMA is introduced. After an in-depth introduction into the original framework designed by IBM, UIMAfit (Factories, Injection, and Testing library for UIMA) will be explained. UIMAfit builds on top of UIMA, providing the developer with a native Java interface for creating and instantiating plug-ins. Strongly related to the framework introduced in Chapter 3 are the two native scaling frameworks UIMA-CPE (UIMA Collection Processing Architecture) and UIMA-AS.

The second section of this chapter will be about Apache Spark. While no advanced knowledge is needed to comprehend the usage of Apache Spark as a distributed computation framework, it will still be a substantial part of the UIMA scaling framework in Chapter 3. Thus, a rather superficial overview of its structure and distribution algorithm will be given.

Since numerous attempts have been made, scaling UIMA in different settings, with varying implementation requirements, some related work will be presented in Section 2.3, namely Leo, providing a native Java interface for UIMA-AS, and v3NLP, a framework especially designed for usage in a medical environment and with plug-ins of such sort.

Although most important aspects and concepts of UIMA are also defined in the specifications, some minor changes and additions were made in the implementations. Since the framework must handle the actual implementation, all the presented concepts will be taken from Apache UIMA instead of the UIMA specification of 2009.

Ich habe es zwar nicht benutzt, aber eine kleine Einführung in HDFS könnte nützlich sein. Ich werde häufiger (im Kontext von BigData) anmerken, dass Daten vermutlich von einem verteiltem Dateisystem, etwa einem HDFS kommen und dahin geschrieben werden.

2.1 UIMA-Family

Unstructured Information Management Architecture (UIMA) Version 1.0 itself is an OASIS (Organization for the Advancement of Structured Information Standards) standard from 2009¹ that defines an interface for software components, or plug-ins, which are called analytics. Those analytics are supposed to analyze unstructured information and

¹<http://docs.oasis-open.org/uima/v1.0/uima-v1.0.html>, last accessed on 2018-09-03.

assign machine readable semantics to it. The standard also defines ways to represent and interchange this data between analytics in favor of interoperability and platform-independence.

Apache UIMA is the open-source implementation of said UIMA specification. A common problem with Apache UIMA is scaling [DCR⁺15, ESI⁺12, RBB⁺10]. It provides two distinct interfaces to analyze larger collections of unstructured data itself, with one being UIMA-AS and the other being the more dated and less flexible CPE [FLVN09]. Apache UIMA is available for Java and C++, while its scaling solutions, UIMA-CPE and UIMA-AS are only available for Java, which is why this thesis focuses on the Java implementation. Since UIMA and Apache UIMA have very similar names, which may lead to confusion it is common practice to call the implementation simply UIMA and explicitly state when talking about the specification. This practice will be adopted for the rest of the thesis.

Unbedingt bilder hinzufügen und establishen was eine Pipeline ist!!!

2.1.1 Apache UIMA

Apache UIMA is one of few general approaches to implement NLP solutions and the only commonly known implementation of the specification with the same name. With a very modular architecture, UIMA is a popular tool that can easily be applied to a majority of NLP problems. A large part of the popularity of UIMA stems from the large DKPro Core collection of components, containing hundreds of analysis modules and precomputed language models [EdCG14], which are easily imported into existing Java projects with the build automation tool Apache Maven [DKP].

UIMA is usually used to process not a single but whole corpora of documents. A document in this sense is text, although the UIMA specification permits other data types as well. However, UIMA can not handle other data types without serializing it first. The UIMA specification, as well as the implementation do not directly pose limitations to the document size but since documents are stored in native Java String variables, which itself are implemented as arrays of chars, the practical limit of documents sizes is dependent on the JVM version and lies around one to two gigabytes [Staa] per document. In the context of UIMA, such a document is called a SofA (Subject of Analysis).

An analytic in the UIMA specification is called an *Analysis Engine* in the implementation. For the most part, an AE (Analysis Engine) is code, that gets an input CAS (Common Analysis System) and produces a number of analysis results on the SofA. Common examples for AEs are Segmentation, Tokenization, and Part-of-Speech finding algorithms [DKP]. However, since an AE contains arbitrary Java code any form of analysis can be instrumentalized by UIMA. It is defined by an XML Analysis Engine descriptor. Such an AE can either be a so-called *primitive* or an *aggregate* engine. An aggregate engine simply contains one or more other AEs, that are aggregated into one single engine.

Analysis results are stored as annotations. An annotation has at least two attributes `int begin` and `int end`, indicating the start and end index of the SofAs substring this annotation is associated with. This concept is theoretically extendable to any kind of

SofA that contains any sort of subsets, for example images or audio and video streams. However, this is impractical for reasons mentioned above. It is possible, but uncommon, to define other types of subsets on a string that – for example – permit multiple segments. Such subsets can be implemented in a custom implementation of the `AnnotationBase` class, which in turn may omit the concept of a `begin` and `end`. Since an important reason of the popularity of UIMA lies in the large AE repositories and the possibility to reuse already published code, custom annotation implementations are rarely used because it would most likely lead to incompatibilities. However, subclassing the `Annotation` class is often done to ensure type safety. Building such an annotation hierarchy leads to the creation of a Type System.

The Type System is a schema of all available types of annotations that may be associated with a current SofA, thus it provides the meta data for the annotations. It is defined by an XML Type System descriptor that is usually used by the *JCasGen*, a Java code generator for UIMA types. A XML Type System descriptor may define an super Type System from which to inherit all types. This can be used to subclass types that are not defined in the current context and encapsulate all in a single larger Type System.

The SofA, all analysis results in form of annotations that are compliant to an underlying Type System and the Type System itself are stored together in one large object, called a CAS. It is the sole input an AE gets, since it incorporates the complete context needed. The annotations are stored in a larger index to optimize for efficient access. Furthermore, a CAS object provides different Views, lightweight versions of a CAS, that store their own SofA and annotation index. These views are identified by a String, while the original data of the CAS is usually called the *Initial View*.

A *Collection Reader* implements an interface very similar to the well-known `Iterator`, namely it provides the functions `boolean hasNext()` and `CAS getNext()`. A Collection Reader usually takes the role of initializing the CAS with the SofA. Well known Collection Readers achieve this by reading from a file system, a database or `Collection` object, but any other collection may be read by implementing a custom Collection Reader. It is also configured by writing an XML descriptor file.

Multiple Analysis Engines that form a complete flow of analysis are commonly known as a *pipeline*. Since multiple AEs can be aggregated into one, a pipeline is usually an instance of a single aggregate analysis engine. Sometimes a pipeline is meant to also include a Collection Reader, however this will not be the case in this thesis. Because of the convention to call analysis results annotations, AEs are often called *Annotators*, which is not correct in general, since engines do not need to attach any annotations to the input CAS.

Figure 2.1 shows a simplified view of an analysis pipeline for named entity recognition. Given a CAS by a Collection Reader (omitted here), the pipeline which is really just a aggregate Analysis Engine starts to identify the language of the text with an analysis engine specifically designed to do exactly this. This AE stores the results inside the CAS and forwards it to the next engine in line, which is a tokenizer.

A tokenizer annotates words, sentences and punctuation and is highly language dependent. It uses the analysis result given by the AE before to decide upon an algorithm

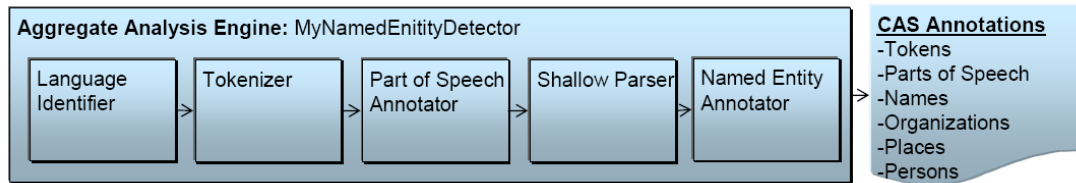


Figure 2.1: An example UIMA pipeline for named entity recognition [Apae].

or model to use according to the found language. After tokenization, a Part-Of-Speech Tagger annotates each words part of speech with a different annotation according to a tag set. There are a number of tag sets for most languages, as for example the Penn Treebank Project tag set¹ for English and the STTS (Stuttgart-Tübingen-TagSet) for German. The Part-Of-Speech Tagger is highly language dependent because of this. It also utilizes the results of the tokenizer, since it iterates over all annotations that are words.

Afterwards the CAS gets put into a Shallow Parser, which analyzes Part-Of-Speech tags and their semantic relation among other tags in the same sentence. In a sentence ‘I like green apples.’ a Part-Of-Speech Tagger would correctly decide that ‘green’ is an adjective and apples is a noun. However, a parser would combine those two to form ‘green apples’, a Noun Phrase, because ‘green’ is an adjectival modifier of ‘apples’. A Parser may also be used to improve the results of a previous Part-Of-Speech tagging.

A Named Entity Recognizer then takes the CAS object and looks for fitting entities. This is commonly a Noun of a given list, but can be more sophisticated, depending on the wanted precision, the entity type and computation speed. After the last part of the pipeline returns, the analysis is done. The resulting CAS now includes a number of analysis results in form of annotations and can now be extracted or processed further.

2.1.2 UIMAfit

Since UIMA needs XML descriptor files to configure and describe most of its components, especially pipelines and type systems, developing for it is very XML heavy and leads to code that is hard to maintain. Apache UIMAfit is a framework that builds on UIMA, providing an interface to programmatically describe, instantiate and deploy UIMA components [OB09]. UIMAfit also provides an interface to dynamically write XML descriptor files for UIMA components. However, since it is able to instantiate and deploy said components without the need of XML files, those are mostly ignored. UIMA-AS, a native UIMA scaling framework described in Section 2.1.4, is known to be widely incompatible with UIMAfit which is what led to the creation of Leo, described in Section 2.3.1.

UIMAfit has been part of the Apache UIMA project since 2012 and is therefore officially supported [dC].

¹https://www.ling.upenn.edu/courses/Fall_2003/ling001/penn_treebank_pos.html, last accessed on 2018-09-08.

2.1.3 UIMA-CPE

UIMA-CPE was the first method to add distributed computation capability to UIMA. Nowadays it has been replaced by UIMA-AS and is mostly obsolete. It made use of so called *CAS Consumers*, engines that do not analyze the CAS, but extract the needed analysis results from it and process the data as wanted. Common uses for CAS Consumers are writing analysis results into a database or serializing the whole CAS into a XMI (XML Metadata Interchange) file. CAS Consumers have been deprecated and replaced by AEs since 2006 UIMA-CPE because CAS Consumers do not provide any new functionality or are semantically different from Analysis Engines. Historically a CAS Consumer would not add anything to a CAS object. This convention of a reading-only Analysis Engine is often used to provide maximum modularity among UIMA engines.

Another concept exclusive to UIMA-CPE are CAS Initializers, which also have been deprecated for over a decade, but are still included in UIMA. A CAS Initializer was responsible to populate a CAS from an object given by the Collection Reader. It therefore implemented the function `initializeCas(Object document, CAS cas)`. This was used for more complex collection reading capabilities and CAS Initializers are generally seen as a plug-in to Collection Readers to extend their functionality. If – for example – only table of contents of larger documents are meant to be analyzed, then a Collection Reader would read the whole document and pass it to the CAS Initializer, which would search for a table of contents and fill the CAS with its findings and discard the rest of the document.

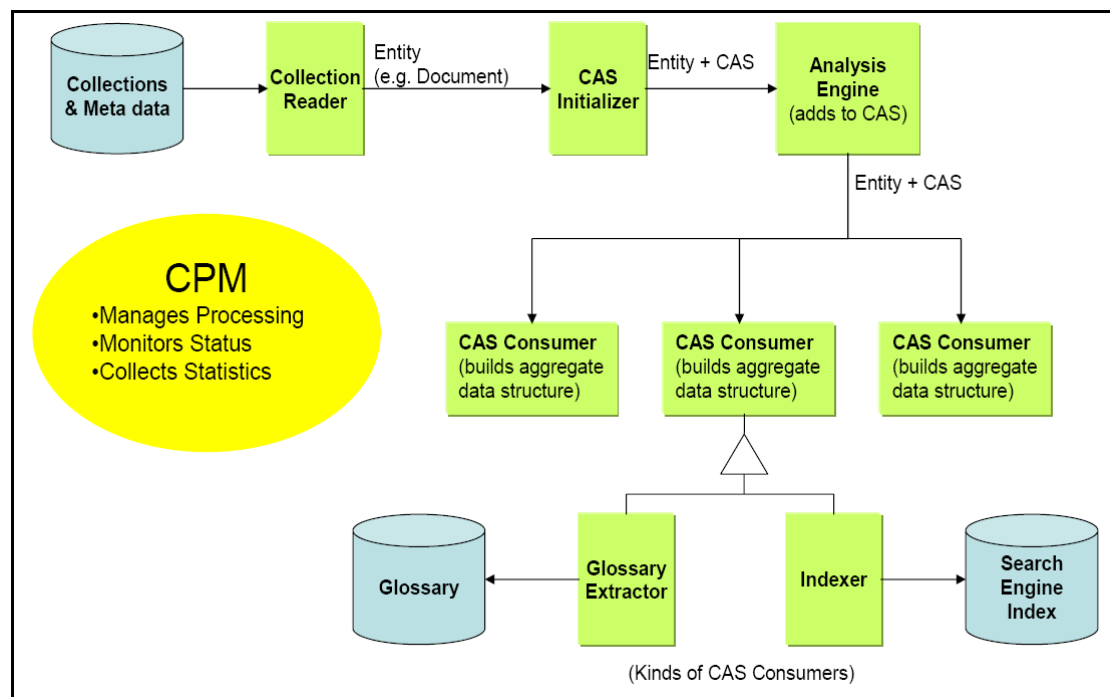


Figure 2.2: All UIMA-CPE components [Apaf].

Figure 2.2 shows a complete example pipeline. It starts with any kind of collections, maybe containing meta data. A common example would be a folder hierarchy with last modified timestamps. The Collection Reader is aware of this collection and implements an `Iterator` like interface, returning plain `Objects`. These are given to the CAS Initializer. Notice, that the CAS Initializer must be aware of what kind of entity the Collection Reader sends it. The CAS Initializer then fills a CAS object with some data from the given input `Object`. It might also create some first annotations to store meta data inside the CAS, such as the source document URL (Uniform Resource Locator) or the creation timestamp.

The CAS is then sent to the pipeline, containing one or more AEs, providing analysis results in form of annotations that are stored inside the CAS. Notice that the corresponding CAS object for a document always stays the same identical object. A CAS and its corresponding document are therefore closely associated to each other. After the analysis phase, the CAS is sent to the CAS Consumers. Those aggregate the analysis results and process it further. This process is commonly the indexing into a database or printing logs to a log file or console. Since CAS Consumers have read-only access to the CAS object they get, all of them might be processed in parallel, provided that the consumers do not interfere with each other.

All these components in combination with the UIMA CPM (Collection Processing Manager) forms the UIMA-CPE. The Collection Processing Manager provides configuration options for deployment, instantiation, and error recovery. It monitors the whole process and collects statistics. By configuration of the CPM scaling is possible either locally or on distributed machines.

For all three components introduced in this Section 2.1.3 XML descriptor files are needed for configuration. The concept of a UIMA-CPE is widely incompatible with UIMAFit, described in Section 2.1.2. UIMAFit is able to instantiate a CPE, but relies on some hardcoded default configuration, making complex multithreading applications impossible¹.

2.1.4 UIMA-AS

UIMA-AS is the successor of UIMA-CPE, providing more flexibility for scaling and deploying than its predecessor. It deploys AEs as services and registers them at a broker. UIMA-AS ships with a preconfigured instance of Apache ActiveMQ, which is an open source message broker that implements the Java Messaging Service. Other implementations can also be used though. If an UIMA-AS client now queries the broker, it submits a serialized CAS object to the input queue that is responsible for the wanted analysis. When any registered service finishes its current job, it pulls a new CAS from the broker and starts processing. This analysis process can also be multithreaded inside a single service. This is configurable by the deployment XML descriptor files of the AEs, but must be handled with care since multiple instances of Analysis Engines in the same JVM

¹<https://uima.apache.org/d/uimafit-2.0.0/api/org/apache/uima/fit/cpe/CpeBuilder.html>, last accessed on 2018-09-08.

share static resources. After finishing the process, either successfully or by failing, the service returns the CAS object to the brokers corresponding output queue where it waits until the broker finds time to forward it to the waiting client. The described process can be seen in Figure 2.3. The user-defined AE get wrapped by a UIMA-AS controller, that handles communication with the input and output queue. These queues are provided by a broker, here ActiveMQ.

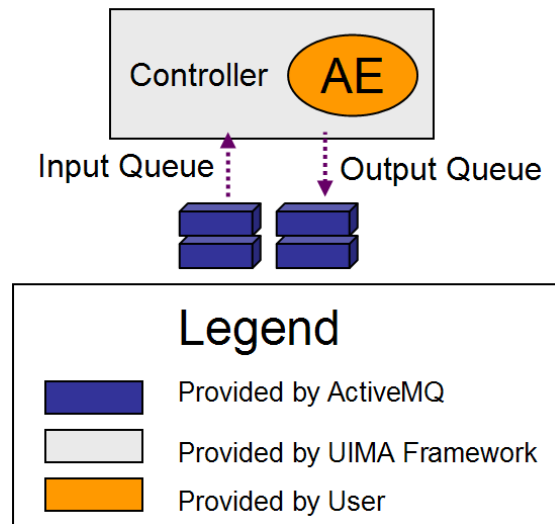


Figure 2.3: An Analysis Engine as a service in UIMA-AS [Apad].

To provide capabilities of a more complex analysis flow instead of the simple synchronous order, the user can implement what is called a *Flow Controller*. An aggregate Analysis Engine can have at most one Flow Controller, that handles what AE gets the CAS next. Usually UIMA defaults to the `FixedFlow` class, which executes AEs one after another, but more sophisticated flows can be implemented. If an aggregate Analysis Engine contains such a Flow Controller, further queues are installed. Figure 2.4 shows such an advanced pipeline containing a flow controller and two delegate Analysis Engines. Submitting a CAS to the aggregate Analysis Engine queues it into the queue of the Flow Controller (FC). When it finishes its current computation, the Controller is faced with the decision what delegate Analysis Engine should obtain the CAS for processing and appends it to the corresponding queue. Notice that said queue is also provided by ActiveMQ (or any other implementation). After analysis, the CAS is sent back to the Flow Controller, or more specifically its output queue. It may now decide to send the processed CAS to another delegate AE or stop processing and output it to the brokers output queue. The large amount of queue may seem excessive, but it is necessary to provide a synchronous execution of the Flow Controller and – if configured – the Analysis Engines.

Since the CAS object contains everything, the Sofa, all analysis results, the type system, and maybe even different views, it can grow quite large over the span of a

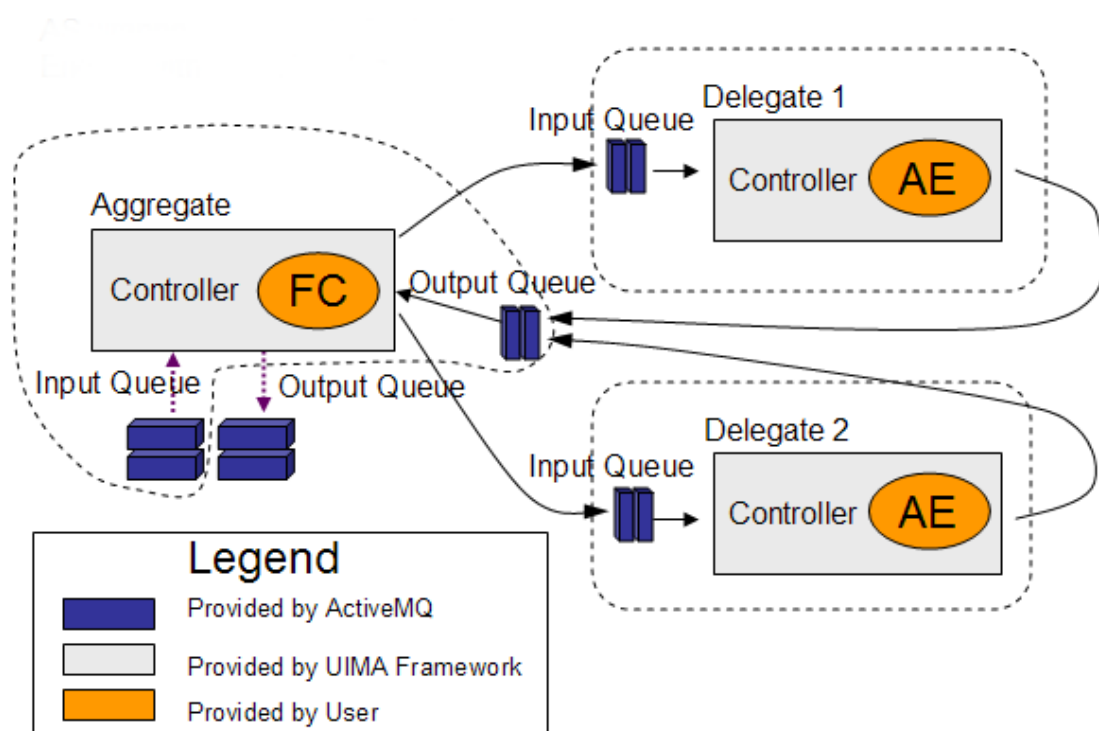


Figure 2.4: An aggregate Analysis Engine as a service in UIMA-AS [Apad].

complicated pipeline. This forms a problem in UIMA-AS, since the CAS has to be serialized for every transport inside the system, from client to broker, from broker to service, from Flow Controller to delegate Analysis Engines and the whole way back. Serialization however is a costly task and even if the underlying NLP analysis is very sophisticated, serialization might not be negligible. Epstein et al. handle this problem in [ESI⁺12] by avoiding serialization on local instances and introducing a sparse Delta-CAS serialization, containing only changes in respect to an original CAS.

As most parts of the native UIMA framework, UIMA-AS is configured by writing an XML descriptor, containing all the necessary data for deployment. A dynamic creation of said descriptor files is currently not possible with UIMAfit, but is provided by Leo, described in Section 2.3.1.

2.2 Distributed Computation

When handling large sets of data, a single machine may not be sufficient to solve the given task in a feasible time. In such a scenario, it would be desirable to just add more computation power to finish said task quicker. However, even if the given task permits parallelization, which is generally not obvious, distributing a problem among multiple machines, or similarly processing cores, is not trivial. For many problems a

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Eigentlich
langweilig, ich
erkläre hier schon
extrem simple
Dinge.

large administrative and communicative overhead aggravates the effort to parallelize.

In this section, some models for parallel and distributed computation are describes. Those concepts aim to generalize the problem of distributing a task while at the same time try not to be too restrictive in their interface.

2.2.1 MapReduce

MapReduce is a programming model for distributed computation of large sets of data on clusters of processing cores and usually multiple machines. Google introduced the MapReduce model in 2003 and used it a few years before announcing 2014 to switch to a less restrictive framework [DG08].

The MapReduce process consists of three phases, *Map*, *Shuffle*, and *Reduce*. The shuffle phase is usually provided by an implementing framework, both other phases are to be implemented by a user. Let the input data set be C , with identifiers I . More specifically this means that

$$\forall c \in C : \exists ! i \in I : i \text{ is associated with } c.$$

Furthermore let K be a set of keys and V a set of intermediate values. Then the map function maps the input data with the associated identifier to a list of key-value pairs:

$$\text{map} : I \times C \rightarrow (K \times V)^*$$

Then the reduce function reduces a key and a list of all associated intermediate values to a single intermediate value:

$$\text{reduce} : K \times V^* \rightarrow K \times V$$

The reduce function is often described with a range of just V , because it never changes its parameter of K and just passes it through. After all value lists have been reduced to contain only a single value $v \in V$, they form the final output $(K \times V)^*$.

The canonical example for this model is the problem of counting the number of occurrences for each word in large documents or even larger corpora of documents [DG08]. Recall that for given input documents C and corresponding identifiers I , which might be filenames or URLs, one expects a list of key-value pairs containing $k \in K$, an identifier for a single word (likely the word itself), and $v \in V$ an integer value describing the words occurrences. Figure 2.1 shows an example implementation of said behavior. Notice that the pseudocode class `Word` does refer to a substring containing a single word and not the unit of data.

First all documents C and their identifying information I are put into $|C|$ instances of the `map` function. The results are $|C|$ lists of word-integer pairs. Notice that these intermediate results are not yet distinct. This means that several entries of even the same list might be equal if the corresponding word occurs more than once in one document. Now follows the shuffle operation, which collects intermediate results with the same key on as few machines as possible. This is a costly procedure, since data must be sent over

the network. In the third phase, the reduction algorithm gets a word and a number of corresponding counting integers which it just adds and returns. Notice that – in this example – the first execution of the `reduce` function will receive a word and a list of ones. This is because the `map` function initialized each word counter with exactly one.

All intermediate results per word can now be reduced further until only one value remains, which is the final output value. Since the MapReduce model does not define an ordering on the lists given to the `reduce` function, it must be associative and commutative to always yield the same result regardless of the inputs ordering. However, MapReduce implementations usually guarantee a fixed ordering to simplify programming the `reduce` function.

```
1 List<Pair<Word, Integer>> map(Id docIdentifier, Text docText) {
2   List<Pair<Word, Integer>> result = new List<>();
3   for(Word w in documentText) {
4     result.append((w, 1));
5   }
6   return result;
7 }
8
9 Pair<Word, Integer> reduce(Word w, List<Integer> intermediate) {
10  Integer result = 0;
11  for(Integer i in intermediate) {
12    result += i;
13  }
14  return (w, result);
15 }
```

Listing 2.1: Example pseudocode implementation of the MapReduce model to count word occurrences.

Dean and Ghemawat found in [DG08] that many real world applications are describable in the MapReduce model. However, it is still very restrictive and has been abandoned by Google for this very reason. A popular open-source implementation of MapReduce is Apache Hadoop, or more specifically Hadoop MapReduce. It is therefore part of Apache Hadoop, a collection of utilities to handle large amount of data in computation. Apache Hadoop is popular for the HDFS (Hadoop Distributed File System), a high performance distributed file system.

2.2.2 Computation Tree

2.3 Related Work

Hier im Grunde alles was es an "Vorarbeiten" bzw. konkurrierende Ansätze gibt.

Ich bin sehr unzufrieden mit der related work in den Basics. Es passt hier absolut nicht rein. Ich werde das vermutlich bald wieder in das erste Kapitel Introduction schieben.

2.3.1 Leo

Leo ist für UIMA-AS was UIMAfit für UIMA ist. Leider leidet Leo unter einer sehr schwachen und verletzlichen Programmierung. Dies zeigen zum Einen die Inkompatibilitäten zu UIMAfit, zum Anderen aber auch statische Code Analyse. Alles in allem aber ein brauchbares Tool und mein Go-To, sollte ich UIMA-AS noch einmal aufsetzen.

2.3.2 v3NLP

v3NLP ist auch ein scaling framework für NLP, was auf UIMA aufbaut. Es wurde damals speziell für cTAKES und MetaMap programmiert.

Chapter 3

Scaling UIMA with Spark

In this chapter we will first discuss the choice of Apache Spark as a distribution technology. Afterwards the framework implementation details will be documented with a special focus on data distribution, namely Serialization and Compression.

3.1 Technologies

Erkläre die Entscheidung warum auf Spark gesetzt wurde als Distributionsframework. Das möglicherweise mit Kruchten 4+1 (https://de.wikipedia.org/wiki/4%2B1_Sichtenmodell) aufhübschen.

Ich sollte auch ein wenig mit den generischen Ansätzen Compression & Serialization angeben. War schließlich nicht trivial die scheiße zu entwickeln.

Timm schlägt ein Schichtendiagramm vor, das zeigt wo ich zwischen UIMA und Spark stehe. Klingt gut, aber auch recht kompliziert in der Umsetzung. Ich denke das werde ich als letztes machen.

Ich denke aus diesen Bildern kann sich recht kanonisch eine Gliederung für das Kapitel entwickeln. Hier mal eine Liste von Abbildungen, die ich mir bisher vorstelle

Framework schematisch in Netzwerksicht (Also welchen Weg Dokumente so in meinem FW gehen)

3.2 Implementation

3.3 Data Distribution

Since all the input data, in form of documents, and output data, in form of analysis results, must be transmitted over a network, be it virtual or real, the serialization of larger Java objects play a role in performance. Since both, the input and the output, are stored inside a CAS object it suffices to find a suitable serialization algorithm for those. However, finding an optimal algorithm is not trivial and usually even depends on the input data. Larger documents produce larger CAS, which in turn need a longer time

to be deployed to the corresponding Apache Spark workers. However, small documents still are no guarantee for small CAS sizes, since analysis results can be of arbitrary size and number, depending on the UIMA pipeline.

Furthermore it can be useful to compress serialized data, depending on the network setup and the serialization algorithm. Most native UIMA serializations produce XML files, which are very verbose and well compressible. Compression algorithms specifically designed for XML files achieve packing ratios of up to 80 % [GS05, MPC03, Sak09]. However, such algorithms often come at the price of a relatively high runtime. This is especially undesirable if the transmitted data is small or the serialization sparse and the expected compression ratio is low.

Since an optimal choice for both, serialization and compression, is not possible for the general case the framework exposes two interfaces, namely `CasSerialization` and `CompressionAlgorithm`.

3.3.1 Serialization

In [ESI⁺12] Epstein et al. explain how serialization of CAS was an important bottleneck and a problem to solve. They configured UIMA-AS in several ways to serialize only the parts of the CAS object that are needed for further analysis. Obviously this can not be done in the general case when the underlying analysis algorithms are unknown, which is why the framework takes an instance of `CasSerialization` as an optional parameter.

An instance of said interface implements two methods with the signatures shown in Listing 3.1..

```
1 public byte[] serialize(CAS cas);  
2 public CAS deserialize(byte[] data, CAS cas);
```

Listing 3.1: `CasSerialization` method signatures

While the signature of the `serialize` method is intuitive, this does not immediately apply to the `deserialize` function. Here, a previously created CAS object is given as a parameter for two reasons. First, UIMA allows for the configuration of a custom `CasInitializer`, which can alter the CAS object immediately after creation. Although the usage of `CasInitializers` has been deprecated since at least 2006, it is still a feature of UIMA and must therefore be taken care of [Apaf]. By creating a new CAS on the target JVM, the framework first executes the `CasInitializers` and then passes the resulting CAS to the `deserialize` function. The second reasons for this additional parameter is to pass the current UIMA type system. The serialized data might include annotations of types that are unknown to the native UIMA type system and therefore must be defined before deserialization. Although a parameter `TypeSystem` would have been sufficed, the first reason implies the requirement of a complete CAS parameter. Since the created CAS already includes the full type system description, available by `cas.getTypeSystem()`, the framework abstains from passing another parameter to the `deserialize` method. If

`CasInitializers` get removed from UIMA, this might be a feasible change in the future.

The framework already ships with two implementations of the `CasSerialization` interface, namely `XmiCasSerialization` and `UimaCasSerialization`. The `XmiCasSerialization` creates complete XMI files, containing the SofA, all analysis results and even the used type system description. To accomplish this, it uses the UIMA `XmiCasSerializer` class. Thus, the `XmiCasSerialization` implementation of `CasSerialization` acts as a mere wrapper. The second serialization algorithm `UimaCasSerialization` also just wraps around the native UIMA class `Serializer`, which is the same serialization algorithm UIMA-AS uses to distribute and retrieve CAS objects.

3.3.2 Compression

Since the compression results are very dependent on the use case, data size and serialization algorithm, the framework provides the user with a `CompressionAlgorithm` interface. An implementation of said interface exposes two methods with signatures as shown in Listing 3.2.

```
1 public byte[] compress(final byte[] input);  
2 public byte[] decompress(final byte[] input);
```

Listing 3.2: `CompressionAlgorithm` method signatures

Completely abstracted from any UIMA concept, this interface simply expects two functions, `compress` and `uncompress` to behave such that for every input `byte[] x` holds that `x = decompress(compress(x))`. While this is the only technical requirement for this interface, it is usually desired to have $|x| > |\text{compress}(x)|$. Since both methods act UIMA unaware, reducing the object size by omitting parts of the CAS is not possible without deserializing the CAS first, a step that is defined in the `CasSerialization` interface and not accessible from this context.

The framework ships with two implementations of the `CompressionAlgorithm` interface. It defaults to the `NoCompression` class, simply implementing the identity with `x = compress(x)`, effectively disabling any kind of compression. This is useful if network delay is negligible, especially in virtual networks inside a single machine. A compression algorithm would need computation time to process all transmitted CAS, while saving only a minimum of transfer time. Secondly, the class `ZLib` implements the DEFLATE compression, which is a general purpose lossless compression algorithm, commonly used in ZIP files.

Chapter 4

Evaluation

Die Struktur hier sollte der Section Implementation Requirements ähneln.

Außerdem müssen wir noch begründen warum wir jetzt Framework gegen Single Threaded und UIMA-AS laufen lassen.

Was wir definitiv vergleichen können ist Extensibility und Maintainability, also weiche Metriken. Memory Consumption hab ich nicht mit geloggt, das empfand ich als zuviel Aufwand für zuwenig return. Hintergrund ist, dass sowohl UIMA-AS, als auch Spark nur kleinen Overhead haben. Wir reden hier von <1GB. Jede halbwegs erwachsene Pipeline, mit mindestens einem oder zwei Modellen übertrifft das. Somit bin ich grundsätzlich einfach davon ausgegangen, dass Speicher "genug" da ist, also nichts geswappt wird (und offensichtlich nichts in den OOM-Killer läuft). Ich denke das ist sinnvoll so. Hat man einen Rechner, auf dessen RAM die Pipeline, inklusive aller Modelle, passt, dann passt da auch noch Spark/UIMA-AS drauf. Passt die Pipeline nicht, hat man sowieso Pech.

4.1 Setup

Auf jeden Fall den gesamten Versuchsaufbau beschreiben, inkl. allem Docker-Gedöhs. Bilder:

- Versuchsaufbau UIMA-AS (evtl. inkl. Dockercontainern? Oder eher davon wegabstrahiert?)
- Versuchsaufbau Spark (s.o.)
- Framework in HDFS-Umgebung
- Single-Threaded in HDFS-Umgebung
- UIMA-AS in HDFS-Umgebung (hey, hier kann mein Framework punkten, da mach ich ein Bild zu :D)

4.2 Computation Speed

Relativ kanonisch in byte per second. Sollten die Analysedaten hier etwas hergeben, bietet sich ne Tabelle mit Urdaten und entsprechend nen Diagramm oder 20 an. Sollten die Daten kaputt sein, können noch rudimentäre Zeitabstände aus den Logdateien gelesen werden. Wie sexy das ist, ist allerdings fraglich.

4.3 Extensibility

Die extensibility ist hier zweiseitig zu betrachten, weil wir zum einen UIMA haben, was durch das Annotator-Plugin-System sehr extensible ist, was NLP-Funktionalität angeht. Das steht zumindest im Gegensatz zu v3NLP, was zwar auch Plugins zulässt, allerdings nicht die nativen UIMA-Dinger frisst (soweit ich weiß, muss ich noch bestätigen).

Zum anderen stellt sich die Frage inwiefern Spark-Konzepte weiter auf das Framework geworfen werden können. Es punktet zwar dadurch, dass es mit BigData umgehen kann, verliert allerdings durch das POJO, das der User zurückbekommt, an Spark-Funktionalität. Diese ist erweiterbar, allerdings nur wenn man den Quellcode selbst umschreibt (ie. das Projekt forked). Das ist zwar auch änderbar, ich will jetzt allerdings keien neuen features mehr zum FW hinzufügen, die nicht nur die Benchmarks invalidieren, sondern auch Fehler beinhalten können.

Interessant wäre vielleicht noch zu erwähnen, dass mein FW ein Serialization- und Compression- Interface anbietet, durch das der User diese beiden Aspekte quasi selbst einstellen kann. Beides macht einen großen Leistungsunterschied, besonders wenn man Network vs Localhost-Verkehr betrachtet. UIMA-AS bietet die Möglichkeit die Serialization selbst zu definieren, allerdings nicht die Compression. Der Serializer kann btw. natürlich auch dazu verwendet werden um Daten zu prunen. Das ist aber vom Anwendungsfall abhängig und hier nicht wirklich relevant, evtl sollte ich es allerdings trotzdem mal erwähnen.

4.4 Maintainability

Im Gegensatz zu UIMA-AS punktet hier natürlich auch mein FW. Ich sag nur XML-Dateien. Mein FW (ich hab dem noch gar keinen Namen gegeben) setzt auf die Spark-Infrastruktur. Damit ist es genauso Maintainable wie dieses, was auch immer das heißen mag. Ich gehe davon aus, dass services wie AWS sowas übernehmen.

4.5 Scalability

Ein bisschen seltsam, das als Metrik hinzuzunehmen, aber trotzdem sollte man sich Gedanken darum machen, was passiert wenn wir ZU bigData haben. Bei UIMA-AS würde als erstes vermutlich der Broker streiken, weil es keinen Broker-Broker gibt. Bei Spark kann es mehrere Master in einem Netzwerk geben. Wie das geregelt wird, muss ich noch herausfinden.

Chapter 5

Summary

Hier ist ein wunderhübscher Ort um nochmal alles zusammenzufassen. Daher hat dieser Ort auch jene Überschrift bekommen :3

5.1 Limitations

Eventuell sogar schon in die Implementierung?

5.2 Conclusion

Das hängt ein wenig von den Ergebnissen ab. Ich hoffe auf sowas wie "Ich bin der tollste, UIMA-AS ist Dreck". Das spiegeln die Daten zwar nicht ganz wieder, aber hey :D

5.3 Outlook

Spark-Funktionalitäten können noch in das Framework implementiert werden (sogar extrem leicht, da der return value ein Spark-Objekt ist). Weiterhin ist es natürlich Usern überlassen ob weitere Serializations und Compressions implementiert werden.

Glossary

Analysis Engine

An Analysis Engine is a component of an UIMA NLP pipeline. As such it analyses given documents and enriches it with inferred information. An Analysis Engine may contain other Analysis Engines [Apaf]. 6

Apache Spark

Apache Spark is an open-source cluster-computing framework. Originally developed at the University of California, Berkeley's AMPLab, the Spark codebase was later donated to the Apache Software Foundation, which has maintained it since. Spark provides an interface for programming entire clusters with implicit data parallelism and fault tolerance. 1, 3, 5, 16, 17

Gestohlener
Text, muss noch
paraphrasiert
werden.

Application Programming Interface

3

Collection Processing Engine

Collection Processing Engines (CPE) are the first generation of UIMA native scaling solutions. A CPE contains a collection reader, which knows how to read the underlying collection, and CAS Consumers for the final analysis result extraction [Apaf]. 3

Collection Processing Manager

10

Common Analysis System

The Common Analysis System is a type of object in the UIMA framework. It contains the subject of analysis, the analysis result and a corresponding type system [Apaf]. 6

Darmstadt Knowledge Processing Software Repository

A collection of UIMA components for natural language processing. This includes analysis engines, language models and custom type systems [DKP, EdCG14]. 3

Docker

Docker is a virtualization solution based on containers. By using containers instead of fully fledged virtual machines Docker tries to reduce the system overhead per running application [doc15]. 1

Extensible Markup Language

2

Factories, Injection, and Testing library for UIMA

5

General Architecture for Text Engineering

1

Hadoop Distributed File System

The Hadoop Distributed File System (HDFS) is a distributed file system designed to run on commodity hardware. 14

Gestohlener
Text, muss noch
paraphrasiert
werden.

Java Virtual Machine

2

Lines of Code

3

Natural Language Processing

Natural-language processing (NLP) is the discipline of collecting and analysing natural language. This includes for example speech recognition, natural language understanding and generation [Lid01]. 1

Organization for the Advancement of Structured Information Standards

5

Question Answering

Being a subfield of NLP, Question Answering (QA) is about extracting and understanding questions from natural language and answering them accordingly [JM14].

1

Stuttgart-Tübingen-TagSet

8

Subject of Analysis

The Subject of Analysis is the document that gets analyzed by a given UIMA application. It is contained in its corresponding CAS [Apaf]. 6

UIMA Asynchronous Scaleout

UIMA-AS is the second generation of UIMA native scaling solutions. It is based on a shared queue based service architecture [Apac] 1

UIMA Collection Processing Architecture

5

Uniform Resource Locator

10

Unstructured Information Management Architecture

UIMA is a general purpose framework to extract information from unstructured data [Apab, FLVN09]. Although any data format is supported, natural language texts are the most common one. 1

XML Metadata Interchange

9

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Eidesstattliche Erklärung

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