



# Fuzzy C-means Clustering

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PATTERN RECOGNITION

# Outline

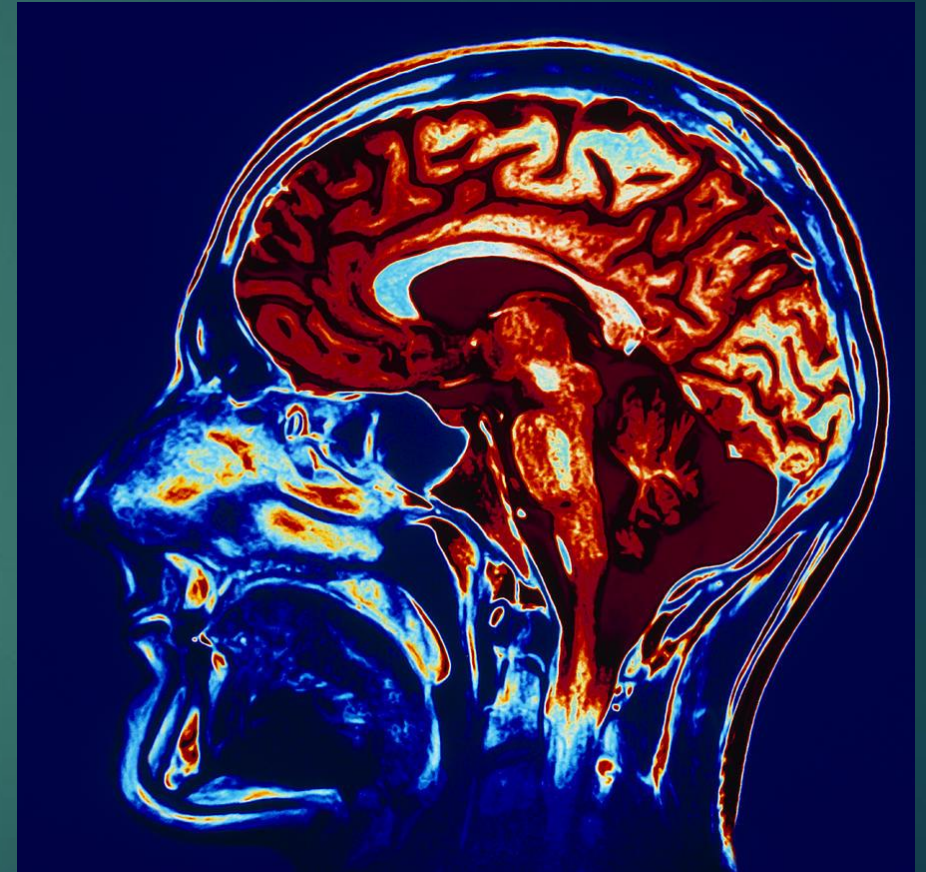
- ▶ Motivation and application
- ▶ Description of Fuzzy C-Means Clustering (FCM)
- ▶ Description of modified Fuzzy C-Means Clustering with spatial constraints (FCMS)
- ▶ Comparison of results of FCM and FCMS
- ▶ Conclusions

# Primary Reference

- ▶ M.N. Ahmed, S. M. Yamay, N. Mohamed, A. A. Farag, and T. Moriarty. "A modified fuzzy C-means algorithm for bias field estimation and segmentation of MRI data." IEEE Trans. Med. Imaging, vol. 21, pp 193-199, Mar. 2002.
- ▶ S. Chen and D. Zhang, "Robust Image Segmentation Using FCM with Spatial Constraints Based on New Kernel-Induced Distance Measure," IEEE Transactions on Systems, Man, and Cybernetics – Part B: Cybernetics, Vol. 34, No. 4, Aug. 2004.

# Motivation and Applications

- ▶ Image Segmentation
  - ▶ Medical Imaging
    - ▶ X-Ray Computer Tomography (CT)
    - ▶ Magnetic Resonance Imaging (MRI)
    - ▶ Position Emission Tomography (PET)
- ▶ Several methods for segmenting images
  1. Discontinuity – Detect discontinuities between pixel values to identify segment boundaries
  2. Similarity – Utilize clustering methods to divide image pixels into different segments

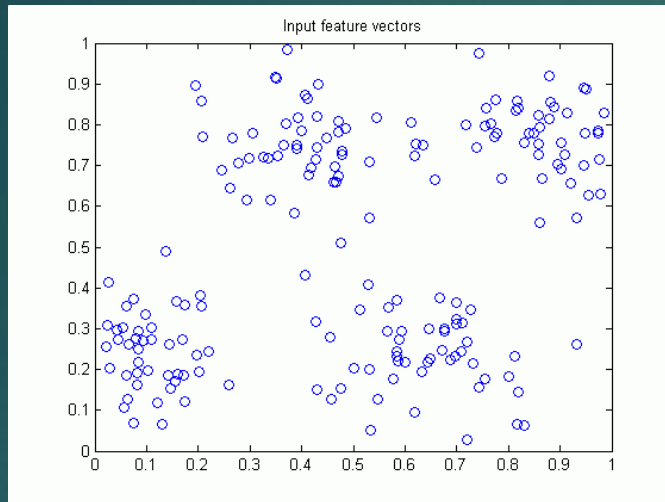


# Fuzzy C Means – Input Output

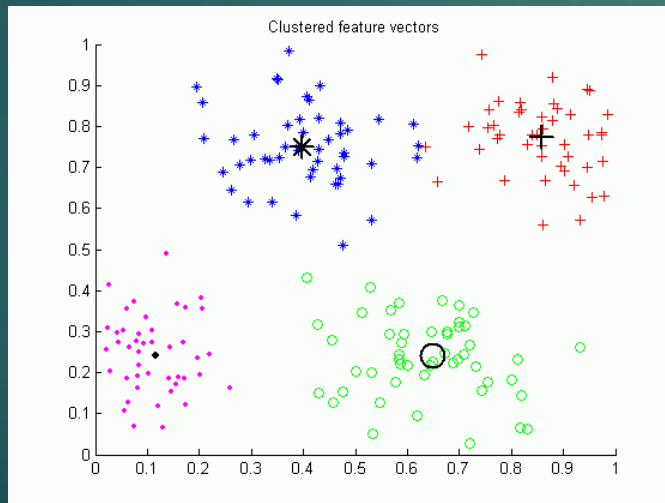
- ▶ Input: Takes in a set of data  $X = \{x_1, x_2, \dots, x_n\}$ 
  - ▶ For the case of image segmentation, the data points consist of pixel values
- ▶ Output: A partition of  $c$  clusters of  $X$  represented as a  $n \times c$  matrix  $U$ 
  - ▶ Each row of  $U$  is as follows:  
*Row  $i$ : [membership of  $x_i$  in cluster 1, membership of  $x_i$  in cluster 2, ...]*
  - ▶ Allows data to have certain degree of membership to 2 or more clusters
- ▶ Additional Output: Set of cluster centers:  $V = \{v_1, v_2, \dots, v_c\}$

# FCM Illustration

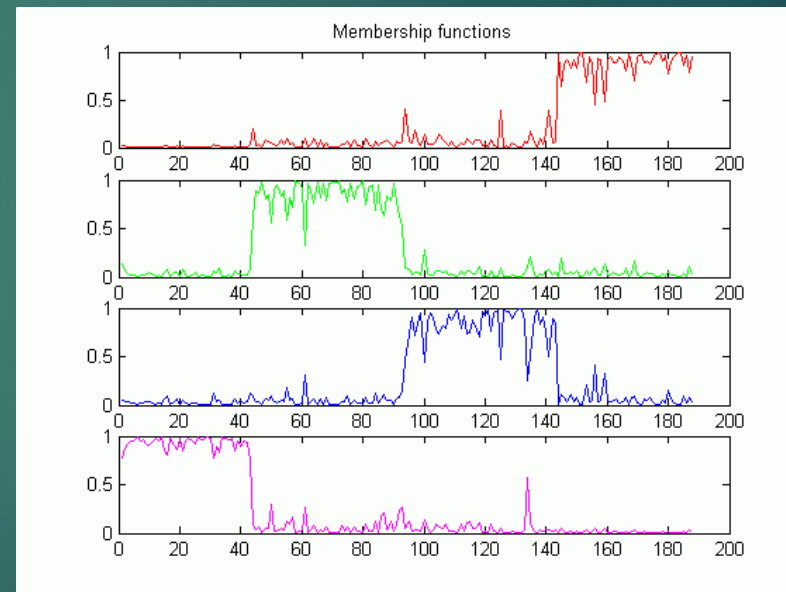
$\mathbf{X}$   
 $n=188$   
 $p=2$



$\mathbf{U}$  and  $\mathbf{V}$   
 $c=4$



Columns of  $\mathbf{U}$   
(Membership Functions)





# FCM – Objective Function

- ▶ The algorithm is based on minimizing an objective function:

$$\min_{(\mathbf{U}, \mathbf{V})} \left\{ J_m(\mathbf{U}, \mathbf{V}) = \sum_{i=1}^c \sum_{k=1}^n u_{ik}^m D_{ik}^2 \right\}$$

- ▶ Constraint: The membership values of a point,  $u_{ik}$  must add up to 1:

$$\sum_{i=1}^c u_{ik} = 1, \forall k$$

- ▶ The distance between a point and cluster center is given by  $D_{ik}$ :

$$D_{ik}^2 = \|\mathbf{x}_k - \mathbf{v}_i\|_{\mathbf{A}}^2$$

# FCM - Minimize Objective Function

- ▶ Minimizing the objective function with respect to U and V yields the following result:

$$u_{ik} = \left[ \sum_{j=1}^c \left( \frac{D_{ik}}{D_{jk}} \right)^{\frac{2}{m-1}} \right]^{-1}, \forall i, k$$

$$\mathbf{v}_i = \left( \sum_{k=1}^n u_{ik}^m \mathbf{x}_k / \sum_{k=1}^n u_{ik}^m \right), \forall i$$



# FCM – Algorithm Steps

1. Choose the number of clusters ( $c$ ), choose a fuzziness parameter ( $q$ ), choose threshold to determine convergence ( $\epsilon$ )
2. Initialize membership matrix to random values
3. Calculate the cluster centers with the expression for  $v_i$
4. Calculate the new membership matrix with the expression for  $u_{ik}$
5. Check for convergence:  $||V_{new} - V_{old}|| < \epsilon$
6. If converged, finished, otherwise go to step 3

# FCM Pros and Cons

- ▶ Advantages
  - ▶ Unsupervised
  - ▶ Always converges
- ▶ Disadvantages
  - ▶ Sensitive to initial guess
  - ▶ Sensitive to noise
  - ▶ Does not take into account spatial location of pixels

# FCM with Spatial Constrains

- ▶ Modify the algorithm to take into account spatial information
- ▶ For images, take into account pixels that are within a chosen neighborhood of each pixel
- ▶ This is done by modifying the original objective function:

$$J(U, V) = \sum_{i=1}^c \sum_{k=1}^n u_{ik}^m D_{ik}^2 + \frac{\alpha}{N_R} \sum_{i=1}^c \sum_{k=1}^N u_{ik}^m \sum_{r \in N_k} D_{ik}^2$$

# Optimizing new Objective Function

- Yields the following two expressions

$$u_{ik} = \frac{\left(D_{ik}^2 + \frac{\alpha}{N_R} \sum_{r \in N_k} D_{ir}^2\right)^{-\frac{1}{m-1}}}{\sum_{j=1}^c \left(D_{jk}^2 + \frac{\alpha}{N_R} \sum_{r \in N_k} D_{jr}^2\right)^{-\frac{1}{m-1}}}$$

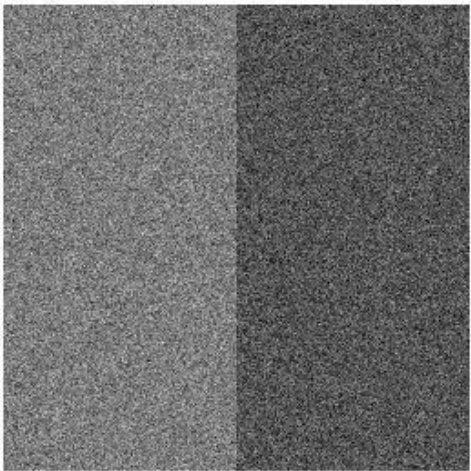
$$v_i = \frac{\sum_{k=1}^n u_{ik}^m \left(x_k + \frac{\alpha}{N_R} \sum_{r \in N_k} x_R\right)}{1 + \alpha \sum_{k=1}^n u_{ik}^m}$$

# FCMS – Algorithm Steps

1. Choose the number of clusters ( $c$ ), choose a fuzziness parameter ( $q$ ), choose threshold to determine convergence ( $\epsilon$ )
2. Initialize membership matrix to random values
3. Calculate the cluster centers with the new expression for  $v_i$
4. Calculate the new membership matrix with the new expression for  $u_{ik}$
5. Check for convergence:  $||V_{new} - V_{old}|| < \epsilon$
6. If converged, finished, otherwise go to step 3

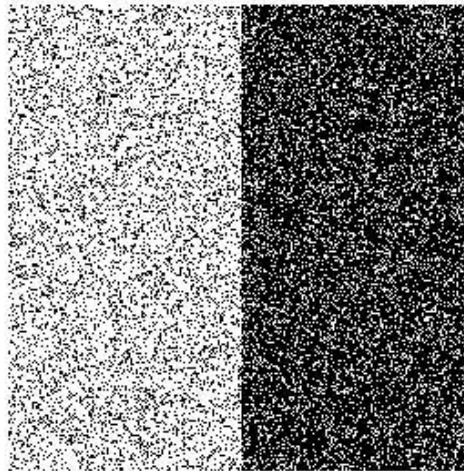
# Results of Noisy Image

Original Noisy Image



Result with FCM Algorithm

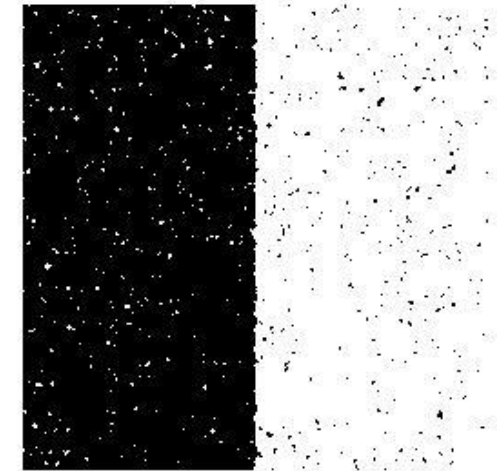
Original FCM Algorithm



78.67% Accuracy

Result with FCMS Algorithm

Image Segmentation Modified FCM Algorithm



98.16% Accuracy

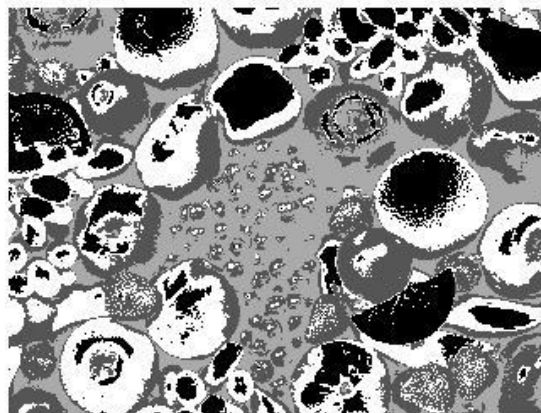


# Result of Image without Noise

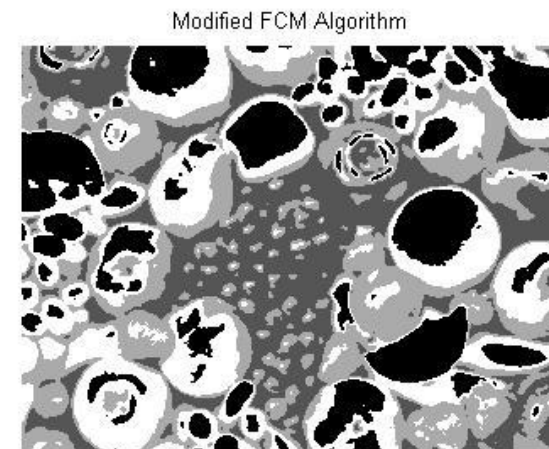
Original Image



Result with FCM Algorithm



Result with FCMS Algorithm



Executed with  $c = 4, m = 2, \alpha = 3, \varepsilon = 0.001$



# Conclusions

- ▶ FCMS algorithm yields better results in the presence of noise
- ▶ FCMS has more uniform image segmentation
- ▶ Cons of the FCM with spatial constraints:
  - ▶ Adds more computation to an algorithm that already takes very long to execute
  - ▶ Requires more memory resources to store neighborhood information
  - ▶ Still sensitive to the random initialization of the membership matrix
- ▶ Improved results have been shown by using kernel distance functions instead of Euclidean distance
- ▶ Change penalty term added to the objective function

# Questions?

