



L15549TN2005PLC057919

**Oceanaa Biotek Industries Limited**  
 Regd. off.  
 15, Tackaria colony, 4th street, Choolaimedu,  
 Chennai - 600 094.  
 Corp.off.  
 No. 6, 1st Floor, Wellington Estate Complex,  
 No. 53, Ethiraj Salai, Egmore, Chennai - 600 008.  
 Tel: +91 44 3024 1900 | Fax: +91 44 3024 1990  
 E-mail: obil@oceanaabiotek.com

**Annexure I B**

**Format of Quarterly Compliance Report on Corporate Governance under Clause 52 of the SME Listing Agreement**

Name of the Issuer: Oceanaa Biotek Industries Limited  
 Quarter ending on: 30<sup>th</sup> September, 2015

Particulars	Clause of Listing Agreement	Compliance Status Yes/No	Remarks
<b>I Board of Directors</b>	<b>52 I</b>		
(A) Composition of Board	52 (IA)	Yes	The current strength of the Board is four Directors including 2 Independent Directors. A non-executive Chairman heads the Board.
(B) Non-executive Directors' Compensation & Disclosures	52 (IB)	Yes	No remuneration is paid to non-executive Directors. Sitting fees paid to Non-executive Directors' is within the limits prescribed under the Companies Act, 2013.
(C) Other Provisions as to Board and Committees	52 (IC)	Yes	Three meetings were held during the quarter. Time gap between two Board Meetings does not exceed four months.
D) Code of Conduct	52 (ID)	Yes	The Company has adopted the code of conduct as required under Clause 52(ID) of the SME listing agreement. The Code of Conduct is also available in the Company's website, <a href="http://www.oceanaabiotek.com">www.oceanaabiotek.com</a>
<b>II. Audit Committee</b>	<b>52 (II)</b>		
(A) Qualified & Independent Audit Committee	52 (IIA)	Yes	The Audit Committee has 3 members and Chairman of the Audit Committee is an Independent Director.
(B) Meeting of Audit Committee	52 (IIB)	Yes	Minimum four meetings are held in a year. One meeting was held during this quarter.
(C) Powers of Audit Committee	52 (IIC)	Yes	Powers exercised as and when required.
(D) Role of Audit Committee	52 II(D)	Yes	Duly complied with.
(E) Review of Information by Audit	52 (IIE)	Yes	Reviewed for the quarter



Registered Office: Pudukuppam Village,  
 Mandavai Post, Mannarkani,  
 Pudukottai Taluk, Villupuram District,  
 Tamil Nadu - 605 303  
 Tel: +91 4147 302300

[www.oceanaabiotek.com](http://www.oceanaabiotek.com)



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Committee			wherever applicable
III. Subsidiary Companies	52 (III)	N.A.	The Company has no subsidiary companies.
IV. Disclosures	52 (IV)		
(A) Basis of Related Party Transactions	52 (IV A)	Yes	Reviewed by the Audit Committee periodically.
(B) Disclosure of Accounting Treatment	52 (IV B)	Yes	Will be disclosed in the Annual Report of FY 2015-16.
(C) Board Disclosures - Risk Management	52 (IV C)	Yes	Risk assessment and minimization procedures are being periodically reviewed.
(D) Proceeds from Public Issues, Rights Issues, Preferential Issues etc.	52 (IV D)	N.A. for this quarter	
(E) Remuneration of Directors	52 (IV E)	Yes	Remuneration is paid to the Managing Director. Remuneration paid is within the limits specified under Companies Act, 2013
(F) Management	52 (IV F)	Yes	Disclosed in the Annual Report of FY 14-15. Will be disclosed in the Annual Report of FY 2015-16.
(G) Shareholders	52 (IV G)	Yes	Complied with in the Annual Report of FY 14-15. Will also be complied with in the next Annual Report
V. CEO/CFO Certification	52 (V)	Yes	Complied with wherever necessary.
VI. Report on Corporate Governance	52 (VI)	Yes	Complied with in the Annual Report of FY 14-15.
VII. Compliance	52 (VII)	Yes	Complied with in the Annual Report of FY 14-15.

Place: Chennai  
Date: 10/10/2015



For Oceanaa Biotech Industries Limited

*Neeraja*  
Neeraja Rajendran  
Company Secretary/Compliance Officer

Note: As per SEBI Circular CIR/CFD/POLICY CELL/7/2014 dated September 15, 2014 compliance with amended Clause 49 of the Equity Listing Agreement is not mandatory, for the time being, as the Company is listed on the SME platform.

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