

## Chap 12.1

In real-world system, we may need to convert a signal from one sampling rate to another (for example, store 10 instead of 20 points to save memory)

Computation of a sequence  $x_0[n] \equiv x_c(nT_0)$   
from the known sequence  $x[n] = x_c(nT)$   
for  $T_0 \neq T$  without reconstructing  $x_c(t)$   
is called resampling or sampling rate change

$T$  = original sampling period

$T_0$  = new sampling period

3 cases to consider

1)  $T_0 = DT$ ,  $D$  is an integer ( $T_0 = 3T$ )

new sampling period is longer and integer multiple of  $T$

$\updownarrow$   
new sampling frequency is slower

= This is known as downsampling or

sampling rate compression

$$2) T_0 = \frac{T}{I}, \text{ I is an integer } (T_0 = \frac{T}{5})$$

new sampling period is shorter  
 $\Downarrow$

new sampling frequency is faster

= This is known as interpolation

$$3) T_0 = T \left( \frac{D}{I} \right), \text{ D and I are integer, } \frac{D}{I} \text{ is a rational number}$$

Case 1, sampling frequency decrease by an integer factor

$$T_0 = DT$$

$$X[n] \equiv x_c(nT) \quad \text{original signal sampled at T period}$$

$$X_D[n] \equiv x_c(nDT)$$

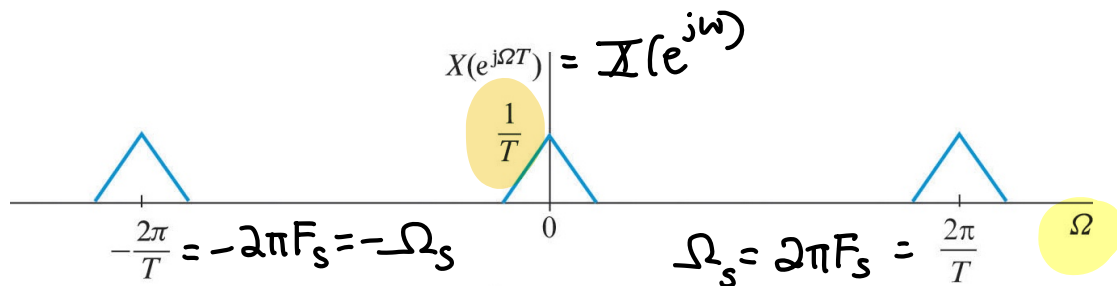
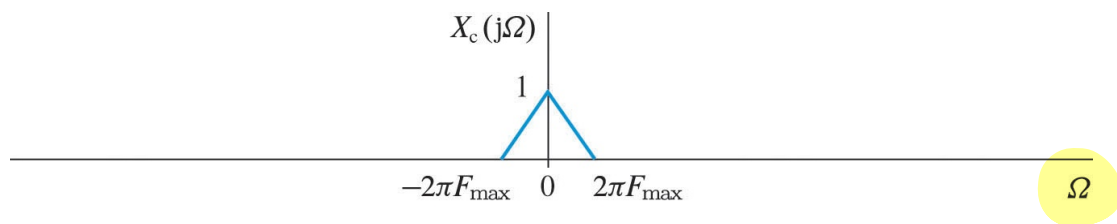
This means  $X_D[n] \equiv X[nD]$ . This is called downsampling. We will see that often times, just downsampling is not enough.

We want to understand how the down sampling affects the frequency domain

We know that for  $x[n] = x_c(nT)$

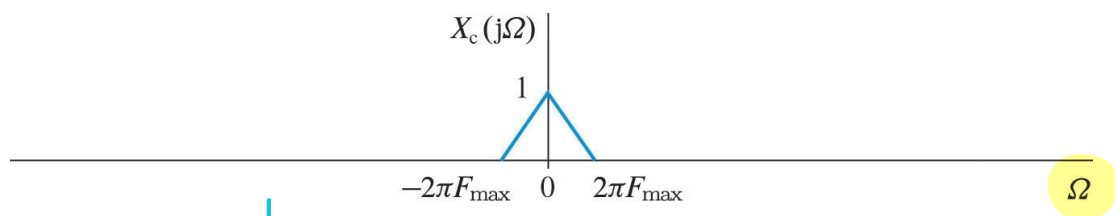
$$X(e^{j\omega}) = \frac{1}{T} \sum_{k=-\infty}^{\infty} X_c(j(\Omega - k\Omega_s))$$

↖ repetition every  $\Omega_s$

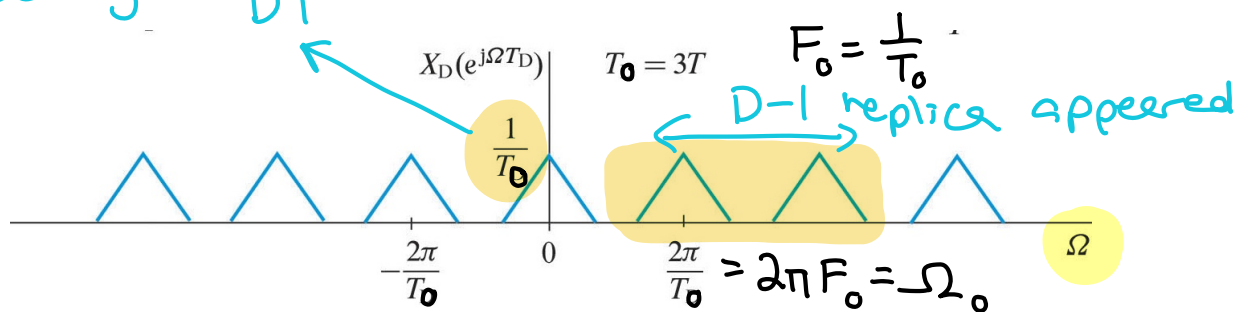


And for  $x_D[n] = x_c(nDT)$

$$X_D(e^{j\omega}) = \frac{1}{T_D} \sum_{k=-\infty}^{\infty} X_c(j(\Omega - k\Omega_D)) = \frac{1}{DT} \sum_{k=-\infty}^{\infty} X_c(j(\Omega - k\frac{\Omega_s}{D}))$$



Scaling is  $\frac{1}{DT}$



$$\Omega_D = \frac{2\pi}{T_D} = \frac{2\pi}{DT} = \frac{1}{D}(\Omega_s)$$

$$F_D = \frac{1}{T_D} = \frac{1}{DT} = \frac{1}{D}(F_s)$$

However, things get a bit confusing if we want to plot the DTFT with a normalized frequency axes. Which sampling frequency do we normalize by  $F_s$  or  $F_0$ ?

normalized frequency normalized by  $F_s$

$$f = \frac{F}{F_s}$$

normalized frequency normalized by  $F_0$

$$f = \frac{F}{F_0} = \frac{F}{\frac{1}{D}(F_s)} = D \frac{F}{F_s}$$

Let us choose to define the normalized frequency as

$$\omega = 2\pi f = 2\pi \left( \frac{F}{F_0} \right) = \Omega T_0$$

Then

$$\underset{\substack{\uparrow \\ \text{DTFT of} \\ X_D[n]}}{\sum_D(e^{j\omega})} = \frac{1}{D} \sum_{m=0}^{D-1} \underset{\substack{\uparrow \\ \text{DTFT of } X[n]}}{\sum(e^{j \frac{(\omega - 2\pi m)}{D}})}$$

How are the 2 DTFT related

1)  $X_D(e^{j\omega})$  has a  $\frac{1}{D}$  scaling

2)  $X_D(e^{j\omega})$  has  $D-1$  replicants

2) suppose  $\omega = \sqrt{2}T_0 = \pi$ , then

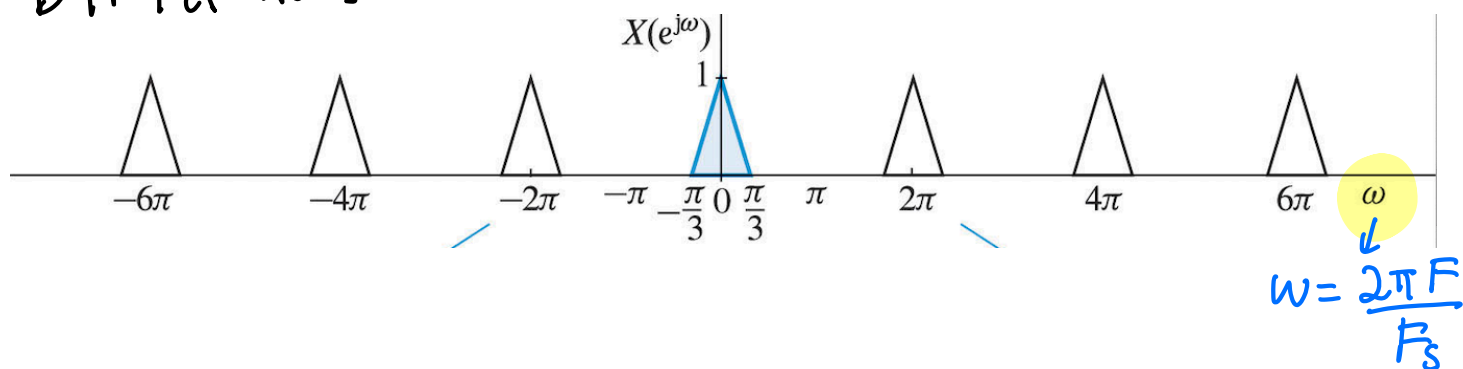
$$X_D(e^{j\pi}) = \frac{1}{D} \sum_{m=0}^{D-1} X(e^{j(\frac{\pi - 2\pi m}{D})})$$

DTFT of  $X_D(e^{j\omega})$  at  $\omega = \pi \neq$

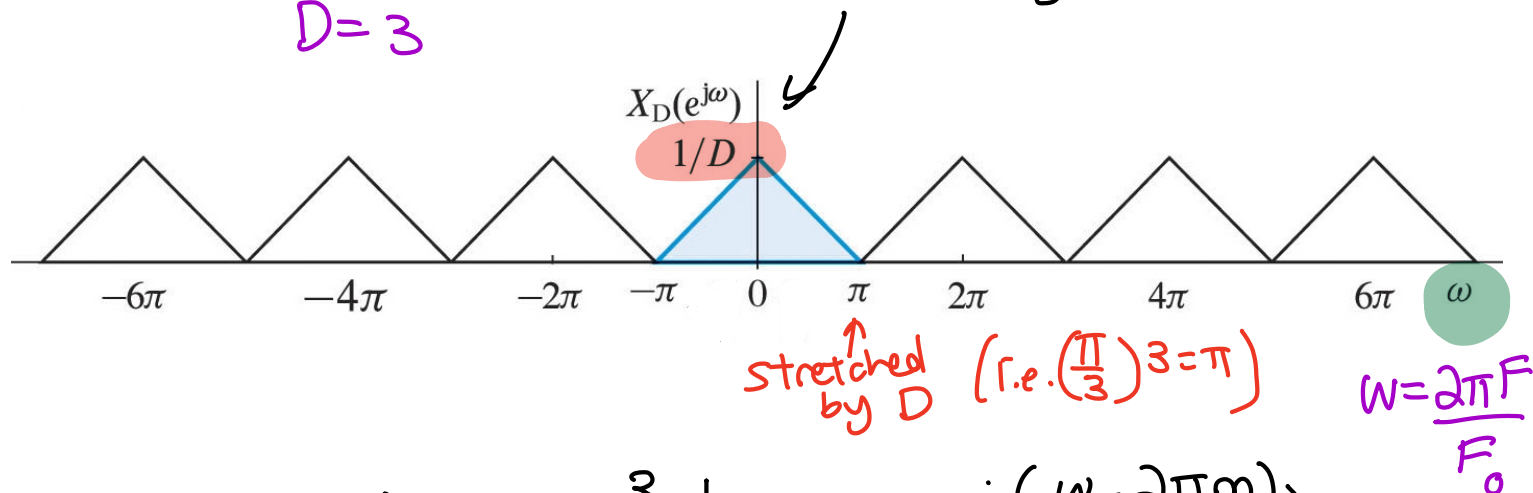
DTFT of  $X(e^{j\omega})$  at  $\omega = \pi$ . It equals  
the DTFT of  $X(e^{j\omega})$  at  $\omega = \frac{\pi}{D}, \frac{\pi - 2\pi}{D},$

one way to think of this is that  $X_D(e^{j\omega})$   
stretches  $X(e^{j\omega})$

DTFT of  $x[n]$



DTFT of  $X_D[n] = X[3n]$  amplitude scaling  
 $D=3$



$$X_D(e^{j\omega}) = \frac{1}{3} \sum_{m=0}^{3-1} X(e^{j(\frac{\omega - 2\pi m}{3})})$$

when  $\omega = \pi$

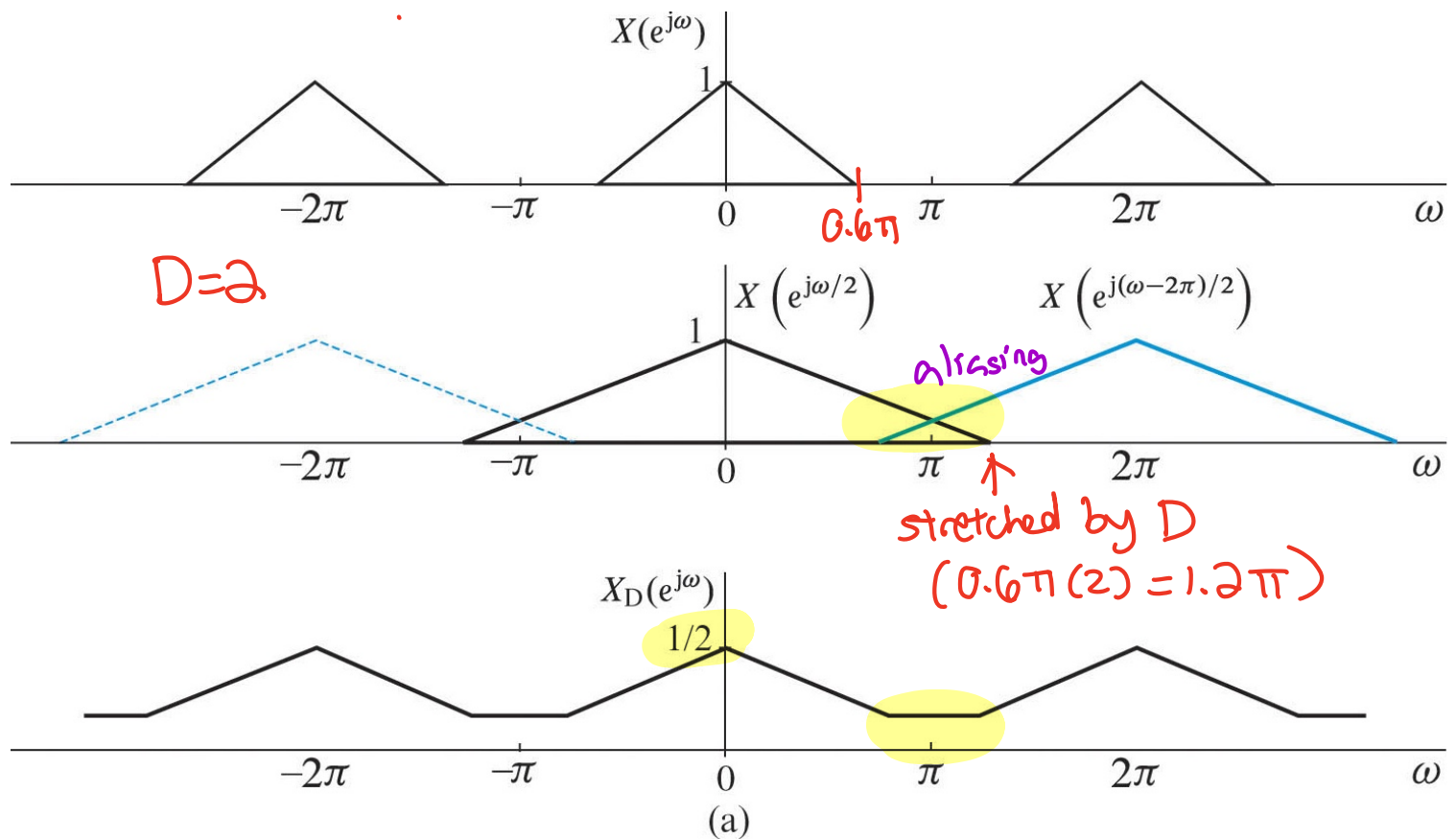
$$X_D(e^{j\pi}) = \frac{1}{3} \sum_{m=0}^{3-1} X(e^{j(\frac{\pi - 2\pi m}{3})})$$

$$= \frac{1}{3} X(e^{j(\frac{\pi}{3})}) + \frac{1}{3} X(e^{j(\frac{\pi - 2\pi}{3})})$$

$$+ \frac{1}{3} X(e^{j(\frac{\pi - 4\pi}{3})})$$

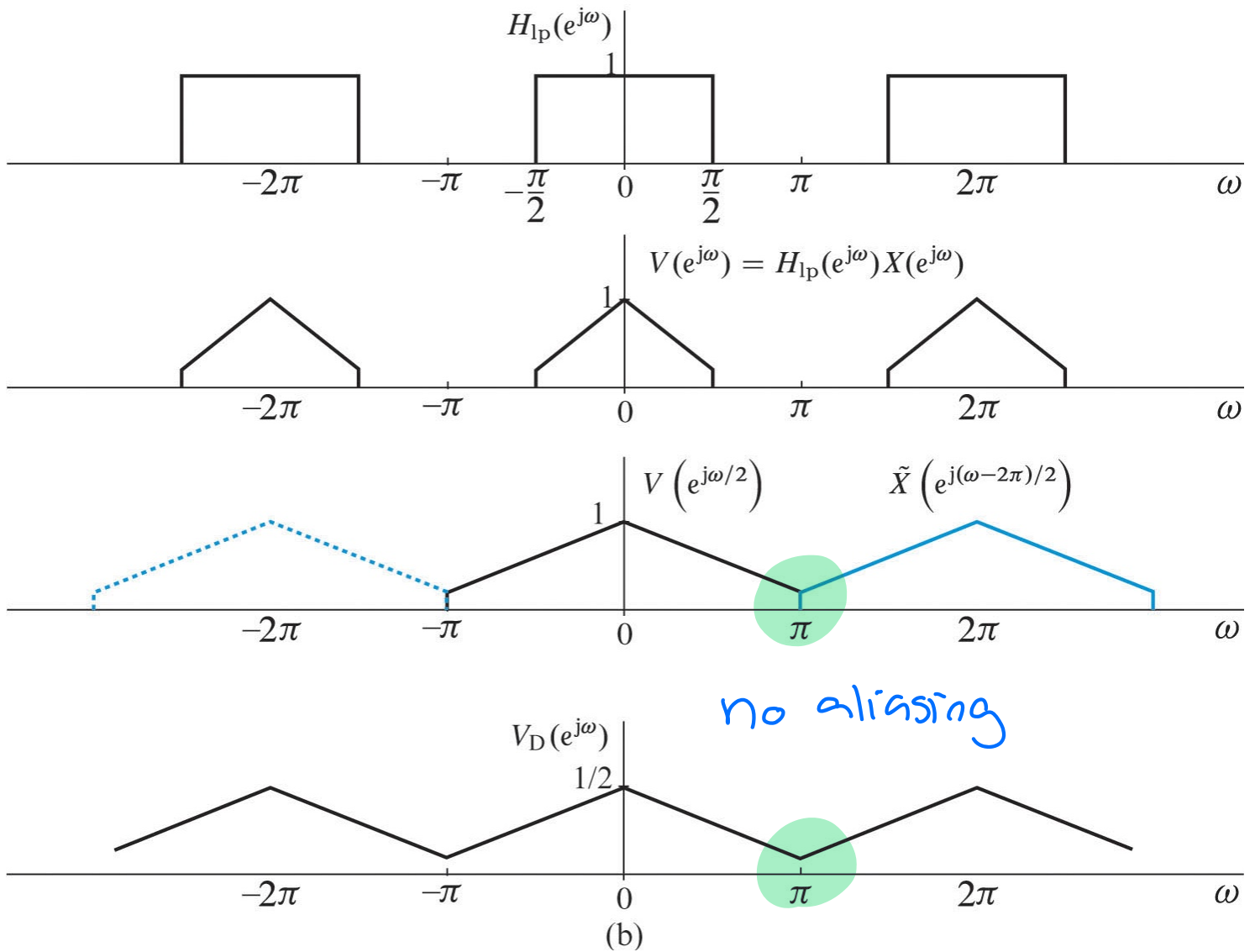
$$= \frac{1}{3} X(e^{j\frac{\pi}{3}}) + \frac{1}{3} X(e^{-j\frac{\pi}{3}}) + \frac{1}{3} X(e^{-j\pi})$$

Because of the "stretching" and replicants, integer factor  $D$  has to be chosen carefully to avoid aliasing



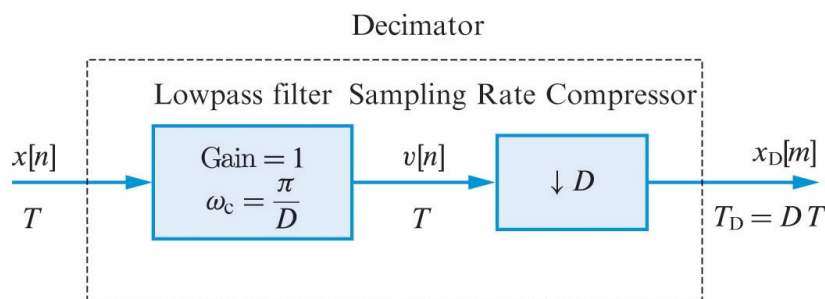
To avoid aliasing the maximum frequency component of  $x[n]$  has to be  $\omega \leq \frac{\pi}{D}$

If this is not true, the  $x[n]$  need to be passed through a low pass filter



In reality, to avoid aliasing, we need to lowpass filter  $x[n]$  before downsampling.

lowpass filter + downsampling = decimation



Of course in practice, we can not use an ideal



lowpass filter. Therefore, unlike downsampling, decimator output  $x_D[m]$  do not perfectly reproduce the values of  $x[n]$

When do you know down sampling is sufficient?

Aliasing can be avoided if

$$X(e^{j\omega}) = 0, \quad \omega_H \leq |\omega| \leq \pi,$$

↑ maximum frequency component of  $x[n]$

$$\text{then } \omega_s = \frac{2\pi}{D} \geq 2\omega_H$$

In the previous example  $\omega_H = 0.6\pi$ ,

so

$$\frac{2\pi}{D} \geq 2(0.6\pi) = 1.2\pi$$

If  $D=2$ , we see that  $\pi \not\geq 1.2\pi$ .

Therefore, any downsampling of previous example will introduce aliasing! we need to decimate!