

Title

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1 Principal G -Bundles on Affine Curves

It is a consequence of a theorem of Harder [?, Satz 3.3] that generically trivial principal G -bundles on a smooth affine curve C over an arbitrary field k are trivial if G is a semisimple and simply connected algebraic group. When k is algebraically closed and G reductive, generic triviality, conjectured by Serre, was proved by Steinberg [?] and Borel–Springer [?].

It follows that principal bundles for simply connected semisimple groups over smooth affine curves over algebraically closed fields are trivial. This fact (and a generalization to families of bundles [?]) plays an important role in the geometric realization of conformal blocks for smooth curves as global sections of line bundles on moduli-stacks of principal bundles on the curves (see the review [?] and the references therein).

1.1 Derived Pushforward of Admissible Complexes

Theorem 1.1. The derived pushforward $RF_*\alpha$ of an admissible complex α along the bundle-forgetting map $F : \mathcal{M}_{g,I}([pt/G]) \rightarrow \mathcal{M}_{g,I}$ is a bounded complex of coherent sheaves.

This theorem is a relative version over varying curves of the analogous finiteness result for $\text{Bun}_G(\Sigma)$ in [?, 34].

1.2 Finiteness for Fixed Curves

Let G be a reductive, connected complex Lie group and \mathcal{M} the moduli stack of algebraic G -bundles over a smooth projective curve Σ of genus g . We recall the finiteness theorem for this moduli stack. We recall the finiteness theorem for the moduli stack of principal bundles on a fixed smooth curve.

1.1 Admissible classes

Given a representation V of G , call E^*V the vector bundle over $\Sigma \times \mathcal{M}$ associated to the universal G -bundle. Call π the projection along Σ , the relative canonical bundle K of $\Sigma \times \mathcal{M} \rightarrow \mathcal{M}$ (so that $K|_{\Sigma} = K_{\Sigma}$), \sqrt{K} its square root, $[C]$ the topological K_1 -homology class of a 1-cycle C on Σ . Consider the following classes in the topological K -theory of \mathcal{M} :

- (i) The restriction $E_x^*V \in K^0(\mathcal{M})$ of E^*V to a point $x \in \Sigma$;
- (ii) The slant product $E_C^*V := E^*V/[C] \in K^{-1}(\mathcal{M})$ of E^*V with $[C]$;
- (iii) The Dirac index bundle $E_{\Sigma}^*V := R\pi_*(E^*V \otimes \sqrt{K}) \in K^0(\mathcal{M})$ of E^*V along Σ ;
- (iv) The inverse determinant of cohomology,

$$D_{\Sigma}V := \det^{-1} E_{\Sigma}^*V.$$

We call the classes (i)–(iii) the *Atiyah–Bott generators*; they are introduced in [?, §2], along with their counterparts in cohomology, and can also be described from the Künneth decomposition of E^*V in

$$K^0(\Sigma \times \mathcal{M}) \cong K^0(\Sigma) \otimes K^0(\mathcal{M}) \oplus K^1(\Sigma) \otimes K^1(\mathcal{M}),$$

by contraction with the various classes in Σ . Classes (i) and (iv) are represented by algebraic vector bundles, while (iii) can be realised as a perfect complex of \mathcal{O} -modules. The class E_C^*V in (ii) is not algebraic. Note that

$$\det E_{\Sigma}^*V = \det R\pi_*(E^*V)$$

when $\det V$ is trivial; an important example is the canonical bundle

$$\mathcal{K} = \det E_{\Sigma}^*\mathfrak{g}$$

of \mathcal{M} , defined from the adjoint representation \mathfrak{g} .

Remark 1.2. For a line bundle \mathcal{L} on $\mathcal{M} = \text{Bun}_G(\Sigma)$, one associates a *level* $\lambda(\mathcal{L})$, namely the invariant symmetric bilinear form on \mathfrak{g} corresponding to the class $\lambda(\mathcal{L}) \in H^4(BG; \mathbb{Z})$. If \mathcal{L} is a determinant line bundle $\det R\pi_*(E^*V)$ attached to a representation V of G , then $\lambda(\mathcal{L})$ is the trace form $\text{Tr}_V(xy)$ on \mathfrak{g} . When G is not simply connected, such determinant bundles do not realise all possible integral levels. Passing from the simply connected cover \tilde{G} to $G = \tilde{G}/Z$ cuts down the lattice of integral invariant bilinear forms by imposing congruence conditions along the finite central subgroup Z , so that only a finite-index sublattice is realised by trace forms of actual G -representations.

Remark 1.3 (Smoothness and the relative canonical bundle). Let $\mathcal{M} = \text{Bun}_G(\Sigma)$ and let

$$\pi : \Sigma \times \mathcal{M} \longrightarrow \mathcal{M}$$

be the projection. Although the coarse moduli space of semistable G -bundles may be singular, the *stack* \mathcal{M} is a smooth Artin stack of dimension $(g-1) \dim G$. Indeed, for a bundle P one has

$$T_{[P]}\mathcal{M} \simeq H^1(\Sigma, \text{Ad } P)$$

and $H^2(\Sigma, \text{Ad } P) = 0$ because $\dim \Sigma = 1$, so deformations are unobstructed.

The relative canonical bundle $K := K_{\Sigma \times \mathcal{M}/\mathcal{M}}$ is defined purely from the morphism π , which is smooth of relative dimension 1; no smoothness of the base is required. In fact,

$$K_{\Sigma \times \mathcal{M}/\mathcal{M}} \cong \text{pr}_\Sigma^* K_\Sigma,$$

the pullback of the ordinary canonical bundle of the curve.

Remark 1.4. By contrast, the "canonical bundle" of the moduli stack itself is

$$\mathcal{K} := \det R\pi_*(E^* \mathfrak{g}),$$

the determinant of the cotangent complex of \mathcal{M} , and Laszlo–Sorger construct a canonical Pfaffian square root $\mathcal{K}^{1/2}$ of this line bundle. In particular, for semi-simple, not necessarily simply connected G and for every theta characteristic $K_\Sigma^{1/2}$ on Σ , one has a square root

$$\mathcal{K}^{1/2} := \det R\pi_*(E^* \mathfrak{g} \otimes \text{pr}_\Sigma^* K_\Sigma^{1/2}).$$

This gives rise to a natural "reference level" $\lambda(\mathcal{K}^{1/2}) = \frac{1}{2} \lambda(\mathcal{K})$. We call a line bundle \mathcal{L} on \mathcal{M} *admissible* if its level exceeds that of $\mathcal{K}^{1/2}$, in the sense that $\lambda(\mathcal{L}) - \lambda(\mathcal{K}^{1/2})$ is positive definite on every simple factor of \mathfrak{g} .

Such positivity plays the role of an ampleness condition, and admissible line bundles provide the appropriate class of twistings needed for the K-theoretic index and Verlinde formulas. Products of an admissible line bundle and any number of Atiyah–Bott generators span the ring of *admissible classes*.

Remark 1.5. We have defined a level by an integral invariant symmetric bilinear form on \mathfrak{g} and simultaneously identified with central extensions of the loop group LG . The latter is completely determined by the action of the central scalar, which is to be an integer by the integrality condition. Abstractly, the Chern–Weil homomorphism identifies the cohomology ring $H^*(BG; \mathbb{R})$ of the classifying space BG with the ring of invariant polynomials on the Lie algebra \mathfrak{g} of G :

$$H^*(BG; \mathbb{R}) \cong \text{Inv}(\mathfrak{g}) := \text{Sym}(\mathfrak{g}^*)^G$$

and in degree four, we have

$$H^4(BG; \mathbb{R}) \cong \text{Inv}^2(\mathfrak{g})$$

the space of invariant symmetric bilinear forms on \mathfrak{g} . In particular $H^4(BG; \mathbb{R}) \cong H^3(\mathfrak{g})$ via the isomorphism we have just discussed. There is a transgression map arising from the fibration $G \rightarrow EG \rightarrow BG$:

$$\tau : H^4(BG; \mathbb{R}) \rightarrow H^3(G; \mathbb{R})$$

which is an isomorphism when G is compact, simple, and simply connected. Thus we have the chain of isomorphisms

$$H^4(BG; \mathbb{R}) \cong H^3(\mathfrak{g}) \cong H^3(G; \mathbb{R}) \cong H^2(L\mathfrak{g})$$

which identifies the level defined via $H^4(BG; \mathbb{R})$ with the level defined via central extensions of the loop group LG , all of which are classified by invariant symmetric bilinear forms on \mathfrak{g} .

In particular, central extensions of the loop algebra $L\mathfrak{g}$ are classified by invariant symmetric bilinear forms on \mathfrak{g} , which are classified by $H^3(\mathfrak{g})$ defined by the Chevalley-Eilenberg complex. Given such a form $\langle \cdot, \cdot \rangle$, the associated 3-cocycle is

$$\sigma(\xi, \eta, \zeta) = \langle [\xi, \eta], \zeta \rangle.$$

Conversely, given a 3-cocycle σ on \mathfrak{g} , one can define an invariant symmetric bilinear form by

$$\langle \xi, \eta \rangle := \sigma(\xi, [\eta_1, \eta_2]),$$

where η_1, η_2 are any elements satisfying $\eta = [\eta_1, \eta_2]$ (such elements exist since \mathfrak{g} is semisimple, and the definition is independent of the choice because σ is a cocycle). We have seen that invariant symmetric bilinear forms on \mathfrak{g} classify central extensions of the loop algebra $L\mathfrak{g}$ via the construction which takes $\langle \cdot, \cdot \rangle$ to the cocycle

$$\omega(\xi, \eta) = \frac{1}{2\pi} \int_0^{2\pi} \langle \xi(\theta), \eta'(\theta) \rangle d\theta.$$

Moreover we have seen that any such cocycle ω arises from such a bilinear form. Thus we have an isomorphism

$$H^3(\mathfrak{g}) \xrightarrow{\cong} H^2(L\mathfrak{g})$$

On the other hand, if G is compact, then the de Rham cohomology $H^3(G)$ is isomorphic to the Lie algebra cohomology $H^3(\mathfrak{g})$. This is because every de Rham cohomology class has a unique left invariant representative form given by averaging, and therefore the cohomology of G can be calculated from the cochain complex of the Lie algebra \mathfrak{g} .

1.2 Line bundles with a level

To certain line bundles on \mathcal{M} we now associate a *level*, a quadratic form on the Lie algebra \mathfrak{g} . Briefly, for any representation V , the level of $\det E_{\Sigma}^* V$ is the trace form $\xi, \eta \mapsto \text{Tr}_V(\xi\eta)$, and we wish to extend this definition by linearity in the first Chern class of the line bundle.

Riemann–Roch along Σ expresses $c_1(E_\Sigma^*V)$ as the image of $\text{ch}_2(V) = \frac{1}{2}c_1(V)^2 - c_2(V)$ under *transgression along Σ* ,

$$\tau : H^4(BG; \mathbb{Q}) \longrightarrow H^2(\mathcal{M}; \mathbb{Q}) \quad (\text{construction (1.1.iii) in cohomology}).$$

It is important that τ is injective (Remark 4.11). We now identify $H^4(BG; \mathbb{R})$ with the space of invariant symmetric bilinear forms on \mathfrak{g}_κ so that Tr_V corresponds to $\text{ch}_2(V)$. We say that the line bundle \mathcal{L} *has a level* if its Chern class $c_1(\mathcal{L})$ agrees with some $\tau(h)$ in $H^2(\mathcal{M}; \mathbb{Q})$; the form h , called the *level* of \mathcal{L} , is then unique.

For SL_n , the level of the positive generator of $\text{Pic}(\mathcal{M})$ is $-\text{Tr}_{\mathbb{C}^n}$ in the standard representation; the calculation is due to Quillen. For another example, the level of $\mathcal{K}^{-1/2}$ is $c := -\frac{1}{2} \text{Tr}_{\mathfrak{g}}$. Positivity of a level refers to the quadratic form on \mathfrak{g}_κ ; thus $D_\Sigma V$ has positive level iff V is \mathfrak{g} –faithful. Finally, \mathcal{L} , with level h , is *admissible* iff $h > -c$ as a quadratic form.

1.3 Remark

- (i) When G is simply connected, the map $\tau : H^4(BG; \mathbb{Z}) \rightarrow H^2(\mathcal{M}; \mathbb{Z})$ is an isomorphism, but this fails (even rationally) as soon as $\pi_1(G) \neq 0$. Line bundles with a level satisfy a prescribed relation between their Chern classes over the different components of \mathcal{M} ; cf. (4.8).
- (ii) The trace forms span the negative semi-definite cone in $H^4(BG; \mathbb{R})$; so \mathcal{L} has positive level iff $c_1(\mathcal{L})$ lies in the \mathbb{Q}_+ –span of the $c_1(D_\Sigma V)$ ’s for \mathfrak{g} –faithful V .
- (iii) For semi-simple G , the line bundle \mathcal{K} has negative level, and so \mathcal{O} is admissible. This fails for a torus, but positive-level line bundles are admissible for any G .
- (iv) For $g > 1$ and simply connected G , positivity of the level is equivalent to ampleness on the moduli space. (It suffices to check this for simple G : recall then that $\text{Pic}(\mathcal{M}) = \mathbb{Z}$ and that \mathcal{K}^{-1} is ample.) When $\pi_1(G) \neq 0$, the positive-level condition is much more restrictive.