

# 1 | INTERNAL CORE MODEL INDUCTION

## 1.1 OPERATORS

Define model operator, (hybrid) mouse operator, tameness

## 1.2 MOUSE WITNESS EQUIVALENCE

**Definition 1.1.** asd

Define coarse  $(k, U, x)$ -Woodin pairs

◦

**Definition 1.2.** Let  $F$  be a total condensing operator and let  $\alpha$  be an ordinal. Then the **coarse mouse witness condition at  $\alpha$  with  $F$** , written  $W_\alpha^*(F)$ , states that given any scaled-co-scaled  $U \subseteq \mathbb{R}$  whose associated sequences of prewellorderings are elements of  $\text{Lp}_\alpha^F(\mathbb{R})$ , we have for every  $k < \omega$  and  $x \in \mathbb{R}$  a coarse  $(k, U, x)$ -Woodin pair  $(N, \Sigma)$  with  $\Sigma \restriction \text{HC} \in \text{Lp}_\alpha^F(\mathbb{R})$ . ◦

Check if this is a reasonable definition.

**Theorem 1.3** (Hybrid witness equivalence). *Let  $\theta > 0$  be a cardinal,  $g \subseteq \text{Col}(\omega, < \theta)$   $V$ -generic,  $\mathbb{R}^g := \bigcup_{\alpha < \theta} \mathbb{R}^{V[g \restriction \alpha]}$ ,  $F$  a total radiant operator and  $\alpha$  a critical ordinal of  $\text{Lp}^F(\mathbb{R}^g)$ . Assume that*

$$\text{Lp}^F(\mathbb{R}^g) \models DC + \ulcorner W_\beta^*(F) \text{ holds for all } \beta \leq \alpha \urcorner.$$

*Then there is a hybrid mouse operator  $\mathcal{N} \in V$  on  $H_{\aleph_1^{V[g]}}$  such that*

$$\text{Lp}^F(\mathbb{R}^g) \models W_{\alpha+1}^*(F) \quad \text{iff} \quad V \models \ulcorner M_n^{\mathcal{N}} \text{ is total on } H_{\aleph_1^{V[g]}} \text{ for all } n < \omega \urcorner$$

*Furthermore, if  $\theta < \aleph_1^V$  then we only need to assume that  $F$  is total and condensing.*

Be more explicit about what the given operator  $\mathcal{N}$  looks like.

### 1.3 CORE MODELS

Define  $K(x)$ ,  $K^F(x)$ ,  $\mathfrak{C}(X)$

### 1.4 CORE MODEL DICHOTOMY

**Lemma 1.4.** *Let  $\theta$  be a regular uncountable cardinal or  $\theta = \infty$  and let  $\mathcal{N}$  be a tame hybrid mouse operator on  $H_\theta$  which relativises well. Then  $\mathcal{N}$  is countably iterable iff it's  $(\theta, \theta)$ -iterable, guided by  $\mathcal{N}$ . Furthermore, for every  $x \in H_\theta$ , if  $M_1^{\mathcal{N}}(x)$  exists and is countably iterable, then it's also  $(\theta, \theta)$ -iterable, guided by  $\mathcal{N}$ .*

*Change this to model operators; perhaps change parts of the proof and/or assumptions needed.*

PROOF. Fix  $x \in H_\theta$ . We first show that  $\mathcal{N}(x)$  is  $(\theta, \theta)$ -iterable. Let  $\mathcal{T} \in H_\theta$  be a normal tree of limit length on  $\mathcal{N}(x)$ . Let  $\eta \gg \text{rk}(\mathcal{T})$  and let

$$\mathcal{H} := \text{cHull}^{H_\eta}(\{x, \mathcal{N}(x), \mathcal{T}\})$$

with uncollapse  $\pi: \mathcal{H} \rightarrow H_\eta$ . Set  $\bar{a} := \pi^{-1}(a)$  for every  $a \in \text{ran } \pi$ . Note that  $\overline{\mathcal{N}(x)} = \mathcal{N}(\bar{x})$  since  $\mathcal{N}$  relativises well. Now  $\bar{\mathcal{T}}$  is a normal, countable iteration tree on  $\mathcal{N}(\bar{x})$  and hence our iteration strategy yields a wellfounded cofinal branch  $\bar{b} \in V$  for  $\bar{\mathcal{T}}$ . Note that  $\bar{\mathcal{Q}} := \mathcal{Q}(\bar{b}, \bar{\mathcal{T}})$  exists, since if  $\bar{b}$  drops then there's nothing to do, and otherwise we have that

$$\rho_1(\mathcal{M}_{\bar{b}}^{\bar{\mathcal{T}}}) = \rho_1(\mathcal{N}(\bar{x})) = \text{rk } \bar{x} < \delta(\bar{\mathcal{T}}),$$

Why is that?

so  $\delta(\bar{\mathcal{T}})$  is not definably Woodin over  $\mathcal{M}_{\bar{b}}^{\bar{\mathcal{T}}}$ .

*Claim 1.5.*  $\bar{\mathcal{Q}} \trianglelefteq \mathcal{N}(\mathcal{M}(\bar{\mathcal{T}}))$

PROOF OF CLAIM. If  $\bar{\mathcal{Q}} = \mathcal{M}(\bar{\mathcal{T}})$  then the claim is trivial, so assume that  $\mathcal{M}(\bar{\mathcal{T}}) \triangleleft \bar{\mathcal{Q}}$ . Note that  $\bar{\mathcal{Q}} \trianglelefteq M_{\bar{b}}^{\bar{\mathcal{T}}}$  by definition of  $\mathcal{Q}$ -structures, and that  $M_{\bar{b}}^{\bar{\mathcal{T}}}$  satisfies (2) of the definition of relativises well, meaning that

Define this, cut-point and  $\mathcal{M}_{\bar{b}}^{\bar{\mathcal{T}}}$

$$M_b^{\bar{\mathcal{T}}} \models \ulcorner \forall \eta \forall \zeta > \eta : \text{if } \eta \text{ is a cutpoint then } M_b^{\bar{\mathcal{T}}} \restriction \zeta \not\models \varphi_N[\bar{x}, p_N] \urcorner. \quad (1)$$

This statement is  $\Pi_2^1$  and  $\bar{\mathcal{Q}}$  is  $\Pi_2^1$ -correct since it contains a Woodin cardinal, so that  $\mathcal{Q}$  satisfies the statement as well. Since  $\mathcal{N}$  is tame we get that  $\delta(\bar{\mathcal{T}})$  is a cutpoint of  $\bar{\mathcal{Q}}$ , so that  $\mathcal{N}(\mathcal{M}(\bar{\mathcal{T}})) = \mathcal{N}(\bar{\mathcal{Q}} \restriction \delta(\bar{\mathcal{T}}))$  is *not* a proper initial segment of  $\bar{\mathcal{Q}}$ . Further, as we're assuming that both  $\mathcal{N}(\mathcal{M}(\bar{\mathcal{T}}))$  and  $M_b^{\bar{\mathcal{T}}}$  are  $(\omega_1+1)$ -iterable above  $\delta(\bar{\mathcal{T}})$  the same thing holds for  $\bar{\mathcal{Q}} \restriction \mathcal{M}_b^{\bar{\mathcal{T}}}$ , so that we can compare  $\mathcal{N}(\mathcal{M}(\bar{\mathcal{T}}))$  with  $\bar{\mathcal{Q}}$  (in  $V$ ). Let

$$(\mathcal{N}(\mathcal{M}(\bar{\mathcal{T}})), \bar{\mathcal{Q}}) \rightsquigarrow (\mathcal{P}, \mathcal{R})$$

be the result of the coiteration. We claim that  $\mathcal{R} \trianglelefteq \mathcal{P}$ . Suppose  $\mathcal{P} \triangleleft \mathcal{R}$ . Then there is no drop in  $\mathcal{N}(\mathcal{M}(\bar{\mathcal{T}})) \rightsquigarrow \mathcal{P}$  and in fact  $\mathcal{N}(\mathcal{M}(\bar{\mathcal{T}})) = \mathcal{P}$  since  $\mathcal{N}(\mathcal{M}(\bar{\mathcal{T}}))$  projects to  $\delta(\bar{\mathcal{T}})$ . Furthermore, as we established that  $\mathcal{N}(\mathcal{M}(\bar{\mathcal{T}})) = \mathcal{N}(\bar{\mathcal{Q}} \restriction \delta(\bar{\mathcal{T}}))$  isn't a proper initial segment of  $\bar{\mathcal{Q}}$  it can't be a proper initial segment of  $\mathcal{R}$  either, as the coiteration is above  $\delta(\bar{\mathcal{T}})$ . But we're assuming that  $\mathcal{N}(\mathcal{M}(\bar{\mathcal{T}})) = \mathcal{P} \triangleleft \mathcal{R}$ , a contradiction. So  $\mathcal{R} \trianglelefteq \mathcal{P}$ .

Since  $\mathcal{N}(\mathcal{M}(\bar{\mathcal{T}}))$  and  $\bar{\mathcal{Q}}$  agree up to  $\delta(\bar{\mathcal{T}})$  and there is no drop  $\bar{\mathcal{Q}} \rightsquigarrow \mathcal{R}$  we have that  $\bar{\mathcal{Q}} = \mathcal{R}$ . If  $\mathcal{N}(\mathcal{M}(\bar{\mathcal{T}})) \rightsquigarrow \mathcal{P}$  doesn't move either we're done, so assume not. Let  $F$  be the first exit extender of  $\mathcal{N}(\mathcal{M}(\bar{\mathcal{T}}))$  in the coiteration. We have  $\text{lh}(F) \leq o(\bar{\mathcal{Q}})$ ,  $\bar{\mathcal{Q}} \trianglelefteq \mathcal{P}$  and  $\text{lh}(F)$  is a cardinal in  $\mathcal{P}$ .

Define this

As  $\bar{\mathcal{Q}}$  is  $\delta(\bar{\mathcal{T}})$ -sound and projects to  $\delta(\bar{\mathcal{T}})$  it follows that  $J(\bar{\mathcal{Q}} \restriction \text{lh}(F))$  collapses  $\text{lh}(F)$ , so it has to be the case that  $\bar{\mathcal{Q}} \restriction \text{lh}(F) = \mathcal{P}$  and thus  $o(\mathcal{P}) = \text{lh}(F)$ . But this means that  $\mathcal{P} = \mathcal{N}(\mathcal{M}(\bar{\mathcal{T}}))$  even though we assumed that  $\mathcal{N}(\mathcal{M}(\bar{\mathcal{T}})) \rightsquigarrow \mathcal{P}$  moved, a contradiction.  $\dashv$

Now, in a sufficiently large collapsing extension extension of  $\mathcal{H}$ ,  $\bar{b}$  is the unique cofinal, wellfounded branch of  $\bar{\mathcal{T}}$  such that  $\mathcal{Q}(\bar{b}, \bar{\mathcal{T}}) \trianglelefteq \mathcal{N}(\mathcal{M}(\bar{\mathcal{T}}))$  exists. Hence, by the homogeneity of  $\text{Col}(\omega, \theta)$ ,  $\bar{b} \in H$ . By elementarity there is a unique cofinal, wellfounded branch  $b$  of  $\mathcal{T}$  such that  $\mathcal{Q}(b, \mathcal{T}) \trianglelefteq \mathcal{N}(\mathcal{M}(\mathcal{T}))$ . This proves that  $M$  is (uniquely) On-iterable and virtually the same argument yields the iterability of  $M$  via successor-many stacks of normal trees.

To show that  $M$  is fully iterable, it remains to be seen that the unique iteration strategy (guided by  $\mathcal{N}$ ) of  $M$  outlined above leads to wellfounded

#### 1.4. CORE MODEL DICHOTOMY INTERNAL CORE MODEL INDUCTION

direct limits for stacks of normal trees on  $M$  of limit length. Let  $\lambda$  be a limit ordinal and  $\vec{\mathcal{T}} = (\mathcal{T}_i \mid i < \lambda)$  a stack according to our iteration strategy. Suppose  $\lim_{i < \lambda} \mathcal{M}_\infty^{\mathcal{T}_i}$  is illfounded.

Redefine  $\eta \gg \text{rk}(\vec{\mathcal{T}})$ ,  $\mathcal{H} := \text{cHull}^{H_\eta}(\{x, M, \vec{\mathcal{T}}\})$  and  $\pi : \mathcal{H} \rightarrow H_\eta$  the uncollapse, again with  $\bar{a} := \pi^{-1}(a)$  for every  $a \in \text{ran } \pi$ . By elementarity we get that  $\mathcal{H} \models \ulcorner \lim_{i < \bar{\lambda}} \mathcal{M}_\infty^{\bar{\mathcal{T}}_i} \text{ is illfounded} \urcorner$ . But  $\bar{\mathcal{T}}$  is countable and according to the iteration strategy guided by  $\mathcal{N}$ , so that

$$V \models \ulcorner \lim_{i < \bar{\lambda}} \mathcal{M}_\infty^{\bar{\mathcal{T}}_i} \text{ is wellfounded} \urcorner$$

Now note that  $(\lim_{i < \bar{\lambda}} \mathcal{M}_\infty^{\bar{\mathcal{T}}_i})^{\mathcal{H}} = (\lim_{i < \bar{\lambda}} \mathcal{M}_\infty^{\bar{\mathcal{T}}_i})^V$  and wellfoundedness is absolute between  $\mathcal{H}$  and  $V$ , a contradiction.

Now assume that  $M_1^{\mathcal{N}}(x)$  exists for some  $x \in H_\theta$ , and that it's countably iterable. We then do exactly the same thing as with  $\mathcal{N}(x)$  *except* that in the claim we replace (1) with

$$\bar{\mathcal{Q}} \models \forall \eta (\bar{\mathcal{Q}} \restriction \eta \not\models \ulcorner \delta(\bar{\mathcal{T}}) \text{ is not Woodin} \urcorner),$$

so that if  $\mathcal{P} \triangleleft \mathcal{R}$  then  $\delta(\bar{\mathcal{T}})$  is still Woodin in  $\mathcal{P} = \mathcal{N}(\mathcal{M}(\bar{\mathcal{T}}))$ , contradicting the defining property of  $M_1^{\mathcal{N}}(x)$  (and thus also of  $\mathcal{R}$ ). The rest of the proof is a copy of the above.  $\blacksquare$

**Theorem 1.6** (Hybrid core model dichotomy). *Let  $\theta$  be a  $\beth$ -fixed point or  $\theta = \infty$ , and let  $F$  be a tame model operator on  $H_\theta$  that condenses well. Let  $x \in H_\theta$ . Then either:*

- (i) *The core model  $K^F(x) \restriction \theta$  exists and is  $(\theta, \theta)$ -iterable; or*
- (ii)  *$M_1^F(x)$  exists and is  $(\theta, \theta)$ -iterable.*

PROOF. Assume first that  $K^{c,F}(x) \restriction \theta$  reaches a premouse which isn't  $F$ -small; let  $\mathcal{N}_\xi$  be the first part of the construction witnessing this. Then  $\mathfrak{C}(\mathcal{N}_\xi) = M_1^F(x)$ , and by Lemma ?? it suffices to show that  $M_1^F(x)$  is countably iterable.

Show that  $M_1^F(x)$  is countably iterable.

I don't think tame is needed here, as we're only indexing extenders at  $F$ -initial segments

Insert argument?

We can thus assume that  $K^{c,F}(x)|\theta$  is  $F$ -small. Note that if  $K^{c,F}(x)|\theta$  has a Woodin cardinal then because the model is  $F$ -closed we contradict  $F$ -smallness, so the model has no Woodin cardinals either, making it  $(\theta, \theta)$ -iterable.

Let  $\kappa < \theta$  be any uncountable cardinal and let  $\Omega := \beth_\kappa(\kappa)^+$ . Note that  $\Omega < \theta$  since we assumed that  $\theta$  is a  $\beth$ -fixed point and  $\kappa < \theta$ . If  $\Omega$  is a limit cardinal in  $K^{c,F}(x)|\theta$  then let  $\mathcal{S} := \text{Lp}(K^{c,F}(x)|\Omega)$  and otherwise let  $\mathcal{S} := K^{c,F}(x)|\Omega$ . Then by Lemma 3.3 of [?] we get that  $\mathcal{S}$  is countably iterable, with largest cardinal  $\Omega$  in the “limit cardinal case”.

This also means that  $\Omega$  isn’t Woodin in  $L[\mathcal{S}]$ , as it’s trivial in the case where  $\Omega$  is a successor cardinal of  $K^{c,F}(x)|\theta$  by our case assumption, and in the “limit cardinal case” it also holds since

$$K^{c,F}(x)|\Omega^{+K^{c,F}(x)|\theta} \subseteq \mathcal{S}.$$

By [?] and [?] this means that we can build  $K^F(x)|\kappa$ , as the only places they use that there’s no inner model with a Woodin are to guarantee that  $K^{c,F}(x)|\Omega$  exists and has no Woodin cardinals, and in Lemma 4.27 of [?] in which they only require that  $\Omega$  isn’t Woodin in  $L[\mathcal{S}]$ .

As  $\kappa < \theta$  was arbitrary we then get that  $K^F(x)|\theta$  exists. Note that  $K^F(x)|\theta$  has no Woodin cardinals either and is  $F$ -small, so that  $\mathcal{Q}$ -structures trivially exist, making it  $(\theta, \theta)$ -iterable. ■