

Complex Manifolds

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Abstract

These are notes for the course Complex Manifolds (Math 241) taught by Professor Constantin Teleman in the Fall of 2025 at UC Berkeley.

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1 Elliptic functions

The classical story begins with the Weierstrass \wp -function, defined by

$$\wp(z; L) = \frac{1}{z^2} + \sum_{\omega \in L \setminus \{0\}} \left(\frac{1}{(z - \omega)^2} - \frac{1}{\omega^2} \right)$$

which has the properties that it is an L -periodic meromorphic function on \mathbb{C} with double poles at the lattice points, and that it satisfies the differential equation

$$(\wp'(z))^2 = 4\wp(z)^3 - g_2\wp(z) - g_3 = 4(z - e_1)(z - e_2)(z - e_3)$$

where g_2, g_3 are constants depending on L , given explicitly by

$$g_2 = 60 \sum_{\omega \in L \setminus \{0\}} \frac{1}{\omega^4}$$

$$g_3 = 140 \sum_{\omega \in L \setminus \{0\}} \frac{1}{\omega^6}$$

and e_i are the values of \wp at the half-lattice points $\omega_1/2, \omega_2/2, (\omega_1 + \omega_2)/2$. The e_i are distinct as we will show in Prop 1.2. The convergence is uniform on any compact subset $K \subset \mathbb{C}$, once the terms with poles in K are set aside.

Uniform convergence implies that the series can be differentiated term-by-term, so we get a formula for $\wp'(z)$ given by

$$\wp'(z) = -2 \sum_{\omega \in L} \frac{1}{(z - \omega)^3}$$

is an doubly periodic meromorphic function with triple poles at the lattice points. Moreover, one can see directly from the series expansion that \wp is even and \wp' is odd.

The oddness implies that $\wp'(z)$ vanishes at the half-lattice points. Moreover, one can check that these are simple zeros of \wp' , and moreover the only zeros of \wp' modulo L . Thus \wp' has only poles at lattice points, each of order 3. In a fundamental parallelogram there is exactly one pole (mod L), of total multiplicity 3. This implies the following proposition.

Proposition 1.1. $\wp(z)$ and $\wp'(z)$ define holomorphic maps $\mathbb{C}/L \rightarrow \mathbb{P}^1$ of degree 2 and 3 respectively.

We conclude that each of the half-lattice points must be a simple zero of \wp' and moreover that these are all of the zeros, because any meromorphic function has divisor of degree 0.

Proposition 1.2 (Properties of the \wp -map).

- (i) *The numbers e_1, e_2, e_3 are all distinct.*
- (ii) *For any $a \in \mathbb{C}$ with $a \neq e_1, e_2, e_3$, the equation $\wp(u) = a$ has two simple roots in a fundamental period parallelogram. For the three exceptional values $a = e_i$, it has a single double root.*

Proof.

- (ii) General theory of meromorphic functions on a torus shows that we either have two simple roots or one double root. Since a double root corresponds to a zero of the derivative \wp' , the claim follows. Note that the two simple roots always differ by a sign modulo L , by the parity of \wp .
- (i) Suppose, for contradiction, that $e_1 = e_2$. Then $\wp(u) = e_1$ would have a double root at $\frac{\omega_1}{2}$ and another double root at $\frac{\omega_2}{2}$. This would give too many roots (multiplicity 4 in a fundamental parallelogram), contradicting the fact that \wp is a double covering of \mathbb{P}^1 . Hence the e_i are distinct.

□

Remark 1.3. Kac writes that this quadratic term which appears in the definition of t_α ?? "explains" the appearance of theta functions in the theory of affine algebras. This is because when you compute the characters of highest-weight representations of affine Kac-Moody algebras, you sum over the affine Weyl group:

$$\chi(\lambda) = \sum_{w \in W} \det(w) e^{w(\lambda + \rho) - \rho}$$

and theta functions arise precisely when you sum exponentials of the form

$$\Theta(\tau, z) = \sum_{\alpha \in \text{lattice}} \exp\left(-\frac{1}{2}|\alpha|^2\tau + \langle \alpha, z \rangle\right).$$

Continuing with the discussion of theta functions, we have the following theorem about genus 1 Riemann surfaces.

Theorem 1.4. Let $\theta_1, \dots, \theta_4$ be the four Jacobi theta functions. Then there is a map

$$E/L \rightarrow \mathbb{CP}^3, \quad z \mapsto [\theta_1(z, \tau) : \theta_2(z, \tau) : \theta_3(z, \tau) : \theta_4(z, \tau)]$$

which is a smooth embedding of the complex torus $E = \mathbb{C}/L$ into projective space. It is a degree 4 map and its image is the intersection of two quadrics.

Proposition 1.5. The function $\wp : \mathbb{C}/L \rightarrow \mathbb{P}^1$ is a degree 2 holomorphic map with branch points over e_1, e_2, e_3, ∞ .

Those of us who solved Example Sheet 1, Question 2, have seen the same picture of branching for the Riemann surface of the cubic equation

$$w^2 = (z - e_1)(z - e_2)(z - e_3);$$

in Lecture 10, we shall establish a deep connection between the two.

We will use the \wp -function to prove the Unique Presentation by principal parts. Uniqueness being clear on general grounds (cf. Lecture 4), we merely need to prove the existence statement; and

this will emerge from the proof of the first theorem below. Remarkably, this will also allow us to describe the field of meromorphic functions over \mathbb{C}/L .

Theorem 1.6. *Every elliptic function is a rational function of \wp and \wp' . Specifically, every **even** elliptic function is a rational function of \wp , every **odd** elliptic function is \wp' times a rational function of \wp ; and every elliptic function can be expressed uniquely as*

$$f(u) = R_0(\wp(u)) + \wp'(u) R_1(\wp(u)),$$

with R_0, R_1 rational functions, where the two terms are the even and odd parts of f .

Proof. It suffices to prove the statement for even elliptic functions; division by \wp' reduces odd ones to even ones. Recall that

$$\wp : \mathbb{C}/L \longrightarrow \mathbb{P}^1$$

is a degree 2 holomorphic map. This map realizes \mathbb{P}^1 as the quotient space of the torus \mathbb{C}/L under the identification of u with $-u$. Certainly the map is surjective because general theory of holomorphic maps between compact Riemann surfaces shows that any nonconstant holomorphic map is surjective. The map is injective because $\wp(u) = \wp(v)$ if and only if $u \equiv \pm v \pmod{L}$.

A bijective holomorphic map between compact Riemann surfaces is automatically biholomorphic. Let $f : R \rightarrow S$ be such a map. The inverse function theorem guarantees that the inverse function f^{-1} is smooth. Moreover, it guarantees that

$$(f^{-1})'(b) = \frac{1}{f'(f^{-1}(b))}$$

Since f is bijective, it has nonzero derivative everywhere because if it did not, it would look like $z \mapsto z^k$ for some $k \geq 2$ and thus it would fail to be locally bijective. Since it has nonzero derivative everywhere, $(f^{-1})'$ is defined everywhere and is in fact a complex number. Hence f^{-1} is holomorphic. Δ and \mathbb{C} are homeomorphic but they are not biholomorphic.

So indeed \mathbb{P}^1 is the quotient of \mathbb{C}/L by the involution $u \mapsto -u$. Hence, any even **continuous** map

$$f : \mathbb{C}/L \rightarrow \mathbb{P}^1$$

has the form $f = R \circ \wp$, for some continuous map $R : \mathbb{P}^1 \rightarrow \mathbb{P}^1$. Moreover, \wp is a local analytic isomorphism away from the four branch points, which implies that R is holomorphic there, if $R \circ \wp$ was so. So we know that R is continuous everywhere and holomorphic away from the four branch points.

The following result shows that R is holomorphic everywhere, hence a rational function.

$$R(z) = P(z)/Q(z) \implies f(u) = P(\wp(u))/Q(\wp(u))$$

Writing every elliptic function as a sum of an even and an odd one, and the odd ones as \wp' times an even one, we get the desired result. \square

Proposition 1.7. *Let $f : S \rightarrow R$ be a continuous map between Riemann surfaces, known to be holomorphic except at isolated points. Then f is holomorphic everywhere.*

Proof. Choosing coordinate neighbourhoods near the questionable points and their images, we are reduced to the statement that a continuous function on Δ which is holomorphic on Δ^\times is, in fact, holomorphic at 0 as well. This follows from Riemann's theorem on removable singularities. \square

A remarkable consequence is that the function $\wp'(u)^2$, being elliptic and even, is expressible in terms of \wp . Explicitly, we have the following.

Theorem 1.8 (Differential equation for \wp).

$$\wp'(u)^2 = 4\wp(u)^3 - g_2\wp(u) - g_3,$$

where $g_2 = 60G_4$, $g_3 = 140G_6$, and

$$G_r = G_r(L) = \sum_{\omega \in L^*} \omega^{-r}.$$

Proof. Recall the Laurent expansion of the Weierstrass function

$$\wp(u) = u^{-2} + 3G_4(L)u^2 + 5G_6(L)u^4 + \dots, \quad \wp'(u) = -2u^{-3} + 6G_4(L)u + 20G_6(L)u^3 + \dots.$$

For $|u| < |\omega|$ and any integer $k \geq 1$,

$$(u - \omega)^{-k} = \frac{(-1)^k}{\omega^k} \left[1 + k \frac{u}{\omega} + \frac{k(k+1)}{2!} \frac{u^2}{\omega^2} + \frac{k(k+1)(k+2)}{3!} \frac{u^3}{\omega^3} + \dots \right].$$

Expanding each term in the defining series for \wp with the above, and (for small u) interchanging sums, the odd powers in u cancel, giving

$$\wp(u) = u^{-2} + \sum_{m=1}^{\infty} \binom{-2}{2m} G_{2m+2}(L) u^{2m} = u^{-2} + \sum_{m=1}^{\infty} (2m+1) G_{2m+2}(L) u^{2m}.$$

Similarly,

$$\wp'(u) = -2u^{-3} + \sum_{m=0}^{\infty} (-2) \binom{-3}{2m+1} G_{2m+4}(L) u^{2m+1}$$

Using these expansions, the first few terms of $(\wp'(u))^2$ and $4\wp(u)^3 - g_2\wp(u) - g_3$ agree at $u = 0$; hence their difference is an elliptic function with no poles that vanishes at $u = 0$, so it is identically zero. \square

The two theorems immediately lead to a description of the field of meromorphic functions on \mathbb{C}/L .

Corollary 1.9. *The field of meromorphic functions on \mathbb{C}/L is isomorphic to*

$$\mathbb{C}(z)[w]/(w^2 - 4z^3 + g_2z + g_3),$$

the degree 2 extension of the field of rational functions $\mathbb{C}(z)$ obtained by adjoining the solutions w to the equation

$$w^2 = 4z^3 - g_2z - g_3.$$

Theorem 1.10. *Let z_1, \dots, z_n and p_1, \dots, p_m denote the zeroes and poles of a non-constant elliptic function f in the period parallelogram, repeated according to multiplicity. Then:*

$$(i) \quad m = n,$$

$$(ii) \quad \sum_{k=1}^m \text{Res}_{p_k}(f) = 0,$$

$$(iii) \quad \sum_{k=1}^n z_k = \sum_{k=1}^m p_k \pmod{L}.$$

Proof. (i) follows from the fact that

$$\frac{1}{2\pi i} \int_{\partial P} \frac{f'(z)}{f(z)} dz = n - m$$

where P is the period parallelogram. The integral is zero because opposite sides cancel out due to periodicity of f . (ii) follows from the fact that

$$\sum_k \text{Res}_{p_k}(f) = \frac{1}{2\pi i} \int_{\partial P} f(z) dz$$

and the integral is zero by periodicity.

Let's integrate $z \frac{f'(z)}{f(z)}$ over the boundary ∂P :

$$I = \int_{\partial P} z \frac{f'(z)}{f(z)} dz$$

By the residue theorem:

$$I = 2\pi i \sum_k \text{Res}_{z=z_k}(zf'/f) + 2\pi i \sum_k \text{Res}_{z=p_k}(zf'/f)$$

At a zero z_k of order r , we have $\frac{f'(z)}{f(z)} \sim \frac{r}{z-z_k}$, so $\text{Res}_{z_k}(zf'/f) = rz_k$. At a pole p_k of order s , we have $\frac{f'(z)}{f(z)} \sim -\frac{s}{z-p_k}$, so $\text{Res}_{p_k}(zf'/f) = -sp_k$. Hence

$$\frac{I}{2\pi i} = \sum_{k=1}^n r_k z_k - \sum_{k=1}^m s_k p_k = \sum z_k - \sum p_k$$

using multiplicities.

Now consider how zf'/f behaves under translation by a period ω . When you shift $z \mapsto z + \omega_i$, f'/f stays the same (because it's periodic), but z changes by $+\omega_i$.

When traversing the parallelogram boundary, the two vertical sides differ by the period ω_1 , and the two horizontal sides differ by ω_2 . Careful calculation gives:

$$I = 2\pi i (\omega_1 k_1 + \omega_2 k_2)$$

for some integers k_1, k_2 , because the integral around the boundary shifts by integer multiples of the lattice periods (this is the quasi-periodicity of the logarithm of f).

Thus

$$\sum z_k - \sum p_k \equiv 0 \pmod{L}$$

as desired. \square

Remark 1.11. Let ω be a meromorphic differential on a compact Riemann surface S . Then the sum of its residues at all poles is zero. Let p_i be the poles of ω and take disks D_i around each p_i . Then the boundary of $S \setminus \cup D_i$ is $\sum \partial D_i$. By Stokes' theorem,

$$\int_{S \setminus \cup D_i} d\omega = \int_{\partial(S \setminus \cup D_i)} \omega = \sum_i \int_{\partial D_i} \omega$$

The left side is zero because $d\omega = 0$ (since ω is a holomorphic 1-form). The right hand side is $2\pi i \sum \text{Res}_{p_i}(\omega)$. Hence the sum of residues is zero.

Hartshorne actually delays the proof of the residue theorem until he has developed sheaf cohomology, because the general proof uses Serre duality. In the analytic setting, the proof is more elementary, as above.

Definition 1.12. Fix a local coordinate z at a point p . The **principal part** of a meromorphic function f at p is the part of its Laurent expansion in negative powers of $(z - p)$:

$$\sum_{n=1}^N a_{-n} (z - p)^{-n}$$

Theorem 1.13 (Unique Presentation by principal parts). *An elliptic function is specified uniquely, up to an additive constant, by prescribing its principal parts at all poles in the period parallelogram. The prescription is subject only to condition (ii).*

Proof. This is more computational, but also more concrete. We first show that we can realize any even assignment of principal parts on \mathbb{C}/L using a suitable rational function $R(\wp(u))$. Such an assignment involves finitely many points $\lambda \in \mathbb{C}/L$ and principal parts

$$\sum_{k=1}^{n_\lambda} a_k^{(\lambda)} (u - \lambda)^{-k},$$

with the properties that:

- if $2\lambda \notin L$, then $(-\lambda)$ also appears, with assignment

$$\sum_{k=1}^{n_\lambda} (-1)^k a_k^{(\lambda)} (u + \lambda)^{-k},$$

i.e. $a_k^{(-\lambda)} = (-1)^k a_k^{(\lambda)}$;

- if $2\lambda \in L$, then only even powers of $(u - \lambda)^{-1}$ are present.

This is because the local coordinates at λ and $-\lambda$ are opposite signs. Write the principal part at λ (using $v = u - \lambda$): $f(u) = \sum_{k=1}^{n_\lambda} a_k^{(\lambda)} v^{-k} + \dots$. Near $-\lambda$ use $w = u + \lambda$. Evenness gives

$$f(-\lambda + w) = f(-(-\lambda + w)) = f(\lambda - w) = \sum_{k \geq 1} a_k^{(\lambda)} (-w)^{-k} = \sum_{k \geq 1} (-1)^k a_k^{(\lambda)} w^{-k}$$

If $2\lambda \in L$ (so $-\lambda \equiv \lambda$ on \mathbb{C}/L), the same calculation forces $\sum_{k \geq 1} a_k^{(\lambda)} v^{-k} = \sum_{k \geq 1} a_k^{(\lambda)} (-v)^{-k}$, hence $a_k^{(\lambda)} = 0$ for all odd k : only even powers $(u - \lambda)^{-2j}$ can appear.

Now if $2\lambda \notin L$, $(\wp(u) - \wp(\lambda))^{-1}$ has a simple pole at $u = \lambda$ and we can create any principal part there as a sum of $(\wp(u) - \wp(\lambda))^{-k}$. Evenness of \wp takes care of the symmetry. If $2\lambda \in L$, then we can use either powers of \wp , if $\lambda \in L$, or powers of $(\wp(u) - e_{1,2,3})^{-1}$, which have double poles with no residue.

Now, onto the odd functions. Odd assignments of principal parts are of the form

$$\sum_{k=1}^{n_\lambda} a_k^{(\lambda)} (u - \lambda)^{-k},$$

with a matching term

$$- \sum_{k=1}^{n_\lambda} (-1)^k a_k^{(\lambda)} (u + \lambda)^{-k}$$

at $-\lambda$ (i.e. $a_k^{(-\lambda)} = (-1)^{k+1} a_k^{(\lambda)}$), or else with vanishing $a_k^{(\lambda)}$ (for even k) if $2\lambda \in L$.

The principal parts

$$\left(\frac{P_\lambda}{\wp'(u)} - \frac{P_{-\lambda}}{\wp'(u)} \right)$$

can be realized by a sum of powers of $(\wp(u) - \wp(\lambda))^{-1}$. If $2\lambda \in L$ but $\lambda \notin L$ (not 0), then $P_\lambda^{(u)}/\wp'(u)$ is also a well-defined even principal part, expressible via $(\wp(u) - \wp(\lambda))^{-1}$. The same goes for $P_0^{(u)}/\wp'(u)$. So there exists a function of the form $R_1(\wp(u))$ whose principal parts agree with the $P_\lambda(u)/\wp'(u)$ everywhere.

The principal parts of $R_1(\wp(u)) \wp'(u)$ agree with the P_λ , except possibly at $\lambda = 0$, where the cubic pole of \wp' could introduce unwanted or incorrect u^{-3} and u^{-1} terms. We can adjust the u^{-3} term by shifting R_1 by a constant. We have no control over the u^{-1} term, but that is determined from the condition $\sum \text{Res} = 0$, which indeed must be met if a function with the prescribed principal parts is to exist. \square

Theorem 1.14 (Unique Presentation by zeroes and poles). *An elliptic function is specified uniquely, up to a multiplicative constant, by prescribing the location of its zeroes and poles in the period parallelogram, with multiplicities. The prescription is subject to conditions (i) and (iii).*

Lemma 1.15. $g_2^3 \neq 27g_3^2$ and e_1, e_2, e_3 are the roots of the equation

$$4z^3 - g_2z - g_3 = 0.$$

Proof. \wp' vanishes at the half-lattice points, while \wp takes the values e_1, e_2, e_3 there. The roots are distinct so the discriminant of the cubic is nonzero, i.e. $g_2^3 \neq 27g_3^2$. \square

Theorem 1.16 (Geometric interpretation). *The map $\mathbb{C}/L \setminus \{0\} \rightarrow \mathbb{C}^2$ given by*

$$u \longmapsto (z(u), w(u)) = (\wp(u), \wp'(u))$$

gives an analytic isomorphism between the Riemann surface $\mathbb{C}/L \setminus \{0\}$ and the (concrete) Riemann surface R of the function

$$w^2 = 4z^3 - g_2z - g_3$$

in \mathbb{C}^2 .

Proof. We have the commutative diagram:

$$\begin{array}{ccc} \mathbb{C}/L \setminus \{0\} & \xrightarrow{(\wp, \wp')} & R \\ & \searrow \wp & \downarrow \pi \\ & & \mathbb{C} \end{array}$$

and we know that:

- π is proper and 2-to-1 except at the branch points e_1, e_2, e_3 , which are the roots of $4z^3 - g_2z - g_3$.
- \wp is proper and 2-to-1 except at the half-period points $\omega_1/2, \omega_2/2, \omega_1/2 + \omega_2/2$, which map to the roots e_1, e_2, e_3 .
- $\wp(u) = \wp(-u)$ and $\wp'(u) = -\wp'(-u)$: this means that, unless u is a half-period, \wp' takes both values $\pm w = \pm \wp'(u)$ at the two points $\pm u$ mapping to the same $z = \wp(u)$ of \mathbb{C} .

Together, these three properties show that the map we just constructed is bijective. Note further that, at no point $u \in \mathbb{C}/L \setminus \{0\}$, is $\wp'(u) = \wp''(u) = 0$, because \wp' has simple zeros only (there are three of them); this means that for every $u \in \mathbb{C}/L \setminus \{0\}$, either the map \wp or the map \wp' gives an analytic isomorphism of a neighbourhood of u with a small disc in the z -plane or in the w -plane.

Since the Riemann surface structure on the (concrete, non-singular) Riemann surface R is defined by the projections to the z - and w -planes, appropriately, we conclude that (\wp, \wp') gives an analytic isomorphism

$$\mathbb{C}/L \longrightarrow R.$$

□

2 Riemann surfaces and field extensions

Theorem 2.1. *The following categories are equivalent:*

- *Compact Riemann surfaces with nonconstant holomorphic maps*
- *Smooth proper (and hence projective) algebraic curves over \mathbb{C} with nonconstant morphisms*
- *Field extensions of \mathbb{C} of transcendence degree 1, of finite degree over $\mathbb{C}(t)$ where t is transcendental over \mathbb{C} , with field homomorphisms over \mathbb{C}*

The correspondence in one direction is:

$$\begin{aligned} \text{Riemann surface } S &\mapsto \text{function field } \mathbb{C}(S) \\ \text{Holomorphic map } f : S &\rightarrow S' \mapsto \text{field homomorphism } f^* : \mathbb{C}(S') \rightarrow \mathbb{C}(S) \end{aligned}$$

Remark 2.2. *For curves, smooth and proper implies projective. This is false in higher dimensions.*

Common to both is the construction of nonconstant meromorphic functions. It suffices to find

- A map $f : R \rightarrow \mathbb{P}^1$ which realizes R as a branched cover of \mathbb{P}^1 (the transcendental part of the function field)

$$\begin{aligned} f^* : \mathbb{C}(z) &\hookrightarrow \mathbb{C}(R) \\ z &\mapsto f \end{aligned}$$

- A nonconstant meromorphic function g on S which separates the sheets (the finite part of the function field)

Once you have these functions, consider the set of pairs $\{(f(p), g(p)) : p \in S\} \subset \mathbb{P}^1 \times \mathbb{P}^1$. This is an analytic curve. By a theorem of Riemann (or later by Chow's theorem), an analytic curve in projective space is algebraic. So there exists a nonzero polynomial $P(x, y)$ such that

$$P(f, g) = 0 \quad \text{on } S.$$

Thus, the image of S under (f, g) is contained in the algebraic curve $P(x, y) = 0$. Moreover, because g separates the sheets, (f, g) is generically injective, so the map is birational. Hence S and the curve $P(x, y) = 0$ have the same function field. So you've now explicitly realized $\mathbb{C}(S) = \mathbb{C}(f, g)$.

We state Riemann's theorem which allows us to pass from the analytic setting to the algebraic setting.

Theorem 2.3. *Let R be a compact Riemann surface and $p \in R$. There exists a meromorphic function f with poles of arbitrary order n at p and holomorphic elsewhere, provided that n is sufficiently large.*

The method of proof involves constructing holomorphic differentials with poles at p , and in fact one can get them to any order of pole ≥ 2 . Then if these differentials are exact, their integrals give a single valued function with pole only at p .

3 Galois theory of compact Riemann surfaces

The fundamental result of the theory, conjectured by Riemann circa 1850, and proved over the next few decades, is:

Theorem 3.1. *Every compact Riemann surface is algebraic.*

We have an idea what this means, because we have considered Riemann surfaces defined by polynomial equations

$$P(z, w) = w^n + a_{n-1}(z)w^{n-1} + \cdots + a_1(z)w + a_0(z) = 0,$$

and we have seen how to compactify these; and indeed, the result does imply that every compact Riemann surface arises in such manner. But we would like now to do more than just explain the meaning of the theorem, and survey the basic algebraic tools available for the study of compact Riemann surfaces.

The truly hard part of the theorem is to get started. Nothing in the definition of an abstract Riemann surface implies in any obvious way the existence of the basic algebraic objects of study, the meromorphic functions.

Theorem 3.2. *Every compact Riemann surface carries a non-constant meromorphic function.*

Equivalently, every compact Riemann surface can be made into a branched cover of \mathbb{P}^1 .

Remarks. This is the difficult part of the theorem; once we have a branched cover of \mathbb{P}^1 , we can start studying it by algebraic methods. The proof involves serious analysis, specifically finding solutions of the Laplace equation in various surface domains, with prescribed singularities (“Green’s functions”).

Contained in Riemann’s theorem, there is a second result which we shall use without proof.

Proposition 3.3. *Let $\pi : R \rightarrow \mathbb{P}^1$ be a holomorphic map of degree $n > 0$. There exists, then, an additional meromorphic function f on R which **separates the sheets of R over \mathbb{P}^1** , in the following sense: there exists a point $z_0 \in \mathbb{P}^1$ such that f takes n distinct values at the points of R over z_0 .*

Exercise 3.4. *Show that such an f must then take n distinct values over all but finitely many points of \mathbb{P}^1 . (Consider a limit point of a sequence z_k over which f takes fewer values and use the fact that the zeros of a non-constant analytic function are isolated. The case when the limit point is a branch point will require extra care.)*

Assuming now that the Riemann surface R is connected, let $\mathbb{C}(R)$ be its field of meromorphic functions. A non-constant meromorphic function z defines an inclusion of fields

$$\mathbb{C}(z) \subset \mathbb{C}(R).$$

In algebra, this is commonly called a **field extension** rather than a “field inclusion.” The degree of the field extension, denoted $[\mathbb{C}(R) : \mathbb{C}(z)]$, is the dimension of $\mathbb{C}(R)$ as a vector space over $\mathbb{C}(z)$.

Theorem 3.5. *Let $\pi : R \rightarrow \mathbb{P}^1$ denote the holomorphic map associated to the meromorphic function z .*

$$1. [\mathbb{C}(R) : \mathbb{C}(z)] = \deg \pi (= n).$$

2. Any $f \in \mathbb{C}(R)$ satisfies a polynomial equation of degree $\leq n$ with coefficients in $\mathbb{C}(z)$:

$$f^n + a_{n-1}(z)f^{n-1} + \cdots + a_0(z) = 0.$$

3. Let f be a meromorphic function on R which separates the sheets of R over \mathbb{P}^1 . Then $\mathbb{C}(R)$ is generated by f over $\mathbb{C}(z)$:

$$\mathbb{C}(R) = \mathbb{C}(z)[f].$$

4. Let now $f^n + a_{n-1}(z)f^{n-1} + \cdots + a_0(z) = 0$ be the equation satisfied by the f in (iii). Then R is isomorphic to the non-singular, compactified Riemann surface of the equation

$$w^n + a_{n-1}(z)w^{n-1} + \cdots + a_1(z)w + a_0(z) = 0.$$

Theorem 3.6. *There is a bijection between isomorphism classes of field extensions of $\mathbb{C}(z)$ on one hand, and isomorphism classes of compact Riemann surfaces, together with a degree n map to \mathbb{P}^1 .*

Forgetting the map to \mathbb{P}^1 , we have:

Theorem 3.7. *There is a bijection between isomorphism classes of fields which can be realized as finite extensions of $\mathbb{C}(z)$, on one hand, and isomorphism classes of compact Riemann surfaces, on the other.*

The theorem follows essentially from part (iv) of the previous result; the only missing ingredient, which rounds up the correspondence between Riemann surfaces and their fields of functions, is:

Theorem 3.8. *Homomorphisms from $\mathbb{C}(S)$ to $\mathbb{C}(R)$ are in bijection with holomorphic maps from R to S .*

Recall that a finite field extension $k \subset K$ is called **Galois**, with group Γ , if Γ acts by automorphisms of K and k is precisely the set of elements fixed by Γ .

Proposition 3.9. *The automorphisms of a Riemann surface R are in bijection with those of its field of meromorphic functions $\mathbb{C}(R)$.*

Let now $\pi : R \rightarrow S$ be holomorphic; it gives a field extension $\mathbb{C}(S) \subset \mathbb{C}(R)$.

Proposition 3.10. *The automorphisms of R that commute with π are precisely the automorphisms of $\mathbb{C}(R)$ which fix $\mathbb{C}(S)$.*

Corollary 3.11. *A map $\pi : R \rightarrow S$ defines a Galois extension on the fields of meromorphic functions if and only if there exists a group Γ of automorphisms of R , commuting with π , and acting **simply transitively** on the fibres $\pi^{-1}(s)$, for a general $s \in S$.*

Proof. Note first that any automorphism of R , commuting with π , which fixes a point of valency 1 must be the identity. Indeed, by continuity, it will fix an open neighbourhood of the point in question, and the unique continuation property of analytic maps shows it to be the identity. Now, if $\mathbb{C}(R)$ is Galois over $\mathbb{C}(S)$, the order of the group of automorphisms is $[\mathbb{C}(R) : \mathbb{C}(S)]$. So the automorphism group must act simply transitively on the fibres which do not contain branch points. Conversely, an automorphism group acting simply transitively on even one fibre with no branch points must have order $\deg \pi$. But since that is $[\mathbb{C}(R) : \mathbb{C}(S)]$, it follows that the extension is Galois. \square

Such a map is called a **Galois cover with group Γ** .

Remark 3.12. Note that $R/\Gamma = S$, set theoretically. Topology tells us that the Γ -invariant continuous functions on R are precisely the continuous functions on S . We have just shown the same for the meromorphic functions.

Example 3.13 (Galois covers).

(i) $\mathbb{P}^1 \longrightarrow \mathbb{P}^1$, with $w \longmapsto z = w^3$.

The automorphisms are $z \mapsto \zeta z$, where ζ is any cube root of 1.

(ii) $\mathbb{C}/L \longrightarrow \mathbb{P}^1$, with $u \longmapsto \wp(u)$.

The non-trivial automorphism is $u \longmapsto -u$.

Rewriting it, the surface $w^2 = 4z^3 - g_2z - g_3$ is a Galois cover of the z -plane, with Galois group $\mathbb{Z}/2$ and automorphism $w \mapsto -w$.

Definition 3.14. A differential 1-form on a Riemann surface is called **holomorphic** if, in any local analytic coordinate, it has an expression

$$\phi(z) dz = \phi(z)(dx + i dy),$$

with ϕ holomorphic.

For those of you familiar with the notion of differential forms on a surface, there is a hands-on (but dirty) definition:

Definition 3.15. A **holomorphic differential** on a Riemann surface R is a quantity which takes the form $\phi(z) dz$ in a local coordinate z , and on the overlap region with another coordinate u , where it has the form $\psi(u) du$, it satisfies the gluing law

$$\phi(z) = \psi(u(z)) u'(z).$$

(Formally, $du = u'(z) dz$.)

Proposition 3.16. *If f is a holomorphic function on R , then df represents a holomorphic differential. In a local coordinate z ,*

$$df = f'(z) dz.$$

Remark 3.17. *We are trying to talk about derivatives of functions on a Riemann surface. However, the derivative of a function does not transform like a function under a change of coordinates, because of the chain rule*

$$\frac{df}{dz} = \frac{df}{du} \frac{du}{dz}.$$

Differentials are quantities which transform like derivatives of functions. They are not functions because the "value" of a differential at a point is not well-defined (it depends on the choice of local coordinate). However, its value evaluated on a tangent vector is well-defined, precisely because of the chain rule.

Proposition 3.18. *If ϕ is a holomorphic differential and f is a holomorphic function, then $f \cdot \phi$ is a holomorphic differential.*

If ϕ and ψ are two holomorphic differentials, then ϕ/ψ is a meromorphic function. If ϕ is holomorphic if and only if the zeroes of ψ are “dominated” by the zeroes of ϕ , that is, in local coordinate z when

$$\phi = \phi(z) dz, \quad \psi = \psi(z) dz,$$

the order of the zeroes of ψ is \leq the order of the zeroes of ϕ .

Remark 3.19. *There is an obvious notion of a meromorphic differential, and there are analogous properties to the above.*

Example 3.20 (Holomorphic differentials).

(i) **Holomorphic differentials on \mathbb{P}^1 are zero.**

Indeed, over the usual chart \mathbb{C} , the differential must take the form $f(z) dz$ with f holomorphic. Near ∞ , with $w = 1/z$ as a coordinate, the differential becomes

$$f(1/w) d(1/w) = -f(1/w) dw/w^2.$$

So we need $f(1/w)/w^2$ to be holomorphic at $w = 0$, so f should extend holomorphically at ∞ and have a double zero there. But then f must be zero.

(ii) **Holomorphic differentials on the Riemann surface $w^4 + z^4 = 1$.**

The branch points of the projection to the z -plane are at $z = \pm 1, \pm i$; $w = 0$ at all of them. The map has degree 4 and branching index 3 at each of the points. At ∞ , we have four separate sheets defined by $w = \sqrt[4]{1 - z^4}$ which has four convergent expansions in $1/z$, as

soon as $|z| > 1$. So Riemann–Hurwitz gives

$$g(R) - 1 = -4 + \frac{1}{2} \cdot 12 = 2, \quad g(R) = 3.$$

Thus R is a genus 3 surface with 4 points at ∞ .

Now dz defines a meromorphic differential on R^{cpt} , because z is a meromorphic function there. At ∞ , on R^{cpt} , $u = z^{-1}$ is a local holomorphic coordinate, and $dz = -u^{-2}du$ has a double pole.

On the other hand, I claim that dz has a triple zero at each of the branch points. Indeed, by the theorem on the local form of an analytic map, there is a local coordinate v with $z - 1 = v^4$. So

$$dz = d(v^4) = 4v^3 dv$$

has a triple zero over $z = 1$, and similarly over the other branch points.

So dz/w^2 , dz/w^3 are still holomorphic at the branch points (and everywhere else when $z \neq \infty$, because $w \neq 0$). At $z = \infty$, w has a simple pole on R^{cpt} and we see that $w^{-2}dz$ and $w^{-3}dz$ (and higher powers) are non-singular there. Moreover, we can even afford to add $z dz/w^3$ to our list, and we have produced three holomorphic differentials on R^{cpt} .

Remark 3.21. It is easy to see that the three are linearly independent. It takes more work to show that any holomorphic differential is a linear combination of these three.

At any rate, we observe the following:

Proposition 3.22. The ratios of holomorphic differentials on R^{cpt} generate the field of meromorphic functions.

Proof.

$$\frac{dz/w^2}{dz/w^3} = w, \quad \frac{z dz/w^3}{dz/w^3} = z,$$

and z, w generate the field of meromorphic functions, by our theorem from last time. \square

4 Line bundles and divisors

If f is a nonconstant meromorphic function on a compact Riemann surface R , then we defined the divisor of f to be

$$(f) = \sum_{p \in R} \text{ord}_p(f) p$$

where $\text{ord}_p(f)$ is the order of vanishing of f at p (negative if f has a pole at p).

We defined the following sets:

$$\begin{aligned}\operatorname{Div}(R) &= \{\text{formal finite sums } \sum n_p p, n_p \in \mathbb{Z}\} \\ \operatorname{PDiv}(R) &= \{\text{divisors of meromorphic functions}\} \\ \operatorname{Cl}(R) &= \operatorname{Div}(R) / \operatorname{PDiv}(R)\end{aligned}$$

and there is a map

$$\begin{aligned}\operatorname{Div}(R) &\rightarrow \operatorname{Pic}(R) \\ D &\mapsto \mathcal{O}(D)\end{aligned}$$

where

$$\mathcal{O}(D)(U) = \{f \text{ meromorphic on } U : (f)|_U + D|_U \geq 0\}$$

is an invertible sheaf. More precisely, from D one gets an invertible sheaf $\mathcal{O}(D)$ along with a meromorphic section s_D such that $(s_D) = D$.

One can think of s_D as the constant function 1. In particular, recall that $\mathcal{O}(D)$ is locally isomorphic to \mathcal{O}_R by picking local defining equations η_α for D on an open cover U_α . Recall that on a smooth variety there is an equivalence between Cartier divisors and Weil divisors. Then the isomorphism $\mathcal{O}(D)|_{U_\alpha} \rightarrow \mathcal{O}_R|_{U_\alpha}$ is given by multiplication by η_α . Then the canonical meromorphic section s_D , when restricted to U_α , is given by η_α which has divisor $D|_{U_\alpha}$.

Therefore, there is an isomorphism of abelian groups

$$\begin{aligned}\operatorname{Cl}(R) &\rightarrow \text{subgroup of } \operatorname{Pic}(R) \text{ consisting of invertible sheaves admitting meromorphic sections} \\ D &\mapsto (\mathcal{O}(D), 1)\end{aligned}$$

and this is in fact an isomorphism of groups because of the following theorem.

Theorem 4.1. *Every \mathcal{L} on a Riemann surface has a nonzero meromorphic section. More generally, every vector bundle admits a global meromorphic frame.*

Remark 4.2. *The compact case follows from the Kodaira vanishing theorem. In the noncompact case, all holomorphic vector bundles on noncompact R are trivializable and therefore admit a global holomorphic frame.*

Recall that the multiplicative Cousin problem is the problem of finding a global meromorphic function with prescribed zeroes and poles. The additive Cousin problem is the problem of finding a global meromorphic function with prescribed principal parts. The above theorem shows that both problems are always solvable on a noncompact Riemann surface.

Theorem 4.3. *On a noncompact Riemann surface, the multiplicative and additive Cousin problems are always solvable.*

All holomorphic vector bundles on a noncompact Riemann surface are trivializable.

Definition 4.4 (Degree of a line/vector bundle). *The degree of a line bundle \mathcal{L} on a compact Riemann surface R is defined to be the degree of any meromorphic section of \mathcal{L} . This is well defined because if s, s' are two meromorphic sections of \mathcal{L} , then s/s' is a meromorphic function on R and has degree 0.*

The degree of a vector bundle \mathcal{E} is defined to be the degree of its determinant line bundle $\det \mathcal{E} = \wedge^{\text{rank } \mathcal{E}} \mathcal{E}$.

Fact 4.5. *On a compact Riemann surface, the degree and dimension of a vector bundle completely determine the topology of the bundle.*

Proposition 4.6. *Every holomorphic line bundle on \mathbb{P}^1 is isomorphic to $\mathcal{O}_{\mathbb{P}^1}(n)$ for some integer n .*

Proof. We can solve the multiplicative Cousin problem on \mathbb{P}^1 for degree zero divisors. \square

Proposition 4.7. *Let $E = \mathbb{C}/L$ be an elliptic curve. Then*

$$0 \rightarrow E \rightarrow \text{Pic}(E) \rightarrow \mathbb{Z} \rightarrow 0$$

is a short exact sequence of abelian groups. It splits, so $\text{Pic}(E) \cong E \times \mathbb{Z}$.

Example 4.8 (Doubled lattice). *Recall that every elliptic curve $E = \mathbb{C}/L$ has a degree four cover by $\tilde{E} = \mathbb{C}/2L$. We defined four θ functions on E , let \mathcal{L}_i be the corresponding line bundles. Then $\pi^* \mathcal{L}_i$ are all isomorphic on \tilde{E} because the periodicity conditions all become the same after doubling the lattice. Moreover recall that there is a map*

$$E \rightarrow \mathbb{P}^3, \quad z \mapsto [\theta_1(z, \tau) : \theta_2(z, \tau) : \theta_3(z, \tau) : \theta_4(z, \tau)]$$

which is in fact a projective embedding by a line bundle.

Recall that in general if one has \mathcal{L} a line bundle on X , then we can consider the evaluation map $X \rightarrow \mathbb{P}(H^0(X, \mathcal{L})^*)$ given by $x \mapsto \{s \in H^0(X, \mathcal{L}) : s(x) = 0\}$ when \mathcal{L} has enough sections. For example, if \mathcal{L} has negative degree then it has no sections. If \mathcal{L} has degree 0 then it has a section if and only if it is trivial.

The analog of $\otimes \mathcal{O}(D)$ for vector bundles is called an elementary transformation. Let V be a vector bundle on R and choose a subspace $S \subset V_p$.

Define $\text{elm}(V, p, S)$ to be the sheaf of sections of V whose value at p lies in S . This is a vector bundle whose degree is $\deg V - \text{codim } S$.

$$0 \rightarrow \text{elm}(V, p, S) \rightarrow V \rightarrow (V_p/S) \otimes \mathcal{O}_p \rightarrow 0$$

Therefore there is a short exact sequence of vector spaces

$$0 \rightarrow K \rightarrow \text{elm}(V, p, S)_p \rightarrow S \rightarrow 0$$

with the property that

$$\text{elm}(\text{elm}(V, p, S), p, K) \cong V(-x)$$

and so elementary transformations are invertible up to twisting by a line bundle (which is also invertible). This resolves the obvious obstruction that elementary transformations reduce the degree of a vector bundle.

Proposition 4.9. *Every vector bundle is obtained from a trivial vector bundle by a finite sequence of elementary transformations and tensoring by line bundles.*

Exercise 4.10. *Let V be a rank 2 (for simplicity) vector bundle over a Riemann surface R . Assume that V has two meromorphic sections s_1, s_2 which, at some point, are holomorphic and span the fiber.*

- (a) *Show that this will be the case everywhere except at a set of isolated points.*
- (b) *At an exceptional point, show that we can modify V by a finite sequence of elementary transformations so that s_1 and s_2 form a holomorphic frame of the new bundle.*

Solution 4.11. *Let s_1, s_2 be two meromorphic sections of a rank 2 vector bundle V over a Riemann surface R . Since V is a holomorphic vector bundle, there exists a local trivialization of V around p .*

$$V|_U \cong \mathcal{O}_U e_1 \oplus \mathcal{O}_U e_2$$

and we can write

$$s_1 = f_1 e_1 + f_2 e_2, \quad s_2 = g_1 e_1 + g_2 e_2$$

where f_i, g_i are meromorphic functions on U . The failure of s_1, s_2 to span the fiber at a point $q \in U$ is given by the vanishing of the determinant

$$D(q) = f_1(q)g_2(q) - f_2(q)g_1(q).$$

which is a meromorphic function on U . The zeroes of a meromorphic function are isolated unless the function is identically zero. Since s_1, s_2 span the fiber at p , D is not identically zero. Therefore, the set of points where s_1, s_2 fail to be holomorphic or fail to span the fiber is a discrete set of isolated points in R , because meromorphic functions can only have isolated singularities and the determinant D is meromorphic.

Let D be the effective divisor of the poles of s_1, s_2 . We can make s_1, s_2 holomorphic by twisting V with the line bundle $\mathcal{O}(D)$, i.e. consider the new vector bundle

$$V(D) = V \otimes \mathcal{O}(D)$$

Then s_1, s_2 are holomorphic sections of $V(D)$. Now consider a point p where s_1, s_2 fail to span the fiber of $V(D)$. If $s_1(p)$ and $s_2(p)$ both vanish, then twist by an appropriate power of $\mathcal{O}(-p)$ to make at least one of them non-vanishing at p , say $s_1(p) \neq 0$. In a chart near $V(D)$ we have a local trivialization $V(D)|_U \cong \mathcal{O}_U e_1 \oplus \mathcal{O}_U e_2$ so that $s_1 = e_1$ and $s_2 = f(z)e_1 + g(z)e_2$ for some holomorphic functions $f(z), g(z)$. Let $L = \mathbb{C}e_1 \subset V_p$. We can perform an elementary transformation of $V(D)$ at p with respect to L to obtain a new vector bundle V' which fits into the short exact sequence of coherent sheaves

$$0 \rightarrow V' \rightarrow V(D) \rightarrow (V(D)_p/L) \otimes \mathcal{O}_p \rightarrow 0. \quad (1)$$

The wedge product of the sections is given by

$$s_1 \wedge s_2 = g(z)e_1 \wedge e_2.$$

Since s_1, s_2 fail to span the fiber at p , we have $g(0) = 0$, so we can write $g(z) = z^n h(z)$ for some $n \geq 1$ and unit $h(0) \neq 0$. After absorbing the unit $h(z)$ into e_2 , we can assume $g(z) = z^n$. Then we have in local coordinates sections $s_1 = e_1$ and $s_2 = f(z)e_1 + z^n e_2$.

The elementary transformation V' is locally generated by the sections $s'_1 = e_1$ and $s'_2 = ze_2$. This is because $V'(U)$ consists of sections of $V(D)(U)$ whose value at p lies in $L = \mathbb{C}e_1$. Any section of $V(D)(U)$ can be written as $a(z)e_1 + b(z)e_2$ for some holomorphic functions $a(z), b(z)$. The condition that the value at p lies in L means that $b(0) = 0$, so we can write $b(z) = zc(z)$ for some holomorphic function $c(z)$. Therefore, sections of $V'(U)$ are of the form

$$a(z)e_1 + zc(z)e_2, \quad a(z), c(z) \in \mathcal{O}_U$$

which means $V'(U)$ is a \mathcal{O}_U -module freely generated by e_1 and ze_2 . In particular, the bundle V' is locally trivialized by the sections e_1 and $e'_2 = ze_2$. In the new bundle V' , the sections s_1 and s_2 have wedge product

$$s'_1 \wedge s'_2 = z^{n-1}e_1 \wedge e'_2.$$

Thus, the order of vanishing of the wedge product at p has decreased by 1. By repeating this process a finite number of times, we can obtain a vector bundle where s_1, s_2 span the fiber at p . By performing this procedure at each point where s_1, s_2 fail to span the fiber, we can obtain a vector bundle where s_1, s_2 form a holomorphic frame everywhere.

Remark 4.12. The argument generalizes to any dimension. If R is compact, it follows that we can trivialize V by a finite number of elementary transformations. If R is non-compact, one can show that every vector bundle is in fact trivial.

Theorem 4.13. Every vector bundle on \mathbb{P}^1 is isomorphic to a direct sum of line bundles.

$$V \cong \bigoplus_{i=1}^{\text{rank } V} \mathcal{O}_{\mathbb{P}^1}(n_i)$$

where $n_i \geq n_{i+1}$. Moreover, the n_i are uniquely determined by V .

The degree of V is $\sum n_i$.

Example 4.14. On \mathbb{P}^1 , we have homeomorphic but not biholomorphic vector bundles $\mathcal{O}(1) \oplus \mathcal{O}(-1)$ and $\mathcal{O} \oplus \mathcal{O}$. They both have degree zero and the same number of sections, but the sections sit inside the bundles differently.

5 Riemann-Roch theorem for vector bundles

6 Coherent sheaf cohomology

Recall if V is a vector bundle on a compact Riemann surface R , then we proved that

$$\chi(R, V) = \dim H^0(R, V) - \dim H^1(R, V) = \deg V + \text{rank } V(1 - g)$$

Moreover, we saw that any coherent sheaf \mathcal{S} on R locally splits as a direct sum of a vector bundle and a torsion sheaf supported at finitely many points. More precisely, there is a short exact sequence

$$0 \rightarrow \mathcal{T} \rightarrow \mathcal{S} \rightarrow V \rightarrow 0$$

where \mathcal{T} is the subsheaf of torsion sections of \mathcal{S} and V is a vector bundle. This short exact sequence is canonical but the splitting is not.

We have $H^0(R, \mathcal{T})$ has dimension the global length of \mathcal{T} . We define

$$H^1(R, \mathcal{T}) = 0$$

and this is justified because

$$\begin{aligned} \mathcal{M} \otimes_{\mathcal{O}} \mathcal{T} &= 0 \\ \mathcal{P} \otimes_{\mathcal{O}} \mathcal{T} &= 0 \end{aligned}$$

where \mathcal{M} is the sheaf of meromorphic functions and $\mathcal{P} = \mathcal{M}/\mathcal{O}$ is the sheaf of principal parts. Define

$$\deg \mathcal{T} = \dim H^0(R, \mathcal{T})$$

In particular, this definition of $H^*(R, \mathcal{T})$ is justified because it is compatible with the Riemann-Roch theorem for coherent sheaves and it makes degree additive in short exact sequences. For example, one can check that

$$0 \rightarrow \mathcal{O}(-np) \rightarrow \mathcal{O} \rightarrow \mathbb{C}\{z\}/(z^n) \rightarrow 0$$

where p is a point with local coordinate z and $\mathbb{C}z$ denotes the ring of germs of holomorphic functions at p .

Theorem 6.1 (Long exact sequence in cohomology). *Let*

$$0 \rightarrow \mathcal{S}' \rightarrow \mathcal{S} \rightarrow \mathcal{S}'' \rightarrow 0$$

be a short exact sequence of coherent sheaves on a compact Riemann surface R . Then there is a long exact sequence in cohomology

$$0 \rightarrow H^0(R, \mathcal{S}') \rightarrow H^0(R, \mathcal{S}) \rightarrow H^0(R, \mathcal{S}'') \rightarrow H^1(R, \mathcal{S}') \rightarrow H^1(R, \mathcal{S}) \rightarrow H^1(R, \mathcal{S}'') \rightarrow 0$$

and the Euler characteristic is additive

$$\chi(R, \mathcal{S}) = \chi(R, \mathcal{S}') + \chi(R, \mathcal{S}'')$$

To prove this theorem, we will introduce spectral sequences in a limited context. Let $(\mathcal{S}^\bullet, d^\bullet)$ be a complex of coherent sheaves of finite length on a compact Riemann surface R . We can form a double complex

$$\begin{array}{ccccccc} \cdots & \longrightarrow & \mathcal{P}(\mathcal{S}^i) & \xrightarrow{d^i} & \mathcal{P}(\mathcal{S}^{i+1}) & \longrightarrow & \cdots \\ & & \uparrow p^i & & \uparrow p^{i+1} & & \\ \cdots & \longrightarrow & \mathcal{M}(\mathcal{S}^i) & \xrightarrow{d^i} & \mathcal{M}(\mathcal{S}^{i+1}) & \longrightarrow & \cdots \end{array}$$

where $\mathcal{M}(\mathcal{S}^i)$ is the sheaf of meromorphic sections of \mathcal{S}^i and $\mathcal{P}(\mathcal{S}^i) = \mathcal{M}(\mathcal{S}^i)/\mathcal{S}^i$ is the sheaf of principal parts. The vertical maps p^i are the natural projections. We can form the total complex

$$\begin{aligned} \text{Tot}^n(\mathcal{S}^\bullet) &= \mathcal{P}(\mathcal{S}^{n-1}) \oplus \mathcal{M}(\mathcal{S}^n) \\ d_{\text{Tot}}^n &= d_{\mathcal{P}}^{n-1} + (-1)^n d_{\mathcal{M}}^n \end{aligned}$$

We define the hypercohomology of the complex \mathcal{S}^\bullet to be

$$\mathbb{H}^k(R, \mathcal{S}^\bullet) = H^k(\Gamma(R, \text{Tot}^\bullet(\mathcal{S}^\bullet)))$$

We want to relate $\mathbb{H}^k(R, \mathcal{S}^\bullet)$ to the cohomology of the individual sheaves \mathcal{S}^i . Note the vertical differential computes exactly $H^*(R, \mathcal{S}^i)$. So if all the horizontal differentials were zero, we would have

$$\mathbb{H}^k(R, \mathcal{S}^\bullet) = \bigoplus_{i+j=k} H^j(R, \mathcal{S}^i) = H^0(R, \mathcal{S}^k) \oplus H^1(R, \mathcal{S}^{k-1})$$

In general, the horizontal differentials are not zero and we only have the following approximation.

Theorem 6.2 (Hypercohomology long exact sequence). *There is a long exact sequence*

$$\cdots \rightarrow \ker d^k|_{H^0(R, \mathcal{S}^k)} \Big/ \text{im } d^{k-1}|_{H^0(R, \mathcal{S}^{k-1})} \rightarrow \mathbb{H}^k(R, \mathcal{S}^\bullet) \rightarrow \ker d^{k+1}|_{H^1(R, \mathcal{S}^{k+1})} \Big/ \text{im } d^k|_{H^1(R, \mathcal{S}^k)} \rightarrow \cdots$$

We will get this theorem as a special case of the spectral sequence associated to a double complex. This presents the hypercohomology $\mathbb{H}^k(R, \mathcal{S}^\bullet)$ as an extension of a subspace of the right term by a quotient of the left term. These terms are computable from $H^*(R, \mathcal{S}^i)$ and the differentials d^i .

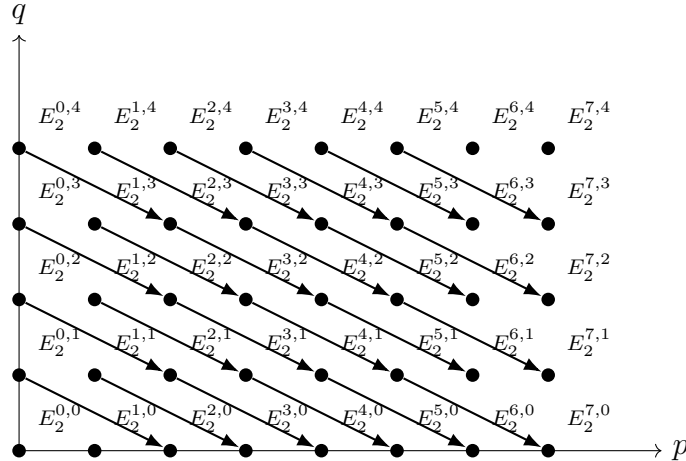
This is an example of the spectral sequence associated to a double complex.

$$T^k = \bigoplus_{p+q=k} C^{p,q}$$

Then we can compute the hypercohomology of the total complex knowing something about the vertical differential. We can do a page by page computation, taking the total complex as the E^0 page. Then the E^1 page is given by taking the cohomology with respect to the vertical differential. The only maps which survive are the horizontal differentials induced on the cohomology groups.

$$\begin{aligned} \dots &\longrightarrow H^{q+1}(C^p, \bullet) \xrightarrow{d_1} H^{q+1}(C^{p+1}, \bullet) \longrightarrow \dots \\ \dots &\longrightarrow H^q(C^p, \bullet) \xrightarrow{d_1} H^q(C^{p+1}, \bullet) \longrightarrow \dots \end{aligned}$$

The E^2 page looks like:



Theorem 6.3. *There exists an induced second differential of bidegree $(2, -1)$ on the E^2 page*

$$d_2^{p,q} : E_2^{p,q} \rightarrow E_2^{p+2,q-1}$$

Our example had only two rows, so the d_2 differential was zero. In general, one can continue this process to get higher differentials

$$d_r^{p,q} : E_r^{p,q} \rightarrow E_r^{p+r,q-r+1}$$

Theorem 6.4. *If or when the procedure stops, the $E_{\infty}^{p,q}$ page contains the associated graded of the cohomology of the total complex with respect to the filtration by the horizontal differential. More precisely, there is a filtration*

$$0 = F^{n+1}H^k \subset F^n H^k \subset \cdots \subset F^0 H^k = H^k(\text{Tot}^\bullet(C^{\bullet,\bullet}))$$

such that

$$E_{\infty}^{p,q} \cong F^p H^{p+q} / F^{p+1} H^{p+q}$$

Proof of Theorem 6.2. The existence of the long exact sequence follows from applying the general theory. Suppose we have a spectral sequence $E_2^{p,q}$ converging to L^{p+q} and we are in the nice case $E_2 = E_{\infty}$. So for each total degree n there is a finite filtration

$$0 = F^{n+1}L^n \subset F^n L^n \subset \cdots \subset F^1 L^n \subset F^0 L^n = L^n$$

Then we can write down the beginning of a long exact sequence by looking at total degree 1:

$$0 \rightarrow E_2^{1,0} \rightarrow L^1 \rightarrow E_2^{0,1} \xrightarrow{d_2} E_2^{2,0}$$

where the first map is the inclusion of $F^1 L^1$ into L^1 and the second map is the projection onto $F^0 L^1 / F^1 L^1$. Now we can proceed by looking at total degree 2. We have a filtration

$$0 = F^3 L^2 \subset F^2 L^2 \subset F^1 L^2 \subset F^0 L^2 = L^2$$

with associated graded pieces

$$E_{\infty}^{2,0} \cong F^2 L^2, \quad E_{\infty}^{1,1} \cong F^1 L^2 / F^2 L^2, \quad E_{\infty}^{0,2} \cong F^0 L^2 / F^1 L^2$$

Thus we have a short exact sequence

$$E_2^{2,0} \rightarrow \ker(L^2 \rightarrow E_2^{0,2}) \rightarrow E_2^{1,1} \xrightarrow{d_2} E_2^{3,0}$$

where the first map comes from the identification $\ker(L^2 \rightarrow E_2^{0,2}) = F^1 L^2$ and the second map is the projection onto $F^1 L^2 / F^2 L^2$. Splicing this with the previous exact sequence gives the long exact sequence

$$0 \rightarrow E_2^{1,0} \rightarrow L^1 \rightarrow E_2^{0,1} \xrightarrow{d_2} E_2^{2,0} \rightarrow \ker(L^2 \rightarrow E_2^{0,2}) \rightarrow E_2^{1,1} \xrightarrow{d_2} E_2^{3,0}$$

This is exactly the long exact sequence in the statement of Theorem 6.1 since $E^{3,0}$ vanishes. More generally, we can proceed by looking at total degree n and splicing the resulting short exact sequence with the previous long exact sequence to get the full long exact sequence.

$$\cdots \rightarrow E_2^{p,q} \rightarrow \ker(L^{p+q} \rightarrow E_2^{p-2,q+2}) \rightarrow E_2^{p-1,q+1} \xrightarrow{d_2} E_2^{p+1,q} \rightarrow \cdots$$

□

7 Serre duality

7.1 Extensions of vector bundles

Let

$$0 \rightarrow V' \rightarrow V \rightarrow V'' \rightarrow 0$$

be a short exact sequence of vector bundles on a compact Riemann surface R . On a small open set U we get an exact sequence of sheaves of sections

$$0 \rightarrow V'(U) \rightarrow V(U) \rightarrow V''(U) \rightarrow 0$$

which are all free $\mathcal{O}(U)$ -modules of finite rank. A splitting of this sequence is given by

$$s : V''(U) \rightarrow V(U)$$

a choice of free generators of $V''(U)$ lifted to $V(U)$, and can be thought of as a local choice of frame of V'' (i.e. a choice of inclusion $U \times \mathbb{C}^{\text{rank } V''} \rightarrow U \times \mathbb{C}^{\text{rank } V}$). Such a splitting always exists locally, however there may not exist a global splitting.

Example 7.1. Let $R = \mathbb{P}^1$ and consider the sequence

$$0 \rightarrow \mathcal{O} \rightarrow \mathcal{O}(0) \oplus \mathcal{O}(\infty) \rightarrow \mathcal{O}(0 + \infty) \rightarrow 0$$

where the maps are the diagonal inclusion and the subtraction map $(a, b) \mapsto a - b$. This sequence is exact.

Exactness is clear away from 0 and ∞ . Near 0, injectivity and surjectivity are clear. To see exactness in the middle, suppose (a, b) maps to zero. Then $a = b$ as meromorphic functions on \mathbb{P}^1 and a has no pole at 0, b has no pole at ∞ , so $a = b$ is a constant function. Therefore, (a, b) is in the image of the inclusion.

However this sequence is not split because

$$\mathcal{O}(1) \oplus \mathcal{O}(1) \not\cong \mathcal{O} \oplus \mathcal{O}(2)$$

because we can tensor by $\mathcal{O}(-2)$ and take global sections to see that the dimensions of global sections differ.

Theorem 7.2. An exact sequence of vector bundles on a compact Riemann surface

$$0 \rightarrow V' \rightarrow V \rightarrow V'' \rightarrow 0$$

determines a cohomology class in

$$H^1(R, \mathcal{H}om(V'', V')) \cong H^1(R, V' \otimes (V'')^*)$$

and the sequence splits if and only if this class is zero.

Example 7.3. In the previous example, we calculated the unique (up to "scale") nonsplit extension of $\mathcal{O}(2)$ by \mathcal{O} , which determines a cohomology class in

$$H^1(\mathbb{P}^1, \mathcal{O}(-2)) \cong \mathbb{C}$$

Addition of extensions corresponds to the operation

$$(A \rightarrow E \rightarrow B) + (A \rightarrow E' \rightarrow B) = A \rightarrow E \oplus_B E' \rightarrow B$$

which makes sense in any category with kernels and cokernels.

Proof. First we construct the class. Recall that we defined

$$H^1(R, V) = \Gamma(R, \mathcal{P}(V)) / \Gamma(R, \mathcal{M}(V))$$

There is a diagram of vector spaces

$$\begin{array}{ccccccc} 0 & \longrightarrow & \mathcal{M}(V')(R) & \longrightarrow & \mathcal{M}(V)(R) & \longrightarrow & \mathcal{M}(V'')(R) \longrightarrow 0 \\ & & \downarrow p' & & \downarrow p & & \downarrow p'' \\ 0 & \longrightarrow & \mathcal{P}(V')(R) & \longrightarrow & \mathcal{P}(V)(R) & \xrightarrow{Q} & \mathcal{P}(V'')(R) \longrightarrow 0 \end{array}$$

and since the top row is a sequence of finite dimensional vector spaces over $\mathcal{M}(R)$, we can product a splutting

$$s : \mathcal{M}(V'')(R) \rightarrow \mathcal{M}(V)(R)$$

This splitting in fact defines a splitting of sheaves

$$s : \mathcal{M}(V'') \rightarrow \mathcal{M}(V)$$

by restricting to smaller open sets.

Now observe that $p \circ s : \mathcal{O}(V'') \rightarrow \mathcal{P}(V)$ in fact lands in $\mathcal{P}(V')$ because

$$Q \circ p \circ s = p''$$

and p'' vanishes on $\mathcal{O}(V'')$. This gives a map $\mathcal{O}(V'') \rightarrow \mathcal{P}(V')$ of \mathcal{O} -modules. Dualizing, we get

$$1 \in \mathcal{O} \rightarrow \mathcal{P}(V' \otimes V''^\vee)$$

Changing s changes the result by a meromorphic section of V' . So its class in cohomlogy is well defined, independent of s . \square

Remark 7.4. On \mathbb{P}^1 , every vector bundle is a sum of line bundles and we still have nontrivial extensions. On higher genus Riemann surfaces, vector bundles are characterized by the Harder-Narasimhan filtration.

$$0 = V_0 \subset V_1 \subset \cdots \subset V_n = V$$

with successive quotients semistable and decreasing slopes. The extensions between the successive quotients are nontrivial in general.

In general, $0 \rightarrow V' \rightarrow V \rightarrow V'' \rightarrow 0$ we should expect that

$$\frac{\deg V''}{\text{rank } V''} \geq \frac{\deg V'}{\text{rank } V'}$$

and $H^1(R, \text{Hom}(V'', V'))$ is bigger if degree of V'' is large or degree of V' is small.

7.2 Serre duality

Let R be a compact Riemann surface, V vector bundle on R . We have the canonical bundle $K_R = \Omega_R^1$ of holomorphic one-forms on R .

Theorem 7.5 (Serre duality). *There is an isomorphism of vector spaces, functorial in R :*

$$\begin{aligned} H^0(R, V) &\cong H^1(R, K_R \otimes V^*)^* \\ H^1(R, V) &\cong H^0(R, K_R \otimes V^*)^* \end{aligned}$$

Functorial means that for $f : R \rightarrow S$ a nonconstant map of compact Riemann surfaces, we have the following commutative diagram:

$$\begin{array}{ccc} H^0(R, V) & \xrightarrow{\cong} & H^1(R, K_R \otimes V^*)^* \\ \downarrow f^* & & \downarrow f^* \\ H^0(S, V) & \xrightarrow{\cong} & H^1(S, K_S \otimes V^*)^* \end{array}$$

We will say a little bit more about the map on H^1 .

Proof. The global residue pairing

$$H^0(R, V) \times H^1(R, K_R \otimes V^*) \rightarrow \mathbb{C}$$

comes from a local residue pairing at a point $x \in R$.

$$\begin{aligned} \mathcal{O}(V)_x \otimes \mathcal{P}(K_R \otimes V^*)_x &\rightarrow \mathbb{C} \\ (\sigma, \tau) &\mapsto \text{Res}_x(\langle \sigma, \tau \rangle) \end{aligned}$$

In a local coordinate z near x , the pairing takes the form

$$z^n u \otimes \frac{v}{z^m} dz \mapsto \text{Res}_x \left(\frac{uv}{z^{m-n}} dz \right) = \begin{cases} u(x)v(x) & m = n + 1 \\ 0 & \text{otherwise} \end{cases}$$

Note that the holomorphic part of a differential has no residue so the map is defined on $\mathcal{P}(K_R \otimes V^*)_x$. The pairing is nondegenerate. The global residue pairing then is simply the sum of the local pairings over all points $x \in R$.

We will establish that this pairing induces an isomorphism on the level of cohomology in the case $R = \mathbb{P}^1$. There, it suffices to check the case $V = \mathcal{O}(n)$ for $n \in \mathbb{Z}$. Then we will reduce the general case to \mathbb{P}^1 by using the functoriality of the pairing and pushing forward along a branched cover $f : R \rightarrow \mathbb{P}^1$.

We check the isomorphism for $\mathcal{O}(n)$ on \mathbb{P}^1 for $n \geq -1$. Move to $\mathcal{O}(n\infty)$. Check the residue pairing at $z = 0$. May assume the principal parts are at 0 and that meromorphic functions have only poles at 0. In this case

$$\begin{aligned} H^0(\mathbb{P}^1, \mathcal{O}(n\infty)) &= \text{span}\{1, z, z^2, \dots, z^n\} \\ H^1(\mathbb{P}^1, K_{\mathbb{P}^1} \otimes \mathcal{O}(-n\infty)) &= \left\{ \frac{dz}{z}, \frac{dz}{z^2}, \dots, \frac{dz}{z^{n+1}} \right\} \end{aligned}$$

□

because by the principal parts argument $dz/z^{n+2} = z^{-n}dz/z^n$ is holomorphic at ∞ . The residue pairing is clear.

Now we can reduce to \mathbb{P}^1 . Let $f : R \rightarrow \mathbb{P}^1$ be a branched cover. We want to know what is the functorial isomorphism

$$H^1(R, K_R \otimes V^*) \rightarrow H^1(\mathbb{P}^1, K_{\mathbb{P}^1} \otimes f_* V^*)$$

Consider the isomorphisms

$$H^i(R; V) \cong H^i(\mathbb{P}^1; \pi_* V)$$

An isomorphism

$$f_*(K_R \otimes V^\vee) \cong K_{\mathbb{P}^1} \otimes (f_* V)^\vee$$

compatible with the residue pairings with V on R and $f_* V$ on \mathbb{P}^1 would complete the proof of duality. Now, by Lemma 3.10,

$$(f_* V)^\vee \cong f_*(V^\vee(B)),$$

so what we need is

$$f_*(K_R \otimes V^\vee) \cong K_{\mathbb{P}^1} \otimes (f_* V)^\vee$$

compatible with the residue pairings with V on R and f_*V on \mathbb{P}^1 would complete the proof of duality. We can restate this as an isomorphism

$$K_{\mathbb{P}^1}^{-1} \otimes f_*(K_R \otimes V^*) \cong f_*(V)^\vee$$

and by adjunction

$$f_*(K_R \otimes V^*) \cong f_*(f^*K_{\mathbb{P}^1}^{-1} \otimes K_R \otimes V^*)$$

Then we check that $K_R \otimes f^*K_{\mathbb{P}^1}^{-1} \cong \mathcal{O}(B)$ where B is the branch divisor of f .

Now, by Lemma 3.10,

$$(f_*V)^\vee \cong f_*(V^\vee(B)),$$

so what we need is

$$f_*(K_R \otimes V^\vee) \cong K_{\mathbb{P}^1} \otimes (f_*V)^\vee$$

The miracle that makes things match is that the pullback of differentials from \mathbb{P}^1 to R lands in $K_R(-B)$, and in fact generates the latter as an \mathcal{O} -module over R . That is, we are saying that (in a local model $w \mapsto z = w^n$ for π) the lift of the nonvanishing holomorphic differential dz has a zero of order exactly the branching index:

$$d(w^n) = nw^{n-1} dw.$$

So a local section of $K_R \otimes V^\vee$ can be written as dz times a local section of $V^\vee(B)$, which gives the desired isomorphism.

We can use the isomorphisms

$$f_*\mathcal{P}_x(V) \cong \mathcal{P}_{f(x)}(f_*V),$$

and the discussion of differentials at branch points, to check the compatibility of residue pairings on R and \mathbb{P}^1 : choose local coordinates in standard form and write the residue pairings in the Laurent basis. \square