Establishment and Dysfunction of the Blood-Brain Barrier

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Structural and functional brain connectivity, synaptic activity, and information processing require highly coordinated signal transduction between different cell types within the neurovascular unit and intact blood-brain barrier (BBB) functions. Here, we examine the mechanisms regulating the formation and maintenance of the BBB and functions of BBB-associated cell types. Furthermore, we discuss the growing evidence associating BBB breakdown with the pathogenesis of inherited monogenic neurological disorders and complex multifactorial diseases, including Alzheimer's disease.

Introduction

Blood vessels in the brain are organized with surprising precision, supporting the major brain circuits tasked with sensation, memory, and motion (Andreone et al., 2015; Blinder et al., 2013; Zlokovic, 2011). Proper structural and functional brain connectivity, synaptic activity, and information processing all require precise regulation of cerebral blood flow (CBF), oxygen delivery, and energy metabolite supply (Attwell et al., 2010; ladecola, 2013). These key central nervous system (CNS) functions are maintained by the highly coordinated activity of multiple cell types within the neurovascular unit (NVU), including vascular cells (endothelial cells, pericytes, smooth muscle cells), glia (astrocytes, oligodendroglia, microglia), and neurons (Figure 1) (Zlokovic, 2011).

Within the NVU, the endothelial cells form the blood-brain barrier (BBB) that limits entry of potentially neurotoxic plasma components, blood cells, and pathogens into the brain (Winkler et al., 2011). Importantly, these endothelial cells express multiple substrate-specific transport systems that control transport of nutrients, energy metabolites, and other essential molecules from blood into the brain and the transport of metabolic waste products from the brain's interstitial fluid (ISF) into the blood. The meningeal lymphatic vessels contain cerebrospinal fluid (CSF) and immune cells and drain into the deep cervical lymph nodes (Aspelund et al., 2015; Louveau et al., 2015). Thus, the BBB serves as a key homeostatic site of the nervous system, connecting CNS, systemic circulation, and major systems in the body such as respiratory, renal, hepatic, and immune systems.

In this Perspective, first we examine the cellular and molecular mechanisms regulating the formation and maintenance of the BBB. We then look into how major NVU cell types contribute to BBB functions and how molecular alterations and aberrant signal transduction within the NVU leads to BBB breakdown that is associated with secondary neuronal injury and neurodegeneration. In particular, we discuss the role of NVU and BBB breakdown in the etiology and pathogenesis of inherited mono-

genic neurological disorders and complex neurodegenerative disorders such as Alzheimer's disease (AD). Finally, we discuss key questions in the field for future investigation.

BBB Development

Different stages of BBB formation are illustrated in Figure 2A. The neural microenvironment provides initial cues for CNS angiogenesis and induction of the BBB properties (Obermeier et al., 2013). At embryonic day E10 in mice, the angioblasts of the perineural vascular plexus penetrate the neuroectoderm guided by neuroectoderm-secreted vascular endothelial growth factor (VEGF), which results in formation of the nascent "leaky" blood vessels (Potente et al., 2011). Wnt ligands secreted by neural cells elicit canonical Wnt signaling in the endothelium by binding to the Frizzled receptors (Wang et al., 2012b) and the co-receptors low-density lipoprotein receptor-related protein (LRP) 5 and 6, which in turn activates β-catenin-dependent pathways (Daneman et al., 2009; Liebner et al., 2008; Zhou et al., 2014) (Figure 2B). Activation of Wnt/β-catenin signaling leads to induction of genes critical for the BBB formation, such as glucose transporter Glut1 (Stenman et al., 2008) and death receptors DR6 and TROY (Tam et al., 2012). In addition, an orphan G-protein-coupled receptor, Gpr124, acts as a specific co-activator of Wnt/β-catenin signaling at the BBB (Kuhnert et al., 2010; Zhou and Nathans, 2014).

The primitive BBB is formed at embryonic day E15 in mice (Ben-Zvi et al., 2014; Daneman et al., 2010), but the exact timing is species dependent and varies regionally. It is debatable, whether humans and/or other mammals are born with a fully functional BBB (Saunders et al., 2013). Recruitment of pericytes to the developing endothelial capillary wall is critical for the formation and maintenance of the BBB (Armulik et al., 2010; Bell et al., 2010; Daneman et al., 2010). While some pathways have been implicated, it remains unclear exactly which signals are involved in the pericyte-mediated induction and regulation of the BBB (Figure 2B). Astrocytes recruited at a later stage further



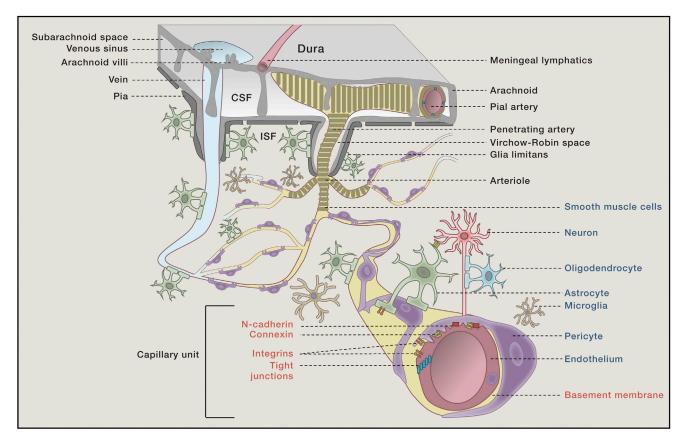


Figure 1. Neurovascular Unit

Vessels in the subarachnoid space. The subarachnoid space contains cerebrospinal fluid (CSF) that drains via arachnoid villi into the venous sinuses. Cerebral arteries branch into smaller pial arteries. Cerebral veins empty into dural venous sinuses. Meningeal lymphatic vessels carry CSF and immune cells to deep cervical lymph nodes. Intracerebral vessels. Pial arteries give rise to the penetrating arteries that branch into arterioles all covered by vascular smooth muscle cells (blue). The penetrating arteries are separated from brain parenchyma by the glia limitans, an astrocytic endfeet layer that forms the outer wall of the Virchow-Robin spaces containing brain interstitial fluid (ISF). Arterioles branch off into capillaries, and the vessels enlarge as they become venules and veins. Brain capillary unit. Endothelial cells (red) connected by tight junctions form the blood-brain barrier. Pericytes (purple) and endothelium share a common basement membrane (vellow) and connect with each other with several transmembrane junctional proteins, including N-cadherin and connexins, Astrocytes (green) connect with pericytes, endothelial cells, and neurons (peach). Microglia (brown) regulate immune responses. Oligodendrocytes (aqua) support neurons with axonal myelin sheath. Integrins make connections between cellular and matrix components.

assist endothelium in acquiring BBB characteristics, barrier properties, and CNS immune quiescence (Alvarez et al., 2011) (Figure 2B).

The BBB functions continue to mature after birth, but the exact time window remains elusive and is likely species dependent (Hagan and Ben-Zvi, 2015; Saunders et al., 2013). At a mature stage, the mammalian BBB is stabilized by highly specialized perivascular structures (Figure 2C).

Cellular Components of the BBB Endothelial Cells and Cellular Junctions

A number of factors contribute to the physical barrier of BBB, including endothelial tight junction (TJ) and adherens junction (AJ) proteins, inhibition of non-selective fenestrae, pinocytosis, and bulk-flow transcytosis, as well as suppression of leukocyte adhesion molecules (Obermeier et al., 2013). Endothelium allows rapid free diffusion of oxygen from blood to brain and carbon dioxide from brain to blood, which is essential for normal brain metabolism and regulation of pH in the brain ISF, neurons, and other NVU cells. Small lipophilic molecules and drugs, with a molecular weight of <400 Da and form of <8 hydrogen bonds, can cross the BBB (Pardridge, 2015).

The major endothelial transport systems and cellular junction molecules are briefly discussed below and are described in detail elsewhere (Daneman and Prat, 2015; Hagan and Ben-Zvi, 2015; Tietz and Engelhardt, 2015; Zlokovic, 2008, 2011). A preliminary molecular atlas of the BBB based on manual collection of available data on protein and RNA expression, as well as physiological measurements from different published investigations, is provided in Table 1. It is noteworthy that some of the listed components are not confirmed in recent RNAseg data (see, e.g., http://web.stanford.edu/group/barres_lab/ brain_rnaseq.html). Since expression may be species, strain, disease, or context dependent, we provide Table 1 as an all-inclusive data source and encourage the readers to explore and critically examine the specifics in existing literature.

Active Efflux. Multiple ATP-binding cassette (ABC) proteins are expressed on the luminal, blood-facing endothelial plasma

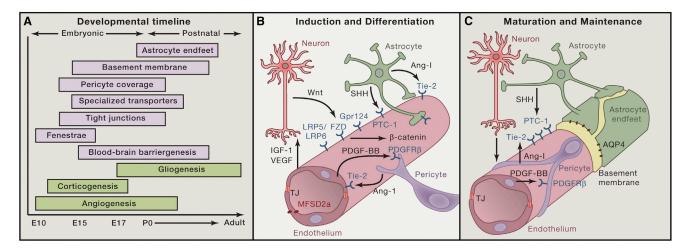


Figure 2. Blood-Brain Barrier Development in the Murine Central Nervous System

(A) Developmental timeline. Restriction of paracellular and transcellular transport of solutes is accomplished by elimination of endothelial fenestrae and pinocytosis, formation of a continuous endothelial monolayer connected with the tight junctions, creation of highly selective endothelial transport systems, and establishment of specialized perivascular structures, including the basement membrane and the coverage of the endothelial capillary wall by pericytes and astrocytic endfeet. E, embryonic days; P, postnatal days.

(B) Induction and differentiation. Wnt ligands (Wnt7a/7b) secreted by neural cells bind to endothelial Frizzled receptors (FZD) and the co-receptors low-density lipoprotein receptor-related protein (LRP) 5 and 6, which activate β-catenin signaling, leading to the induction of BBB specific genes. G protein coupled receptor 124 (Gpr124) co-activates Wnt/β-catenin signaling. Endothelial cells secrete platelet-derived growth factor BB (PDGF-BB), which interacts with platelet derived growth factor receptor-β (PDGFR-β) in pericytes, inducing pericyte recruitment. Pericytes and astrocytes secrete angiopoietin-1 (Ang-1) that acts on endothelial Tie-2 receptor leading to microvascular maturation and highly stable and impermeable BBB. Pericytes are required for the expression of endothelial major facilitator superfamily domain-containing protein 2a (MFSD2a) that is critical for the BBB formation and maintenance. Astrocytes secrete sonic hedgehog (SHH) that acts on endothelial patched homolog 1 (PTC-1) receptor eliciting signaling which contributes to the BBB formation. Endothelial cells secrete vascular growth factor (VEGF) and insulin growth factor (IGF-1) contributing to proper neurovascular patterning. Additional signal transduction pathways may also participate in BBB formation. TJ, tight junction.

(C) Maturation and maintenance. Postnatally, brain capillaries are covered by mature pericytes sharing the basement membrane with endothelium. Astrocytic endfeet form the outer layer of the mature capillaries. Pericytes and astrocytes continue secreting matrix proteins (yellow) of the basement membrane. Signaling pathways mediating BBB induction and differentiation likely continue to play a role in BBB maturation and maintenance and their dysregulation may lead to BBB breakdown causing different central nervous system pathologies. AQP4, aquaporin-4 water channel.

membrane of the BBB, which restricts the permeability of a large number of toxins, including therapeutic agents (Miller, 2015). ABC transporters are ATP-driven efflux pumps for xenobiotics and endogenous metabolites. Their high expression at the BBB contributes to CNS pharmacoresistance (Table 1). Decreased expression and/or functional activity of ABC BBB transporters were reported in patients with Alzheimer's disease (AD) and Parkinson's disease (PD) (Zlokovic, 2011) and were shown to lead to accumulation of amyloid β-peptide (Aβ) in the brain in an animal model of AD (Cirrito et al., 2005). The clinical potential of targeting ABC transporters for disease management and drug delivery improvement, however, remains elusive.

Carrier-Mediated Transport (CMT). CMT systems are expressed by genes within the solute carrier (SLC) transporter gene family, including >300 transporter genes encoding membrane-bound proteins that facilitate the transport of a wide array of substrates across biological membranes (Lin et al., 2015). At the BBB, the SLC proteins facilitate the trans-cellular transport of a variety of molecules, including carbohydrates, amino acids, monocarboxylic acids, hormones, fatty acids, nucleotides, organic anions, amines, choline, and vitamins (Table 1) (Daneman and Prat, 2015; Pardridge, 2015; Zlokovic, 2008). Human genetic studies have provided important insight into the roles of the more recently characterized SLC transporters in both rare and common diseases. As discussed below, genetic

alterations in brain endothelial SLC2A1 (Glut1) that transports glucose into the brain and maintains the BBB integrity (Winkler et al., 2015) and in SLC16A2 that transports T3 thyroid hormone into the brain have been implicated in the development of neurological disorders (Benarroch, 2014; Kersseboom et al., 2013). Screening for BBB-penetrating small molecules that can use the existing CMT systems at the BBB as surrogate ligands has been recently proposed as a new model for CNS discovery programs (Pardridge, 2015).

Receptor-Mediated Transport (RMT). Peptide bonds prevent larger peptides and proteins from using the amino acid CMT systems to cross the BBB (Zlokovic et al., 1985). However, certain neuroactive peptides (Zlokovic, 1995), regulatory proteins, hormones, and growth factors can use RMT systems to slowly cross the BBB (Pardridge, 2015; Zlokovic, 2008) (Table 1). For example, $A\beta$ can cross the BBB to enter the circulation through LRP1 (Deane et al., 2004) or the other way around via the receptor for advanced glycation end products (RAGE) on RAGE-expressing endothelium, particularly under pathological conditions (Deane et al., 2003, 2012). Moreover, RMT systems-for example, the transferrin receptor (TfR)-have been utilized for the CNS drug delivery (Bray, 2015).

Major Facilitator Superfamily. Docosahexaenoic acid (DHA), an essential omega-3 fatty acid, is transported into the brain by the endothelial major facilitator superfamily domain-containing

ransport Systems	Transporters	Abbreviation	Gene Name	Substrates	Direction of Transport	
Active efflux	ABC transporters	MDR1/P-gp	ABCB1	Drugs, xenobiotics	Endothelium to blood	
		ABCA2	ABCA2	-		
		BCRP	ABCG2	Drugs, xenobiotics		
		MRP1	ABCC1	Drugs, drug conjugates		
		MRP2	ABCC2			
		MRP3	ABCC3			
		MRP4	ABCC4	Nucleosides	Bidirectional	
		MRP5	ABCC5		Endothelium to blood	
Solute carrier-	Carbohydrate transporters	GLUT1	SLC2A1	Glucose	Bidirectional	
mediated transport		SGLT1	SLC5A1		Blood to brain	
		HMIT	SLC2A13	Myoinositol	Blood to brain	
		SMIT	SLC5A3			
	Amino acid	CAT1	SLC7A1	Cationic L-amino acids (y+)	Bidirectional	
	transporters	CAT3	SLC7A3	(e.g., lysine, arginine)		
		LAT1	SLC7A5	Large neutral amino acids (L)	Bidirectional	
		LAT2	SLC7A6	(e.g., tryptophan, tyrosine)		
		SNAT1	SLC38A1	Glutamine and small neutral	Brain to blood	
		SNAT2	SLC38A2	amino acids (A)		
		SNAT 3	SLC38A3	Glutamine (N)		
		SNAT 5	SLC38A5		Bidirectional	
		ASCT1	SLC1A4	Neutral amino acids (ASC)	Brain to blood	
		ASCT2	SLC1A5			
		EAAT1	SLC1A3	Excitatory amino acids (EAAT) (e.g., glutamate, aspartate)		
		EAAT2	SLC1A2			
		EAAT3	SLC1A1			
		GLYT1	SLC6A9	Glycine		
		TAUT	SLC6A6	Taurine, GABA		
	Monocarboxylic	MCT1	SLC16A1	Lactate, ketone bodies	Bidirectional	
	acid transporter	MCT2	SLC16A7	Lactate (proton exchanger)	Brain to blood	
	Hormone transporter	МСТ8	SLC16A2	T3 thyroid hormone	Blood to brain	
	Fatty acids	FATP-1	SLC27A1	Fatty acids	Blood to brain	
		FATP-4	SLC27A4			
	Nucleotide transporters	CNT2	SLC28A2	Nucleotides, nucleobases	Brain to blood	
		ENT1	SLC29A1		Bidirectional	
		ENT2	SLC29A2			
	Organic anion and	OAT3	SLC22A8	Organic anions (e.g., indoxyl sulfate, benzylpenicillin	Brain to blood	
	cation transporters	OATP1A4	SLCO1A4		Bidirectional	
		OATP2B1	SLCO2B1	aminohippuric acid)		
		OATP1C1	SLCO1C1	Thyroxine		
		OCT1	SLC22A1	Organic cations (e.g., morphine,	Blood to brain	
		OCT2	SLC22A2	MPTP, creatinine)		
		ОСТ3	SLC22A3			
		OCTN2	SLC22A5	Organic cations, carnitine	Bidirectional	
	Amine transporter	PMAT	SLC29A4	Organic cations, MPP+	Brain to blood	
				-		
	Choline transporter	CTL1	SLC44A1	Choline	Bidirectional	

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Table 1. Continue	ed					
Transport Systems	Transporters	Abbreviation	Gene Name	Substrates	Direction of Transport	
Receptor-mediated transport	Protein ligands	V1	AVPR1A	Arginine-vasopressin	Bidirectional	
		TfR	TFRC,TFR2	Transferrin	Blood to brain	
		LEP-R	LEPR	Leptin		
		IR	INSR	Insulin		
		LRP1	LRP1	Apolipoproteins, amyloid- β , etc.	Brain to blood	
		LRP2	LRP2			
		RAGE	AGER	Glycosylated proteins, $A\beta$, S-100, etc.	Blood to brain	
Major facilitators	Fatty acids	MFSD2a	MFSD2a	Docosahexaenoic acid	Blood to brain	
Cellular Junctions	Components	Abbreviation	Genes	Functions		
Tight junctions	Occludin	Occludin	OCLN	Not required for TJ formation		
	Claudins	Claudin-1	CLDN1	Sealing BBB		
		Claudin-3	CLDN3	Unknown		
		Claudin-5	CLDN5	Size-selective barrier to small molecules (< 800 D)		
		Claudin-12	CLDN12	Unknown		
	Membrane-associated guanylate kinases	ZO-1	TJP1	Multi-domain scaffolding proteins, cytoskeleton anchoractor TJ proteins		
		ZO-2	TJP2			
		ZO-3	TJP3			
Adherens junctions	Adherens junctions	Cadherins	CDH5	Cytoskeleton link, modulating receptor signaling,		
		PECAM-1	PECAM1	regulating trans-endothelial migration of lymphocytes		
Other junctional molecules	JAMs	JAM-A	F11R	Modulating junctional tightness, regulating		
		JAM-B	JAM2	trans-endothelial migration of lymphocytes		
		JAM-C	JAM3			
	ESAM	ESAM	ESAM			
Gap junctions	Connexin hemichannels	CX30	GJB6	Cell-cell communication, required for BBB		
		CX43	GJA1			
Cytoskeleton	Dystrophin	Dystrophin	DMD	Organization of actin cytoskeleton		

protein 2a (MFSD2a) (Nguyen et al., 2014). Mice lacking *Mfsd2a* show brain DHA deficits and develop BBB breakdown (Ben-Zvi et al., 2014), suggesting that MFSD2a has the dual function of transporting fatty acids into the brain and maintaining BBB integrity (Betsholtz, 2014; Zhao and Zlokovic, 2014).

TJ proteins seal brain endothelia, contributing to the anatomical barrier (Table 1). Mutations in and loss of some TJ proteins lead to BBB breakdown and are associated with neurological disorders, as discussed below (Tietz and Engelhardt, 2015; Zlokovic, 2008). TJ proteins are connected to cortical actin cytoskeleton via multi-domain scaffolding proteins of the peripheral membrane-associated guanylate kinase (MAGUK) family, i.e., ZO-1, ZO-2, and ZO-3 (Tietz and Engelhardt, 2015). ZO-1 deficiency disrupts TJs, and reduced ZO-1 levels are associated with BBB breakdown in many neurological disorders (Zlokovic, 2011) (Figure 3). AJ proteins such as cadherins and platelet endothelial cell adhesion molecule-1 also contribute to barrier properties, and the space between these proteins is ~20 nm, which is wider compared to that between TJs (Tietz and Engelhardt, 2015) (Table 1; Figure 3). Brain endothelial cells are anchored to the basement membrane through integrins, which interact with extracellular matrix (ECM) proteins, such as laminin, collagen, and perlecan, and mediate signaling by activating ECM

ligands, growth factors, and growth factor receptors (Baeten and Akassoglou, 2011). Mice lacking $\beta 1$ -integrins in endothelial cells develop aberrant cadherin signaling, loss of junctional claudin-5, and immature BBB (Yamamoto et al., 2015). Similarly, mice lacking astrocyte-secreted laminin exhibit BBB breakdown (Yao et al., 2014).

Pericytes

Pericytes share a basement membrane with endothelial cells and form direct synaptic-like peg-socket focal contacts with endothelium through N-cadherin and connexins, allowing exchanges of ions, metabolites, second messengers, and ribonucleic acids between the two cell types (Armulik et al., 2011). Pericytes play important roles in maintaining BBB integrity, aiding in angiogenesis and microvascular stability (Armulik et al., 2011; Winkler et al., 2011), regulating capillary diameter and CBF (Hall et al., 2014; Peppiatt et al., 2006), and phagocytosing toxic metabolites (Sagare et al., 2013). They have also been reported to have multipotent stem cell capabilities (Nakagomi et al., 2015). Pericyte degeneration and injury occur in many neurological diseases, including AD (Baloyannis and Baloyannis, 2012; Farkas and Luiten, 2001; Halliday et al., 2015; Sengillo et al., 2013), mild dementia (Montagne et al., 2015), amyotrophic lateral sclerosis (ALS) (Winkler et al., 2013), and stroke (Hall et al., 2014).

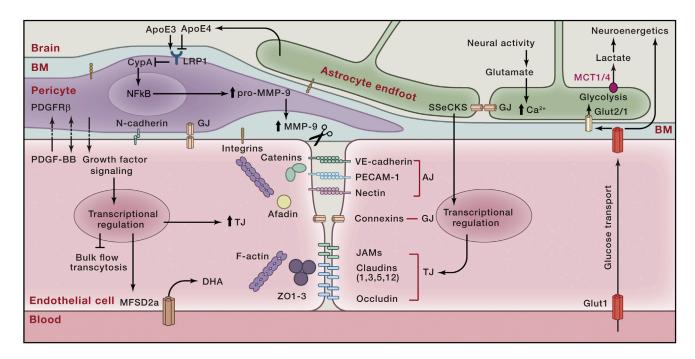


Figure 3. The Vascular Triad of the Blood-Brain Barrier

Endothelial cells are connected with each other through the tight junction (TJ), adherens junction (AJ) and gap junction (GJ) proteins. In the TJs, occludin, claudins and junctional adhesion molecules (JAMs) form a impermeable barrier to fluids and are connected to F-actin filaments by the zonula occludens ZO-1, ZO-2 and ZO-3 multi-domain scaffolding proteins of the membrane-associated guanylate kinase family. GJs formed by connexin hemichannels are specialized for direct intercellular communications. AJs are formed by homotypic binding of VE-cadherin, platelet endothelial cell adhesion molecule-1 (PECAM-1) and Nectin. Catenins link VE-cadherin to F-actin, while nectin is secured to F-actin by afadin.

Pericytes communicate with the endothelial cells via growth factor-mediated signaling (unidirectional or bidirectional), adhesion via N-cadherin homotypic binding and GJs. Pericyte can modulate BBB permeability by regulating gene expression in the endothelial cells resulting in upregulation of TJ proteins, inhibition of bulk flow transcytosis and upregulation of brain endothelial specific docosahexaenoic acid (DHA) transporter, a major facilitator domain-containing protein 2A (MFSD2a). Both endothelial cells and pericytes are embedded in the basement membrane (BM) and anchored to BM via integrins. PDGF-BB, platelet-derived growth factor BB; PDGFRβ, platelet-derived growth factor receptor-β.

Astrocytes regulate expression of matrix metallo-proteinase-9 (MMP-9) in pericytes by secreting apolipoprotein E (ApoE). ApoE3, but not ApoE4, binds to the low density lipoprotein receptor-related protein 1 (LRP1) in pericytes, which suppresses the proinflammatory cyclophilin A (CypA) nuclear factor кВ (NFкВ) MMP-9 pathway and degradation of TJ and BM proteins causing BBB breakdown. Astrocytes signal endothelial cells by Src-suppressed C-kinase substrate (SSeCKS) to increase TJ protein expression. Neural activity-dependent glutamate release increases [Ca2+] in the astrocytic endfeet, which regulates vascular tone. The GJs connect the adjacent astrocytic endfeet. Glucose gets into the brain via the endothelial Glut1 transporter and is taken up by neurons via Glut3. Glucose is taken up by astrocytes mainly by Glut2 and is metabolized to lactate, which is exported to neurons by the monocarboxylic MCT1 and MCT4 transporters.

Much of the insight into pericyte biology stems from the analysis of pericyte-deficient mice with disrupted platelet-derived growth factor BB (PDGF-BB)/platelet-derived growth factor receptor β (PDGFR-β) signaling (Armulik et al., 2011). PDGF-BB secreted by endothelial cells binds to heparan sulfate porteoglycans in the basement membrane, and its concentration gradient regulates pericyte proliferation, migration, and recruitment to the vessel wall through PDGFRβ receptor in pericytes. PDGF-B or PDGFRB null mice have a complete loss of pericytes, resulting in rupture of CNS microvessels, microaneurysms, and embryonic lethality (Lindahl et al., 1997; Tallquist et al., 2003). Pericytes are essential for maintaining BBB integrity in the adult and aging CNS (Armulik et al., 2010; Bell et al., 2010; Daneman et al., 2010) (Figure 3). Implications of disrupted PDGF-B/PDFGRβ signaling for human neurological disorders are discussed below. Multiple signaling pathways in pericytes contribute to CNS vascular stability, as examined in detail elsewhere (Armulik et al., 2011; Winkler et al., 2011). Moreover, signaling between astrocytes and pericytes exerts significant impact on BBB integrity. On one hand, studies in transgenic apolipoprotein E (APOE) mice have shown that APOE4, a major genetic risk factor for AD (Zlokovic, 2013), leads to disruption of BBB integrity by activating the proinflammatory cyclophilin-A (CypA) nuclear factor κB matrix metalloproteinase 9 (MMP-9) pathway in pericytes, which in turn leads to degradation of the basement membrane and TJ proteins, causing chronic BBB breakdown followed by neuronal dysfunction and secondary neurodegenerative changes (Bell et al., 2012). In contrast, apoE3 and apoE2, which confer a lower risk for AD, suppress the CypA-MMP-9 pathway through LRP1 on pericytes, supporting the maintenance of BBB functions (Figure 3). On the other hand, pericyte loss leads to the loss of astrocyte-derived components from the endfeet (Armulik et al., 2010), but the pericyte-derived signal(s) in this case are unknown.

Astrocytes

Astrocytes contribute to a variety of dynamic regulations in the neural system and play a vital role in CNS inflammation in neurodegenerative diseases (Clarke and Barres, 2013; Sofroniew, 2015). However, it remains unclear whether astrocytes are essential for BBB maintenance. The glial limitans ensheathing the penetrating arterial blood vessels and the outer layer of mature capillaries are formed by astrocytic endfeet (Figure 3). Yet, genetic lineage tracing and ablation studies showed that regional ablation had no effect on BBB permeability (Tsai et al., 2012). In contrast, others have shown that Src-suppressed C-kinase substrate (SSeCKS) in astrocyte progenitors regulates angiogenesis and formation of TJs at the BBB by modulating VEGF and Ang-1 expression (Lee et al., 2003). Future studies should provide more definitive answers as to whether astrocytes play a role in BBB maintenance in the adult and aging brain.

BBB and Monogenic Neurological Disorders

Several genetic diseases appear to originate in individual cell types of the NVU and link to specific roles in BBB development, function, and regulation. Such diseases, while rare, offer insights into causal pathogenic links and chains of events. Below, we briefly discuss some of these diseases, the relevant cell types (Table 2), and the potential pathogenic role of BBB dysfunction.

Endothelial Cells

Several inherited CNS diseases are caused by mutations in genes that play pivotal roles in endothelial cells or in the endothelium-derived ECM. These genes encode proteins that are either structural components or regulators of the endothelial cell-cell junctions, the vascular basement membrane, or transporters critically involved in BBB maintenance. For instance, mutations in the genes encoding the TJ proteins occludin (O'Driscoll et al., 2010) and junctional adhesion molecule C (JAM-C) (Woodfin et al., 2011) lead to severe problems in brain growth, hemorrhage, and calcification (Table 2). These pathologies may result from uncontrolled leakage of solutes and plasma proteins across the endothelial junctions and/or neuroinflammatory changes due to increased trans-endothelial migration of leukocytes, a process inhibited by JAM-C. Another example is familial cerebral cavernous malformations (CCM), occurring in hereditary or sporadic forms and together affecting $\sim 0.5\%$ of the population. CCM is caused by mutations in three genes, CCM1-3, leading to similar thin-walled, leaky vascular lesions of venous origin (Fischer et al., 2013). The CCM proteins likely act together in a complex that maintains endothelial junctional organization and polarization and inhibits endothelial-to-mesenchymal transition (Maddaluno et al., 2013). Collagen COL4A1 and COL4A2 are abundant in all basement membranes and are expressed by many cell types, including vascular endothelial cells. Mutations in these genes are associated with a diverse range of problems in several organs, including the brain, where they are associated with cerebral hemorrhage and small vessel disease (Gould et al., 2006). Work in animal models with Col4a1 deficiency suggests that increased vessel fragility could make the animal susceptible to hemorrhage either upon mild trauma or due to the anatomy of the vessel that is particularly sensitive to increased hemodynamic stress (Kuo et al., 2012).

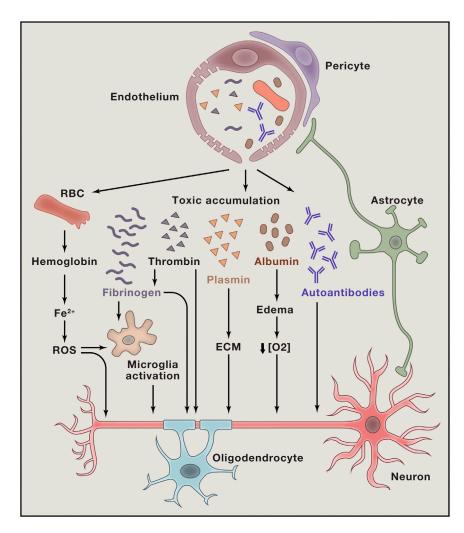
Among transporters mutated in brain disorders, two striking examples of BBB endothelial proteins are GLUT1 and MFSD2a. GLUT1, the major glucose transporter at the BBB, is mutated and functionally inactivated in human GLUT1 defi-

ciency syndrome, a disease associated with early-onset seizures and microcephaly (Wang et al., 2000). This is consistent with the importance of sufficient GLUT1 levels and glucose transport across the BBB for brain function and its role in maintaining the BBB integrity (Winkler et al., 2015). Similar to GLUT1, MFSD2a is highly expressed on brain endothelial cells. It transports lipids in the form of lysophoshatidylcholine coupled to certain long fatty acyl chains and is critical for the maintenance of the BBB integrity (Ben-Zvi et al., 2014; Nguyen et al., 2014). Microcephaly syndrome was recently shown to be caused by inactivating mutations in MFSD2A, the severity of the syndrome correlating with the degree of functional inactivation of the MFSD2A protein (Alakbarzade et al., 2015; Guemez-Gamboa et al., 2015). These studies provide insight into the cause of a rare disease but, perhaps more importantly, are an illustration to how basic physiological knowledge may come from human and mouse genetics and offer a fundamental insight into the mechanisms by which brain transports lipids across the BBB (Betsholtz, 2015) and maintains BBB integrity (Betsholtz, 2014; Zhao and Zlokovic, 2014). A third example of a brain disease associated with a BBB transporter is Allan-Herndon-Dudley syndrome, a psychomotor retardation syndrome caused by inactivating mutations in the triiodothyronin (T3) transporter SLC16A2 (MCT8) (Dumitrescu et al., 2004; Friesema et al., 2004). It is thought that the severe intellectual disability and movement problems observed in these patients are due to deficient transport of T3 from the blood to the brain, resulting in impairment of neuronal development and function. Indeed, different degrees of SLC16A2 inactivation correlate with the phenotypic consequences in patients (Capri et al., 2013).

Vascular Mural Cells

CADASIL (cerebral autosomal dominant arteriopathy with subcortical infarcts and leukoencephalopathy) is a relatively common (2-4/100,000 individuals) autosomal-dominant stroke syndrome caused by mutations in NOTCH3, a gene known to be specifically expressed in vascular mural cells (Chabriat et al., 2009). Although, the precise pathogenic mechanisms of CADASIL remain unresolved, recent studies of Notch 3 null mice demonstrated focal disruption of the BBB with tracer leakage and perivascular fibrin deposits in the CNS (Henshall et al., 2015). Primary familial brain calcification (PFBC, a.k.a., idiopathic basal ganglia calcification [IBGC] or Fahr's disease) is characterized by early-onset microvascular calcification occurring in certain deep brain regions, most notably the basal ganglia. Disease symptoms include motoric and cognitive problems suggestive of significant neuronal dysfunction. The recent description of loss-of-function mutations in PDGFB and PDGFRB genes in PFBC (Keller et al., 2013; Nicolas et al., 2013) suggests a role for pericytes in this disease. In different mouse models based on mutations in Pdgfb that led to variable levels of defect in PDGF-B/PDGFRB signaling, a correlation was noted among the extent of pericyte loss, BBB deficiency, and brain calcification (Keller et al., 2013). This is suggestive of a role for BBB dysfunction in PFBC, possibly involving changes in phosphate transport, since mutations in the phosphate transporters SLC20A2 and XPR1 also cause PFBC (Legati et al., 2015; Wang et al., 2012a).

Table 2. Human Monogenic Inherited Diseases with Blood-Brain Barrier Dysfunction								
Disease	Symptoms	Cell Types	Subcellular Components	Proteins	Genes			
Band-like calcification with simplified gyration and polymicrogyria (BLC-PMG)	Early-onset seizures, severe microcephaly, developmental delay with bilateral polymicrogyria, and a band of gray matter calcification on brain imaging.	Endothelial cells	Tight junctions	Occludin	OCLN			
Hemorrhagic destruction of the brain, subependymal calcification, and congenital cataracts	Brain hemorrhage, subependymal calcification, congenital cataracts, spasticity, exaggerated deeptendon reflexes, and seizures			JAM-C	JAM3			
Familial cerebral cavernous malformations (CCM)	Seizures, headaches, intracerebral hemorrhages, focal neurological		Cytoplasm and nucleus	CCM1	KRIT1 CCM2			
	deficits, and gait ataxia			CCM3	PDCD10			
Cerebral small vessel disease	Lacunar ischemic strokes, deep		Basement membrane	COL4A1	COL4A1			
	intracerebral hemorrhages, white matter hyperintensities			COL4A2	COL4A2			
GLUT1 deficiency syndrome (De Vivo syndrome)			Transporters	GLUT1	SLC2A1			
Microcephaly	Lethal or non-lethal microcephaly, intellectual disability, spasticity, and absent speech			MFSD2a	MFSD2a			
Allan-Herndon-Dudley syndrome (AHDS)	Severe psychomotor retardation and altered serum thyroid parameters			МСТ8	SLC16A2			
Cerebral autosomal-dominant arteriopathy with subcortical infarcts and leukoencephalopathy (CADASIL)	Migraines, progressive dementia, mood disorders, and minor strokes	Vascular smooth muscle cells	Receptor	CADASIL	NOTCH3			
Primary familial brain calcification	Calcifications in the basal ganglia, cerebellum, thalamus, and brainstem lead to motor, cognitive, and psychiatric symptoms	Pericytes	Growth factor	PDGF-BB	PDGFB			
(Idiopathic basal ganglia			Receptor	PDGFRβ	PDGFRB			
calcification, Fahr's disease)			Transporter	PIT2	SLC20A2			
	and poyeniame symptome		Receptor	SYG1	XPR1			
exander disease Infantile form: megalencephaly, seizures, and impaired physical and mental development, early death; later-onset: bulbar signs, including difficulties with coordination, speech, and swallowing		Astrocytes	Cytoskeleton	GFAP	GFAP			
Megalencephalic	Macrocephaly and cerebral white		Membrane protein	MLC1	MLC1			
leukoencephalopathy with subcortical cysts (MLC)	matter swelling and subcortical cysts, increased water content in the brain, and myelin and astrocyte vacuolation			GLIALCAM	HEPACAM			
Congenital muscular dystrophy 1A (MDC1A)	Muscular weakness, raised creatine phosphokinase, cerebral white matter abnormalities, respiratory insufficiency, early death		Basement membrane	LAMM	LAMA2			
Nasu-Hakkola disease (NHD)	Progressive senile dementia	Microglia	Membrane protein	DAP12	TYROBP			
	characterized by extensive demyelination, accumulation of axonal spheroids, and multifocal bone cysts			TREM2	TREM2			



Astrocytes and Microglia

Mutations in astrocyte-specific genes are associated with various neurological disorders, such as glial fibrillay acidic protein (GFAP) in Alexander disease and MLC1 and HEPACAM in megalecenphalic leukoencephalopathy with subcortical cysts. These mutations may affect BBB integrity. However, the involvement of brain vascular dysfunction in these diseases remains to be established. Laminin α2 chains (LAMA2), an astrocyte gene encoding the basement membrane protein LAMA2, is mutated in congenital muscular dystrophy, a disease that affects the brain in some patients in ways that are suggestive of a defective BBB (e.g., brain edema) (Alkan et al., 2007). Reactive microglia are commonly found in association with leaky brain vessels. Mutations in the microglia-specific genes DAP12 and TREM2 cause Nasu-Hakkola disease (Sasaki et al., 2015), a neurodegenerative disorder with unclear pathogenesis. It remains to be determined whether Nasu-Hakkola disease is associated with a dysfunctional BBB.

BBB and Multifactorial Neurodegenerative Diseases

Vascular contributions to dementia and AD (ladecola, 2013; Montagne et al., 2015; Montine et al., 2014; Snyder et al.,

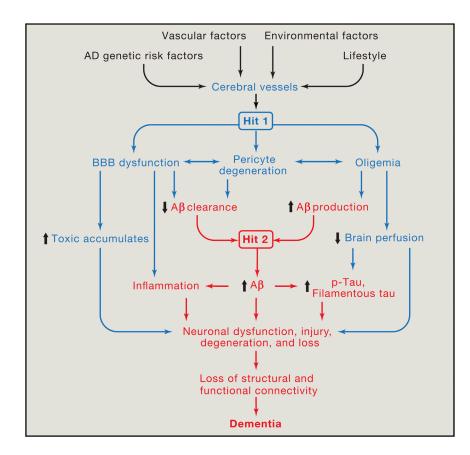
Figure 4. Vascular-Mediated Neurodegeneration

Aberrant pericyte-endothelial or astrocyte-pericyte signal transduction leads to BBB breakdown, resulting in brain accumulation of: (1) red blood cell (RBC)-derived neurotoxic hemoglobin and iron (Fe2+), causing production of reactive oxygen species (ROS) and oxidant stress to neurons; (2) neuronal toxic blood-derived proteins such as fibrinogen, thrombin, and plasminogen, which could be converted into plasmin that, in turn, degrades neuronal extracellular matrix (ECM) and leads to detachment of neurons and cell death; (3) fibrinogen that activates microglia, promotes neuroinflammation and demyelination, and prevents myelination by oligodendrocyte progenitor cells; (4) albumin that contributes to the development of vasogenic edema, capillary hypoperfusion, and hypoxia. BBB breakdown can also lead to the loss of immune privilege, resulting in development of anti-brain antibodies against different axonal and membrane components of neurons.

2015; Sweeney et al., 2015) and other neurodegenerative disorders, such as ALS (Winkler et al., 2013), PD (Korczyn, 2015), and Huntington disease (HD) (Drouin-Ouellet et al., 2015), are increasingly recognized. A number of vascular dysfunctions are frequently associated with neurodegeneration, including hypertension, cerebrovascular disorder, BBB breakdown, etc. (ladecola, 2013; Montagne et al., 2015; Snyder et al., 2015). Experimental studies in murine transgenic models with a chronic BBB breakdown due to aberrant endothelial-pericyte and/or astrocyte-pericyte signaling

have shown that accumulation in the CNS, particularly in neurons, of blood-derived neurotoxic proteins, including fibrinogen, thrombin, red-blood-cell-derived hemoglobin, iron-containing hemosiderin, free iron, and/or plasmin, can initiate and/or contribute to neurodegeneration (Armulik et al., 2010; Bell et al., 2010, 2012; Daneman et al., 2010; Davalos et al., 2012). ApoE knockout mice with dysfunctional BBB, but not wild-type mice with normal BBB, develop psychotic behavioral impairment when injected with N-methyl-D-aspartate receptor autoantibody-positive serum, suggesting that seroprevalence in neuropsychiatric diseases could be related to an insult to BBB integrity (Hammer et al., 2014). The model that we propose in Figure 4 may apply to various types of vascular-mediated neurodegeneration.

Accumulations of blood-derived proteins in the hippocampus and cortex (e.g., immunoglobulins, albumin, fibrinogen, and thrombin) were observed in post-mortem human studies, indicating BBB damage in AD (Halliday et al., 2015; Hultman et al., 2013; Sweeney et al., 2015; Zipser et al., 2007) and ALS (Winkler et al., 2013), both associated with degeneration of pericytes (Baloyannis and Baloyannis, 2012; Farkas and Luiten, 2001; Halliday et al., 2015; Sengillo et al., 2013; Winkler et al.,



2013). BBB impairments were also found in other neurological disorders, including multiple sclerosis, PD, and HD (Drouin-Ouellet et al., 2015; Korczyn, 2015; Zlokovic, 2011). Moreover, microbleeds and accumulation of iron were observed in the brains of patients with preclinical and clinical AD symptoms (Yates et al., 2014; Zonneveld et al., 2014), particularly in the hippocampus (Raven et al., 2013). It has also been shown that age-dependent early BBB breakdown is accelerated in individuals with mild dementia (Montagne et al., 2015). Some studies using the CSF-to-plasma ratio of blood-derived albumin also reported BBB damage in AD particularly associated with vascular risk factors or in individuals at a genetic risk for AD (Sweeney et al., 2015). Thus, findings in complex human brain diseases support, at least in part, the proposed model of vascular-mediated neurodegeneration (Figure 4). Below, we discuss AD in greater detail.

AD patients develop an early neurovascular dysfunction, progressive neurodegeneration, selective loss of neurons, and accumulation in the brain of AB pathology and neurofibrillary tangles composed of aggregated hyperphosphorylated Tau (Querfurth and LaFerla, 2010; Zlokovic, 2011). Strikingly, AD affects all cell types of the NVU depending on the disease stage, including endothelial and mural cells, glia, and neurons (Sweeney et al., 2015). The neurovascular hypothesis of AD proposes that cerebrovascular dysfunction and disruption in the neurovascular integrity contribute to the onset and progression of cognitive decline and that cerebral blood vessels are the converging point

Figure 5. The Neurovascular Hypothesis for Alzheimer's Disease

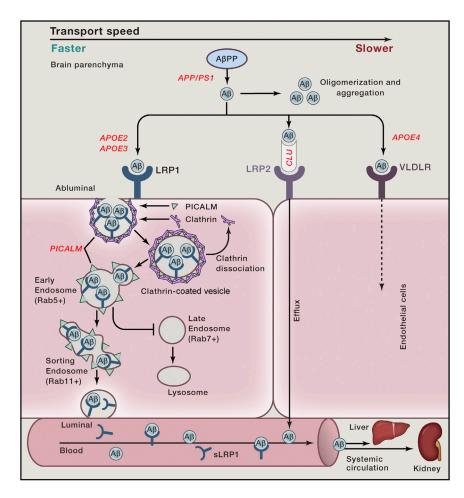
AD genetics, vascular factors, environment, and lifestyle can independently and/or synergistically lead to cerebrovascular injuries, including BBB dysfunction, pericyte degeneration, and cerebral blood flow reductions (oligemia), initiating a cascade of events that can either: (1) directly cause neuronal injury and damage independently of A_β (hit 1, blue) and/or (2) accelerate the $A\beta\text{-dependent}$ neurodegeneration (hit 2, red). In the Aβ-dependent pathway, BBB dysfunction leads to faulty clearance of Aß from brain, whereas reduced brain perfusion increases Aβ production, both causing A_β accumulation in the brain. Reduced brain perfusion (Hit 1) and elevated levels of AB (Hit 2) can independently and/or synergistically lead to Tau hyperphosphorylation (p-Tau) and formation of filamentous Tau pathology. Additionally, the two hits can exacerbate neuroinflammation.

of pathogenic events leading to dementia (Zlokovic, 2011). Vascular damage can be triggered by genetics, vascular risk factors, environmental factors, and lifestyle (Sagare et al., 2013; Sweeney et al., 2015; Zlokovic, 2011). Primary damage of the cerebrovasculature leads to brain accumulation of blood-derived neurotoxins, and the decrease in brain perfusion can cause neuronal injury. Vascular damage also influences neurodegeneration pathway mediated by A_{\beta}.

In combination, vascular damage and elevated Aβ have a striking synergistic effect on neuronal Tau phosphorylation and pathology, leading to accelerated loss of neurons (Sagare et al., 2013) (Figure 5).

Faulty A β clearance from the brain leads to elevated A β in patients with sporadic AD (Mawuenyega et al., 2010). Experimental studies have shown that, in various animal models, Aβ is cleared from the brain primarily by trans-vascular clearance across the BBB (70%-85%), whereas a minor portion is removed by the ISF flow (Bading et al., 2002; Deane et al., 2004; Tarasoff-Conway et al., 2015). The molecular mechanism for Aβ clearance across the BBB has been recently elucidated in greater detail (Figure 6). In brief, Aß produced in the brain binds to LRP1 at the abluminal side of the BBB, causing its rapid internalization into endothelial cells and clearance through the blood. Phosphatidylinositol-binding clathrin assembly protein (PICALM) is critical for clathrin/PICALM-mediated internalization of LRP1-Aß complexes by the endothelium and guides intracellular trafficking of Aβ-containing endocytic vesicles across endothelium by sequential fusion with Rab5positive early endosomes and Rab11-positive sorting endosomes for exocytosis at the luminal side of the BBB, which completes Aβ transcytosis cycle across the BBB (Zhao et al., 2015).

In plasma, the soluble form of LRP1 (sLRP1) generated by the proteolytic cleavage of LRP1 by β-secretase binds and sequesters free Aβ40 and Aβ42 (Sagare et al., 2007), mediating Aβ



Clearance across the Blood-Brain Barrier Transvascular Aβ clearance. LRP1 binds Aβ at the abluminal side of endothelium, which recruits PICALM, resulting in PICALM/clathrin-dependent endocytosis of LRP1-Aß complexes. Next,

Figure 6. Alzheimer's Amyloid β-Peptide

PICALM guides the trafficking of Aβ-LRP1 endocytic vesicles to Rab5+ early endosomes and then to Rab11+-sorting endosomes for exocytosis at the luminal side of the BBB, resulting in Aβ transcytosis. PICALM guides Aβ away from Rab7+ late endosomes and lysosomes. Apolipoprotein J (apoJ: CLU) facilitates AB42 clearance across the BBB via LRP2. Systemic Aβ clearance. Aβ binds to soluble LRP1 (sLRP1) in plasma. Circulating sLRP1-Aß complexes are transported to liver and kidney for elimination from the body. Genetic risk factors. APOE2 and APOE3 carry lower risk for AD compared to APOE4. CLU variants influence risk for sporadic AD, but their effects on Aβ clearance are presently unknown. Some protective PICALM variants lead to increased PICALM expression and enhanced Aβ clearance across the BBB. PSEN1 mutations causing early autosomal-dominant AD lead to increased production of AB-particularly Aβ42, which increases Aβ load for clearance across the BBB.

protein receptor (VLDLR) (Bell et al., 2007: Deane et al., 2008) (Figure 6).

Another example is PICALM, a validated genetic risk factor for AD (Harold et al., 2009; Lambert et al., 2009). PIC-ALM mediates endocytosis and internalization of cell receptors and intracellular trafficking of endocytic proteins (Treusch et al., 2011; Zhao et al., 2015). It is highly expressed in brain endothelium of the

BBB (Parikh et al., 2014; Zhao et al., 2015) and plays a central role in AB clearance across the BBB (Figure 6). A recent study suggested that, compared to the protective allele, the non-protective allele of the rs3851179 PICALM variant leads to decreased PICALM expression in endothelial cells and substantially lower Aß clearance in an in vitro model of the BBB (Zhao et al., 2015), suggesting that PICALM variants may affect AD pathogenesis, potentially through a vascular mechanism.

Similarly, mutations in Clusterin (CLU, apoJ) are associated with sporadic AD (Harold et al., 2009; Lambert et al., 2009). CLU binds to several different proteins, including AB, and has been shown to prevent aggregation and promote clearance of Aβ peptides across the BBB (Bell et al., 2007) (Figure 6). Presenilin (PSEN) mutations cause autosomal-dominant AD and increase Aß production in the brain (Querfurth and LaFerla, 2010). PSEN1 mutations also lead to major cerebrovascular pathology in humans, including degeneration of pericytes and mural cells, BBB breakdown, and Aβ deposits in small cerebral vessels (Sweeney et al., 2015). Similar cerebrovascular pathology was found in transgenic PSEN1 mice (Gama Sosa et al., 2010), although it is worth noting that how vascular pathology relates to the increased Aß production during disease progression remains elusive.

transport via blood to the excretory organs (Zlokovic et al., 2010) (Figure 6), AB42 can bind to Clusterin (CLU or apoJ) in the brain ISF, and apoJ-Aβ complexes are transported across the BBB into circulation by LRP2 (Bell et al., 2007). Enzymatic degradation of A_β by neprilysin, insulin-degrading enzyme, matrix metalloproteinases, plasmin, and tissue plasminogen activator contributes to its clearance from brain (Querfurth and LaFerla, 2010).

Several frequently studied genes, of which the mutations are associated with high risk for sporadic and familial AD, play a role in the cerebrovascular system. For instance, APOE4 is the strongest genetic risk factor for late-onset AD that exerts direct toxic cerebrovascular effects (Zlokovic, 2013). Compared to the other two APOE isoforms, i.e., APOE3 or APOE2, APOE4 increases BBB damage, cerebral amyloid angiopathy, and fibrinogen and iron deposition in the brain of AD patients (Halliday et al., 2013, 2015; Hultman et al., 2013; Sweeney et al., 2015; Zipser et al., 2007; Zonneveld et al., 2014). Consistently, findings in APOE4 transgenic mice suggest that vascular changes may precede neuronal changes and behavioral deficits (Bell et al., 2012). ApoE can bind to Aβ. While ApoE2-Aβ and ApoE3-Aβ complexes are rapidly cleared across the BBB via LRP1, the removal rate of ApoE4-Aβ complexes is lower, mediated by slow internalization and transcytosis via very low-density lipo-

Conclusions and Future Directions

Recent advances in human genetics and the corresponding transgenic models indicate that almost every non-neuronal cell type of the NVU could be affected by some monogenic inherited disorders. This association can provide insights into the potential pathogenic links among BBB dysfunction, neuronal injury, neurodegeneration, and neurological disorders caused by NVU disruption and BBB breakdown. On the other hand, the relationship among neurovascular integrity, brain structural and functional connectivity, cognitive function, and neurological symptomatology in complex disorders such as AD still awaits to be directly explored in the most relevant in vivo context, which has only recently became possible with the development of novel state-of-the art neuroimaging and molecular biomarker approaches. Experimental studies combining genetic, environmental, and lifestyle factors hold promise to further advance our knowledge of multifactorial CNS disorders and to establish the concept that the loss of healthy cerebral blood vessels and BBB integrity influences the course and clinical phenotype of neurological disorders in a region-specific manner.

Some important questions remain to be addressed. First, it is still unclear whether in the living human brain, cerebrovascular changes and BBB breakdown can drive the initial pathogenic events that lead to neuronal injury, disrupted structural and functional brain connectivity, and early neurological symptoms, such as cognitive decline in AD and motor changes in ALS, PD, or HD. Second, further studies are warranted to test whether the underlying molecular mechanisms of NVU disruption and BBB breakdown might point to new targets for therapeutic development to prevent and/or treat neurodegenerative disorders. Third, technological advance is required to determine whether neurovascular dysfunction and BBB breakdown are detectable in the living human brain prior to the development of the full spectrum of neurological symptoms. Last but not least, future investigations need to address whether molecular and imaging biomarkers of neurovascular dysfunction can serve as reliable prognostic and/or diagnostic tools to predict the development of neurodegenerative disorders.

From the basic science side, pushing the envelope further to generate a comprehensive proteomics and RNA-seq molecular atlas of the BBB in animals and humans would provide a valuable resource for discovering and studying new targets and signaling pathways mediating the crosstalk among different cell types within the NVU. This may lead to the development of new transgenic animal models - pluripotent stem cell models of the BBB, NVU, and different neurological disorders-serving as valuable platforms for drug discovery and for testing novel drug delivery approaches.

AUTHOR CONTRIBUTIONS

Z.Z. and A.R.N. contributed equally in preparing some sections of this Perspective.

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