

# X-ray wave propagation in PETSc

Sajid Ali<sup>1</sup> & Chris Jacobsen<sup>2</sup>

<sup>1</sup>Applied Physics  
Northwestern University

<sup>2</sup>X-ray Science Division  
Argonne National Lab

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# Outline

Introduction

Zone Plates

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Multi-slice method

Finite Difference methods for wave propagation

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# Introduction

# Synchrotron light sources

- ▶ Accelerate electrons close to speed of light, then bend the beam to produce "bright" x-rays.

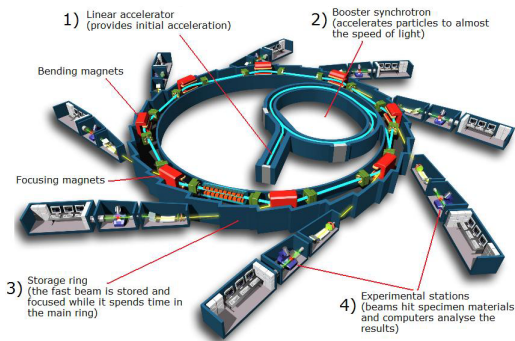
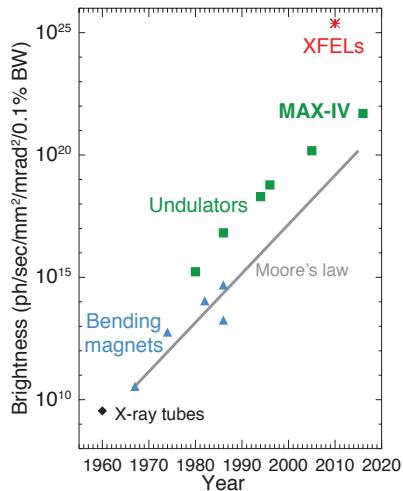


Figure: Synchrotron schematic<sup>1</sup>

<sup>1</sup><https://dc.edu.au/hsc-physics-quanta-to-quarks/>

# More than Moore! <sup>2</sup>



## Zone Plates

# Focusing X-Rays

- ▶ Ref. index  $\rightarrow$  complex, slightly  $< 1$
- ▶ Zone plates  $\rightarrow$  monochromatic diffractive optics.
- ▶ Consist of alternate rings of low and high refractive index materials placed such that the outgoing waves constructively interfere with each other at the focal spot.

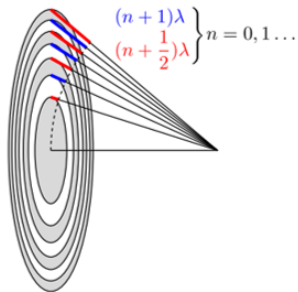
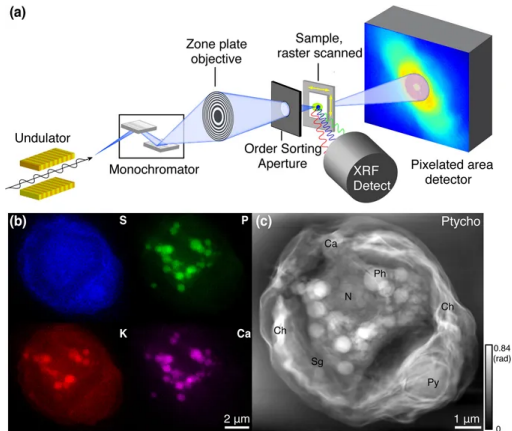


Figure: Illustration of zone plate <sup>3</sup>

# Zone plates in action!



**Figure:** Simultaneous Ptychography and fluorescence imaging <sup>4</sup>

<sup>4</sup>Deng et al. [2017]



# Factors affecting efficiency & resolution

- ▶ Efficiency of zone plate depends on refractive index.
- ▶ Zones must be thick enough along beam direction to produce a phase shift of  $\pi$ , several  $\mu\text{m}$  at hard x-ray energy.
- ▶ Spatial resolution limited to finest, outermost zone width.

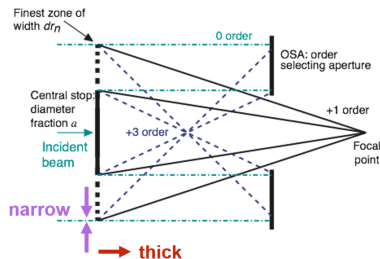
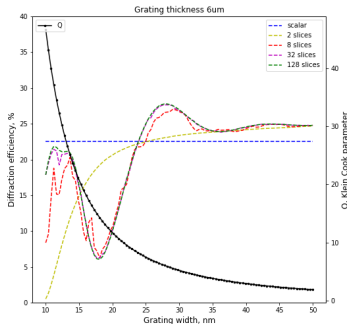


Figure: Thickness vs efficiency <sup>5</sup>

# Scalar theory is not enough

- ▶ Scalar approximation assumption → interaction between x-rays and the optic can be treated as one-step diffraction.
- ▶ Clearly, waveguide effects need to be taken into account.
- ▶ Thick zone plate → test object.
- ▶ Klein-Cook param. :  $Q_{K-C}$  indicator of "diffraction regime"<sup>6</sup>.



**Figure:** Volume effects  
in 1d gratings

## Beyond Depth of Focus imaging

# What is DoF?

- ▶ Can be thought of as the longitudinal spread of focal spot
- ▶ Goes like  $\approx 5(\text{transverse res.})/\lambda^2$ ,  $30\text{nm}/25\text{keV} \rightarrow 90\mu\text{m}$ .

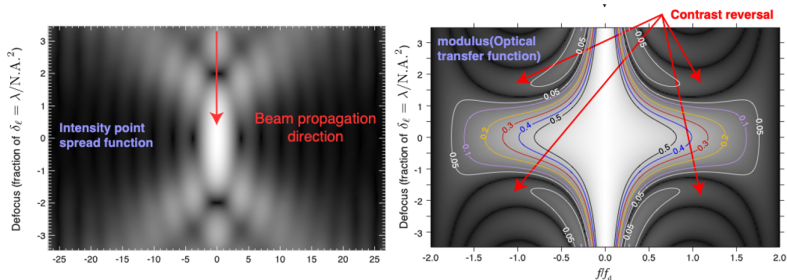
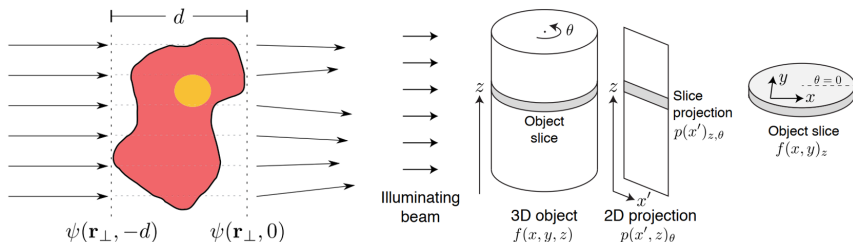


Figure: Optical Transfer Function in real and frequency space <sup>7</sup>

# Current Imaging schemes

- ▶ Object within DoF
- ▶ No modulation of input wave within the object

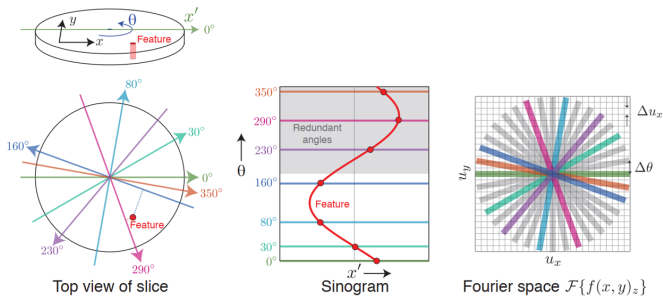


**Figure:** (Left) Pure Projection Approximation<sup>8</sup>, (Right) Each slice is projected onto a 2D plane. All planes are independent of each other<sup>9</sup>

<sup>8</sup>Krenkel [2015]

<sup>9</sup>Jacobsen [2019]

# Current Imaging schemes



**Figure:** Spinning the object to obtain "sinograms", reconstruct each slice independently<sup>10</sup>

## New reconstruction schemes

- ▶ "Thick" objects that extend beyond depth of focus → Pure Projection Approximation is not valid!
- ▶ New approaches → optimization of cost function<sup>11</sup>, neural networks<sup>12</sup>, etc.
- ▶ We will compare the relative merits of two approaches to solve the forward problem.

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<sup>12</sup>Gilles et al. [2018]; Li und Maiden [2018]; Öztürk et al. [2018]; Shimomura et al. [2015]; Tsai et al. [2016]

<sup>12</sup>Goy et al. [2018]; Rivenson et al. [2018]; Scott W. Paine [2019]; Sun et al. [2018]

## Multi-slice method



# Multislice

- ▶ "Slice" the object into multiple thin sections. <sup>13</sup>.
- ▶ Agrees with rigorous coupled wave theory <sup>14</sup>.
- ▶ At pixel  $\approx 0.25$  feature size, need  $\approx Q_{K-C}$  steps.

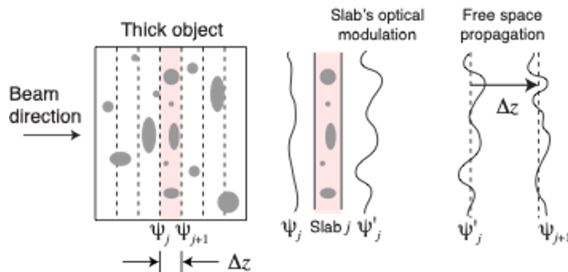


Figure: Multi-slice schematic <sup>15</sup>

<sup>13</sup>Cowley und Moodie [1957]; Li et al. [2017]; Roey et al. [1981]

<sup>14</sup>Li et al. [2017]

<sup>15</sup>Jacobsen [2019]

# Implementation

- ▶ Slab modulation  $\rightarrow$  point-wise multiply with the transmission matrix (a point-wise function of refractive indices)<sup>16</sup>.
- ▶ Free Space propagation is given by Fresnel Transform which is implemented numerically by <sup>17</sup>:

$$\psi_{out} = \mathcal{F}^{-1}\{\mathcal{F}\psi_{in} * TF\}$$

$$TF(x, y) = \exp\left(\frac{-i2\pi\delta z}{\lambda}\right) \sqrt{1 - \lambda^2(u_x^2 + u_y^2)}$$

- ▶ Where  $\lambda$  is wavelength,  $\delta z$  is step size,  $u_x/u_y$  are the x/y co-ordinates.

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<sup>16</sup>Cowley und Moodie [1957]; Li et al. [2017]; Roey et al. [1981]

<sup>17</sup>Goodman [2017]

## Finite Difference methods for wave propagation

## FD-basics

- ▶ Directly solve the Helmholtz equation for scalar diffraction in inhomogenous matter:

$$\nabla^2 \psi + k^2 n^2(x, y, z) \psi = 0$$

( $k = \frac{2\pi}{\lambda}$  and  $n(x, y, z)$  is the refractive index)

- ▶ Approximate the wavefunction with plane wave and oscillating parts:

$$\psi(x, y, z) = u(x, y, z) \exp(-ikz)$$

## FD-basics

- ▶ Substituting this in the Helmholtz equation and neglecting the derivative of  $u$  along the direction of propagation, we get the following equation :

$$-2ik \frac{\partial}{\partial z} u + \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) u + k^2(n^2 - 1) = 0$$

- ▶ Defining  $a = \frac{-i}{2k}$  and  $F(x, y, z) = \frac{-ik}{2}(n^2(x, y, z) - 1)$ ; PDE now becomes<sup>18</sup> :

$$\frac{\partial u}{\partial z} = a \left( \frac{\partial^2 u}{\partial x^2} + \frac{\partial^2 u}{\partial y^2} \right) + F(x, y, z) u$$

- ▶ In scaled units,  $u$  is  $\approx 1e^1$ ,  $|a|$  is  $\approx 1e^2$  and  $|F|$  is  $\approx 1e^{-3}$ .

<sup>18</sup>Fuhse [2006]; Kopylov et al. [1995]

## Results from literature

- ▶ Need second-order integration along direction of propagation for accuracy<sup>19</sup>.
- ▶ A recent implementation<sup>20</sup> was in C++ with a Python front end where ADI<sup>21</sup> was used.
- ▶ Preferred method<sup>22</sup> :  
free-space → MS,  
waveguides (inhomogenous matter) → FD

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<sup>19</sup>Fuhse [2006]; Melchior und Salditt [2017]

<sup>20</sup>Melchior und Salditt [2017]

<sup>21</sup>Alt und Rubinoff [1962]

<sup>22</sup>Melchior und Salditt [2017]

## PETSc Implementation

# PETSc Implementation <sup>23</sup>

- ▶ For the FD method : Standard 5-point stencil.

$$DMDA + CN / GMRES / GAMG$$

- ▶ For the MS method : Using the PETSc-FFTW interface which maps FFT/IFFT  $\rightarrow$  MatMult, MatMultTranspose

$$\text{Loop } \{ VecView / 2DFFT / VecPointwiseMult / 2DIFFT \}$$

- ▶ PETSc vastly simplifies parallel IO, pointwise mult and FFT integration!

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<sup>23</sup>[https://github.com/s-sajid-ali/xwp\\_petsc](https://github.com/s-sajid-ali/xwp_petsc)

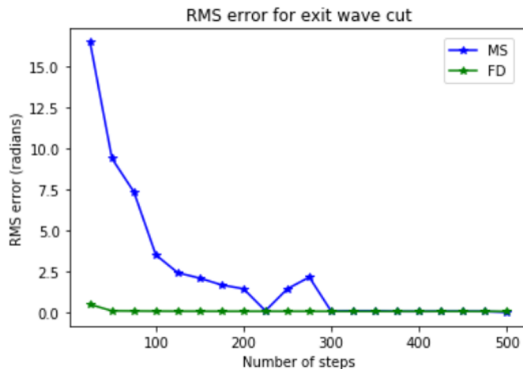


- ▶ Test a zone plate of size  $2^{14} \times 2^{14}$ ,  $Q_{K-C} = 500$ .
- ▶ Vary number of TS steps and KSP Rtol.
- ▶ A relative tolerance of  $1e-5$  suffices!



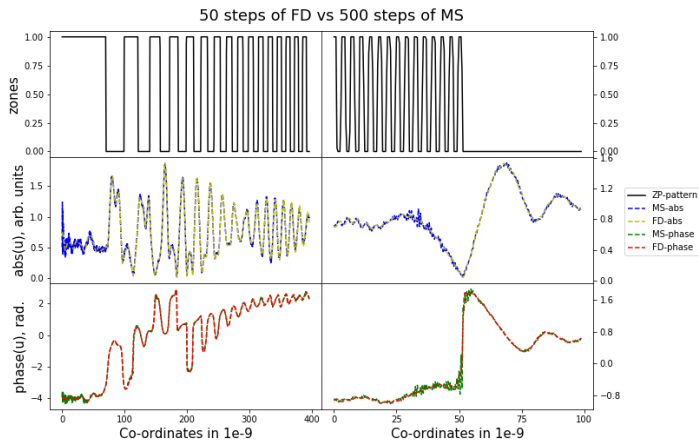
## Zone plate results

- ▶ Test a zone plate of size  $2^{15} \times 2^{15}$ ;  $Q_{K-C} = 1500$ .
- ▶ FD converges in fewer steps, but needs more memory.



# Zone plate results

- ▶ Test a zone plate of size  $2^{15} \times 2^{15}$ ,  $Q_{K-C} = 1500$ .



## Next Steps

- ▶ Simulate tomography (essentially *MatMult* + wave propagation); for  $\theta_i \in (0, \pi)$ ,  $TS(A_{\theta_i} * x) \rightarrow y_{\theta_i}$ .
- ▶ Solve the inverse problem with *TAO* using adjoints given by *TSAdjoint*; Given  $y'_{\theta_i}$ s for  $\theta_i \in (0, \pi)$  get  $x$ !

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