

Seminar Approximation Algorithms

Approximating Nash Social Welfare under Submodular Valuations through (Un)Matchings

Based on a paper of the same title by J. Garg, P. Kulkarni, and R. Kulkarni

Zeno Adrian Weil Supervised by Dr Giovanna Varricchio

28th July 2023 · Algorithms and Complexity (Prof. Dr Martin Hoefer)

What is the issue?





What is the issue?



We need to distribute goods amongst recipients

What is the issue?



We need to distribute goods amongst recipients efficiently

What is the issue?



We need to distribute goods amongst recipients *efficiently* and *fairly*.

What is the issue?



We need to distribute goods amongst recipients *efficiently* and *fairly*.

Where is this encountered?

What is the issue?



We need to distribute goods amongst recipients efficiently and fairly.

Where is this encountered?

industrial procurement



What is the issue?



We need to distribute goods amongst recipients efficiently and fairly.

Where is this encountered?

- industrial procurement
- satellites





What is the issue?



We need to distribute goods amongst recipients efficiently and fairly.

Where is this encountered?

- industrial procurement
- satellites
- water withdrawal







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Allocations



Allocations

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Setting:

Allocations



Setting:

■ recipients: set \mathcal{A} of n agents



Allocations



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- recipients: set \mathcal{A} of n agents
- goods: set \mathcal{G} of m items

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Definition

An *allocation* is a tuple $x = (x_i)_{i \in \mathcal{A}}$ of bundles $x_i \subset \mathcal{G}$

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An *allocation* is a tuple $\mathbf{x} = (\mathbf{x}_i)_{i \in \mathcal{A}}$ of bundles $\mathbf{x}_i \subset \mathcal{G}$ such that each item is element of precisely one bundle.

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Item *j* is *assigned* to agent *i* if $j \in x_i$.

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Item *j* is assigned to agent *i* if $j \in x_i$.

But how to measure its efficiency and fairness?

Valuation Functions





Valuation Functions



Requirements:

Valuation Functions



Requirements:

■ monotonically non-decreasing: $v_i(\mathcal{S}_1) \leq v_i(\mathcal{S}_2)$ if $\mathcal{S}_1 \subset \mathcal{S}_2$

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• additive: $v_i(\mathcal{S}) := \sum_{j \in \mathcal{S}} v_i(j)$



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- submodular: $v_i(S_1 \mid S_2)$





Valuation Functions

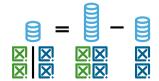


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Valuation Functions



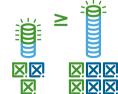
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 - diminishing returns







Asymmetric Maximum Nash Social Welfare Problem





Problem

$$x^* \stackrel{!}{=} \arg \max \{ \text{NSW}(x) \}$$

 $x \in X_{\mathscr{A}}(\mathscr{C})$

■ $X_{\mathcal{A}}(\mathcal{G})$: all possible allocations

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The NSW strikes a middle ground between efficiency and fairness!



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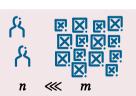
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Problem

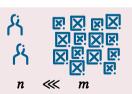
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Is there a polynomial-time algorithm with an approximation factor ...

- \blacksquare ... dependent on n?
- ... independent from *m*?





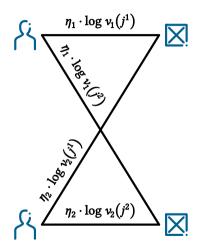


Naïve Approach



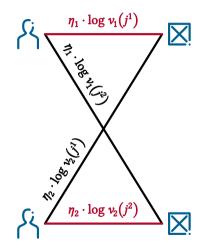
Naïve Approach

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Naïve Approach





Naïve Approach

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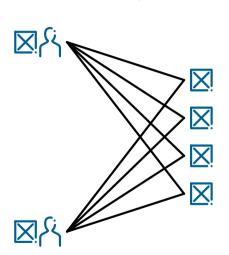


Naïve Approach

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Greedy algorithm:

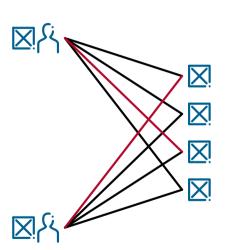
■ repeatedly use maximum weight matchings



Naïve Approach

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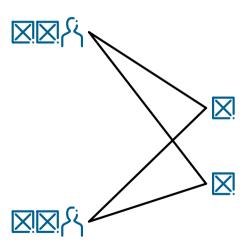
- repeatedly use maximum weight matchings
- fails because of missing foresight



Naïve Approach



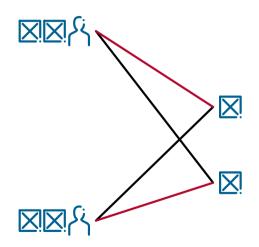
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Naïve Approach



- repeatedly use maximum weight matchings
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 - **submodular valuations**: lowest valuation approximable only by $\Omega(\sqrt{m/\ln m})$



Naïve Approach

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- repeatedly use maximum weight matchings
- fails because of missing foresight
 - additive valuations: sort items by valuation ⇒ 2*n*-approximation (*SMatch*)
 - submodular valuations: lowest valuation approximable only by $\Omega(\sqrt{m/\ln m})$





Key Ideas of the Algorithm



We need change the past in three phases:

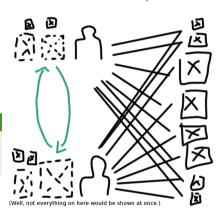
Phase I Assign enough high-value items temporarily.

Phase II Assign the remaining items definitely.

Phase III Re-assign the items of phase I definitely.

Theorem

RepReMatch guarantees a $2n(\log_2 n + 3)$ -approximation under submodular valuations.



The Algorithm



The Algorithm

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The Algorithm



Phase I:

■ repeat $\lceil \log_2 n \rceil + 1$ times

The Algorithm



- **1** repeat $\lceil \log_2 n \rceil + 1$ times
 - **1** create bipartite graph $(\mathcal{A}, \mathcal{G}, E)$ with edge weights $\log v_i(j)^{\eta_i}$

The Algorithm



- **1** repeat $\lceil \log_2 n \rceil + 1$ times
 - **1** create bipartite graph $(\mathcal{A}, \mathcal{G}, E)$ with edge weights $\log v_i(j)^{\eta_i}$
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The Algorithm



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The Algorithm



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Phase II:

2 repeat until $\mathcal{G} = \emptyset$

The Algorithm



Phase I:

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The Algorithm



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 - **1** create bipartite graph (𝒜, 𝒢, 𝓔) with edge weights log $v_i(x_i^{\parallel} \cup \{j\})^{\eta_i}$
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The Algorithm



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- **1** repeat $\lceil \log_2 n \rceil + 1$ times
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 - 2 compute maximum weight matching
 - 3 update bundles x_i^{I} & remove assigned items

- **2** repeat until $\mathcal{G} = \emptyset$
 - **1** create bipartite graph $(\mathcal{A}, \mathcal{G}, E)$ with edge weights $\log v_i(\mathbf{x}_i^{\mathrm{II}} \cup \{j\})^{\eta_i}$
 - 2 compute maximum weight matching
 - 3 update bundles x_i^{II} & remove assigned items

The Algorithm



Phase I:

- **1** repeat $\lceil \log_2 n \rceil + 1$ times
 - **I** create bipartite graph $(\mathcal{A}, \mathcal{G}, E)$ with edge weights $\log v_i(j)^{\eta_i}$
 - 2 compute maximum weight matching
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Phase II:

- **2** repeat until $\mathcal{G} = \emptyset$
 - **1** create bipartite graph $(\mathcal{A}, \mathcal{G}, E)$ with edge weights $\log v_i(\mathbf{x}_i^{\mathrm{II}} \cup \{j\})^{\eta_i}$
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Phase II:

- **2** repeat until $\mathcal{G} = \emptyset$
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Phase III:

3 create bipartite graph $(\mathcal{A}, \bigcup_{i \in \mathcal{A}} x_i^{\mathrm{I}}, E)$ with edge weights $\log v_i(x_i^{\mathrm{II}} \cup \{j\})^{\eta_i}$

The Algorithm



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- 4 compute maximum weight matching

The Algorithm



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- 4 compute maximum weight matching
- **5** create bundles x_i^{III}

Analysing Phases I & III (1/2)



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Phase I reserves 'high-value' items.

Analysing Phases I & III (1/2)



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Definition

Let $\mathbf{x}_i^* = \{o_i^1, o_i^2, ...\}$ be an optimal bundle.

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Let $\mathbf{x}_i^* = \{o_i^1, o_i^2, ...\}$ be an optimal bundle. An item $j \in \mathcal{G}$ is outstanding if $v_i(j) \ge v_i(o_i^1)$.

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⇒ Are enough outstanding items reserved?

Analysing Phases I & III (2/2)



Lemma

Each agent can be matched with an outstanding item in phase ${\rm III.}$

Analysing Phases I & III (2/2)



Lemma

Each agent can be matched with an outstanding item in phase ${\rm I\hspace{-.1em}I\hspace{-.1em}I}$.

maximum number of unmatched agents halved with each round of phase I

Analysing Phases I & III (2/2)



Lemma

Each agent can be matched with an outstanding item in phase III.

- maximum number of unmatched agents halved with each round of phase I
 - $\lceil \log_2 n \rceil + 1$ rounds in phase I are enough

Analysing Phases I & III (2/2)



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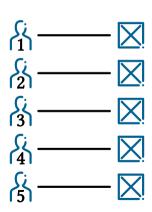
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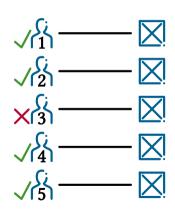
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Base Case: In round 1 of phase I, either

■ $\geq n/2$ many agents matched with an outstanding item



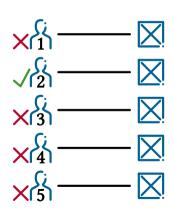


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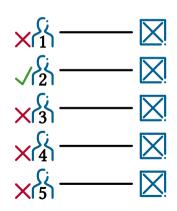


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- $\geq n/2$ many agents matched with an outstanding item
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 - > n/2 many items o_i^1 assigned to someone else



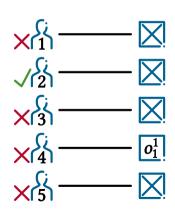


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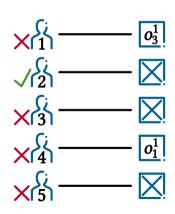


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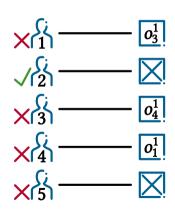


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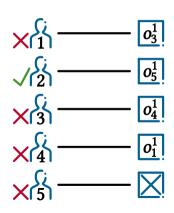


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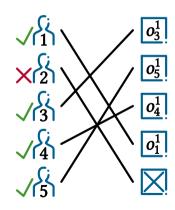


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- $\ge n/2$ many agents matched with an outstanding item
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 - > n/2 many items o_i^1 assigned to someone else
 - > n/2 many agents matched upon release in phase III



Analysing Phase II (1/2)



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Let $x_i^{\text{II}} = \{a_i^1, a_i^2, ...\}$ be the bundle of agent *i*. The set of *optimal and attainable items* is defined as

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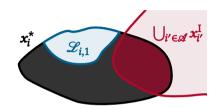
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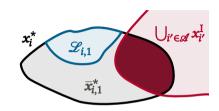
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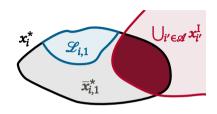


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$$\overline{x}_{i,r}^* := \begin{cases} x_i^* \setminus \left(\bigcup_{i' \in \mathscr{A}} x_{i'}^{\mathrm{I}} \cup \mathscr{L}_{i,1} \right) & \text{in round } r = 1, \end{cases}$$



Analysing Phase II (1/2)

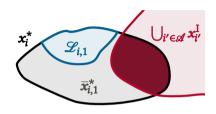


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Analysing Phase II (1/2)

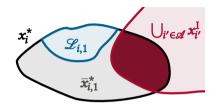


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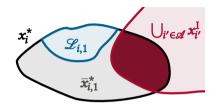


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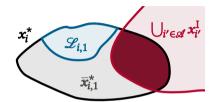


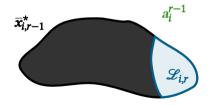
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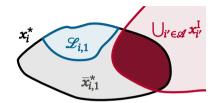


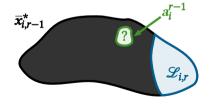
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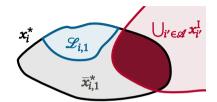


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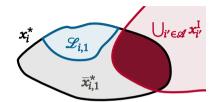


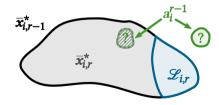
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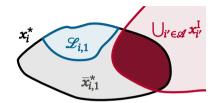


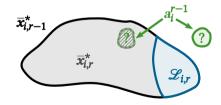
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Analysing Phase II (1/2)



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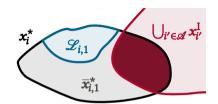
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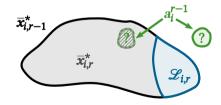
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 \Rightarrow What is the valuation of the remaining items?





Analysing Phase II (2/2)

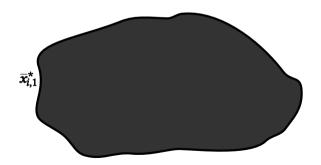


$$v_i\big(\overline{x}_{i,r}^*\mid a_i^1,\ldots,a_i^{r-1}\big)$$

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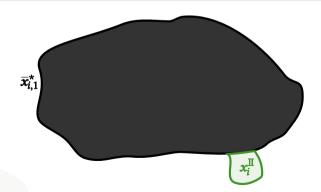
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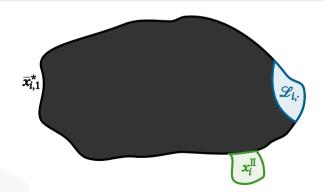
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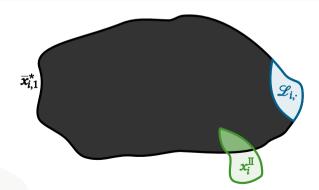
$$v_i\big(\overline{x}_{i,r}^*\mid a_i^1,\dots,a_i^{r-1}\big)$$



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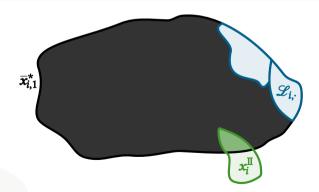
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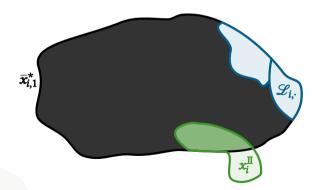
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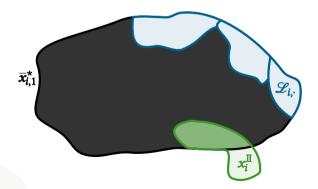
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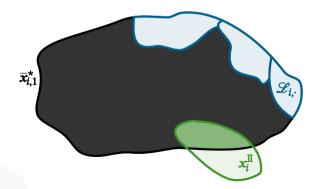
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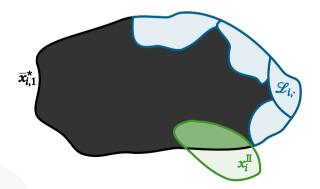


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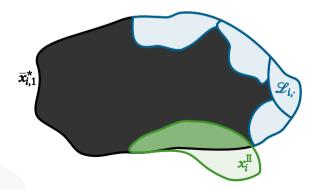


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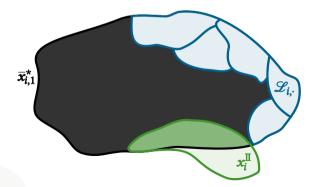


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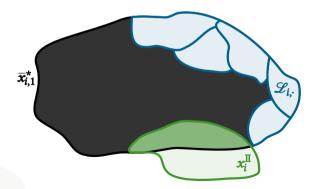


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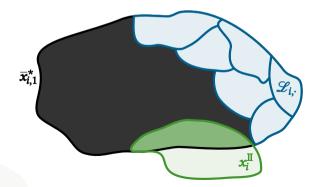
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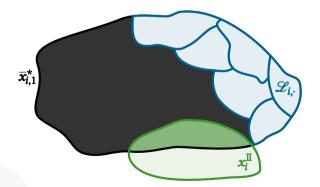


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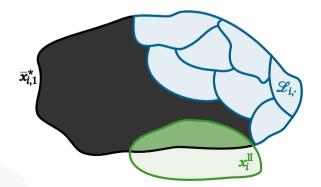


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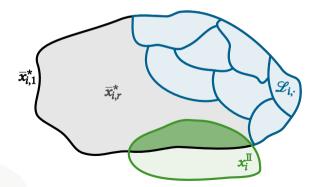


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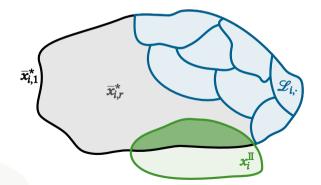


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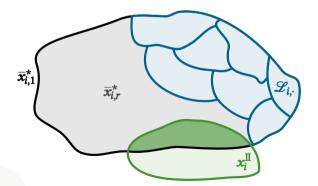


$$v_i\big(\overline{x}_{i,r}^{\star}\mid a_i^1,\dots,a_i^{r-1}\big)=v_i\big(\overline{x}_{i,r}^{\star}\cup\big\{a_i^1,\dots,a_i^{r-1}\big\}\big)-v_i\big(a_i^1,\dots,a_i^{r-1}\big)$$



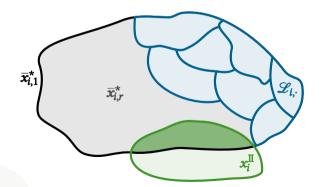


$$v_i(\bar{x}_{i,r}^* \mid a_i^1, \dots, a_i^{r-1}) = v_i(\bar{x}_{i,r}^* \cup \{a_i^1, \dots, a_i^{r-1}\}) - v_i(a_i^1, \dots, a_i^{r-1})$$



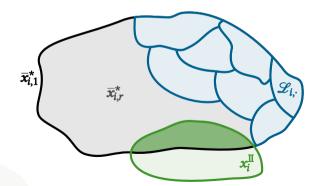


$$v_i(\bar{x}_{i,r}^* \mid a_i^1, \dots, a_i^{r-1}) = -v_i(a_i^1, \dots, a_i^{r-1}) + v_i(\bar{x}_{i,r}^* \cup \{a_i^1, \dots, a_i^{r-1}\})$$



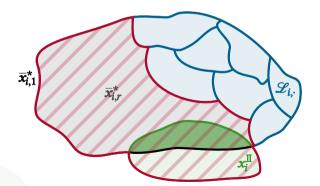


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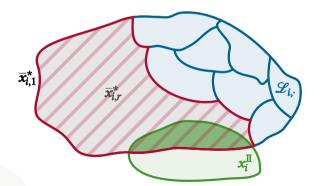


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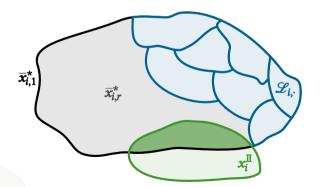


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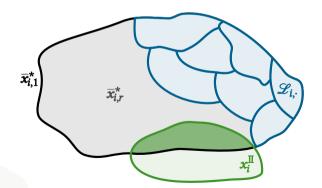


$$v_i\big(\overline{x}_{i,r}^*\mid a_i^1,\dots,a_i^{r-1}\big) \geq -v_i\big(a_i^1,\dots,a_i^{r-1}\big) + v_i\big(\overline{x}_{i,1}^*\big)$$



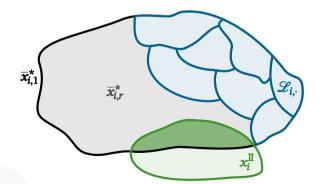


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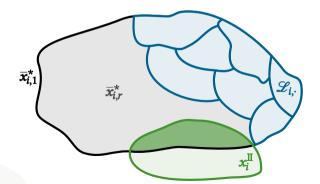


$$v_i\big(\overline{x}_{i,r}^{\star} \mid a_i^1, \dots, a_i^{r-1}\big) \geq -v_i\big(a_i^1, \dots, a_i^{r-1}\big) + v_i\big(\overline{x}_{i,1}^{\star}\big) - v_i\big(\mathcal{L}_{i,2} \mid a_i^1\big) - v_i\big(\mathcal{L}_{i,3} \mid a_i^1, a_i^2\big)$$



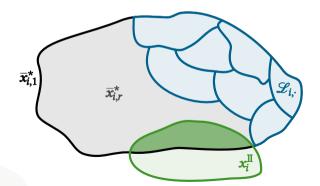


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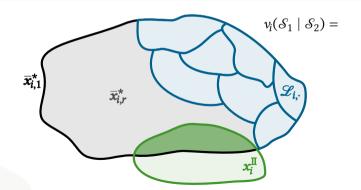


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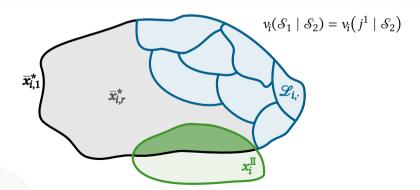


$$v_i(\bar{x}_{i,r}^* \mid a_i^1, \dots, a_i^{r-1}) \ge -v_i(a_i^1, \dots, a_i^{r-1}) + v_i(\bar{x}_{i,1}^*) - \sum_{l=2}^r v_i(\mathcal{L}_{i,l} \mid a_i^1, \dots, a_i^{l-1})$$



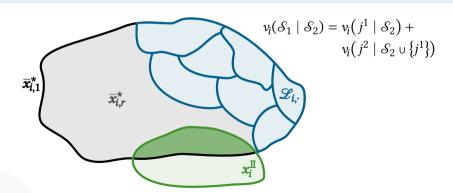


$$v_{i}(\overline{x}_{i,r}^{*} \mid a_{i}^{1}, \dots, a_{i}^{r-1}) \geq -v_{i}(a_{i}^{1}, \dots, a_{i}^{r-1}) + v_{i}(\overline{x}_{i,1}^{*}) - \sum_{l=2}^{r} v_{i}(\mathcal{L}_{i,l} \mid a_{i}^{1}, \dots, a_{i}^{l-1})$$



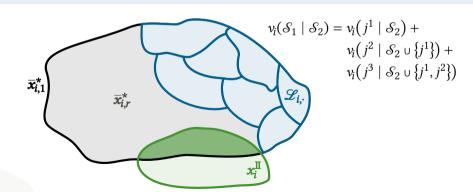


$$v_i(\bar{x}_{i,r}^* \mid a_i^1, \dots, a_i^{r-1}) \ge -v_i(a_i^1, \dots, a_i^{r-1}) + v_i(\bar{x}_{i,1}^*) - \sum_{l=2}^r v_i(\mathcal{L}_{i,l} \mid a_i^1, \dots, a_i^{l-1})$$



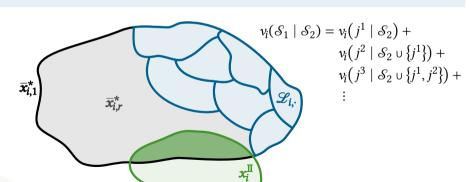


$$v_{i}(\overline{x}_{i,r}^{*} \mid a_{i}^{1}, \dots, a_{i}^{r-1}) \geq -v_{i}(a_{i}^{1}, \dots, a_{i}^{r-1}) + v_{i}(\overline{x}_{i,1}^{*}) - \sum_{l=2}^{r} v_{i}(\mathcal{L}_{i,l} \mid a_{i}^{1}, \dots, a_{i}^{l-1})$$



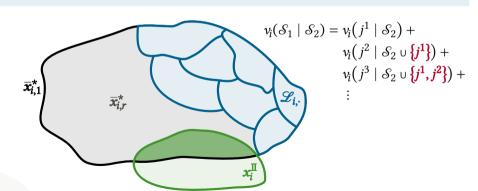


$$v_{i}(\overline{x}_{i,r}^{*} \mid a_{i}^{1}, \dots, a_{i}^{r-1}) \geq -v_{i}(a_{i}^{1}, \dots, a_{i}^{r-1}) + v_{i}(\overline{x}_{i,1}^{*}) - \sum_{l=2}^{r} v_{i}(\mathcal{L}_{i,l} \mid a_{i}^{1}, \dots, a_{i}^{l-1})$$



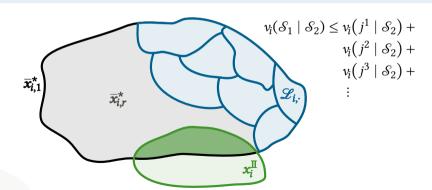


$$v_{i}(\overline{x}_{i,r}^{*} \mid a_{i}^{1}, \dots, a_{i}^{r-1}) \geq -v_{i}(a_{i}^{1}, \dots, a_{i}^{r-1}) + v_{i}(\overline{x}_{i,1}^{*}) - \sum_{l=2}^{r} v_{i}(\mathcal{L}_{i,l} \mid a_{i}^{1}, \dots, a_{i}^{l-1})$$



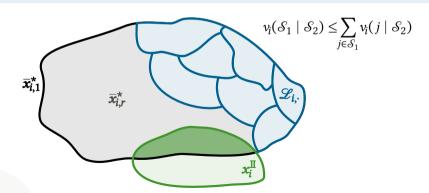


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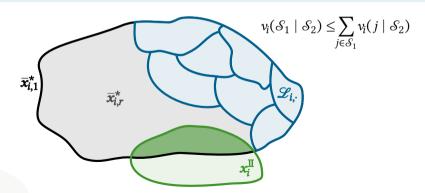


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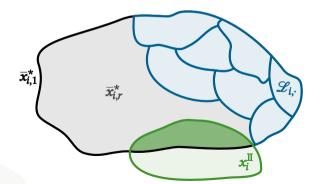


$$v_i(\overline{x}_{i,r}^* \mid a_i^1, \dots, a_i^{r-1}) \ge -v_i(a_i^1, \dots, a_i^{r-1}) + v_i(\overline{x}_{i,1}^*) - \sum_{l=2}^r \sum_{j \in \mathscr{L}_{i,l}} v_i(j \mid a_i^1, \dots, a_i^{l-1})$$



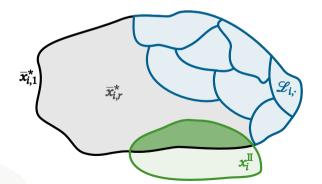


$$v_{i}(\overline{x}_{i,r}^{*} \mid a_{i}^{1}, \dots, a_{i}^{r-1}) \geq -v_{i}(a_{i}^{1}, \dots, a_{i}^{r-1}) + v_{i}(\overline{x}_{i,1}^{*}) - \sum_{l=2}^{r} \sum_{j \in \mathcal{L}_{i,l}} v_{i}(j \mid a_{i}^{1}, \dots, a_{i}^{l-1})$$



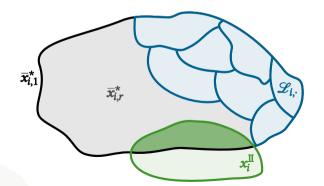


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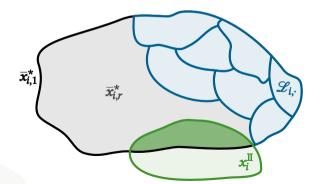


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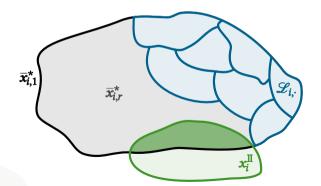


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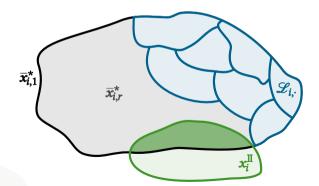


Analysing Phase II (2/2)



Lemma

$$v_i\big(\overline{x}_{i,r}^{\star} \mid a_i^1, \dots, a_i^{r-1}\big) \geq -v_i\big(a_i^1, \dots, a_i^{r-1}\big) + v_i\big(\overline{x}_{i,1}^{\star}\big) - \sum_{l=2}^{r} |\mathscr{L}_{i,l}| \cdot v_i\big(a_i^{l-1} \mid a_i^1, \dots, a_i^{l-2}\big)$$

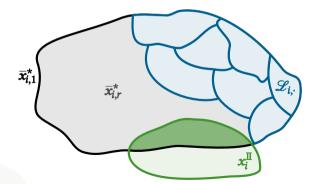


Analysing Phase II (2/2)



Lemma

$$v_i\big(\overline{x}_{i,r}^* \mid a_i^1, \dots, a_i^{r-1}\big) \geq -v_i\big(a_i^1, \dots, a_i^{r-1}\big) + v_i\big(\overline{x}_{i,1}^*\big) - \sum_{l=2}^r (n-1) \cdot v_i\big(a_i^{l-1} \mid a_i^1, \dots, a_i^{l-2}\big)$$











Summary & Outlook

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■ allocation: partition of items amongst agents



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- bundles valued using submodular valuation functions



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 Phase III assigning outstanding items









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Phase II assigning remaining item

Phase III assigning outstanding items

Any Room for Improvement?

Possibly! Lower bound of $\frac{e}{e-1} \approx 1.58$













