



Oceanaa Biotek Industries Limited
Regd. Off.
15, Zackaria Colony, 4th Street, Choolamedu,
Chennai - 600 094, India.

Corp. Off.
6, 1st Floor, Wellington Estate,
53, Ethiraj Salai, Egmore, Chennai - 600 008, India.
T : + 91 44 3024 1900 F : + 91 44 3024 1980
E : obil@oceanaabiotek.com

L15549TN2005PLC057919

Annexure I B

Format of Quarterly Compliance Report on Corporate Governance under Clause 52 of the SME Listing Agreement

Name of the Issuer: Oceanaa Biotek Industries Limited
Quarter ending on: 31st March, 2015

Particulars	Clause of Listing Agreement	Compliance Status Yes/No	Remarks
I Board of Directors	52 I		
(A) Composition of Board	52 (IA)	Yes	The current strength of the Board is four Directors including 2 Independent Directors.
(B) Non-executive Directors' Compensation & Disclosures	52 (IB)	Yes	No remuneration is paid to the Non-executive Directors', except sitting fees. Sitting fees paid to non-executive Directors' are within the limits prescribed under the Companies Act, 2013.
(C) Other Provisions as to Board and Committees	52 (IC)	Yes	Disclosed in the Corporate Governance Report forming part of the Annual Report of FY 2013-14. Disclosure will be made in the next Annual Report of FY 2014-15
D) Code of Conduct	52 (ID)	Yes	The Company has adopted the code of conduct as required under Clause 52(ID) of the SME listing agreement. The Code of Conduct is also available in the Company's website, www.oceanaabiotek.com
II. Audit Committee	52 (II)		
(A) Qualified & Independent Audit Committee	52 (IIA)	Yes	The Audit Committee has 3 members and Chairman



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Tindivanam Taluk, Villupuram District,
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Oceanaa Biotech Industries Limited
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			of the Audit Committee is an Independent Director.
(B) Meeting of Audit Committee	52 (IIB)	Yes	Minimum four meetings are held in a year.
(C) Powers of Audit Committee	52 (IIC)	Yes	Powers exercised as and when required.
(D) Role of Audit Committee	52 II(D)	Yes	Disclosed in the Corporate Governance Report forming part of the Annual Report of FY 2013-14. Disclosure will be made in the next Annual Report of FY 2014-15.
(E) Review of Information by Audit Committee	52 (IIE)	Yes	Reviewed for the quarter wherever applicable.
III. Subsidiary Companies	52 (III)	N.A.	The Company has no subsidiary companies.
IV. Disclosures	52 (IV)		
(A) Basis of Related Party Transactions	52 (IV A)	Yes	Reviewed by the Audit Committee periodically. Disclosed in the Annual Report of FY 2013-14. Will also be disclosed in the Annual Report of FY 2014-15.
(B) Disclosure of Accounting Treatment	52 (IV B)	Yes	Disclosed in the Annual Report of FY 2013-14. Will be disclosed in the Annual Report of FY 2014-15.
(C) Board Disclosures - Risk Management	52 (IV C)	Yes	Risk assessment and minimization procedures are being periodically reviewed. Will be disclosed in the Annual Report of FY 2014-15.
(D) Proceeds from Public Issues, Rights Issues, Preferential Issues etc.	52 (IV D)	N.A. for this quarter	Shares were allotted during the previous quarter and funds were utilized for the issued purpose during the previous quarter. Relevant disclosures will



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			also be made in the Annual Report of FY 2014-15.
(E) Remuneration of Directors	52 (IV E)	Yes	Disclosures have been made in the Annual Report of FY 2013-14 and will also be complied with in the Annual Report of FY 2014-15.
(F) Management	52 (IV F)	Yes	Disclosures have been made in the Annual Report of FY 2013-14 and will also be complied with in the Annual Report of FY 2014-15.
(G) Shareholders	52 (IV G)	Yes	The relevant provisions are being complied with.
V.CEO/CFO Certification	52 (V)	Yes	Will be complied with and disclosed in the next Annual Report.
VI. Report on Corporate Governance	52 (VI)	Yes	Will be complied with and disclosed in the next Annual Report.
VII. Compliance	52 (VII)	Yes	Formed part of the Annual Report for FY 2013-14. Will be complied with and disclosed in the next Annual Report.

Place: Chennai
Date: 10/04/2015

For Oceanaa Biotek Industries Limited



Neeraja

Neeraja Rajendran
Company Secretary & Compliance Officer

Note: As per SEBI Circular CIR/CFD/POLICY CELL/7/2014 dated September 15, 2014 compliance with amended Clause 49 of the Equity Listing Agreement is not mandatory, for the time being, as the Company is listed on the SME platform.

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