

## Spencer Henry

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### Summary

Eager finance professional, with a background in Hedge Fund Valuation and Profit & Loss reporting. Motivated, results oriented, leader, with a passion for combining creativity and analytical thinking to the world of finance. Demonstrated interest and commitment to finance and banking with accumulated knowledge and experience in investment related projects – Hedge Fund Valuation, Independent Price Verification, OTC modelling, and security pricing. Reputation for dependability, punctuality, and diligence. Proven strong interpersonal skills and adept at collaboration. Chartered Financial Analyst (CFA) Level 2 candidate.

#### Key Skills:

- Investment Valuation, Pricing & Analysis
- Derivative Security Valuation
- Bloomberg Terminal Functions
- Organization & Priority Setting
- Business Writing & Presentations
- Client & Internal Communication

### WORK EXPERIENCE

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#### Citco – Hedge Fund Administration

##### Supervisor, Valuations, Profit and Loss

Sept 2019 - Present

- Responsible for overseeing P&L analysts in the production of daily reporting and monthly independent pricing verification (IPV).
- Review of key client deliverables, with continued exposure to a variety of listed and OTC securities, held within different global hedge fund structures.
- Enhanced client relationships by responding to all inquiries in a professional and timely manner.
- Collaborated with multiple teams (Fund Accounting, Back Office, Etc) on a daily basis for any inquiries, issue resolution, or additional information.
- Key contact within the team for any unresolved issues relating to IPV, P&L analysis, and security pricing.
- Managed multiple projects within the organization such as automating the counterparty market values feed used in the IPV process, and testing new security software for sensitive client information.
- Facilitated the internal training sessions for our new analysts.

##### Senior Valuations, Profit and Loss Analyst

Jul 2018 – Sept 2019

- Valuation of hedge fund portfolio holdings of increasing difficulty and analysis of performance.
- Utilized the Bloomberg Terminal on a daily basis to check security prices, model OTC instruments, and obtain any other market information.
- Exposed to many financial securities such as fixed income, equity swaps, commodities, options, credit default swaps, and various other listed and OTC products.
- Gained exposure to valuing different hedge fund structures, such as Fund of Funds.
- Responsible for the review of monthly Independent Pricing Verification (IPV), daily P&L reporting & pricing, completed by other analysts.
- Led several training sessions on daily/monthly processes for junior staff as well as our other offices.
- Took on side projects, such as helping to develop a model for pricing Credit Default Swaps.
- Worked with multiple teams (Fund Accounting, Back Office, Etc) on a daily basis for any inquiries, issue resolution, or additional information.

## Valuations, Profit and Loss Analyst

Jan 2017 – Jul 2018

- Valuation of hedge fund portfolio holdings and analysis of performance.
- Utilized the Bloomberg Terminal on a daily basis to check security prices, model OTC instruments, and obtain any other market information.
- Exposed to many financial securities such as fixed income, equity swaps, commodities, options, credit default swaps, and various other listed and OTC products.
- Contributed to the monthly NAV process by performing Independent Pricing Verification (IPV).
- Investigated, communicated, and resolved valuation/P&L issues with hedge fund clients.
- Engaged in team-wide initiatives, projects, new clients, and new procedures as required.
- Worked with multiple teams (Fund Accounting, Back Office, Etc) on a daily basis for any inquiries, issue resolution, or additional information.
- Assisted in the training of new employees on the team, as well as the employees in our new office.

## OWASCO AUDI / VW, Whitby, Ontario

### Licensing Clerk / Sales Contract Administrator

Summer Job 2015

- Offered summer employment after a successful internship and gained experience in different aspects of business operations.
- Increased efficiency in turnover and lessened work for business managers by managing all vehicle licensing and registration, including fixing registration issues of cars purchased from other dealers and distributors.
- Interacted with other dealerships, printed bills of sale, and accepted cheques for vehicle purchases.
- Determined contracts to be sent to VW Canada for funding and dealt with discrepancies.

### Accounting/Finance Internship

2014 - 2015

- Acquired experience in handling accounting for the deposits and completed accounting journal entries daily for various departments.
- Completed accounts receivable, bank account, and vehicle reconciliations; entered invoices for parts and other equipment purchased; assisted with vehicle inventory counts and reconciliations.

## EDUCATION

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### Bachelor of Commerce (Finance)

2012 - 2016

University of Ontario Institute of Technology (UOIT), Oshawa, ON

Courses include: Financial Modelling, Mergers and Acquisitions, Equity Asset Analysis, and Financial Institutions.

- President's List Fall 2015, Dean's List
- Member: UOIT Finance Society, 2012 – 2016
- **Member/competitor: UOIT Brilliant Entrepreneurship**
  - Presented to investors and judges on behalf of group in a regional business pitch competition.
  - Placed 2nd out of 50 teams.

### Team Leader: 2016/2017 CFA Institute Research Challenge

- Led a team of 4 other members in a company analysis and stock valuation of Shopify.

### CFA Level 2 Candidate

Ongoing

## LEADERSHIP AND ACADEMIC INVESTMENT PROJECTS

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### Mergers and Acquisitions – Deal Concept Proposal

- Tasked with developing a proposal for potential acquisition of Yahoo! by Microsoft.
- Led team of 2 other members in valuation process to determine if there was merit in purchasing Yahoo!.
- Forecasted 5 years of pro-forma financial statements and built financial model for valuation, which included forecasting cash flows and finding their present value.

### Fixed Income – Managing a Fixed Income Portfolio

- Took a leadership role in a team of 4 other members to manage portfolio of 10 fixed income securities, which included government and corporate, Canadian and American, and had a variety of maturities and features, and which involved using Rotman Portfolio Manager trading software.
- Analyzed portfolio return, risk, and individual securities of the fund over the period (the semester), and co-wrote a report.

### **Financial Modelling – Stock Price Valuation**

- Analyzed a publicly traded company, Hasbro, and proposed a recommendation of stock value.
- Worked with a team of 2 other members to build a financial model in Excel to value company's stock.
- Forecasted financial statements; interpreted past financial statements for information used in forecasting.

### **Equity Asset Analysis – Managing an Equity Portfolio**

- Took a leadership role in a team of 4 other members to manage a portfolio of 10 stocks.
- Delegated task for each team member to research a sector/industry and choose securities in order to diversify our portfolio.
- Researched a variety of stocks and their past performance, risk, return, and other statistics, before adding them to our portfolio.

### **TECHNICAL SKILLS**

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Bloomberg Terminal (various functions, modelling security prices, etc) Microsoft Office Suite, strong Excel skills; Rotman Interactive Trader; Rotman Portfolio Manager

### **VOLUNTEER EXPERIENCE**

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Feed the Need Durham Region Community Food Bank March 2011 - Present

- Assisted with warehouse work and food sorting in support of local Durham Region food banks

Knights of Columbus – Rogers TV Charity Bingo January 2011 - Present

- Performed office administrative work including filing and cheque preparation
- Assisted with children's basketball event

Campaigner for successful Municipal School Board Trustee Campaign Summer 2016

- Improved interpersonal and speaking skills by communicating with constituents of downtown Toronto and Rosedale via phone canvassing and in person; accompanied candidate to several events.