

# Coherent sheaves and exceptional collections

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## Abstract

Coherent sheaves, vector bundles, and exceptional collections in algebraic geometry.

## Contents

<b>1</b>	<b>Preliminaries</b>	<b>2</b>
1.1	Schemes . . . . .	2
1.2	Commutative Algebra . . . . .	5
1.2.1	Associated Primes . . . . .	5
1.2.2	Primary decompositions . . . . .	6
1.3	Sheaves . . . . .	8
1.4	Dual Perspective: The Cotangent Sheaf . . . . .	10
<b>2</b>	<b>Examples of Non-Vector Bundle Coherent Sheaves</b>	<b>11</b>
2.1	Skyscraper Sheaf . . . . .	11
2.2	Tangent sheaf of nodal curve . . . . .	12
<b>3</b>	<b>Exceptional Collections in Derived Categories</b>	<b>14</b>
<b>4</b>	<b>Semiorthogonal Decompositions</b>	<b>14</b>
<b>5</b>	<b>The Splitting Problem and Beilinson’s Exceptional Collection</b>	<b>15</b>
5.1	The Splitting Problem . . . . .	15
5.2	Beilinson’s Exceptional Collection . . . . .	15

# 1 Preliminaries

## 1.1 Schemes

**Definition 1.1 (Closed and Non-closed Points).** Let  $X = \operatorname{Spec}(A)$  be an affine scheme.

1. A point  $p \in X$  is called a closed point if the corresponding prime ideal  $\mathfrak{p}$  is a maximal ideal of  $A$ .
2. A point  $p \in X$  is called a non-closed point if the corresponding prime ideal  $\mathfrak{p}$  is not maximal.
3. A generic point of an irreducible component of  $X$  corresponds to a minimal prime ideal of  $A$ .

**Example 1.2.** Consider  $X = \operatorname{Spec}(\mathbb{C}[x, y])$ , the affine plane over  $\mathbb{C}$ .

1. Closed points correspond to maximal ideals of the form  $(x - a, y - b)$  for  $a, b \in \mathbb{C}$ . These are the familiar points  $(a, b)$  in the complex plane.
2. Prime ideals like  $(x - 1)$  correspond to non-closed points. Geometrically, this represents the "generic point" of the vertical line  $x = 1$ .
3. The prime ideal  $(0)$  corresponds to the generic point of the entire plane.

**Remark 1.3.** For a scheme over a field  $k$ :

1. If  $k$  is algebraically closed (like  $\mathbb{C}$ ), the closed points of  $\operatorname{Spec}(k[x_1, \dots, x_n])$  correspond exactly to the  $n$ -tuples  $(a_1, \dots, a_n) \in k^n$ .
2. If  $k$  is not algebraically closed (like  $\mathbb{Q}$ ), there are additional closed points. For example, in  $\operatorname{Spec}(\mathbb{Q}[x])$ , the ideal  $(x^2 + 1)$  is maximal and corresponds to a closed point, even though it does not correspond to a rational value of  $x$ .

**Proposition 1.4.** Let  $X$  be a scheme of finite type over a field  $k$ . Then:

1. The closed points of  $X$  are dense in  $X$  (Zariski topology).
2. If  $X$  is irreducible, it has a unique generic point.
3. The closure of any point  $p \in X$  consists of  $p$  and all the specializations of  $p$ .

**Definition 1.5 (Stalk of the Structure Sheaf).** Let  $X$  be a scheme and  $p \in X$  a point. The stalk of

the structure sheaf  $\mathcal{O}_X$  at  $p$ , denoted  $\mathcal{O}_{X,p}$ , is defined as the direct limit:

$$\mathcal{O}_{X,p} = \varinjlim_{U \ni p} \mathcal{O}_X(U)$$

where the limit is taken over all open sets  $U$  containing the point  $p$ .

**Proposition 1.6.** Let  $X = \operatorname{Spec}(A)$  be an affine scheme and  $p \in X$  the point corresponding to a prime ideal  $\mathfrak{p} \subset A$ . Then:

$$\mathcal{O}_{X,p} \cong A_{\mathfrak{p}}$$

where  $A_{\mathfrak{p}}$  is the localization of  $A$  at the prime ideal  $\mathfrak{p}$ .

**Remark 1.7.** The stalk  $\mathcal{O}_{X,p}$  is always a local ring. Its unique maximal ideal, denoted  $\mathfrak{m}_p$ , consists of germs of functions that vanish at  $p$ .

**Example 1.8.** Let  $X = \operatorname{Spec}(\mathbb{C}[x, y])$  and  $p$  the origin (corresponding to the maximal ideal  $(x, y)$ ). Then:

$$\mathcal{O}_{X,p} \cong \mathbb{C}[x, y]_{(x,y)}$$

This is the ring of rational functions in  $x$  and  $y$  that are defined at the origin.

**Example 1.9.** Let  $X = \operatorname{Spec}(\mathbb{C}[x, y]/(xy))$ , a union of two coordinate axes, and  $p$  the origin. Then:

$$\mathcal{O}_{X,p} \cong \mathbb{C}[x, y]_{(x,y)}/(xy)$$

This local ring has zero divisors, reflecting the fact that  $p$  is a singular point of  $X$ .

**Definition 1.10 (Residue Field).** Let  $X$  be a scheme and  $p \in X$  a point. The residue field at  $p$ , denoted  $\kappa(p)$ , is defined as:

$$\kappa(p) = \mathcal{O}_{X,p}/\mathfrak{m}_p$$

where  $\mathfrak{m}_p$  is the maximal ideal of the local ring  $\mathcal{O}_{X,p}$ .

**Proposition 1.11.** Let  $X = \operatorname{Spec}(A)$  be an affine scheme and  $p \in X$  the point corresponding to a prime ideal  $\mathfrak{p} \subset A$ . Then:

$$\kappa(p) \cong \operatorname{Frac}(A/\mathfrak{p})$$

the fraction field of the domain  $A/\mathfrak{p}$ .

**Remark 1.12.** For a closed point  $p$  corresponding to a maximal ideal  $\mathfrak{m}$ , we have  $\kappa(p) \cong A/\mathfrak{m}$ , which is already a field.

**Example 1.13.** Let  $X = \text{Spec}(\mathbb{C}[x, y])$ .

1. For the closed point  $p$  corresponding to the maximal ideal  $(x - a, y - b)$ , the residue field is:

$$\kappa(p) \cong \mathbb{C}[x, y]/(x - a, y - b) \cong \mathbb{C}$$

2. For the non-closed point  $q$  corresponding to the prime ideal  $(x - a)$ , the residue field is:

$$\kappa(q) \cong \text{Frac}(\mathbb{C}[x, y]/(x - a)) \cong \mathbb{C}(y)$$

*the field of rational functions in one variable.*

3. For the generic point  $\eta$  corresponding to the prime ideal  $(0)$ , the residue field is:

$$\kappa(\eta) \cong \text{Frac}(\mathbb{C}[x, y]) \cong \mathbb{C}(x, y)$$

*the field of rational functions in two variables.*

**Example 1.14.** Let  $X = \text{Spec}(\mathbb{Q}[x])$ .

1. For the closed point  $p$  corresponding to the maximal ideal  $(x - a)$  where  $a \in \mathbb{Q}$ , the residue field is:

$$\kappa(p) \cong \mathbb{Q}[x]/(x - a) \cong \mathbb{Q}$$

2. For the closed point  $q$  corresponding to the maximal ideal  $(x^2 + 1)$ , the residue field is:

$$\kappa(q) \cong \mathbb{Q}[x]/(x^2 + 1) \cong \mathbb{Q}(i)$$

*which is a degree 2 extension of  $\mathbb{Q}$ .*

3. For the generic point  $\eta$  corresponding to the prime ideal  $(0)$ , the residue field is:

$$\kappa(\eta) \cong \text{Frac}(\mathbb{Q}[x]) \cong \mathbb{Q}(x)$$

**Definition 1.15 (Geometric Point).** A geometric point of a scheme  $X$  is a morphism  $\text{Spec}(K) \rightarrow X$ , where  $K$  is an algebraically closed field.

**Remark 1.16.** A geometric point can be thought of as a scheme-theoretic point together with an embedding of its residue field into an algebraically closed field.

**Proposition 1.17.** Let  $X$  be a scheme over a field  $k$ . If  $k$  is algebraically closed, then every closed point of  $X$  naturally gives rise to a geometric point. If  $k$  is not algebraically closed, this is not generally true.

**Example 1.18.** For  $X = \operatorname{Spec}(\mathbb{Q}[x])$ , the closed point corresponding to  $(x^2 + 1)$  has residue field  $\mathbb{Q}(i)$ . This gives two distinct geometric points when we consider embeddings of  $\mathbb{Q}(i)$  into  $\mathbb{C}$  (corresponding to  $i$  and  $-i$ ).

## 1.2 Commutative Algebra

### 1.2.1 Associated Primes

**Definition 1.19 (Support of a module).** Let  $A$  be a ring and  $M$  an  $A$ -module. The support of  $M$ , denoted  $\operatorname{Supp}(M)$ , is the set of prime ideals

$$\operatorname{Supp}(M) = \{\mathfrak{p} \in \operatorname{Spec}(A) \mid M_{\mathfrak{p}} \neq 0\}$$

**Definition 1.20 (Annihilator of a module).** Let  $A$  be a ring and  $M$  an  $A$ -module. The annihilator of  $M$ , denoted  $\operatorname{Ann}(M)$ , is the ideal of elements

$$\operatorname{Ann}(M) = \{a \in A \mid a \cdot m = 0 \text{ for all } m \in M\}$$

**Proposition 1.21.** Let  $A$  be a ring and  $M$  an  $A$ -module. Then

$$\operatorname{Supp}(M) = V(\operatorname{Ann}(M)) = \{\mathfrak{p} \in \operatorname{Spec}(A) \mid \operatorname{Ann}(M) \subset \mathfrak{p}\}$$

In particular, the support of  $M$  is a closed subset of  $\operatorname{Spec}(A)$ .

*Proof.* First we show that if  $\operatorname{Ann}(M) \subset \mathfrak{p}$ , then  $M_{\mathfrak{p}} \neq 0$ . Annihilators behave well with respect to localization.

$$\begin{aligned} \operatorname{Ann}_{A_{\mathfrak{p}}}(M_{\mathfrak{p}}) &= \{a/s \in A_{\mathfrak{p}} \mid a/s \cdot m/s = 0 \text{ for all } m/s \in M_{\mathfrak{p}}\} \\ &= \{a/s \in A_{\mathfrak{p}} \mid a \cdot m = 0 \text{ for all } m \in M\} \\ &= \operatorname{Ann}(M)A_{\mathfrak{p}} \subset \mathfrak{p}A_{\mathfrak{p}} \end{aligned}$$

In particular,  $\operatorname{Ann}_{A_{\mathfrak{p}}}(M_{\mathfrak{p}})$  is proper. If  $M_{\mathfrak{p}} = 0$ , then  $\operatorname{Ann}_{A_{\mathfrak{p}}}(M_{\mathfrak{p}}) = A_{\mathfrak{p}}$  would be the whole ring.

Conversely, if  $\operatorname{Ann}_A(M) \not\subset \mathfrak{p}$ , then  $M_{\mathfrak{p}} = 0$ . There exists some  $a \in \operatorname{Ann}_A(M)$  such that  $a \notin \mathfrak{p}$ . When we localize at  $\mathfrak{p}$ ,  $a$  becomes invertible since  $a \notin \mathfrak{p}$ . Consider any element  $m/s \in M_{\mathfrak{p}}$ . We have  $a \cdot m = 0$  in  $M$ , so  $a \cdot m/s = 0$  in  $M_{\mathfrak{p}}$ . But  $a$  is invertible, so  $m/s = 0$  in  $M_{\mathfrak{p}}$ . Therefore  $M_{\mathfrak{p}} = 0$ .  $\square$

**Remark 1.22.** There is a fundamental dictionary between commutative algebra and algebraic geometry:

$$\mathfrak{p} \in \operatorname{Supp}(M) \iff M_{\mathfrak{p}} \neq 0 \iff \operatorname{Ann}(M) \subset \mathfrak{p} \iff \mathfrak{p} \in V(\operatorname{Ann}(M))$$

**Definition 1.23 (Associated Primes).** Let  $A$  be a ring and  $M$  an  $A$ -module. An associated prime of  $M$  is a prime ideal of the form  $\text{Ann}(m)$  for some  $m \in M$ .

**Theorem 1.24 (Associated Primes of a Module).** If  $I$  is an ideal in a Noetherian ring  $R$  and  $I$  is contained in all associated primes of a finitely generated module  $M$ , then  $I^n M = 0$  for some positive integer  $n$ .

*Proof.* 1. The associated primes of  $M$  are finite in number:  $\text{Ass}(M) = \{\mathfrak{p}_1, \mathfrak{p}_2, \dots, \mathfrak{p}_r\}$

2. The radical of the annihilator equals the intersection of all associated primes:

$$\sqrt{\text{Ann}(M)} = \mathfrak{p}_1 \cap \mathfrak{p}_2 \cap \dots \cap \mathfrak{p}_r$$

If  $I \subseteq \mathfrak{p}_i$  for all  $i$ , then  $I \subseteq \sqrt{\text{Ann}(M)}$ . This means every element  $f \in I$  satisfies  $f^{n_f} \in \text{Ann}(M)$  for some  $n_f$ . Since  $I$  is finitely generated, we can find a uniform  $N$  such that  $I^N \subseteq \text{Ann}(M)$ . Therefore,  $I^N M = 0$ .  $\square$

To prove the first two statements, we need to turn to the theory of primary decompositions.

### 1.2.2 Primary decompositions

Primary decomposition generalizes the unique factorization of integers into prime powers. In a ring, it allows us to express ideals as intersections of simpler ideals called primary ideals.

**Definition 1.25 (Prime, Primary Ideal).** An ideal  $\mathfrak{p}$  in a ring  $R$  is prime if:

- (i)  $\mathfrak{p} \neq R$
- (ii) For any  $a, b \in R$ , if  $ab \in \mathfrak{p}$ , then either  $a \in \mathfrak{p}$  or  $b \in \mathfrak{p}$

An ideal  $\mathfrak{q}$  in a ring  $R$  is primary if:

- (i)  $\mathfrak{q} \neq R$
- (ii) For any  $a, b \in R$ , if  $ab \in \mathfrak{q}$  and  $a \notin \mathfrak{q}$ , then  $b^n \in \mathfrak{q}$  for some positive integer  $n$

Every primary ideal  $\mathfrak{q}$  has an associated prime ideal  $\mathfrak{p} = \sqrt{\mathfrak{q}}$  (the radical of  $\mathfrak{q}$ ). We say  $\mathfrak{q}$  is  $\mathfrak{p}$ -primary.

**Theorem 1.26 (Primary Decomposition Theorem).** In a Noetherian ring  $R$ , every ideal  $I$  can be

expressed as a finite intersection of primary ideals:

$$I = \mathfrak{q}_1 \cap \mathfrak{q}_2 \cap \cdots \cap \mathfrak{q}_n$$

where each  $\mathfrak{q}_i$  is a primary ideal with associated prime  $\mathfrak{p}_i = \sqrt{\mathfrak{q}_i}$ .

**Definition 1.27 (Minimal Primary Decomposition).** A primary decomposition is called minimal if:

- (i) The associated primes  $\mathfrak{p}_i = \sqrt{\mathfrak{q}_i}$  are all distinct
- (ii) No  $\mathfrak{q}_i$  can be removed from the intersection without changing the ideal  $I$

**Proposition 1.28.** In a minimal primary decomposition, the set of associated primes  $\{\mathfrak{p}_1, \mathfrak{p}_2, \dots, \mathfrak{p}_n\}$  is uniquely determined by the ideal  $I$ .

The theory extends naturally to modules over Noetherian rings.

**Theorem 1.29.** For a submodule  $N$  of a module  $M$  over a Noetherian ring  $R$ , we can find a primary decomposition:

$$N = N_1 \cap N_2 \cap \cdots \cap N_n$$

where each  $N_i$  is a  $\mathfrak{p}_i$ -primary submodule of  $M$ . This means  $M/N_i$  has the property that for each  $r \in R$  and  $m + N_i \in M/N_i$ , if  $r(m + N_i) = 0 + N_i$  and  $r \notin \mathfrak{p}_i$ , then  $(m + N_i)^n = 0 + N_i$  for some  $n > 0$ .

When considering the zero submodule  $(0)$  in  $M$ , and its minimal primary decomposition

$$(0) = N_1 \cap N_2 \cap \cdots \cap N_n$$

the associated primes of this decomposition are exactly the associated primes of  $M$ .

**Theorem 1.30.** In a Noetherian ring, the set of associated primes of a finitely generated module  $M$  is finite.

*Proof.* The finiteness follows directly from:

- (1) The Noetherian property ensures every ideal has a finite primary decomposition
- (2) The associated primes of a module  $M$  correspond to the primes in the primary decomposition of the zero submodule  $(0)$  in  $M$

(3) Therefore,  $\text{Ass}(M) = \{\mathfrak{p}_1, \mathfrak{p}_2, \dots, \mathfrak{p}_r\}$  is finite

□

**Theorem 1.31.** *For a finitely generated module  $M$  over a Noetherian ring  $R$  with associated primes  $\text{Ass}(M) = \{\mathfrak{p}_1, \mathfrak{p}_2, \dots, \mathfrak{p}_r\}$ , the following equality holds:*

$$\sqrt{\text{Ann}(M)} = \mathfrak{p}_1 \cap \mathfrak{p}_2 \cap \dots \cap \mathfrak{p}_r$$

*Proof.* This equality follows from:

- (1) The primary decomposition of  $(0)$  in  $M$  as  $(0) = N_1 \cap N_2 \cap \dots \cap N_r$  where each  $N_i$  is  $\mathfrak{p}_i$ -primary
- (2) An element  $a \in R$  annihilates  $M$  completely if and only if  $aM \subseteq N_1 \cap N_2 \cap \dots \cap N_r = (0)$
- (3) If  $a \in \mathfrak{p}_1 \cap \mathfrak{p}_2 \cap \dots \cap \mathfrak{p}_r$ , then some power of  $a$  lies in each  $\mathfrak{q}_i$  (the primary ideals associated with the  $N_i$ ), which means some power of  $a$  annihilates  $M$ , so  $a \in \sqrt{\text{Ann}(M)}$
- (4) Conversely, if  $a \in \sqrt{\text{Ann}(M)}$ , then some power of  $a$  annihilates  $M$ , which means  $a$  belongs to each  $\mathfrak{p}_i$

This establishes the equality  $\sqrt{\text{Ann}(M)} = \mathfrak{p}_1 \cap \mathfrak{p}_2 \cap \dots \cap \mathfrak{p}_r$ . □

## 1.3 Sheaves

**Definition 1.32 (Quasi-Coherent Sheaf).** *Let  $X$  be a scheme. A sheaf  $\mathcal{F}$  of  $\mathcal{O}_X$ -modules is called quasi-coherent if for every open subset  $U \subset X$ , there exists a covering  $\{U_i\}$  of  $U$  and a family of  $\mathcal{O}_{U_i}$ -modules  $\mathcal{F}_i$  such that for each  $i$ , there exists an isomorphism  $\mathcal{F}|_{U_i} \cong \mathcal{F}_i$ .*

**Definition 1.33 (Coherent Sheaf).** *Let  $X$  be a scheme. A quasi-coherent sheaf  $\mathcal{F}$  of  $\mathcal{O}_X$ -modules is called coherent if:*

1.  $\mathcal{F}$  is of finite type, i.e., for every open subset  $U \subset X$ , there exists a surjection  $\mathcal{O}_U^{\oplus n} \rightarrow \mathcal{F}|_U \rightarrow 0$  for some integer  $n$ .
2. For any open set  $U \subset X$  and any morphism  $\varphi : \mathcal{O}_U^{\oplus n} \rightarrow \mathcal{F}|_U$  of  $\mathcal{O}_U$ -modules, the kernel  $\ker \varphi$  is of finite type.

**Definition 1.34 (Support of a Sheaf).** *Let  $X$  be a scheme and  $\mathcal{F}$  a sheaf of  $\mathcal{O}_X$ -modules. The*



support of  $\mathcal{F}$ , denoted  $\text{Supp}(\mathcal{F})$ , is the set of points  $x \in X$  where the stalk  $\mathcal{F}_x$  is non-zero:

$$\text{Supp}(\mathcal{F}) = \{x \in X \mid \mathcal{F}_x \neq 0\}$$

**Proposition 1.35.** *For a coherent sheaf  $\mathcal{F}$  on a scheme  $X$ :*

1.  $\text{Supp}(\mathcal{F})$  is a closed subset of  $X$ .
2. If  $X$  is Noetherian, then  $\text{Supp}(\mathcal{F})$  equals the set of points where the fiber  $\mathcal{F}_x \otimes_{\mathcal{O}_{X,x}} \kappa(x)$  is non-zero.
3. For an affine scheme  $X = \text{Spec}(A)$  and  $\mathcal{F} = \widetilde{M}$  corresponding to an  $A$ -module  $M$ , the support of  $\mathcal{F}$  corresponds to  $\{\mathfrak{p} \in \text{Spec}(A) \mid M_{\mathfrak{p}} \neq 0\}$ .

**Remark 1.36.** *On a noetherian scheme, a sheaf of  $\mathcal{O}_X$ -modules is coherent if and only if it is of finite type.*

**Definition 1.37 (Vector Bundle).** *A vector bundle of rank  $r$  on a scheme  $X$  is a coherent sheaf  $\mathcal{E}$  on  $X$  that is locally free of rank  $r$ , i.e., for every point  $x \in X$ , there exists an open neighborhood  $U$  of  $x$  such that  $\mathcal{E}|_U \cong \mathcal{O}_U^{\oplus r}$ .*

**Definition 1.38 (Torsion Sheaf).** *A coherent sheaf  $\mathcal{F}$  on a scheme  $X$  is called a torsion sheaf if for any open affine subset  $\text{Spec}(A) \subset X$ , the corresponding  $A$ -module  $\Gamma(\text{Spec}(A), \mathcal{F})$  is a torsion  $A$ -module. If  $X$  is locally noetherian, then equivalently  $\mathcal{F}$  is a torsion sheaf if its support is a proper closed subset of  $X$ .*

*Proof.* Suppose  $\mathcal{F}$  is a coherent sheaf on  $X$  with support that is a proper closed subset of  $X$ . Let  $\text{Spec}(A) \subset X$  be an affine open subset. We want to show that  $\Gamma(\text{Spec}(A), \mathcal{F})$  is a torsion  $A$ -module. Since  $\text{Supp}(\mathcal{F})$  is a proper closed subset of  $X$ , its intersection with  $\text{Spec}(A)$  is a proper closed subset of  $\text{Spec}(A)$ . This means there exists a non-zero ideal  $I \subset A$  such that  $V(I)$  contains  $\text{Supp}(\mathcal{F}) \cap \text{Spec}(A)$ .

Algebraically, this means  $I$  is contained in all prime ideals  $P \in \text{Supp}(\mathcal{F}) \cap \text{Spec}(A)$ . The associated primes of  $\Gamma(\text{Spec}(A), \mathcal{F})$  are contained in  $\text{Supp}(\mathcal{F}) \cap \text{Spec}(A)$ , so  $I$  is contained in all associated primes of  $\Gamma(\text{Spec}(A), \mathcal{F})$ . Since  $A$  is Noetherian ( $X$  has to be locally noetherian), and  $I$  is contained in all associated primes of the finitely generated  $A$ -module  $\Gamma(\text{Spec}(A), \mathcal{F})$ , by Theorem 1.24, there exists some  $n > 0$  such that:

$$I^n \cdot \Gamma(\text{Spec}(A), \mathcal{F}) = 0$$

This shows that  $\Gamma(\text{Spec}(A), \mathcal{F})$  is a torsion  $A$ -module.

Conversely, suppose for every affine open  $\text{Spec}(A) \subset X$ , the  $A$ -module  $\Gamma(\text{Spec}(A), \mathcal{F})$  is a torsion module. For each  $m \in \Gamma(\text{Spec}(A), \mathcal{F})$ , there exists a non-zero element  $a_m \in A$  such that  $a_m \cdot m = 0$ . Let  $I$  be the ideal generated by all such  $a_m$  for all  $m \in \Gamma(\text{Spec}(A), \mathcal{F})$ . By construction,  $I$  is non-zero and  $I \cdot \Gamma(\text{Spec}(A), \mathcal{F}) = 0$ . This means  $V(I)$  contains  $\text{Supp}(\mathcal{F}) \cap \text{Spec}(A)$ . Since  $I$  is non-zero,  $V(I)$  is a proper closed subset of  $\text{Spec}(A)$ . Therefore, the support of  $\mathcal{F}$  restricted to  $\text{Spec}(A)$  is a proper closed subset. Since this holds for all affine opens covering  $X$ , we conclude that  $\text{Supp}(\mathcal{F})$  is a proper closed subset of  $X$ , making  $\mathcal{F}$  a torsion sheaf.  $\square$

**Definition 1.39 (Points of a Scheme).** *Let  $X = \text{Spec}(A)$  be an affine scheme. The points of  $X$  are in one-to-one correspondence with the prime ideals of  $A$ . Given a prime ideal  $\mathfrak{p} \subset A$ , we denote the corresponding point by  $p_{\mathfrak{p}}$ , or simply  $p$  when the context is clear.*

To unpack this example, we recall how to work with the tangent sheaf of an affine variety.

**Definition 1.40.** *Let  $X$  be a variety over a field  $k$ . The **tangent sheaf** of  $X$ , denoted  $\mathcal{T}_X$ , is defined as the dual of the sheaf of differentials:*

$$\mathcal{T}_X = \mathcal{H}om_{\mathcal{O}_X}(\Omega_X^1, \mathcal{O}_X) \quad (1)$$

For an affine variety  $X = \text{Spec}(R)$  where  $R = k[x_1, \dots, x_n]/I$ , the tangent sheaf has a concrete description in terms of derivations.

**Proposition 1.41.** *Let  $X = \text{Spec}(R)$  be an affine variety. Then the global sections of the tangent sheaf correspond to the  $R$ -module of  $k$ -derivations of  $R$ :*

$$\Gamma(X, \mathcal{T}_X) \cong \text{Der}_k(R, R) \quad (2)$$

**Definition 1.42.** *A  $k$ -derivation on a  $k$ -algebra  $R$  is a  $k$ -linear map  $D : R \rightarrow R$  satisfying the Leibniz rule:*

$$D(fg) = fD(g) + gD(f) \quad \text{for all } f, g \in R \quad (3)$$

**Proposition 1.43.** *The tangent sheaf  $\mathcal{T}_X$  of any variety  $X$  is a coherent sheaf of  $\mathcal{O}_X$ -modules.*

**Proposition 1.44.** *For a smooth variety  $X$ , the tangent sheaf  $\mathcal{T}_X$  is locally free of rank equal to the dimension of  $X$ .*

## 1.4 Dual Perspective: The Cotangent Sheaf

For completeness, we briefly discuss the dual perspective in terms of the cotangent sheaf.

**Definition 1.45.** The *cotangent sheaf* or *sheaf of differentials*  $\Omega_X^1$  of a variety  $X$  over  $k$  is the sheaf associated to the presheaf  $U \mapsto \Omega_{\mathcal{O}_X(U)/k}^1$ , where  $\Omega_{A/k}^1$  denotes the module of Kähler differentials of a  $k$ -algebra  $A$ .

**Proposition 1.46.** For an affine variety  $X = \text{Spec}(R)$  where  $R = k[x_1, \dots, x_n]/I$ , the module of Kähler differentials can be described as:

$$\Omega_{R/k}^1 \cong \frac{R \cdot dx_1 \oplus \dots \oplus R \cdot dx_n}{\{df \mid f \in I\}} \quad (4)$$

## 2 Examples of Non-Vector Bundle Coherent Sheaves

### 2.1 Skyscraper Sheaf

**Example 2.1 (Skyscraper Sheaf).** Let  $X$  be a scheme and  $p \in X$  a point. The skyscraper sheaf  $\mathcal{O}_p$  is a coherent sheaf defined as:

$$\mathcal{O}_p(U) = \begin{cases} \kappa(p) & \text{if } p \in U \\ 0 & \text{if } p \notin U \end{cases}$$

The residue field  $\kappa(p)$  is a module over several rings. In particular, we can see that it is coherent because it is generated by a single element over the ring at hand.

- $\mathcal{O}_{X,p}$ -module via the natural quotient map  $\mathcal{O}_{X,p} \rightarrow \mathcal{O}_{X,p}/\mathfrak{m}_p$
- $\mathcal{O}_X(U)$ -module for any open set  $U$  containing  $p$
- For affine opens  $U = \text{Spec}(A)$  containing  $p$ , it's an  $A$ -module
  - If  $p$  corresponds to the prime ideal  $\mathfrak{p} \subset A$
  - Then  $\kappa(p) \cong A_{\mathfrak{p}}/\mathfrak{p}A_{\mathfrak{p}} \cong \text{Frac}(A/\mathfrak{p})$
  - The action is via  $A \rightarrow A/\mathfrak{p} \rightarrow \text{Frac}(A/\mathfrak{p})$

It is not a vector bundle because:

- It fails to be locally free at all points. It is a torsion sheaf: any function vanishing at  $p$  annihilates the entire sheaf.
- Its support is just the single point  $\{p\}$ , whereas vector bundles have support equal to  $X$ .

## 2.2 Tangent sheaf of nodal curve

**Example 2.2** (Tangent Sheaf of a Singular Variety). *For a singular variety  $X$ , the tangent sheaf  $\mathcal{T}_X$  is coherent but not a vector bundle because:*

- *At smooth points  $x \in X$ , the sheaf is locally free of rank  $\dim X$ .*
- *At singular points, the stalk  $(\mathcal{T}_X)_x$  fails to be a free  $\mathcal{O}_{X,x}$ -module.*
- *For example, on a nodal curve, the tangent sheaf at the node has torsion.*

Consider the nodal curve  $X = \operatorname{Spec} R = \operatorname{Spec} k[x, y]/(y^2 - x^2)$ . The curve  $X$  has a singularity (node) at the origin, where the two branches (given by  $y = x$  and  $y = -x$ ) intersect. We work with derivations on the quotient ring  $R = k[x, y]/(y^2 - x^2)$ .

**Lemma 2.3.** *Any  $k$ -derivation  $D$  on the polynomial ring  $k[x, y]$  can be written uniquely as*

$$D = a \frac{\partial}{\partial x} + b \frac{\partial}{\partial y} \quad (5)$$

*for some  $a, b \in k[x, y]$ , where  $\frac{\partial}{\partial x}$  and  $\frac{\partial}{\partial y}$  are the standard partial derivatives.*

*Proof.* A derivation  $D$  on  $k[x, y]$  is completely determined by its values on the generators  $x$  and  $y$ . Setting  $a = D(x)$  and  $b = D(y)$ , we can verify that  $D = a \frac{\partial}{\partial x} + b \frac{\partial}{\partial y}$  by the Leibniz rule and linearity of  $D$ .  $\square$

For a derivation on  $k[x, y]$  to induce a well-defined derivation on  $R = k[x, y]/(y^2 - x^2)$ , it must preserve the ideal  $(y^2 - x^2)$ . This constrains the possible derivations.

**Proposition 2.4.** *Let  $\bar{x}$  and  $\bar{y}$  denote the images of  $x$  and  $y$  in the quotient ring  $R = k[x, y]/(y^2 - x^2)$ . A  $k$ -derivation  $D$  on  $R$  is determined by its values  $D(\bar{x}) = \bar{a}$  and  $D(\bar{y}) = \bar{b}$ , where  $\bar{a}, \bar{b} \in R$  are subject to the constraint:*

$$\bar{y} \cdot \bar{b} = \bar{x} \cdot \bar{a} \quad (6)$$

*Proof.* Since  $\bar{y}^2 = \bar{x}^2$  in  $R$ , applying the derivation  $D$  to both sides and using the Leibniz rule, we get:

$$D(\bar{y}^2) = D(\bar{x}^2) \quad (7)$$

$$2\bar{y} \cdot D(\bar{y}) = 2\bar{x} \cdot D(\bar{x}) \quad (8)$$

$$2\bar{y} \cdot \bar{b} = 2\bar{x} \cdot \bar{a} \quad (9)$$

Simplifying, we obtain  $\bar{y} \cdot \bar{b} = \bar{x} \cdot \bar{a}$ .  $\square$

**Theorem 2.5.** *The tangent sheaf  $\mathcal{T}_X$  of the nodal curve  $X$  defined by  $y^2 = x^2$  can be described as:*

$$\mathcal{T}_X \cong \{(\bar{a}, \bar{b}) \in R \times R \mid \bar{y} \cdot \bar{b} = \bar{x} \cdot \bar{a}\} \quad (10)$$

where each pair  $(\bar{a}, \bar{b})$  corresponds to the derivation  $D = \bar{a} \frac{\partial}{\partial \bar{x}} + \bar{b} \frac{\partial}{\partial \bar{y}}$ .

**Remark 2.6.** *This description shows that  $\mathcal{T}_X$  is a submodule of the free  $R$ -module  $R \times R$ , defined by a single linear constraint.*

To show that the tangent sheaf is not locally free at the node, we need to identify torsion elements.

**Proposition 2.7.** *The tangent sheaf  $\mathcal{T}_X$  has torsion at the node, proving it is not a free  $R$ -module.*

*Proof.* Consider the element  $v = (\bar{y} + \bar{x}, \bar{y} + \bar{x}) \in \mathcal{T}_X$ . This corresponds to the derivation  $(\bar{y} + \bar{x}) \frac{\partial}{\partial \bar{x}} + (\bar{y} + \bar{x}) \frac{\partial}{\partial \bar{y}}$ .

First, let's verify that  $v \in \mathcal{T}_X$  by checking the constraint:

$$\bar{y}(\bar{y} + \bar{x}) = \bar{x}(\bar{y} + \bar{x}) \quad (11)$$

$$\bar{y}^2 + \bar{x}\bar{y} = \bar{x}\bar{y} + \bar{x}^2 \quad (12)$$

Using the relation  $\bar{y}^2 = \bar{x}^2$ , this equation is satisfied.

Now, let's multiply  $v$  by the element  $f = \bar{y} - \bar{x} \in R$ :

$$f \cdot v = (\bar{y} - \bar{x}) \cdot (\bar{y} + \bar{x}, \bar{y} + \bar{x}) \quad (13)$$

$$= ((\bar{y} - \bar{x})(\bar{y} + \bar{x}), (\bar{y} - \bar{x})(\bar{y} + \bar{x})) \quad (14)$$

$$= (\bar{y}^2 - \bar{x}^2, \bar{y}^2 - \bar{x}^2) \quad (15)$$

Since  $\bar{y}^2 = \bar{x}^2$  in  $R$ , we have  $f \cdot v = (0, 0)$ . This demonstrates that  $v$  is a non-zero element of the tangent sheaf that is annihilated by the non-zero element  $f$ , confirming that  $\mathcal{T}_X$  has torsion at the node.  $\square$

**Remark 2.8 (Geometric Interpretation).** *The element  $v = (\bar{y} + \bar{x}, \bar{y} + \bar{x})$  corresponds to a derivation that acts as the standard tangent vector along the component  $y = x$  but vanishes along the component  $y = -x$ . The element  $f = \bar{y} - \bar{x}$  that annihilates this derivation is precisely the function that defines the component where the derivation doesn't vanish.*

This reflects the fact that at the node, we have two distinct tangent directions (corresponding to the two branches of the curve), and a vector field can act along one branch while vanishing along the other. Such vector fields cannot be part of a free basis for the tangent sheaf, as they are annihilated by functions that distinguish between the branches.

### 3 Exceptional Collections in Derived Categories

**Definition 3.1 (Exceptional Object).** An object  $E$  in a derived category  $D^b(X)$  is called exceptional if:

1.  $\text{Hom}(E, E) \cong k$  (the base field)
2.  $\text{Hom}(E, E[n]) = 0$  for all  $n \neq 0$

**Definition 3.2 (Exceptional Collection).** An exceptional collection in  $D^b(X)$  is an ordered sequence of exceptional objects  $\{E_1, E_2, \dots, E_n\}$  such that:

$$\text{Hom}(E_j, E_i[m]) = 0 \quad \text{for all } j > i \text{ and all } m \in \mathbb{Z}$$

**Definition 3.3 (Full Exceptional Collection).** An exceptional collection  $\{E_1, E_2, \dots, E_n\}$  in  $D^b(X)$  is called full if the objects generate the derived category. Formally, this means the smallest triangulated subcategory of  $D^b(X)$  containing the collection and closed under direct sums and direct summands is  $D^b(X)$  itself.

Equivalently, for any object  $Y \in D^b(X)$ , if  $\text{Hom}(E_i[m], Y) = 0$  for all  $i = 1, 2, \dots, n$  and all  $m \in \mathbb{Z}$ , then  $Y \cong 0$ .

**Definition 3.4 (Strong Exceptional Collection).** An exceptional collection  $\{E_1, E_2, \dots, E_n\}$  is called strong if:

$$\text{Hom}(E_i, E_j[m]) = 0 \quad \text{for all } i, j \text{ and all } m \neq 0$$

### 4 Semiorthogonal Decompositions

**Definition 4.1 (Semiorthogonal Decomposition).** A semiorthogonal decomposition of a triangulated category  $\mathcal{T}$  is a sequence of full triangulated subcategories  $\mathcal{A}_1, \mathcal{A}_2, \dots, \mathcal{A}_n$  such that:

1. For any objects  $A_i \in \mathcal{A}_i$  and  $A_j \in \mathcal{A}_j$  with  $i > j$ , we have  $\text{Hom}(A_i, A_j) = 0$ .
2. For any object  $T \in \mathcal{T}$ , there exists a unique sequence of morphisms:

$$0 = T_n \rightarrow T_{n-1} \rightarrow \dots \rightarrow T_1 \rightarrow T_0 = T$$

such that the cone of each morphism  $T_i \rightarrow T_{i-1}$  lies in  $\mathcal{A}_i$  for  $i = 1, 2, \dots, n$ .

We denote this by  $\mathcal{T} = \langle \mathcal{A}_1, \mathcal{A}_2, \dots, \mathcal{A}_n \rangle$ .

**Proposition 4.2.** *A full exceptional collection  $\{E_1, E_2, \dots, E_n\}$  in  $D^b(X)$  gives rise to a semiorthogonal decomposition:*

$$D^b(X) = \langle \mathcal{A}_1, \mathcal{A}_2, \dots, \mathcal{A}_n \rangle$$

where  $\mathcal{A}_i$  is the triangulated subcategory generated by  $E_i$ .

## 5 The Splitting Problem and Beilinson's Exceptional Collection

### 5.1 The Splitting Problem

The splitting problem in algebraic geometry asks: When is a vector bundle on a variety isomorphic to a direct sum of line bundles?

**Theorem 5.1 (Grothendieck).** *Every vector bundle on  $\mathbb{P}^1$  splits as a direct sum of line bundles:*

$$\mathcal{E} \cong \bigoplus_{i=1}^r \mathcal{O}(a_i)$$

for some integers  $a_1, a_2, \dots, a_r$ .

However, for projective spaces of higher dimension, the situation is different:

**Theorem 5.2.** *For  $n \geq 2$ , there exist vector bundles on  $\mathbb{P}^n$  that do not split as direct sums of line bundles.*

**Example 5.3.** *The tangent bundle  $T\mathbb{P}^n$  is a non-split vector bundle on  $\mathbb{P}^n$  for  $n \geq 2$ . It fits into the Euler sequence:*

$$0 \rightarrow \mathcal{O} \rightarrow \mathcal{O}(1)^{n+1} \rightarrow T\mathbb{P}^n \rightarrow 0$$

*This sequence is non-split, as it represents a non-zero element in the group  $\text{Ext}^1(T\mathbb{P}^n, \mathcal{O})$ .*

### 5.2 Beilinson's Exceptional Collection

**Theorem 5.4 (Beilinson).** *The collection  $\{\mathcal{O}, \mathcal{O}(1), \mathcal{O}(2), \dots, \mathcal{O}(n)\}$  is a full exceptional collection in  $D^b(\mathbb{P}^n)$ .*

This has several important consequences:

1. Every coherent sheaf (or complex of coherent sheaves) on  $\mathbb{P}^n$  can be reconstructed from its "cohomological information" with respect to this collection.
2. The Grothendieck group  $K_0(\mathbb{P}^n)$  is a free abelian group of rank  $n + 1$  with basis given by the classes  $[\mathcal{O}], [\mathcal{O}(1)], \dots, [\mathcal{O}(n)]$ . This means that for any coherent sheaf  $\mathcal{F}$  on  $\mathbb{P}^n$ , its class in  $K_0(\mathbb{P}^n)$  can be written uniquely as a integer linear combination of these classes:

$$[\mathcal{F}] = a_0[\mathcal{O}] + a_1[\mathcal{O}(1)] + \dots + a_n[\mathcal{O}(n)]$$

**Remark 5.5.** *The fact that any coherent sheaf can be written as a linear combination of  $[\mathcal{O}(i)]$  in  $K_0(\mathbb{P}^n)$  does not imply that every vector bundle on  $\mathbb{P}^n$  splits as a direct sum of line bundles.*

*In particular the tangent bundle  $T\mathbb{P}^n$  has class:*

$$[T\mathbb{P}^n] = (n + 1)[\mathcal{O}(1)] - [\mathcal{O}]$$

*in  $K_0(\mathbb{P}^n)$ , but this does not mean  $T\mathbb{P}^n \cong \mathcal{O}(1)^{\oplus(n+1)} \oplus \mathcal{O}^{\oplus(-1)}$ , which is not even meaningful for a negative exponent.*

*The obstruction to a vector bundle splitting is measured by extension groups  $\text{Ext}^1$ , which precisely capture the non-splitting of exact sequences.*