

I. Trace code

1. Load program :

a. userprog/userkernel.cc - UserProgKernel::Run()

```

91 void
92 UserProgKernel::Run()
93 {
94
95     cout << "Total threads number is " << execfileNum << endl;
96     for (int n=1;n<=execfileNum;n++)
97     {
98         t[n] = new Thread(execfile[n]);
99         t[n]->space = new AddrSpace();
100        t[n]->Fork((VoidFunctionPtr) &ForkExecute, (void *)t[n]);
101        cout << "Thread " << execfile[n] << " is executing." << endl;
102    }
103 //   Thread *t1 = new Thread(execfile[1]);
104 //   Thread *t1 = new Thread("../test/test1");
105 //   Thread *t2 = new Thread("../test/test2");
106
107 //   AddrSpace *halt = new AddrSpace();
108 //   t1->space = new AddrSpace();
109 //   t2->space = new AddrSpace();
110
111 //   halt->Execute("../test/halt");
112 //   t1->Fork((VoidFunctionPtr) &ForkExecute, (void *)t1);
113 //   t2->Fork((VoidFunctionPtr) &ForkExecute, (void *)t2);
114 //   ThreadedKernel::Run();
115 //   cout << "after ThreadedKernel:Run();" << endl; // unreachable
116 }
```

UserProgKernel::Run() 是利用main thread Fork 出其他的thread, 分配thread給每一個execfile, 然後結束main thread的執行, 就可以開始運行execfile。

實作時, 用一個for迴圈一次建立一個thread給一個execfile, 具體來說就是：1)new 一個Thread的空間、2)分配Thead的AddrSpace、3)Fork thread掛載它之後要執行的函式。

b. userprog/addrspace.cc - AddrSpace::AddrSpace()

```

54 AddrSpace::AddrSpace()
55 {
56     pageTable = new TranslationEntry[NumPhysPages];
57     for (unsigned int i = 0; i < NumPhysPages; i++) {
58         pageTable[i].virtualPage = i; // for now, virt page # = phys page #
59         pageTable[i].physicalPage = i;
60     //   pageTable[i].physicalPage = 0;
61     //   pageTable[i].valid = TRUE;
62     //   pageTable[i].valid = FALSE;
63     //   pageTable[i].use = FALSE;
64     //   pageTable[i].dirty = FALSE;
65     //   pageTable[i].readOnly = FALSE;
66     }
67
68     // zero out the entire address space
69     //   bzero(kernel->machine->mainMemory, MemorySize);
70 }
```

在一個thread中建立page table, 預設是physicalPage跟i(virtual page)完全相等, 也就是virtual address即為physical address。這邊全部valid都設定為true, 隱含了所有virtualPage都可以載入physicalPage。不過如果virtualPage number > physicalPage number, 也就是程式的size > main memory的size時, 就不應該使用這樣的寫法。因此, 真正在實作時, page table的建立應該是放在AddrSpace::Load()之中, 畢竟要等到load時才會知道程式的size, 才知道page table的大小, 才有辦法建立page table。

c. threads/kernel.cc - ThreadedKernel::Run()

```

87 void
88 ThreadedKernel::Run()
89 {
90     // NOTE: if the procedure "main" returns, then the program "nachos"
91     // will exit (as any other normal program would). But there may be
92     // other threads on the ready list (started in SelfTest).
93     // We switch to those threads by saying that the "main" thread
94     // is finished, preventing it from returning.
95     currentThread->Finish();
96     // not reached
97 }

```

ThreadedKernel::Run() 內部只有一條指令，它會呼叫currentThread(也就是main thread)的Finish，如此一來main thread就會釋放出CPU給readylist裡的第一個thread，開始運行executeFile。

d. threads/thread.cc - Thread::Finish()

```

173 void
174 Thread::Finish ()
175 {
176     (void) kernel->interrupt->SetLevel(IntOff);
177     ASSERT(this == kernel->currentThread);
178
179     DEBUG(dbgThread, "Finishing thread: " << name);
180
181     Sleep(TRUE);           // invokes SWITCH
182     // not reached
183 }

```

呼叫Sleep準備進行context switch，注意呼叫之前要disable interrupt，以符合Sleep的預設條件。傳入Sleep的參數是true，代表main thread finishing。

e. threads/thread.cc - Thread::Sleep (bool finishing)

```

241 void
242 Thread::Sleep (bool finishing)
243 {
244     Thread *nextThread;
245
246     ASSERT(this == kernel->currentThread);
247     ASSERT(kernel->interrupt->getLevel() == IntOff);
248
249     DEBUG(dbgThread, "Sleeping thread: " << name);
250
251     status = BLOCKED;
252     while ((nextThread = kernel->scheduler->FindNextToRun()) == NULL)
253         kernel->interrupt->Idle(); // no one to run, wait for an interrupt
254
255     // returns when it's time for us to run
256     kernel->scheduler->Run(nextThread, finishing);
257 }

```

CurrentThread status改成Blocked，使用FindNextToRun取得readyList裏頭的第一個thread，做為即將要執行的nextThread，若readyList是空的，就呼叫Idle()等待。若順利取得nextThread，就呼叫Scheduler::Run()進行context switch。

f. threads/scheduler.cc - Scheduler::Run (Thread *nextThread, bool finishing)

(code很長，省略圖片)

先判斷oldThread是不是finishing，如果是就安排他在context switch之後刪除。NextThread轉為currentThread、status改成Running然後context switch。

g. threads/switch.s - SWITCH (thread *t1, thread *t2)

(code很長, 省略圖片)

在這個組語檔案中, 真正被執行的是x86 block中所定義的SWITCH。程式會把EBX、ESI等*GPR的值存到Stack中oldThread的位置, 再從Stack中t2的位置取出nextThread context中各個*GPR值。

*GPR:通用暫存器, 可儲存資料或位址。

h. thread/thread.cc - ThreadBegin()

```

    machineState[PCState] = (void *)lThreadRoot;
    machineState[StartupPCState] = (void *)ThreadBegin;
    machineState[InitialPCState] = (void *)func;

```

SWITCH執行完後, PC裡的值會自動導向ThreadBegin。

```
268 static void ThreadBegin() { kernel->currentThread->Begin(); }
```

currentThread呼叫Begin,

```

148 void
149 Thread::Begin ()
150 {
151     ASSERT(this == kernel->currentThread);
152     DEBUG(dbgThread, "Beginning thread: " << name);
153
154     kernel->scheduler->CheckToBeDestroyed();
155     kernel->interrupt->Enable();
156 }

```

檢查有沒有finishing的oldThread要刪除, 然後重新enable interrupt。

i. userprog/userkernel.cc - ForkExecute (Thread *t)

```

85 void
86 ForkExecute(Thread *t)
87 {
88     t->space->Execute(t->getName());
89 }

```

在a.中有提到, ForkExecute規範了Thread執行時要做什麼。這邊thread用getName拿到execfile的filename, 接著執行該execfile。

j. userprog/addrspace.cc - AddrSpace::Execute (char *fileName)

```

160 void
161 AddrSpace::Execute(char *fileName)
162 {
163     if (!Load(fileName)) {
164         cout << "inside !Load(fileName)" << endl;
165         return; // executable not found
166     }
167
168     //kernel->currentThread->space = this;
169     this->InitRegisters(); // set the initial register values
170     this->RestoreState(); // load page table register
171
172     kernel->machine->Run(); // jump to the user program
173
174     ASSERTNOTREACHED(); // machine->Run never returns;
175     // the address space exits
176     // by doing the syscall "exit"
177 }

```

先用filename把execfile load進主記憶體, 然後初始化register的值。一切準備就緒, 可以執行Run來一行一行讀指令了

k. userprog/addrspace.cc - AddrSpace::Load (char *fileName)

(code很長, 省略圖片)

用filename把execfile load進主記憶體, 這裡假設主記憶體size>execfile size, 不管實際狀況硬塞, 如果主記憶體size<execfile size, 就會因為Assertion而coredump。

要是主記憶體size<execfile size應該要有其他的load方法, 這也是之後實作multiprogramming及virtual memory時需要更改的部分。

2. Page Faults

a. machine/ mipssim.cc - Machine::Run()

```

51 void
52 Machine::Run()
53 {
54     Instruction *instr = new Instruction; // storage for decoded instruction
55
56     if (debug->IsEnabled('m')) {
57         cout << "Starting program in thread: " << kernel->currentThread->getName();
58         cout << ", at time: " << kernel->stats->totalTicks << "\n";
59     }
60     kernel->interrupt->setStatus(UserMode);
61     for (;;) {
62         OneInstruction(instr);
63         kernel->interrupt->OneTick();
64         if (singleStep && (runUntilTime <= kernel->stats->totalTicks))
65             Debugger();
66     }
67 }
```

Thread開始執行時呼叫, 要一個空間給decoded instruction, 然後把該空間位址傳給OneInstruction()。

b. machine/ mipssim.cc - Machine::OneInstruction(Instruction *instr)

(code很長, 省略圖片)

使用ReadMem, 從PC指向的記憶體位置取出instruction並decode, 如果失敗;稱為讀取instruction後, 先紀錄PC_after但不存入NextPCReg, 並根據decode出的opcode去做不同的動作。

c. machine/translate.cc - Machine::ReadMem(int addr, int size, int *value)

(code很長, 省略圖片)

先呼叫translate(), 把virtual address轉成physical address, 接著用physical address去main Memory把資料讀出來, 回傳給OneInstruction做解碼。

d. machine/translate.cc - Machine::Translate(int VA, int* PA, int size, bool writing)

(code很長, 省略圖片)

基本功能就是接收virtual Address, 透過current thread的page table轉換成physical address 後存好給ReadMem或WriteMem使用;除此之外, 檢查Exception是否發生, 其中最重要的就是透過valid、確定page是否在page table中, 若沒有就回傳PageFaultException。

還有許多其他不同的Exception, 像是virtual page number若超過page table entry number就回傳AddressErrorException, 如果current thread想要Write一個read-only的page就回傳ReadOnlyException等等。

當然, 若一切都順利執行, 要回傳NoException。

e. machine/machine.cc - Machine::RaiseException(Exception which, int badVAddr)

(code很長, 省略圖片)

切換為SystemMode後呼叫ExceptionHandler, 結束ExceptionHandler後回到UserMode。也會紀錄發生Exception的address, 需要的話可以使用。

f. userprog/exception.cc - ExceptionHandler(ExceptionType which)

(code很長, 省略圖片)

根據不同的Exception運行對應的函式。本次作業要在這邊寫下如何處理PageFaultException。

II. Implement

1. Use Empty file to save the extra code.

a. userprog/addrspace.cc - Load(char* fileName)

```

AddrSpace::Load(char *fileName)
{
    OpenFile *executable = kernel->fileSystem->Open(fileName);
    NoffHeader noffH;
    unsigned int size;

    if (executable == NULL) {
        cerr << "Unable to open file " << fileName << "\n";
        return FALSE;
    }
    executable->ReadAt((char *)&noffH, sizeof(noffH), 0);
    if ((noffH.noffMagic != NOFFMAGIC) &&
        (WordToHost(noffH.noffMagic) == NOFFMAGIC))
        SwapHeader(&noffH);
    ASSERT(noffH.noffMagic == NOFFMAGIC);

    // how big is address space?
    size = noffH.code.size + noffH.initData.size + noffH.uninitData.size
          + UserStackSize;      // we need to increase the size
          // to leave room for the stack
    numPages = divRoundUp(size, PageSize);
    // cout << "number of pages of " << fileName << " is "<<numPages<<endl;
    size = numPages * PageSize;

    pageTable = new TranslationEntry[numPages];
    for (unsigned int i = 0; i < numPages; i++) {
        pageTable[i].virtualPage = i;    // for now, virt page # = phys page #
        pageTable[i].physicalPage = i;
        // pageTable[i].physicalPage = 0;
        pageTable[i].valid = FALSE;
        // pageTable[i].valid = FALSE;
        pageTable[i].use = FALSE;
        pageTable[i].dirty = FALSE;
        pageTable[i].readOnly = FALSE;
    }
}

```

由於UserStackSize會被調大，導致virtual mem size也會一起大到超過physical mem size，所以更改原本的code，把pagetable放到這裡宣告，根據計算出的size(包含code, data, stack)要相對應大小的table，並initialize table。

```

char ch = 'X';
char *temp = new char[strlen(fileName) + 1];
strcpy(temp, fileName);
strncat(temp, &ch, 1);
kernel->fileSystem->Create(temp);
DEBUG(dbgAddr, "Initializing address space: " << numPages << ", " << size);
int remainSize = noffH.code.size;
int currentPosition = noffH.code.inFileAddr;
int currentPosition2 = noffH.code.virtualAddr;
OpenFile *emptyFile = kernel->fileSystem->Open(temp);
while (remainSize > 0)
{
    int s;
    if(remainSize >= PageSize) s = PageSize;
    else s = remainSize;

    DEBUG(dbgAddr, "Initializing code segment.");
    DEBUG(dbgAddr, noffH.code.virtualAddr << ", " << noffH.code.size);
    executable->ReadAt(&(kernel->machine->mainMemory[noffH.code.virtualAddr]), s, currentPosition);
    emptyFile->WriteAt(&(kernel->machine->mainMemory[noffH.code.virtualAddr]), s, currentPosition2);
    remainSize -= s;
    currentPosition += s;
    currentPosition2 += s;
}

```

因為作業要求要create新的file去當作virtual mem space, 所以我們用filesystem的Create函數, 創了一個file, 檔名為原本檔名末端加一個'X', 並且搬動code跟data進去新的file裡。

這裡有一個重點是從原本file的code.inFileAddr對應到新的file的position應該要是code.virtualAddr, 這樣之後在ReadAt與WriteAt才能夠直接用virtualAddr去新的file裡搬運資料。

```

// }

remainSize = noffH.initData.size;
currentPosition = noffH.initData.inFileAddr;
currentPosition2 = noffH.initData.virtualAddr;
while (remainSize > 0)
{
    int s;
    if(remainSize >= PageSize) s = PageSize;
    else s = remainSize;

    DEBUG(dbgAddr, "Initializing code segment.");
    DEBUG(dbgAddr, noffH.code.virtualAddr << ", " << noffH.code.size);
    executable->ReadAt(&(kernel->machine->mainMemory[noffH.code.virtualAddr]), s, currentPosition);
    emptyFile->WriteAt(&(kernel->machine->mainMemory[noffH.code.virtualAddr]), s, currentPosition2);
    remainSize -= s;
    currentPosition += s;
    currentPosition2 += s;
}|
delete emptyFile;
delete temp;
delete executable;           // close file
return TRUE;                // success
}

```

最後, 把initdata用同樣的方式搬進新的file裡, delete兩個file(關閉檔案)

2. Least Recently Used (LRU) -- Stack Implementation with Vector

a. machine/stats.h - class Statistics{}

```
bool PageFreeTable[NumPhysPages] = {0};  
vector<int> LRU;  
int FindFreePage();
```

在Statistics中先創建一個table--PageFreeTable, 用來記錄Physical pages有沒有被使用到, 0 / FALSE代表是free的, 1 / TRUE代表被佔用了。

接著創建一個名為LRU的vector, 用來實踐LRU的演算, 讓最近被用到的physical page排在後面, 而越前面的會越早被erase掉。

最後定義一個函式FindFreePage, 會在PageFreeTable中尋找free的pages(值為false), 如果有就回傳page的number, 沒有的話就回傳-1。(如下)

```
int Statistics::FindFreePage() {  
    // cout << "into findfreepage" << endl;  
    // cout << "free2 = " << PageFreeTable[2] << endl;  
    for(int i = 0; i < NumPhysPages; i++) {  
        // cout << "i = " << i << "val = " << PageFreeTable[i] << endl;  
        if(!PageFreeTable[i]) {  
            PageFreeTable[i] = 1;  
            return i;  
        }  
    }  
    return -1;  
}
```

b. machine/translate.cc -- Translate(int virtAddr, int* physAddr, int size, bool writing)

```
for(i = 0; i < kernel->stats->LRU.size(); i++)  
{  
    if(kernel->stats->LRU[i] == pageFrame){  
        DEBUG(dbgAddr, "ERASE" << pageFrame);  
        kernel->stats->LRU.erase(kernel->stats->LRU.begin() + i);  
        DEBUG(dbgAddr, "PUSHBACK" << pageFrame);  
        kernel->stats->LRU.push_back(pageFrame);  
    }  
}  
return NoException;
```

在translate function中, 如果順利到達函式最後要return NoException的地方, 就代表要使用的page有其對應的physical page, 所以不會產生page fault。

而為了實踐LRU, 沒有產生page fault的page要再被移到vector的底部, 作為最近有被用到的證明, 被替換的順位會移到最後。如上圖, 找到這個Page frame編號在LRU vector的位置, 之後erase掉並重新push back在後面。

c. userprog/exception.cc -- ExceptionHandler(ExceptionType which)

case PageFaultException

```
51  ExceptionHandler(ExceptionType which)
52  {
53      int type = kernel->machine->ReadRegister(2);
54      int val, status;
55      int victim;
56
57      switch (which) {
58      case PageFaultException:
59          DEBUG(dbgAddr, "StartFindingFree" << kernel->stats->LRU.size());
60          victim = kernel->stats->FindFreePage();
61          kernel->stats->numPageFaults++;
62          if(victim != -1)
63          {
64              kernel->swapIn(victim);
65              DEBUG(dbgAddr, "OnlyPush" << victim);
66              kernel->stats->LRU.push_back(victim);
67          }else{
68              victim = kernel->stats->LRU[0];
69              kernel->swapOut(victim);
70              kernel->swapIn(victim);
71              DEBUG(dbgAddr, "Erase&Push" << victim);
72              kernel->stats->LRU.erase(kernel->stats->LRU.begin());
73              kernel->stats->LRU.push_back(victim);
74          }
75          return;
76      case SyscallException:
```

如果translate()回傳PageFaultException，則Raise exception之後要來處理page fault的問題：首先要查看是否有free的physical page可以直接拿來用，實作方法就是呼叫先前定義的Statistic::FindFreePage()。如果回傳一個非-1的數，即可直接swap in該number的page frame之中，並將number push back進LRU vector後面代表最近被用到。

反之，如果回傳的值是-1就要進行replacement，而在LRU vector中第一項就是首當其衝要被替換掉的。讓victim代表第一位，也就是最久遠以前被使用到的frame的number，然後帶進UserProgKernel::swapOut()以及UserProgKernel::swapIn() function裡面，去將資料做出搬出搬進的動作。最後，把第一位從vector中erase掉，重新Push back回尾端，代表最近被使用到。

d. userprog/userkernel.cc -- swapOut(int victim), swapIn(int victim)

```
154
155 void
156 UserProgKernel::swapOut(int victim)
157 {
158     for(int i = 1; i <= execfileNum; i++)
159         if(t[i]->space->swapOut(victim, t[i]->getName()))
160             break;
161 }
162
163 void
164 UserProgKernel::swapIn(int victim)
165 {
166     // cout << "UserProgKernel ReadRegister(BadVAddrReg) = " << machine->Read
167     currentThread->space->swapIn(victim, machine->ReadRegister(BadVAddrReg));
168 }
169
```

swapOut : 每個執行中的thread去呼叫swapOut, 參數為victim(被swapout的pagenum)以及filename, 因為不知道victim page裡面裝的是哪個thread的資料, 所以如果return 1代表成功找到並swapout。

swapIn: Register[BadVAddrReg](根據描述, Addressing失敗的virtual addr都會存在此reg)所以將此參數丟入currentThread的space去做swapIn

e. userprog/addrspace.cc -- swapIn

```
void AddrSpace::swapIn(int victim, unsigned int virtAddr) {
    unsigned int vpn = (unsigned) virtAddr / PageSize;
    // cout << "spaceswapIn vic =" << victim << "virtAddr = " << virtAddr << endl;
    char *fileName = kernel->currentThread->getName();
    // cout << fileName << endl;
    char ch = 'X';
    char *temp = new char[strlen(fileName) + 1];
    strcpy(temp, fileName);
    strncat(temp, &ch, 1);
    // cout << temp << endl;
    OpenFile *file = kernel->fileSystem->Open(temp);
    // cout << victim * PageSize << " " << PageSize << " " << virtAddr << endl;
    file->ReadAt(&(kernel->machine->mainMemory[victim * PageSize]), PageSize, vpn* PageSize);
    delete file;
    delete temp;
    // cout << "spaceswapIn-t2" << endl;
    kernel->machine->pageTable[vpn].physicalPage = victim;
    kernel->machine->pageTable[vpn].valid = true;
    kernel->currentThread->space->pageTable[vpn].physicalPage = victim;
    kernel->currentThread->space->pageTable[vpn].valid = true;

}
```

進來的virtAddr就是剛剛userkernel傳入的Register[BadVAddrReg]的值, 所以做的事情就是打開load時我們創來當mem的file, 換算virtualAddr所在的pagenum(vpn), 去file裡面的vpn的起始位置(vpn*PageSize)拿PageSize大小的資料, 並且放到mainMemory的victim page(該page已被swapout或是本來就是空的), 最後把pagetable的valid bit設為true, physicalPage設為victim。

f. userprog/addrspace.cc -- swapOut

```
bool AddrSpace::swapOut(int victim, char *fileName)
{
    // cout << "spaceswapOut vic = " << victim << "fileName = " << endl;
    for(int i = 0; i < numPages; i++) {
        if(pageTable[i].physicalPage == victim && pageTable[i].valid){
            if(pageTable[i].dirty) {
                char ch = 'X';
                char *temp = new char[strlen(fileName) + 1];
                strcpy(temp, fileName);
                strncat(temp, &ch, 1);
                // cout << temp << endl;
                OpenFile *file = kernel->fileSystem->Open(temp);
                file->WriteAt(&(kernel->machine->mainMemory[victim * PageSize]), PageSize, i*PageSize);
                delete file;
                delete temp;
            }
            pageTable[i].physicalPage = 0;
            pageTable[i].valid = FALSE;
            pageTable[i].use = FALSE;
            pageTable[i].dirty = FALSE;
            pageTable[i].readOnly = FALSE;
            return true;
        }
    }
    return false;
}
```

原理和swapIn差不多，如果這個thread的pagetable存在victim page且valid bit是1，若dirtybit是1，就把現在mem裡的victim page存入file中對應的位置(i代表是virtual page num)。最後把pagetable validbit、dirtybit都設為0(reset)

III. Result

```
jinyu@ubuntu:~/hw3/nachos-master-564d0473dc44505ad78cb715aab73080e721b4fe-nachos-4.0-hw1/nachos-4.0-hw1/code$ ./user
Total threads number is 3
Thread ./test/merge is executing.
Thread ./test/quick is executing.
Thread ./test/bubble is executing.
Print integer:804
Print integer:804
Print integer:805
Print integer:806
Print integer:807
return value:1
Print integer:20
Print integer:20
Print integer:21
Print integer:22
Print integer:22
return value:2
Print integer:909
Print integer:909
Print integer:910
Print integer:914
Print integer:915
return value:0
No threads ready or runnable, and no pending interrupts.
Assuming the program completed.
Machine halting!
Ticks: total 62525700, idle 32, system 6252630, user 56273038
Disk I/O: reads 0, writes 0
Console I/O: reads 0, writes 0
Paging: faults 26733
Network I/O: packets received 0, sent 0
jinyu@ubuntu:~/hw3/nachos-master-564d0473dc44505ad78cb715aab73080e721b4fe-nachos-4.0-hw1/nachos-4.0-hw1/code$ █
```

經過觀察，產生最多page fault(PF)的program為bubble sort，因為它會一直重複allocate arr[0] ~ arr[1023]，所以我們透過調大pagesize，調小NumPhysPages(in machine.h)去讓 bubble sort一次allocate較大的資料量減少PF(太大也不行，process切換會造成更多PF)，最後發現最佳的size落在168，NumPhysPages = 24(NumPhysPages * PageSize = 4032)。

執行結果共有26733次page faults

Contribution: 楊晶宇(33%) 常安彥(33%) 林柏杰(33%)