Rotation Equivariant Convolutional Vision Transformer

Kartik Sachdev

RWTH Aachen University Munich, Germany kartik.sachdev@rwth-aachen.de

Abstract

To induce rotational equivariance to a cyclic subgroup C_4 in the transformer architecture, E(2)-steerable convolutions are applied before the Convolutional Vision Transformers (CvT) for binary classification on a highly symmetrical dataset of simulated strong gravitational lensing images with and without substructure. The model was trained with only 65k parameters and achieves a test accuracy of over 97.1%. Notably, the proposed architecture has approximately one tenth of parameters compared to CvT, while achieving a comparable test accuracy.

1 Introduction

E(2) steerable convolutional layers [5] are strategically placed before [7] the Convolutional Vision Transformer (CvT) [6] to empirically verify a hypothesis of making Vision Transformers equivariant under rotation. The main idea is to exploit the known inherent rotational symmetries present in the dataset using equivariant CNNs and at the same time leverage the advantages of CvT architecture shift, scale invariance, global context and ability to train on small dataset [6]. The rest of this research abstract is organized as follows: Related work is discussed in Section 2, followed by Implementation Details in Section 3, Experiments in Section 4 and lastly, Results And Future Work in Section 5.

2 Related Work

Convolutional Vision Transformers uses multi-stage hierarchical design with each stage consisting of the Convolutional Token Embedding and Convolutional Projection. The Convolutional Token Embedding layer takes the input image or 2D reshaped token maps from the previous layer to learn a function that maps them into new tokens which are then flattened and normalized by layer normalization [2] for input into the subsequent stack of Convolutional Transformer Blocks. In each block, Convolutional Projection is applied for query, key, and value embeddings which are passed to the Multi-Head Attention layer. In the last stage, fully connected head is used to predict the class [6].

 $\mathbf{E}(2)$ -equivariant networks are equivariant under all isometries of the image plane \mathbb{R}^2 , that is, under translations, rotations and reflections. In other terms, if a model is equivariant to a subgroup $G \leq O(2)$ of the orthogonal group, then the output produced by the network transforms consistently when the input is transformed under the action of an element $g \in G$. For example, a cyclic subgroup C_6 models 6 rotations in the multiples of $\pi/3$. General $\mathbf{E}(2)$ -equivariant Steerable CNNs framework [5] provides a set of tools and general strategy for variety of such groups. Of particular interest, $\mathbf{E}(2)$ -steerable convolutions guarantee an equivariant mapping between Input and Output field type which defines a transformation law or how the signals sampled on the plane \mathbb{R}^2 transform under g.

	EqCvT		CvT	
	Layer Name	Details	Layer Name	Details
Stage 1	Conv. Point Avg. Pool Group Pool	$\begin{bmatrix} 7\times7, \text{Stride=1} \\ \text{Field Type=Regular} \\ \text{Fields=}10 \end{bmatrix}\times1$	Conv. Embed.	$7 \times 7,64$
			Conv. Proj.	$\lceil 3 \times 3, 64 \rceil$
			MHSA	$ H_1=2, D_1=64 \times 2$
			MLP	$\lfloor R_1 = 4 \rfloor$
Stage 2	Conv. Embed.	$3 \times 3, 32, Stride=2$	Conv. Embed.	$3 \times 3, 128, $ Stride=2
	Conv. Proj.	$\lceil 3 \times 3, 32 \rceil$	Conv. Proj.	$\lceil 3 \times 3, 64 \rceil$
	MHSA	$ H_1=3, D_1=32 \times 2$	MHSA	$ H_1=3, D_1=64 \times 1$
	MLP	$\lfloor R_1 = 2 \rfloor$	MLP	$\begin{bmatrix} R_1=4 \end{bmatrix}$
Head	Linear	64	Linear	128
Params	65k		463k	
Accuracy	97.1%		98.1%	

Table 1: Architectures for EqCvT and smaller version of CvT [6] used for comparison

3 Implementation Details

A single convolution block consisting of modules - E(2)-steerable convolution, batch-normalization and ReLU is added before the single stage of CvT. The input and output field types of convolution block are composed of 3 trivial and 10 regular representations with rotational action of C_4 . Each module works on a geometric tensor which wraps a common tensor and augment tensor with a compatible field type [5]. Images with a size 129×129 are converted to geometric tensor before feeding to the network. The output of the convolution block is passed through 2 pooling layers namely, anti-aliased channel-wise average pooling based on [8] and group pooling similar to max pooling, which pool over the spatial dimensions and over the group respectively.

To make the initial layers compatible with the CvT layers, the geometric tensor is unwrapped by keeping the tensor and discarding the associated field type. The resulting tensor is then, fed to the single stage of CvT as discussed in the Section 2. The stage consists of Convolutional Token Embedding layer to generate tokens for the Convolutional Transformer Blocks which performs the Multi-Head Self-Attention. Finally, an MLP layer is used for binary classification.

4 Experiments

The proposed model is evaluated on the simulated strong lensing images dataset for binary classification. The dataset was generated using the package PyAutoLens [4] and consists of 5000 images each of simulated strong lensing images with and without dark matter substructure [1]. Adam [3] optimizer is used with the weight decay of 1e-7 with β_1 , β_2 as 0.9 and 0.999 respectively. The models are trained with a constant learning rate of 1e-4 for 40 epochs and batch size of 8 and 64 for EqCvT and CvT respectively. Data augmentation follows a similar scheme to the original implementation of General E(2)-Equivariant Steerable CNN for MNIST dataset with continuous rotations [5].

5 Results And Future Work

EqCvT obtains an accuracy of 97.1% which is 1% less than 2-stage CvT but with a significant reduction of 86% in parameter count. To check for the rotational equivariance, the standard deviation of the output logits were compared for an input with 8 rotations in multiples of $\pi/4$. EqCvT had a standard deviation of 0.48 while CvT had 0.96, empirically showing more robustness to rotation.

This work provides one of the basis for combining equivariant networks with transformers. One possible direction could be partially replacing the convolutional part of CvT with steerable convolutional layers to make the network more robust to known symmetries. It would be interesting to see how well the architecture performs on different and bigger datasets. As the results of this work are more empirical, proving the equivariance of this architecture theoretically could also an area to be explored.

Acknowledgments

I would like to thank Machine Learning for Science (ML4Sci) with the participating organizations University of Alabama, Brown University and BITS Pilani Hyderabad for providing the dataset.

References

- [1] Stephon Alexander, Sergei Gleyzer, Evan McDonough, Michael W Toomey, and Emanuele Usai. Deep learning the morphology of dark matter substructure. *The Astrophysical Journal*, 893(1):15, 2020.
- [2] Lei Jimmy Ba, Jamie Ryan Kiros, and Geoffrey E. Hinton. Layer normalization. CoRR, abs/1607.06450, 2016.
- [3] Diederik P. Kingma and Jimmy Ba. Adam: A method for stochastic optimization. In Yoshua Bengio and Yann LeCun, editors, *3rd International Conference on Learning Representations*, *ICLR 2015, San Diego, CA, USA, May 7-9, 2015, Conference Track Proceedings*, 2015.
- [4] J. W. Nightingale, R. G. Hayes, Ashley Kelly, Aristeidis Amvrosiadis, Amy Etherington, Qiuhan He, Nan Li, XiaoYue Cao, Jonathan Frawley, Shaun Cole, Andrea Enia, Carlos S. Frenk, David R. Harvey, Ran Li, Richard J. Massey, Mattia Negrello, and Andrew Robertson. 'pyautolens': Open-source strong gravitational lensing. *J. Open Source Softw.*, 6(58):2825, 2021.
- [5] Maurice Weiler and Gabriele Cesa. General E(2)-Equivariant Steerable CNNs. In *Conference on Neural Information Processing Systems (NeurIPS)*, 2019.
- [6] Haiping Wu, Bin Xiao, Noel Codella, Mengchen Liu, Xiyang Dai, Lu Yuan, and Lei Zhang. Cvt: Introducing convolutions to vision transformers. *arXiv preprint arXiv:2103.15808*, 2021.
- [7] Tete Xiao, Mannat Singh, Eric Mintun, Trevor Darrell, Piotr Dollar, and Ross Girshick. Early convolutions help transformers see better. In M. Ranzato, A. Beygelzimer, Y. Dauphin, P.S. Liang, and J. Wortman Vaughan, editors, *Advances in Neural Information Processing Systems*, volume 34, pages 30392–30400. Curran Associates, Inc., 2021.
- [8] Richard Zhang. Making convolutional networks shift-invariant again. In Kamalika Chaudhuri and Ruslan Salakhutdinov, editors, *Proceedings of the 36th International Conference on Machine Learning*, volume 97 of *Proceedings of Machine Learning Research*, pages 7324–7334. PMLR, 09–15 Jun 2019.