

## Units, Magnitude, and Notation

We use SI (Système International) Units:

You must know how to convert mi, in, ft, yd → mm, m, km, etc.!!

*Many stupid mistakes have been made by getting this wrong!*

Dimension	Unit	Symbol
Length	meter	m
Mass	kilogram	k
Time	second	s
Electric Current	ampere	A
Temperature	kelvin	K
Substance amount	mole	mol

Prefix	Symbol	Magnitude
exa	E	$10^{18}$
peta	P	$10^{15}$
tera	T	$10^{12}$
giga	G	$10^9$
mega	M	$10^6$
kilo	k	$10^3$
milli	m	$10^{-3}$
micro	$\mu$	$10^{-6}$
nano	n	$10^{-9}$
pico	p	$10^{-12}$
femto	f	$10^{-15}$
atto	a	$10^{-18}$



2.1

## Waves

In a lossless medium, one may write the current  $y$  as

$$y(x, t) = A \cos\left(\frac{2\pi t}{T} - \frac{2\pi x}{\lambda} + \phi_0\right)$$

This is the general form for any kind of wave, including water waves (Ulaby's example)

$A$  = wave amplitude

$T$  = time period

$\lambda$  = spatial wavelength

$\phi_0$  = reference phase

*There are 4 basic wave quantities in a lossless medium*

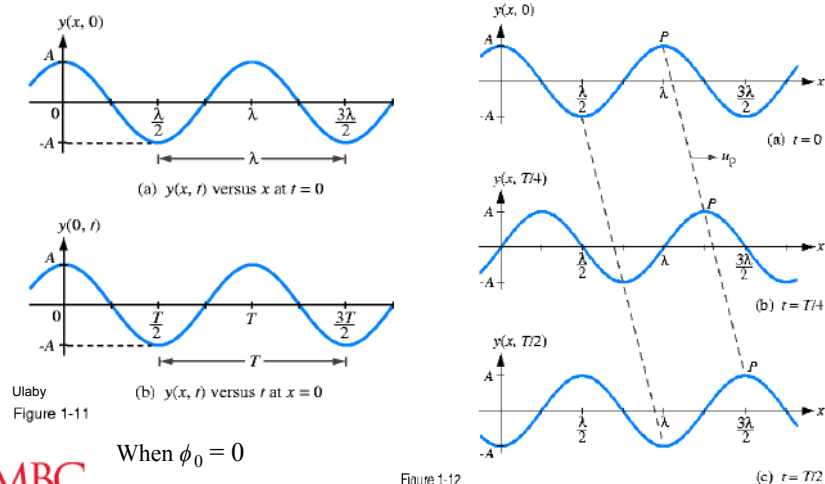
We may define the total phase by writing

$$y(x, t) = A \cos \phi(x, t), \text{ where } \phi(x, t) = \frac{2\pi t}{T} - \frac{2\pi x}{\lambda} + \phi_0$$



2.2

## Waves



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When  $\phi_0 = 0$

- The phase changes by  $2\pi$  in one period  $T$  or one wavelength  $\lambda$ .
- In Fig. 1-12, the wave moves in the  $+x$  direction with a velocity  $u_p = \lambda/T$ .

2.3

## Waves

Phase velocity  $u_p$ :

As time  $t$  increases, position  $x$  must increase to keep the phase

$$\phi(x, t) = \frac{2\pi t}{T} - \frac{2\pi x}{\lambda} + \phi_0$$

constant. Since  $\phi$  is constant, we have

$$0 = \frac{2\pi}{T} dt - \frac{2\pi}{\lambda} dx.$$

So, a point of constant phase, the phase front, moves with velocity

$$u_p = \frac{dx}{dt} = \frac{\lambda}{T} \quad (\text{m/s}).$$

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2.4

## Waves

Other quantities (derived from the fundamental 4):

$$f = \text{frequency} = 1/T \text{ (Hz} = \text{s}^{-1}\text{)}$$

$$\omega = \text{angular frequency} = 2\pi f \text{ (rad/s} = \text{s}^{-1}\text{)}$$

$$\beta = \text{wavenumber} = 2\pi/\lambda \text{ (rad/m} = \text{m}^{-1}\text{)}$$

In terms of these quantities, we have

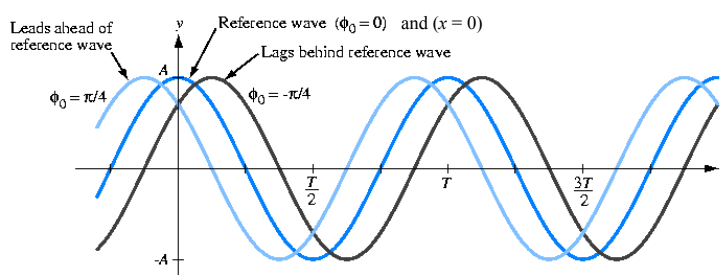
$$y(x, t) = A \cos\left(2\pi f t - \frac{2\pi}{\lambda} x + \phi_0\right) = A \cos(\omega t - \beta x + \phi_0).$$

and

$$u_p = f\lambda = \omega/\beta.$$

## Waves

The phase offset:



Ulaby  
Figure 1-13

Another useful representation:

$$\text{Letting } R = A \cos \phi_0, \quad I = A \sin \phi_0$$

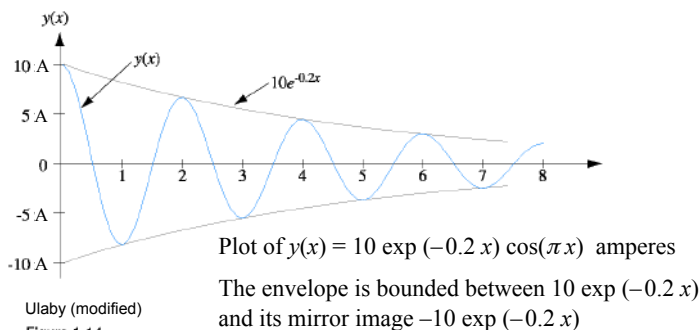
$$y(x, t) = A \cos(\omega t - \beta x + \phi_0) = R \cos(\omega t - \beta x) - I \sin(\omega t - \beta x).$$

This representation will be useful when we discuss phasors

## Waves

With Loss:  $y(x,t) = A \exp(-\alpha x) \cos(\omega t - \beta x + \phi_0)$

$\alpha$  = attenuation coefficient



Ulaby (modified)  
Figure 1-14

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*With loss, there are 5 fundamental quantities*

2.7

## Waves

With Loss: Ulaby Example 1-2

**Question:** A laser beam propagating through the atmosphere is characterized by an electric field intensity given by

$$E(x,t) = 150 \exp(-0.03x) \cos(3 \times 10^{15} t - 10^7 x) \quad (\text{V/m})$$

where  $x$  is the distance from the source in meters. Determine (a) the direction of wave travel, (b) the wave velocity, and (c) the wave amplitude at a distance of 200 m

**Solution:** (a) Since the coefficients of  $t$  and  $x$  have the opposite sign, the wave propagates in the  $+x$  direction.

(b) We find that

$$u_p = \frac{\omega}{\beta} = \frac{3 \times 10^{15} \text{ s}^{-1}}{10^7 \text{ m}^{-1}} = 3 \times 10^8 \text{ m/s},$$

which is (of course) the speed of light  $c$  in the vacuum or air.

(c) At  $x = 200$  m, the amplitude of  $E(x,t)$  is

$$E(x,t) = 150 \exp(-0.03 \text{ m}^{-1} \times 200 \text{ m}) = 0.37 \text{ V/m}$$

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2.8

## Dispersion Relations

Dispersion relations:  $\beta(\omega)$  and  $\alpha(\omega)$  are functions of  $f = \omega/2\pi$

*Calculating the dispersion relations is an important part of EM theory!*

In a homogeneous, isotropic medium, this is straightforward

- homogeneous = the same at all points in space
- isotropic = the same in all orientations (no strains; no crystal structure)

We calculate  $\beta(\omega)$  and  $\alpha(\omega)$  from  $\epsilon(\omega)$  and  $\mu(\omega)$

- We will do this when we discuss plane waves

In an inhomogeneous, isotropic medium, we must account for geometry

$\epsilon(\omega) \rightarrow \epsilon(\omega, \mathbf{r})$  and  $\mu(\omega) \rightarrow \mu(\omega, \mathbf{r})$

- The dispersion relations are determined by geometry as well as frequency
- There can be multiple solutions at one frequency
- We will do this for simple geometries



**As a consequence:**

*The 5 fundamental quantities  $\rightarrow$  3 independent quantities*

2.9

## Dispersion Relations

Dispersion relations:  $\beta(\omega)$  and  $\alpha(\omega)$  are functions of  $f = \omega/2\pi$

*Calculating the dispersion relations is an important part of EM theory!*

In an anisotropic medium, this becomes complex

$$\mathbf{D}(\mathbf{r}, \omega) = \epsilon(\mathbf{r}, \omega) \mathbf{E}(\mathbf{r}, \omega) \rightarrow \mathbf{D}(\mathbf{r}, \omega) = \mathbf{E}(\mathbf{r}, \omega) \cdot \mathbf{E}(\mathbf{r}, \omega);$$

$$\mathbf{B}(\mathbf{r}, \omega) = \mu(\mathbf{r}, \omega) \mathbf{H}(\mathbf{r}, \omega) \rightarrow \mathbf{B}(\mathbf{r}, \omega) = \mathbf{M}(\mathbf{r}, \omega) \cdot \mathbf{H}(\mathbf{r}, \omega)$$

where  $\mathbf{E}(\mathbf{r}, \omega)$  and  $\mathbf{M}(\mathbf{r}, \omega)$  are  $3 \times 3$  matrices\*

- We will not discuss anisotropic media in this course

— This discussion assumes that the medium is *linear*  
(Waves at different frequencies do not interact)

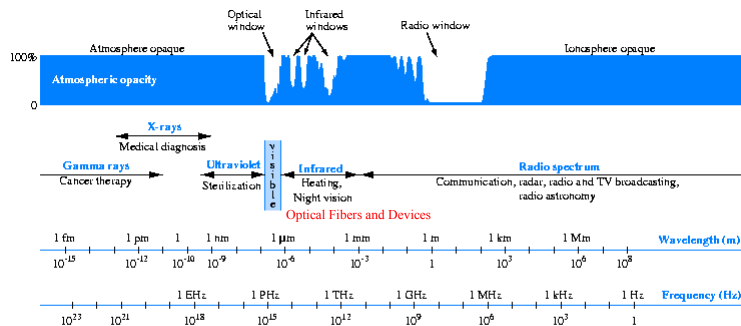
— All media become linear when the wave amplitudes  $A$  are small enough



\*Strictly speaking, these are second-order tensors

2.10

## Electromagnetic Spectrum

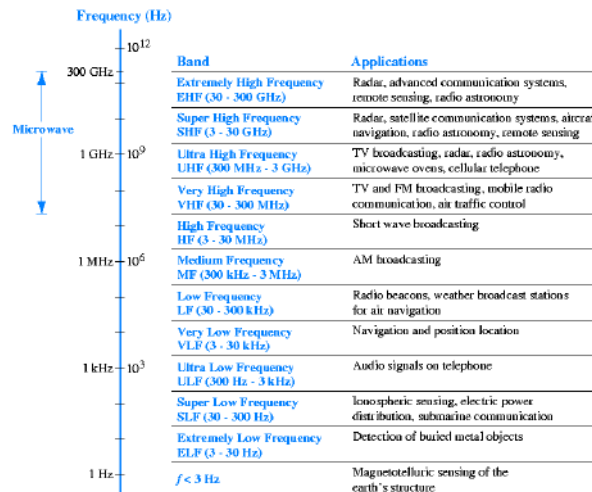


Ulaby (modified)  
Figure 1-15

Optical fibers and devices typically operate in the range 0.8 μm to 1.8 μm with 1.5 μm corresponding to minimum loss.

Note that the minimum loss band in atmosphere is at visible light!  
Thus, it is sensible that this is the band of electromagnetic radiation that our eyes see!

## Electromagnetic Spectrum



Ulaby  
Figure 1-16

The wavelength is tied to the frequency through the dispersion relations.

## Waves

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### Birefringence:

Electromagnetic waves are transverse waves that have two polarizations

*That is why polarizing filters work!*

In many crystals, glasses, solids—including optical fibers (used in communication systems)—the two polarizations have slightly different dispersion relations and move with different velocities.

**The result is a  $2\pi$  phase shift over long distances!**

**Example:** The ionosphere is birefringent at radio frequencies of 1 MHz. The radio waves propagate at close to the speed of light in a vacuum, but the wavenumber of the two polarization (left- and right-circularly polarized) differ by 0.1%. Over what distance do the relative phases shift by  $2\pi$ ?

**Answer:** We have



$$\frac{u_{p2} - u_{p1}}{u_{p1}} = \frac{\beta_1}{\omega} \left( \frac{\omega}{\beta_2} - \frac{\omega}{\beta_1} \right) = - \left( \frac{\beta_2 - \beta_1}{\beta_2} \right)$$

2.13

## Waves

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**Example (continued):** The ionosphere is birefringent at radio frequencies of 1 MHz. The radio waves propagate at close to the speed of light in a vacuum, but the wavenumber of the two polarization (left- and right-circularly polarized) differ by 0.1%. Over what distance do the relative phases shift by  $2\pi$ ?

**Answer:** We have

$$\frac{u_{p2} - u_{p1}}{u_{p1}} = \frac{\beta_1}{\omega} \left( \frac{\omega}{\beta_2} - \frac{\omega}{\beta_1} \right) = - \left( \frac{\beta_2 - \beta_1}{\beta_2} \right)$$

Since the difference between the two velocities  $\Delta u_p = 10^{-3} u_p$  in magnitude, where the difference between  $u_{p1}$  and  $u_{p2}$  can be neglected. We may similarly write  $\Delta\beta = 10^{-3}\beta$ . It follows that  $\Delta\phi = (\Delta\beta)z = 2\pi$  when



$$z = \frac{2\pi}{\Delta\beta} = \frac{\lambda}{10^{-3}} = 1000 \frac{c}{f} = \frac{(10^3) \times (3 \times 10^8)}{10^6} = 3 \times 10^5 \text{ m} = 300 \text{ km}$$

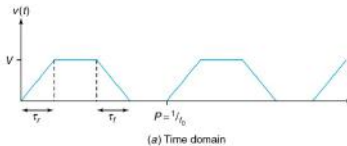
2.14

## Spectral Analysis

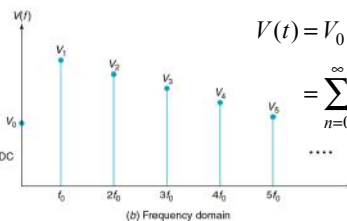
### Which frequencies are present?

Following Paul, we consider a digital signal with a rise and fall time that is short compared to the base signal and generates high frequency components. The highest frequency is given approximately by  $1/t_{\max}$ .

Paul  
Fig. 1-3 a/b



With a periodically repeating signal, the only frequencies that appear are multiples of the baseband  $f_0 = 1/T$ , where  $T$  is the period.



$$V(t) = V_0 + V_1 \cos(\omega_0 t + \phi_1) + V_2 \cos(2\omega_0 t + \phi_2) + \dots$$

$$= \sum_{n=0}^{\infty} V_n \cos(\omega_n t + \phi_n) \quad \text{with } V_n = V(\omega_n)$$

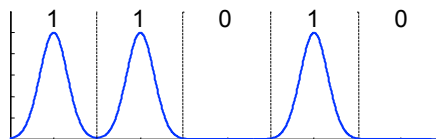
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2.15

## Spectral Analysis

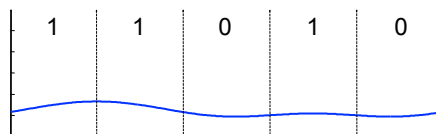
### Why are high harmonics / large frequency spreads bad?

- (1) The high harmonics lead to undesired electronic coupling in digital systems.
- (2) The high harmonics lead to pulse spreading in communications systems because different frequencies have different values of  $u_p$ .



#### Chromatic Dispersion:

After spreading a factor of 5 in this return-to-zero example, the digital 1's cannot be distinguished from the digital 0's



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2.16



## Complex Numbers and Phasors

A **complex number**  $z$  is written:  $z = x + jy$ , where  $j = \sqrt{-1}$

We also write  $x = \text{Re}(z)$ ,  $y = \text{Im}(z)$

In **polar form**, we have:  $z = |z| \exp(j\theta) = |z| e^{j\theta} = |z| \angle \theta$ ,

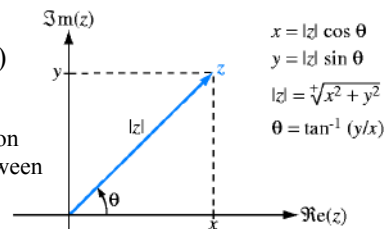
where  $|z|$  is the magnitude and  $\theta$  is the phase.

From **Euler's identity**,  $\exp(j\theta) = \cos \theta + j \sin \theta$ , we find

$$x = |z| \cos \theta, \quad y = |z| \sin \theta,$$

$$|z| = \sqrt{x^2 + y^2}, \quad \theta = \tan^{-1}(y/x)$$

Graphical representation  
of the relationship between  
rectangular and polar  
coordinates



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Ulab  
Figure 1-17

2.17

## Complex Numbers and Phasors

The **complex conjugate**  $z^*$  is defined:

$$z^* = x - jy = |z| \exp(-j\theta), \text{ so that } |z| = \sqrt{z z^*}$$

Mathematical operations:

• Equality:  $z_1 = z_2 \iff x_1 = x_2 \text{ and } y_1 = y_2$

• Addition:  $z_1 + z_2 = (x_1 + x_2) + j(y_1 + y_2)$

• Multiplication:  $z_1 z_2 = (x_1 x_2 - y_1 y_2) + j(x_1 y_2 + x_2 y_1)$   
 $= |z_1| |z_2| \exp[j(\theta_1 + \theta_2)]$

• Division:  $\frac{z_1}{z_2} = \frac{(x_1 x_2 + y_1 y_2) + j(x_2 y_1 - x_1 y_2)}{x_2^2 + y_2^2} = \frac{|z_1|}{|z_2|} \exp[j(\theta_1 - \theta_2)]$

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• Powers:  $z^r = |z|^r \exp(jr\theta)$ , where  $r$  is any real number

2.18

## Complex Numbers and Phasors

The **complex conjugate**  $z^*$  is defined:

$$z^* = x - jy = |z| \exp(-j\theta), \text{ so that } |z| = \sqrt{zz^*}$$

Mathematical operations:

- Logarithm:  $\log z = \log |z| + j(\theta + 2\pi n)$ , where  $n$  is any integer

## Complex Numbers and Phasors

Working with phasors: Ulaby and Ravaioli Example 1-3

**Question:** Given two complex numbers,  $V = 3 - j4$  and  $I = -2 - j3$ , (a) Express  $V$  and  $I$  in polar form, and find (b)  $VI$ , (c)  $VI^*$ , (d)  $V/I$ , (e)  $I^{1/2}$

**Answer:** (a)  $V$  is in the fourth quadrant and  $I$  is in the third quadrant. (See figure.)

$$|V| = \sqrt{3^2 + 4^2} = 5, \quad \theta_V = \tan^{-1}(-4/3) = -0.972, \quad \text{so that } V = 5 \exp(-j0.972)$$

$$|I| = \sqrt{2^2 + 3^2} = 3.61, \quad \theta_I = \tan^{-1}(3/2) - \pi = -2.159, \quad \text{so that } I = 3.61 \exp(-j2.159)$$

$$(b) \quad VI = 5e^{-j0.972} \times 3.61e^{-j2.159} = 18.05e^{-j3.131}$$

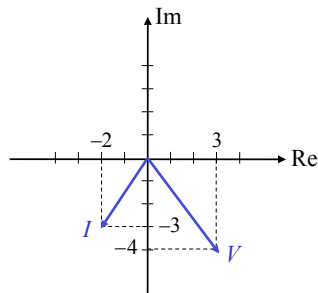
$$(c) \quad VI^* = 5e^{-j0.972} \times 3.61e^{j2.159} = 18.05e^{j1.187}$$

$$(d) \quad V/I = (5/3.61)e^{-j0.972+j2.159} = 1.39e^{j1.187}$$

$$(e) \quad \sqrt{I} = \pm(3.61)^{1/2}e^{-j(2.159/2)} = \pm 1.90e^{-j1.080}$$

Note:  $-1.90e^{-j1.080} = 1.90e^{j2.062}$

**Angles are only unique to within  $2\pi$**



Based on Ulaby Fig. 1-18

## Complex Numbers and Phasors

### Why do we work with complex numbers?

The linear integro-differential equations that describe circuits and electromagnetic waves — and in fact any waves — become much easier to solve!

The concept of a **phasor** plays a key role

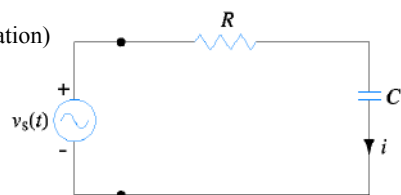
Consider a simple RC circuit with a voltage source  $v_s(t) = V_0 \sin(\omega t + \phi_0)$

Kirchoff's voltage law implies

$$Ri(t) + \frac{1}{C} \int i(t) dt = v_s(t)$$

(time domain equation)

- Direct time domain solution is a bit messy and involves “guessing” the integral.
- The phasor approach is simpler and deductive



Ulaby Fig. 1-19

2.21

## Complex Numbers and Phasors

### Step 1: Adopt a cosine reference

$$v_s(t) = V_0 \sin(\omega t + \phi_0) = V_0 \cos(\omega t + \phi_0 - \pi / 2)$$

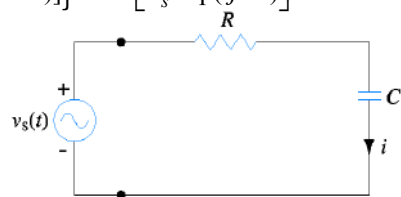
### Step 2: Express time-dependent variables as phasors

- In general, we write any time-dependent variable  $z(t)$  as  $z(t) = \text{Re}[\tilde{Z} \exp(j\omega t)]$  where  $\tilde{Z}$  is time-independent and is referred to as a **phasor**

- In our particular case, we write  $v_s(t) = \text{Re}\{V_0 \exp[j(\omega t + \phi_0 - \pi / 2)]\} = \text{Re}[\tilde{V}_s \exp(j\omega t)]$

where

$$\tilde{V}_s = V_0 \exp[j(\phi_0 - \pi / 2)]$$



Ulaby Fig. 1-19

2.22

## Complex Numbers and Phasors

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### Step 2: Express time-dependent variables as phasors (continued)

- We now write  $i(t) = \text{Re}[\tilde{I} \exp(j\omega t)]$ , where  $i(t)$  and  $\tilde{I}$  are unknown

The goal is to solve for  $\tilde{I}$ , knowing  $\tilde{V}_s$ , which will allow us to find  $i(t)$


- We will make use of two important properties

$$\frac{di(t)}{dt} = \text{Re}[j\omega \tilde{I} \exp(j\omega t)] \quad \text{and} \quad \int i(t) dt = \text{Re}\left[\frac{1}{j\omega} \tilde{I} \exp(j\omega t)\right]$$

### Step 3: Recast the equation in phasor form

$$R \text{Re}[\tilde{I} \exp(j\omega t)] + \frac{1}{C} \text{Re}\left[\frac{1}{j\omega} \tilde{I} \exp(j\omega t)\right] = \text{Re}[\tilde{V}_s \exp(j\omega t)]$$

This equation holds at all points in time if and only if



$$\left(R + \frac{1}{j\omega C}\right) \tilde{I} = \tilde{V}_s \quad (\text{phasor/frequency/Fourier domain})$$

2.23

## Complex Numbers and Phasors

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### Step 4: Solve the phasor equation

$$\begin{aligned} \tilde{I} &= \frac{\tilde{V}_s}{R + 1/(j\omega C)} = V_0 \exp[j(\phi_0 - \pi/2)] \left[ \frac{j\omega C}{1 + j\omega CR} \right] \\ &= \frac{V_0 \omega C}{(1 + \omega^2 R^2 C^2)^{1/2}} \exp[j(\phi_0 - \phi_1)], \quad \text{where } \phi_1 = \tan^{-1}(\omega RC) \end{aligned}$$

### Step 5: Solve the time domain equation

$$i(t) = \text{Re}[\tilde{I} \exp(j\omega t)] = \frac{V_0 \omega C}{(1 + \omega^2 R^2 C^2)^{1/2}} \cos(\omega t + \phi_0 - \phi_1)$$



2.24

## Assignment

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**Reading:** Ulaby and Ravaioli, Chapter 2

**Problem Set 1:** Some notes

- There are 8 problems. Many of the answers to these problems have been provided by either Ulaby or by me. **YOU MUST SHOW YOUR WORK TO GET FULL CREDIT!**
- A key issue in numerical calculation is not presenting more significant figures than you have. You cannot have more significant figures than are in your input data. Please watch that; Ulaby is not careful about it.
- Generally, I ask for 3 significant figures, which means that you want to calculate with at least 4. When I want a different number, I tell you. Sometimes, I ask you *why* I want more.

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***CAVEAT: MATLAB keeps 14–15 digits. That can seem infinite, but it isn't!***

2.25