

# Loop groups

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## Abstract

These are reading notes for the book "Loop Groups" by Pressley and Segal.

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# 1 Introduction

**Definition 1.1 (Infinite dimensional Lie groups).** An **infinite dimensional Lie group** is a group  $\Gamma$  which is at the same time an infinite dimensional smooth manifold, and is such that the composition law  $\Gamma \times \Gamma \rightarrow \Gamma$  and the operation of inversion  $\Gamma \rightarrow \Gamma$  are given by smooth maps. The tangent space to  $\Gamma$  at the identity element is its Lie algebra, the bracket being defined by identifying tangent vectors at the identity element with left-invariant vector fields on  $\Gamma$ . If for each element  $\xi$  of the Lie algebra there is a unique one-parameter subgroup

$$\gamma_\xi : \mathbb{R} \rightarrow \Gamma$$

such that  $\gamma'_\xi(0) = \xi$ , then the exponential map is defined. This is the case in all known examples.

**Example 1.2.** The simplest example of an infinite dimensional Lie group is the group  $\text{Map}_{\text{cts}}(X; G)$  of all continuous maps from a compact space  $X$  to a finite dimensional Lie group  $G$ . (The group law, of course, is pointwise composition in  $G$ .) The natural topology on  $\text{Map}_{\text{cts}}(X; G)$  is the topology of uniform convergence. We see that it is a smooth manifold as follows.

If  $U$  is an open neighbourhood of the identity element in  $G$  which is homeomorphic by the exponential map to an open set  $\tilde{U}$  of the Lie algebra  $\mathfrak{g}$  of  $G$ , then

$$\mathcal{U} = \text{Map}_{\text{cts}}(X; U)$$

is an open neighbourhood of the identity in  $\text{Map}_{\text{cts}}(X; G)$  which is homeomorphic to the open set

$$\tilde{\mathcal{U}} = \text{Map}_{\text{cts}}(X; \tilde{U})$$

of the Banach space  $\text{Map}_{\text{cts}}(X; \mathfrak{g})$ . If  $f$  is any element of  $\text{Map}_{\text{cts}}(X; G)$ , then

$$\mathcal{U}_f = \mathcal{U} \cdot f$$

is a neighbourhood of  $f$  which is also homeomorphic to  $\tilde{\mathcal{U}}$ . The sets  $\mathcal{U}_f$  provide an atlas which makes  $\text{Map}_{\text{cts}}(X; G)$  into a smooth manifold, and in fact into a Lie group: there is no difficulty at all in checking that the transition functions are smooth, or that multiplication and inversion are smooth maps.

**Definition 1.3 (Loop groups).** Suppose now that  $X$  is a finite dimensional compact smooth manifold, and let  $\text{Map}(X; G)$  denote the group of **smooth** maps  $X \rightarrow G$ . The case we are primarily interested in is when  $X$  is the circle  $S^1$ ; then  $\text{Map}(X; G)$  is the **loop group** of  $G$ , which is denoted by  $LG$ . We shall think of the circle as consisting interchangeably of real numbers  $\theta$  modulo  $2\pi$  or of complex numbers  $z = e^{i\theta}$  of modulus one.

Fix once and for all  $G$  a compact connected Lie group. A fundamental property of the loop group  $LG$  is the existence of interesting central extensions

$$\mathbb{T} \rightarrow \widetilde{LG} \rightarrow LG$$

of  $LG$  by the circle  $\mathbb{T}$ . (In other words,  $\widetilde{LG}$  is a group containing  $\mathbb{T}$  in its centre and such that the quotient group  $\widetilde{LG}/\mathbb{T}$  is  $LG$ .)

The  $\widetilde{LG}$  are analogous to the finite-sheeted covering groups of a finite dimensional Lie group, in that any projective unitary representation of  $LG$  comes from a genuine representation of some  $\widetilde{LG}$ . We recall that a projective unitary representation of a group  $L$  on a Hilbert space  $H$  is the assignment to each  $\lambda \in L$  of a unitary operator  $U_\lambda : H \rightarrow H$  so that

$$U_\lambda U_{\lambda'} = c(\lambda, \lambda') U_{\lambda \lambda'}$$

holds for all  $\lambda, \lambda' \in L$ , where  $c(\lambda, \lambda')$  is a complex number of modulus 1.  $c : L \times L \rightarrow \mathbb{T}$  is called the **projective multiplier** or **cocycle** of the representation.

As topological spaces the  $\widetilde{LG}$  are fibre bundles over  $LG$  with the circle as fibre. Except for the product extension  $LG \times \mathbb{T}$  they are non-trivial fibre bundles: that is to say  $\widetilde{LG}$  is not homeomorphic to the cartesian product  $LG \times \mathbb{T}$ , and there is no continuous cross-section  $LG \rightarrow \widetilde{LG}$ . In fact the group extension  $\widetilde{LG}$  is completely determined by its topological type as a fibre bundle, and every circle bundle on  $LG$  can be made into a group extension. It is interesting that the behaviour of  $\text{Map}(X; G)$  when  $\dim(X) > 1$  is completely different. There are often non-trivial circle bundles on  $\text{Map}(X; G)$ , but if  $X$  is simply connected only the flat ones can be made into groups.

When  $G$  is a simple and simply connected group, there is a universal central extension among the  $\widetilde{LG}$ , i.e. one of which all the others are quotient groups. This is analogous to the universal covering group of a finite dimensional group. Any central extension  $E$  of  $LG$  by any abelian group  $A$  arises from the universal extension  $\widetilde{LG}$  by a homomorphism  $\mathbb{T} \rightarrow A$ .

$\theta : \mathbb{T} \rightarrow A$ , in the sense that

$$E = \widetilde{LG} \times_{\mathbb{T}} A.$$

(The last notation denotes the quotient group of  $\widetilde{LG} \times A$  by the subgroup consisting of all elements

$$\{(z, -\theta(z)) : z \in \mathbb{T}\}.$$

) When  $G$  is simply connected but not simple there is still a universal central extension, but, as we shall see, it is an extension of  $LG$  by the homology group  $H_3(G; \mathbb{T})$ , a torus whose dimension is the number of simple factors in  $G$ .

It is worth noticing that the central extensions of  $LG$  are closely related to its natural affine action on the space of **connections** in the trivial principal  $G$ -bundle on the circle. (See (4.3.3).)

## 1.1 The Lie algebra extensions

On the level of Lie algebras the extensions can be defined and classified very simply: **they correspond precisely to invariant symmetric bilinear forms on  $\mathfrak{g}$** . As a vector space

$$\widetilde{L\mathfrak{g}} = L\mathfrak{g} \oplus \mathbb{R},$$

and the bracket is given by

$$[(\xi, \lambda), (\eta, \mu)] = ([\xi, \eta], \omega(\xi, \eta)) \quad (1)$$

for  $\xi, \eta \in L\mathfrak{g}$  and  $\lambda, \mu \in \mathbb{R}$ , where  $\omega : L\mathfrak{g} \times L\mathfrak{g} \rightarrow \mathbb{R}$  is the bilinear map

$$\omega(\xi, \eta) = \frac{1}{2\pi} \int_0^{2\pi} \langle \xi(\theta), \eta'(\theta) \rangle d\theta \quad (2)$$

and  $\langle \cdot, \cdot \rangle$  is a symmetric invariant form on the Lie algebra  $\mathfrak{g}$ . Recall that if  $\mathfrak{g}$  is semisimple then every invariant bilinear form on  $\mathfrak{g}$  is symmetric.

**Remark 1.4.** Notice that the bracket (1) does not depend on the value of  $\lambda$  or  $\mu$ . In other words, the central  $\mathbb{R}$  commutes with everything in  $\widetilde{L}\mathfrak{g}$ .

For the formula (1) to define a Lie algebra,  $\omega$  must be skew—which is clear by integrating by parts in (2)—and must satisfy the ‘cocycle condition’

$$\omega([\xi, \eta], \zeta) + \omega([\eta, \zeta], \xi) + \omega([\zeta, \xi], \eta) = 0. \quad (3)$$

This condition follows from the Jacobi identity in the Lie algebra  $L\mathfrak{g}$  and the fact that the inner product on  $\mathfrak{g}$  is invariant:

$$\langle [\xi, \eta], \zeta \rangle = \langle \xi, [\eta, \zeta] \rangle.$$

There are essentially no other cocycles on  $L\mathfrak{g}$  than the  $\omega$  given by (2). To make this precise, notice that  $\omega$  is invariant under conjugation by constant loops, i.e.  $\omega(\xi, \eta) = \omega(g\xi, g\eta)$  for  $g \in G$ , where  $g\xi, g\eta$  are the adjoint action of  $g$  on  $\xi, \eta$ .

**Remark 1.5.** We elaborate a little on the invariance of  $\omega$  under the adjoint action of  $G$ . Recall that for a Lie algebra  $\mathfrak{a}$  with trivial coefficients, a 2-cocycle is a bilinear form  $\omega : \mathfrak{a} \times \mathfrak{a} \rightarrow \mathbb{R}$  that is skew-symmetric and satisfies the cocycle condition

$$\delta\omega(\xi, \eta, \zeta) = \omega([\xi, \eta], \zeta) + \omega([\eta, \zeta], \xi) + \omega([\zeta, \xi], \eta) = 0.$$

On the loop algebra  $L\mathfrak{g}$ , the group  $G$  (constant loops) acts by conjugation:

$$(g \cdot \xi)(\theta) = \text{Ad}_g \xi(\theta).$$

If we push forward a cocycle  $\omega$  by  $g$ , we get a new cocycle

$$(g \cdot \omega)(\xi, \eta) = \omega(g^{-1} \cdot \xi, g^{-1} \cdot \eta).$$

To see that this transformation preserves the cohomology class, we can pass to the infinitesimal adjoint action. In particular, for  $\zeta$  in the Lie algebra  $\mathfrak{g}$ , it is enough to show that

$$[\omega] = [\omega + (\zeta \cdot \omega)] \quad \text{in } H^2.$$

where the infinitesimal action is given by

$$(\zeta \cdot \omega)(\xi, \eta) := \left. \frac{d}{dt} \right|_{t=0} (\exp(t\zeta) \cdot \omega)(\xi, \eta).$$

Use  $\text{Ad}_{\exp(-t\zeta)} = \exp(-t \text{ ad } \zeta) = \text{id} - t \text{ ad } \zeta + o(t)$ . Then

$$\begin{aligned} (\exp(t\zeta) \cdot \omega)(\xi, \eta) &= \omega\left((\text{id} - t \text{ ad } \zeta)\xi, (\text{id} - t \text{ ad } \zeta)\eta\right) + o(t) \\ &= \omega(\xi, \eta) - t\omega([\zeta, \xi], \eta) - t\omega(\xi, [\zeta, \eta]) + o(t). \end{aligned}$$

Differentiating at  $t = 0$  gives

$$(\zeta \cdot \omega)(\xi, \eta) = -\omega([\zeta, \xi], \eta) - \omega(\xi, [\zeta, \eta])$$

Define the 1-cochain  $\phi_\zeta$  by

$$\phi_\zeta(\xi) := \omega(\zeta, \xi).$$

With trivial coefficients, the Chevalley-Eilenberg differential on a 1-cochain is

$$(\delta\phi_\zeta)(\xi, \eta) = -\phi_\zeta([\xi, \eta]) = -\omega(\zeta, [\xi, \eta]).$$

Now compare  $(\zeta \cdot \omega)$  with  $\delta\phi_\zeta$ :

$$(\zeta \cdot \omega)(\xi, \eta) - (\delta\phi_\zeta)(\xi, \eta) = -\omega([\zeta, \xi], \eta) - \omega(\xi, [\zeta, \eta]) + \omega(\zeta, [\xi, \eta]).$$

Use the 2-cocycle identity (cyclic sum zero):

$$\omega([\zeta, \xi], \eta) + \omega([\xi, \eta], \zeta) + \omega([\eta, \zeta], \xi) = 0.$$

Rewrite the last two terms:

$$\omega(\zeta, [\xi, \eta]) = -\omega([\xi, \eta], \zeta), \quad \omega(\xi, [\zeta, \eta]) = -\omega([\zeta, \eta], \xi).$$

Plugging these into the difference gives exactly the negative of the cyclic sum above, hence zero:

$$-\omega([\zeta, \xi], \eta) - \omega(\xi, [\zeta, \eta]) + \omega(\zeta, [\xi, \eta]) = 0.$$

Therefore,

$$(\zeta \cdot \omega) = \delta\phi_\zeta$$

is a coboundary, and  $[\omega] = [\omega + (\zeta \cdot \omega)]$  in  $H^2(L\mathfrak{g}; \mathbb{R})$ .

So the extension defined by  $\alpha$  is also given by the invariant cocycle

$$\int_G g \cdot \alpha \, dg$$

obtained by averaging  $\alpha$  over the compact group  $G$  because they are in the same cohomology class in  $H^2(L\mathfrak{g}; \mathbb{R})$ . Therefore, every cocycle of  $L\mathfrak{g}$  is equivalent in cohomology to a  $G$ -invariant cocycle. The cocycle identity (3) expresses precisely that the cohomology class of the cocycle does not change under an infinitesimal conjugation.

**Proposition 1.6 (Invariant cocycles).** If  $\mathfrak{g}$  is semisimple then the only continuous  $G$ -invariant cocycles on the Lie algebra  $L\mathfrak{g}$  are those given by (2).

*Proof.* A cocycle  $\alpha : L\mathfrak{g} \times L\mathfrak{g} \rightarrow \mathbb{R}$  extends to a complex bilinear map  $\alpha : L\mathfrak{g}_{\mathbb{C}} \times L\mathfrak{g}_{\mathbb{C}} \rightarrow \mathbb{C}$ . An element  $\xi \in L\mathfrak{g}_{\mathbb{C}}$  can be expanded in a Fourier series  $\sum \xi_k z^k$ , with  $\xi_k \in \mathfrak{g}_{\mathbb{C}}$ . By continuity  $\alpha$  is completely determined by its values on elements of the form  $\xi_p z^p$ . Let us write

$$\alpha_{p,q}(\xi, \eta) = \alpha(\xi z^p, \eta z^q), \quad \xi, \eta \in \mathfrak{g}_{\mathbb{C}}.$$

Then  $\alpha_{p,q}$  is a  $G$ -invariant bilinear map  $\mathfrak{g}_{\mathbb{C}} \times \mathfrak{g}_{\mathbb{C}} \rightarrow \mathbb{C}$ , which is necessarily symmetric, and  $\alpha_{p,q} = -\alpha_{q,p}$ . The cocycle identity (3) translates into the statement

$$\alpha_{p+q,r} + \alpha_{q+r,p} + \alpha_{r+p,q} = 0 \tag{4}$$

for all  $p, q, r$ . Putting  $q = r = 0$  we find  $\alpha_{p,0} = 0$  for all  $p$ .

$r = -p - q$  we find

$$\alpha_{p+q,-p-q} = \alpha_{p,-p} + \alpha_{q,-q},$$

whence

$$\alpha_{p,-p} = p \alpha_{1,-1}.$$

Putting  $r = n - p - q$  in (4.2.5) we find

$$\alpha_{n-p-q,p+q} = \alpha_{n-p,p} + \alpha_{n-q,q},$$

whence

$$\alpha_{n-k,k} = k \alpha_{1,1}.$$

This implies that  $\alpha_{p,q} = 0$  if  $p + q \neq 0$ , for

$$n \alpha_{n-1,1} = \alpha_{0,n} = 0.$$

Returning to  $\xi = \sum \xi_p z^p$  and  $\eta = \sum \eta_q z^q$ , we have

$$\alpha(\xi, \eta) = \sum p \alpha_{1,-1}(\xi_p, \eta_{-p}) = \frac{i}{2\pi} \int_0^{2\pi} \alpha_{1,-1}(\xi(\theta), \eta'(\theta)) d\theta,$$

which is of the form (4.2.2).  $\square$

Proposition 1.6 determines the universal central extension of  $L\mathfrak{g}$ . We can reformulate it in the following way. For any finite dimensional Lie algebra  $\mathfrak{g}$  there is a universal invariant symmetric bilinear form

$$\langle , \rangle_K : \mathfrak{g} \times \mathfrak{g} \rightarrow K \tag{5}$$

from which every  $\mathbb{R}$ -valued form arises by a unique linear map  $K \rightarrow \mathbb{R}$ .

The cocycle  $\omega_K$  given by

$$\omega_K(\xi, \eta) = \frac{1}{2\pi} \int_0^{2\pi} \langle \xi(\theta), \eta'(\theta) \rangle_K d\theta \quad (6)$$

defines an extension of  $L\mathfrak{g}$  by  $K$ , which by Proposition (4.2.4) is the universal central extension of  $L\mathfrak{g}$  when  $\mathfrak{g}$  is semisimple. For semisimple groups  $K$  can be identified with  $H^3(\mathfrak{g}; \mathbb{R})$ , because a bilinear form  $\langle , \rangle$  on  $\mathfrak{g}$  gives rise to an invariant skew 3-form

$$(\xi, \eta, \zeta) \mapsto \langle \xi, [\eta, \zeta] \rangle,$$

and all elements of  $H^3(\mathfrak{g}; \mathbb{R})$  are so obtained. When  $\mathfrak{g}$  is simple then  $K = \mathbb{R}$ .

**Remark 1.7.** If  $\mathfrak{g}$  is semisimple, then every invariant symmetric bilinear form on  $\mathfrak{g}$  is a multiple of the Killing form. So in that case, the cocycle

$$\omega(\xi, \eta) = \frac{1}{2\pi} \int_0^{2\pi} \langle \xi(\theta), \eta'(\theta) \rangle d\theta$$

is unique up to scalar.

But in general (if  $\mathfrak{g}$  is not simple), the space of invariant symmetric bilinear forms on  $\mathfrak{g}$  may have higher dimension. So instead of fixing one  $\langle , \rangle$ , introduce the universal bilinear form:

$$\langle , \rangle_K : \mathfrak{g} \times \mathfrak{g} \rightarrow K,$$

where  $K$  is a vector space that “records all possible invariant bilinear forms at once.”

Concretely:  $K = (\text{space of invariant bilinear forms on } \mathfrak{g})^*$ . Then for any actual  $\mathbb{R}$ -valued invariant form  $\beta$ , there is a unique linear functional  $f : K \rightarrow \mathbb{R}$  such that

$$\beta(x, y) = f(\langle x, y \rangle_K).$$

Using this universal bilinear form, we define a universal cocycle:

$$\omega_K(\xi, \eta) = \frac{1}{2\pi} \int_0^{2\pi} \langle \xi(\theta), \eta'(\theta) \rangle_K d\theta.$$

This cocycle takes values in  $K$ , not just in  $\mathbb{R}$ . For any linear functional  $f : K \rightarrow \mathbb{R}$ , composing gives you back an  $\mathbb{R}$ -valued cocycle. So  $\omega_K$  parametrizes all possible central extensions of  $L\mathfrak{g}$  by  $\mathbb{R}$ .

## 1.2 Extensions of $\text{Map}(X; \mathfrak{g})$

Before leaving the subject of Lie algebra extensions, it is worth pointing out that very little extra work is needed to determine all central extensions of  $\text{Map}(X; \mathfrak{g})$  for any smooth manifold  $X$ . We shall indicate briefly a proof of the following result, which is a very simple case of a general theory of Loday and Quillen relating the cohomology of Lie algebras to Connes’s cohomology. We shall content ourselves with the case of a simple Lie algebra  $\mathfrak{g}$ . There is then an essentially unique inner product  $\langle , \rangle$ .

**Proposition 1.8** (4.2.8). If  $\mathfrak{g}$  is simple then the kernel of universal central extension of  $\text{Map}(X; \mathfrak{g})$  is the space  $K = \Omega^1(X)/d\Omega^0(X)$  of 1-forms on  $X$  modulo exact 1-forms. The extension is defined by the cocycle

$$(\xi, \eta) \mapsto \langle \xi, d\eta \rangle. \quad (7)$$

Equivalently, the extensions of  $\text{Map}(X; \mathfrak{g})$  by  $\mathbb{R}$  correspond to the one-dimensional closed currents  $C$  on  $X$ , the cocycle being given by integrating (7) over  $C$ .

Before proving this let us remark that from one point of view it is a disappointing result, as it tells us that there are no 'interesting' extensions of  $\text{Map}(X; \mathfrak{g})$  when  $\dim(X) > 1$ . More precisely, if  $f : S^1 \rightarrow X$  is any smooth loop in  $X$  one can always obtain an extension of  $\text{Map}(X; \mathfrak{g})$  by pulling back the universal extension of  $L\mathfrak{g}$  by  $f$ . Proposition (4.2.8) asserts that any extension is a weighted linear combination of extensions of this form. The first 'interesting' cohomology class of  $\text{Map}(X; \mathfrak{g})$ , for a compact  $(n - 1)$ -dimensional manifold  $X$ , is in dimension  $n$ , and is defined by the cocycle

$$(\xi_1, \dots, \xi_n) \mapsto P(\xi_1, d\xi_2, \dots, d\xi_n),$$

*Proof.* Let us write  $\text{Map}(X; \mathfrak{g})$  as  $A \otimes \mathfrak{g}$ , where  $A$  is the ring of smooth functions on  $X$ . Any  $G$ -invariant real-valued bilinear form on  $A \otimes \mathfrak{g}$  must be of the form

$$(f \otimes \xi, g \otimes \eta) \mapsto \alpha(f \otimes g)\langle \xi, \eta \rangle,$$

where  $\alpha : A \otimes A \rightarrow \mathbb{R}$  is linear. Such an  $\alpha$  can be identified with a distribution with compact support on  $X \times X$ . The cocycle condition translates into the statement that  $\alpha$  vanishes on functions of the form

$$fg \otimes h + gh \otimes f + hf \otimes g, \quad (8)$$

where  $f, g, h$  are smooth functions on  $X$ . This means that  $\alpha(f \otimes g) = 0$  when  $f$  and  $g$  have disjoint support, for then  $fg = 0$  and one can find  $h$  so that  $fh = f$  and  $gh = 0$ . Thus the distribution  $\alpha$  has support along the diagonal. Proposition (4.2.8) is the assertion that  $\alpha(f \otimes g)$  depends only on the 1-form  $fdg$ . This in turn reduces to two facts:

- (i)  $\alpha(f \otimes 1) = 0$  for all  $f$ ; and
- (ii)  $\alpha|_{I^2} = 0$ , where  $I$  is the ideal of functions in  $A \otimes A$  which vanish on the diagonal.

Put  $h = 1$ :

$$\alpha(fg \otimes 1) + \alpha(g \otimes f) + \alpha(f \otimes g) = 0.$$

By (skew),  $\alpha(g \otimes f) = -\alpha(f \otimes g)$ , so those two cancel and we get

$$\alpha(fg \otimes 1) = 0 \quad \forall f, g.$$

Since finite sums of products  $fg$  span  $A$ , it follows that

$$\alpha(f \otimes 1) = 0 \quad \forall f \in A.$$

Let  $I \subset A \otimes A$  be the ideal of functions vanishing on the diagonal  $\Delta = \{(x, x)\}$ . It is generated (as an ideal) by the differences  $a \otimes 1 - 1 \otimes a$  ( $a \in A$ ). Thus  $I^2$  is generated by products

$$(a \otimes 1 - 1 \otimes a)(b \otimes 1 - 1 \otimes b).$$

It therefore suffices to check that  $\alpha$  vanishes on each such generator. Expand:

$$(a \otimes 1 - 1 \otimes a)(b \otimes 1 - 1 \otimes b) = ab \otimes 1 - a \otimes b - b \otimes a + 1 \otimes ab.$$

Apply  $\alpha$  and use (i) and (skew):

$$\alpha(ab \otimes 1) = 0, \quad \alpha(1 \otimes ab) = 0, \quad \alpha(a \otimes b) + \alpha(b \otimes a) = 0.$$

Hence

$$\alpha((a \otimes 1 - 1 \otimes a)(b \otimes 1 - 1 \otimes b)) = 0.$$

By linearity,

$$\alpha|_{I^2} = 0$$

Define the canonical linear map

$$\theta : A \otimes A \longrightarrow \Omega^1(X), \quad \theta(f \otimes g) = f dg.$$

A quick check on the generators above shows  $\theta(I^2) = 0$ :

$$\theta(ab \otimes 1 - a \otimes b - b \otimes a + 1 \otimes ab) = ab d1 - a db - b da + d(ab) = 0.$$

So  $\theta$  descends to a well-defined map  $\bar{\theta} : I/I^2 \rightarrow \Omega^1(X)$ , which is the standard isomorphism  $I/I^2 \cong \Omega^1(X)$  (Kähler differentials).

Since  $\alpha$  kills  $I^2$ , there is a unique linear functional

$$\Lambda : \Omega^1(X) \longrightarrow \mathbb{R} \quad \text{such that} \quad \alpha(f \otimes g) = \Lambda(f dg)$$

Using (), compute skew-symmetry:

$$0 = \alpha(f \otimes g) + \alpha(g \otimes f) = \Lambda(f dg) + \Lambda(g df) = \Lambda(f dg + g df) = \Lambda(d(fg)).$$

Because  $f, g$  were arbitrary, the linear span of  $\{d(fg)\}$  is all of  $d\Omega^0(X)$ . Hence

$$\Lambda|_{d\Omega^0(X)} = 0.$$

$\Lambda$  factors through the quotient  $\Omega^1(X)/d\Omega^0(X)$ , so the only data that survives is the class  $[\Lambda] \in (\Omega^1/d\Omega^0)^*$ .

□

**Remark 1.9.** In the case  $X = S^1$ , we have  $\Omega^1(S^1)/d\Omega^0(S^1) \cong H_{\text{dR}}^1(S^1) \cong \mathbb{R}$  (generated by the period functional  $[\alpha] \mapsto \int_{S^1} \alpha$ ). Taking  $\Lambda(\omega) = \frac{1}{2\pi} \int_{S^1} \omega$  gives

$$\alpha(f \otimes g) = \frac{1}{2\pi} \int_{S^1} f dg, \quad c(\xi, \eta) = \frac{1}{2\pi} \int_{S^1} \langle \xi, \eta' \rangle d\theta,$$

the standard Kac-Moody cocycle.

### 1.3 Extensions of $\text{Vect}(S^1)$

Another calculation that fits in very naturally at this point is that for the Lie algebra  $\text{Vect}(S^1)$  of smooth vector fields on the circle, i.e. the Lie algebra of the group  $\text{Diff}(S^1)$ . A complex-linear 2-cocycle

$$\alpha : \text{Vect}_{\mathbb{C}}(S^1) \times \text{Vect}_{\mathbb{C}}(S^1) \rightarrow \mathbb{C},$$

where  $\text{Vect}_{\mathbb{C}}(S^1) = \text{Vect}(S^1) \otimes \mathbb{C}$ , is determined by the numbers

$$\alpha_{p,q} = \alpha(L_p, L_q), \quad L_n = e^{in\theta} \frac{d}{d\theta}.$$

Recall the Witt algebra basis

$$L_n = ie^{in\theta} \frac{d}{d\theta}, \quad n \in \mathbb{Z},$$

with brackets

$$[L_n, L_m] = i(m - n)L_{n+m}.$$

The bracket identity follows from the definition of the commutator of derivations:

$$[X, Y] = X(Y(h)) - Y(X(h)) \quad \text{for } h \in C^\infty(M)$$

and the general formula for brackets of vector fields in one variable:

$$[f(\theta) \frac{d}{d\theta}, g(\theta) \frac{d}{d\theta}] = (f(\theta)g'(\theta) - g(\theta)f'(\theta)) \frac{d}{d\theta}.$$

Now check the three vector fields:

$$L_{-1}, \quad L_0, \quad L_1.$$

The brackets close as

$$[L_1, L_{-1}] = 2iL_0, \quad [L_0, L_{\pm 1}] = \mp iL_{\pm 1}.$$

which up to rescaling gives a copy of  $\mathfrak{sl}_2(\mathbb{R})$ .

The cocycle identity for  $(L_0, L_p, L_q)$  shows that the cohomology class of  $\alpha$  is not changed by rotation, and so we can (by averaging) assume that  $\alpha$  is itself invariant. Then  $\alpha_{p,q} = 0$  unless  $p + q = 0$ . If we write  $\alpha_{p,-p} = \alpha_p$ , and notice that  $\alpha_{-p} = -\alpha_p$ , then the cocycle identity gives

$$(p + 2q)\alpha_p - (2p + q)\alpha_q = (p - q)\alpha_{p+q}.$$

This determines all the  $\alpha_p$  in terms of  $\alpha_1$  and  $\alpha_2$ . The general solution is  $\alpha_p = \lambda p^3 + \mu p$ . But  $\alpha_p = p$  is a coboundary, so the value of  $\mu$  is unimportant. We have proved

**Proposition 1.10 (Virasoro cocycle).** The most general central extension of  $\text{Vect}(S^1)$  by  $\mathbb{R}$  is described by the cocycle  $\alpha$ , where

$$\alpha\left(e^{in\theta \frac{d}{d\theta}}, e^{im\theta \frac{d}{d\theta}}\right) = \begin{cases} i\lambda n(n^2 - 1), & \text{if } n + m = 0, \\ 0, & \text{if } n + m \neq 0, \end{cases}$$

for some  $\lambda \in \mathbb{R}$ .

The representing cocycle given here is characterized by the fact that it is invariant under rotation and vanishes on the subalgebra  $\mathfrak{sl}_2(\mathbb{R})$  of  $\text{Vect}(S^1)$ .

## 1.4 Adjoint and coadjoint actions of loop groups

**Proposition 1.11.** The adjoint action of  $L\mathfrak{g}$  on its central extension  $\widetilde{L}\mathfrak{g}$  comes from an action of  $LG$  given by

$$(\gamma) \cdot (\xi, \lambda) = (\text{Ad}_\gamma \xi, \lambda - \langle \gamma^{-1} \gamma', \xi \rangle)$$

*Proof.* We will differentiate the group action along the one-parameter subgroup  $\gamma(t) = \exp(t\eta)$ , where  $\eta \in L\mathfrak{g}$ . Differentiate at  $t = 0$ :

- First coordinate:

$$\frac{d}{dt} \Big|_{t=0} \text{Ad}_{\gamma(t)} \xi = [\eta, \xi].$$

- Second coordinate: use  $\gamma(t)^{-1} \gamma'(t) = t \eta' + O(t^2)$  (standard Maurer-Cartan expansion along the loop variable), hence

$$\frac{d}{dt} \Big|_{t=0} (-\langle \gamma(t)^{-1} \gamma'(t), \xi \rangle) = -\frac{1}{2\pi} \int_0^{2\pi} \langle \eta'(\theta), \xi(\theta) \rangle_{\mathfrak{g}} d\theta = \frac{1}{2\pi} \int_0^{2\pi} \langle \eta(\theta), \xi'(\theta) \rangle_{\mathfrak{g}} d\theta,$$

where the last equality is by integration by parts (boundary term vanishes by periodicity).

Define the Kac-Moody 2-cocycle

$$\omega(\eta, \xi) := \frac{1}{2\pi} \int_0^{2\pi} \langle \eta(\theta), \xi'(\theta) \rangle_{\mathfrak{g}} d\theta.$$

Thus the derivative of the action is

$$\frac{d}{dt} \Big|_{t=0} (\gamma(t) \cdot (\xi, \lambda)) = ([\eta, \xi], \omega(\eta, \xi)).$$

This is exactly the standard adjoint action of  $L\mathfrak{g}$  on the central extension  $\widetilde{L}\mathfrak{g} = L\mathfrak{g} \oplus \mathbb{R}K$ :

$$\text{ad}_{(\eta, 0)}(\xi, \lambda) = ([\eta, \xi], \omega(\eta, \xi)), \quad [K, \cdot] = 0.$$

as desired.  $\square$

**Proposition 1.12 (Loop group coadjoint action).** The coadjoint action of  $LG$  on  $\widetilde{L\mathfrak{g}}^* \cong (L\mathfrak{g})^* \oplus \mathbb{R}$  is given by

$$\gamma \cdot (\phi, \lambda) = (\text{Ad}_\gamma \phi + \lambda \gamma' \gamma^{-1}, \lambda).$$

*Proof.* Identify  $\widetilde{L\mathfrak{g}}^* \cong (L\mathfrak{g})^* \oplus \mathbb{R}$  with pairing (note that  $(\phi, \lambda) \in (\widetilde{L\mathfrak{g}} \oplus \mathbb{R})^*$  and  $(\xi, a) \in \widetilde{L\mathfrak{g}} \oplus \mathbb{R}$ )

$$\langle (\phi, \lambda), (\xi, a) \rangle = \phi(\xi) + \lambda a.$$

By definition of coadjoint action,

$$\langle \gamma \cdot (\phi, \lambda), (\xi, a) \rangle = \langle (\phi, \lambda), \gamma^{-1} \cdot (\xi, a) \rangle.$$

Insert the adjoint formula with  $\gamma^{-1}$ . Using  $(\gamma^{-1})^{-1}(\gamma^{-1})' = \gamma(\gamma^{-1})' = -\gamma' \gamma^{-1}$ ,

$$\gamma^{-1} \cdot (\xi, a) = \left( \text{Ad}_{\gamma^{-1}} \xi, a - \langle (\gamma^{-1})^{-1}(\gamma^{-1})', \xi \rangle \right) = \left( \text{Ad}_{\gamma^{-1}} \xi, a + \langle \gamma' \gamma^{-1}, \xi \rangle \right).$$

Hence

$$\begin{aligned} \langle \gamma \cdot (\phi, \lambda), (\xi, a) \rangle &= \phi(\text{Ad}_{\gamma^{-1}} \xi) + \lambda \left( a + \langle \gamma' \gamma^{-1}, \xi \rangle \right) \\ &= (\phi \circ \text{Ad}_{\gamma^{-1}})(\xi) + \lambda a + \lambda \langle \gamma' \gamma^{-1}, \xi \rangle. \end{aligned}$$

Since this holds for all  $(\xi, a)$ , we read off

$$\gamma \cdot (\phi, \lambda) = (\phi \circ \text{Ad}_{\gamma^{-1}} + \lambda \langle \gamma' \gamma^{-1}, \cdot \rangle, \lambda).$$

Using the invariant inner product to identify  $(L\mathfrak{g})^* \cong L\mathfrak{g}$ , write  $\phi(\cdot) = \langle \phi, \cdot \rangle$ . Then

$$\phi \circ \text{Ad}_{\gamma^{-1}} = \langle \text{Ad}_\gamma \phi, \cdot \rangle, \quad \langle \gamma' \gamma^{-1}, \cdot \rangle \leftrightarrow \gamma' \gamma^{-1},$$

so the coadjoint action becomes

$$\gamma \cdot (\phi, \lambda) = (\text{Ad}_\gamma \phi + \lambda \gamma' \gamma^{-1}, \lambda).$$

as desired.  $\square$

**Remark 1.13 (Reminder about the infinitesimal adjoint and coadjoint actions).** Let  $G$  be compact, connected, and simply connected, with Lie algebra  $\mathfrak{g}$  and an  $\text{Ad}$ -invariant inner product  $\langle \cdot, \cdot \rangle_{\mathfrak{g}}$ . For loops we use the  $L^2$ -pairing

$$\langle \xi, \eta \rangle_{L\mathfrak{g}} := \frac{1}{2\pi} \int_0^{2\pi} \langle \xi(\theta), \eta(\theta) \rangle_{\mathfrak{g}} d\theta, \quad \xi, \eta \in L\mathfrak{g}.$$

Define the 2-cocycle

$$\omega(\eta, \xi) := \frac{1}{2\pi} \int_0^{2\pi} \langle \eta(\theta), \xi'(\theta) \rangle_{\mathfrak{g}} d\theta.$$

The (Lie-algebra) central extension is

$$\widetilde{L\mathfrak{g}} = L\mathfrak{g} \oplus \mathbb{R}K, \quad [(\eta, aK), (\xi, bK)] = ([\eta, \xi], \omega(\eta, \xi) K).$$

Here  $K$  is central,  $[K, \cdot] = 0$ . We identify  $\widetilde{L\mathfrak{g}}^* \cong (L\mathfrak{g})^* \oplus \mathbb{R}$  and, via the  $L^2$ -pairing,  $(L\mathfrak{g})^* \cong L\mathfrak{g}$ . We write the dual pairing as

$$\langle (\phi, \lambda), (\xi, aK) \rangle = \langle \phi, \xi \rangle_{L\mathfrak{g}} + \lambda a.$$

**Adjoint (Lie-algebra) action.** By definition,  $\text{ad}_{(\eta,aK)}(\xi, bK) = [(\eta, aK), (\xi, bK)]$ ; since  $K$  is central,

$$\text{ad}_{(\eta,0)}(\xi, bK) = ([\eta, \xi], \omega(\eta, \xi) K), \quad \text{ad}_{(0,aK)}(\xi, bK) = 0.$$

Equivalently, the adjoint representation of  $\widetilde{L}\mathfrak{g}$  on itself is

$$\text{ad}_{(\eta,aK)} \begin{pmatrix} \xi \\ bK \end{pmatrix} = \begin{pmatrix} [\eta, \xi] \\ \omega(\eta, \xi) K \end{pmatrix}.$$

**Coadjoint (Lie-algebra) action.** Recall the coadjoint action is defined by

$$\langle \text{ad}_X^*(\Phi), Y \rangle = \langle \Phi, [Y, X] \rangle \quad (X, Y \in \widetilde{L}\mathfrak{g}, \Phi \in \widetilde{L}\mathfrak{g}^*),$$

which matches the sign convention that integrates to the group formula in Pressley–Segal. Take  $X = (\eta, 0)$ ,  $Y = (\xi, aK)$ ,  $\Phi = (\phi, \lambda)$ :

$$\begin{aligned} \langle \text{ad}_{(\eta,0)}^*(\phi, \lambda), (\xi, aK) \rangle &= \langle (\phi, \lambda), [(\xi, aK), (\eta, 0)] \rangle \\ &= \langle (\phi, \lambda), ([\xi, \eta], \omega(\xi, \eta) K) \rangle \\ &= \langle \phi, [\xi, \eta] \rangle_{L\mathfrak{g}} + \lambda \omega(\xi, \eta). \end{aligned}$$

Use Ad-invariance of  $\langle , \rangle_{\mathfrak{g}}$ :  $\langle \phi, [\xi, \eta] \rangle = \langle [\phi, \eta], \xi \rangle$ , and integrate by parts (using periodicity) for the cocycle term

$$\omega(\xi, \eta) = \frac{1}{2\pi} \int_0^{2\pi} \langle \xi, \eta' \rangle d\theta.$$

Thus

$$\langle \text{ad}_{(\eta,0)}^*(\phi, \lambda), (\xi, aK) \rangle = \langle [\eta, \phi] + \lambda \eta', \xi \rangle_{L\mathfrak{g}},$$

which identifies

$$\text{ad}_{(\eta,0)}^*(\phi, \lambda) = ([\eta, \phi] + \lambda \eta', 0).$$

Since  $K$  is central,  $\text{ad}_{(0,aK)}^* = 0$ . Therefore, for general  $(\eta, aK)$ :

$$\text{ad}_{(\eta,aK)}^*(\phi, \lambda) = ([\eta, \phi] + \lambda \eta', 0).$$

**Consistency with the group formula.** Let  $\gamma(t) = \exp(t\eta) \in LG$ . The group-level coadjoint action is

$$\gamma \cdot (\phi, \lambda) = (\text{Ad}_\gamma \phi + \lambda \gamma' \gamma^{-1}, \lambda).$$

Differentiating at  $t = 0$  gives

$$\frac{d}{dt} \Big|_0 (\text{Ad}_{\gamma(t)} \phi) = [\eta, \phi], \quad \frac{d}{dt} \Big|_0 (\gamma'(t) \gamma(t)^{-1}) = \eta',$$

hence

$$\frac{d}{dt} \Big|_0 (\gamma(t) \cdot (\phi, \lambda)) = ([\eta, \phi] + \lambda \eta', 0) = \text{ad}_{(\eta,0)}^*(\phi, \lambda),$$

as derived above.

Let us assume that the inner product on  $\mathfrak{g}$  is positive-definite. Then  $L\mathfrak{g}$  is identified with a dense subspace of  $(L\mathfrak{g})^*$  which we shall call the ‘smooth part’ of the dual. We can describe the orbits of the action of  $LG$  on this in the following way.

For each smooth element  $(\phi, \lambda) \in (\widetilde{L\mathfrak{g}})^*$  with  $\lambda \neq 0$  we can find a unique smooth path  $f : \mathbb{R} \rightarrow G$  by solving the differential equation

$$f' f^{-1} = \lambda^{-1} \phi \quad (9)$$

with the initial condition  $f(0) = 1$ .

**Definition 1.14 (Parallel transport ODE).** Define  $f : \mathbb{R} \rightarrow G$  by the first-order ODE

$$f'(\theta) f(\theta)^{-1} = \lambda^{-1} \phi(\theta), \quad f(0) = 1. \quad (10)$$

**Lemma 1.15 (Existence and uniqueness).** For any smooth  $\phi$  and  $\lambda \neq 0$ , the initial value problem (10) has a unique smooth solution on all of  $\mathbb{R}$ . Moreover,

$$f(\theta) = \mathcal{P} \exp \left( \lambda^{-1} \int_0^\theta \phi(s) ds \right), \quad (11)$$

where  $\mathcal{P} \exp$  denotes the path-ordered exponential.

Because  $\phi$  is periodic in  $\theta$  we have

$$f(\theta + 2\pi) = f(\theta) \cdot M_\phi,$$

where  $M_\phi = f(2\pi)$ . If  $(\phi, \lambda)$  is transformed by  $\gamma \in LG$  then  $f$  is changed to  $\tilde{f}$ , where

$$\tilde{f}(\theta) = \gamma(\theta) f(\theta) \gamma(0)^{-1}. \quad (12)$$

Thus  $M_\phi$  is changed to  $\gamma(0) M_\phi \gamma(0)^{-1}$ . In fact (9) defines a bijection between  $L\mathfrak{g} \times \{\lambda\}$  and the space of maps  $f$  such that  $f(0) = 1$  and  $f(\theta + 2\pi) = f(\theta) \cdot M$  for some  $M \in G$ .

**Definition 1.16 (Monodromy / holonomy).** Because  $\phi$  is  $2\pi$ -periodic, there exists a unique  $M_\phi \in G$  (the **monodromy**) such that

$$f(\theta + 2\pi) = f(\theta) M_\phi \quad (\theta \in \mathbb{R}), \quad (13)$$

equivalently  $M_\phi = f(2\pi)$ . In terms of (11),

$$M_\phi = \mathcal{P} \exp \left( \lambda^{-1} \int_0^{2\pi} \phi(\theta) d\theta \right). \quad (14)$$

*Proof of (13).* Let  $g(\theta) := f(\theta + 2\pi)$ . Then

$$\begin{aligned} g' g^{-1} &= f'(\theta + 2\pi) f(\theta + 2\pi)^{-1} \\ &= \lambda^{-1} \phi(\theta + 2\pi) \\ &= \lambda^{-1} \phi(\theta), \end{aligned}$$

and  $g(0) = f(2\pi)$ . By uniqueness for (10),  $g(\theta) = f(\theta) f(2\pi)$ , giving  $f(\theta + 2\pi) = f(\theta) M_\phi$  with  $M_\phi = f(2\pi)$ .  $\square$

**Proposition 1.17** (Transformation under the  $LG$ -coadjoint action). Let  $\gamma \in LG$ . The  $LG$ -coadjoint action on  $(\widetilde{L\mathfrak{g}})^*$  is

$$\gamma \cdot (\phi, \lambda) = (\text{Ad}_\gamma \phi + \lambda \gamma' \gamma^{-1}, \lambda).$$

If  $f$  solves (10) for  $(\phi, \lambda)$ , then

$$\tilde{f}(\theta) := \gamma(\theta) f(\theta) \gamma(0)^{-1} \quad (15)$$

solves (10) for  $(\text{Ad}_\gamma \phi + \lambda \gamma' \gamma^{-1}, \lambda)$  and satisfies  $\tilde{f}(0) = \mathbf{1}$ . Consequently the monodromy transforms by conjugation:

$$M_{\gamma \cdot \phi} = \gamma(0) M_\phi \gamma(0)^{-1}. \quad (16)$$

*Proof.* Let  $\gamma \in LG$ , and suppose  $f : \mathbb{R} \rightarrow G$  solves

$$f'(\theta) f(\theta)^{-1} = \lambda^{-1} \phi(\theta), \quad f(0) = \mathbf{1}.$$

Define

$$\tilde{f}(\theta) := \gamma(\theta) f(\theta) \gamma(0)^{-1}.$$

We claim that

$$\tilde{f}'(\theta) \tilde{f}(\theta)^{-1} = \lambda^{-1} \left( \text{Ad}_{\gamma(\theta)} \phi(\theta) + \lambda \gamma'(\theta) \gamma(\theta)^{-1} \right),$$

so  $\tilde{f}$  solves the ODE corresponding to  $(\text{Ad}_\gamma \phi + \lambda \gamma' \gamma^{-1}, \lambda)$  and satisfies  $\tilde{f}(0) = \mathbf{1}$ .

*Proof.* First compute the derivative:

$$\tilde{f}'(\theta) = \gamma'(\theta) f(\theta) \gamma(0)^{-1} + \gamma(\theta) f'(\theta) \gamma(0)^{-1}.$$

Next note that

$$\tilde{f}(\theta)^{-1} = \gamma(0) f(\theta)^{-1} \gamma(\theta)^{-1}.$$

Hence

$$\begin{aligned} \tilde{f}'(\theta) \tilde{f}(\theta)^{-1} &= \left( \gamma' f \gamma(0)^{-1} + \gamma f' \gamma(0)^{-1} \right) \left( \gamma(0) f^{-1} \gamma^{-1} \right) \\ &= \gamma' f f^{-1} \gamma^{-1} + \gamma f' f^{-1} \gamma^{-1} \\ &= \gamma' \gamma^{-1} + \gamma (f' f^{-1}) \gamma^{-1}. \end{aligned}$$

Insert the original ODE  $f' f^{-1} = \lambda^{-1} \phi$ :

$$\tilde{f}' \tilde{f}^{-1} = \gamma' \gamma^{-1} + \lambda^{-1} \gamma \phi \gamma^{-1} = \lambda^{-1} \left( \text{Ad}_\gamma \phi + \lambda \gamma' \gamma^{-1} \right).$$

Finally,  $\tilde{f}(0) = \gamma(0) f(0) \gamma(0)^{-1} = \mathbf{1}$ , as required. Also  $\tilde{f}(0) = \gamma(0) \mathbf{1} \gamma(0)^{-1} = \mathbf{1}$ . Evaluating at  $\theta = 2\pi$  and using  $\gamma(2\pi) = \gamma(0)$  (loop),

$$M_{\gamma \cdot \phi} = \tilde{f}(2\pi) = \gamma(0) f(2\pi) \gamma(0)^{-1} = \gamma(0) M_\phi \gamma(0)^{-1}.$$

as desired.  $\square$

**Remark 1.18.** Equation (11) identifies  $f$  as the parallel transport for the connection one-form  $A = \lambda^{-1}\phi(\theta) d\theta$  on the trivial  $G$ -bundle over  $S^1$ , and  $M_\phi$  as its holonomy around the circle.

The following proposition follows from the previous discussion.

**Proposition 1.19 (Coadjoint orbits and conjugacy classes).**

- (i) If  $G$  is simply connected and  $\lambda \neq 0$  then the orbits of  $LG$  on the smooth part of  $(L\mathfrak{g})^* \times \{\lambda\} \subset (\widetilde{L\mathfrak{g}})^*$  correspond precisely to the conjugacy classes of  $G$  under the map  $(\phi, \lambda) \mapsto M_\phi$ .
- (ii) The stabilizer of  $(\phi, \lambda)$  in  $LG$  is isomorphic to the centralizer  $Z_\phi$  of  $M_\phi$  in  $G$  by the map  $\gamma \mapsto \gamma(0)$ ; and  $\gamma$  stabilizes  $(\phi, \lambda)$  if and only if

$$\gamma(\theta) = f(\theta)\gamma(0)f(\theta)^{-1}.$$

*Proof.* The relation  $M_{\gamma \cdot (\phi, \lambda)} = \gamma(0)M_\phi\gamma(0)^{-1}$  shows that  $(\phi, \lambda)$  and  $(\phi', \lambda)$  are in the same  $LG$ -orbit implies  $M_\phi$  and  $M_{\phi'}$  are conjugate in  $G$ . Conversely, if  $M_{\phi'} = gM_\phi g^{-1}$  for some  $g \in G$ , there exists a loop  $\gamma \in LG$  with  $\gamma(0) = g$ . Then by the previous proposition,  $\gamma \cdot (\phi, \lambda)$  has monodromy  $M_{\phi'}$ .

The map  $(\phi, \lambda) \mapsto M_\phi$  is surjective onto conjugacy classes. Let  $C \subset G$  be a conjugacy class. Choose  $g \in C$ . Pick  $X \in \mathfrak{g}$  with  $\exp(2\pi X) = g$  (for compact connected  $G$  this is always possible since every element lies in a maximal torus and  $\exp : \mathfrak{t} \rightarrow T$  is surjective). Take  $\phi(\theta) \equiv -\lambda X$  (constant). Then the solution is  $f(\theta) = \exp(\theta X)$ , hence  $M_\phi = g \in C$ .

we can find  $\phi''$  such that  $M_{\phi''} = M_{\phi'}$ . Thus  $(\phi', \lambda)$  and  $(\phi'', \lambda)$  have the same monodromy and hence are in the same  $LG$ -orbit. This establishes the bijection between  $LG$ -orbits and conjugacy classes in  $G$ .

Now we show injectivity of fixed monodromy. Suppose  $(\phi, \lambda)$  and  $(\phi', \lambda)$  have the same monodromy:  $M_\phi = M_{\phi'} = M$ . Let  $f, f'$  be their ODE solutions with  $f(0) = f'(0) = \mathbf{1}$ . Define  $\gamma(\theta) := f'(\theta)f(\theta)^{-1}$ . Then  $\gamma(0) = \mathbf{1}$  and, using  $f(\theta + 2\pi) = f(\theta)M$ ,  $f'(\theta + 2\pi) = f'(\theta)M$ ,  $\gamma(\theta + 2\pi) = f'(\theta)M(f(\theta)M)^{-1} = \gamma(\theta)$ , so  $\gamma \in LG$ . A direct calculation gives (with the "+" convention)

$$\gamma \cdot \phi = \text{Ad}_\gamma \phi + \lambda \gamma' \gamma^{-1} = \phi'.$$

Hence points with the same monodromy lie in the same  $LG$ -orbit.

As for the second claim, suppose  $\gamma \in LG$  stabilizes  $(\phi, \lambda)$ . Then by definition of the action,  $(\phi, \lambda) = \gamma \cdot (\phi, \lambda)$ . This means the transformed ODE solution  $\tilde{f}(\theta) = \gamma(\theta)f(\theta)\gamma(0)^{-1}$  equals the original  $f(\theta)$  (since both solve the same ODE with same initial condition). So we must have  $f(\theta) = \gamma(\theta)f(\theta)\gamma(0)^{-1}$ , or equivalently,  $\gamma(\theta) = f(\theta)\gamma(0)f(\theta)^{-1}$ . In particular, at  $\theta = 2\pi$ ,  $\gamma(2\pi) = f(2\pi)\gamma(0)f(2\pi)^{-1}$ . But since  $\gamma$  is a loop,  $\gamma(2\pi) = \gamma(0)$ . This forces  $\gamma(0) \in Z_G(M_\phi)$ , i.e.  $\gamma(0)$  lies in the centralizer of  $M_\phi$ .

So the stabilizer subgroup of  $LG$  maps isomorphically to the centralizer  $Z_\phi$  under the map  $\gamma \mapsto \gamma(0)$ .

□

**Remark 1.20.** In general, the coadjoint action only integrates to an action of the component containing  $\gamma$ . To guarantee there's no component obstruction (and to integrate the infinitesimal formulas globally), we want  $LG$  to be connected. For connected  $G$ ,  $\pi_0(LG) \cong \pi_1(G)$ . Thus if  $G$  is simply connected, then  $LG$  is connected, and the coadjoint action integrates on all of  $LG$  with no ambiguity.

According to Kirillov's idea, the irreducible unitary representations of a group  $\Gamma$  correspond to the coadjoint orbits  $\Omega$  with the property

- (C) if the stabilizer of  $\Phi \in \Omega$  is the subgroup  $H$  of  $\Gamma$  then  $\Phi$  is the derivative of a character of the identity component of  $H$ .

The group-level central extension is  $1 \rightarrow \mathbb{T} \rightarrow \widetilde{LG} \rightarrow LG \rightarrow 1$ , where  $\mathbb{T} = U(1)$  is the circle. The Lie algebra of this circle is just  $\mathbb{R}K$  with basis element  $K$ . In the dual  $(\widetilde{L}\mathfrak{g})^*$ , the functional  $(\phi, \lambda)$  evaluates to  $\langle (\phi, \lambda), K \rangle = \lambda$ .

Kirillov's condition (C) says: If  $H$  is the stabilizer of a coadjoint point  $\Phi$ , then the restriction  $\Phi|_{\mathfrak{h}}$  must equal the differential of a unitary character of  $H^0$ . For every  $(\phi, \lambda)$ , the central subgroup  $\mathbb{T} \subset \widetilde{LG}$  is contained in its stabilizer (since it's central, it fixes everything). So  $H^0$  contains  $\mathbb{T}$ , and we must check condition (C) on that subgroup.

So we need: the restriction of  $\Phi$  to the Lie algebra of  $\mathbb{T}$  (spanned by  $K$ ) must be the differential of some unitary character  $\chi : \mathbb{T} \rightarrow U(1)$ . The circle group  $\mathbb{T} = \{e^{i\theta} : \theta \in \mathbb{R}\}$  has all unitary characters given by  $\chi_n(e^{i\theta}) = e^{in\theta}$  for  $n \in \mathbb{Z}$ . Differentiate at the identity ( $\theta = 0$ ):  $\chi'_n(0) = in$ . By definition of  $(\phi, \lambda)$ ,  $\langle (\phi, \lambda), K \rangle = \lambda$ . Condition (C) requires this number  $\lambda$  to equal the derivative of some unitary character of  $\mathbb{T}$ . Therefore we have  $\lambda \in \mathbb{Z}$ .

By the above argument, if  $(L\mathfrak{g})^* \times \{\lambda\}$  is allowable then  $\lambda$  must be an integer. Then an orbit in the smooth part of the dual corresponds to the conjugacy class of an element  $g \in G$ , which we can assume to belong to a given maximal torus  $T$ . If we choose

$$\xi \in \mathfrak{t} \subset \mathfrak{g} \subset L\mathfrak{g} \subset (L\mathfrak{g})^*$$

so that  $\exp(\lambda^{-1}\xi) = g$ , then  $(\xi, \lambda)$  belongs to the orbit. This is because one can check that the solution of (10) is  $f(\theta) = \exp(\lambda^{-1}\theta\xi)$ , which has monodromy  $M_\phi = \exp(2\pi\lambda^{-1}\xi) = g$ .

If  $g$  is sufficiently generic then its centralizer in  $G$  is  $T$ . Recall that we say an element  $g \in G$  (or equivalently  $X \in \mathfrak{g}$ ) is regular if its centralizer has minimal possible dimension. The minimal possible centralizer in a compact Lie group is precisely a maximal torus  $T$ . Concretely, if  $X \in \mathfrak{t}$ , then  $Z_G(X)$  consists of the torus  $T$  plus all root subgroups  $\mathfrak{g}_\alpha$  for which  $\alpha(X) = 0$ . If  $\alpha(X) = 0$  for some root, then the centralizer strictly contains  $T$ . So for  $X$  regular (i.e.  $\alpha(X) \neq 0$  for all roots),  $Z_G(X) = T$ . Therefore, if  $g = \exp(X)$  with  $X$  regular in  $\mathfrak{t}$ , then  $Z_G(g) = T$ .

And the condition (C) amounts to the requirement that  $\xi \in \mathfrak{t} \subset \mathfrak{t}^*$  belongs to the lattice  $\widehat{T}$ .

Recall that the stabilizer  $H$  of  $(\phi, \lambda)$  in  $LG$  is isomorphic to  $Z_G(g) = T$  by  $\gamma \mapsto \gamma(0)$ ; more concretely, after conjugating by the associated  $f$ , one may (and we will) work at the representative  $(\xi, \lambda)$  with  $\xi \in \mathfrak{t}$  constant. Then  $H^0 \cong T$  and  $\mathfrak{h} \cong \mathfrak{t}$ . Condition (C) says that the restriction of  $(\xi, \lambda)$  to  $\mathfrak{h} \cong \mathfrak{t}$  must be the differential of a character of  $T$ . The restriction is simply  $Y \in \mathfrak{t} \mapsto \langle \xi, Y \rangle \in \mathbb{R}$  (using the fixed invariant inner product to identify  $\mathfrak{t} \cong \mathfrak{t}$ ). This linear form exponentiates to a character of  $T$  if and only if it takes integral values on the period lattice  $\widehat{T} := \ker(\exp : \mathfrak{t} \rightarrow T)$ . That is,  $\langle \xi, \eta \rangle \in 2\pi\mathbb{Z}$  for all  $\eta \in \widehat{T}$ . With our normalization (Pressley-Segal identify  $\mathfrak{t} \simeq \mathfrak{t}^*$  using the basic inner product and absorb the  $2\pi$  in the definition of  $\widehat{T}$ ), this is precisely the statement  $\xi \in \widehat{T}$ .

On the other hand,  $(\xi, \lambda)$  and  $(\tilde{\xi}, \lambda)$  belong to the same orbit if  $\tilde{\xi} = w \cdot \xi + \lambda\eta$  for some  $\eta \in \widehat{T}$  and some  $w$  in the Weyl group  $W$  of  $G$ .

**Proposition 1.21 (Coadjoint orbits satisfying (C)).** If  $\lambda$  is a non-zero integer then the coadjoint orbits in the smooth part of  $(L\mathfrak{g})^* \times \{\lambda\}$  which satisfy the condition (C) correspond to the orbits of the affine Weyl group  $W_{\text{aff}} = W \ltimes \widehat{T}$  on the lattice  $\widehat{T}$ , where  $(w, \eta) \in W_{\text{aff}}$  acts on  $\widehat{T}$  by

$$\xi \mapsto w \cdot \xi + \lambda\eta.$$

*Proof.* Every orbit contains a representative  $(\xi, \lambda)$  with  $\xi \in \mathfrak{t}$ , since any conjugacy class of  $G$  meets  $T$  and the monodromy of  $(\xi, \lambda)$  is  $M_\xi = \exp(2\pi\xi/\lambda) \in T$ . Suppose  $(\xi, \lambda)$  and  $(\tilde{\xi}, \lambda)$  are in the same orbit. Then there exists  $\gamma \in LG$  such that

$$\tilde{\xi} = \text{Ad}_\gamma \xi + \lambda \gamma' \gamma^{-1}.$$

Both  $M_\xi$  and  $M_{\tilde{\xi}}$  lie in  $T$ . Since  $M_{\tilde{\xi}} = \gamma(0)M_\xi\gamma(0)^{-1}$ , the endpoint  $\gamma(0)$  normalizes  $T$ . This is because if  $(\xi, \lambda)$  and  $(\tilde{\xi}, \lambda)$  are in the same orbit, then their monodromies are conjugate by  $\gamma(0)$ , but since they both lie in  $T$ , they are in fact equal and therefore

$$M_{\tilde{\xi}} = M_\xi \implies \gamma(0)M_\xi\gamma(0)^{-1} = M_\xi$$

So  $\gamma(0) \in N_G(T)$ . Modulo  $T$  this determines an element  $w \in W$ , and the constant loop  $\gamma(\theta) \equiv n$  with  $n \in N_G(T)$  representing  $w$  acts by

$$\gamma \cdot (\xi, \lambda) = (w \cdot \xi, \lambda).$$

There are a second class of loops  $\gamma(\theta)$  in  $T$  act trivially on  $\xi$  but contribute through the cocycle term:

$$\text{Ad}_\gamma \xi = \xi, \quad \gamma' \gamma^{-1} \in \widehat{T}.$$

Concretely, if  $\gamma(\theta) = \exp(\theta\eta)$  with  $\eta \in \widehat{T}$ , then  $\gamma'\gamma^{-1} = \eta$  and

$$\gamma \cdot (\xi, \lambda) = (\xi + \lambda\eta, \lambda).$$

because of the general formula for the coadjoint action.

$$\gamma \cdot (\phi, \lambda) = (\text{Ad}_\gamma \phi + \lambda \gamma'\gamma^{-1}, \lambda).$$

This shows that the orbit contains all points of the form  $(w \cdot \xi + \lambda\eta, \lambda)$  with  $w \in W$  and  $\eta \in \widehat{T}$ .

The converse will be treated in the following lemma. This establishes a bijection between orbits and  $W_{\text{aff}}$ -orbits in  $\widehat{T}$ .  $\square$

**Lemma 1.22 (Exhaustion by Weyl and lattice moves).** Let  $G$  be compact, connected and simply connected,  $T \subset G$  a maximal torus with Lie algebra  $\mathfrak{t}$ , Weyl group  $W = N_G(T)/T$ , and  $\widehat{T} = \ker(\exp : \mathfrak{t} \rightarrow T)$ . Fix  $\lambda \in \mathbb{Z} \setminus \{0\}$ . If  $(\xi, \lambda)$  and  $(\tilde{\xi}, \lambda)$  with  $\xi, \tilde{\xi} \in \mathfrak{t}$  lie in the same  $LG$ -orbit, then there exist  $w \in W$  and  $\eta \in \widehat{T}$  such that

$$\tilde{\xi} = w \cdot \xi + \lambda\eta.$$

*Proof.* Assume  $(\tilde{\xi}, \lambda) = \gamma \cdot (\xi, \lambda)$  for some  $\gamma \in LG$ .

Let  $M_\xi := \exp(2\pi\xi/\lambda) \in T$  and  $M_{\tilde{\xi}} := \exp(2\pi\tilde{\xi}/\lambda) \in T$  be their monodromies. The general monodromy formula gives

$$M_{\tilde{\xi}} = \gamma(0) M_\xi \gamma(0)^{-1}.$$

Since  $M_\xi, M_{\tilde{\xi}} \in T$ , by the standard conjugacy theorem (“ $G$ -conjugacy on  $T$  is  $W$ -conjugacy”), there exists  $n \in N_G(T)$  with

$$M_{\tilde{\xi}} = n M_\xi n^{-1}.$$

Let  $w \in W$  be the class of  $n$ . Replace  $\gamma$  by

$$\gamma_1 := n^{-1}\gamma \in LG, \quad \text{and set} \quad \hat{\xi} := \text{Ad}_{n^{-1}}\tilde{\xi} = w^{-1} \cdot \tilde{\xi} \in \mathfrak{t}.$$

Then  $\gamma_1 \cdot (\xi, \lambda) = (\hat{\xi}, \lambda)$  and

$$M_{\hat{\xi}} = \gamma_1(0) M_\xi \gamma_1(0)^{-1} = n^{-1} \gamma(0) M_\xi \gamma(0)^{-1} n = n^{-1} M_{\tilde{\xi}} n = M_{\tilde{\xi}}.$$

Thus  $\xi, \hat{\xi} \in \mathfrak{t}$  have **equal** monodromy:  $\exp(2\pi\hat{\xi}/\lambda) = \exp(2\pi\xi/\lambda)$ .

Consider the solutions

$$f(\theta) = \exp\left(\frac{\theta}{\lambda}\xi\right), \quad \hat{f}(\theta) = \exp\left(\frac{\theta}{\lambda}\hat{\xi}\right) \quad (\in T),$$

and define the  $T$ -valued loop

$$\delta(\theta) := \hat{f}(\theta) f(\theta)^{-1} \in T.$$

Since  $T$  is abelian, we have

$$\delta(\theta) = \exp\left(\frac{\theta}{\lambda}(\hat{\xi} - \xi)\right), \quad \delta'(\theta) \delta(\theta)^{-1} = \frac{1}{\lambda}(\hat{\xi} - \xi) \in \mathfrak{t}.$$

Moreover  $\delta(2\pi) = 1$  because  $M_{\hat{\xi}} = M_\xi$ . Hence

$$\eta := \frac{1}{\lambda}(\hat{\xi} - \xi) \in \widehat{T}, \quad \text{and} \quad \hat{\xi} = \xi + \lambda\eta.$$

By the coadjoint action formula,

$$\delta \cdot (\xi, \lambda) = (\xi + \lambda\eta, \lambda) = (\hat{\xi}, \lambda).$$

Finally, undoing the  $n^{-1}$ -conjugation gives

$$(\tilde{\xi}, \lambda) = (n \cdot \delta) \cdot (\xi, \lambda) = \left(w \cdot (\xi + \lambda\eta), \lambda\right) = \left(w \cdot \xi + \lambda w \cdot \eta, \lambda\right).$$

Since  $\widehat{T}$  is  $W$ -stable,  $w \cdot \eta \in \widehat{T}$ ; renaming  $\eta \leftarrow w \cdot \eta$  yields the claimed form  $\tilde{\xi} = w \cdot \xi + \lambda\eta$ .  $\square$

**Remark 1.23 (Rotation action).** We can rotate the loop parameter:  $(R_\alpha \phi)(\theta) := \phi(\theta + \alpha)$ , where  $\alpha \in \mathbb{T} = S^1$ . This gives an action of the rotation group  $\mathbb{T}$  on  $L\mathfrak{g}$ , hence also on  $(\widetilde{L\mathfrak{g}})^*$ .

An orbit  $\mathcal{O}$  is in the smooth part if it is stable under circle rotations. In other words, if rotating the loop parameter can be undone by some  $LG$ -coadjoint action.

At every point  $(\phi, \lambda) \in \mathcal{O}$ , the vector field generating rotations is tangent to the orbit. Equivalently: the infinitesimal variation  $\delta_{\text{rot}}\phi = \phi'$  must lie in the tangent space of the orbit. The tangent space at  $(\phi, \lambda)$  to the coadjoint orbit is spanned by infinitesimal coadjoint actions:

$$T_{(\phi, \lambda)}(\mathcal{O}) = \{([\eta, \phi] + \lambda\eta', 0) : \eta \in L\mathfrak{g}\}$$

So for stability we require  $\phi' \in \{[\eta, \phi] + \lambda\eta' : \eta \in L\mathfrak{g}\}$ . Thus there must exist some  $\eta \in L\mathfrak{g}$  such that  $\phi'(\theta) = [\eta(\theta), \phi(\theta)] + \lambda\eta'(\theta)$ .

If  $\eta \in L\mathfrak{g}$  is smooth, then both  $[\eta, \phi]$  and  $\eta'$  are smooth in  $\theta$ . Hence  $\phi'$  is smooth, which forces  $\phi$  to be smooth. Representation-theoretically, this matches the fact that positive energy representations (the ones stable under rotations) correspond to smooth coadjoint orbits.

## 1.5 From Lie algebra extensions to Lie group extensions (integrality)

Suppose  $G$  is simply connected. The Lie algebra extensions described above do not automatically integrate to Lie group extensions. For a Lie algebra 2-cocycle  $\omega$  on  $L\mathfrak{g}$ , view  $\omega$  as the value at the identity of a left-invariant closed 2-form on  $LG$ ; integration to a central **group** extension requires an integrality condition.

**Theorem 1.24** (Pressley–Segal, Thm. 4.4.1). Suppose  $G$  is simply connected.

- (i) The Lie algebra extension

$$\mathbb{R} \longrightarrow \widetilde{L\mathfrak{g}} \longrightarrow L\mathfrak{g}$$

defined by a cocycle  $\omega$  integrates to a (topological) central extension of groups

$$\mathbb{T} \longrightarrow \widetilde{LG} \longrightarrow LG$$

if and only if the left-invariant 2-form  $\omega/2\pi$  on  $LG$  represents an integral cohomology class; equivalently, its integral over every 2-cycle in  $LG$  is an integer.

- (ii) In that case, the group extension  $\widetilde{LG}$  is completely determined (up to isomorphism) by  $\omega$ , and there is a unique action of  $\text{Diff}^+(S^1)$  on  $\widetilde{LG}$  covering its action on  $LG$ .
- (iii) If  $\lambda\omega$  is not integral for any nonzero real number  $\lambda$ , then the Lie algebra extension  $\widetilde{L\mathfrak{g}}$  does not correspond to any Lie group.
- (iv) For the Kac-Moody cocycle (2), the integrality condition holds if and only if  $\langle h_\alpha, h_\alpha \rangle$  is an even integer for each coroot  $h_\alpha$  of  $G$  (see §2.4).

**Proposition 1.25** (Pressley–Segal, Prop. 4.4.2). Suppose a Lie group  $\Gamma$  acts smoothly on a connected and simply connected manifold  $X$ , preserving an integral closed 2-form  $\omega/2\pi$  on  $X$  (both  $\Gamma$  and  $X$  may be infinite-dimensional). Then there exists a canonical central extension

$$\mathbb{T} \longrightarrow \widetilde{\Gamma} \longrightarrow \Gamma$$

associated to  $(X, \omega)$  such that for any  $x \in X$ , the corresponding extension of Lie algebras is represented by the cocycle

$$(\xi, \eta) \longmapsto \omega(\xi_x, \eta_x),$$

where  $\xi_x$  denotes the tangent vector at  $x$  induced by the infinitesimal action of  $\xi \in \text{Lie}(\Gamma)$ .

The group  $\widetilde{\Gamma}$  can be described explicitly. The integral closed form  $\omega$  defines for every piecewise smooth loop  $\ell$  in  $X$  an element

$$C(\ell) = \exp\left(i \int_{\sigma} \omega\right),$$

where  $\sigma$  is any surface in  $X$  with boundary  $\partial\sigma = \ell$ . Because  $\omega/2\pi$  is integral,  $C(\ell)$  is well defined. This assignment satisfies:

(H1) **Independence of parametrization:**  $C(\ell) = C(\ell \circ \phi)$  for any degree-one smooth map  $\phi : S^1 \rightarrow S^1$ ;

(H2) **Additivity:** for paths  $p, q, r$  with  $p(1) = q(0), q(1) = r(0)$ ,

$$C(p * r^{-1}) = C(p * q^{-1}) C(q * r^{-1});$$

(H3)  **$\Gamma$ -invariance:**  $C(\gamma \cdot \ell) = C(\ell)$  for all  $\gamma \in \Gamma$ .

Any such assignment  $C$  defines a central extension  $\tilde{\Gamma}$  of  $\Gamma$  by  $\mathbb{T}$  as follows. Fix  $x_0 \in X$ . An element of  $\tilde{\Gamma}$  is represented by a triple  $(\gamma, p, u)$ , where  $\gamma \in \Gamma$ ,  $u \in \mathbb{T}$ , and  $p$  is a path in  $X$  from  $x_0$  to  $\gamma \cdot x_0$ . Two triples  $(\gamma, p, u)$  and  $(\gamma', p', u')$  are equivalent if  $\gamma = \gamma'$  and  $u = C(p' * p^{-1}) u'$ . The group law is

$$(\gamma_1, p_1, u_1) \cdot (\gamma_2, p_2, u_2) = (\gamma_1 \gamma_2, p_1 * (\gamma_1 \cdot p_2), u_1 u_2).$$

This defines a well-defined Lie group  $\tilde{\Gamma}$ .

Applying this to  $\Gamma = X = LG$ , if  $G$  is simply connected then so is  $LG$ , since  $LG \simeq G \times \Omega G$  and  $\pi_1(LG) \cong \pi_1(G) \oplus \pi_2(G)$ . For compact  $G$ ,  $\pi_2(G) = 0$ . Thus  $\widetilde{LG}$  gives the desired central extension of  $LG$ , with a natural  $\text{Diff}^+(S^1)$ -action preserving  $\omega$ .

Now let us turn to part (iv) of Theorem 1.24. There is a so-called **transgression homomorphism**

$$\tau : H^3(G) \longrightarrow H^2(\Omega G), \quad (17)$$

where the cohomology may be taken with either real or integer coefficients. It is defined as the composite

$$H^3(G) \longrightarrow H^3(S^1 \times \Omega G) \longrightarrow H^2(\Omega G),$$

where the first arrow is induced by the evaluation map  $S^1 \times \Omega G \rightarrow G$ , and the second is integration over  $S^1$ . When  $G$  is simply connected, the transgression  $\tau$  is an isomorphism: it reduces to the transpose of the natural isomorphism (coming from the long exact homotopy sequence of the path-loop fibration)

$$\pi_2(\Omega G) \xrightarrow{\cong} \pi_3(G)$$

under the Hurewicz identifications  $\pi_2(\Omega G) \cong H_2(\Omega G)$  and  $\pi_3(G) \cong H_3(G)$ . Combining this with the next two results yields Theorem 1.24(iv). We offer two other ways of thinking about this map.

**Remark 1.26 (Serre spectral sequence).** For a fibration  $F \rightarrow E \xrightarrow{\pi} B$  with connected base, we have the Serre spectral sequence with  $E_2$  page

$$E_2^{p,q} = H^p(B; H^q(F)) \implies H^{p+q}(E).$$

In the case of the path-loop fibration  $\Omega G \rightarrow PG \rightarrow G$ , the  $E_2$  page has  $E_2^{p,0} = H^p(G)$  and  $E_2^{0,q} = H^q(\Omega G)$ . Since the total space  $PG$  is contractible, we can conclude that the differentials must kill everything except  $E_\infty^{0,0} = \mathbb{R}$ . In particular, all the cohomology of the base  $G$  must be killed by the image of some differential  $d_r : E_r^{q-r, r-1} \rightarrow E_r^{q,0}$ .

In our situation we want to identify  $E_3^{3,0} = H^3(G)$  and on the third page it has an incoming differential from  $E_3^{0,2} = H^2(\Omega G)$ . These groups originally were on the  $E_2$  page but I claim that they survive to  $E_3$ . This is because on the  $E_2$  page, there is an outgoing differential

$$E_2^{0,2} \rightarrow E_2^{2,1} = H^2(G; H^1(\Omega G))$$

and it is well known that  $H^2(G) = 0$ . So the term  $E_2^{0,2}$  survives. Also, on the second page we have the incoming differential  $E_2^{1,1} \rightarrow E_2(3,0)$  where

$$E_2^{1,1} = H^1(G; H^1(\Omega G))$$

and it is well known that  $H^1(G) = 0$ . So the term  $E_2^{1,1}$  survives as well.

**Remark 1.27.** Let  $F \hookrightarrow E \xrightarrow{\pi} B$  be a fibration. Fix basepoints  $e_0 \in E$ ,  $b_0 = \pi(e_0) \in B$ , and let  $F = \pi^{-1}(b_0)$  with basepoint  $e_0$ . There is a natural long exact sequence in homotopy groups:

$$\cdots \longrightarrow \pi_{n+1}(B, b_0) \xrightarrow{\partial} \pi_n(F, e_0) \xrightarrow{i_*} \pi_n(E, e_0) \xrightarrow{\pi_*} \pi_n(B, b_0) \xrightarrow{\partial} \pi_{n-1}(F, e_0) \longrightarrow \cdots$$

The connecting homomorphism  $\partial : \pi_n(B) \rightarrow \pi_{n-1}(F)$  works as follows: Take a based map  $f : S^n \rightarrow B$  representing  $[f] \in \pi_n(B)$ . View  $S^n = D^n / \partial D^n$  and precompose to get  $\tilde{f} : D^n \rightarrow B$  with  $\tilde{f}(\partial D^n) = b_0$ . Because  $\pi$  is a fibration (has the homotopy lifting property),  $\tilde{f}$  lifts to  $\tilde{f} : D^n \rightarrow E$  with  $\pi \circ \tilde{f} = \tilde{f}$  and  $\tilde{f}(\partial D^n) \subset F$ . Then

$$\partial([f]) := \left[ \tilde{f}|_{\partial D^n} : S^{n-1} \rightarrow F \right] \in \pi_{n-1}(F).$$

Different choices give the same homotopy class; exactness follows from standard lifting/extension arguments. For the path-loop fibration, the long exact sequence breaks into isomorphisms

$$\pi_{n+1}(G) \xrightarrow[\cong]{\partial} \pi_n(\Omega G).$$

The connecting map  $\partial$  can be described explicitly here. Given  $g : S^{n+1} \rightarrow G$ , use the identification  $S^{n+1} \cong S^n \wedge S^1$ . Define

$$\hat{g} : S^n \longrightarrow \Omega G, \quad \hat{g}(s)(t) := g([s, t]).$$

Then  $\partial([g]) = [\hat{g}]$ . This is exactly the standard loop-suspension adjunction. Homotopy classes of maps satisfy

$$[\Sigma X, Y] \cong [X, \Omega Y]$$

Now recall the Hurewicz theorem: If  $X$  is  $n - 1$  connected, then the Hurewicz homomorphism

$$h : \pi_n(X) \rightarrow H_n(X)$$

defined by the pushforward of the fundamental class of  $S^n$  is an isomorphism.

The Hurewicz theorem gives isomorphisms in first nontrivial degree:

$$\pi_3(G) \cong H_3(G), \quad \pi_2(\Omega G) \cong H_2(\Omega G)$$

The LES isomorphism  $\partial : \pi_3(G) \xrightarrow{\cong} \pi_2(\Omega G)$  induces  $\Sigma_* : H_2(\Omega G) \xrightarrow{\cong} H_3(G)$  on homology. The transgression  $\tau : H^3(G) \rightarrow H^2(\Omega G)$  is the transpose of  $\Sigma_*$  under the evaluation pairings:

$$\langle \tau(\alpha), z \rangle = \langle \alpha, \Sigma_*(z) \rangle \quad (\alpha \in H^3(G), z \in H_2(\Omega G)).$$

In de Rham terms, with  $\alpha$  represented by a closed 3-form  $\sigma$ :

$$\int_{S^2} z^* \tau(\sigma) = \int_{S^1 \times S^2} (\text{ev} \circ (\text{id} \times z))^* \sigma = \int_{S^3} (\Sigma_* z)^* \sigma.$$

**Remark 1.28.** Let  $\pi : E \rightarrow B$  be a smooth proper submersion with compact, oriented fibers of (constant) dimension  $k$ . There is a degree- $k$  lowering linear map

$$\pi_* : \Omega^{p+k}(E) \longrightarrow \Omega^p(B)$$

called integration along the fiber (pushforward of forms). For  $p_2 : M \times F \rightarrow M$  with  $F$  oriented, compact,  $\dim F = k$ :  $(p_2)_*(p_M^* \omega \wedge p_F^* \eta) = \omega \left( \int_F \eta \right)$ .

More invariantly, if  $V_1, \dots, V_p$  are tangent vectors on  $M$ ,

$$((p_2)_* \alpha)_x(V_1, \dots, V_p) = \int_F \alpha_{(x,y)}(-, \tilde{V}_1, \dots, \tilde{V}_p),$$

where  $\tilde{V}_i$  are any horizontal lifts (choice doesn't matter) and now  $\alpha_{(x,y)}(-, \tilde{V}_1, \dots, \tilde{V}_p)$  is a  $k$ -form on  $F$  to be integrated. In order to lift  $V_i$ , we need a connection (horizontal distribution) on the fibration; however, the result is independent of the choice of connection.

This operation on forms is characterized by the identity

$$\int_B (\pi_* \alpha) \wedge \beta = \int_E \alpha \wedge \pi^* \beta \quad \forall \beta \in \Omega_c^{\dim B - p}(B)$$

If you have a local trivialization  $E|_U \cong U \times F$  and  $\alpha$  splits as

$$\alpha = \sum_i (\text{pullback of } \omega_i \text{ on } U) \wedge (\text{pullback of } \eta_i \text{ on } F)$$

then

$$(\pi_* \alpha)|_U = \sum_i \left( \int_F \eta_i \right) \omega_i$$

Extend by linearity and a partition of unity. (If  $\deg \eta_i \neq k$ , its fiber integral is 0.) Key properties you'll actually use:

- Naturality (base change): for a pullback square

$$\begin{array}{ccc} E' & \xrightarrow{\tilde{g}} & E \\ \downarrow \pi' & & \downarrow \pi \\ B' & \xrightarrow{g} & B \end{array} \quad \Rightarrow \quad g^* \circ \pi_* = \pi'_* \circ \tilde{g}^*.$$

- Projection formula:  $\pi_*(\pi^*\beta \wedge \alpha) = \beta \wedge \pi_*\alpha$ .
- Stokes / d-commutation: if fibers are closed (no boundary),  $d(\pi_*\alpha) = \pi_*(d\alpha)$ . If fibers have boundary, a boundary term appears:  $d\pi_*\alpha = \pi_*d\alpha \pm (\partial\pi)_*\alpha$ .
- Fubini/iterated integration: for  $E \xrightarrow{\pi_1} B \xrightarrow{\pi_2} C$ ,  $(\pi_2 \circ \pi_1)_* = \pi_{2*} \circ \pi_{1*}$ .

Now we can check that indeed transgression is the adjoint to loop-suspension on homology. Form the pullback square

$$\begin{array}{ccc} S^1 \times S^2 & \xrightarrow{\text{id} \times z} & S^1 \times \Omega G \\ \downarrow p'_2 & & \downarrow p_2 \\ S^2 & \xrightarrow{z} & \Omega G \end{array}$$

where  $p'_2 : S^1 \times S^2 \rightarrow S^2$  is projection.

Naturality of fiber integration (base change) says:

$$z^* \circ (p_2)_* = (p'_2)_* \circ (\text{id} \times z)^*$$

Apply to  $\alpha = \text{ev}^*\sigma$ :

$$z^*(p_2)_*(\text{ev}^*\sigma) = (p'_2)_*((\text{id} \times z)^*\text{ev}^*\sigma)$$

Integration along a product fiber followed by integration over the base equals integration over the total space:

$$\int_{S^2} (p'_2)_*(\beta) = \int_{S^1 \times S^2} \beta$$

for any top-degree form  $\beta$  on  $S^1 \times S^2$ .

Take  $\beta = (\text{id} \times z)^*\text{ev}^*\sigma$ . Then

$$\int_{S^2} z^*(p_2)_*(\text{ev}^*\sigma) = \int_{S^2} (p'_2)_*((\text{id} \times z)^*\text{ev}^*\sigma) = \int_{S^1 \times S^2} (\text{id} \times z)^*\text{ev}^*\sigma.$$

Step 3 (compose pullbacks). We have  $(\text{id} \times z)^*\text{ev}^* = (\text{ev} \circ (\text{id} \times z))^*$ . Hence

$$\int_{S^1 \times S^2} (\text{id} \times z)^*\text{ev}^*\sigma = \int_{S^1 \times S^2} (\text{ev} \circ (\text{id} \times z))^*\sigma.$$

Now let  $F := \text{ev} \circ (\text{id} \times z) : S^1 \times S^2 \rightarrow G$  be given by  $F(t, s) = z(s)(t)$ .  $F$  is constant on the wedge  $S^1 \vee S^2 \subset S^1 \times S^2$ , so it descends to the quotient

$$q : S^1 \times S^2 \twoheadrightarrow (S^1 \times S^2)/(S^1 \vee S^2) = S^1 \wedge S^2 \cong S^3$$

i.e. there exists a unique continuous map  $\Sigma_* z : S^1 \wedge S^2 \rightarrow G$  such that  $F = (\Sigma_* z) \circ q$ . Concretely,  $\Sigma_* z([t, s]) = z(s)(t)$ . This is the loop-suspension of  $z$ .

By functoriality of pullback:

$$\int_{S^1 \times S^2} F^* \sigma = \int_{S^1 \times S^2} q^*(\Sigma_* z)^* \sigma$$

The quotient  $q$  has degree 1 (it is an orientation-preserving collapse of a wedge to a point), hence it pushes the fundamental class forward:  $q_*[S^1 \times S^2] = [S^1 \wedge S^2]$ . Therefore

$$\int_{S^1 \times S^2} q^*(\Sigma_* z)^* \sigma = \int_{S^1 \wedge S^2} (\Sigma_* z)^* \sigma$$

Finally, fix the standard orientation  $S^1 \wedge S^2 \cong S^3$ . Then

$$\int_{S^1 \wedge S^2} (\Sigma_* z)^* \sigma = \int_{S^3} (\Sigma_* z)^* \sigma$$

**Proposition 1.29** (Pressley–Segal, Prop. 4.4.4). Let  $\sigma$  denote the left-invariant 3-form on  $G$  whose value at the identity element is

$$\sigma(\xi, \eta, \zeta) = \langle [\xi, \eta], \zeta \rangle.$$

Then the transgression  $\tau(\sigma)$  is cohomologous to the invariant 2-form  $\omega/2\pi$  on  $\Omega G$ .

**Remark 1.30** (Another perspective on transgression). Recall that invariant symmetric bilinear forms on  $\mathfrak{g}$  are classified by the Lie algebra cohomology  $H^3(\mathfrak{g})$  defined by the Chevalley-Eilenberg complex. Given such a form  $\langle , \rangle$ , the associated 3-cocycle is

$$\sigma(\xi, \eta, \zeta) = \langle [\xi, \eta], \zeta \rangle.$$

Conversely, given a 3-cocycle  $\sigma$  on  $\mathfrak{g}$ , one can define an invariant symmetric bilinear form by

$$\langle \xi, \eta \rangle := \sigma(\xi, [\eta_1, \eta_2]),$$

where  $\eta_1, \eta_2$  are any elements satisfying  $\eta = [\eta_1, \eta_2]$  (such elements exist since  $\mathfrak{g}$  is semisimple, and the definition is independent of the choice because  $\sigma$  is a cocycle). We have seen that invariant symmetric bilinear forms on  $\mathfrak{g}$  classify central extensions of the loop algebra  $L\mathfrak{g}$  via the construction which takes  $\langle , \rangle$  to the cocycle

$$\omega(\xi, \eta) = \frac{1}{2\pi} \int_0^{2\pi} \langle \xi(\theta), \eta'(\theta) \rangle d\theta.$$

Moreover we have seen that any such cocycle  $\omega$  arises from such a bilinear form. Thus we have an isomorphism

$$H^3(\mathfrak{g}) \xrightarrow{\cong} H^2(L\mathfrak{g})$$

On the other hand, if  $G$  is compact, then the de Rham cohomology  $H^3(G)$  is isomorphic to the Lie algebra cohomology  $H^3(\mathfrak{g})$ . This is because every de Rham cohomology class has a unique left invariant representative form given by averaging, and therefore the cohomology of  $G$  can be calculated from the cochain complex of the Lie algebra  $\mathfrak{g}$ .

**Proposition 1.31 (Pressley–Segal, Prop. 4.4.5).** The skew form  $\sigma$  of Proposition 1.29 defines an **integral** cohomology class on the simply connected compact group  $G$  if and only if

$$\langle h_\alpha, h_\alpha \rangle \in 2\mathbb{Z} \quad \text{for each coroot } h_\alpha \text{ of } G.$$

*Proof.* For each root  $\alpha$  there is a homomorphism  $i_\alpha : SU_2 \rightarrow G$  such that on diagonal matrices in  $SU_2$ , it induces the coroot  $h_\alpha$  (see Section 2.4). When  $\sigma$  is pulled back to  $SU_2 \cong S^3$  by  $i_\alpha$ , one has

$$i_\alpha^* \sigma = \frac{1}{2} \langle h_\alpha, h_\alpha \rangle \sigma_0,$$

where  $\sigma_0$  is the invariant 3-form on  $SU_2$  with integral 1.

Thus the statement follows because the maps  $i_\alpha$  generate  $\pi_3(G)$ , and hence the condition for  $\sigma$  to be integral is that all these pullbacks be integral. It suffices to verify this for simple groups  $G$ , since any locally isomorphic group has the same  $\pi_3$ . One must then show that for a suitable  $\alpha$ , the homogeneous space  $G/i_\alpha(SU_2)$  is 3-connected; this holds for all simple classical groups (see Bott [?] for a proof via Morse theory).  $\square$

If  $\mathfrak{g}$  is simple then the space of invariant symmetric bilinear forms on  $\mathfrak{g}$  is one-dimensional. There is a minimal such form  $\langle \cdot, \cdot \rangle_{\min}$  satisfying the integrality condition. We shall call this the basic inner product, and the associated extension the basic central extension of  $LG$ .

If  $G$  is simply laced then the basic inner product is the one discussed in Section 2.5 for which  $\langle h_\alpha, h_\alpha \rangle = 2$  for every coroot. In general it is characterized by the property that  $\langle h_\alpha, h_\alpha \rangle = 2$  when  $\alpha$  is the highest root. The Killing form on  $\mathfrak{g}$  satisfies the integrality condition, so it is an integer multiple of the basic form. We shall obtain a formula for the integer in Section 14.5. When  $G$  is simply laced it is the Coxeter number of  $G$ . The basic central extension is universal.

I tried understand where this integrality condition comes from geometrically but I'm still a little confused. Pressley and Segal say that it's because the  $SU(2) \rightarrow G$  corresponding to a coroot has the property that  $i_\alpha^* \sigma = \frac{1}{2} \langle h_\alpha, h_\alpha \rangle \sigma_0$  where  $\sigma_0$  is the generator of  $H^3(SU(2), \mathbb{Z})$ . But I had a hard time checking this and understanding why this is the right way to think about integrality. I was wondering if there is another way to understand this integrality condition and to pick out the integral cohomology classes.

## 2 Oct 26

### 2.1 Circle Bundles, Connections, and Curvature

Suppose that  $\pi : Y \rightarrow X$  is a smooth principal fibre bundle whose fibre is a circle and whose base  $X$  is a possibly infinite-dimensional manifold. This means that the group  $\mathbb{T}$  acts freely on  $Y$ , the fibres are its orbits, and  $X$  is the orbit space  $Y/\mathbb{T}$ . A connection in the bundle is a prescription which decomposes the tangent space  $T_y Y$  at a point  $y \in Y$  as

$$T_y Y = \mathbb{R} \oplus T_y^{\text{horiz}} Y,$$

where  $\mathbb{R}$  is the tangent space along the fibre and  $T_y^{\text{horiz}} Y$ —the ‘horizontal’ tangent vectors—is a replica of  $T_{\pi(y)} X$ . The decomposition is required to be invariant under the action of  $\mathbb{T}$  on  $Y$ .

A connection tells one how a path in  $X$  can be lifted to a horizontal path in  $Y$  with a prescribed starting point. If one lifts a closed path in  $X$ , the lifted path in  $Y$  will in general fail to close. The gap between its ends corresponds to an element of  $\mathbb{T}$ , called the holonomy around the path. The curvature of the connection measures the holonomy around infinitesimally small closed paths: it is the closed 2-form  $\omega$  on  $X$  whose value on a pair of tangent vectors  $\xi, \eta$  at a point of  $X$  is the infinitesimal holonomy around the parallelogram spanned by  $\xi$  and  $\eta$ .

$$\omega(\xi, \eta) = [\tilde{\xi}, \tilde{\eta}] - [\tilde{\xi}, \tilde{\eta}]^{\text{horiz}}$$

The curvature defines an element of the cohomology group  $H^2(X; \mathbb{R})$  which depends only on the topological type of the bundle. Moreover  $\omega/2\pi$  is an integral class—i.e. its integral over any 2-cocycle in  $X$  is an integer—and it comes from a well-defined element of  $H^2(X; \mathbb{Z})$  called the (first) Chern class of the bundle.

**Theorem 2.1 (Chern Weil for circle bundles).** Let  $(Y, \alpha)$  be a principal  $U(1)$ -bundle with connection 1-form  $\alpha$  and curvature 2-form  $\omega = d\alpha$ . Then  $[\omega/2\pi] \in H^2(X; \mathbb{R})$  equals the image of  $c_1(Y) \in H^2(X; \mathbb{Z})$  under  $i : H^2(X; \mathbb{Z}) \rightarrow H^2(X; \mathbb{R})$ .

The Chern class describes the topological type of the circle bundle completely, and any element of  $H^2(X; \mathbb{Z})$  arises from a bundle. If  $X$  is simply connected, then the natural map

$$i : H^2(X; \mathbb{Z}) \longrightarrow H^2(X; \mathbb{R})$$

is injective, and the topological type is completely determined by the class of  $\omega/2\pi$ . In general the kernel of  $i$  corresponds to the flat bundles, i.e. those which can be given a connection with curvature zero.

Analytically, a connection can be described in three ways:

1. One can give the map  $\xi \mapsto \tilde{\xi}$  which to each vector field  $\xi$  on  $X$  assigns the corresponding horizontal  $\mathbb{T}$ -invariant vector field  $\tilde{\xi}$  on  $Y$ . From this point of view the curvature is given by

$$\omega(\xi, \eta) = [\tilde{\xi}, \tilde{\eta}] - [\tilde{\xi}, \tilde{\eta}]^{\sim}.$$

The right-hand side of this equation is a  $\mathbb{T}$ -invariant vertical vector field on  $Y$ ; but we can canonically identify it with a real-valued function on  $X$ . For a principal  $S^1$ -bundle  $\pi : Y \rightarrow X$ , the group  $S^1$  acts freely on  $Y$ :

$$R_\rho(y) = y \cdot \rho, \quad \rho \in S^1.$$

This action gives, at every  $y \in Y$ , a canonical vector field on  $Y$  generated by the Lie algebra of the group:

$$\zeta_y := \left. \frac{d}{d\theta} \right|_{\theta=0} (y \cdot e^{i\theta}).$$

For any  $y \in Y$ , the tangent space to the fibre through  $y$  - i.e. the vertical subspace  $V_y = \ker(d\pi_y)$  is exactly the one-dimensional subspace spanned by  $\zeta_y$ . And the correspondence

$$i\mathbb{R} \longrightarrow V_y, \quad a \longmapsto a \cdot \zeta_y$$

is an isomorphism of vector spaces, depending smoothly on  $y$ .

2. One can give the  $\mathbb{T}$ -invariant 1-form  $\alpha \in \Omega^1(Y, \mathbb{R})$  where  $\mathbb{R} \cong \text{Lie}(S^1)$  which assigns to a tangent vector to  $Y$  its vertical component. The restriction of  $\alpha$  to each fibre is the standard 1-form  $d\theta$ . The derivative  $d\alpha$  is  $\mathbb{T}$ -invariant and vanishes on vertical vectors, so  $d\alpha = \pi^*\omega$  for a unique closed 2-form  $\omega$  on  $X$ , which is the curvature.
3. One can introduce local trivializations of  $Y$ . That is,  $X$  is covered by open sets  $\{U_a\}$ , and the part of  $Y$  over  $U_a$  is identified with  $U_a \times \mathbb{T}$ . Then the connection is described in  $U_a$  by the 1-form  $\alpha_a = s_a^*\alpha$  which is constant in terms of the local trivialization  $U_a \times \mathbb{T}$ . In  $U_a$  the curvature  $\omega$  is described by  $d\alpha_a = \omega$ . If the transition functions of the bundle are

$$f_{ab} : U_a \cap U_b \longrightarrow \mathbb{T}$$

(i.e. the point  $(x, \rho) \in U_a \times \mathbb{T}$  is the same point as  $(x, f_{ab}(x)\rho) \in U_b \times \mathbb{T}$ ), then

$$\alpha_b = \alpha_a + i f_{ab}^{-1} df_{ab}.$$

**Remark 2.2 (Connections and curvature on principal  $G$ -bundles).** Let  $Y \rightarrow X$  be a principal  $G$ -bundle with connection 1-form  $\alpha \in \Omega^1(Y, \mathfrak{g})$ , where  $\alpha$  satisfies:

1.  $R_g^*\alpha = \text{Ad}_{g^{-1}}\alpha$  for all  $g \in G$ ,
2.  $\alpha(\zeta_Y) = \zeta$  for all  $\zeta \in \mathfrak{g}$ , where  $\zeta_Y$  is the fundamental vector field on  $Y$  generated by  $\zeta$ .

A differential form  $\beta \in \Omega^k(Y, \mathfrak{g})$  is called **basic** if:

1. it's  $G$ -invariant, and

2. it's horizontal (i.e.  $\iota_{\zeta_Y} \beta = 0$  for all  $\zeta \in \mathfrak{g}$ ) where  $\iota$  is the interior product

$$\iota_{\zeta_Y} \beta(Y_1, \dots, Y_{k-1}) = \beta(\zeta_Y, Y_1, \dots, Y_{k-1})$$

Basic forms are precisely the pullbacks of forms on the base. The choice of a connection induces a canonical identification:

$$\Omega^k(X) \cong \Omega^k(Y)_{\text{basic}} := \{\beta \in \Omega^k(Y) \mid R_\rho^* \beta = \beta, \iota_\zeta \beta = 0\}.$$

So if  $\beta$  is basic, there exists a unique form  $\omega$  on  $X$  such that  $\pi^* \omega = \beta$ .

It turns out that if you set

$$F = d\alpha + \frac{1}{2}[\alpha \wedge \alpha] \in \Omega^2(Y, \mathfrak{g})$$

then  $F$  is basic, and hence defines a unique form  $\Omega \in \Omega^2(X, \mathfrak{g})$  such that  $\pi^* \Omega = F$ . This form  $\Omega$  is called the curvature of the connection. In the case of a circle bundle, the Lie algebra is abelian so  $F = d\alpha$ .

## 2.2 Positive energy representations

From any point of view the crucial property of loop groups is the existence of the one-parameter group of automorphisms which simply rotates the loops. It permits one to speak of representations of  $LG$  of **positive energy**.

**Definition 2.3.** A representation of  $LG$  on a topological vector space  $\mathcal{H}$  has **positive energy** if there is given a positive action of the circle group  $\mathbb{T}$  on  $\mathcal{H}$  which intertwines with the action of  $LG$  so as to provide a representation of the semidirect product

$$\mathbb{T} \ltimes LG,$$

where  $\mathbb{T}$  acts on  $LG$  by rotation. An action of  $\mathbb{T}$  on  $\mathcal{H}$  is **positive** if  $e^{i\theta} \in \mathbb{T}$  acts as  $e^{iA\theta}$ , where  $A$  is an operator with positive spectrum. It turns out that representations of  $LG$  of positive energy are necessarily projective.

The theory of the positive energy representations of  $LG$  (or, more accurately, of  $\mathbb{T} \ltimes LG$ ) is strikingly simple, and in strikingly close analogy with the representation theory of compact groups. Thus the irreducible representations

- (i) are all unitary,
- (ii) all extend to holomorphic representations of  $LG_{\mathbb{C}}$ , and
- (iii) form a countable discrete set, parametrized by the points of a positive cone in the lattice of characters of a torus.

None of these properties holds, for example, for the representations of  $SL_2(\mathbb{R})$ .

In the study of  $LG$  the homogeneous space  $X = LG/G$  (where  $G$  is identified with the constant loops in  $LG$ ) plays a central role. One can think of  $X$  as the space  $\Omega G$  of **based** loops in  $G$ ; but we prefer to regard it as a homogeneous space of  $LG$ . I shall list its most important properties.

- (i)  $X$  is a complex manifold, and in fact a homogeneous space of the complex group  $LG_{\mathbb{C}}$ :

$$X = LG/G \cong LG_{\mathbb{C}}/L^+G_{\mathbb{C}}. \quad (2.1)$$

Here  $L^+G_{\mathbb{C}}$  is the group of smooth maps

$$\gamma : S^1 \longrightarrow G_{\mathbb{C}}$$

which are the boundary values of holomorphic maps

$$\gamma : \{z \in \mathbb{C} : |z| < 1\} \longrightarrow G_{\mathbb{C}}.$$

The isomorphism (2.1) is equivalent to the assertion that any loop  $\gamma \in LG_{\mathbb{C}}$  can be factorized

$$\gamma = \gamma_u \cdot \gamma_+,$$

with  $\gamma_u \in LG$  and  $\gamma_+ \in L^+G_{\mathbb{C}}$ . This is analogous to the factorization of an element of  $GL_n(\mathbb{C})$  as (unitary)  $\times$  (upper triangular). This endows  $X$  with a complex structure coming from the complex structure on  $LG_{\mathbb{C}}$ .

- (ii) For each invariant inner product  $\langle \cdot, \cdot \rangle$  on the Lie algebra  $\mathfrak{g}$  of  $G$ , there is an invariant closed 2-form  $\omega$  on  $X$  which makes it a symplectic manifold, and even fits together with the complex structure to make a Kähler manifold. The tangent space to  $X$  at its basepoint is  $L\mathfrak{g}/\mathfrak{g}$ , and  $\omega$  is given there by

$$\omega(\xi, \eta) = \frac{1}{2\pi} \int_0^{2\pi} \langle \xi'(\theta), \eta(\theta) \rangle d\theta. \quad (2.2)$$

- (iii) The **energy** function

$$\mathcal{E} : X \longrightarrow \mathbb{R}_+, \quad \mathcal{E}(\gamma) = \frac{1}{4\pi} \int_0^{2\pi} \|\gamma'(\theta)\|^2 d\theta,$$

is the Hamiltonian function corresponding, in terms of the symplectic structure, to the circle action on  $X$  which rotates loops. The critical points of  $\mathcal{E}$  are the loops which are homomorphisms  $\mathbb{T} \rightarrow G$ . Downward gradient trajectories of  $\mathcal{E}$  emanate from every point of  $X$  and travel to critical points of  $\mathcal{E}$ . The gradient flow of  $\mathcal{E}$  and the Hamiltonian circle action fit together to define a holomorphic action on  $X$  of the multiplicative semigroup

$$\mathbb{C}_1^\times = \{z \in \mathbb{C} : 0 < |z| \leq 1\}.$$

The connected components  $\mathcal{C}_{[\lambda]}$  of the critical set of  $\mathcal{E}$  are the conjugacy classes of homomorphisms  $\lambda : \mathbb{T} \rightarrow G$ . They correspond to the orbits of the Weyl group  $W$  on the lattice  $\pi_1(\mathbb{T})$ , where  $\mathbb{T}$  is a maximal torus of  $G$ . The gradient flow of  $\mathcal{E}$  stratifies the manifold  $X$  into locally closed complex submanifolds  $X_{[\lambda]}$ , where  $X_{[\lambda]}$  consists of the points which flow to  $\mathcal{C}_{[\lambda]}$ . Each stratum  $X_{[\lambda]}$  is of finite codimension.

**Proposition 2.4.** The stratification coincides with the decomposition of  $X$  into orbits of  $L^-G_{\mathbb{C}}$ .

$$X_{[\lambda]} = L^-G_{\mathbb{C}} \cdot \lambda.$$

Here  $L^-G_{\mathbb{C}}$  is the group of loops in  $G_{\mathbb{C}}$  which are boundary values of holomorphic maps

$$\gamma : D_{\infty} \longrightarrow G_{\mathbb{C}}, \quad \text{where } D_{\infty} = \{z \in S^2 : |z| > 1\}.$$

This is analogous to the classical Birkhoff factorization theorem: a loop  $\gamma$  in  $G_{\mathbb{C}}$  can be factorized

$$\gamma = \gamma_- \cdot \lambda \cdot \gamma_+,$$

with  $\gamma_{\pm} \in L^{\pm}G_{\mathbb{C}}$  and  $\lambda : S^1 \rightarrow G$  a homomorphism. This is the analogue of factorizing an element of  $GL_n(\mathbb{C})$  as

$$(\text{lower triangular}) \times (\text{permutation matrix}) \times (\text{upper triangular}).$$

There is one dense open stratum  $X_0$  in  $X$ . It is contractible, and can be identified with the nilpotent group

$$L_0^-G_{\mathbb{C}} = \{\gamma \in L^-G_{\mathbb{C}} : \gamma(\infty) = 1\}.$$

- (iv) The complex structure of  $X$  can be characterized in another way, pointed out by Atiyah [?]. To give a holomorphic map  $Z \rightarrow X$ , where  $Z$  is an arbitrary complex manifold, is the same as to give a holomorphic principal  $G_{\mathbb{C}}$ -bundle on  $Z \times S^2$  together with a trivialization over  $Z \times D_{\infty}$ . If  $Z$  is compact it follows that the space of **based** maps  $Z \rightarrow X$  in a given homotopy class is finite-dimensional; for the moduli space of  $G_{\mathbb{C}}$ -bundles of a given topological type is finite-dimensional.

**Remark 2.5 (Elaboration on the fourth point).** Recall that:

$$LG_{\mathbb{C}} = \{\gamma : S^1 \rightarrow G_{\mathbb{C}} \text{ smooth}\}$$

$$L^+G_{\mathbb{C}} = \{\gamma : |z| = 1 \mapsto G_{\mathbb{C}} \text{ extends holomorphically to } |z| < 1\}$$

Then  $X = LG_{\mathbb{C}}/L^+G_{\mathbb{C}}$  is a complex homogeneous space, and its points can be identified with equivalence classes of maps  $\gamma : S^1 \rightarrow G_{\mathbb{C}}$ , modulo multiplication on the right by  $L^+G_{\mathbb{C}}$ . Geometrically, this

means each point of  $X$  encodes a way of gluing two holomorphic trivializations of  $G_{\mathbb{C}}$ -bundles on the two disks  $|z| < 1$  and  $|z| > 1$ , along their common boundary  $S^1$ .

Take the Riemann sphere  $S^2 = D_0 \cup D_\infty$ , where  $D_0 = \{|z| < 1\}$  and  $D_\infty = \{|z| > 1\} \cup \{\infty\}$ . Suppose we're given a loop  $\gamma \in LG_{\mathbb{C}}$ . We can use  $\gamma$  as a clutching function to glue together two trivial holomorphic  $G_{\mathbb{C}}$ -bundles:

$$(D_0 \times G_{\mathbb{C}}) \cup_{\gamma} (D_\infty \times G_{\mathbb{C}}).$$

That is, we identify points on the boundary circle  $S^1 = \partial D_0 = \partial D_\infty$  via:  $(z, g_0) \sim (z, g_\infty)$  if  $g_\infty = \gamma(z) g_0$ . This produces a holomorphic principal  $G_{\mathbb{C}}$ -bundle on  $S^2$ , whose isomorphism class depends only on the coset of  $\gamma$  modulo right multiplication by  $L^+G_{\mathbb{C}}$ . Indeed, multiplying  $\gamma$  on the right by an element of  $L^+G_{\mathbb{C}}$  corresponds to changing the trivialization on the disk  $D_0$  by a holomorphic gauge transformation which doesn't change the glued bundle.

Therefore there is a bijection between points of  $X = LG_{\mathbb{C}}/L^+G_{\mathbb{C}}$  and isomorphism classes of holomorphic  $G_{\mathbb{C}}$ -bundles on  $S^2$  with a fixed trivialization over  $D_\infty$ .

Now suppose you have a holomorphic map  $f : Z \rightarrow X$ . At each point  $z \in Z$ , the image  $f(z)$  is a coset  $[\gamma_z] \in X = LG_{\mathbb{C}}/L^+G_{\mathbb{C}}$ . This means you have a family of clutching functions  $\gamma_z : S^1 \rightarrow G_{\mathbb{C}}$ , depending holomorphically on  $z \in Z$ .

Using these as gluing data, you can construct a holomorphic family of bundles on  $Z \times S^2$  by gluing the trivial bundles  $(Z \times D_0 \times G_{\mathbb{C}})$  and  $(Z \times D_\infty \times G_{\mathbb{C}})$  along their intersection  $Z \times S^1$  via:  $(z, e^{i\theta}, g_0) \sim (z, e^{i\theta}, \gamma_z(e^{i\theta})g_0)$ . This yields a holomorphic principal  $G_{\mathbb{C}}$ -bundle on  $Z \times S^2$ , trivialized over  $Z \times D_\infty$ .

Conversely, given such a bundle  $P$  over  $Z \times S^2$  with trivialization over  $Z \times D_\infty$ , you can recover the transition function  $\gamma_z$  on the boundary  $S^1$ , and hence a holomorphic map  $f : Z \rightarrow X$ .

Now assume  $Z$  is a compact complex manifold. The space of based holomorphic maps  $Z \rightarrow X$  corresponds to holomorphic families of  $G_{\mathbb{C}}$ -bundles on  $Z \times S^2$  with a chosen trivialization over  $Z \times D_\infty$ .

**But the moduli space  $\mathcal{M}_{G_{\mathbb{C}}}(Z \times S^2)$  of holomorphic  $G_{\mathbb{C}}$ -bundles on any compact complex manifold is finite-dimensional.** Deformation theory of holomorphic principal bundles shows that the tangent space at a point is  $H^1(Z \times S^2, \text{ad } P)$ , which is finite-dimensional because  $Z \times S^2$  is compact.

## 2.3 Borel Weil theory for loop groups

To simplify the discussion we shall assume from now on that the compact group  $G$  is simply connected and simple. Then

$$H^2(X; \mathbb{Z}) \cong \mathbb{Z}$$

and so the complex line bundles  $L$  on  $X$  are classified by an integer invariant  $c_1(L)$ . This can be thought of as the  $\omega/2\pi$  curvature class of any choice of connection on  $L$ .

In fact each bundle has a unique holomorphic structure, and has non-zero holomorphic sections if and only if  $c_1(L) \geq 0$ . The space of holomorphic sections of the bundle  $L_1$  with  $c_1(L_1) = 1$  is called the **basic representation** of  $LG_{\mathbb{C}}$ : we have remarked that when  $G = SU_n$  the bundle  $L_1$  is the restriction of  $\text{Det}^*$  on  $\text{Gr}(\mathcal{H})$ .

Is there a way of talking about this Grassmannian algebraically, without thinking about Fredholm operators? Similar to the relationship between the Atiyah Singer index theorem and the Grothendieck Riemann Roch theorem?

As we saw in that case,  $L_1$  is not quite homogeneous under  $LG_{\mathbb{C}}$ . If one tries to define an action of  $LG_{\mathbb{C}}$  on  $L_1$  lifting its action on the base  $X$ , one finds that it's only defined up to multiplication by a scalar.

The loop group  $LG_{\mathbb{C}}$  acts on the base  $X$ , but not linearly on  $L_{n,\lambda}$ ; it only acts up to scalar. In other words:

$$g_1, g_2 \in LG_{\mathbb{C}} \Rightarrow (g_1 g_2) \cdot s = c(g_1, g_2) (g_1 \cdot (g_2 \cdot s))$$

for a scalar multiplier  $c(g_1, g_2) \in \mathbb{C}^\times$ . This scalar factor  $c(g_1, g_2)$  is a 2-cocycle and it agrees with the first Chern class of the line bundle.

The holomorphic automorphisms of  $L_1$  which cover the action of  $LG_{\mathbb{C}}$  on  $X$  form a group  $\widetilde{LG}_{\mathbb{C}}$  which is a central extension of  $LG_{\mathbb{C}}$  by  $\mathbb{C}^\times$ —in fact its universal central extension. It corresponds to the Lie algebra cocycle (2.2) for an inner product  $\langle , \rangle$  on which I shall also call “basic”.

One reason for the name “basic” is provided by

**Proposition 2.6.** If  $G$  is a simply-laced group and  $\Gamma$  is the basic representation of  $LG_{\mathbb{C}}$ , then any irreducible representation of positive energy is a discrete summand in  $\rho^* \Gamma$ , where  $\rho : LG_{\mathbb{C}} \rightarrow LG_{\mathbb{C}}$  is an endomorphism.

To describe all the positive energy irreducible representations of  $LG$  we must consider the larger complex homogeneous space

$$Y = LG_{\mathbb{C}} / T,$$

where  $T$  is a maximal torus of  $G$ . This manifold  $Y$  is fibred over  $X$  with the finite-dimensional complex homogeneous space  $G/T$  as fibre. Complex line bundles on  $Y$  are classified topologically by

$$H^2(Y; \mathbb{Z}) \cong H^2(G/T; \mathbb{Z}) \oplus H^2(X; \mathbb{Z}) \cong \mathbb{Z} \oplus \widehat{T},$$

where  $\widehat{T}$  is the character group of  $T$ . Once again each bundle has a unique holomorphic structure, and is homogeneous under  $\widetilde{LG}_{\mathbb{C}}$ . Is there an algebraic model for these line bundles?

**Proposition 2.7** (Borel-Weil for loop groups).

- (a) The space  $\Gamma(L_{n,\lambda})$  of holomorphic sections of  $L_{n,\lambda}$  is either zero or an irreducible projective representation of  $LG_{\mathbb{C}}$  of positive energy.
- (b) Every projective irreducible representation of  $LG$  of positive energy arises in this way.
- (c)  $\Gamma(L_{n,\lambda}) \neq 0$  if and only if  $(n, \lambda)$  is **positive** in the sense that

$$0 \leq \lambda(h_\alpha) \leq n\langle h_\alpha, h_\alpha \rangle$$

for each positive coroot  $h_\alpha$  of  $G$ , where  $\langle \cdot, \cdot \rangle$  is the basic inner product on  $\mathfrak{g}$ .

**Remark 2.8.** Note that the level  $n = c_1(L_{n,\lambda})$  must be a nonnegative integer for there to be any holomorphic sections at all. This is the integrality condition on the curvature of the line bundle. **So what does it mean to take rational level, such as in rational conformal field theory?**

**Corollary 2.9 (4.3).** For positive energy representations of  $LG$ :

- (a) each representation is necessarily projective,
- (b) each representation extends to a holomorphic representation of  $LG_{\mathbb{C}}$ , and
- (c) each irreducible representation is of **finite type**, i.e. if it is decomposed into energy levels  $H = \bigoplus_q H_q$ , where  $H_q$  is the part where the rotation  $e^{i\theta} \in \mathbb{T}$  acts as  $e^{iq\theta}$ , then each  $H_q$  has finite dimension.

## 2.4 Kac character formula

Because each irreducible representation of  $\mathbb{T} \ltimes LG$  is of finite type it makes sense to speak of its formal character, i.e. of its decomposition under the torus  $\mathbb{T} \times T$ . This is given by the Kac character formula, an exact analogue of the classical Weyl character formula.

Thinking of  $Y = LG/T$  as  $\mathbb{T} \ltimes LG/\mathbb{T} \times T$ , we observe that the torus  $\mathbb{T} \times T$  acts on  $Y$  with a discrete set of fixed points. This set is the **affine Weyl group**

$$W_{\text{aff}} = N(\mathbb{T} \times T)/(\mathbb{T} \times T).$$

If one ignores the infinite dimensionality of  $Y$  and writes down formally the Lefschetz fixed-point formula of Atiyah-Bott for the character of the torus action on the holomorphic sections of a positive line bundle  $L$  on  $Y$ , then one obtains the Kac formula, at least if one assumes that the cohomology groups  $H^q(Y; \mathcal{O}(L))$  vanish for  $q > 0$ . (Here  $\mathcal{O}(L)$  is the sheaf of holomorphic sections of  $L$ .) Unfortunately it does not seem possible at present to prove the formula this way.

**Remark 2.10.** Let  $M$  be a compact complex manifold,  $E \rightarrow M$  a holomorphic vector bundle, and

$g : M \rightarrow M$  a holomorphic automorphism with isolated fixed points. Write

$$L(g, M, E) := \sum_{q \geq 0} (-1)^q \operatorname{Tr}(g | H^q(M, \mathcal{O}(E))).$$

Then

$$L(g, M, E) = \sum_{x \in \operatorname{Fix}(g)} \frac{\operatorname{Tr}(g | E_x)}{\det(I - (dg)_x | T_x M)} \quad (\text{HLF})$$

Here  $(dg)_x : T_x M \rightarrow T_x M$  is the complex linear derivative at the fixed point.

if  $Y$  had only isolated fixed points for the  $\mathbb{T} \times T$  action and if higher cohomology vanished, the character  $\chi(g) = \sum_q (-1)^q \operatorname{Tr}(g | H^q(Y, \mathcal{O}(L)))$  equals the right-hand sum. When  $H^q = 0$  for  $q > 0$ ,  $\chi(g) = \operatorname{Tr}(g | H^0)$ .

Take  $M = Y = LG_{\mathbb{C}}/T$ ,  $E = L$  a positive line bundle, and let an element  $t \in \mathbb{T} \times T$  act holomorphically. One checks the higher cohomology  $H^q(Y, \mathcal{O}(L))$  vanishes for  $q > 0$ . Then

$$\chi_L(t) = \operatorname{Tr}(t | H^0(Y, \mathcal{O}(L))) = \sum_{w \in Y^{\mathbb{T} \times T}} \frac{t^{\text{weight of } L \text{ at } w}}{\prod_{\alpha \in \text{weights of } T_w Y} (1 - t^\alpha)}.$$

For  $Y$  the loop flag space, the fixed points are indexed by the affine Weyl group, and the denominator/product of tangent weights is the affine Weyl denominator; massaging this equality gives the Weyl-Kac character formula.

I was reading Pressley-Segal's remark that if you "formally apply the Atiyah-Bott Lefschetz fixed-point formula" to the torus action on  $Y = LG_{\mathbb{C}}/T$ , you get the Kac character formula. I find that fascinating — could you say a bit about what's really going on there? Is there a geometric way to make sense of that heuristic?

One can do better by using more information about the geometry of the space  $Y$ . It possesses a stratification just like that of  $X$  described in §2. The strata  $\{\Sigma_w\}$  are complex affine spaces of finite codimension, and are indexed by the elements  $w$  of the group  $W_{\text{aff}}$ : indeed  $\Sigma_w$  is the orbit of  $w$  under

$$N^- G_{\mathbb{C}} = \{\gamma \in L^- G_{\mathbb{C}} : \gamma(\infty) \text{ is lower triangular}\}.$$

Let  $Y_p$  denote the union of the strata of complex codimension  $p$ . The cohomology groups  $H^*(Y; \mathcal{O}(L))$  are those of the cochain complex  $\mathcal{K}'$  formed by the sections of a flabby resolution of  $\mathcal{O}(L)$ . Filtering  $\mathcal{K}'$  by defining  $\mathcal{K}'_p$  as the subcomplex of sections with support in  $Y_p$  gives us a spectral sequence converging to  $H^*(Y; \mathcal{O}(L))$  with

$$E_1^{pq} = H^{p+q}(\mathcal{K}'_p / \mathcal{K}'_{p+1}).$$

Because  $Y_p$  is affine and has an open neighbourhood  $U_p$  isomorphic to  $Y_p \times \mathbb{C}^p$  the spectral sequence collapses, and its  $E_1$ -term reduces to

$$E_1^{p0} = H_{Y_p}^p(U_p; \mathcal{O}(L)), \quad E_1^{pq} = 0 \text{ if } q \neq 0.$$

In other words  $H^*(Y; \mathcal{O}(L))$  can be calculated from the cochain complex  $\{H_{Y_p}^p(U_p; \mathcal{O}(L))\}$ . Here  $H_{Y_p}^p(U_p; \mathcal{O}(L))$  means the cohomology of the sheaf  $\mathcal{O}(L)|_{U_p}$  with supports in  $Y_p$ . It is simply the space of holomorphic sections of the bundle on  $Y_p$  whose fibre at  $y$  is

$$L_Y \otimes H_{\{0\}}^p(N_{Y_y}; \mathcal{O}),$$

where  $N_{Y_y} \cong \mathbb{C}^p$  is the normal space to  $Y_p$  at  $y$ ; furthermore  $H_{\{0\}}^p(N_{Y_y}; \mathcal{O})$  is the dual of the space of holomorphic  $p$ -forms on  $N_{Y_y}$ . Thus as a representation of  $\mathbb{T} \times T$

$$E_1^{p0} \cong \bigoplus_w S(T_w^* \oplus N_w) \otimes \det(N_w) \otimes L_w,$$

where  $w$  runs through the elements of  $W_{\text{aff}}$  of codimension  $p$ , and  $T_w$  and  $N_w$  are the tangent and normal spaces to  $\Sigma_w$  at  $w$ . If we know that  $H^q(Y; \mathcal{O}(L)) = 0$  for  $q > 0$  then we can read off the Kac formula.

The cochain complex  $E_1^{\bullet 0}$  is the dual of the Bernstein–Gelfand–Gelfand resolution. Its exactness can be proved by standard algebraic arguments, and one can deduce the vanishing of the higher cohomology groups  $H^q(Y; \mathcal{O}(L))$ .

### 3 Questions for Teleman

I want to study the affine Grassmannian and generalized flag varieties via explicit, computable  $T$ -equivariant cohomology and  $K$ -theory (localization/GKM, push-pull along Hecke correspondences, Demazure operators), and understand the links to loop-group representation theory and moduli of  $G$ -bundles.

This semester, I've been getting more comfortable with the representation theory of Kac-Moody Lie algebras. As an undergraduate, I studied localization (GKM) in equivariant cohomology, image of restriction to fixed points, and the combinatorics of the moment map in this context.

I've been starting to look at Pressley-Segal and Kumar's books to understand the loop groups and their homogeneous spaces from both topological and algebro-geometric perspectives, but the approaches are very different. The literature is also quite vast, and I'm having trouble identifying the key results and techniques that would help me achieve my goals.

I wanted your feedback on how to approach this learning process, with the following specific interests in mind:

A computable model for  $H_T^*(\text{Gr}_G)$  and  $K_T(\text{Gr}_G)$ : fixed-point data, edge labels, Demazure/Hecke operators, Pieri rules, and structure constants.

Where do nontrivial but manageable computations first appear? For computations in the spirit of the finite type case  $K_T$  of  $\text{Gr}_G$ , should I think primarily in Kumar's ind-projective model or in the

topological loop-group model? Has there been any fruit coming from looking at these objects from the lens of GKM theory and equivariant localization?

## 4 Teleman seminar

### 4.1 Curved Cartan Complex

Pick a compact Lie group  $G$  with Lie algebra  $\mathfrak{g}$ . Let  $\{\xi_a\}$  be a basis of  $\mathfrak{g}$  and let  $\{\xi^a\}$  be the dual basis of  $\mathfrak{g}^*$ . Recall that if we have a  $G$ -space  $X$ , we have the Cartan complex which computes the equivariant cohomology  $H_G^\bullet(X)$ :

$$\begin{aligned} C_G(X) &= (\Omega^\bullet(X) \otimes \text{Sym}(\mathfrak{g}^*))^G \\ d_G &= d - \iota_{\xi^a} \otimes \xi^a \end{aligned}$$

where  $\iota_{\xi^a}$  is the contraction operator with the vector field on  $X$  generated by  $\xi^a$ .

Then  $d_G^2 = -\sum_a \mathcal{L}_{X_a^\#} \otimes u^a$ , or equivalently, for  $\alpha \in C_G(X)$  and  $\xi \in \mathfrak{g}$ :

$$(d_G^2 \alpha)(\xi) = -\mathcal{L}_{\xi^\#} \alpha(\xi)$$

Hence  $d_G^2 = 0$  when restricted to  $G$ -invariants, since the Lie derivative is the infinitesimal  $G$ -action and invariants are killed by it.

**Definition 4.1.** A **curved differential graded algebra** is a triple  $(A, d, W)$  consisting of an associative graded algebra  $A$ , a degree-1 derivation  $d : A \rightarrow A$ , and an element  $W \in A^{(2)}$  (called the **curvature**) such that

$$d^2 = [W, -] \quad \text{and} \quad dW = 0.$$

- If  $d^2 = 0$ , then  $W$  may be any central element.
- Often, the grading is collapsed modulo 2.
- If  $X$  is a manifold, then  $A = \Omega^*(X)$ ,  $d$  is the usual de Rham differential, and  $W \in \Omega^2(X)$ .
- If  $E \rightarrow X$  is a vector bundle with connection  $\nabla_E$ , then

$$A = \Omega^*(X; \text{End } E), \quad d = \nabla_E, \quad W = F_E$$

where  $F_E$  is the curvature.

**Definition 4.2.** A **curved dg module**  $(M, \nabla)$  over  $(A, d, W)$  is a graded  $A$ -module  $M$  equipped with a  $d$ -compatible derivation (connection)

$$\nabla : M \rightarrow M$$

satisfying the Leibniz rule

$$\nabla(a \cdot m) = d(a) \cdot m + (-1)^{|a|} a \cdot \nabla(m)$$

and curvature condition

$$\nabla^2 = W$$

**Remark 4.3.** We compute  $\nabla^2$  on  $am$  for  $a \in A$  and  $m \in M$ : For the first summand we have

$$\nabla(d(a)m) = d^2(a)m + (-1)^{|d(a)|} d(a) \nabla(m) = d^2(a)m + (-1)^{|a|+1} d(a) \nabla(m).$$

For the second summand we get

$$\nabla(a \nabla(m)) = d(a) \nabla(m) + (-1)^{|a|} a \nabla^2(m),$$

and multiplying by the prefactor  $(-1)^{|a|}$  yields

$$(-1)^{|a|} \nabla(a \nabla(m)) = (-1)^{|a|} d(a) \nabla(m) + a \nabla^2(m).$$

Adding the two contributions gives

$$\nabla^2(am) = d^2(a)m + ((-1)^{|a|+1} + (-1)^{|a|}) d(a) \nabla(m) + a \nabla^2(m) = d^2(a)m + a \nabla^2(m),$$

since  $(-1)^{|a|+1} + (-1)^{|a|} = 0$ . We conclude that

$$\begin{aligned} \nabla^2(a \cdot m) &= d^2(a) \cdot m + a \cdot \nabla^2(m) \\ &= [W, a] \cdot m + a \cdot W \cdot m \\ &= W \cdot a \cdot m \end{aligned}$$

so in fact the curvature condition  $\nabla^2 = W$  follows from the Leibniz rule.

Note that if you tried to make  $A$  into a curved module over itself, the algebra differential cannot serve as the module differential on  $A$  itself.

**Definition 4.4 (Curved Cartan complex).** Let  $G$  be a compact Lie group acting on a manifold  $X$ . Let  $\{\xi_a\}$  be a basis of  $\mathfrak{g}$ , with  $\mathcal{L}_a$  denoting the corresponding Lie derivative and  $\iota_a$  the contraction operator. Set

$$B := \Omega^*(X) \otimes \text{Sym}(\mathfrak{g}^*).$$

The  $G$ -action on  $X$  and the coadjoint action on  $\mathfrak{g}^*$  induce an action of  $G$  on  $B$  by

$$g \cdot (\omega \otimes p) := (g^{-1})^* \omega \otimes (g \cdot p).$$

Let  $\mathcal{D}(G)$  be the convolution algebra of compactly supported distributions on  $G$ , with unit  $\delta_1$  (Dirac delta at the identity). We form the crossed product algebra

$$\mathcal{C} := \mathcal{D}(G) \ltimes B.$$

As a *vector space* we have

$$\mathcal{C} \cong \mathcal{D}(G) \otimes B,$$

but the multiplication is twisted by the  $G$ -action on  $B$ . We embed  $\mathcal{D}(G)$  and  $B$  into  $\mathcal{C}$  via

$$\begin{aligned}\iota_G : \mathcal{D}(G) &\longrightarrow \mathcal{C}, & \mu &\longmapsto \mu \otimes 1_B, \\ \iota_B : B &\longrightarrow \mathcal{C}, & b &\longmapsto \delta_1 \otimes b.\end{aligned}$$

Thus an element  $\mu \in \mathcal{D}(G)$  is viewed in  $\mathcal{C}$  as  $\mu \otimes 1_B$ , and an element  $b \in B$  is viewed as  $\delta_1 \otimes b$ .

The  $G$ -action on  $B$  is

$$G \times B \rightarrow B, \quad g \cdot (\omega \otimes p) := (g^{-1})^* \omega \otimes (g \cdot p),$$

where  $g \cdot p$  is the coadjoint action on  $\text{Sym}(\mathfrak{g}^*)$ . The crossed product  $\mathcal{C}$  is generated by the images of  $\mathcal{D}(G)$  and  $B$  under  $\iota_G, \iota_B$ , subject to

$$\iota_G(\delta_g) \iota_B(b) = \iota_B(g \cdot b) \iota_G(\delta_g) \quad \text{for all } g \in G, b \in B. \quad (18)$$

Concretely, since

$$\iota_G(\delta_g) = \delta_g \otimes 1_B, \quad \iota_B(b) = \delta_1 \otimes b,$$

(18) is the identity

$$(\delta_g \otimes 1_B) \cdot (\delta_1 \otimes b) = (\delta_1 \otimes (g \cdot b)) \cdot (\delta_g \otimes 1_B) \quad \text{in } \mathcal{C}.$$

with differential

$$\begin{aligned}d(\delta \otimes (\omega \otimes p)) &= \delta \otimes d(\omega \otimes p) \\ d &= d_X + \iota_{\xi_a} \otimes \xi^a\end{aligned}$$

where  $d_X : \Omega^*(X) \rightarrow \Omega^{*+1}(X)$  is the de Rham differential,  $\iota_{\xi_a} : \Omega^*(X) \rightarrow \Omega^{*-1}(X)$  is the contraction operator with the vector field on  $X$  generated by  $\xi_a$ , and  $\xi^a \in \text{Sym}^1(\mathfrak{g}^*)$  acts by multiplication. Note that the generators  $\xi^a$  have degree 2 so  $\deg(\iota_{\xi_a} \otimes \xi^a) = (-1) + 2 = 1$  and hence  $d$  is homogeneous of degree 1 with respect to the total Cartan grading.

Using the Cartan identities

$$d_X^2 = 0, \quad [d_X, \iota_{\xi_a}] = L_{\xi_a}, \quad [\iota_{\xi_a}, \iota_{\xi_b}] = 0$$

one checks:

$$d^2 = d_X^2 + [d_X, \iota_{\xi_a}] \otimes \xi^a = L_{\xi_a} \otimes \xi^a.$$

Therefore, applying  $d^2$  to an element  $[\delta_g] \otimes (\omega \otimes p)$  gives

$$d^2([\delta_g] \otimes (\omega \otimes p)) = [\delta_g] \otimes (L_{\xi_a} \omega \otimes \xi^a p) \quad (19)$$

**Proposition 4.5.** The curved Cartan complex has curvature

$$W = \xi_a(\delta_1) \otimes 1 \otimes \xi^a.$$

where  $\delta_1$  is the delta distribution at the identity element of  $G$ , and  $\xi_a$  acts on distributions as follows.

$$\langle X\mu, \varphi \rangle := -\langle \mu, X\varphi \rangle, \quad \varphi \in C^\infty(G),$$

where  $\mu$  is a distribution on  $G$ ,  $X$  is a vector field, and  $\langle \cdot, \cdot \rangle$  denotes the distribution-test function pairing.

**Lemma 4.6.** Let  $\xi_a \in \mathfrak{g}$  and  $\gamma_a(t) := \exp(t\xi_a)$  the corresponding one-parameter subgroup. Denote by the same symbol  $\xi_a$  the left-invariant vector field on  $G$ , and let  $\xi_a(\delta_1)$  be its action on the delta distribution at  $1 \in G$ , i.e.

$$\langle \xi_a(\delta_1), \varphi \rangle := -\langle \delta_1, \xi_a \varphi \rangle, \quad \varphi \in C^\infty(G).$$

Let

$$\rho(\xi_a)b := \frac{d}{dt} \Big|_{t=0} (\gamma_a(t) \cdot b) \quad (b \in B)$$

be the infinitesimal action of  $\xi_a$  on  $B$ . Then in  $\mathcal{C}$  we have

$$[\xi_a(\delta_1) \otimes 1, \delta_1 \otimes b] = \delta_1 \otimes \rho(\xi_a)b, \quad \text{for all } b \in B, \quad (20)$$

and hence for all  $g \in G$  and  $b \in B$ ,

$$[\xi_a(\delta_1) \otimes 1, \delta_g \otimes b] = \delta_g \otimes \rho(\xi_a)b. \quad (21)$$

*Proof.* Apply (18) with  $g = \gamma_a(t)$ :

$$\iota_G(\delta_{\gamma_a(t)}) \iota_B(b) = \iota_B(\gamma_a(t) \cdot b) \iota_G(\delta_{\gamma_a(t)}) \quad \text{in } \mathcal{C}, \quad \forall t. \quad (22)$$

Differentiate (22) at  $t = 0$  inside  $\mathcal{C}$ . Using the Leibniz rule:

$$\begin{aligned} \frac{d}{dt} \Big|_{t=0} (\iota_G(\delta_{\gamma_a(t)}) \iota_B(b)) &= \frac{d}{dt} \Big|_{t=0} (\iota_G(\delta_{\gamma_a(t)})) \iota_B(b) = \iota_G(\xi_a(\delta_1)) \iota_B(b), \\ \frac{d}{dt} \Big|_{t=0} (\iota_B(\gamma_a(t) \cdot b) \iota_G(\delta_{\gamma_a(t)})) &= \frac{d}{dt} \Big|_{t=0} \iota_B(\gamma_a(t) \cdot b) \iota_G(\delta_1) + \iota_B(b) \frac{d}{dt} \Big|_{t=0} \iota_G(\delta_{\gamma_a(t)}) \\ &= \iota_B(\rho(\xi_a)b) \iota_G(\delta_1) + \iota_B(b) \iota_G(\xi_a(\delta_1)). \end{aligned}$$

Since  $\iota_G(\delta_1)$  is the unit of  $\mathcal{C}$ , we have  $\iota_B(\rho(\xi_a)b) \iota_G(\delta_1) = \iota_B(\rho(\xi_a)b)$ . Thus the derivative of (22) at  $t = 0$  gives the equality in  $\mathcal{C}$ :

$$\iota_G(\xi_a(\delta_1)) \iota_B(b) = \iota_B(\rho(\xi_a)b) + \iota_B(b) \iota_G(\xi_a(\delta_1)). \quad (23)$$

Rearranging (23), we obtain the commutator identity

$$[\iota_G(\xi_a(\delta_1)), \iota_B(b)] := \iota_G(\xi_a(\delta_1)) \iota_B(b) - \iota_B(b) \iota_G(\xi_a(\delta_1)) = \iota_B(\rho(\xi_a)b) \quad (24)$$

in the crossed product algebra  $\mathcal{C}$ .

Explicitly, in the tensor notation  $\mathcal{C} \cong \mathcal{D}(G) \otimes B$ , this reads

$$[(\xi_a(\delta_1) \otimes 1_B), (\delta_1 \otimes b)] = \delta_1 \otimes \rho(\xi_a)b. \quad \square$$

**Proposition 4.7.** For all  $a \in \mathcal{C}$  we have

$$d^2(a) = [W, a].$$

where

$$W = \xi_a(\delta_1) \otimes 1 \otimes \xi^a \in \mathcal{C}^2.$$

*Proof.* Since elements of the form  $\delta_g \otimes b$  span  $\mathcal{C}$ , it suffices to check the identity on such generators. Using that  $\xi^a$  is central in  $B$ , we compute

$$\begin{aligned} [W, \delta_g \otimes b] &= [\xi_a(\delta_1) \otimes 1 \otimes \xi^a, \delta_g \otimes b] \\ &= ([\xi_a(\delta_1), \delta_g b]) \otimes \xi^a \\ &\stackrel{(21)}{=} \delta_g \otimes (\rho(\xi_a)b) \otimes \xi^a. \end{aligned}$$

For  $b \in B$ , the infinitesimal action  $\rho(\xi_a)$  decomposes into the Lie derivative on the  $\Omega^*(X)$ -factor and the coadjoint action on the  $\text{Sym}(\mathfrak{g}^*)$ -factor. In particular, using (19), we have

$$d^2(b) = L_{\xi_a} b \otimes \xi^a = \rho(\xi_a)b \otimes \xi^a,$$

with the chosen Cartan differential. Therefore

$$[W, \delta_g \otimes b] = \delta_g \otimes d^2(b) = d^2(\delta_g \otimes b),$$

which proves  $d^2 = [W, -]$  on  $\mathcal{C}$ .  $\square$

The meaning of the curved Cartan complex is best understood in terms of group actions on categories. Its category of curved modules corresponds to the  $G/\hat{G}$ -fixed category of  $(\Omega^*(X), d_X)$ -modules, where  $\hat{G} \hookrightarrow G$  is the formal completion of  $G$  at the identity.

**Example 4.8.** Let  $G = T$  be a torus, and take  $X = \text{pt}$ . Then

$$T \ltimes \text{Sym}(\mathfrak{t}^*) = \bigoplus_{\lambda} \text{Sym}(\mathfrak{t}^*) = \bigoplus_{\lambda} \text{Funct}(\mathfrak{t}_{\lambda}),$$

where the direct sum is indexed by the characters  $\lambda$  of  $T$  (*i.e.*, copies of  $\mathfrak{t}$  indexed by  $\lambda$ ).

The curvature  $W$  acts on the  $\lambda$ -component by

$$W|_{t_\lambda} = \lambda \quad (\text{linear}).$$

Hence the category of curved Cartan modules is

$$\text{CurvedCartanMods} \simeq \text{Sym}(\mathfrak{t}^*)\text{-mod} = H^*(BT)\text{-mod}.$$

**Example 4.9 (Casimir twists).** Recall the canonical isomorphism

$$H^*(BG) \cong \text{Sym}(\mathfrak{g}^*)^G$$

We can add arbitrary  $G$ -invariant functions on  $\mathfrak{g}$  (equivalently classes in  $H^*(BG)$ ) as additional curvature terms in the Cartan complex. Adding a quadratic function, for instance when  $G = T$  and  $X = \text{pt}$ , creates a single nondegenerate critical point on each  $t_\lambda$ .

**Theorem 4.10.** The category of curved modules for the Casimir-curved Cartan complex of  $G$  (with  $X = \text{pt}$ ) is equivalent to the category  $\text{Rep}(G)$  via the Dirac family construction.

**Theorem 4.11.** The category of curved modules for the Casimir-curved Cartan complex of the loop group  $\widehat{LG}$  (again with  $X = \text{pt}$ ) at nonzero levels is equivalent to the category of positive-energy representations  $\text{PERep}(LG)$ , again via the Dirac family construction.

Additional curvings are possible and meaningful.

## 4.2 Curved Cartan Complexes and 2D TQFT

The curved Cartan complexes for  $G$  and  $LG$  are tied to two-dimensional topological quantum field theories (TQFTs) governing the topology of flat  $G$ -bundles on surfaces. More precisely, their *categories of modules* appear as the outputs assigned to a point in this 2D TQFT.

The invariants associated to surfaces are closely related to integration over the moduli of flat connections for  $G$  (or, equivalently, to index theory — “ $K$ -integration” — for  $LG$ ).

- (i) Conjectured by Witten (1990) and by Jeffrey-Kirwan.
- (ii) Conjectured and proved by Woodward; independently discovered by Nekrasov-Shatashvili (in the 1- and 2-dimensional theory only).

### Ingredients

An integration class for  $\text{Bun}_G$  arises from a characteristic class (in  $H^{\text{ev}}(BG)$ ) of the universal bundle over  $\Sigma \times \text{Bun}_G$ , by integrating over  $\Sigma$  and exponentiating.

Explicitly there is a universal bundle

$$\mathcal{P}_{\text{univ}} \longrightarrow \Sigma \times \text{Bun}_G,$$

meaning that for every point  $[P] \in \text{Bun}_G$ , the restriction of  $\mathcal{P}_{\text{univ}}$  to  $\Sigma \times \{[P]\}$  is the corresponding principal  $G$ -bundle  $P \rightarrow \Sigma$ .

For a compact Lie group  $G$ , the cohomology ring  $H^*(BG)$  classifies characteristic classes of principal  $G$ -bundles. Pulling back along the universal bundle gives us:

$$c(\mathcal{P}_{\text{univ}}) \in H^*(\Sigma \times \text{Bun}_G).$$

Integrating fiberwise over  $\Sigma$  yields a class in  $H^{*-2}(\text{Bun}_G)$ :

$$\int_{\Sigma} c(\mathcal{P}_{\text{univ}}) \in H^{*-2}(\text{Bun}_G).$$

Exponentiating this class gives us a multiplicative characteristic class, which can be used to define an integration class for  $\text{Bun}_G$ .

For instance,  $H^4$ -classes correspond to Casimir twists. Integrating over  $\Sigma$  reduces degree by 2, so this degree-2 cohomology class defines a line bundle on  $\text{Bun}_G$ , often called the determinant line bundle or theta line bundle.

Similarly, a  $K$ -*integration* (index) class for  $\text{Bun}_G$  (as a stack) arises from one in  $K_G(\text{pt}) = R(G)$ , by taking the index along  $\Sigma$  and exponentiating. (Line bundles come from  $H^2$ .)

Otherwise, note that

$$R(G) \otimes \mathbb{C} \cong \mathbb{C}[G_{\mathbb{C}}],$$

and we can interpret this via loop-group twists.

### 4.3 Group Actions on Categories and Interpretation of the Curved Cartan Complex

**Definition 4.12.** An *action* of a group  $G$  on a category  $\mathcal{C}$  consists of:

- for each  $g \in G$ , a functor  $\Phi_g : \mathcal{C} \rightarrow \mathcal{C}$ ,
- for each pair  $g, h \in G$ , an isomorphism of functors

$$\alpha_{g,h} : \Phi_g \circ \Phi_h \xrightarrow{\sim} \Phi_{gh},$$

subject to the associativity constraint:

$$\begin{array}{ccc}
 \Phi_g \circ \Phi_h \circ \Phi_k & \xrightarrow{\alpha_{g,hk}} & \Phi_{ghk} \\
 \Phi_g(\alpha_{h,k}) \downarrow & & \parallel \\
 \Phi_g \circ \Phi_{hk} & \xrightarrow{\alpha_{gh,k}} & \Phi_{ghk}
 \end{array}$$

### Examples.

- $G$  acts on the category of vector bundles on a  $G$ -space  $X$ .
- Likewise for flat bundles or  $(\Omega^*(X), d)$ -modules.
- More generally,  $G$  acts on  $\text{Vect}(X)$  whenever  $G$  acts on  $X$ .
- If  $G = \text{Aut}(A)$ , then  $G$  acts on  $A$ -modules.
- A torus  $T$  acts on  $\text{Coh}(T^\vee)$  via the Poincaré bundle on  $T \times T^\vee$ . This action is in fact trivializable when lifted to  $\mathfrak{t}$  (equivalently, the Poincaré bundle admits a flat structure along  $T$ ). This gives the regular, locally trivial representation of  $T$  in linear categories.

## 4.4 Topological actions

There is a uniform method, due to Grothendieck, teaching us how to encode structure on a space  $X$  via the functor

$$\text{Hom}(-, X)$$

on the category of topological, smooth, or analytic spaces. This functor is a sheaf.

Thus, a *structure* on a category  $\mathcal{C}$  can be understood as an enrichment of  $\mathcal{C}$  to a sheaf of categories on the corresponding site. A category with a structured  $G$ -action is then a sheaf of categories on the site of spaces with smooth  $G$ -action.

More generally, we can talk about *sheaves or bundles of categories* over spaces, possibly equipped with connections, flat connections, coherent sheaves of categories, and  $G$ -equivariant structures, etc.

$$\begin{array}{lll}
 \text{Categories with } G\text{-action} & \longleftrightarrow & \text{Categories over } BG \\
 \text{with } G/\mathfrak{g}\text{-action} & \longleftrightarrow & \text{with flat connection} \\
 \text{with locally trivial } G\text{-action} & \longleftrightarrow & \text{locally constant sheaves} \\
 \text{Fixed-point category} & \longleftrightarrow & \text{Global sections over } BG \\
 \text{Derived category} & \longleftrightarrow & \text{Cohomology over } BG
 \end{array}$$

**Definition 4.13.** A **matrix factorization category**  $\text{MF}(X; W)$  consists of curved modules over the curved dg algebra  $(\Omega^*(X), d, W)$ .

**Theorem 4.14 (Freed Teleman).** Let  $Q$  be the Casimir curvature on the Cartan complex of  $G$ . Then

$$\text{MF}(\mathfrak{g}, W)^G \simeq \text{Rep}(G)$$

Moreover representations are supported at their coadjoint orbits. Also, if we consider  $LG$  and the coadjoint action on  $Lg^*$  at a nonzero level  $h$ , the action is equivalent to  $G$  acting on its conjugacy classes, and moreover

$$\text{MF}^\tau(G, Q)^G \simeq \text{Rep}(LG, h)$$

where  $\tau = h + c$  and  $c$  is the dual Coxeter number. Moreover it is supported at the correct conjugacy classes.

Kiran Luecke: Matrix factorization categories categorify the Kirillov character formula.

## 4.5 Hochschild cohomology of dg categories

In this section, we review the definition of Hochschild (co)homology of dg categories, following [1]. It was surprisingly difficult to find a good reference for this material.

Recall that for an algebra  $A$ , the restriction along the inclusion  $A \subset \text{Mod } A$  is an isomorphism

$$Z(\text{Mod } A) \xrightarrow{\sim} Z(A).$$

It is natural to ask what the derived version of this fact is. In the derived version, Hochschild cohomology should replace the center, and the derived category should replace the module category.

Let  $\mathcal{A}$  be a small dg category. Recall that a thick subcategory of a triangulated category is a full triangulated subcategory stable under taking direct factors.

**Proposition 4.15 (cf. Proposition 1.6.9).** An object  $P \in D\mathcal{A}$  is compact if and only if it is perfect, i.e. contained in the thick subcategory generated by the representable modules  $X^\wedge, X \in \mathcal{A}$ .

Let  $\mathcal{B}$  be another dg category. The *tensor product*  $\mathcal{A} \otimes \mathcal{B}$  is the dg category whose objects are pairs  $(X, Y), X \in \mathcal{A}, Y \in \mathcal{B}$ , and whose morphisms are given by

$$(\mathcal{A} \otimes \mathcal{B})((X, Y), (X', Y')) = \mathcal{A}(X, X') \otimes \mathcal{B}(Y, Y').$$

We define  $\text{rep}(\mathcal{A}, \mathcal{B})$  as the full subcategory of  $D(\mathcal{B} \otimes \mathcal{A}^{\text{op}})$  formed by the dg bimodules  $X$  whose restriction to  $\mathcal{B}$  is perfect.

Let  $\mathcal{A}^e = \mathcal{A} \otimes \mathcal{A}^{\text{op}}$ . The *identity bimodule*  $I_{\mathcal{A}}$  sends

$$(X, Y) \mapsto \mathcal{A}(X, Y), \quad X, Y \in \mathcal{A}.$$

**Definition 4.16 (Hochschild (co)homology).** For a small dg category  $\mathcal{A}$ , the *Hochschild cohomology* and *Hochschild homology* of  $\mathcal{A}$  are defined as follows. We put

$$HH^*(\mathcal{A}) = H^* \mathrm{RHom}_{\mathcal{A}^e}(I_{\mathcal{A}}, I_{\mathcal{A}}), \quad HH_*(\mathcal{A}) = H_*(I_{\mathcal{A}} \otimes_{\mathcal{A}^e}^{\mathbf{L}} I_{\mathcal{A}}).$$

These may also be computed as the (co)homologies of the complexes  $C_*\mathcal{A}$  and  $C^*\mathcal{A}$ , constructed as follows. The complex  $C_*\mathcal{A}$  is the total complex of the bicomplex

$$\cdots \longrightarrow \bigoplus \mathcal{A}(X_1, X_0) \otimes \mathcal{A}(X_0, X_1) \longrightarrow \bigoplus \mathcal{A}(X_0, X_0) \longrightarrow \cdots$$

whose  $p$ -th column ( $p \geq 0$ ) is

$$\bigoplus_{X_0, \dots, X_p} \mathcal{A}(X_p, X_{p-1}) \otimes \mathcal{A}(X_{p-1}, X_{p-2}) \otimes \cdots \otimes \mathcal{A}(X_1, X_0) \otimes \mathcal{A}(X_0, X_p),$$

taken over all sequences of objects  $X_0, \dots, X_p$  of  $\mathcal{A}$ , and whose horizontal differential is given by the standard Hochschild boundary map

$$\begin{aligned} d(a_p \otimes \cdots \otimes a_0) &= \sum_{i=0}^{p-1} (-1)^i a_p \otimes \cdots \otimes a_{i+1} a_i \otimes \cdots \otimes a_0 \\ &\quad + (-1)^p a_0 a_p \otimes a_{p-1} \otimes \cdots \otimes a_1 \end{aligned}$$

Similarly, the complex  $C^*\mathcal{A}$  is the product total complex of the bicomplex

$$\prod \mathcal{A}(X_0, X_0) \longrightarrow \prod \mathrm{Hom}_k(\mathcal{A}(X_0, X_1), \mathcal{A}(X_0, X_1)) \longrightarrow \cdots$$

whose  $p$ -th column ( $p \geq 0$ ) is

$$\prod_{X_0, \dots, X_p} \mathrm{Hom}_k(\mathcal{A}(X_{p-1}, X_p) \otimes \cdots \otimes \mathcal{A}(X_0, X_1), \mathcal{A}(X_0, X_p)),$$

where the product is taken over all sequences of objects  $X_0, \dots, X_p$  of  $\mathcal{A}$ , and whose horizontal differential is again given by (1.3.0.2) with Koszul signs.

## 5 Appendix: From Lie groups to Lie algebras

Recall the classification of compact Lie groups. Then

- Let  $G$  be a compact Lie group. Let  $G_0$  be the identity component of  $G$ . Then there is a short exact sequence of Lie groups

$$1 \rightarrow G_0 \rightarrow G \rightarrow \pi_0(G) \rightarrow 1.$$

The component group  $\pi_0(G)$  is finite.

- Let  $G$  be a compact, connected Lie group. Then  $G$  has a universal cover  $\tilde{G}$ , and there is a short exact sequence

$$1 \rightarrow \pi_1(G) \rightarrow \tilde{G} \rightarrow G \rightarrow 1.$$

The fundamental group  $\pi_1(G)$  is an abelian group. If  $G$  is already compact semisimple then  $\pi_1(G)$  is finite. If we have torus factors then  $\pi_1(G)$  has free abelian parts. Moreover,  $\tilde{G}$  is the product of a simply connected compact Lie group and a torus.

- Let  $G$  be a compact, connected, simply connected Lie group. Then  $G$  is the product of a simply connected simple Lie groups.
- Let  $G$  be a compact, connected, simply connected simple Lie group. Then  $G$  is determined up to isomorphism by its Lie algebra  $\mathfrak{g}$ . The simple compact Lie algebras are classified by the Dynkin diagrams  $A_n, B_n, C_n, D_n, E_6, E_7, E_8, F_4$ , and  $G_2$ .

**Example 5.1** (Adjoint and simply connected forms of  $A_{n-1}$ ). The simply connected compact form is

$$K_{\text{sc}} = \mathbf{SU}(n)$$

and the adjoint compact form is

$$K_{\text{ad}} = \mathbf{PSU}(n) = \mathbf{PU}(n)$$

$\mathbf{SU}(n)$  and  $\mathbf{PU}(n)$  are connected, so  $\pi_0 = 1$ . They are related by the exact central isogeny:

$$1 \rightarrow \mu_n \rightarrow \mathbf{SU}(n) \rightarrow \mathbf{PU}(n) \rightarrow 1.$$

In particular, the long exact sequence in homotopy gives

$$\pi_1(\mathbf{SU}(n)) \rightarrow \pi_1(\mathbf{PU}(n)) \rightarrow \pi_0(\mu_n) \rightarrow \pi_0(\mathbf{SU}(n)),$$

i.e.  $0 \rightarrow \pi_1(\mathbf{PU}(n)) \rightarrow \mathbb{Z}/n \rightarrow 0$ . Therefore  $\pi_1(\mathbf{PU}(n)) \cong \mu_n \cong \mathbb{Z}/n$ , while  $\pi_1(\mathbf{SU}(n)) = 0$ .

The complex forms are  $\mathrm{SL}_n(\mathbb{C})$  (simply connected) and  $\mathrm{PGL}_n(\mathbb{C})$  (adjoint). Their maximal compact subgroups are  $\mathbf{SU}(n) \subset \mathrm{SL}_n(\mathbb{C})$  and  $\mathbf{PU}(n) \subset \mathrm{PGL}_n(\mathbb{C})$ . Complex reductive groups deformation-retract onto a maximal compact, so

$$\pi_1(\mathrm{SL}_n(\mathbb{C})) = 0, \quad \pi_1(\mathrm{PGL}_n(\mathbb{C})) \cong \pi_1(\mathbf{PU}(n)) \cong \mathbb{Z}/n.$$

and  $\mathrm{PGL}_n(\mathbb{C}) \cong \mathrm{PSL}_n(\mathbb{C}) = \mathrm{SL}_n(\mathbb{C})/\mu_n$ .

In general, adjointness and simply connectedness are dual notions for compact Lie groups. In particular, we always have a central isogeny

$$1 \rightarrow Z(K_{\text{sc}}) \rightarrow K_{\text{sc}} \rightarrow K_{\text{ad}} \rightarrow 1$$

where  $Z(K_{\text{sc}})$  is the center of  $K_{\text{sc}}$ . Studying the long exact sequence in homotopy shows that  $\pi_1(K_{\text{ad}}) \cong Z(K_{\text{sc}})$ . Moreover, any intermediate compact form  $K$  corresponding to a subgroup  $H \subset Z(K_{\text{sc}})$  fits into the exact sequence

$$1 \rightarrow H \rightarrow K_{\text{sc}} \rightarrow K \rightarrow 1$$

The fundamental group is then  $\pi_1(K) \cong Z(K_{\text{sc}})/H$ .

Fix a simple Lie algebra  $\mathfrak{g}$  and let  $P$  be its weight lattice and  $Q$  be its root lattice. Let  $P^\vee$  be the coweight lattice and  $Q^\vee$  be the coroot lattice.

In general, a compact, connected Lie group  $G$  is specified by its root datum

$$(X^*, X_*, \Delta \subset X^*, \Delta^\vee \subset X_*)$$

with a perfect pairing  $\langle \cdot, \cdot \rangle : X^* \times X_* \rightarrow \mathbb{Z}$ , where  $X^*$  is the character lattice of a maximal torus  $T \subset G$ ,  $X_*$  is the cocharacter lattice,  $\Delta$  is the set of roots, and  $\Delta^\vee$  is the set of coroots. This data determines the entire structure of  $G$  up to isomorphism.

**Theorem 5.2 (Lie's third theorem).** Every finite-dimensional Lie algebra over the real numbers is the Lie algebra of some simply connected Lie group. Moreover, every Lie algebra homomorphism  $\varphi : \mathfrak{h} \rightarrow \mathfrak{g}$  integrates uniquely to a group homomorphism  $\Phi : H_{\text{sc}} \rightarrow G_{\text{sc}}$  between the corresponding simply connected groups.

For every root  $\alpha$ , you have an inclusion of Lie algebras  $\iota_\alpha : \mathfrak{sl}_2 \hookrightarrow \mathfrak{g}$ . By Lie's third theorem, this integrates uniquely to a group homomorphism  $\phi_\alpha : \text{SL}_2(\mathbb{C}) \longrightarrow G_{\text{sc}}$ . If  $G_{\text{sc}}$  is compact, you can take  $\text{SU}(2) \rightarrow G_{\text{sc}}$ . Now look at the diagonal torus in  $\text{SL}_2$ :

$$\begin{pmatrix} t & 0 \\ 0 & t^{-1} \end{pmatrix} \quad \text{for } t \in \mathbb{C}^\times.$$

Its image under  $\phi_\alpha$  is a one-parameter subgroup of  $G_{\text{sc}}$ ; by definition,  $\alpha^\vee : \mathbb{C}^\times \rightarrow T_{\text{sc}}$ , and its derivative at 1 is exactly the coroot  $h_\alpha \in \mathfrak{t}$ .

Thus each coroot exponentiates to an actual algebraic 1-parameter subgroup of the maximal torus of the simply connected group. This identifies the coroot lattice

$$X_* = Q^\vee$$

We can also identify the character lattice  $X^*$  of  $T_{\text{sc}}$ . Let  $T$  be a compact, connected, abelian Lie group. Then  $T \simeq (S^1)^r$ . For its Lie algebra  $\mathfrak{t}_{\mathbb{R}}$ , the exponential map  $\exp : \mathfrak{t}_{\mathbb{R}} \rightarrow T$  is a surjective Lie group homomorphism with discrete kernel  $\Lambda := \ker(\exp)$ . Hence

$$T \cong \mathfrak{t}_{\mathbb{R}}/\Lambda. \tag{1}$$

For any algebraic torus  $T$ , write  $X_*(T) := \text{Hom}_{\text{alg}}(\mathbb{C}^\times, T_{\mathbb{C}})$  (or equivalently  $\text{Hom}_{\text{cts}}(S^1, T)$ ) for its cocharacter lattice. There's a canonical isomorphism

$$X_*(T) \xrightarrow{\sim} \frac{1}{2\pi i} \ker(\exp) \subset \mathfrak{t}_{\mathbb{R}}, \quad \lambda \mapsto \frac{1}{2\pi i} d\lambda|_1(1),$$

where for any  $\lambda$  we have:

$$d\lambda|_1(v) := \left. \frac{d}{du} \right|_{u=0} \lambda(e^{uv}) \in \text{Lie}(T).$$

If  $T = (\mathbb{C}^\times)^r$  and  $\lambda(t) = (t^{m_1}, \dots, t^{m_r})$  with  $m_i \in \mathbb{Z}$ , then

$$\lambda(e^{uv}) = (e^{uvm_1}, \dots, e^{uvm_r}) \Rightarrow d\lambda|_1(v) = v(m_1, \dots, m_r).$$

There is an inverse  $H \mapsto (\theta \mapsto \exp(i\theta H))$  on  $S^1$  and then complexify. Thus

$$\ker(\exp) = 2\pi i X_*(T). \tag{2}$$

Combining (1)-(2) gives the general model

$$T \cong \mathfrak{t}_{\mathbb{R}} / 2\pi i X_*(T). \tag{3}$$

Let  $G_{\text{sc}}$  be simply connected, semisimple, with maximal torus  $T_{\text{sc}}$ . For each root  $\alpha$  we have an  $\mathfrak{sl}_2$ -triple  $(e_\alpha, f_\alpha, h_\alpha)$  and an integrated homomorphism

$$\phi_\alpha : \text{SL}_2(\mathbb{C}) \rightarrow G_{\text{sc}}.$$

Restricting to the diagonal torus gives the coroot  $\alpha^\vee : \mathbb{C}^\times \rightarrow T_{\text{sc}}$ , i.e.  $\alpha^\vee \in X_*(T_{\text{sc}})$ . These coroots generate the coroot lattice  $Q^\vee$ , and always  $Q^\vee \subseteq X_*(T_{\text{sc}})$ .

Because  $G_{\text{sc}}$  is simply connected, there can be no strictly larger cocharacter lattice: if

$$Q^\vee \subsetneq \Lambda \subseteq X_*(T_{\text{sc}})$$

then (3) would enlarge the period lattice of  $T_{\text{sc}}$ , producing a nontrivial finite central quotient of  $G_{\text{sc}}$  and hence a nontrivial  $\pi_1$ , which is a contradiction. Therefore

$$X_*(T_{\text{sc}}) = Q^\vee. \tag{4}$$

which gives the desired model

$$T_{\text{sc}} \cong \mathfrak{t}_{\mathbb{R}} / 2\pi i Q^\vee$$

Given  $\lambda \in \mathfrak{t}^*$ , define the character:

$$\chi_\lambda(e^{2\pi i H}) = e^{2\pi i \langle \lambda, H \rangle}.$$

This is a well-defined homomorphism if and only if it is invariant under  $H \mapsto H + \alpha^\vee$  for every coroot  $\alpha^\vee$ , that is:

$$e^{2\pi i \langle \lambda, \alpha^\vee \rangle} = 1 \iff \langle \lambda, h_\alpha \rangle \in \mathbb{Z} \text{ for all } \alpha.$$

Conversely, every character arises from some  $\lambda \in \mathfrak{t}^*$ . There is a pairing  $X^* \times X_* \rightarrow \mathbb{Z}$  between characters and cocharacters:

$$\langle \chi_\lambda, \alpha^\vee \rangle := \deg(\chi_\lambda \circ \alpha^\vee) = \langle \lambda, h_\alpha \rangle.$$

which gives an isomorphism

$$\Phi : X^* \xrightarrow{\sim} \{ \lambda \in \mathfrak{t}^* : \langle \lambda, h_\alpha \rangle \in \mathbb{Z} \text{ for all } \alpha \}.$$

Thus the character lattice is the weight lattice:

$$X^* = P$$

Thus for a simply connected, compact, semisimple Lie group  $G_{\text{sc}}$  with maximal torus  $T_{\text{sc}}$ , we have identified its character and cocharacter lattices:

$$X^*(T_{\text{sc}}) = P, \quad X_*(T_{\text{sc}}) = Q^\vee.$$

The adjoint group  $G_{\text{ad}}$  has maximal torus  $T_{\text{ad}}$  with

$$X^*(T_{\text{ad}}) = Q, \quad X_*(T_{\text{ad}}) = P^\vee.$$

Langlands duality exchanges the simple roots and coroots. Intermediate forms  $G$  correspond to intermediate lattices:

$$Q \subseteq X^*(T) \subseteq P, \quad Q^\vee \subseteq X_*(T) \subseteq P^\vee.$$

## References

[1] <https://theses.hal.science/tel-04019078/document>