

This is known as a "diagonal argument", due to Cantor (1870s). Note that it in fact shows that $(0,1)$ is uncountable.

Corollary 9. There are uncountably many transcendental numbers.

Proof If $\mathbb{R} \setminus A$ were countable, then since A is countable, $\mathbb{R} = \mathbb{R} \setminus A \cup A$ would be countable. \times . □

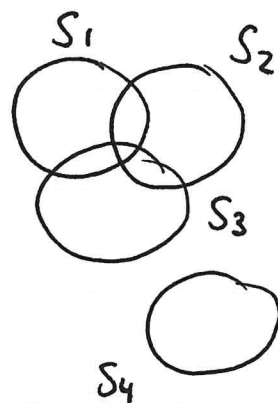
Theorem 10 $\mathcal{P}(\mathbb{N})$ is uncountable.

Proof 1 If $\mathcal{P}(\mathbb{N})$ were countable, we could list the subsets of \mathbb{N} as S_1, S_2, S_3, \dots

Let $S = \{n \in \mathbb{N} : n \notin S_n\}$.

Then S is not on our list since $\forall n \in \mathbb{N}$,

$S \neq S_n$ (as S and S_n differ in their



membership of the element n). ~~✗~~

Hence $\mathcal{P}(\mathbb{N})$ is uncountable. \square

Note that this is again a "diagonal argument".

Proof 2. Note that there is an injection from $(0,1)$ into $\mathcal{P}(\mathbb{N})$: write $x \in (0,1)$ in binary $0.x_1x_2x_3\dots$ with $x_i \in \{0,1\}$ (not ending in an infinite string of 1s) and set $f(x) = \{n : x_n = 1\}$,

Eg. $0.11101000\dots \mapsto \{1,2,3,5\}$.

This is an injection. \square

In fact, Proof 1 of Theorem 10 shows the following.

Theorem 11 For any set X , there is no bijection between X and $\mathcal{P}(X)$.

Proof Given any function $f: X \rightarrow \mathcal{P}(X)$, we shall show that f is not a surjection. Indeed, let

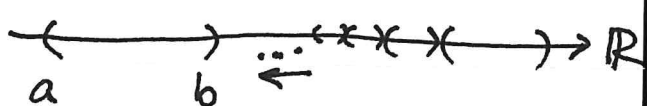
$S = \{x \in X : x \notin f(x)\}$. Then S does not belong to the image of f , since $\forall x \in X$, S and $f(x)$ differ in the element x , and thus $S \neq f(x)$. \square

Remarks (1) This is reminiscent of Russell's Paradox.

(2) In fact, it gives another proof that there is no universal set. For suppose we had such a universal set V , then we would have $\mathcal{P}(V) \subseteq V$, in which case there would certainly be a surjection from V to $\mathcal{P}(V)$.

Example Let $\{A_i : i \in I\}$ be a family of open intervals of \mathbb{R} which are pairwise disjoint. Must the family be countable?

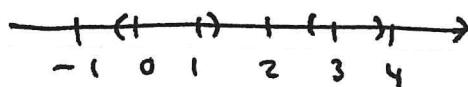
Warning: There is no "next" interval after (a, b) .



The family $\{A_i : i \in I\}$ is nevertheless countable.

Proof 1 Each interval A_i contains a rational, and \mathbb{Q} is countable, so since the intervals are disjoint, we have an injection from I into \mathbb{Q} . Hence the family $\{A_i : i \in I\}$ is countable. \square

Proof 2 The set $\{i \in I : A_i \text{ has length } \geq 1\}$ is countable as it injects into \mathbb{Z} .



Similarly, the set $\{i \in I : A_i \text{ has length } \geq \frac{1}{2}\}$ is countable as it injects into $\frac{1}{2}\mathbb{Z}$.

More generally, for each $n \in \mathbb{N}$, $\{i \in I : A_i \text{ has length } \geq \frac{1}{n}\}$ is countable.

Now $\{A_i : i \in I\}$ is countable as it is a countable union of countable sets. \square

Summary To show that X is uncountable

(i) run a diagonal argument on X ;

(2) inject your favourite uncountable set into X .

To show that X is countable

(1) list it (may fiddly);

(2) inject it into \mathbb{N} ;

(3) use "countable unions of countable sets are countable";

(4) if "in/near" \mathbb{R} , consider \mathbb{Q} .