

# **Explore the Structure of Proton**

## **— Presentation of my PhD Thesis**

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Institute of Physics, Academia Sinica  
Department of Physics, National Kaohsiung Normal University

# About

## ● Education:

- Bachelor's Degree, 2009-2013, Department of Physics, National Kaohsiung Normal University.
- Master's Degree, 2013-2015, Department of Physics, National Kaohsiung Normal University.
- Doctor's Degree, 2015-2021, Department of Physics, National Kaohsiung Normal University.

## ● Working experience:

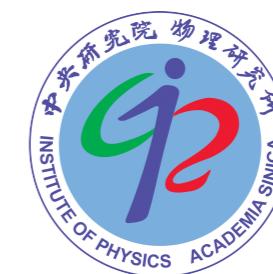
- Institute of Physics, Academia Sinica, Taipei City, Taiwan.
- COMPASS Collaboration at CERN, Geneva, Switzerland.

## ● Publication:

- Yu-Hsiang Lien, “Angular Distributions of Pion-induced Drell-Yan Production”, JPS Conf. Proc. 26, 031004 (2019), doi: <https://doi.org/10.7566/JPSCP.26.031004>
- M. Aghasyan et al. [COMPASS Collaboration], “First Measurement of Transverse-Spin-Dependent Azimuthal Asymmetries in the Drell-Yan Process”, Phys. Rev. Lett., 119(11), 112002 (2017), doi: <https://doi.org/10.1103/PhysRevLett.119.112002>

## ● PhD thesis topic:

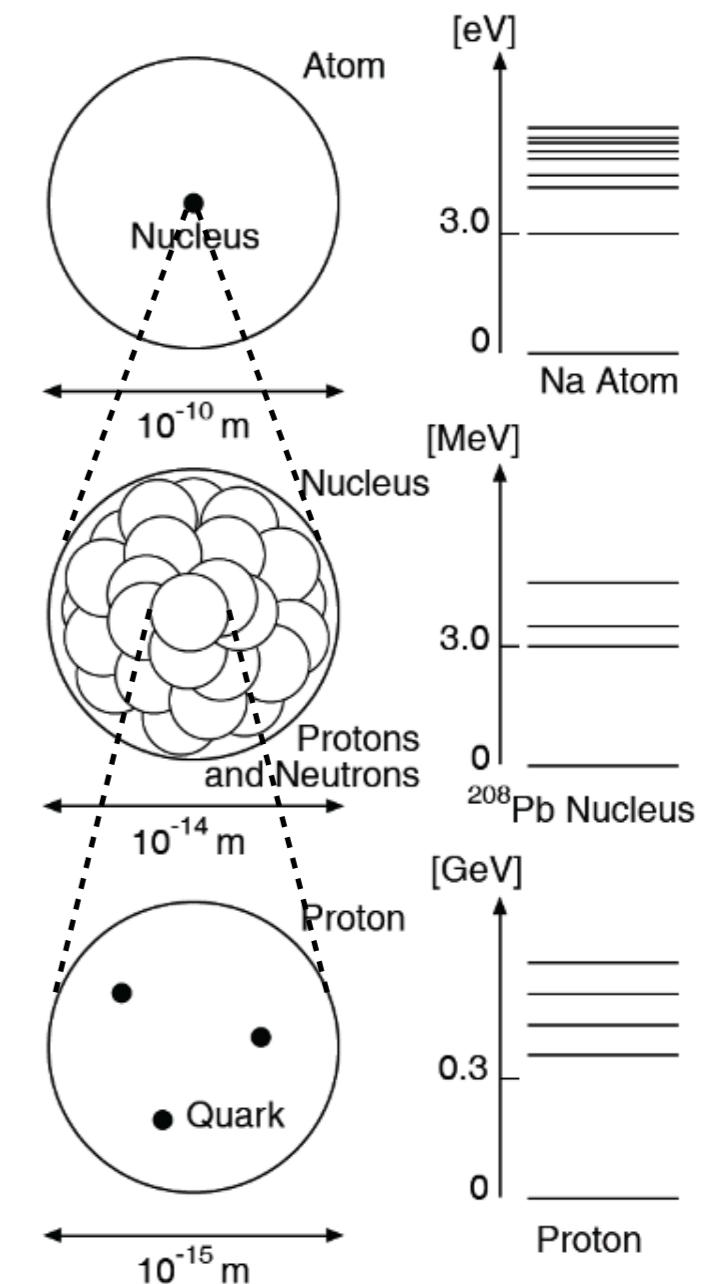
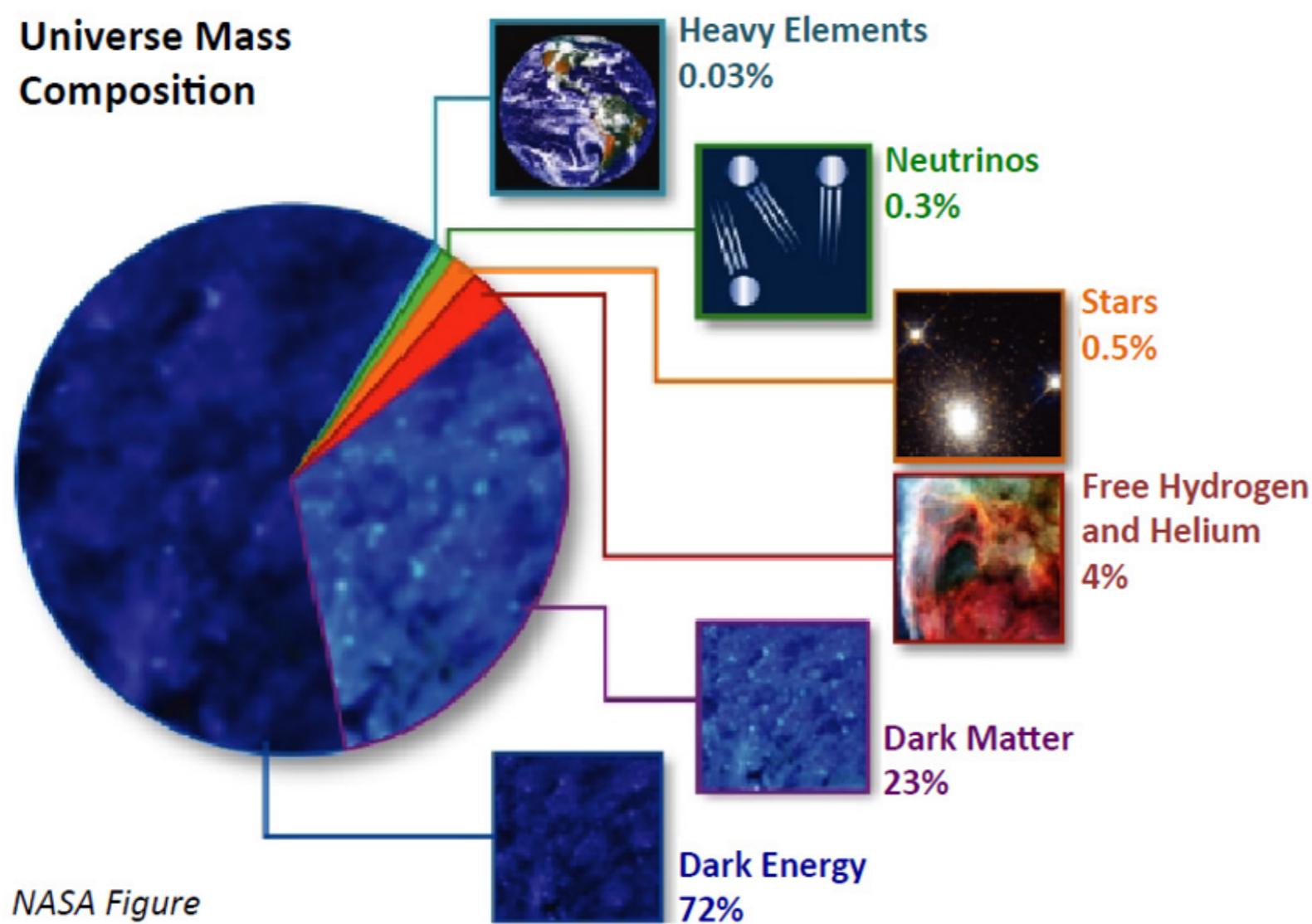
- Measurements of Unpolarized Dimuon Angular Distributions of Drell-Yan Production with 190-GeV Pion beams in the COMPASS Experiment at CERN.
  - **explore the structure of proton.**



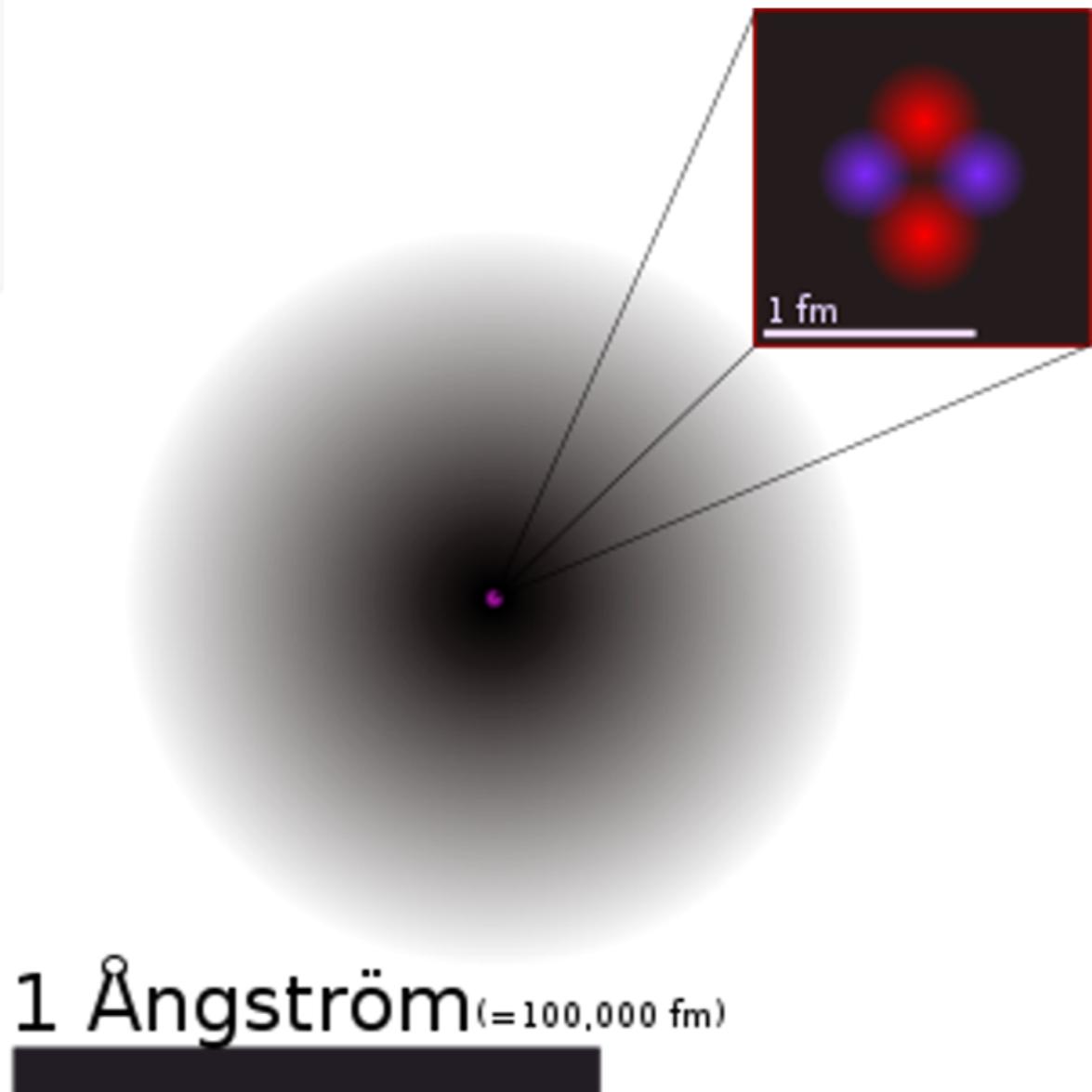
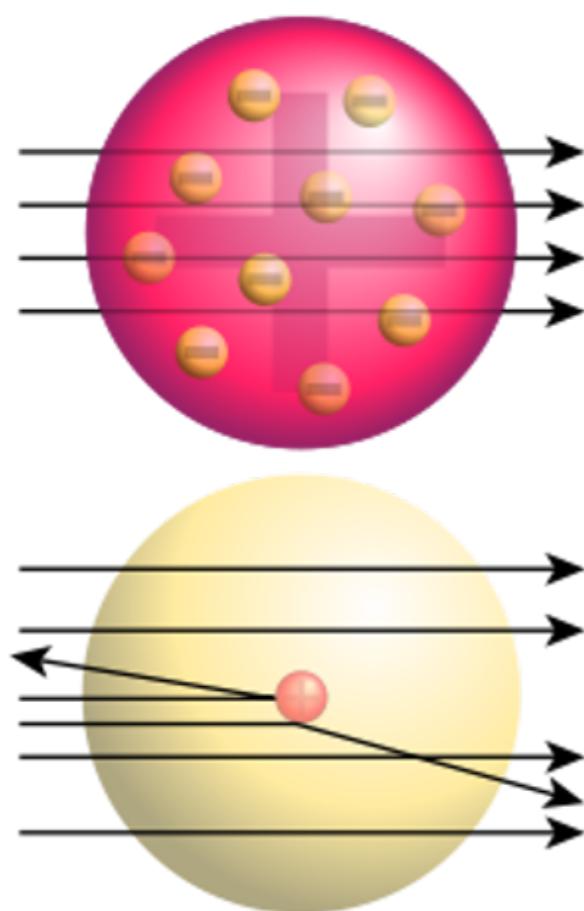
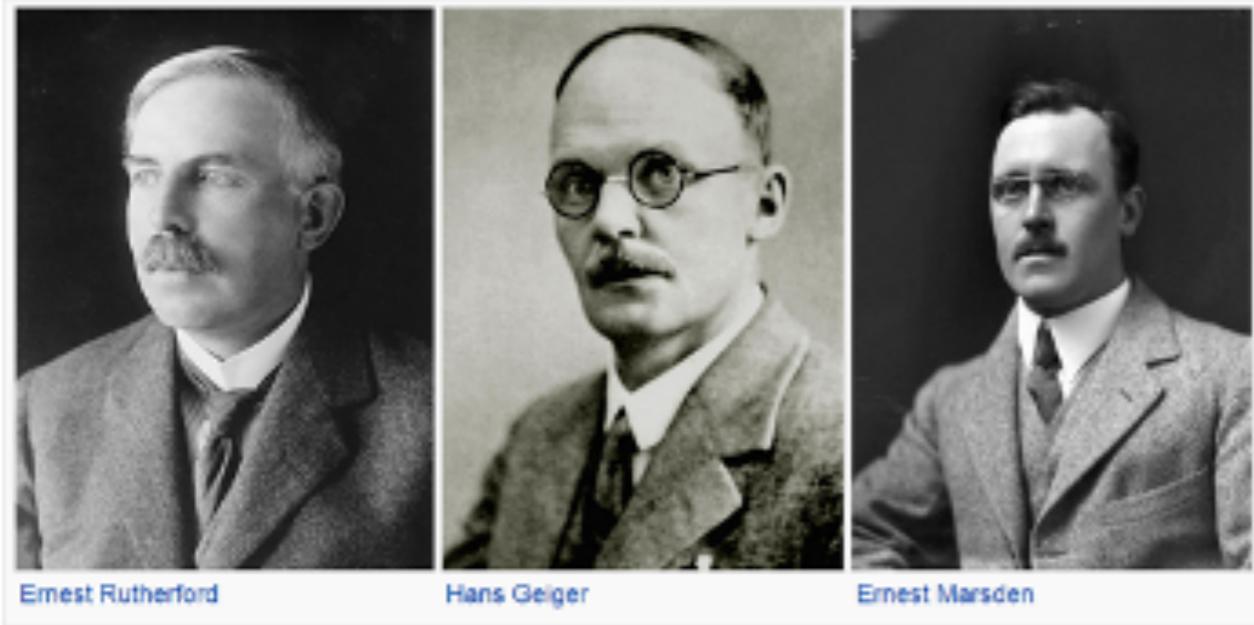
# Outline

- Partonic Structure of Proton
- COMPASS Experiment at CERN
- Data Analysis
- Extraction of Angular Coefficients
- Summary

# Universe Mass Composition



# Rutherford Experiment — Nucleus Structure

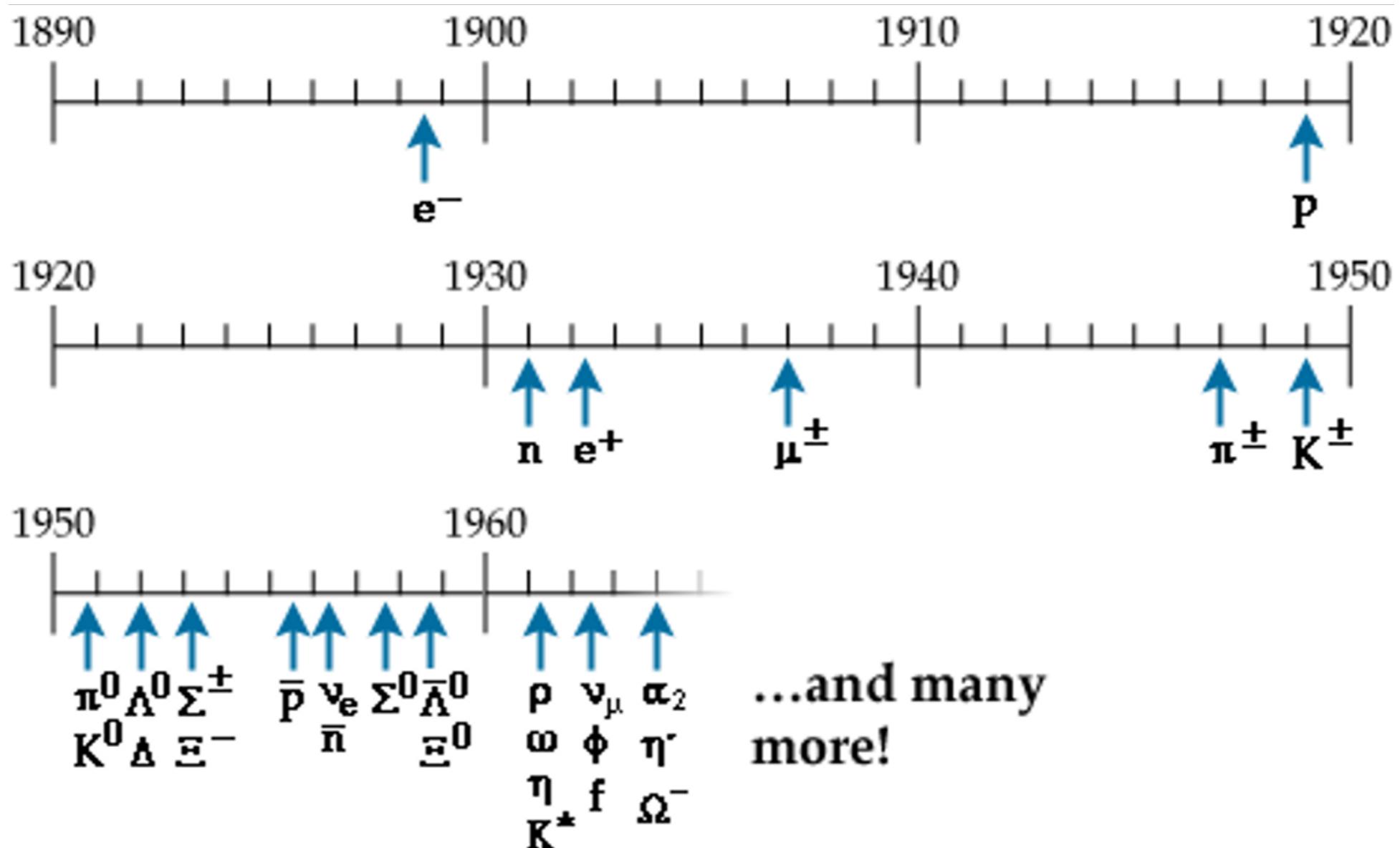


# Elementary Particle Discovered



「If I could remember the names of all these particles, I'd be a botanist.」

*Enrico Fermi*



# Quark Model – The Eightfold Way

## Murray Gell-Mann Facts



Photo from the Nobel Foundation archive.

Murray Gell-Mann  
The Nobel Prize in Physics 1969

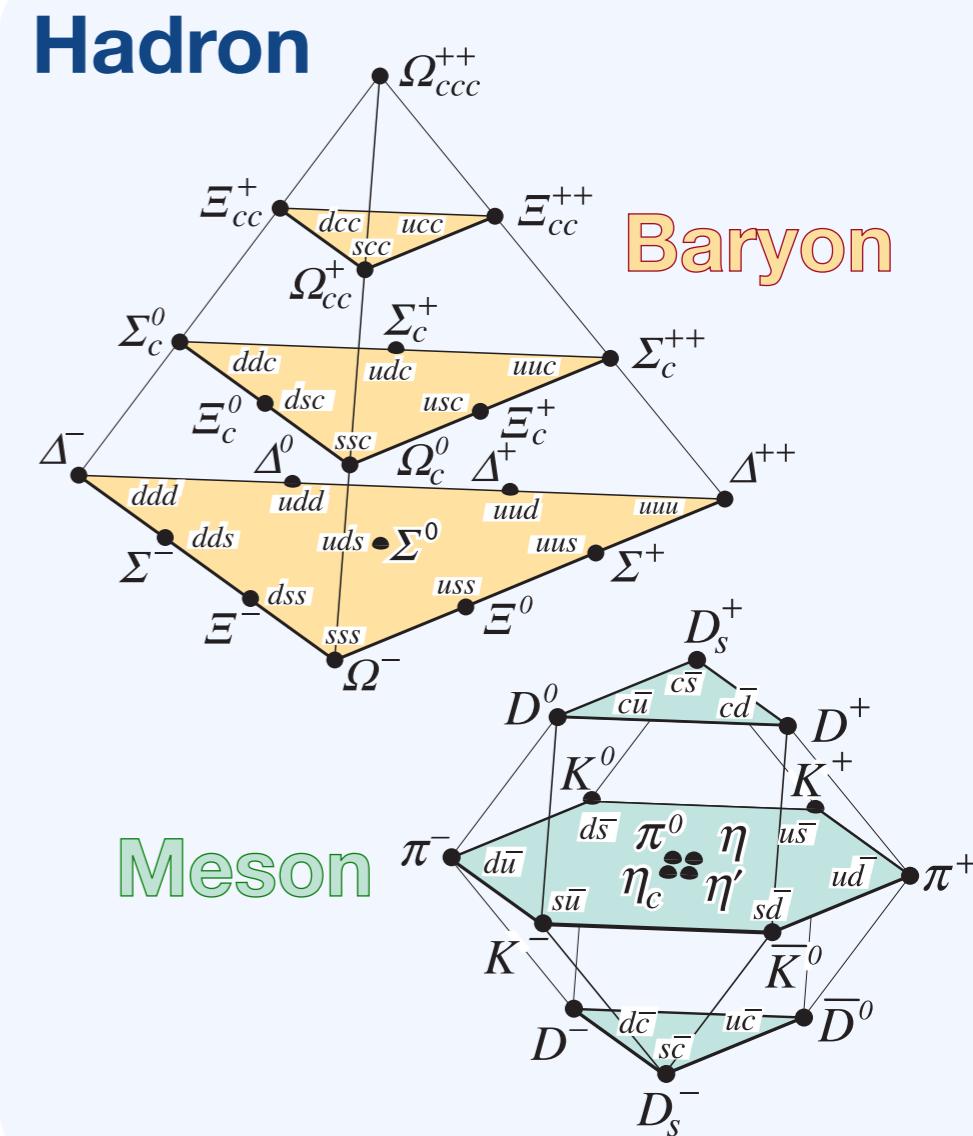
Born: 15 September 1929, New York, NY, USA

Died: 24 May 2019, Santa Fe, NM, USA

Affiliation at the time of the award: California Institute of Technology (Caltech), Pasadena, CA, USA

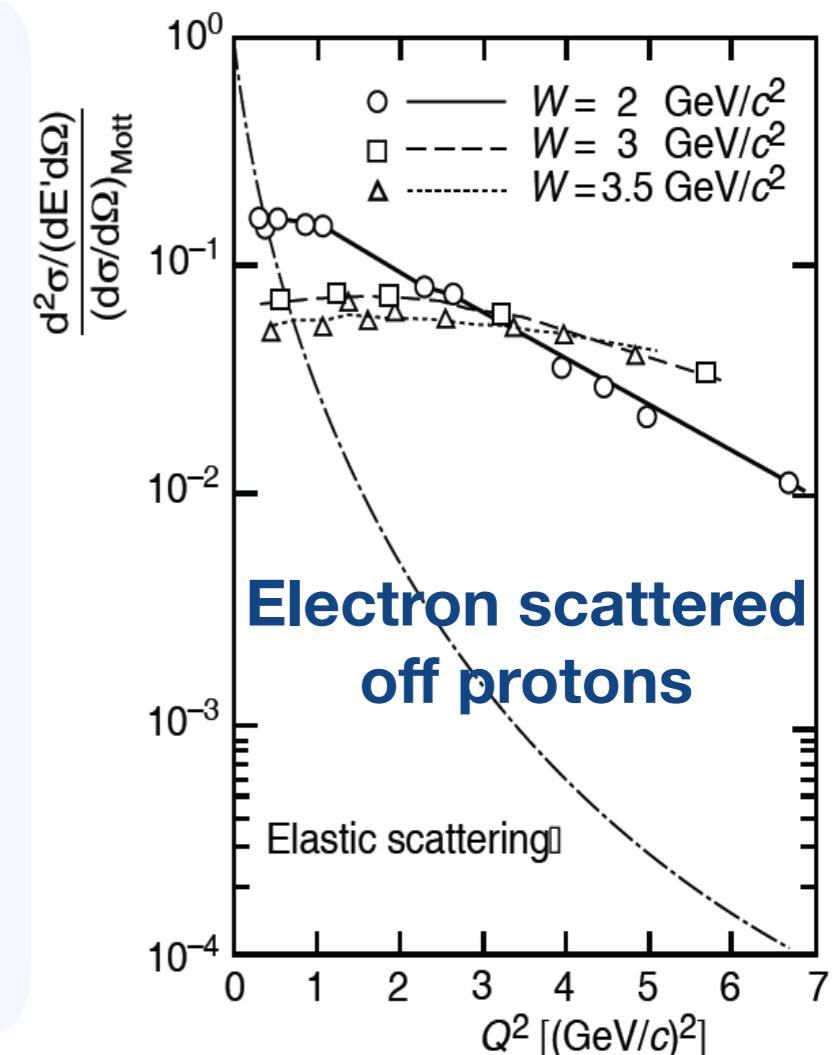
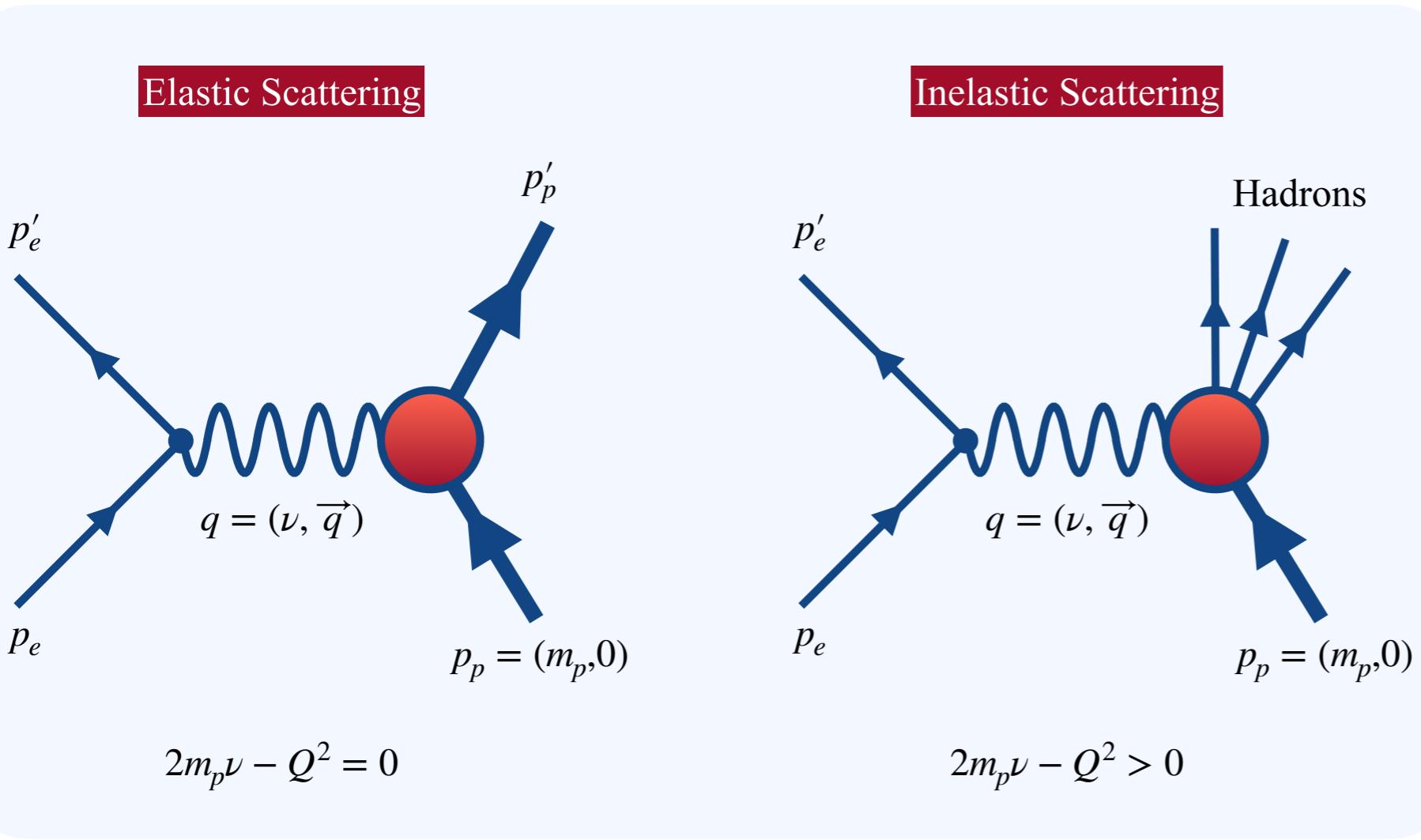
Prize motivation: "for his contributions and discoveries concerning the classification of elementary particles and their interactions."

Prize share: 1/1



- The Quark Model was proposed by Murray Gell-Mann in 1964.
- Gell-Mann successfully predicted the existence of  $\Omega^-$  baryon in 1964, which made of three strange quarks.

# Deep Inelastic Scattering (DIS)



- In the electron scattered off protons scattering experiment, a very weak scale-dependence of cross-section is observed.
- The experimental result imply that the electron is scattered off a point-like particle inside the proton!

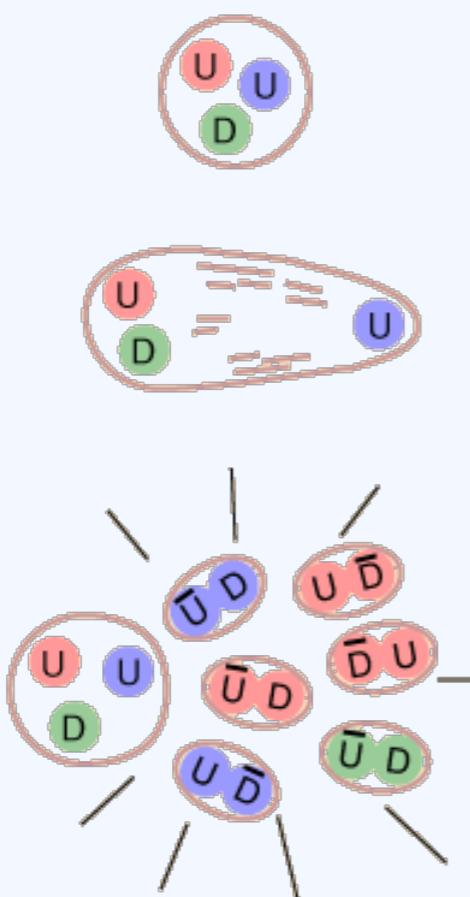
# Properties of Quantum Chromodynamics

$$\mathcal{L}_{QCD} = \bar{\psi}_i \left( i(\gamma^\mu D_\mu)_{ij} - m\delta_{ij} \right) \psi_j - \frac{1}{4} G_{\mu\nu}^a G_a^{\mu\nu} = q\bar{q} + G^2 + g_s q\bar{q}G + g_s G^3 + g_s^2 G^4$$

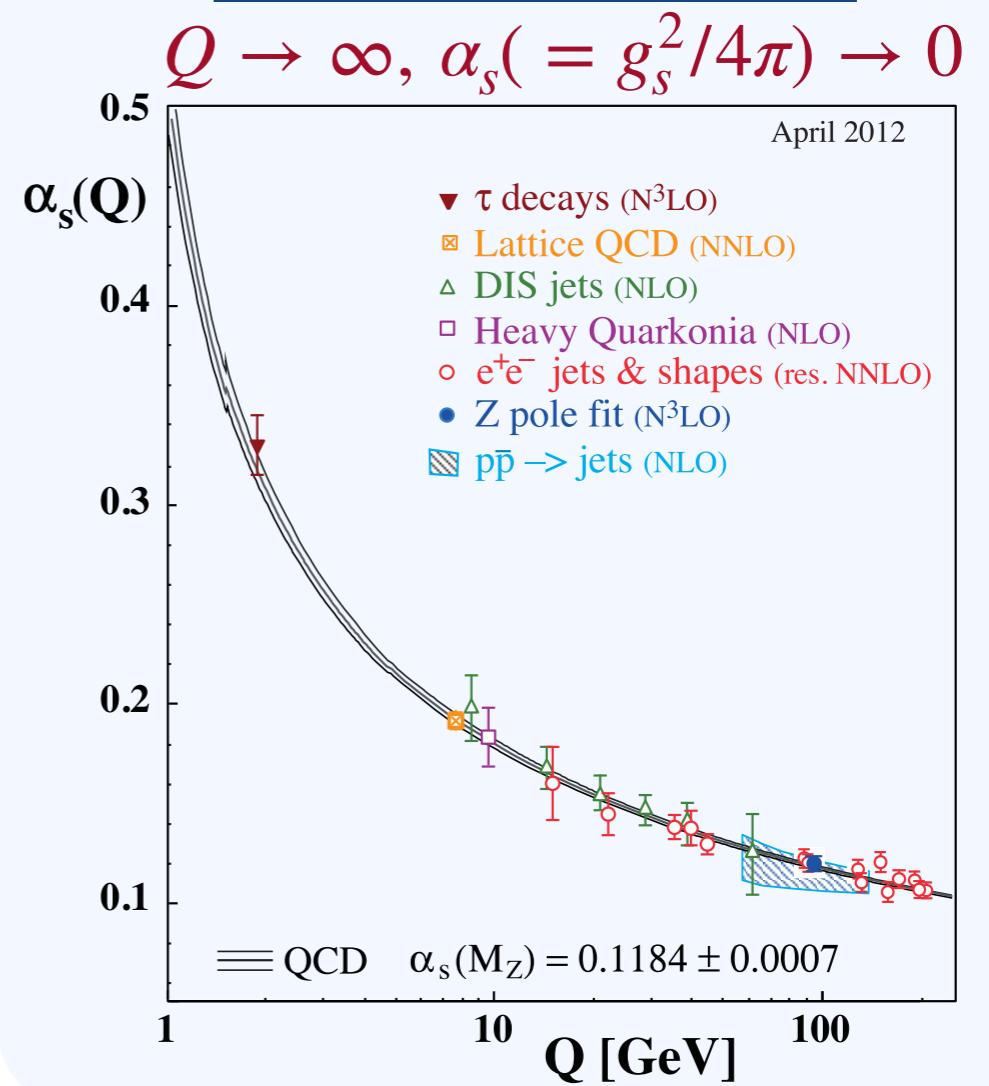
- The Quantum Chromodynamics (QCD) describes the strong interaction.
- The QCD provide two peculiar properties: color confinement and asymptotic freedom.

## Color confinement

**Color-charged particle  
cannot be isolated.**



## Asymptotic freedom



# Parton Model (Feynman)

## Richard P. Feynman Facts



Photo from the Nobel Foundation archive.

Richard P. Feynman  
The Nobel Prize in Physics 1965

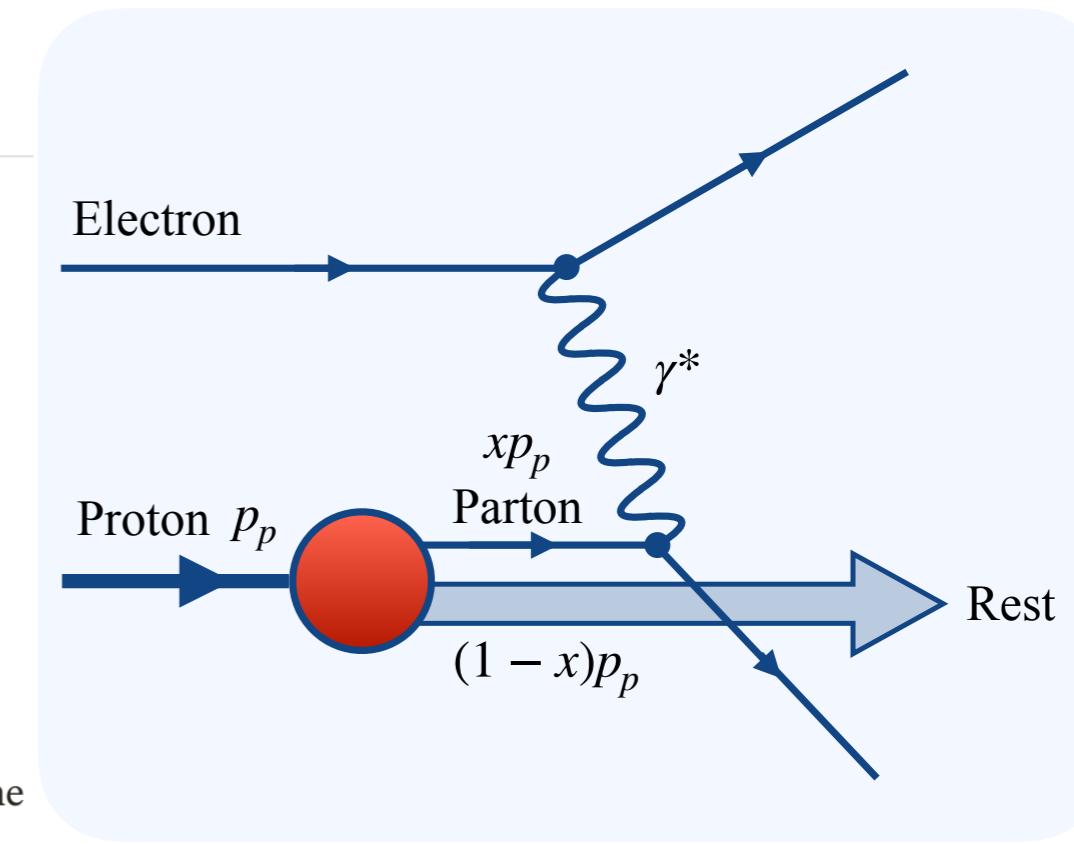
Born: 11 May 1918, New York, NY, USA

Died: 15 February 1988, Los Angeles, CA, USA

Affiliation at the time of the award: California Institute of Technology (Caltech), Pasadena, CA, USA

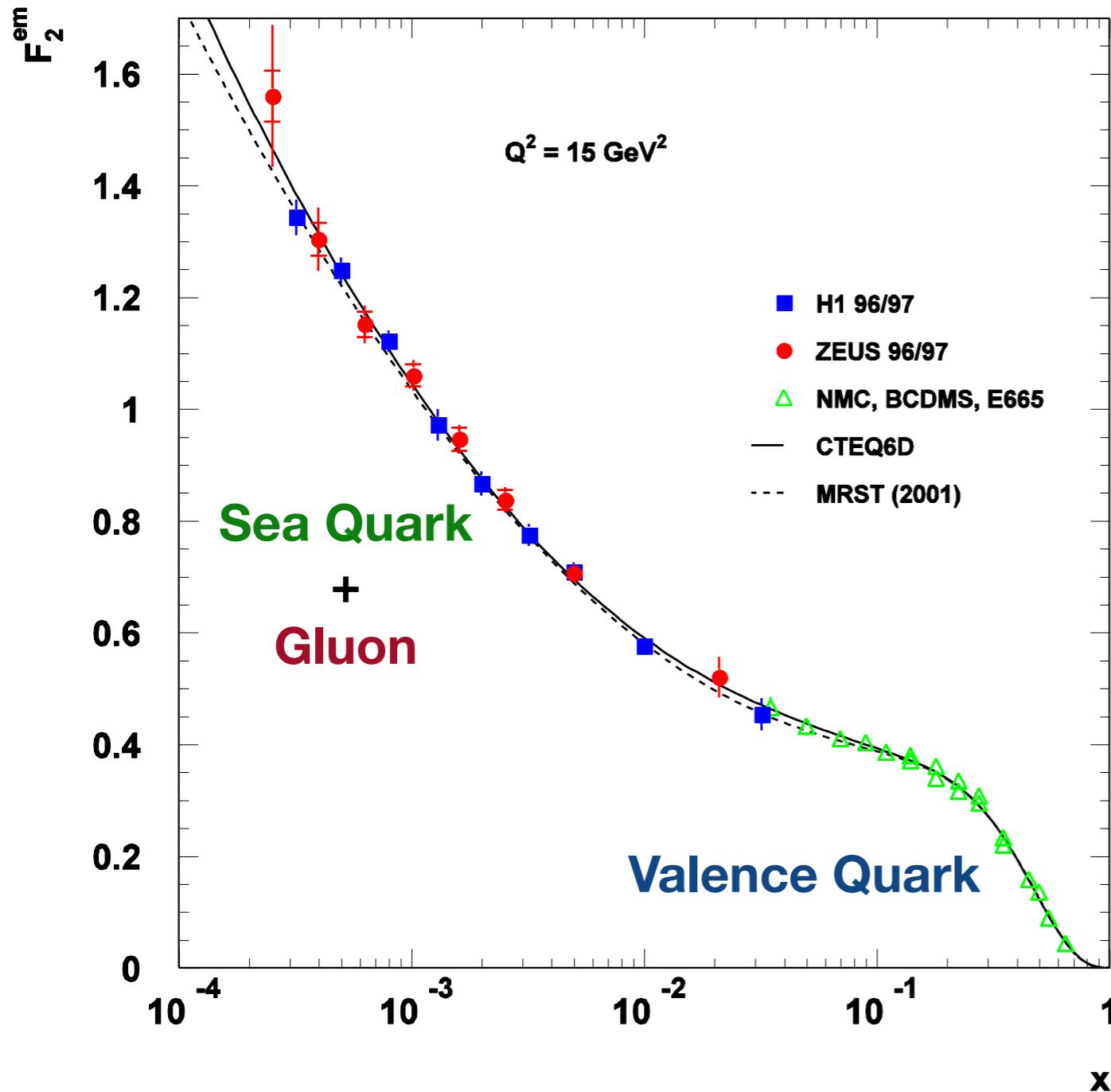
Prize motivation: "for their fundamental work in quantum electrodynamics, with deep-ploughing consequences for the physics of elementary particles."

Prize share: 1/3



- The parton model was proposed by R. Feynman in 1969.
- Bjorken scaling variable  $x$ : the momentum fraction of struck parton.
- $p_{\text{parton}} = x p_{\text{proton}}$ ; Bjorken- $x$  has a theoretical range:  $0 < x < 1$

# Partonic Structure of Proton

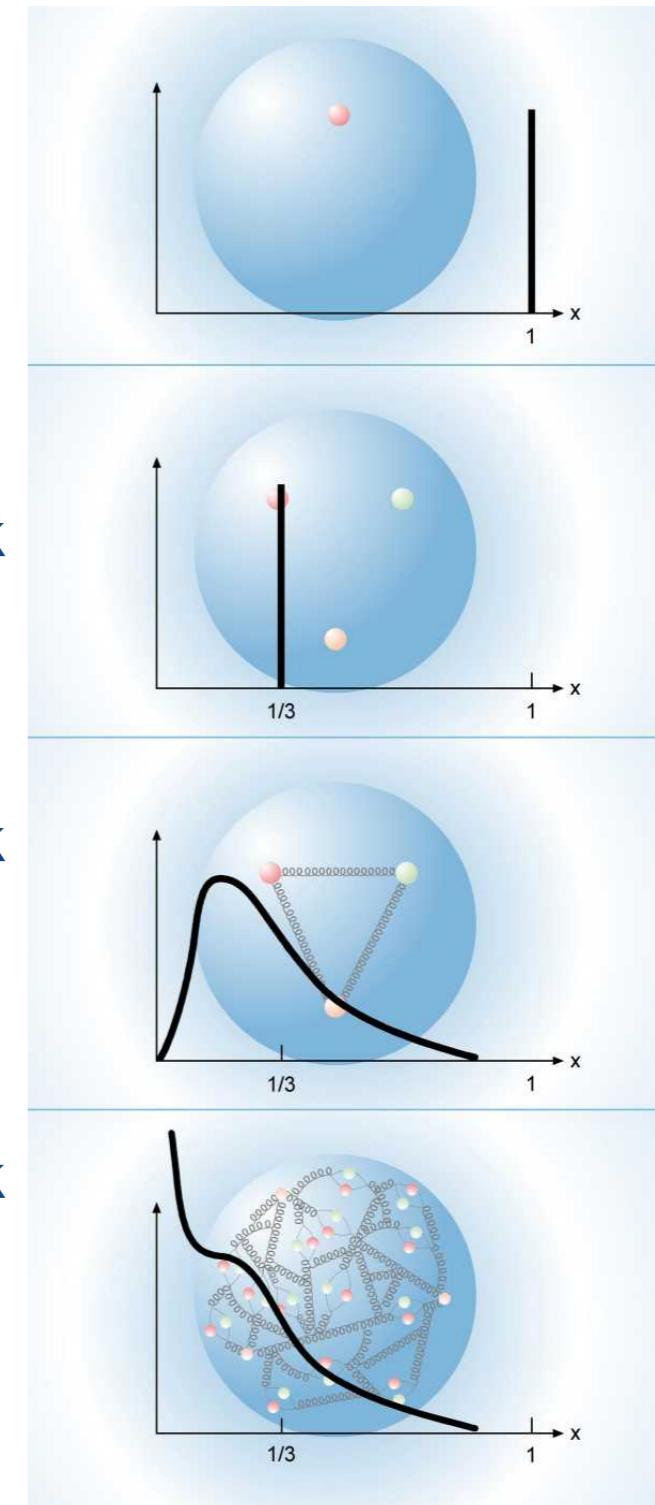


Nucleon

Valence Quark

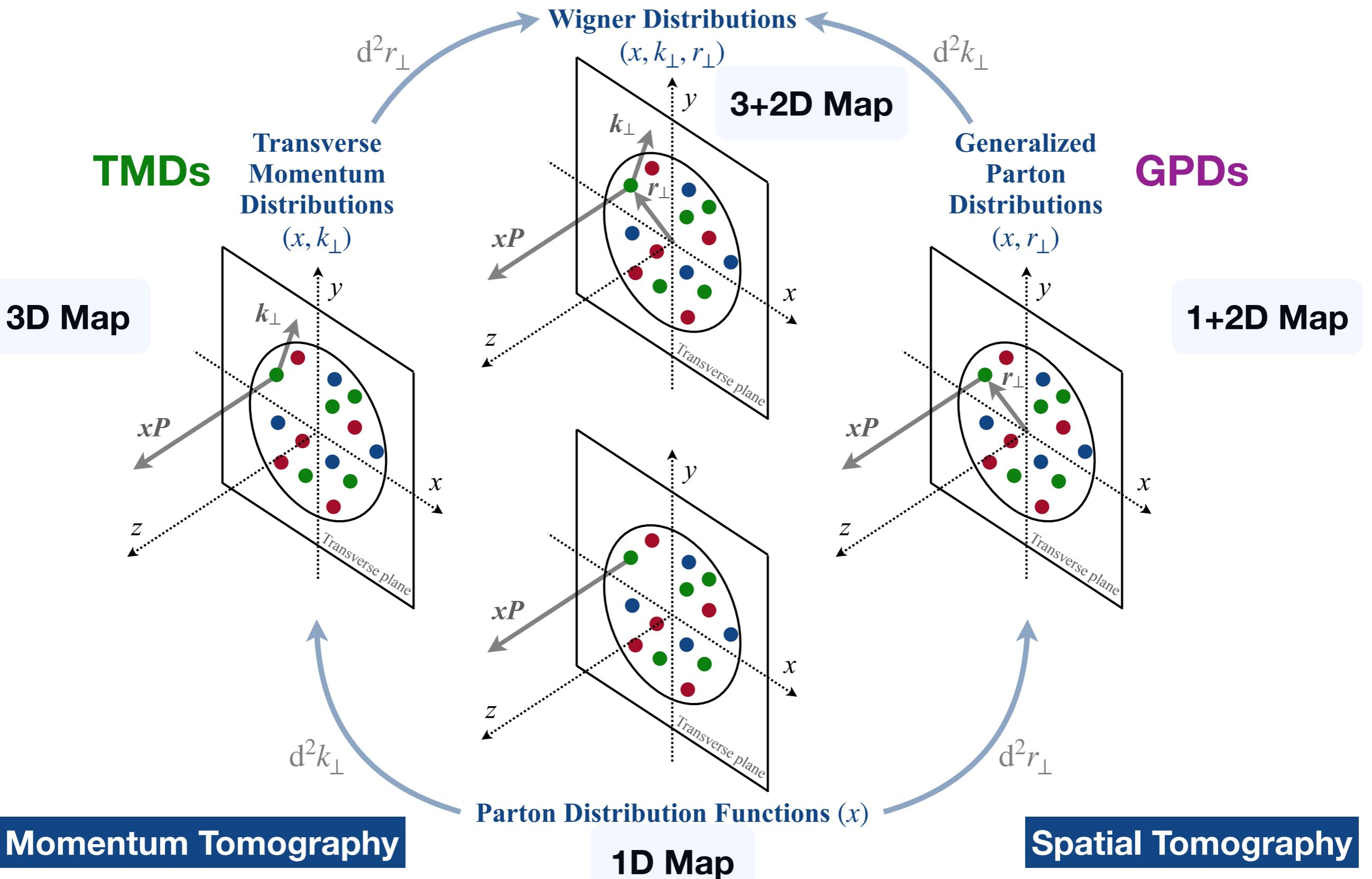
Valence Quark + Gluon

Valence Quark + Gluon + Sea Quark



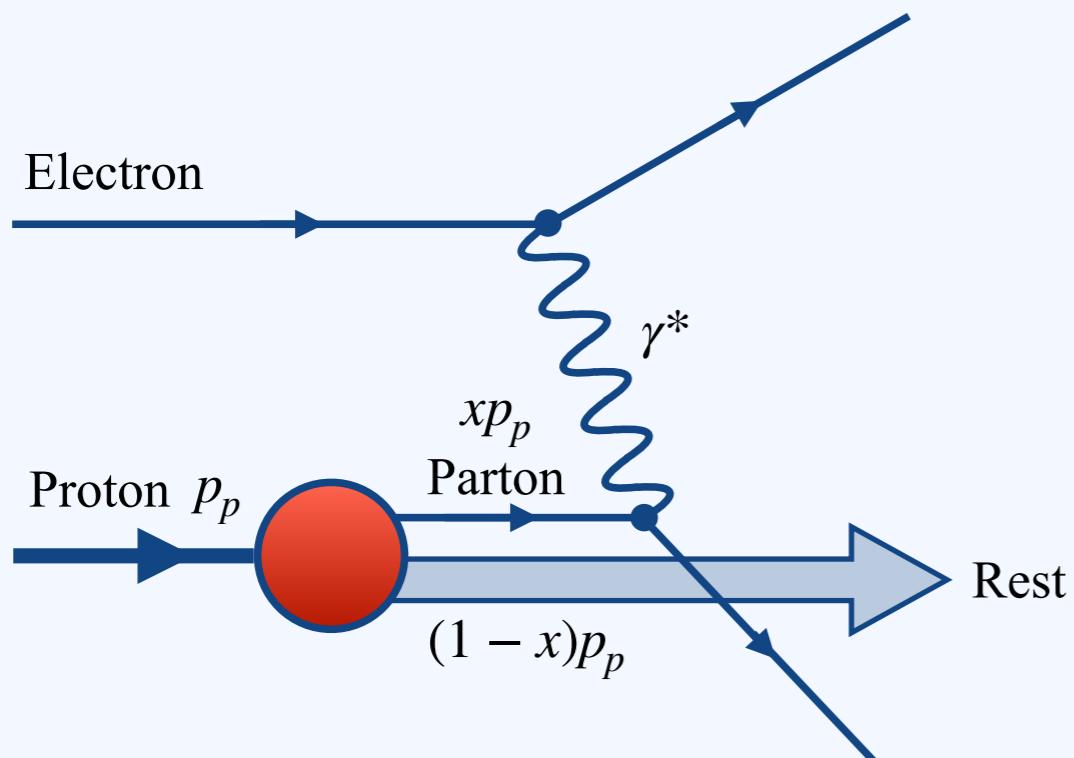
$Q^2$

# Multi-dimensional Partonic Structures



# Experimental Approach for PDFs

## Deep-Inelastic Scattering

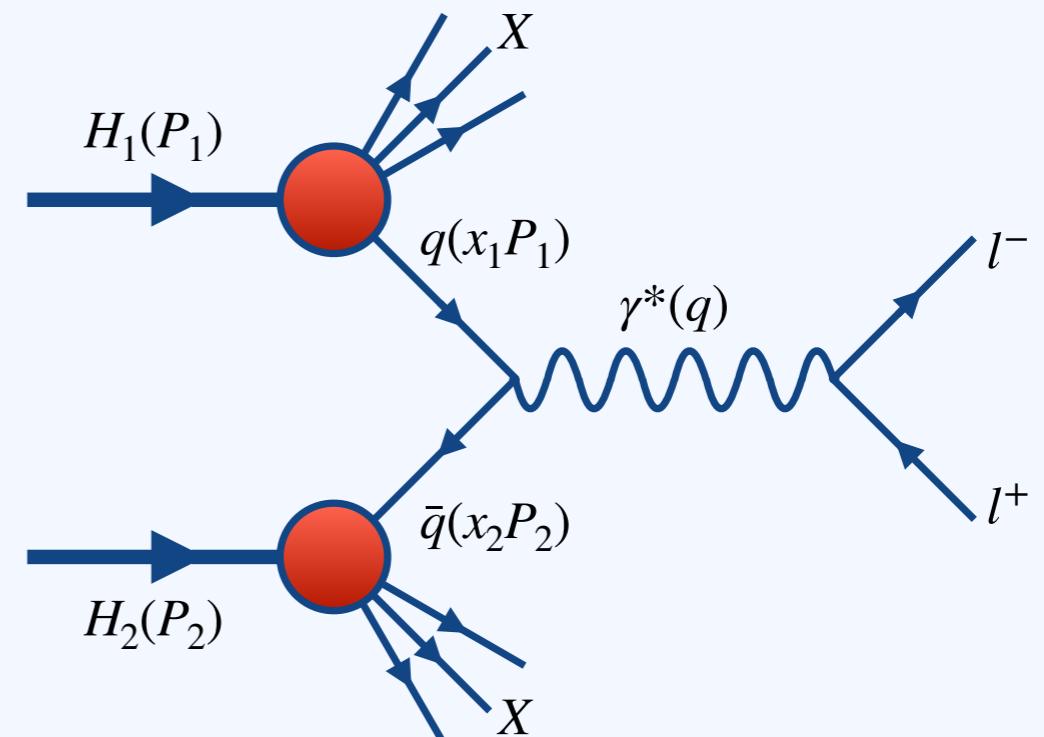


Proton PDFs ✓

Pion PDFs ✗

No rest target of pions  
existed in our world!

## Drell-Yan Process



$$\frac{d^2\sigma}{dx_1 dx_2} = \frac{4\pi\alpha^2}{9sx_1 x_2} \sum_i e_i^2 [q_i(x_1)\bar{q}_i(x_2) + \bar{q}_i(x_1)q_i(x_2)]$$



Proton PDFs ✓

Pion PDFs ✓

Nice approach to probe pion structure!

# Drell-Yan Practitioners with Prof. Yan

## Tung-Mow Yan 顏東茂

Professor of Physics



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Ithaca NY 14853

(607) 255-7125

[ty18@cornell.edu](mailto:ty18@cornell.edu)

BS, 1960, National Taiwan University. Ph.D., 1968, Harvard University.  
Research Associate, Stanford Linear Accelerator Center, 1968-70. Assistant Professor, Physics, Cornell University, 1970-76. Associate Professor, Physics, Cornell University, 1976-81. Professor, Physics, Cornell University, 1981-present. Visiting appointments at: Stanford Linear Accelerator Center, 1973-74. Theory Division, CERN, 1977-78. Physics Department, National Taiwan University, 1986. Institute of Physics, Academia Sinica, Taipei, Taiwan, 1991-92. National Center of Theoretical Sciences, Hsinchu, Taiwan, 1997. Alfred Sloan Fellow, 1974-78. Fellow, American Physics Society.



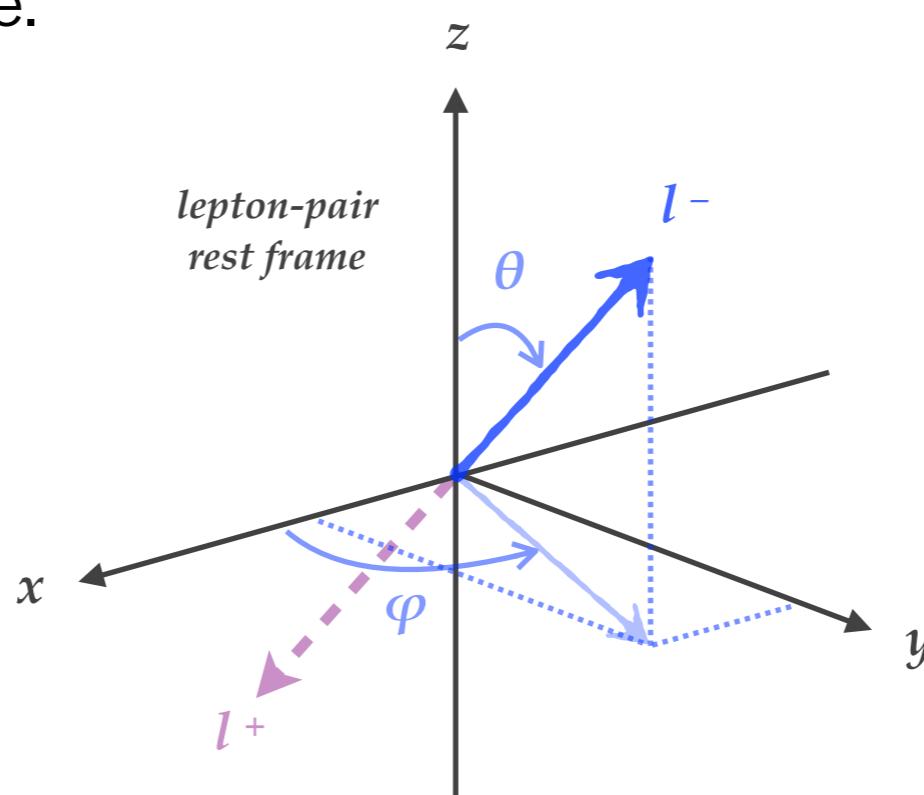
28 Nov. 2018 @ Academia Sinica

# Drell-Yan Angular Distributions

- The decay angular distribution of Drell-Yan process is an important information to probe the spin and angular momentum of parton.
- The general expression for angular distribution of lepton-pair:

$$\frac{d\sigma}{d\Omega} \propto \frac{3}{4\pi} \frac{1}{\lambda + 3} \left[ 1 + \lambda \cos^2 \theta + \mu \sin 2\theta \cos \varphi + \frac{\nu}{2} \sin^2 \theta \cos 2\varphi \right]$$

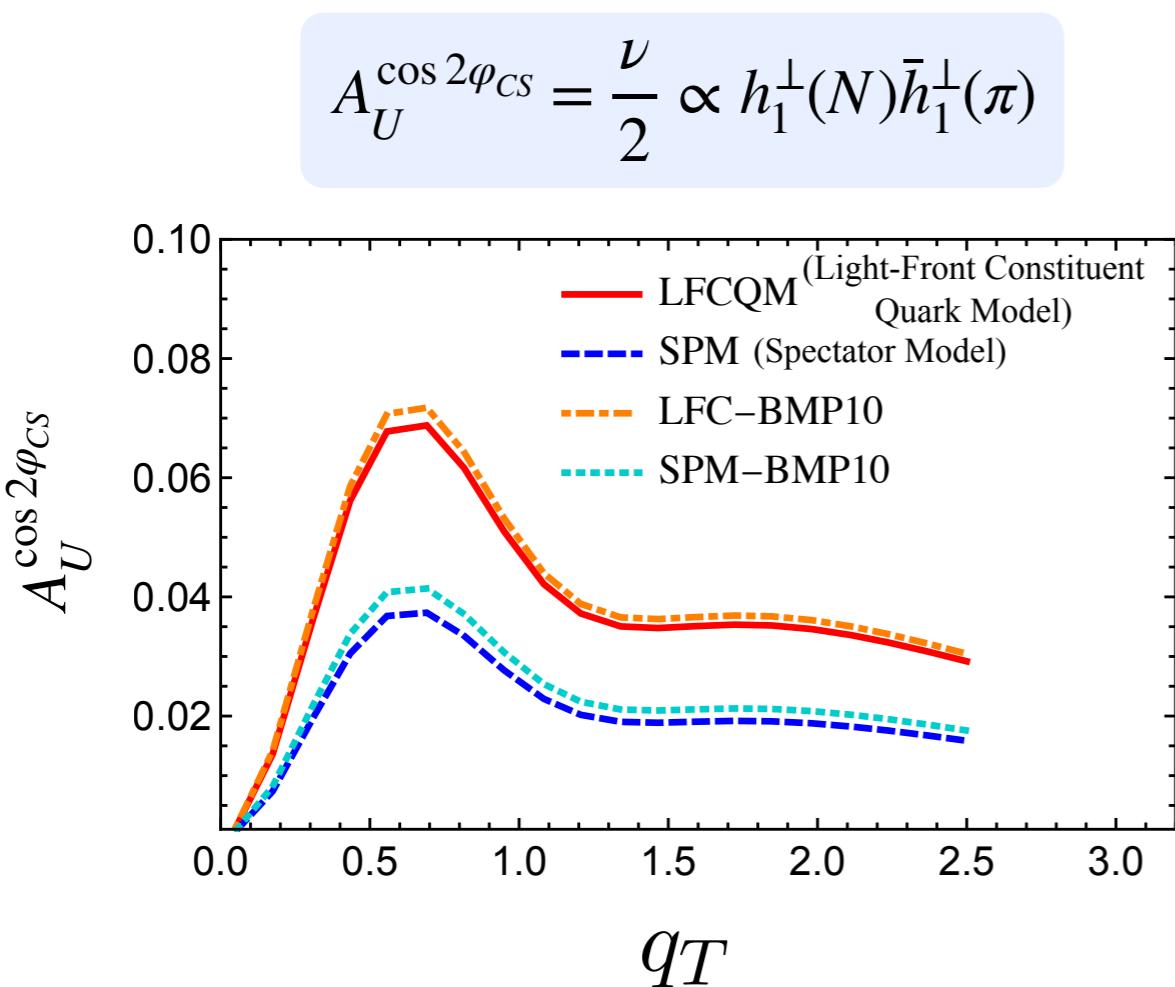
- where  $\theta$  and  $\varphi$  are the polar and azimuthal angles of the lepton- in the lepton-pair rest frame.



# The Boer–Mulders Function

Boer, PRD 60 (1999) 014012; S. Bastami, et.al, JHEP 02 (2021) 166

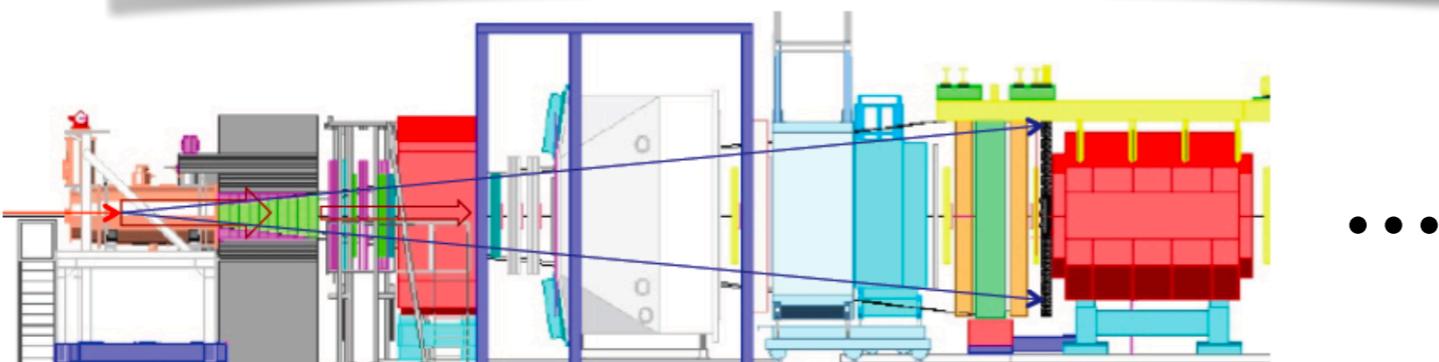
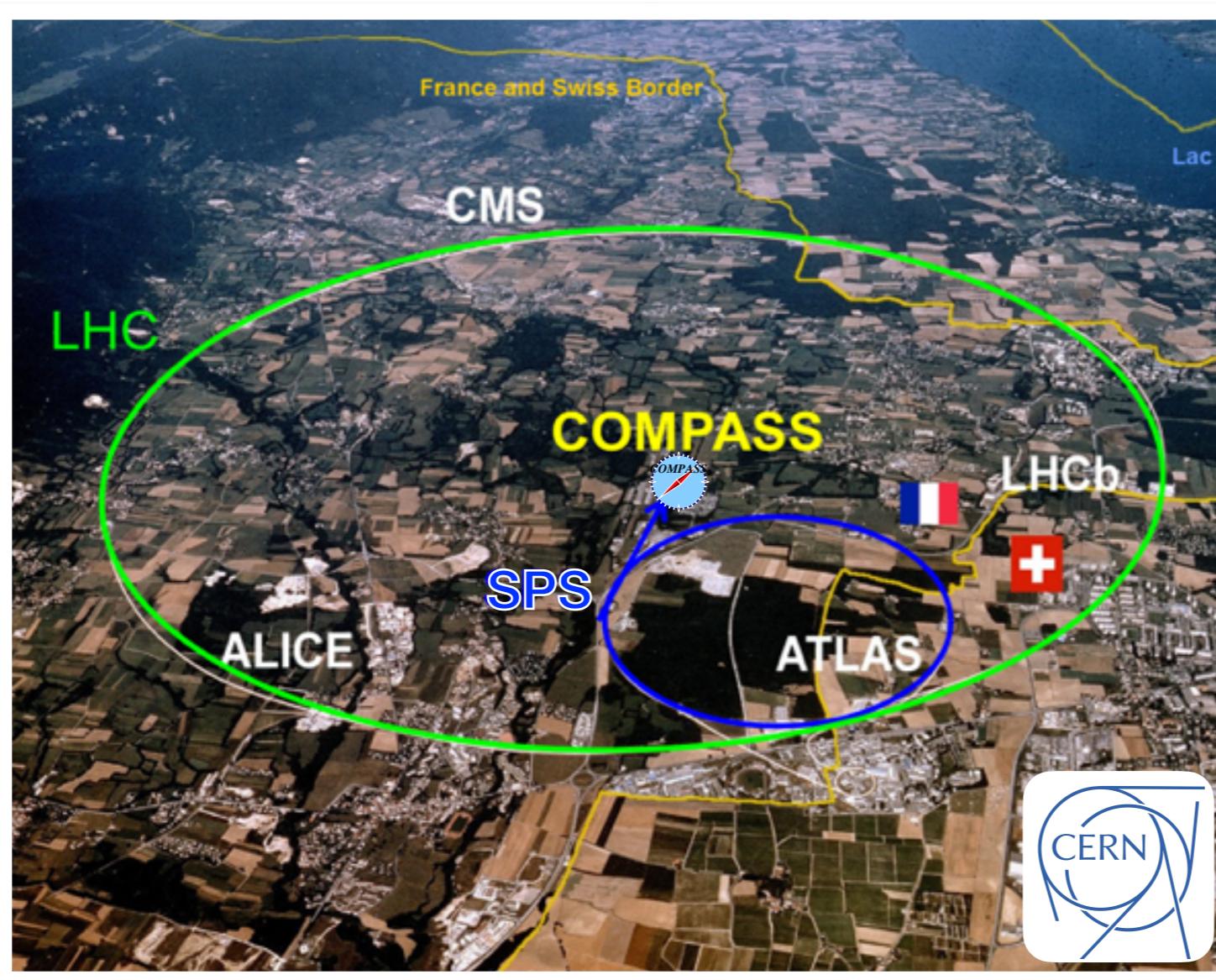
- An explanation of the  $\cos 2\varphi$  dependence observed in the DY process was proposed, by introducing a non-perturbative TMD **Boer–Mulders function**.
- The Boer–Mulders function  $h_1^\perp$  represents a correlation between quark's intrinsic **transverse momentum  $k_T$**  and **transverse spin  $S_T$**  (transversely polarized quark) in an unpolarized hadron.



		Nucleon Polarization		
		Unpolarized (U)	Longitudinally polarized (L)	Transversely polarized (T)
Quark Polarization	Nucleon Spin	$f_1^q(x, k_T)$ Number Density		
	Quark Spin		$g_1^q(x, k_T)$ Helicity	$g_{1T}^{q\perp}(x, k_T)$ Worm–Gear T
	Quark $k_T$	$h_1^{q\perp}(x, k_T)$ Boer–Mulders	$h_{1L}^{q\perp}(x, k_T)$ Worm–Gear L	$h_{1T}^{q\perp}(x, k_T)$ Transversity

# COMPASS/CERN Collaboration

## Common Muon and Proton Apparatus for Structure and Spectroscopy (COMPASS)



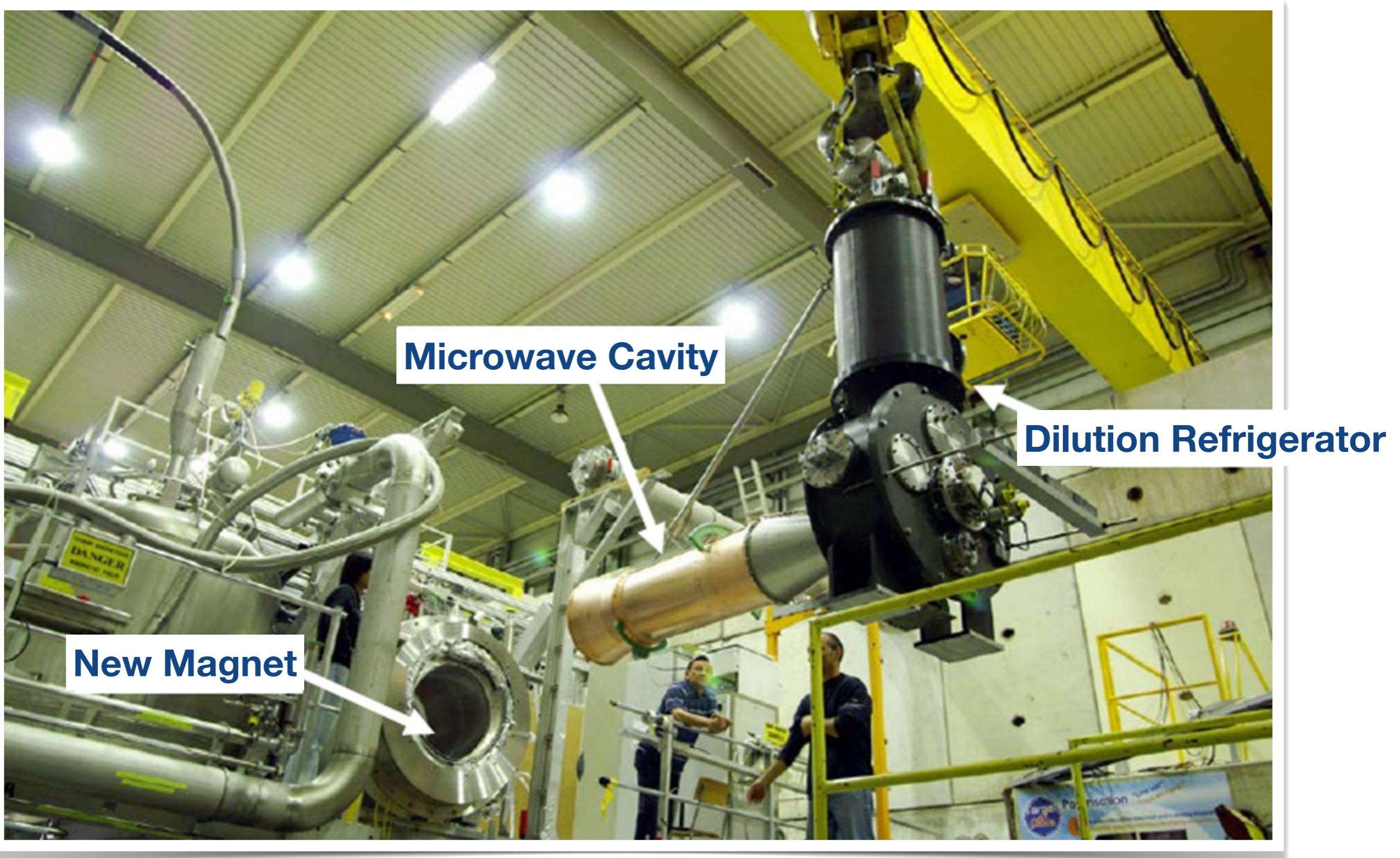
- A fixed-target experiment at SPS north area.
- 24 participating institutions from 13 countries.
- Physics programs:
  - ▶ Nucleon spin and partonic structure.
  - ▶ Hadron spectroscopy
  - ▶ TMDs + pion structure
- Taking data since 2002.
- Last run will take place in 2022.
- To be superseded by **AMBER** experiment.

# CERN SPS M2 Beam Line

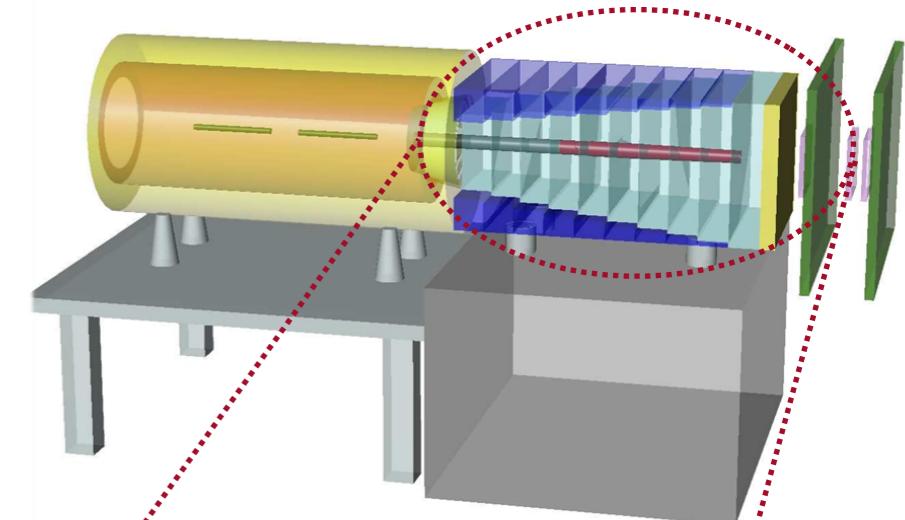
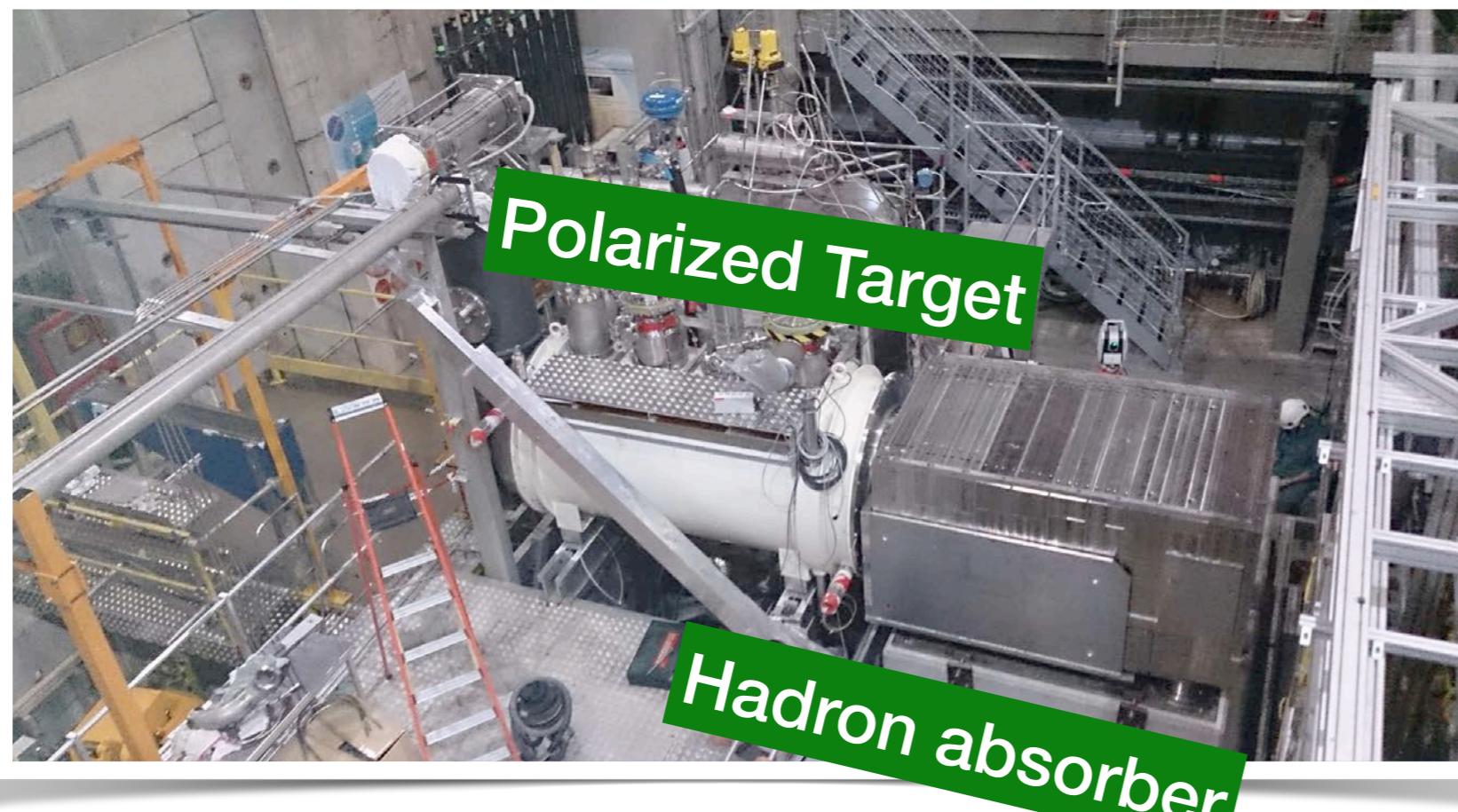


- The 190 GeV pion- beam are the secondary beam produced from the SPS proton beam hitting the nuclear target.

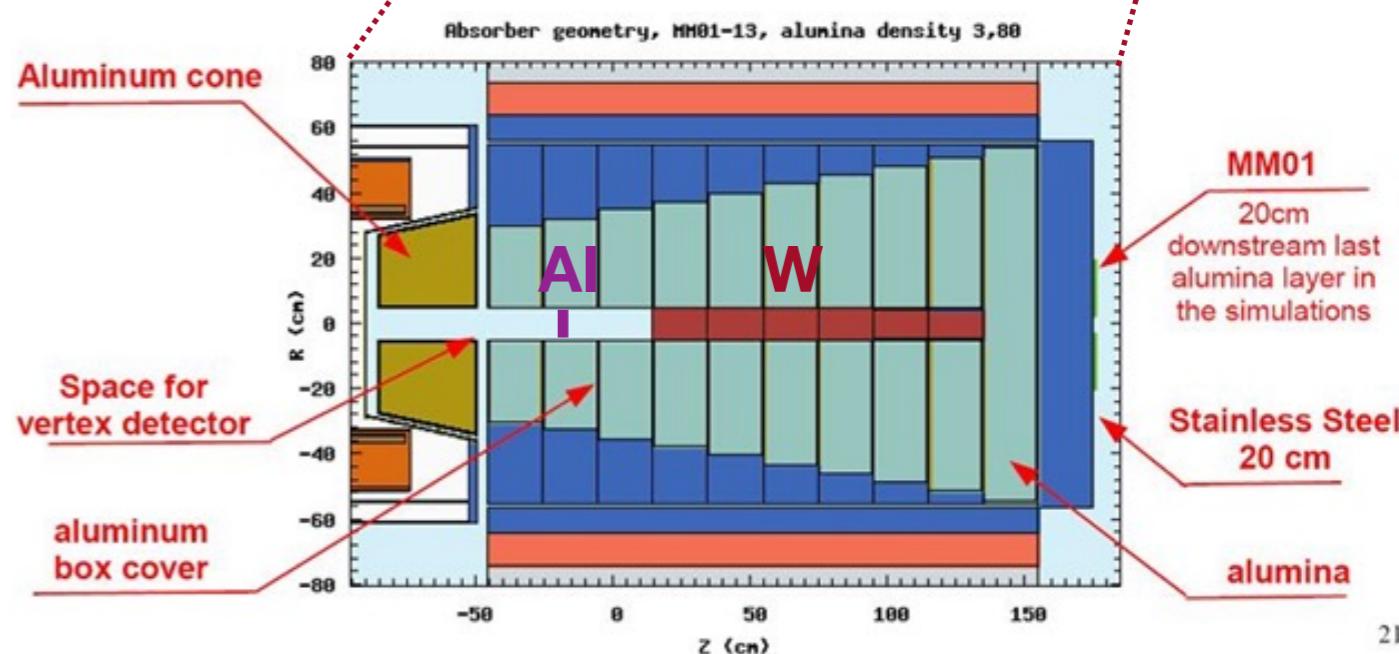
# Polarized NH<sub>3</sub> Target



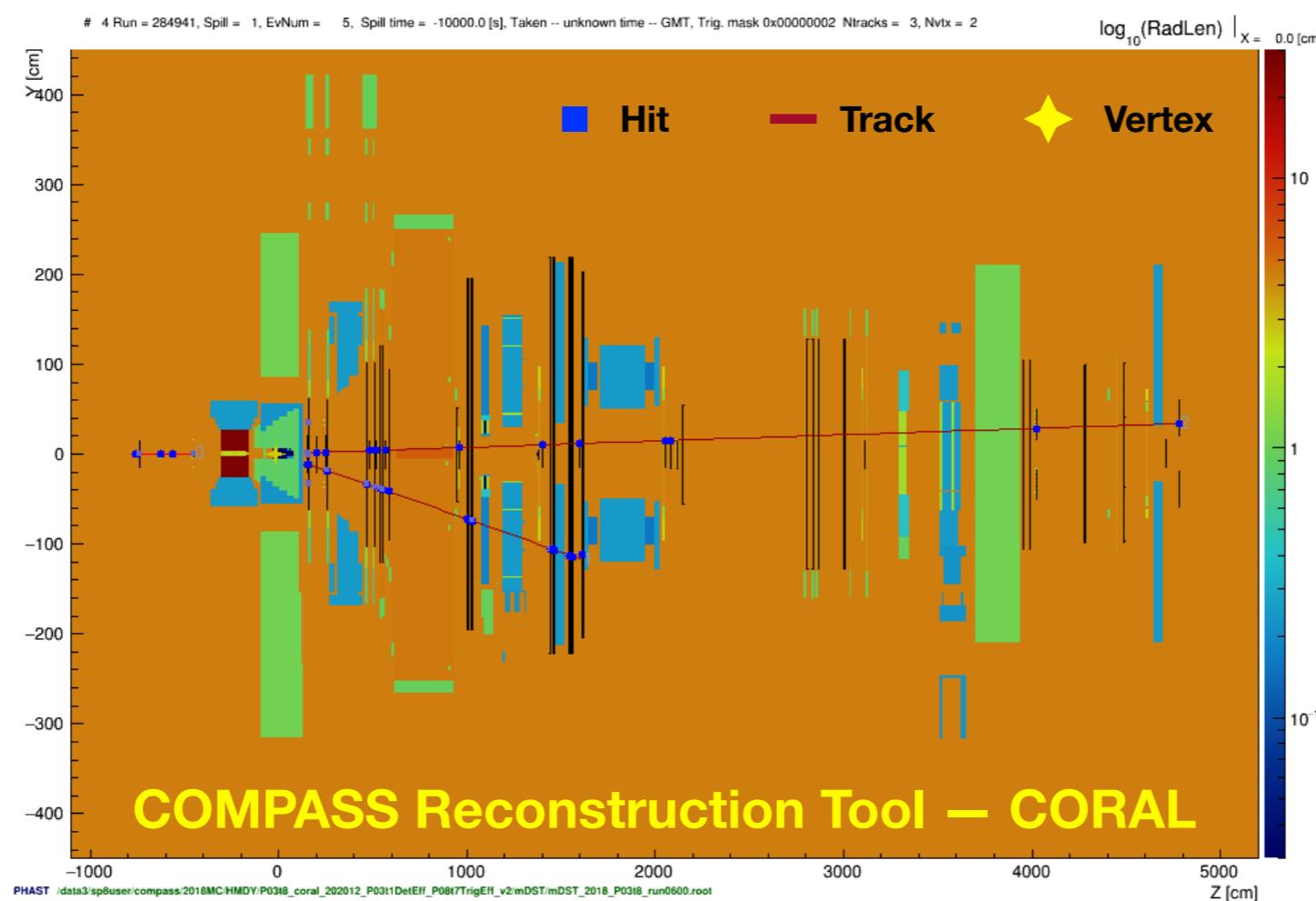
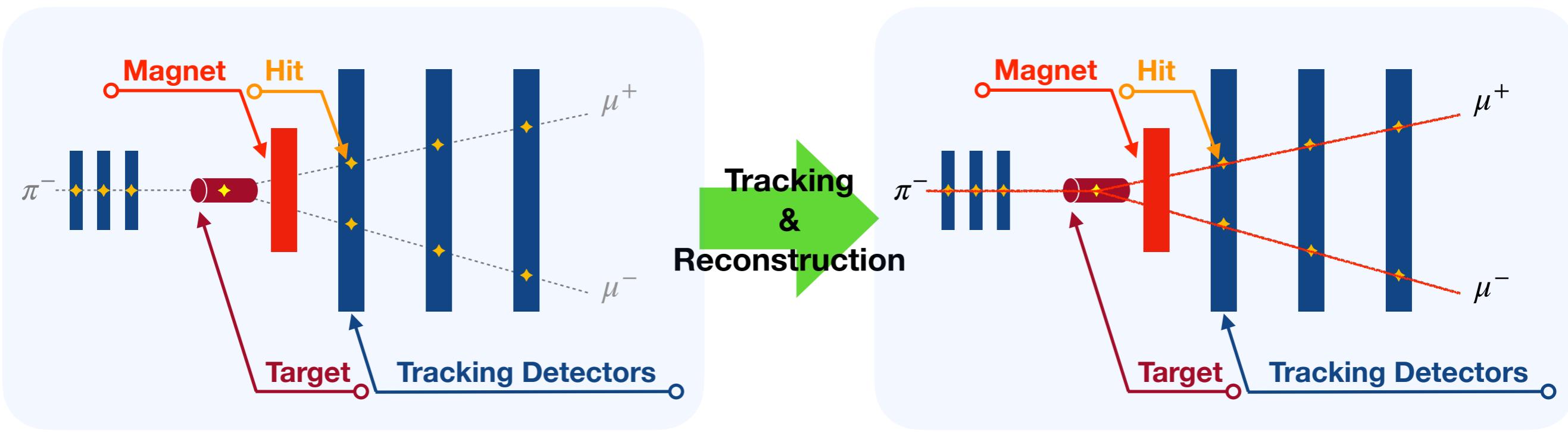
# Hadron Absorber



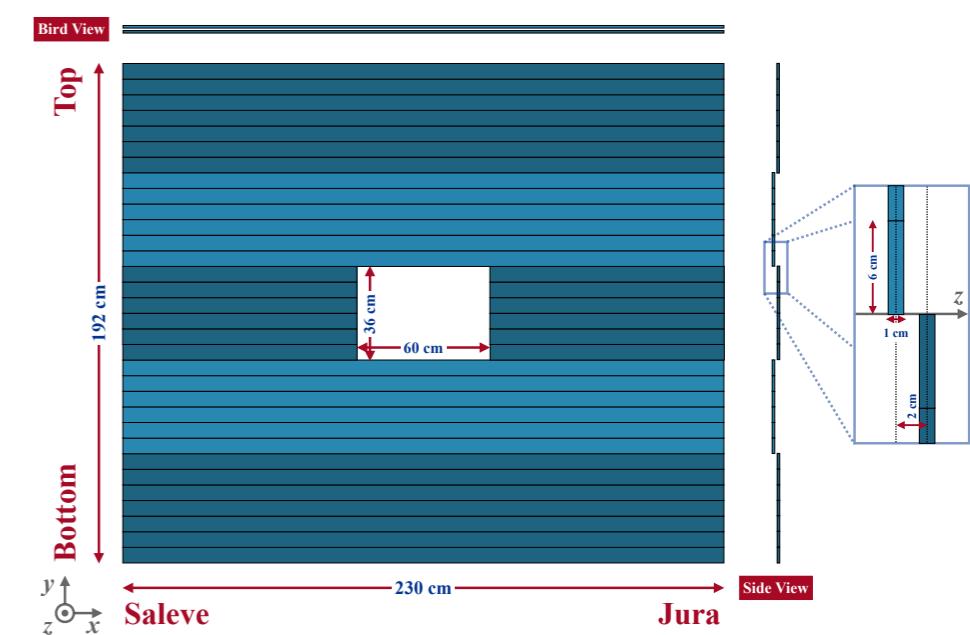
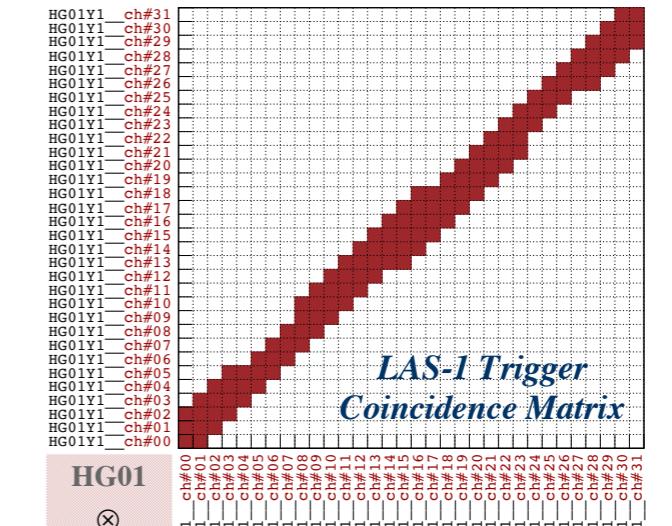
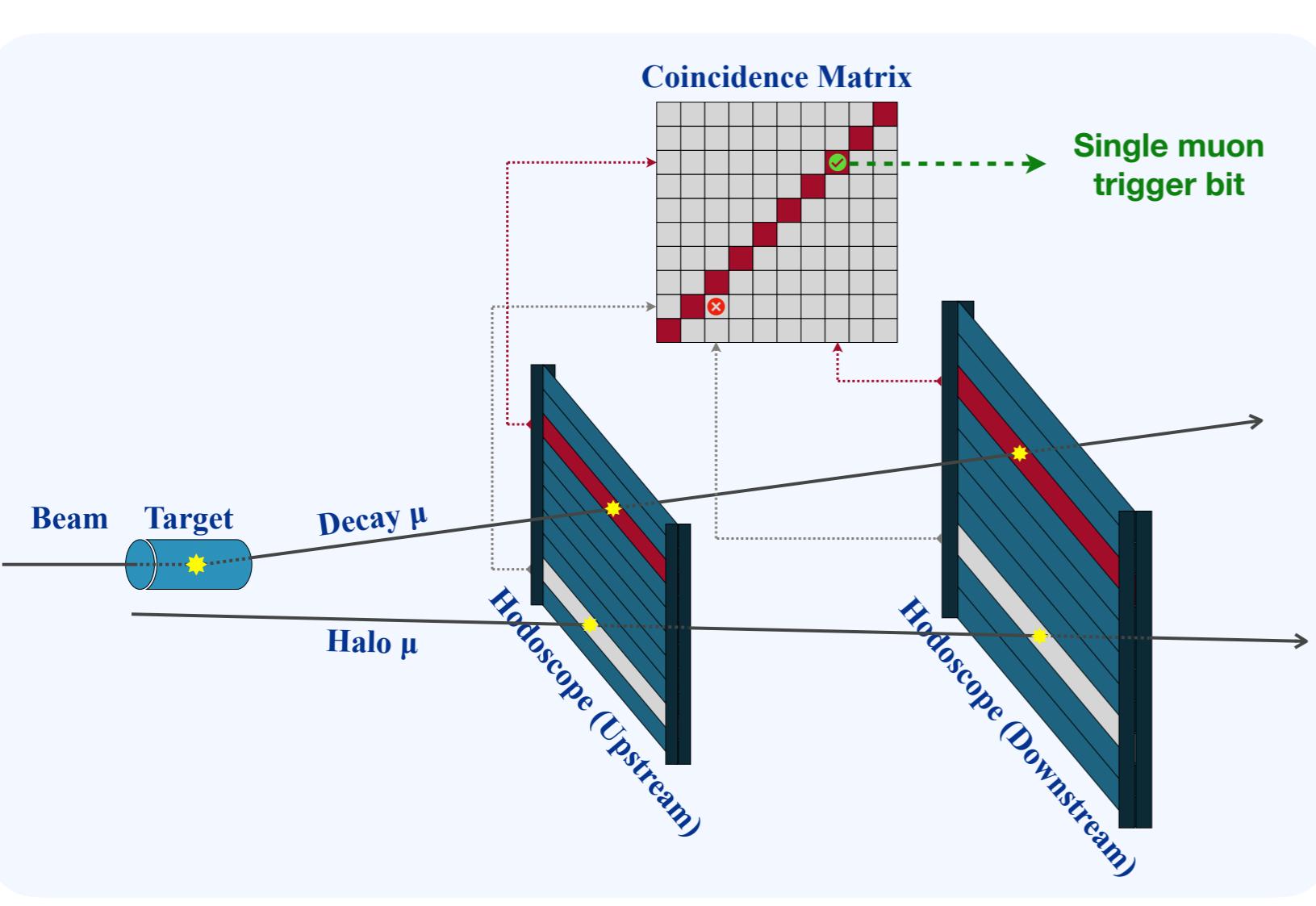
- The total length of the hadron absorber is 240 cm
- It incorporates 120 cm W beam plug and 7 cm Al target.



# Tracking and Reconstruction

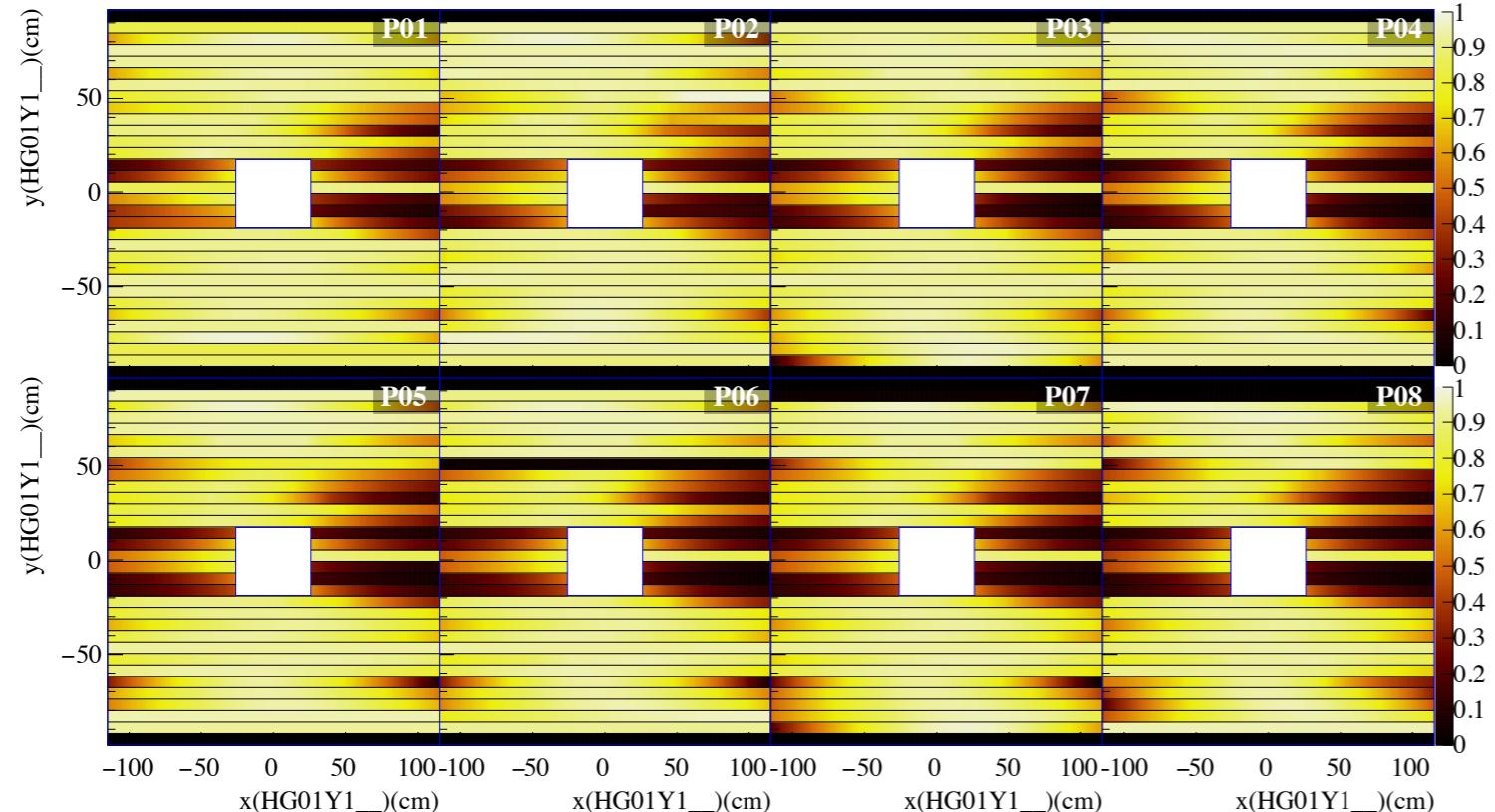


# Trigger System

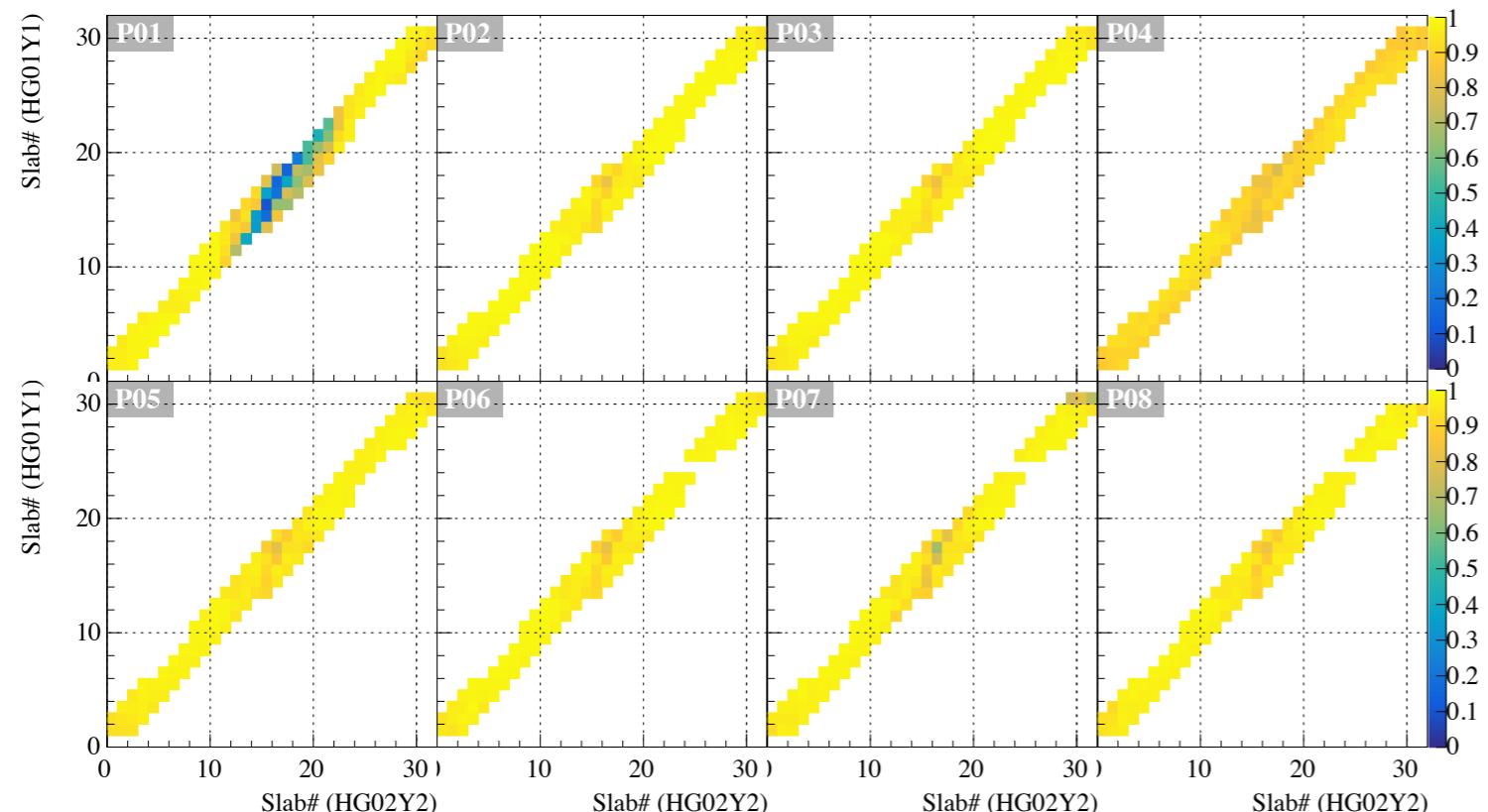


- The combination of two hodoscopes with one coincidence matrix constrain the muon to be produced from the target.
- **Large-Angle Spectrometer region (LAS):** 1 upstream plane + 2 downstream planes.
- **Outer trigger (OT):** 1 upstream plane + 2 downstream planes.

# Trigger Efficiencies in Period Dependence



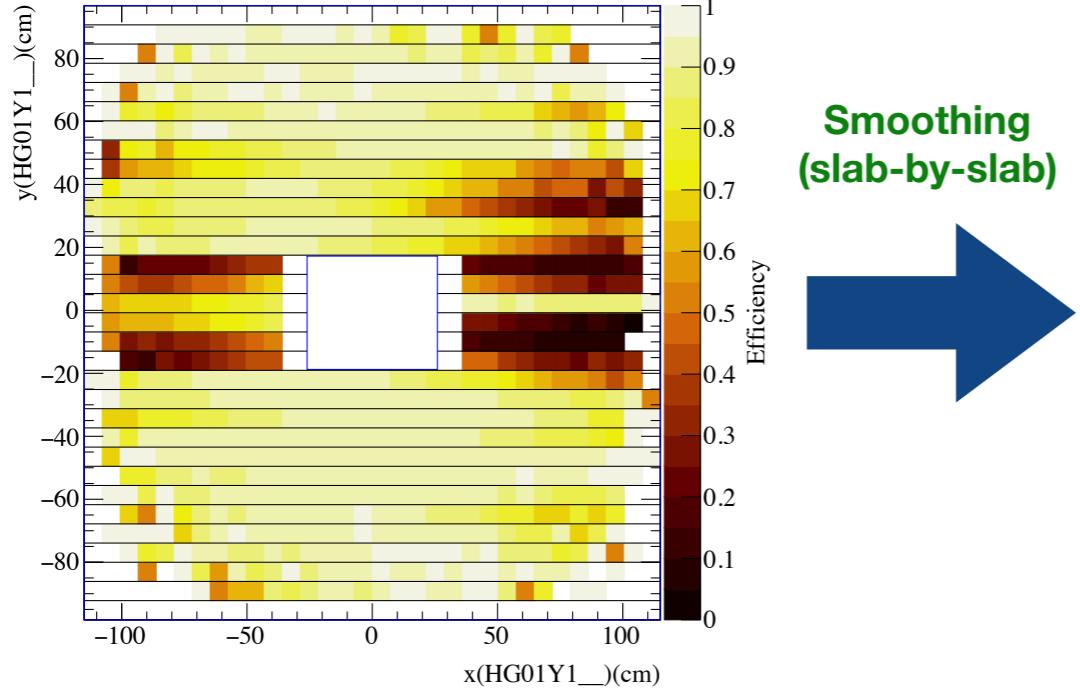
Simple, but time consuming...



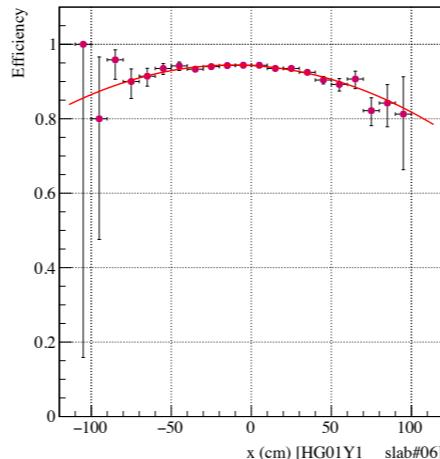
- Period-by-period of hodoscopes efficiencies and trigger matrix efficiencies are successfully measured in COMPASS 2018 runs.
- The information is used in period-by-period MC simulation production.

# Smoothing Hodoscopes Efficiencies

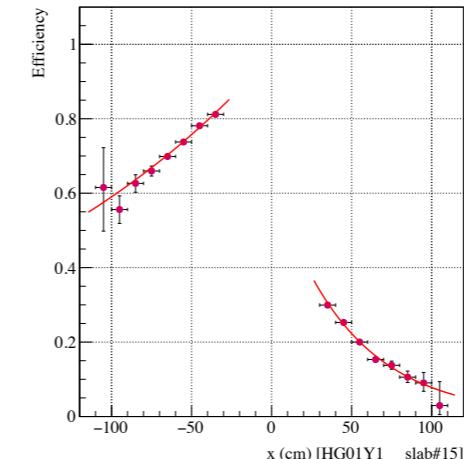
## Hodoscopes Efficiencies (Non-smooth)



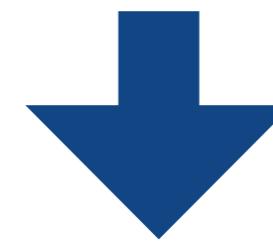
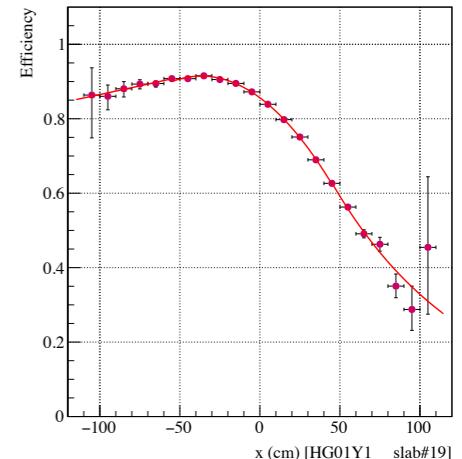
Case 1



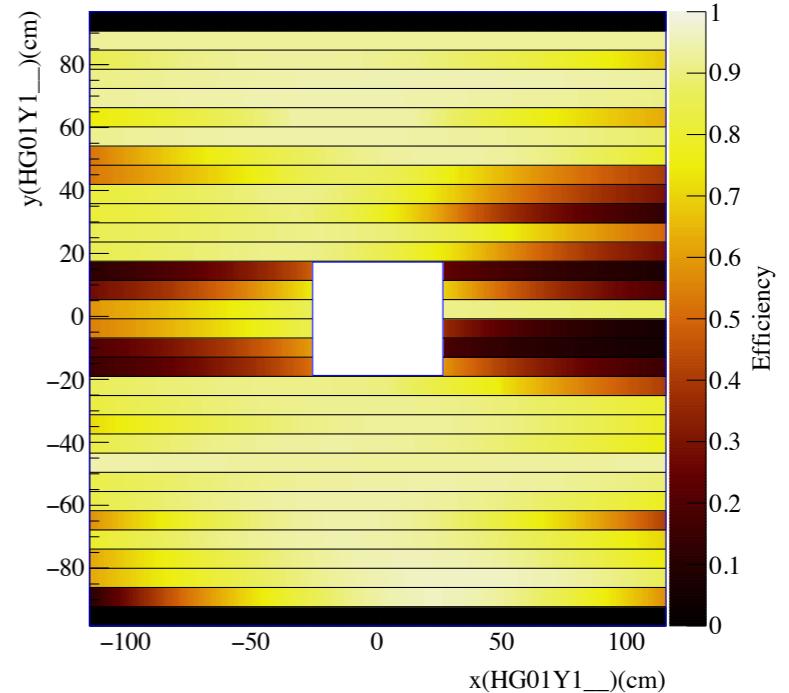
Case 2



Case 3

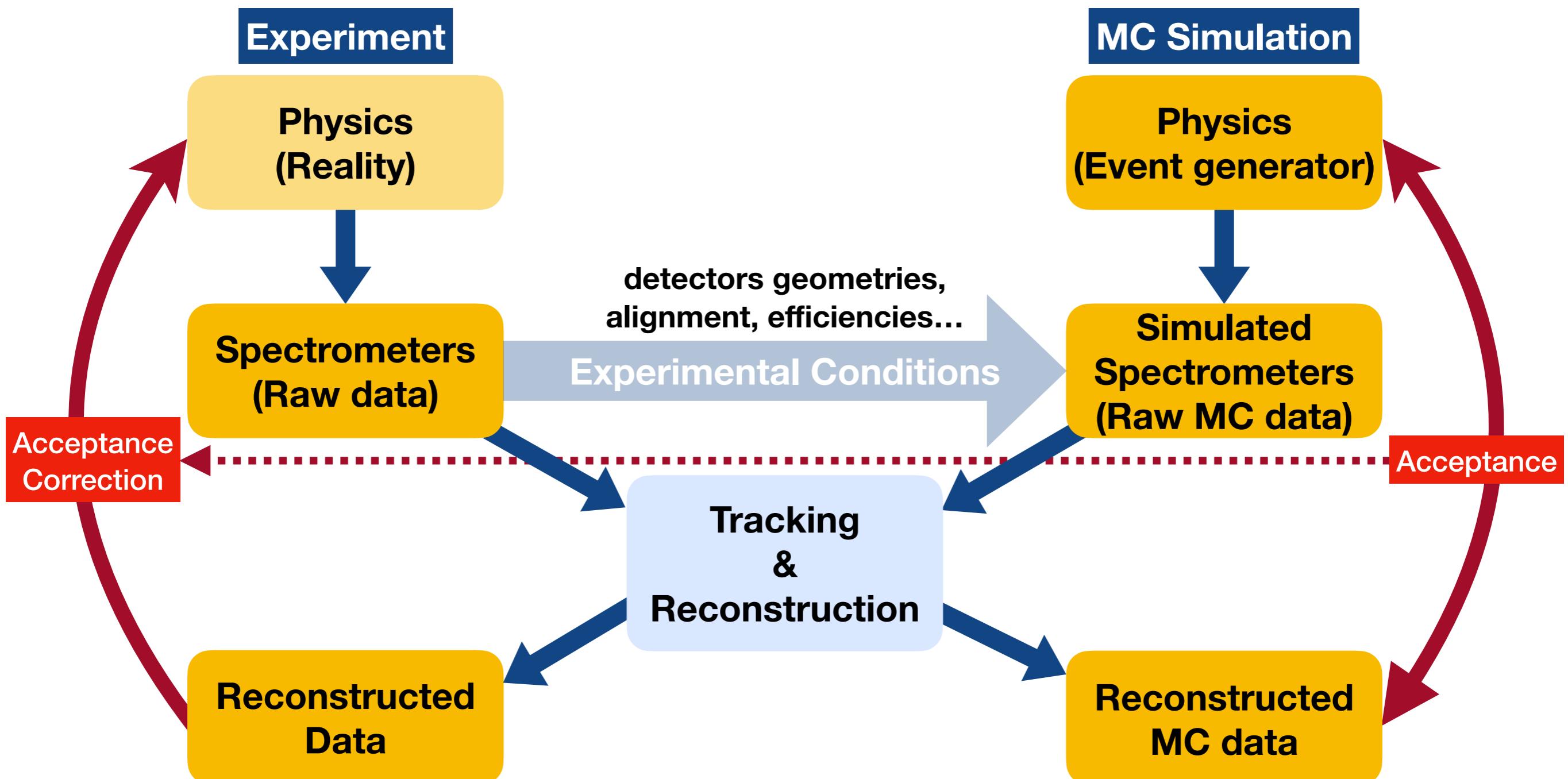


## Hodoscopes Efficiencies (Smooth)



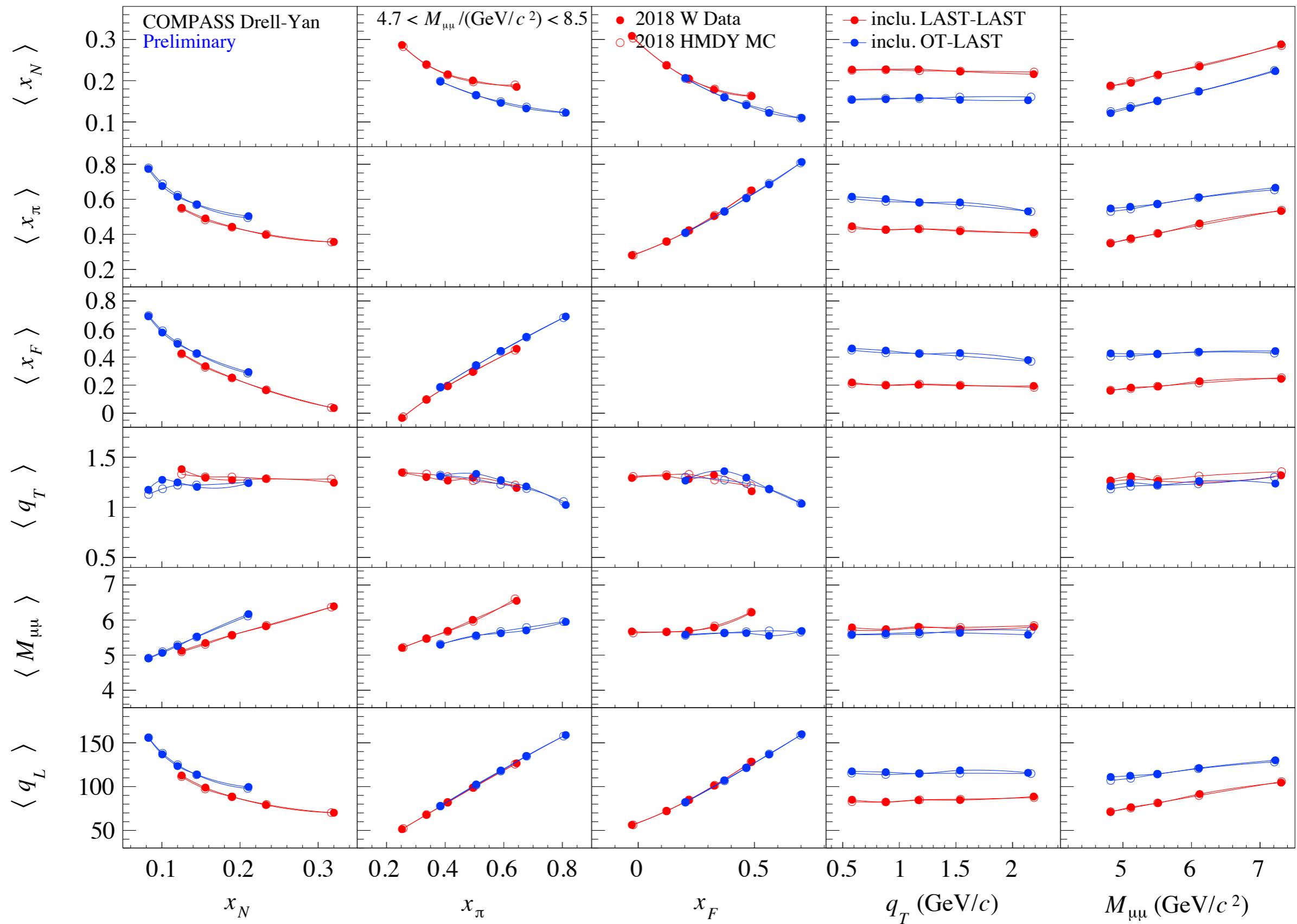
- Due to the lack of statistics, the extracted hodoscopes efficiencies are not smoothed which will cause **significant systematic effect** for the angular analysis.
  - Perform a **smoothing procedure** by fitting with functions slab-by-slab.

# Monte-Carlo Simulation (MC)

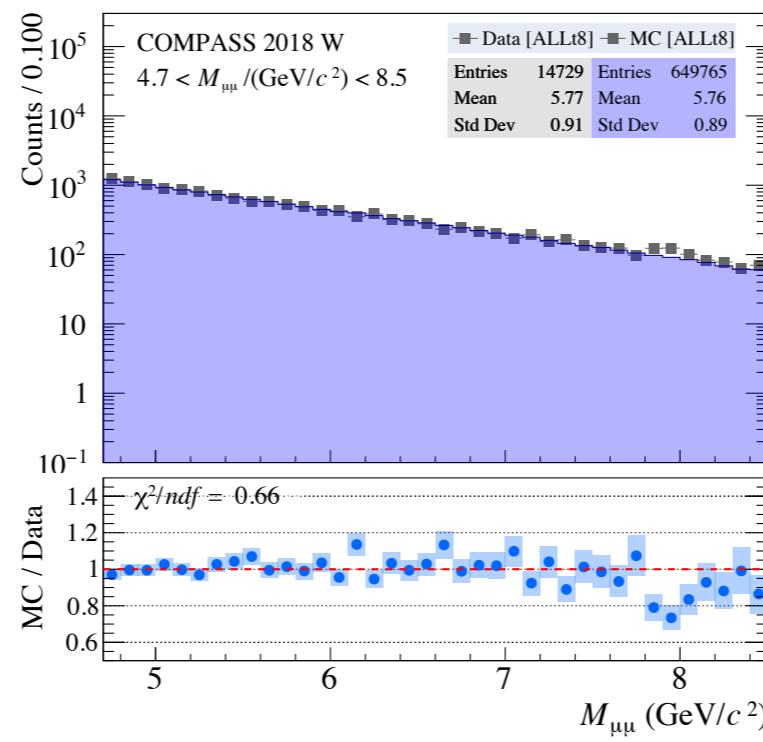
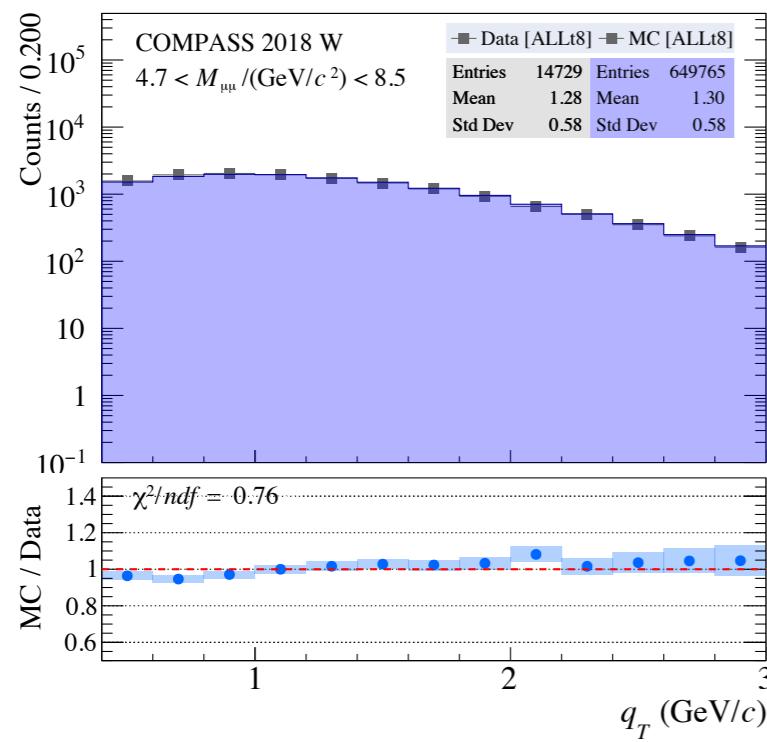
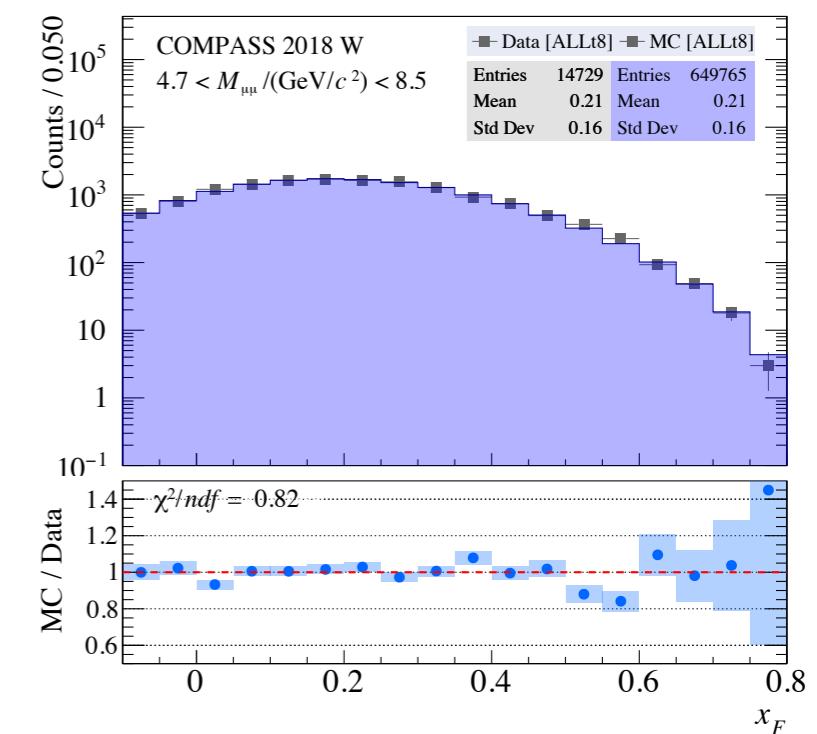
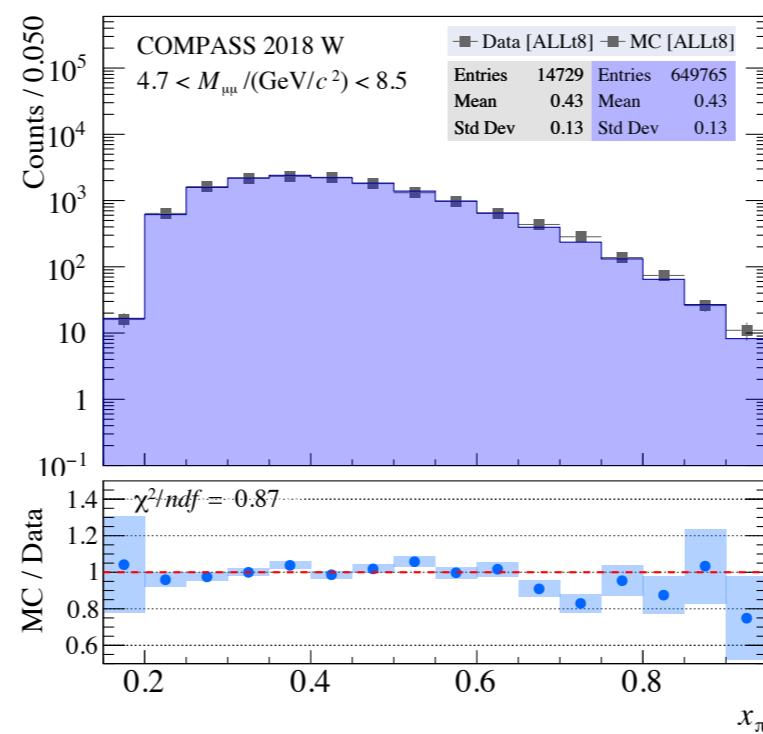
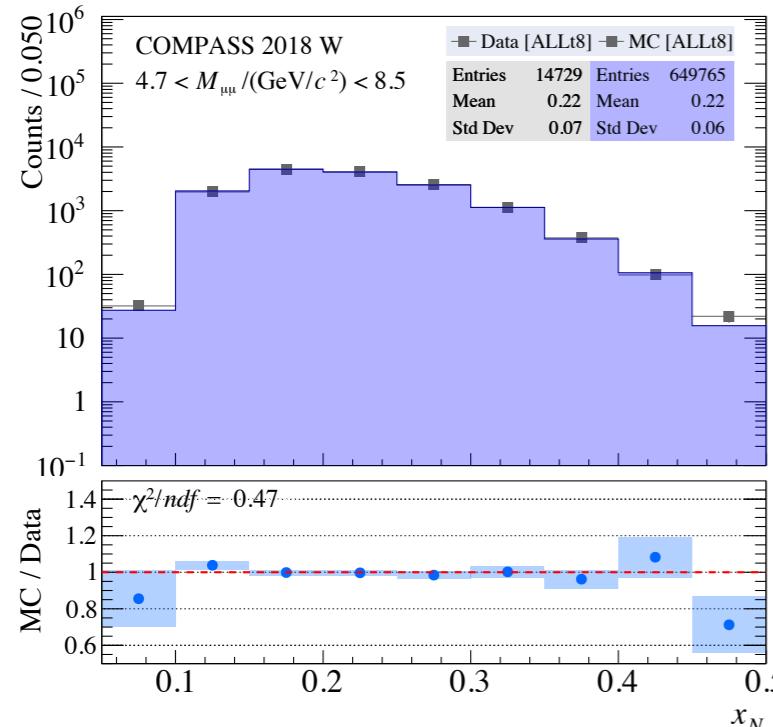


- In order to unfold the physics result, one should do the acceptance correction on reconstructed data where the acceptance is estimated from MC simulation.

# How to Verify MC Simulation?

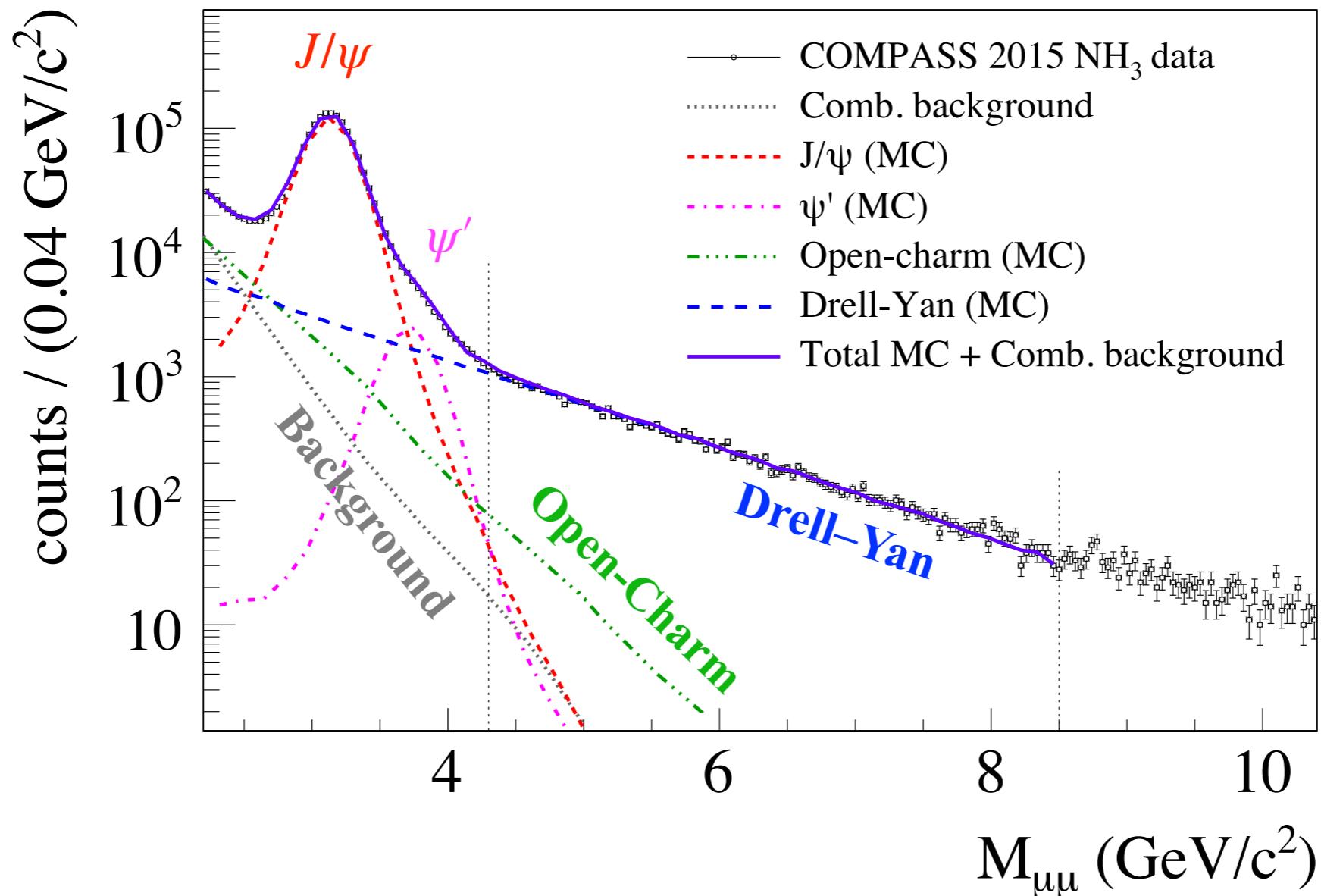


# How to Verify MC Simulation?



- Target: **W**
- Trigger: **LAST-LAST**
- Good Data/MC agreement in the dimuon kinematics distributions, within 20% deviation.

# Data Analysis – Background Estimation

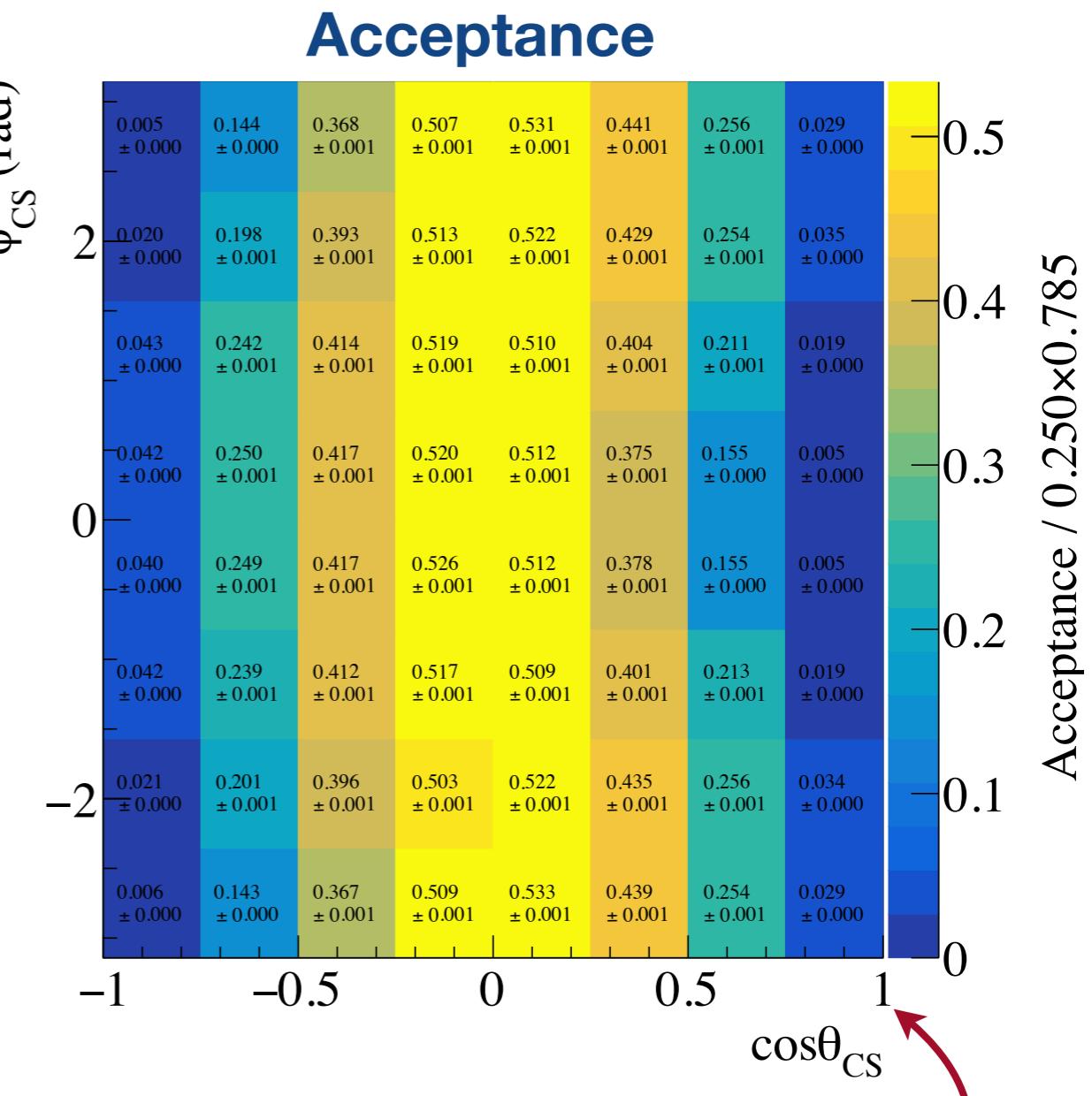


- Dimuons produced via **DY process** are mixed with muon pairs from **open-charm**,  **$J/\psi$** ,  **$\psi'$**  channels and **combinatorial background**.
- 96% purity of DY** in the selected mass region is concluded based on MC studies.

# How to Extract Angular Coefficients

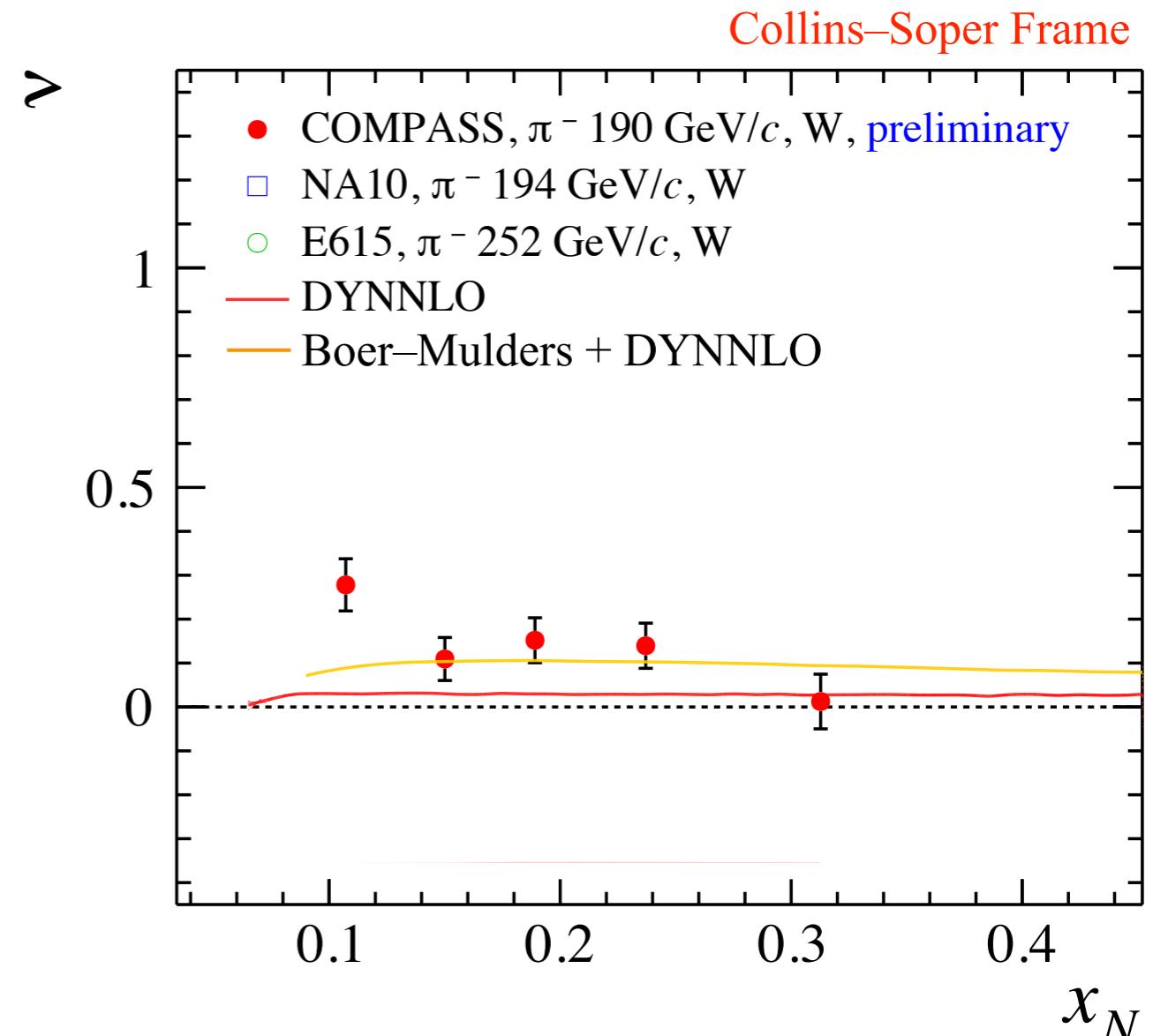
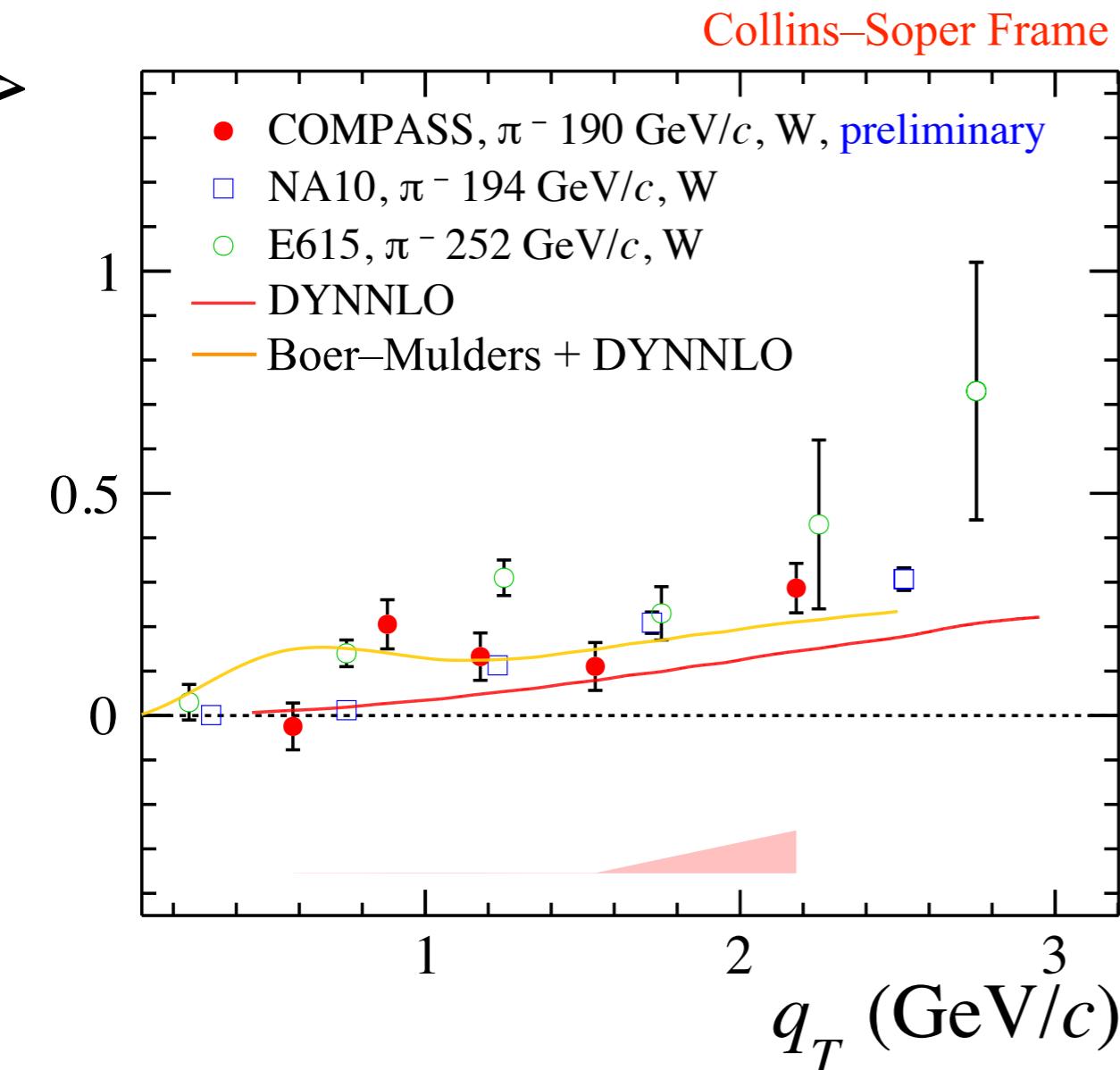
## • Histogram Binned Likelihood fit (HBL):

- ▶ Data filled into 2D histogram.
- ▶ Acceptance correction  $A(\cos \theta, \varphi)$  enter as a **scale factor** bin-by bin without uncertainties.
- ▶ Likelihood Fit (assuming a Poisson probability density function for each bin)
- ▶ Fitting function  $f(\cos \theta, \varphi)$  include four free parameters,  $N_0, \lambda, \mu, \nu$  :



$$f(\cos \theta, \varphi) = N_0 \left( 1 + \lambda \cos^2 \theta + \mu \sin 2\theta \cos \varphi + \frac{\nu}{2} \sin^2 \theta \cos 2\varphi \right) \otimes A(\cos \theta, \varphi)$$

# $\nu$ Asymmetry



- The COMPASS preliminary results of DY  $\nu$  asymmetry from W target is in favor of including both the perturbative QCD effect and also the non-perturbative Boer–Mulders contributions.
- The DY  $\nu$  asymmetry as function of  $x_N$  is also measured by the COMPASS result which is not available in the past pion-induced DY experiments.

# Summary

- Due to QCD, there are rich multi-dimensional partonic structure of hadrons.
- In COMPASS experiment, with pion beam, polarized target, hadron absorber, trigger system and MC simulation, we are able to explore the structure of proton.
- The phenomenon of transverse motion of partons was explored via Drell-Yan process by COMPASS experiment. The preliminary results of Drell-Yan angular distributions indicate the presence of TMD Boer-Mulders function.

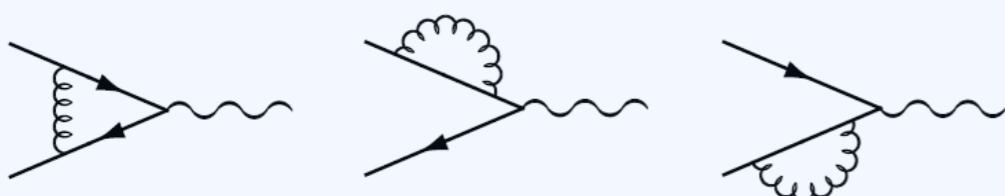
**Thank you for your attention!**

# Back Up

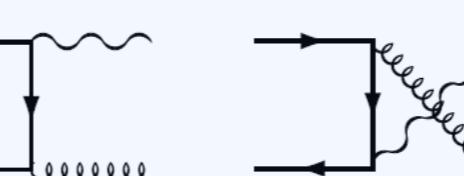
# Angular Coefficients

$$\frac{d\sigma}{d\Omega} \propto \frac{3}{4\pi} \frac{1}{\lambda + 3} \left[ 1 + \lambda \cos^2 \theta_{CS} + \mu \sin 2\theta_{CS} \cos \varphi_{CS} + \frac{\nu}{2} \sin^2 \theta_{CS} \cos 2\varphi_{CS} \right]$$

- The angular coefficients  $\lambda, \mu, \nu$  are often referred to as **Unpolarized Asymmetries (UAs)**.
- [LO] In the naïve DY model, virtual photon is produced by the electromagnetic quark-antiquark annihilation. ( $\lambda = 1, \mu = 0, \nu = 0$ , because of  $\vec{s}_{q,\bar{q}} = \frac{1}{2}$ )
- [NLO] The **Lam–Tung relation** ( $1 - \lambda = 2\nu$ ) [PRD 18(1978) 2447], valid in  $\text{NLO}(\alpha_s)$  QCD corrections  
⇒ **non-zero  $\cos 2\varphi$  dependence**.



leading-order( $\alpha_s$ ) annihilation diagram

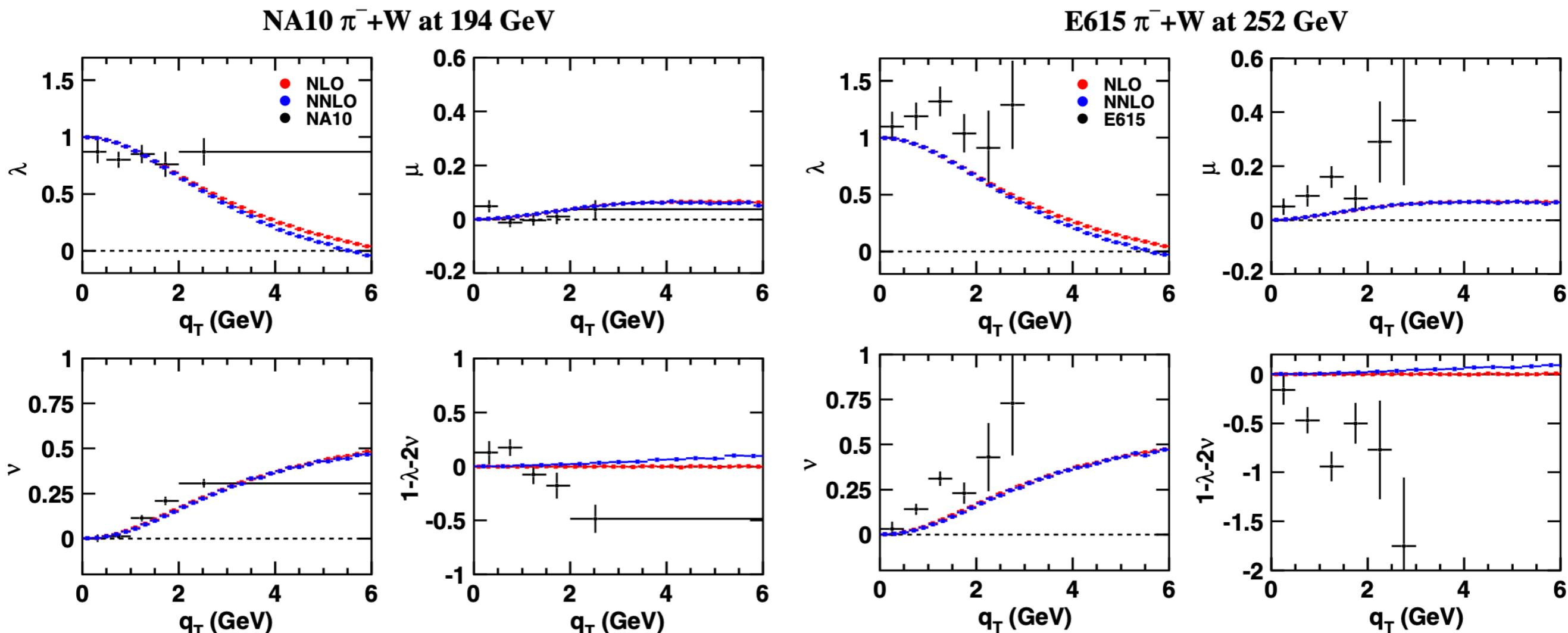


leading-order( $\alpha_s$ )  
Compton diagram

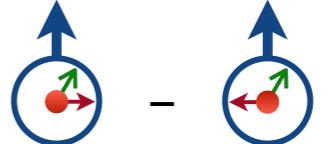
# Angular Distribution in Pion-induced Drell-Yan

NA10: ZPC 31, 513(1986); E615: PRD 39, 92(1989); W-C. Chang, et.al, PRD 99, 014032 (2019)

- The Lam–Tung relation was found to be **violated** in past **pion-induced** DY experiments.
- Significant discrepancy between **pQCD** calculations and experimentally measured  $\nu$  as a function of dimuon transverse momentum  $q_T$ .

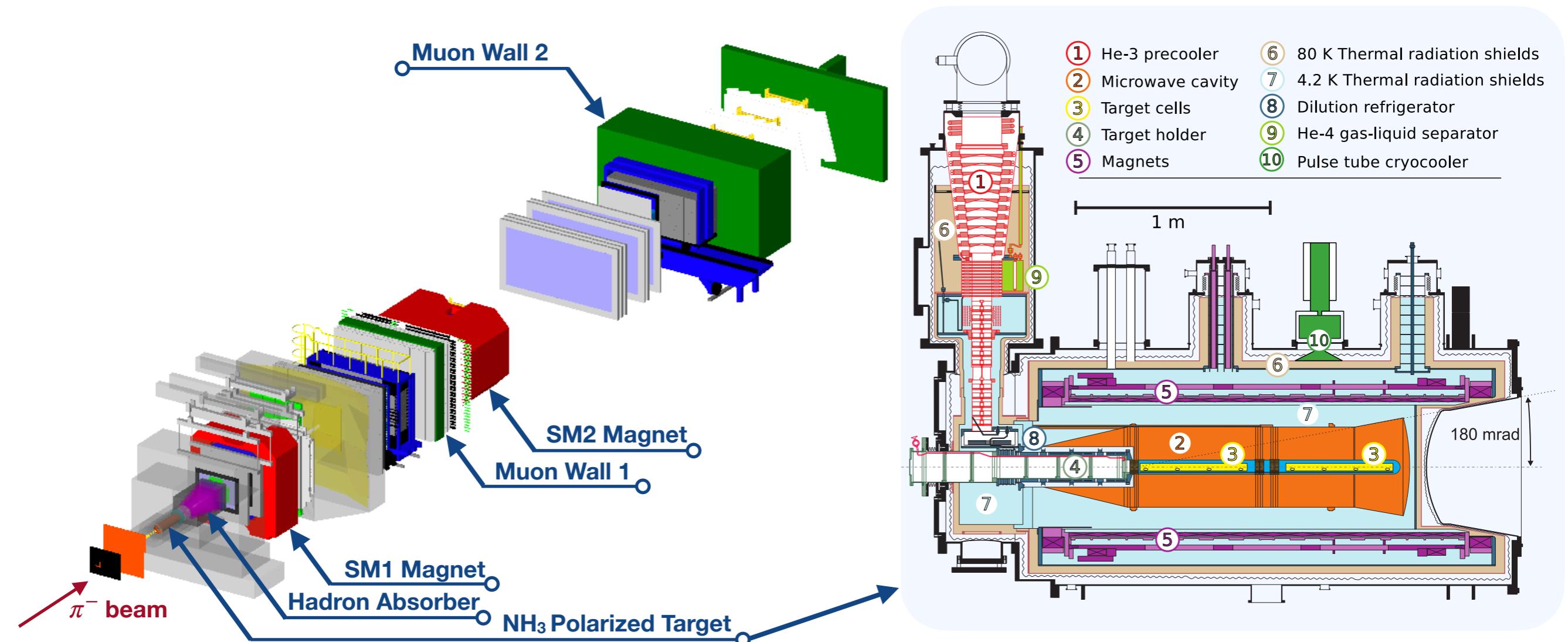


# Transverse-Momentum Dependent PDFs

		Nucleon Polarization		
		Unpolarized (U)	Longitudinally polarized (L)	Transversely polarized (T)
Quark Polarization	U	 $f_1^q(x, k_T)$ <b>Number Density</b>		 $f_{1T}^{q\perp}(x, k_T)$ <b>Sivers</b>
	L		 $g_1^q(x, k_T)$ <b>Helicity</b>	 $g_{1T}^{q\perp}(x, k_T)$ <b>Worm-Gear T</b>
	T	 $h_1^{q\perp}(x, k_T)$ <b>Boer-Mulders</b>	 $h_{1L}^{q\perp}(x, k_T)$ <b>Worm-Gear L</b>	 $h_{1T}^q(x, k_T)$ <b>Transversity</b>
				 $h_{1T}^{q\perp}(x, k_T)$ <b>Pretzelosity</b>

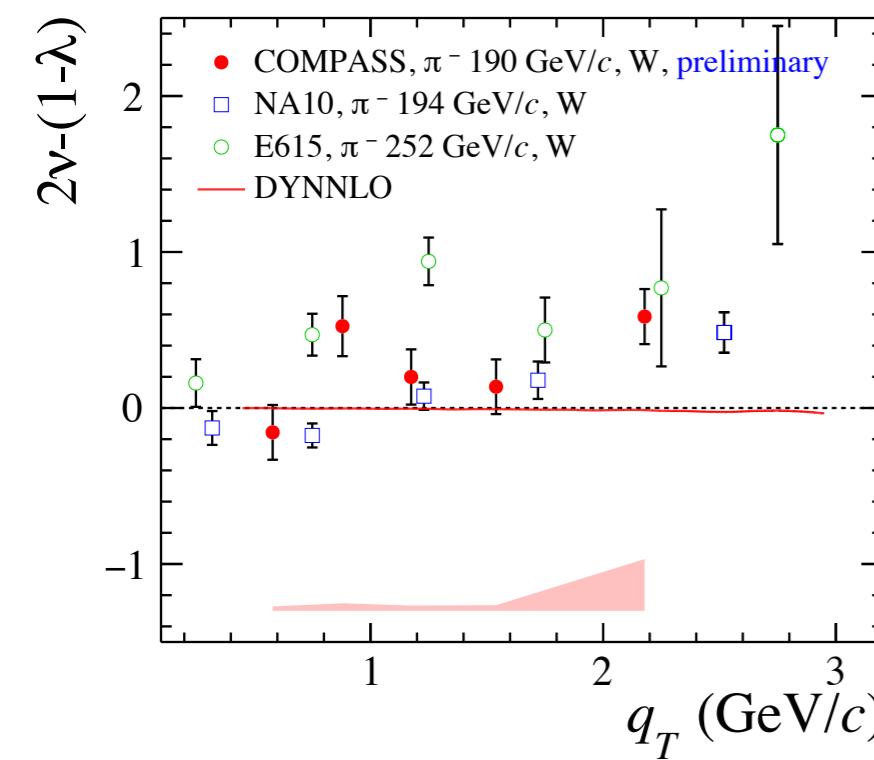
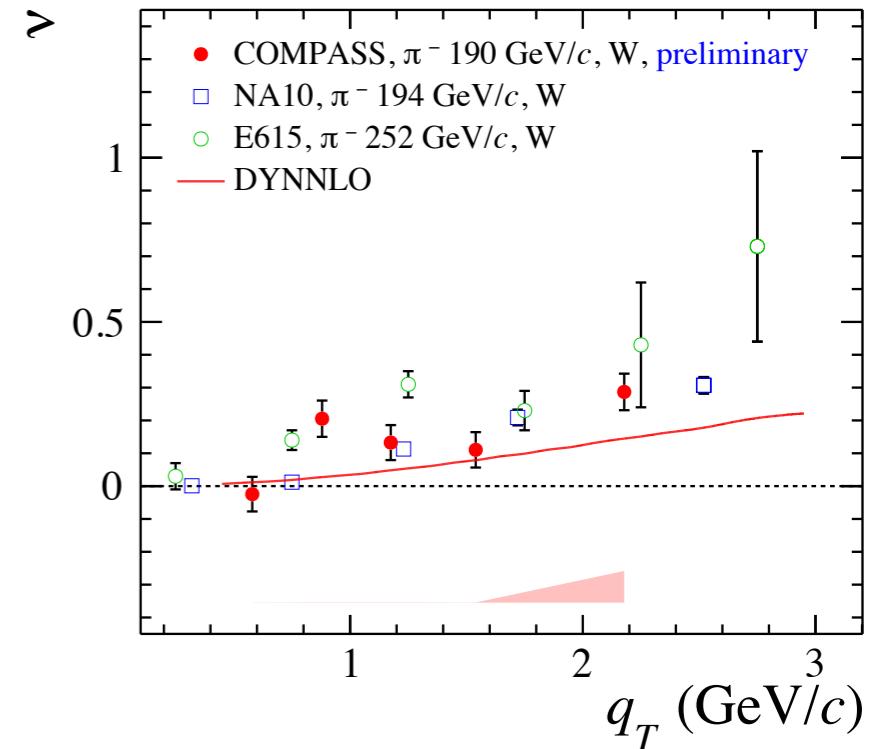
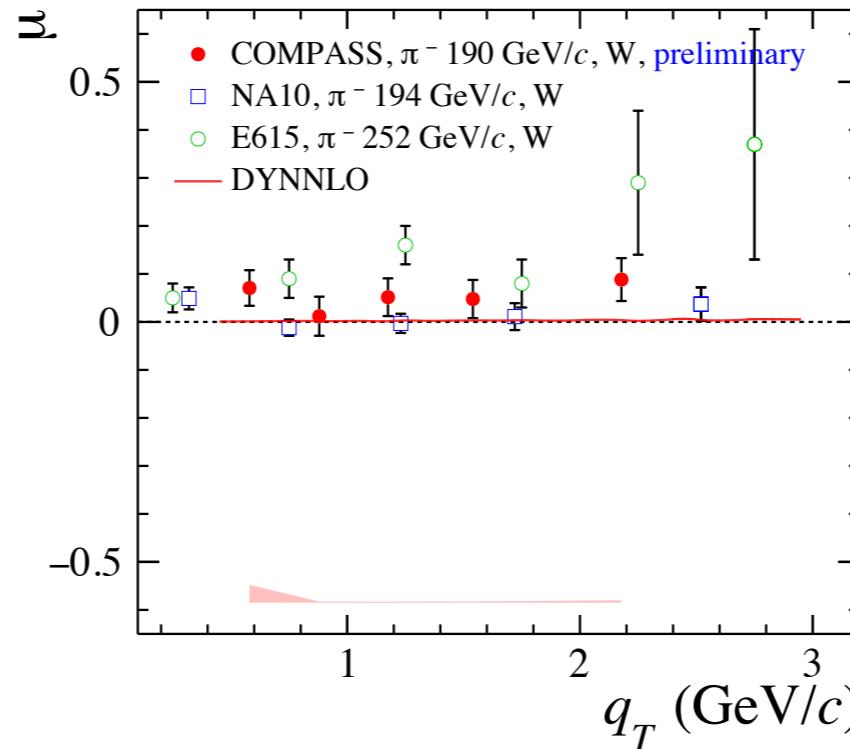
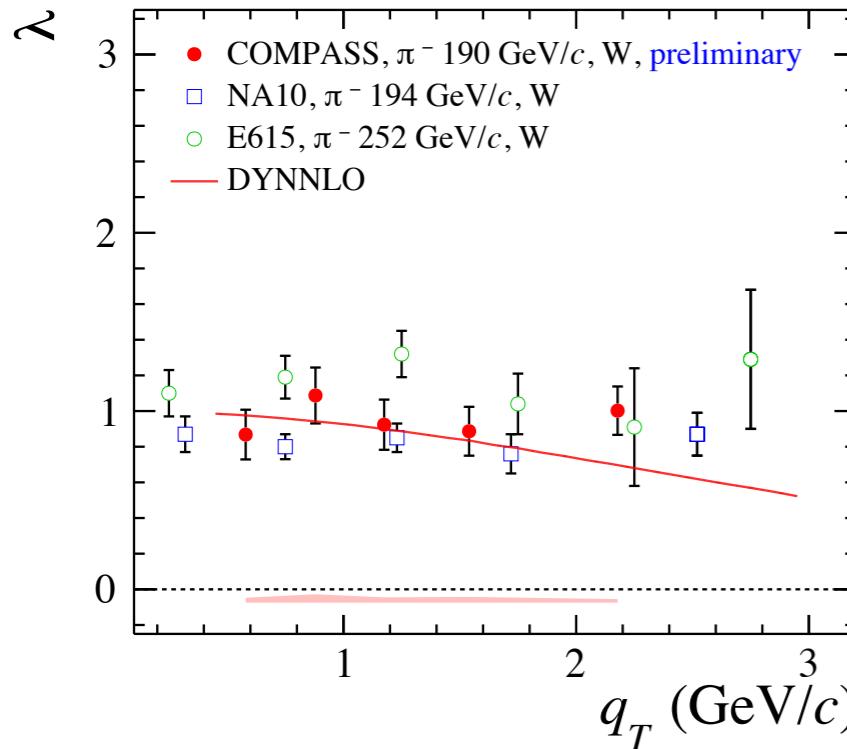
- The TMD PDFs are sorted according to the nucleon polarization and individual polarization of partons.

# Drell-Yan Program in COMPASS



- First ever polarized DY measurements were performed by COMPASS in 2015 and 2018.
- $\pi^-$  beam at **190 GeV/c** with average beam intensity  **$7 \times 10^7$  s<sup>-1</sup>** from **CERN SPS M2 beam line**.
- Transversely polarized NH<sub>3</sub> target cells (55+55 cm) + Al target (7 cm) + W beam plug (120 cm)

# Compare with Old Experiments



## Collins–Soper Frame

Experiment	COMPASS Interaction Beam Energy	COMPASS $\pi^- + \text{NH}_3$ 190 GeV/c	COMPASS $\pi^- + W$ 190 GeV/c	NA10 $\pi^- + W$ 194 GeV/c	E615 $\pi^- + W$ 252 GeV/c
$\langle \lambda \rangle$	$0.89 \pm 0.06$	$0.89 \pm 0.06$	$0.89 \pm 0.06$	$0.83 \pm 0.04$	$1.17 \pm 0.06$
$\langle \mu \rangle$	$-0.03 \pm 0.02$	$-0.06 \pm 0.02$	$-0.06 \pm 0.02$	$0.008 \pm 0.010$	$0.09 \pm 0.02$
$\langle \nu \rangle$	$0.24 \pm 0.02$	$0.14 \pm 0.02$	$0.14 \pm 0.02$	$0.091 \pm 0.009$	$0.169 \pm 0.019$
$\langle 2\nu - (1 - \lambda) \rangle$	$0.39 \pm 0.07$	$0.21 \pm 0.08$	$0.21 \pm 0.08$	$0.01 \pm 0.04$	$0.51 \pm 0.07$
$x_1$ range	$0.2 \rightarrow 0.9$	$0.2 \rightarrow 0.9$	$0.2 \rightarrow 0.9$	$0.2 \rightarrow 1.0$	$0.2 \rightarrow 1.0$
$x_2$ range	$0.05 \rightarrow 0.5$	$0.05 \rightarrow 0.5$	$0.05 \rightarrow 0.5$	$0.1 \rightarrow 0.4$	$0.04 \rightarrow 0.38$

# Estimation of Systematic Uncertainties

## Included in the final systematic uncertainties

- Compatibility of the results obtained from different periods.
- Compatibility of the results obtained from different target cells.
- Compatibility of the results obtained from different trigger priority.

- Systematic of different  $q_T$  cut. **No systematic effect observed**
- Systematic of different  $x_F$  cut.
- Systematic of different  $\theta_{\mu^-}$  cut.
- Systematic of different bin size of two-dimensional angular histogram.
- Systematic of different dead zone cut.
- Impact of different MC-generator settings.