

# Homework 3

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Problem 4 was written up with the help of ChatGPT. I believe I understand the correspondence but I had a hard time writing down why the constructions are inverses of each other.

**Problem 1** Let  $K = \mathbb{Q}$ ,  $L = \mathbb{Q}(\zeta_p)$ , where  $\zeta_p$  is a primitive  $p$ -th root of unity. Set  $A = \mathbb{Z}$ . Let  $B$  be the integral closure of  $A$  in  $L$ .

1. Prove that

$$(p) = \prod_{i=1}^{p-1} (1 - \zeta_p^i).$$

2. Show that  $(p) = (1 - \zeta_p)^{p-1}$  as ideals of  $B$ . Deduce that  $(p)$  is totally ramified in  $\mathbb{Q}(\zeta_p)/\mathbb{Q}$  (Recall that totally ramified in this context means that the ramification index is equal to the degree of the extension).

*Solution:*

1. Recall the  $p$ -th cyclotomic polynomial

$$\Phi_p(x) = \frac{x^p - 1}{x - 1} = 1 + x + \cdots + x^{p-1} = \prod_{i=1}^{p-1} (x - \zeta^i)$$

where  $\zeta$  is a primitive  $p$ -th root of unity. Evaluate  $\Phi_p(x)$  at  $x = 1$ :

$$\Phi_p(1) = 1 + 1 + \cdots + 1 = p$$

On the other hand,

$$\Phi_p(1) = \prod_{i=1}^{p-1} (1 - \zeta^i)$$

Therefore, in the ring  $B \subset L$ ,

$$p = \prod_{i=1}^{p-1} (1 - \zeta^i)$$

which implies the equality on the level of ideals.

2. For any  $a$  with  $1 \leq a \leq p-1$ ,  $1 - \zeta^a = (1 - \zeta)(1 + \zeta + \cdots + \zeta^{a-1})$ , so  $(1 - \zeta)$  divides  $(1 - \zeta^a)$ .

Since  $\gcd(a, p) = 1$ , pick  $b \in \{1, \dots, p-1\}$  with  $ab \equiv 1 \pmod{p}$ . Because  $\zeta^p = 1$ , we have  $\zeta = \zeta^{ab}$ . Thus

$$1 - \zeta = 1 - (\zeta^a)^b = (1 - \zeta^a)(1 + \zeta^a + \dots + (\zeta^a)^{b-1})$$

So  $(1 - \zeta^a)$  divides  $(1 - \zeta)$ . This shows that  $(1 - \zeta^a)$  and  $(1 - \zeta)$  generate the same ideal in  $B$ .

Therefore,

$$(p) = \prod_{i=1}^{p-1} (1 - \zeta^i) = (1 - \zeta)^{p-1}$$

This shows that the ramification index is  $p-1$ , which is equal to the degree of the extension. Therefore,  $(p)$  is totally ramified in  $\mathbb{Q}(\zeta_p)/\mathbb{Q}$ .

**Problem 2** Keep using the notation from Problem 1.

1. For all positive integers  $i$ , prove that

$$B = \mathbb{Z}[\zeta_p] + (1 - \zeta_p)^i B.$$

2. Show that

$$p^m B \subset \mathbb{Z}[\zeta_p]$$

for some positive integer  $m$ .

3. Conclude from (1) and (2) that  $B = \mathbb{Z}[\zeta_p]$ .

*Solution:*

1. It is enough to check that the equality holds at every localization at a prime ideal  $\mathfrak{q}$  of  $B$ . Let  $\pi = 1 - \zeta$  and  $\mathfrak{p} = (\pi)$  be the prime ideal above  $p$ . Then  $\pi$  is a uniformizer of the discrete valuation ring  $B_{\mathfrak{p}}$ , and the residue field  $B_{\mathfrak{p}}/\mathfrak{p} \cong \mathbb{F}_p$  (because  $ef = n$  and we showed above that  $e = n$ ).

Suppose  $\mathfrak{q} \neq \mathfrak{p}$ . Then  $\pi$  is a unit in  $B_{\mathfrak{q}}$ , so the equality holds trivially.

Thus we need to check that

$$B_{\mathfrak{p}} = \mathbb{Z}[\zeta]_{\mathfrak{p}} + (1 - \zeta)^i B_{\mathfrak{p}}$$

for all  $i \geq 1$ . Consider the inclusion of  $\mathbb{Z}[\zeta]_{\mathfrak{p}}$  into  $B_{\mathfrak{p}}$  followed by the quotient map to the residue field:

$$\begin{aligned} \mathbb{Z}[\zeta]_{\mathfrak{p}} &\rightarrow B_{\mathfrak{p}}/\mathfrak{p} \cong \mathbb{F}_p \\ (a/s) &\mapsto a(1)/s(1) \pmod{p} \end{aligned}$$

where we are thinking of

$$a = \sum_{k=0}^{p-2} a_k \zeta^k \in \mathbb{Z}[\zeta]$$

$$s = \sum_{k=0}^{p-2} s_k \zeta^k \in \mathbb{Z}[\zeta] \setminus \mathfrak{p}$$

where  $s \notin \mathfrak{p}$  implies that  $s(1) \not\equiv 0 \pmod{p}$ , and  $f(1)$  means evaluating  $f$  at 1. This map is clearly a surjection between we can just choose  $a_k$  so that their sum is any element of  $\mathbb{F}_p$ , and then choose  $s = 1$ .

Now recall Nakayama's lemma: If  $M$  is a finitely generated module over a local ring  $R$  with maximal ideal  $\mathfrak{m}$ , and if a submodule  $N \subseteq M$  maps surjectively onto the residue module  $M/\mathfrak{m}M$ , then  $N = M$ .

This implies that  $\mathbb{Z}[\zeta]_{\mathfrak{p}} = B_{\mathfrak{p}}$  and therefore

$$B_{\mathfrak{p}} = \mathbb{Z}[\zeta]_{\mathfrak{p}} + \pi B_{\mathfrak{p}}$$

Multiplying by  $\pi^{i-1}$  gives

$$B_{\mathfrak{p}} = \mathbb{Z}[\zeta]_{\mathfrak{p}} + \pi^i B_{\mathfrak{p}}$$

for all  $i \geq 1$ . This proves (1).

2. By the hint, it is enough to prove that  $p^n \mathbb{Z}[\zeta]^* \subset \mathbb{Z}[\zeta]$  for some  $n$ . Let  $e_i = \zeta^i$  be a basis of  $\mathbb{Z}[\zeta]$  over  $\mathbb{Z}$ , and let  $f_i$  be the dual basis with respect to the trace form, i.e.  $\text{Tr}(e_i f_j) = \delta_{ij}$ . Expand the  $f$ 's in the  $e$ -basis:

$$f_i = \sum_j a_{ij} e_j, \quad a_{ij} \in \mathbb{Q}.$$

The matrix  $A$  is invertible. Define the matrix  $G = (G_{ik})_{i,k}$ , where

$$G_{ik} = \langle e_i, e_k \rangle = \text{Tr}(e_i e_k)$$

Now compute

$$\begin{aligned} \delta_{ij} &= \langle e_i, f_j \rangle \\ &= \left\langle e_i, \sum_k a_{kj} e_k \right\rangle \\ &= \sum_k a_{kj} \langle e_i, e_k \rangle \\ &= \sum_k G_{ik} a_{kj}. \end{aligned}$$

which implies that  $AG = I$ . Thus  $A = G^{-1}$ . I claim that

$$G_{ij} = \begin{cases} p-1, & i+j \equiv 0 \pmod{p}, \\ -1, & \text{otherwise.} \end{cases}$$

where the indices  $i, j$  run from 0 to  $p-2$ . I also claim that from this computation one gets that  $\det G = \pm p^{p-2}$ . For the moment suppose that we have these two claims. Now observe that  $G^{-1} = \frac{1}{\det G} \operatorname{adj}(G)$ . Since  $\operatorname{adj}(G)$  has integer entries, all denominators in  $G^{-1}$  divide  $\det G$ . Thus  $M^* \subset \frac{1}{\det G} M$ , i.e.  $|(\det G)M^* \subset M$  as desired.

It remains to prove the two claims about  $G$ . For the first claim, we have to show that

$$\operatorname{Tr}(\zeta^i \zeta^j) = \begin{cases} p-1, & i+j \equiv 0 \pmod{p}, \\ -1, & \text{otherwise.} \end{cases}$$

Let  $X$  be the linear operator on  $L$  defined by multiplication by  $\zeta$ , and let  $s_i$  be the sequence of numbers  $\operatorname{Tr}(X^i)$ . Then  $s_0 = p-1$  is clear. To calculate  $s_i$  for  $1 \leq i \leq p-1$ , note that the trace is going to be equal to coefficient of  $\zeta^j$  in  $\zeta^i \zeta^j = \zeta^{i+j}$  summed over  $j = 1, \dots, p-1$ . These coefficients are all zero except in one instance when we have  $i+j \cong p-1$ , in which case the coefficient is  $-1$  because we have to expand using the relation. Thus  $s_i = -1$  for  $1 \leq i \leq p-1$ .

To compute the determinant of  $G$ , recall that we can add multiples of one column to another without changing the determinant. We will demonstrate the  $p=5$  case, which will hopefully illustrate the general case. The matrix is

$$G = \begin{pmatrix} 4 & -1 & -1 & -1 \\ -1 & -1 & -1 & 4 \\ -1 & -1 & 4 & -1 \\ -1 & -1 & -1 & -1 \end{pmatrix}$$

Subtracting the second column from the first, third, and fourth columns gives

$$G \sim \begin{pmatrix} 5 & -1 & 0 & 0 \\ 0 & -1 & 0 & 5 \\ 0 & -1 & 5 & 0 \\ 0 & -1 & 0 & 0 \end{pmatrix}$$

and one can quickly check that expanding along the first column gives  $\det G = \pm 5^3$ . The general case is similar, and one gets  $\det G = \pm p^{p-2}$ .

3. Let  $A := B/\mathbb{Z}[\zeta_p]$ . We have  $B = \mathbb{Z}[\zeta_p] + (1 - \zeta_p)^i B$  for all  $i \geq 1$ . Mod  $\mathbb{Z}[\zeta_p]$  this says  $A = (1 - \zeta_p)^i A$  for all  $i \geq 1$ . Take  $i = p-1$ . Recall that we have from the first problem that  $(1 - \zeta_p)^{p-1} \in pB$ . Hence  $A = (1 - \zeta_p)^{p-1} A \subset pA$ , so  $A = pA$ .

We also have  $p^m B \subset \mathbb{Z}[\zeta_p]$ , i.e.  $p^m A = 0$ . But  $A = pA$  implies  $A = p^k A$  for all  $k$ . Taking  $k = m$  gives  $A = p^m A = 0$ . Therefore  $B/\mathbb{Z}[\zeta_p] = 0$ , i.e.  $B = \mathbb{Z}[\zeta_p]$  as desired.

**Problem 3** Let  $d$  be a square-free number (positive or negative) such that  $d \not\equiv 1 \pmod{4}$ . Give a numerical condition for each rational prime  $p$  to be split, inert, or ramified in  $\mathbb{Q}(\sqrt{d})$ .

*Solution:* Recall the Dedekind-Webber theorem.

**Theorem 0.1** (Dedekind-Webber). *Let  $K$  be a number field and  $\mathcal{O}_K$  the ring of algebraic integers in  $K$ . Let  $\alpha \in \mathcal{O}_K$  and let  $f$  be the minimal polynomial of  $\alpha$  over  $\mathbb{Z}[x]$ . For any prime  $p$  not dividing the index  $[\mathcal{O}_K : \mathbb{Z}[\alpha]]$  of the free  $\mathbb{Z}[\alpha]$ -module  $\mathcal{O}_K$ , write*

$$f(x) \equiv \pi_1(x)^{e_1} \cdots \pi_g(x)^{e_g} \pmod{p},$$

where  $\pi_i(x)$  are monic irreducible polynomials in  $\mathbb{F}_p[x]$ . Then the ideal  $(p) = p\mathcal{O}_K$  factors into prime ideals as

$$(p) = \mathfrak{p}_1^{e_1} \cdots \mathfrak{p}_g^{e_g},$$

where the residue field degrees satisfy

$$N(\mathfrak{p}_i) = p^{\deg \pi_i},$$

and  $N$  denotes the ideal norm.

We have  $\mathcal{O}_K = \mathbb{Z}[\frac{1+\sqrt{d}}{2}]$  because  $d \equiv 1 \pmod{4}$ . The minimal polynomial of  $\frac{1+\sqrt{d}}{2}$  is  $f(x) = x^2 - x + \frac{1-d}{4}$ . The index  $[\mathcal{O}_K : \mathbb{Z}[\frac{1+\sqrt{d}}{2}]] = 1$ , so the Dedekind-Webber theorem applies to all primes. Now consider an odd prime  $p$ . Recall a double root occurs if and only if  $f$  and  $f'$  share a root mod  $p$ . Here  $f'(x) = 2x - 1$ . So a common root would be  $x \equiv \frac{1}{2} \pmod{p}$ ; evaluate:  $f(\frac{1}{2}) = \frac{1}{4} - \frac{1}{2} + \frac{1-d}{4} = -\frac{d}{4}$ . Thus  $f(\frac{1}{2}) \equiv 0 \pmod{p}$  if and only if  $p \mid d$ . Hence  $p$  is ramified if and only if  $p \mid d$ .

Now let  $p$  be an odd prime not dividing  $d$ . Then we can write

$$4f(x) = (2x - 1)^2 - d.$$

Over  $\mathbb{F}_p$ ,  $f$  has a root iff  $(2x - 1)^2 \equiv d$ , i.e. iff  $d$  is a square mod  $p$ . Therefore  $p$  is split if  $d$  is a square mod  $p$  and  $p$  is inert if  $d$  is not a square mod  $p$ .

Finally consider the prime  $p = 2$ . Reduce  $f$  mod 2:  $f(x) \equiv x^2 - x + \frac{1-d}{4} \pmod{2}$ . Now  $\frac{1-d}{4} \equiv 0$  or  $1 \pmod{2}$ . If  $d \equiv 1 \pmod{8}$ :  $f(x) \equiv x^2 - x = x(x - 1)$  splits, so 2 splits. If  $d \equiv 5 \pmod{8}$ :  $f(x) \equiv x^2 - x + 1 = x^2 + x + 1$  is irreducible over  $\mathbb{F}_2$ , so 2 is inert. Also  $f'(x) = 2x - 1 \equiv 1 \pmod{2}$  never vanishes, so no double root. In particular, 2 does not ramify.

**Problem 4** Let  $A$  be a Dedekind domain and  $K$  its fraction field. Show that the following two sets are in bijection:

1. The set of nonzero prime ideals  $\mathfrak{p}$  of  $A$ .
2. The set of discrete valuations  $v$  on  $K$  which have nonnegative values on  $A$ ,

via  $\mathfrak{p} \mapsto v_{\mathfrak{p}}$  and  $v \mapsto \mathfrak{p}_v := \{a \in A : v(a) > 0\}$ .

*Solution:* Let  $\mathfrak{p} \neq (0)$  be a prime of  $A$ . Since  $A$  is Dedekind, the localization  $A_{\mathfrak{p}}$  is a discrete valuation ring (DVR) with maximal ideal  $\mathfrak{m}_{\mathfrak{p}} = \mathfrak{p}A_{\mathfrak{p}}$ . Choose a uniformizer  $\pi \in \mathfrak{m}_{\mathfrak{p}}$  so that  $\mathfrak{m}_{\mathfrak{p}} = (\pi)$ .

Define  $v_{\mathfrak{p}} : K^{\times} \rightarrow \mathbb{Z}$  by: for  $x \in K^{\times}$  write uniquely  $x = u\pi^n$  with  $u \in A_{\mathfrak{p}}^{\times}$  and  $n \in \mathbb{Z}$ , and set  $v_{\mathfrak{p}}(x) = n$  (and  $v_{\mathfrak{p}}(0) = +\infty$ ). This is a discrete valuation; moreover  $v_{\mathfrak{p}}(a) \geq 0$  for every  $a \in A$  (since  $A \subset A_{\mathfrak{p}}$ ). Finally,

$$\mathfrak{p}_{v_{\mathfrak{p}}} = \{a \in A : v_{\mathfrak{p}}(a) > 0\} = \{a \in A : a \in \mathfrak{m}_{\mathfrak{p}} \cap A\} = \mathfrak{p}.$$

Let  $v$  be a nontrivial discrete valuation on  $K$  with  $v(A) \geq 0$ . Let

$$\mathcal{O}_v := \{x \in K : v(x) \geq 0\}, \quad \mathfrak{m}_v := \{x \in K : v(x) > 0\}$$

be its valuation ring and maximal ideal. Then  $\mathcal{O}_v$  is a DVR with fraction field  $K$ . Since  $v(A) \geq 0$ , we have an inclusion  $A \subset \mathcal{O}_v$  and the contraction  $\mathfrak{p}_v := A \cap \mathfrak{m}_v = \{a \in A : v(a) > 0\}$  is a nonzero prime of  $A$ .

Because  $A \subset \mathcal{O}_v$  and elements of  $A \setminus \mathfrak{p}_v$  have valuation 0, the universal property of localization yields a local injective ring map

$$\iota : A_{\mathfrak{p}_v} \hookrightarrow \mathcal{O}_v.$$

Both  $A_{\mathfrak{p}_v}$  and  $\mathcal{O}_v$  are DVRs with fraction field  $K$ . We claim  $\iota$  is an isomorphism.

Let  $\pi \in K^{\times}$  be a uniformizer for  $\mathcal{O}_v$ , so  $v(\pi) = 1$  and  $\pi\mathcal{O}_v = \mathfrak{m}_v$ . In any DVR  $R$  with valuation  $w$ , one has  $xR = \mathfrak{m}_R^{w(x)}$  for  $x \in K^{\times}$ . Apply this in  $R = \mathcal{O}_v$ :

$$\pi \mathcal{O}_v = \mathfrak{m}_v. \tag{*}$$

Write in  $A_{\mathfrak{p}_v}$ :

$$\pi A_{\mathfrak{p}_v} = \mathfrak{m}_{\mathfrak{p}_v}^n, \quad n := v_{\mathfrak{p}_v}(\pi) \in \mathbb{Z}_{\geq 1},$$

where  $v_{\mathfrak{p}_v}$  is the normalized valuation of the DVR  $A_{\mathfrak{p}_v}$  and  $\mathfrak{m}_{\mathfrak{p}_v} = \mathfrak{p}_v A_{\mathfrak{p}_v}$ . Extending ideals from  $A_{\mathfrak{p}_v}$  to  $\mathcal{O}_v$  (through  $\iota$ ) gives

$$\pi \mathcal{O}_v = (\pi A_{\mathfrak{p}_v}) \mathcal{O}_v = (\mathfrak{m}_{\mathfrak{p}_v} \mathcal{O}_v)^n.$$

Comparing with (\*) and using that powers of the unique maximal ideal of a DVR are strictly decreasing, we deduce

$$\mathfrak{m}_{\mathfrak{p}_v} \mathcal{O}_v = \mathfrak{m}_v \quad \text{and} \quad n = 1.$$

Hence the local map  $\iota$  identifies the maximal ideals and sends a uniformizer of  $A_{\mathfrak{p}_v}$  to a uniformizer of  $\mathcal{O}_v$ ; therefore  $\iota$  is an isomorphism of DVRs. In particular the induced valuations agree on  $K$ :

$$v_{\mathfrak{p}_v} = v.$$