



L15549TN2005PLC057919

Oceanaa Biotek Industries Limited

Regd. Off.

15, Zackaria Colony, 4th Street, Choolaimedu,  
Chennai - 600 094, India.

Corp. Off.

6, 1st Floor, Wellington Estate,

53, Ethiraj Salai, Egmore, Chennai - 600 008, India.

T : + 91 44 3024 1900 F : + 91 44 3024 1990

E : [info@oceanaabiotek.com](mailto:info@oceanaabiotek.com)

**Annexure I B**

**Format of Quarterly Compliance Report on Corporate Governance under Clause 52 of the SME Listing Agreement**

Name of the Issuer: Oceanaa Biotek Industries Limited

Quarter ending on: 30<sup>th</sup> June, 2015

Particulars	Clause of Listing Agreement	Compliance Status Yes/No	Remarks
<b>I Board of Directors</b>	<b>52 I</b>		
(A) Composition of Board	52 (IA)	Yes	The current strength of the Board is four Directors including 2 Independent Directors.
(B) Non-executive Directors' Compensation & Disclosures	52 (IB)	Yes	Compliance shall be reported and disclosed in the Annual Report of FY 2015-16.
(C) Other Provisions as to Board and Committees	52 (IC)	Yes	Disclosure will be made in the next Annual Report of FY 2015-16.
(D) Code of Conduct	52 (ID)	Yes	The Company has adopted the code of conduct as required under Clause 52(ID) of the SME listing agreement. The Code of Conduct is also available in the Company's website, <a href="http://www.oceanaabiotek.com">www.oceanaabiotek.com</a>
<b>II. Audit Committee</b>	<b>52 (II)</b>		
(A) Qualified & Independent Audit Committee	52 (IIA)	Yes	The Audit Committee has 3 members and Chairman of the Audit Committee is an Independent Director.
(B) Meeting of Audit Committee	52 (IIB)	Yes	Minimum four meetings are held in a year.
(C) Powers of Audit Committee	52 (IIC)	Yes	Powers exercised as and when required.
(D) Role of Audit Committee	52 II(D)	Yes	Disclosure will be made in the next Annual Report of FY 2015-16.
(E) Review of Information by Audit Committee	52 (IIE)	Yes	Reviewed for the quarter wherever applicable.
<b>III. Subsidiary Companies</b>	<b>52 (III)</b>	N.A.	The Company has no subsidiary companies.



Laboratory : Pudukkuppam Village,

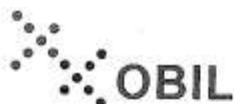
Mandavai Post, Marakkanam,

Tindivanam Taluk, Villupuram District,

Tamil Nadu 604 303.

Tel : + 91 4147 302300

[www.oceanaabiotek.com](http://www.oceanaabiotek.com)



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<b>IV. Disclosures</b>	52 (IV)		
(A) Basis of Related Party Transactions	52 (IV A)	Yes	Reviewed by the Audit Committee periodically. Will also be disclosed in the Annual Report of FY 2015-16.
(B) Disclosure of Accounting Treatment	52 (IV B)	Yes	Will be disclosed in the Annual Report of FY 2015-16.
(C) Board Disclosures - Risk Management	52 (IV C)	Yes	Risk assessment and minimization procedures are being periodically reviewed. Will be disclosed in the Annual Report of FY 2015-16.
(D) Proceeds from Public Issues, Rights Issues, Preferential Issues etc.	52 (IV D)	N.A. for this quarter	
(E) Remuneration of Directors	52 (IV E)	Yes	Remuneration paid to Managing Director. Will also be complied with in the Annual Report of FY 2015-16.
(F) Management	52 (IV F)	Yes	Compliances will be disclosed in the Annual Report of FY 2015-16.
(G) Shareholders	52 (IV G)	Yes	The relevant provisions are being complied with.
<b>V. CEO/CFO Certification</b>	52 (V)	Yes	Will be complied with and disclosed in the next Annual Report.
<b>VI. Report on Corporate Governance</b>	52 (VI)	Yes	Will be complied with and disclosed in the next Annual Report.
<b>VII. Compliance</b>	52 (VII)	Yes	Will be complied with and disclosed in the next Annual Report of FY 2015-16.

Place: Chennai  
Date: 13/07/2015



For Oceanaa Biotek Industries Limited

*Neeraja*  
Neeraja Rajendran  
Company Secretary & Compliance Officer

Note: As per SEBI Circular CIR/CFD/POLICY CELL/7/2014 dated September 15, 2014 compliance with amended Clause 49 of the Equity Listing Agreement is not mandatory, for the time being, as the Company is listed on the SME platform.

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