

# Title

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December 8, 2025

## Abstract

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## 1 Principal $G$ -Bundles on Affine Curves

It is a consequence of a theorem of Harder [?, Satz 3.3] that generically trivial principal  $G$ -bundles on a smooth affine curve  $C$  over an arbitrary field  $k$  are trivial if  $G$  is a semisimple and simply connected algebraic group. When  $k$  is algebraically closed and  $G$  reductive, generic triviality, conjectured by Serre, was proved by Steinberg [?] and Borel–Springer [?].

It follows that principal bundles for simply connected semisimple groups over smooth affine curves over algebraically closed fields are trivial. This fact (and a generalization to families of bundles [?]) plays an important role in the geometric realization of conformal blocks for smooth curves as global sections of line bundles on moduli-stacks of principal bundles on the curves (see the review [?] and the references therein).

### 1.1 Derived Pushforward of Admissible Complexes

**Theorem 1.1.** The derived pushforward  $RF_*\alpha$  of an admissible complex  $\alpha$  along the bundle-forgetting map  $F : \mathcal{M}_{g,I}([pt/G]) \rightarrow \mathcal{M}_{g,I}$  is a bounded complex of coherent sheaves.

This theorem is a relative version over varying curves of the analogous finiteness result for  $\mathrm{Bun}_G(\Sigma)$  in [?, 34].

## 1.2 Finiteness for Fixed Curves

Let  $G$  be a reductive, connected complex Lie group and  $\mathcal{M}$  the moduli stack of algebraic  $G$ -bundles over a smooth projective curve  $\Sigma$  of genus  $g$ . We recall the finiteness theorem for this moduli stack. We recall the finiteness theorem for the moduli stack of principal bundles on a fixed smooth curve.

### 1.1 Admissible classes

Given a representation  $V$  of  $G$ , call  $E^*V$  the vector bundle over  $\Sigma \times \mathcal{M}$  associated to the universal  $G$ -bundle. Call  $\pi$  the projection along  $\Sigma$ , the relative canonical bundle  $K$  of  $\Sigma \times \mathcal{M} \rightarrow \mathcal{M}$  (so that  $K|_\Sigma = K_\Sigma$ ),  $\sqrt{K}$  its square root,  $[C]$  the topological  $K_1$ -homology class of a 1-cycle  $C$  on  $\Sigma$ . Consider the following classes in the topological  $K$ -theory of  $\mathcal{M}$ :

- (i) The restriction  $E_x^*V \in K^0(\mathcal{M})$  of  $E^*V$  to a point  $x \in \Sigma$ ;
- (ii) The slant product  $E_C^*V := E^*V/[C] \in K^{-1}(\mathcal{M})$  of  $E^*V$  with  $[C]$ ;
- (iii) The Dirac index bundle  $E_\Sigma^*V := R\pi_*(E^*V \otimes \sqrt{K}) \in K^0(\mathcal{M})$  of  $E^*V$  along  $\Sigma$ ;
- (iv) The inverse determinant of cohomology,

$$D_\Sigma V := \det^{-1} E_\Sigma^*V.$$

We call the classes (i)–(iii) the *Atiyah–Bott generators*; they are introduced in [?, §2], along with their counterparts in cohomology, and can also be described from the Künneth decomposition of  $E^*V$  in

$$K^0(\Sigma \times \mathcal{M}) \cong K^0(\Sigma) \otimes K^0(\mathcal{M}) \oplus K^1(\Sigma) \otimes K^1(\mathcal{M}),$$

by contraction with the various classes in  $\Sigma$ . Classes (i) and (iv) are represented by algebraic vector bundles, while (iii) can be realised as a perfect complex of  $\mathcal{O}$ -modules. The class  $E_C^*V$  in (ii) is not algebraic. Note that

$$\det E_\Sigma^*V = \det R\pi_*(E^*V)$$

when  $\det V$  is trivial; an important example is the canonical bundle

$$\mathcal{K} = \det E_\Sigma^*\mathfrak{g}$$

of  $\mathcal{M}$ , defined from the adjoint representation  $\mathfrak{g}$ .

**Remark 1.2.** For a line bundle  $\mathcal{L}$  on  $\mathcal{M} = \mathrm{Bun}_G(\Sigma)$ , one associates a *level*  $\lambda(\mathcal{L})$ , namely the invariant symmetric bilinear form on  $\mathfrak{g}$  corresponding to the class  $\lambda(\mathcal{L}) \in H^4(BG; \mathbb{Z})$ . If  $\mathcal{L}$  is a determinant line bundle  $\det R\pi_*(E^*V)$  attached to a representation  $V$  of  $G$ , then  $\lambda(\mathcal{L})$  is the trace form  $\mathrm{Tr}_V(xy)$  on  $\mathfrak{g}$ . When  $G$  is not simply connected, such determinant bundles do not realise all possible integral levels. Passing from the simply connected cover  $\tilde{G}$  to  $G = \tilde{G}/Z$  cuts down the lattice of integral invariant bilinear forms by imposing congruence conditions along the finite central subgroup  $Z$ , so that only a finite-index sublattice is realised by trace forms of actual  $G$ -representations.

**Remark 1.3 (Smoothness and the relative canonical bundle).** Let  $\mathcal{M} = \text{Bun}_G(\Sigma)$  and let

$$\pi : \Sigma \times \mathcal{M} \longrightarrow \mathcal{M}$$

be the projection. Although the coarse moduli space of semistable  $G$ -bundles may be singular, the *stack*  $\mathcal{M}$  is a smooth Artin stack of dimension  $(g - 1) \dim G$ . Indeed, for a bundle  $P$  one has

$$T_{[P]}\mathcal{M} \simeq H^1(\Sigma, \text{Ad } P)$$

and  $H^2(\Sigma, \text{Ad } P) = 0$  because  $\dim \Sigma = 1$ , so deformations are unobstructed.

The relative canonical bundle  $K := K_{\Sigma \times \mathcal{M}/\mathcal{M}}$  is defined purely from the morphism  $\pi$ , which is smooth of relative dimension 1; no smoothness of the base is required. In fact,

$$K_{\Sigma \times \mathcal{M}/\mathcal{M}} \cong \text{pr}_{\Sigma}^{*} K_{\Sigma},$$

the pullback of the ordinary canonical bundle of the curve.

**Remark 1.4.** By contrast, the "canonical bundle" of the moduli stack itself is

$$\mathcal{K} := \det R\pi_{*}(E^{*}\mathfrak{g}),$$

the determinant of the cotangent complex of  $\mathcal{M}$ , and Laszlo–Sorger construct a canonical Pfaffian square root  $\mathcal{K}^{1/2}$  of this line bundle. In particular, for semi-simple, not necessarily simply connected  $G$  and for every theta characteristic  $K_{\Sigma}^{1/2}$  on  $\Sigma$ , one has a square root

$$\mathcal{K}^{1/2} := \det R\pi_{*}(E^{*}\mathfrak{g} \otimes \text{pr}_{\Sigma}^{*} K_{\Sigma}^{1/2}).$$

This gives rise to a natural "reference level"  $\lambda(\mathcal{K}^{1/2}) = \frac{1}{2} \lambda(\mathcal{K})$ . We call a line bundle  $\mathcal{L}$  on  $\mathcal{M}$  *admissible* if its level exceeds that of  $\mathcal{K}^{1/2}$ , in the sense that  $\lambda(\mathcal{L}) - \lambda(\mathcal{K}^{1/2})$  is positive definite on every simple factor of  $\mathfrak{g}$ .

Such positivity plays the role of an ampleness condition, and admissible line bundles provide the appropriate class of twistings needed for the K-theoretic index and Verlinde formulas. Products of an admissible line bundle and any number of Atiyah-Bott generators span the ring of *admissible classes*.

**Remark 1.5.** We have defined a level by an integral invariant symmetric bilinear form on  $\mathfrak{g}$  and simultaneously identified with central extensions of the loop group  $LG$ . The latter is completely determined by the action of the central scalar, which is to be an integer by the integrality condition. Abstractly, the Chern-Weil homomorphism identifies the cohomology ring  $H^{*}(BG; \mathbb{R})$  of the classifying space  $BG$  with the ring of invariant polynomials on the Lie algebra  $\mathfrak{g}$  of  $G$ :

$$H^{*}(BG; \mathbb{R}) \cong \text{Inv}(\mathfrak{g}) := \text{Sym}(\mathfrak{g}^{*})^G$$

and in degree four, we have

$$H^4(BG; \mathbb{R}) \cong \text{Inv}^2(\mathfrak{g})$$

the space of invariant symmetric bilinear forms on  $\mathfrak{g}$ . In particular  $H^4(BG; \mathbb{R}) \cong H^3(\mathfrak{g})$  via the isomorphism we have just discussed. There is a transgression map arising from the fibration  $G \rightarrow EG \rightarrow BG$ :

$$\tau : H^4(BG; \mathbb{R}) \rightarrow H^3(G; \mathbb{R})$$

which is an isomorphism when  $G$  is compact, simple, and simply connected. Thus we have the chain of isomorphisms

$$H^4(BG; \mathbb{R}) \cong H^3(\mathfrak{g}) \cong H^3(G; \mathbb{R}) \cong H^2(L\mathfrak{g})$$

which identifies the level defined via  $H^4(BG; \mathbb{R})$  with the level defined via central extensions of the loop group  $LG$ , all of which are classified by invariant symmetric bilinear forms on  $\mathfrak{g}$ .

In particular, central extensions of the loop algebra  $L\mathfrak{g}$  are classified by invariant symmetric bilinear forms on  $\mathfrak{g}$ , which are classified by  $H^3(\mathfrak{g})$  defined by the Chevalley-Eilenberg complex. Given such a form  $\langle , \rangle$ , the associated 3-cocycle is

$$\sigma(\xi, \eta, \zeta) = \langle [\xi, \eta], \zeta \rangle.$$

Conversely, given a 3-cocycle  $\sigma$  on  $\mathfrak{g}$ , one can define an invariant symmetric bilinear form by

$$\langle \xi, \eta \rangle := \sigma(\xi, [\eta_1, \eta_2]),$$

where  $\eta_1, \eta_2$  are any elements satisfying  $\eta = [\eta_1, \eta_2]$  (such elements exist since  $\mathfrak{g}$  is semisimple, and the definition is independent of the choice because  $\sigma$  is a cocycle). We have seen that invariant symmetric bilinear forms on  $\mathfrak{g}$  classify central extensions of the loop algebra  $L\mathfrak{g}$  via the construction which takes  $\langle , \rangle$  to the cocycle

$$\omega(\xi, \eta) = \frac{1}{2\pi} \int_0^{2\pi} \langle \xi(\theta), \eta'(\theta) \rangle d\theta.$$

Moreover we have seen that any such cocycle  $\omega$  arises from such a bilinear form. Thus we have an isomorphism

$$H^3(\mathfrak{g}) \xrightarrow{\cong} H^2(L\mathfrak{g})$$

On the other hand, if  $G$  is compact, then the de Rham cohomology  $H^3(G)$  is isomorphic to the Lie algebra cohomology  $H^3(\mathfrak{g})$ . This is because every de Rham cohomology class has a unique left invariant representative form given by averaging, and therefore the cohomology of  $G$  can be calculated from the cochain complex of the Lie algebra  $\mathfrak{g}$ .

## 1.2 Line bundles with a level

To certain line bundles on  $\mathcal{M}$  we now associate a *level*, a quadratic form on the Lie algebra  $\mathfrak{g}$ . Briefly, for any representation  $V$ , the level of  $\det E_\Sigma^* V$  is the trace form  $\xi, \eta \mapsto \text{Tr}_V(\xi\eta)$ , and we wish to extend this definition by linearity in the first Chern class of the line bundle.

Riemann–Roch along  $\Sigma$  expresses  $c_1(E_\Sigma^*V)$  as the image of  $\text{ch}_2(V) = \frac{1}{2}c_1(V)^2 - c_2(V)$  under *transgression along  $\Sigma$* ,

$$\tau : H^4(BG; \mathbb{Q}) \longrightarrow H^2(\mathcal{M}; \mathbb{Q}) \quad (\text{construction (1.1.iii) in cohomology}).$$

It is important that  $\tau$  is injective (Remark 4.11). We now identify  $H^4(BG; \mathbb{R})$  with the space of invariant symmetric bilinear forms on  $\mathfrak{g}_\kappa$  so that  $\text{Tr}_V$  corresponds to  $\text{ch}_2(V)$ . We say that the line bundle  $\mathcal{L}$  *has a level* if its Chern class  $c_1(\mathcal{L})$  agrees with some  $\tau(h)$  in  $H^2(\mathcal{M}; \mathbb{Q})$ ; the form  $h$ , called the *level* of  $\mathcal{L}$ , is then unique.

For  $\text{SL}_n$ , the level of the positive generator of  $\text{Pic}(\mathcal{M})$  is  $-\text{Tr}_{\mathbb{C}^n}$  in the standard representation; the calculation is due to Quillen. For another example, the level of  $\mathcal{K}^{-1/2}$  is  $c := -\frac{1}{2}\text{Tr}_g$ . Positivity of a level refers to the quadratic form on  $\mathfrak{g}_\kappa$ ; thus  $D_\Sigma V$  has positive level iff  $V$  is  $\mathfrak{g}$ -faithful. Finally,  $\mathcal{L}$ , with level  $h$ , is *admissible* iff  $h > -c$  as a quadratic form.

### 1.3 Remark

- (i) When  $G$  is simply connected, the map  $\tau : H^4(BG; \mathbb{Z}) \rightarrow H^2(\mathcal{M}; \mathbb{Z})$  is an isomorphism, but this fails (even rationally) as soon as  $\pi_1(G) \neq 0$ . Line bundles with a level satisfy a prescribed relation between their Chern classes over the different components of  $\mathcal{M}$ ; cf. (4.8).
- (ii) The trace forms span the negative semi-definite cone in  $H^4(BG; \mathbb{R})$ ; so  $\mathcal{L}$  has positive level iff  $c_1(\mathcal{L})$  lies in the  $\mathbb{Q}_+$ –span of the  $c_1(D_\Sigma V)$ ’s for  $\mathfrak{g}$ –faithful  $V$ .
- (iii) For semi-simple  $G$ , the line bundle  $\mathcal{K}$  has negative level, and so  $\mathcal{O}$  is admissible. This fails for a torus, but positive–level line bundles are admissible for any  $G$ .
- (iv) For  $g > 1$  and simply connected  $G$ , positivity of the level is equivalent to ampleness on the moduli space. (It suffices to check this for simple  $G$ : recall then that  $\text{Pic}(\mathcal{M}) = \mathbb{Z}$  and that  $\mathcal{K}^{-1}$  is ample.) When  $\pi_1(G) \neq 0$ , the positive–level condition is much more restrictive.